- 1 Title: Growth phase estimation for abundant bacterial populations sampled longitudinally from
- 2 human stool metagenomes.
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# 13 ABSTRACT

14 Longitudinal sampling of the stool has vielded important insights into the ecological dynamics of 15 the human gut microbiome. However, due to practical limitations, the most densely sampled time series from the human gut are collected at a frequency of about once per day, while the 16 17 population doubling times for gut commensals are on the order of minutes-to-hours. Despite this, much of the prior work on human gut microbiome time series modeling has, implicitly or 18 19 explicitly, assumed that day-to-day fluctuations in taxon abundances are related to population 20 growth or death rates, which is likely not the case. Here, we propose an alternative model of the 21 human gut as a flow-through ecosystem at a dynamical steady state, where population 22 dynamics occur internally and the bacterial population sizes measured in a bolus of stool 23 represent an endpoint of these internal dynamics. We formalize this idea as stochastic logistic 24 growth of a population in a system held at a semi-constant flow rate. We show how this model 25 provides a path toward estimating the growth phases of gut bacterial populations in situ. We 26 validate our model predictions using an in vitro Escherichia coli growth experiment. Finally, we 27 show how this method can be applied to densely-sampled human stool metagenomic time 28 series data. Consistent with our model, stool donors with slower defecation rates tended to 29 harbor a larger proportion of taxa in later growth phases, while faster defecation rates were 30 associated with more taxa in earlier growth phases. We discuss how these growth phase 31 estimates may be used to better inform metabolic modeling in flow-through ecosystems, like 32 animal guts or industrial bioreactors.

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#### 36 INTRODUCTION

37 The human gut is an anaerobic flow-through bioreactor, ecologically distinct to each individual,

- that transforms dietary and host substrates into bioactive molecules important to host health <sup>1–3</sup>.
- 39 Disruptions to the ecological composition of the gut have been shown to mediate the
- 40 progression of various complex diseases 4-8. Furthermore, the ecological dynamics of the gut
- 41 appear to be relevant to both health and disease states <sup>9,10</sup>. However, the biological
- 42 interpretation of densely-sampled adult human fecal microbiome time series is fraught.

43 Various dynamical models have been applied to gut microbial abundance data collected from adult human donors <sup>11–15</sup>. These models often assume, either explicitly or implicitly, that 44 45 day-to-day changes in abundance are proportional to population growth and/or death <sup>16</sup>. However, the underlying data often do not match this assumption <sup>11,16–20</sup>. The gut is a flow-46 47 through ecosystem and commensal gut bacteria must grow fast enough to avoid dilution-to-48 extinction. As such, gut bacterial doubling times tend to be fast, ranging from minutes-to-hours <sup>21–23</sup>. However, stool sampling frequency is usually limited to, at most, about once per day. 49 50 Consequently, rapid internal population dynamics likely cannot be directly estimated from the day-to-day measurements obtained from stool <sup>16</sup>. 51

52 Given these sampling limitations, and in the absence of major perturbations that require 53 multi-day recovery processes in the human gut, it is unclear whether or not meaningful insights 54 into commensal population dynamics can be gleaned from adult human gut microbiome time series. One workaround for inferring growth rates of bacterial populations in situ is to leverage 55 metagenome-inferred replication rates <sup>21,22</sup>. Briefly, instantaneous replication rates can be 56 57 estimated for abundant bacterial populations in metagenomic samples by taking advantage of 58 the fact that fast-growing taxa show an asymmetry in reads mapping to different genomic loci, 59 with higher read depth near the origin of replication and a lower depth near the terminus due to

the initiation of multiple replication forks <sup>21–23</sup>. However, even when replication rates and population abundances can both be estimated from the same metagenomic samples, it is unclear how these measurements are related to the *in situ* growth phase of a population. As such, biological interpretations regarding population size and replication rate fluctuations in flowthrough ecosystems like the human gut, where internal dynamics are much faster than sampling rates, remain challenging.

Early experiments by Jaques Monod<sup>24</sup> identified distinct growth phases for bacterial 66 67 populations in culture, which can be captured by the stochastic logistic growth equation (sLGE) <sup>25</sup>. The sLGE has been shown to be a good fit for bacterial population growth *in vitro* and in real-68 world, steady-state ecosystems <sup>26–32</sup>. We used the sLGE to study statistical relationships 69 between population sizes and growth rates across the various phases of growth (i.e., 70 71 acceleration, mid-log, deceleration, stationary phases) to see if we could extract in situ growth 72 phase information. Overall, the sLGE model yields statistical relationships that may be 73 leveraged to identify the *in situ* growth phase of a bacterial population sampled at a regular 74 period from a flow-through ecosystem, like the human gut.

75 To assess our model predictions, we sampled Eschericial coli populations at different 76 points along the growth curve. We calculated population sizes and replication rates for these 77 samples and observed excellent agreement between this in vitro model and our sLGE 78 predictions. We also measured population abundance and replication rate trajectories from 79 more than a dozen organisms across four densely sampled human gut metagenomic time 80 series <sup>33</sup>. On average, gut commensal growth rates and population sizes were positively 81 correlated, both cross-sectionally over 84 stool donors and longitudinally within each of four 82 stool donor time series, which suggests that most abundant taxa in the gut are growing 83 exponentially when sampled in stool. Furthermore, we were able to identify specific growth phase signatures in abundant bacterial populations in the guts of four individuals with long and 84

dense metagenomic time series by analyzing paired replication rate and abundance trajectories.
We describe how our growth phase inference approach can serve to improve statistical
inferences derived from microbiome data and to inform more accurate mechanistic modeling of
flow-through ecosystems (e.g., community-scale metabolic models, which usually assume
exponential growth), which could have broad implications for human health <sup>8,34,35</sup>, agricultural
systems <sup>36,37</sup>, climate change <sup>36,38,39</sup>, and industrial bioreactor production processes <sup>40,41</sup>.

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#### 92 **RESULTS**

#### 93 Framing the gut as an anaerobic flow-through bioreactor

94 The mammalian gut can be understood as an anaerobic batch culture reactor with a semi-95 continuous input (i.e., discrete boluses of dietary inputs, mixed with host substrates like mucin and bile acids) and output (i.e., discrete boluses of stool)<sup>42</sup>, and microbial taxa must grow fast 96 97 enough within the system to avoid dilution-to-extinction (**Fig. 1A**). Thus, stool sampling captures the endpoint of internal gut bacterial population dynamics. For example, in our conceptual figure 98 99 we see that Taxon 1 starts growing higher up in the colon and is in stationary phase by the time 100 a stool sample is collected, while Taxon 3 starts growing lower in the colon and is still growing 101 exponentially at the point of stool sampling (Fig. 1A). Overall, the daily abundances of Taxa 1-3 102 represent the average (µ) steady-state population size, plus or minus some amount of biological 103 and technical noise, at the time of stool sampling (Fig. 1A). To investigate improved methods 104 for interpreting the dynamics of human gut microbial time series, we downloaded shotgun 105 metagenomic time series data from the BIO-ML cohort (i.e., health-screened stool donors who 106 provided fecal-transplant material to the stool bank OpenBiome) <sup>33</sup>. The BIO-ML cohort contained 84 donors <sup>33</sup>. To filter for dense longitudinal data, we selected a subset of donors with 107 108 more than 50 time points. Four donors (i.e. donors ae, am, an, and ao) met this criterion, with 3-109 5 fecal samples per week for >50 days (Fig. 1B).

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# 111 Characterizing the relationships between gut commensal population size and growth rate 112 using metagenomic time series data

113 We first investigated the statistical properties of day-to-day fluctuations in gut bacterial 114 population sizes, estimated from fecal shotgun metagenomic time series. Specifically, we 115 looked at the associations between population abundance estimates  $(t_n)$  and the changes in abundance estimates (i.e., deltas) between time points  $(t_{n+1} - t_n)$ . Naïvely, if most bacterial 116 117 populations in stool were growing exponentially, we would expect that population abundances 118 and growth rates would be positively correlated. However, prior work has indicated an overall 119 negative correlation between abundances and changes in abundances in stool 16S rRNA gene 120 amplicon sequencing data generated from densely sampled human stool time series <sup>15</sup>. Indeed, 121 we found that abundant bacterial populations in the stool of the four BIO-ML donors maintained 122 stable average abundances over time ( $\mu$ ), with day-to-day fluctuations above and below this 123 average, as pictured in the example of Bacteroides cellulosilyticus in donor am (Fig. 2A-B). This 124 kind of pattern mirrors what one would expect when randomly sampling from a stationary 125 distribution (Fig. 2B). We observed that the deltas  $(t_{n+1} - t_n)$  for the same gut taxon (*Bacteroides* 126 uniformis) measured across each donor time series, when plotted against their respective 127 normalized abundances  $(t_0)$ , showed the expected negative association (**Fig. 2C**). Furthermore, 128 similar negative associations were uniformly observed across all taxa analyzed, across all four 129 donors (Fig. 2D). This negative association between population abundances and changes in 130 abundance between time points is strongly consistent with sampling from a stationary 131 distribution, which is equivalent to 'regression-to-the-mean' as an organism fluctuates around a fixed carrying capacity, similar to what we have reported previously <sup>15,32</sup>. 132 One important ecological factor that can impact gut microbial dynamics is host diet <sup>43,44</sup>. 133

Although changes in dietary intake can alter microbial abundance, average dietary choices are

135 highly conserved within an individual and these choices are notoriously difficult to modify outside of radical changes in geography or lifestyle <sup>45–47</sup>. Prior work demonstrated that 136 137 macronutrient intake within an individual is largely stable over time and does not show 138 significant autocorrelation or drift <sup>15,48</sup>. Indeed, for donor A from this prior study, we found that 139 longitudinal measurements of macronutrients (i.e. daily intake of calories, carbohydrates, 140 protein, fat, fiber, cholesterol, saturated fat, sugar, sodium, calcium) were stationary over 141 several months, despite day-to-day fluctuations (Fig. S1). Combined with the overwhelming 142 stationarity of microbial abundance trajectories within healthy individuals not undergoing major lifestyle changes <sup>15,32,33</sup>, these results support our assertion that dietary patterns are largely 143 144 stable over weeks-to-months and stool samples provide stable, steady-state population 145 abundance estimates of abundant gut commensal bacteria.

146 Next, we looked at the statistical associations between calculated peak-to-trough ratios 147 (i.e., PTRs; a proxy for growth-rate) for abundant bacterial populations from each metagenomic sample and their respective metagenomic population abundance estimates <sup>22</sup>. If the deltas. 148 149 presented above, were truly proportional to growth and/or death rates, we would expect that the 150 statistical relationships between deltas and population size would be similar to those between 151 PTRs and population size. However, unlike the regression-to-the-mean signature identified for 152 the deltas, we found variable statistical relationships between log<sub>2</sub>PTR and centered log-ratio 153 (CLR) transformed population abundances for the same taxon across the four donors 154 (Bacteroides ovatus, Fig. 3A). Similarly, we saw a wide range of positive, negative, and null 155 associations between log<sub>2</sub>PTRs and CLR abundances across all measured taxa within each 156 donor (Fig. 3B). These results are inconsistent with a regression-to-the-mean signal, and 157 suggest a more complex relationship between growth rate and population size <sup>49–51</sup>. Finally, we 158 calculated temporally-averaged (i.e., mean for all collection time within a taxon) PTRs and 159 population sizes for each abundant taxon within each of the four donors. Overall, there was a

160 significantly positive association (linear regression, p-values = 0.0318, 0.125, 0.155, 0.031 for 161 donors ae, am, an, and ao, respectively; combined *p*-value using Fisher's method = 0.005) 162 between average log<sub>2</sub>PTR and average CLR abundance across all four donors (Fig. 3C), 163 indicating that taxa with higher average population sizes tend to have higher average growth 164 rates. This result is consistent with what one would expect to observe in exponentially-growing 165 populations. We also looked into whether or not log<sub>2</sub>PTR magnitudes were inter-comparable 166 across taxa (Fig. S2). We calculated log<sub>2</sub>PTRs for all abundant taxa detected across all 84 BIO-167 ML donors and found that the median log<sub>2</sub>PTR was fairly similar across taxonomic classes 168 (~0.45-0.75), with most classes showing a wide range of log<sub>2</sub>PTRs (Fig. S2). To assess 169 whether or not log<sub>2</sub>PTR-CLR associations were robust to controlling for taxonomy, we included 170 either class- or species-level categorizations as covariates in a linear regression model and saw 171 a significant association, independent of taxonomy (class-level  $\Box = 0.0612$ ,  $p = 8.359e^{-60}$ ; 172 species-level  $\Box = 0.0101$ , p = 0.0006).

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#### 174 **Stochastic logistic growth equation provides insights into growth phases**

In order to better understand and interpret the varying relationships we observe between 175 176 log<sub>2</sub>PTRs and CLR abundance time series, we used a modeling approach. The basic properties 177 of growth curves of microbial taxa can be captured using the logistic growth equation (Fig. 4). 178 This model is defined such that the change in abundance for each taxon i  $(dx_i/dt)$  is captured 179 by the current abundance at time t,  $x_i(t)$ , multiplied by the maximal growth rate, r, and the carrying capacity (k) term  $(1 - x_i(t)/k)^{52}$ . In this model, population size over time shows a 180 181 sigmoidal curve, with the abundance asymptotically approaching k (Fig. 4A, top panel). The 182 derivative of this curve with respect to time yields the growth rate over time, which peaks during 183 mid-log phase (Fig. 4A, middle panel). The second derivative of abundance with respect to 184 time, which is the instantaneous change in growth with respect to time and is often referred to

as the acceleration rate, shows a peak during the acceleration phase and a trough during the
deceleration phase (Fig. 4A, bottom panel). Based on this second-derivative curve, we show
the expected relationships between growth rate and abundance as you move across the logistic
growth curve, along the time axis (Fig. 4B). These expected relationships provide a potential
path forward for inferring the *in situ* growth phase of a bacterial population sampled at a
consistent frequency from a flow-through ecosystem.

191 The logistic growth model is a deterministic equation. However, the observed 192 abundances of commensal bacterial populations in the gut fluctuate due to myriad factors 193 including interspecies competition, resource fluctuations, technical noise, sampling noise, and stool residence time <sup>27,32,53</sup>. In order to approximate these fluctuations in our modeling, we 194 195 introduced a stochastic term to the logistic growth model (Fig. 5A). Herein,  $\sigma$  denotes the noise 196 magnitude and  $\omega(t)$  represents a white noise term. Four growth phases (i.e., acceleration, mid-197 log, deceleration, and stationary) were defined using the half-maximum and half-minimum. 198 respectively, of the second derivative of the LGE curve (**Fig. S3A**). We simulated 100 iterations 199 of the stochastic logistic growth equation (sLGE) for each of a range of parameterizations (see 200 Methods), which recapitulated the expected statistical relationships between growth rates and 201 abundances for populations consistently sampled within our four major growth phase categories 202 (Fig. 5A-C). For example, Pearson's correlations between growth rates and abundances were 203 significantly positive in the acceleration phase and significantly negative in the deceleration 204 phase (Fig. 5B). Mid-log phase growth was more variable, but showed little-to-no significant 205 association between growth rates and abundances (Fig. 5B-C). These results were reproduced 206 across a wide range of parameter space and were robust to varying noise levels (Fig. S3B). 207 Even though we expect dietary intake to be stationary within an individual, variation in 208 diet can drive day-to-day fluctuations in the carrying capacities of microbial populations. In order

209 to investigate whether growth-phase specific associations between abundances and growth

rates were influenced by fluctuations in carrying capacity, we added variation to *k* in the sLGE
model (Fig. S4). Fluctuations in *k* did not alter the sigmoidal shape of the sLGE curve (Fig.
S4A), and the relationships between abundances and growth rates across growth phases were
preserved (Fig. S4B-C).

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## 215 Validating sLGE growth phase inferences in vitro

216 To validate the relationship between growth rates and abundances across growth phases, we 217 cultured replicate E. coli populations in vitro and sampled them across their growth curves (Fig. 218 6A). E. coli abundances were measured as OD600 values and as the log-ratio of E. coli reads 219 to phiX reads (i.e., a fixed amount of the phiX genome was spiked into each DNA extraction) 220 from the shotgun sequencing data (Fig. 6A-C). Growth rates were quantified as the log<sub>2</sub>PTR for 221 each E. coli sample <sup>54</sup>. The relationships between the log<sub>2</sub>PTRs and CLR-normalized E. coli 222 abundances across growth phases matched the sLGE model predictions (Fig. 6B-C). 223 Specifically, growth rates and abundances were significantly positively and negatively correlated 224 in acceleration and deceleration phases, respectively (Fig. 6B-C). Furthermore, we saw no 225 significant association between growth rates and abundances in mid-log and stationary phases 226 (Fig. 6B-C). Finally, we found that samples in mid-log phase had an average log<sub>2</sub>PTR of 227 1.25±0.167 (± standard deviation), while samples in stationary phase had an average log<sub>2</sub>PTR 228 of 0.358±0.059, which clearly distinguished between these phases.

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## 230 Inferring in situ growth phases for abundant gut commensal populations sampled in

#### 231 *metagenomic time series*

Based on the sLGE results and *in vitro* validation work presented above, we assigned putative

- *in situ* growth phases to abundant gut bacterial populations from the four BIO-ML gut
- 234 metagenomic time series. The average magnitude of the PTR provides additional information on

235 whether a population is more likely to be in acceleration/mid-log/deceleration (i.e.,  $log_2PTR >>$ 0.358) or stationary (i.e., log<sub>2</sub>PTR < 0.358) phase (Fig. 6). For those taxa with average 236 237 log<sub>2</sub>PTRs above the empirical stationary phase threshold, significantly positive associations 238 (linear regression, adjusted p-value < 0.05, with a positive beta-coefficient) between log<sub>2</sub>PTRs 239 and CLR abundances likely indicate acceleration-phase and significantly negative associations 240 (linear regression, adjusted p-value < 0.05, with negative beta-coefficient) likely indicate 241 deceleration phase. Bacteroides cellulosilyticus, Bacteroides ovatus 1, and Megaspaera eldenii 242 showed significantly positive PTR-abundance associations within donor ae (Figs. 7A and S5). 243 Bacteroides xylanisolvens had an average log<sub>2</sub>PTR less than the stationary threshold in donor 244 am (Fig. S6). Bacteroides ovatus 1 and Parabacteroides distasonis showed positive log<sub>2</sub>PTR-245 CLR abundance associations, while Alistipes finegoldii, and Bacteroides uniformis showed 246 negative associations in donor am (Figs. 7A and S6). Acidaminococcus intestini, Bacteroides 247 xylanisolvens, and Odoribacter splanchnicus showed average log<sub>2</sub>PTR below the empirical 248 stationary phase threshold in donor an (Fig. S7). Alistipes shahii, Bacteroides intestinalis, 249 Bacteroides thetaiotaomicron, and Bacteroides uniformis showed significantly negative 250 log<sub>2</sub>PTR-CLR abundance associations in donor an (Figs. 7A and S7). Finally, Favonifractor 251 plautii showed a positive log<sub>2</sub>PTR-CLR abundance association and Bacteroides fragilis, 252 Bacteroides ovatus 1, Bacteroides uniformis, and Bacteroides xylanisolvens showed negative 253 associations in donor ao (Fig. 7A and S8). In all four donors, many taxa showed average 254 log<sub>2</sub>PTRs greater than the stationary threshold but without significant associations between 255 log<sub>2</sub>PTR and CLR abundances (Figs. 7A and S5-8). The absence of a significant association 256 for these non-stationary taxa likely indicates mid-log phase, but a non-significant association 257 could also represent a false negative (i.e., not powered enough to detect a positive or negative 258 association with the number of time points sampled).

259	We observed a slight difference in the number of significantly positive and negative PTR-
260	abundance associations between donors ae/am, and an/ao. Donors ae and am tended to have
261	a larger proportion of taxa in acceleration phase, while an and ao tended to have a larger
262	proportion of taxa in deceleration or stationary phases. Interestingly, donors an and ao had a
263	lower average defecation frequency ( $\leq$ 1 per day) than donors ae and am (> 1 per day).
264	Concordantly, based on our flow-through model of the gut ecosystem (Fig. 1A), we would
265	expect that bacterial populations would be pushed towards earlier growth phases at faster flow
266	rates (Fig. 7B). Overall, we were able to at least partially constrain our phase estimates for all
267	taxa with sufficient longitudinal data (Fig. 7A). Our approach provides a new path toward
268	providing constraints on in situ growth phases for microbial populations in flow-through
269	ecosystems.

270

#### 271 **DISCUSSION**

272 Many prior studies assumed, either implicitly or explicitly, that the growth and death rates of gut 273 bacterial populations were proportional to day-to-day changes in abundances, as measured 274 from human stool samples. However, we outline how this assumption is likely invalid due to the 275 fact that human gut bacterial population growth/death processes inside the intestinal tract are known to be faster (minutes-to-hours) than our sampling timescales (days). In support of this 276 277 assertion, we show how the statistical relationships between changes in abundance  $(t_{n+1} - t_n)$ 278 and abundances  $(t_n)$ , estimated from stool metagenomic time series, indicate a regression-to-279 the-mean effect that one would expect when sampling from a steady-state population fluctuating around a carrying capacity (Figs. 1-2). Thus, as prior work has indicated <sup>15,32</sup>, bacterial taxa in 280

the gut have stable average population sizes, which likely represent steady-state endpoints of
internal dynamics (Figs. 1-2). Despite the fundamental mismatch between gut bacterial
population dynamics and sampling timescales, we attempt to identify statistical signatures within
these daily-sampled human gut time series that might provide accurate insights into *in situ*population dynamics.

While changes in abundance between time points do not appear to be related to population growth, PTRs enable direct estimates of *in situ* growth rates from metagenomic samples <sup>21–23,55–57</sup>. Unlike the relationships between deltas and abundances, which were always negative (**Fig. 2C-D**), the relationships between PTRs and abundances were quite variable (**Fig. 3A-B**). While regression-to-the-mean is a plausible mechanism for the consistent negative delta-abundance relationships (**Fig. 2**), the underlying processes driving variable log<sub>2</sub>PTRabundance relationships appear to be more nuanced (**Fig. 3**).

293 We turned to the sLGE to explore relationships between growth rate and abundance 294 across different phases of growth, and we found clear diagnostic patterns (Fig. 4). Simulations showed a wide range of demographic stochasticity (Fig. 5) and fluctuations in carrying 295 296 capacities (Fig. S4) could not ablate these patterns, although adding enough noise to these 297 models eventually overrides the signal. We validated these patterns in vitro and saw marked 298 correspondence between model predictions and empirical measurements (Fig. 5-6). Finally, we 299 applied our sLGE predictions to four human gut metagenomic time series. Consistent with our 300 predictions, we found that individuals with higher defecation rates tended to be enriched for taxa 301 in earlier growth phases (Fig. 7). In a recent study, we observed a similar association between 302 PTRs and bowel movement frequency (BMF) in another independent cohort, where PTRs appeared to increase with increasing BMF <sup>58</sup>. Overall, our results reveal a promising approach 303 304 to inferring *in situ* growth phases for abundant organisms detected in human gut metagenomic 305 time series.

306 We observed that the average log<sub>2</sub>PTR and average CLR abundance of a given taxon 307 over time were positively correlated, which is consistent with exponentially-growing populations 308 (Fig. 3C). However, despite this average pattern across taxa, we were also able to identify 309 specific taxa that were abundant in stool that appeared to be in stationary phase (Fig. 7A). 310 These results are highly relevant to the metabolic modeling community. Ecological interactions 311 within free-living and host-associated microbial communities are largely governed by exchanges of small-molecule metabolites <sup>59,60</sup>. Genome-scale metabolic modeling and flux-balance analysis 312 313 (FBA) has been effective mechanistic tools for simulating these metabolic exchanges, especially in controlled bioreactor systems <sup>61</sup>. The objective function used to find a solution subspace for 314 315 these bacterial FBA models is often biomass maximization, which assumes that these 316 organisms are growing exponentially at steady state. Exponential growth is a valid assumption 317 for organisms in acceleration or mid-log phases, and to some extent in deceleration phase, but 318 this assumption breaks down completely in stationary phase. Prior work has demonstrated that 319 biomass composition can change depending on the growth phase of a population, which ideally could be taken into account to more accurately model metabolic fluxes within the system <sup>62-64</sup>. 320 321 Alternatively, organisms that are not actively growing could be omitted from community-scale metabolic models of colonic metabolism <sup>65</sup>. Overall, our work suggests that most abundant 322 323 organisms in human stool are amenable to FBA, and our growth phase estimation approach 324 allows for the identification of abundant populations that may not fit classical FBA assumptions. 325 In conclusion, we provide a new path forward for the biological interpretation of 326 metagenomic time series data generated from adult human stool samples. Our results are 327 somewhat reassuring for cross-sectional studies, as they indicate that bacterial abundances in 328 the gut fluctuate around stable carrying capacities within an individual, making inter-individual 329 comparisons fairly robust. Furthermore, this suggests that multi-day averages of abundances 330 will be even more accurate estimates of this carrying capacity, as we have suggested previously

331 <sup>33</sup>. This work is especially relevant to the design and interpretation of human gut microbiome studies that aim to characterize or investigate ecosystem-scale dynamics. We hope that in situ 332 333 growth phase estimation will be applied more broadly to other kinds of flow-through 334 environments to improve our understanding of internal dynamics in these systems and provide 335 improved constraints for mechanistic modeling of microbial communities. 336 337 **METHODS** 338 Stationarity testing for daily nutrient intake in a human stool donor 339 Metadata for daily nutrient intake, excluding the time window when the donor was traveling abroad, was downloaded from David et al.<sup>48</sup>. We tested for stationarity in these nutrient intake 340 time series using the augmented Dickey-Fuller (ADF) test (tseries package in R<sup>66</sup>), with 341 342 significance threshold for stationarity at p < 0.1. ADF tests the null hypothesis that a unit root is 343 present in a time series, with the alternative hypothesis being that the time series is stationary. 344 Thus, significant p-values indicate stationarity of the time series. All analyses throughout the manuscript in R were conducted in R v4.2.2<sup>67</sup>, unless stated otherwise. 345 346 347 E. coli strain information and growth curve analysis with a microplate reader 348 Escherichia coli strain (MG1655) was streaked from a glycerol stock onto R2A agar plates (Thermo Fisher Scientific: Oxoid CM0906) and incubated overnight at 37°C. A colonv was 349

selected using an inoculating loop and transferred to 200 mL of LB-broth (Lennox) and grown at 350 37°C overnight in a shaking incubator at 225 rpm until the culture reached stationary phase. The 352 overnight culture was then diluted in fresh LB medium to an OD of 0.51 (600 nm). The diluted 353 culture was then chilled for ~25 minutes at ~2°C using an ice bath to synchronize metabolic 354 activity. The chilled culture was then aliquoted (2µL) into a non-treated 96-well flat-bottomed 355 plate (Thomas Scientific Cat No. 1154Q44) containing 198 µL of LB media (Lennox) in each

356 well. The inoculated plate was then transferred to a BioTek Epoch II plate reader set to 37°C 357 with orbital shaking and programmed to make OD600 readings every minute for the first 60 358 minutes and every 5 minutes for the remainder of the experiment (~10 hours). The first set of 359 inoculations covered plate rows A and B (n = 24), this was followed by the sequential 360 inoculation of the next 3 sets of rows at 15-minute intervals (i.e., Set 1 = A/B: 0 min; Set 2 = C/D361 15 min; Set 3 = E/F: 30 min; Set 4 = G/H 45 min.). This resulted in 4 sets of replicate cultures 362 inoculated 15 minutes apart, allowing sampling every hour for the next 10 hours, spanning 40 363 time points spaced 15 minutes apart. To ensure there was enough DNA for sequencing at early 364 low OD time-points (first two sample points), we pooled two wells into one sample. All samples were collected in PCR strip tubes (Axygen: PCR-0208-CP-C) and centrifuged at room 365 366 temperature to pellet the cells. The supernatant was decanted and the remaining cell pellet was 367 immediately frozen in liquid nitrogen for storage at -80°C.

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## 369 DNA extraction, library preparation, and sequencing

370 Cell Pellets were resuspended and transferred to 96 deep-well plates for DNA extraction using 371 the IBI Scientific 96-well Genomic DNA Bacteria Kit (IBI Scientific: IB47295) per the 372 manufacturer's protocol. DNA guantification was done using Qubit HS DNA assay, on a Qubit3 373 device. After DNA quantification, we added PhiX DNA (Thermo Fisher Scientific: SD0031) as an 374 internal standard and run-quality monitor across all samples. A total of 500 fg PhiX DNA was 375 added to each DNA sample before library preparation. DNA libraries were constructed following 376 the NEBNext Ultra II FS DNA Library Prep Kit for Illumina (New England Biolabs: E7805L) and 377 indexed using Dual Index Primer Set 2 (New England Biolabs: E7780S). Libraries were 378 quantified again via Qubit 3, and the quality and size of libraries were checked using an Agilent 379 Tapestation, and a D5000 high sensitivity DNA tape assay. Libraries were pooled to 2 nM and 380 sent to NovoGene for sequencing on a NovaSeg 6000 device (Illumina, USA). A partial lane

was used for sequencing, 150 cycles, generating ~64GB (~3.3 million reads per sample) of
paired-end reads.

383

## 384 Shotgun metagenomics data processing and analysis

385 Longitudinal shotgun metagenomics sequencing data from healthy human stool samples (BIO-386 ML) was downloaded from NCBI BioProject accession PRJNA544527, and the associated metadata was downloaded from the associated article <sup>33</sup>. Raw FASTQ files from the BIO-ML 387 cohort and from the in vitro E. coli experiment were filtered and trimmed using FASTP 68, 388 389 removing the first 5 nucleotides of the read 5' end to avoid leftover primer and adapter 390 sequencing not removed during demultiplexing and an adaptive sliding window filter on the 3' 391 end of the read with a required minimum quality score of 20. Reads containing ambiguous base 392 calls, having a mean quality score less than 20, or with a length smaller than 50nt after trimming 393 were removed from the analysis. Taxonomic assignment on the read level was performed with Kraken2 using the Kraken2 default database <sup>69</sup>. Abundances on the kingdom, phylum, genus, 394 and species ranks were then obtained using Bracken<sup>70</sup>. Trimmed and filtered reads were then 395 396 aligned to 2,935 representative bacterial reference genomes taken from the IGG database 397 (version 1.01) using Bowtie2<sup>71,72</sup>. Coverage profiles and log<sub>2</sub> estimates of peak-to-trough ratios 398 (PTRs) were estimated using COPTR v1.1.2 at the species-level within each sample for taxa that passed our abundance threshold <sup>54</sup>. PTR estimates were then merged with Bracken 399 400 abundance estimates, retaining only those species identified by both methods (Kraken2 and 401 Bowtie2 alignment to IGGdb). For the in vitro E. coli experiment, reads were aligned to a custom 402 database containing the E. coli K12 strain genome (NCBI accession NC\_000913.3) and the 403 phiX174 genome (NCBI accession NC\_001422.1). CLR abundances were then calculated from 404 the read counts for the *E. coli* genome and the phiX174 genome.

405 The processed data containing the raw reads and  $log_2$  peak-to-trough ratios ( $log_2$ PTRs) were read into R version 4.1.3 for analysis <sup>67</sup>. All plots were generated using ggplot2 <sup>73</sup>, unless 406 407 indicated otherwise. BIO-ML donors were selected by retaining individuals with over 50 408 metagenomic time points, resulting in four time series (i.e., donors ae, am, an, and ao). Distinct 409 Bacteroides ovatus strains across all four donors contained duplicated taxon names with unique 410 taxonomic identifiers, and were renamed to "Bacteroides ovatus 1" and "Bacteroides ovatus 2." 411 Raw read counts for a given taxon within a sample were centered log-ratio (CLR) transformed 412 <sup>74</sup>. Taxa that had matched log<sub>2</sub>PTR and CLR abundance information available across more than 413 5 time points within an individual, with time differences between samples less than three days, 414 were used in subsequent analyses. Changes in normalized abundance were calculated as 415 Abundance changes(delta) = x(t + 1) - x(t), where  $\Delta t < 3$  days. To assess the regression-to-416 the-mean effect, CLR-normalized abundances were plotted against deltas for each taxon, and 417 the regression coefficients, aggregating all microbial taxa, were plotted as boxplots (showing 418 median and interguartile range), summarized by donor.

419 For each donor, to estimate the growth phase of each individual taxon, we used linear 420 regression of CLR-normalized abundances vs. log<sub>2</sub>PTRs, followed by a Benjamini-Hochberg p-421 value correction to control for the false discovery rate (FDR) in base R. Regression coefficients 422 with FDR-adjusted p-values < 0.05 were considered significant. Taxa with average log<sub>2</sub>PTRs <423 0.358 (experimentally-determined stationary threshold) were designated as being in stationary 424 phase. For those taxa not designated as being in stationary phase, significantly positive or 425 negative associations between log<sub>2</sub>PTRs and abundances were considered to be in 426 acceleration or deceleration phase, respectively. Those with no correlation and an average 427 log<sub>2</sub>PTR above the stationary threshold were constrained to be in mid-log phase or in 428 acceleration/deceleration phase (i.e., if there was a false negative due to lack of statistical 429 power in detecting a positive or negative slope). Linear regression was also used to test 430 whether or not average CLR-normalized abundances and average log<sub>2</sub>PTRs were significantly

431 associated within each donor, and p-values from individual tests were combined using Fisher's
432 method <sup>75</sup>.

433

## 434 Stochastic logistic growth model simulation

The stochastic logistic growth equation (sLGE) was implemented as:  $\frac{dx_i}{dt} = rx_i(t)\left(1 - \frac{x_i(t)}{\kappa}\right) +$ 435  $\sigma x_i(t)\omega(t)$ , where t is time, r is the growth rate,  $x_i$  is the abundance of taxon i, K is the carrying 436 437 capacity,  $\sigma$  is the noise magnitude term, and  $\omega(t)$  is the noise distribution term. Using the R package sde <sup>76</sup>, taxonomic growth was simulated with  $x_{i,0} = 1$ ,  $t_0 = 1$  to  $t_{final} = 100$ , for 100 438 439 iterations. The other parameters were varied as described in the results and below. To 440 investigate the impact of noise on sLGE trajectories, noise levels were set from 0.001 to 1, with 441 r and K ranging from 1 to 3 and 10 to 1000, respectively. To investigate the statistical 442 relationships between deltas and abundances across growth phases and across model 443 parameterizations, Pearson's R coefficients and p-values were calculated for each of the three 444 growth phase categories. The growth phases for each model parameterization were defined using the non-stochastic logistic growth equation (LGE):  $\frac{dx_i}{dt} = rx_i(t)\left(1 - \frac{x_i(t)}{\kappa}\right)$ , the solution for 445 which can be written as  $x_i = \frac{x_{i,0}Ke^{rt}}{(K-x_{i,0})+x_{i,0}e^{rt}}$ . 446

447 The  $x_i$  values for each simulated time point from solving the LGE were used to calculate 448 the first derivative (i.e., the growth rate), which is exactly equal to the LGE. The second derivative (i.e., growth acceleration),  $\frac{d^2x_i}{dt^2} = K^2 x_i \left(1 - \frac{x_i}{\kappa}\right) \left(1 - \left(\frac{2x_i}{\kappa}\right)\right)$ , was calculated using 449 450 solved x<sub>i</sub> values. Growth phases from the sLGE were defined using the second derivative curves. First, the intersections of the acceleration curve and the half-max,  $a_1$  and  $a_2$ , and the 451 452 half-min,  $a_3$  and  $a_4$ , were calculated (**Fig. S3**). The corresponding simulated time points of  $a_i$ , denoted as  $s_i$ , where j = 1 - 4, were then used to define growth phases as follows: lag 453 phase:  $t < s_1$ ; acceleration phase:  $s_1 < t < s_2$ ; log phase:  $s_2 < t < s_3$ ; deceleration phase:  $s_3 < t < s_3$ ; d 454

455  $t < s_4$ ; and stationary phase:  $t > s_4$ . Here, lag and acceleration phases were combined, as these 456 phases display similar delta-abundance relationships along the logistic growth curve.

457 Conceptual diagrams were created using BioRender.

458 Death or dilution terms were not explicitly added to the simulated sLGE models. Here, 459 we discuss how death or dilution rates are equivalent to changing the carrying capacity term, 460 which has no impact on our growth phase inferences. Analytically, a decrease in abundance at 461 a given time can be represented as a fraction of the current abundance subtracted from the LGE:  $\frac{dx_i}{dt} = rx_i(t)\left(1 - \frac{x_i(t)}{\kappa}\right) - Hx_i(t)$ . Here, *H* is the "harvest rate", which determines the 462 proportional decrease in each timepoint in the equation. At steady state,  $rx *_i (t) (1 - t)$ 463  $\frac{x *_i (t)}{\kappa} - Hx *_i (t) = 0$ , where  $x *_i (t)$  represents the fixed point. Two equilibria exists in 464 this equation:  $x *_i (t) = 0$  and  $x *_i (t) = K(1 - \frac{H}{r})$ , with the latter being asymptotically 465 stable. As *H* increases, the stable population size  $x *_i (t)$  decreases due to the proportional 466 467 decrease in K. As long as H does not exceed the intrinsic growth rate of gut microbes, which is 468 expected for highly abundant and stably colonized taxa, the resulting K becomes the new stable 469 K. To show that variation in k does not impact the relationship between growth rate and 470 abundance, we simulated the LGE with stochastically varying K by adding the stochastic term, 471 i.e.  $\sigma k_i(t)\omega(t)$ , to  $k_i(t)$  (Fig. S4). In base R, simulation was performed for 100 iterations with 472 the same noise levels ( $\sigma = 0.1$ ) as the representative sLGE simulations with stochastic x. Major arowth phases were defined the same way as sLGE simulations with stochastic x. 473

474

#### 475 Data and code availability

476 Nextflow pipelines implementing the processing of metagenomic shotgun sequencing data from

477 raw reads to taxonomic abundance matrices and PTR estimates can be found at

478 <u>https://github.com/Gibbons-Lab/pipelines/</u> (metagenomics pipelines). These DNA datasets are

479	pub	licly available from the National Center for Biotechnology (NCBI) Sequence Read Archive	
480	(SRA), accession code PRJNA942341. Scripts used to analyze the data, run the sLGE		
481	simulations, and produce the figures in the manuscript have been deposited at		
482	https://github.com/Gibbons-Lab/human-microbiome-time-series-growth-phase-estimation.		
483			
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494			
495	Conflict of Interest Statement		
496	The authors report no conflicts of interest.		
497			
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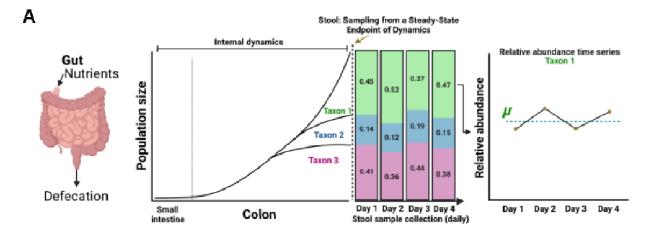
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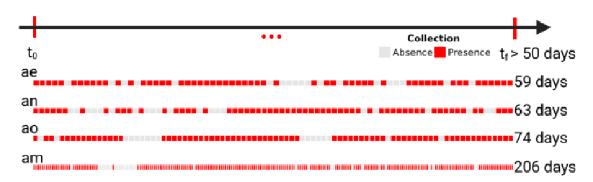
## 660

#### 661 **FIGURE LEGENDS**



## В

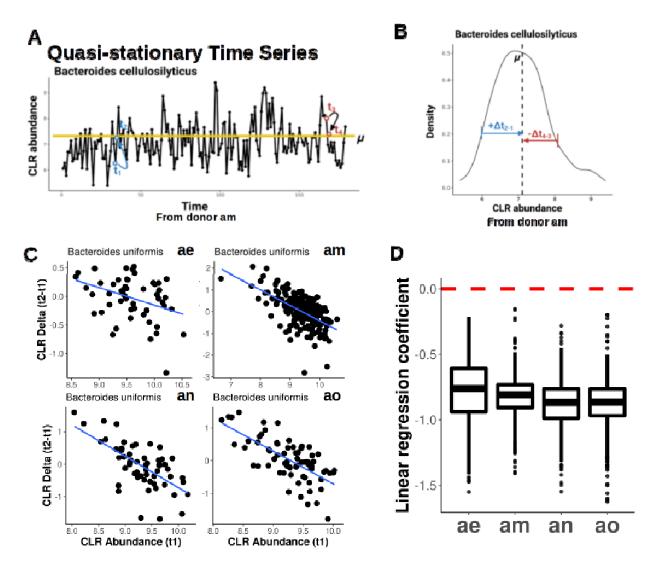
## 4 healthy human donors longitudinal fecal microbiome collection



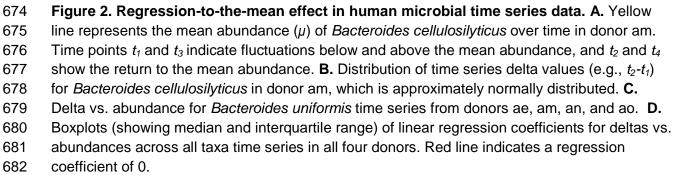
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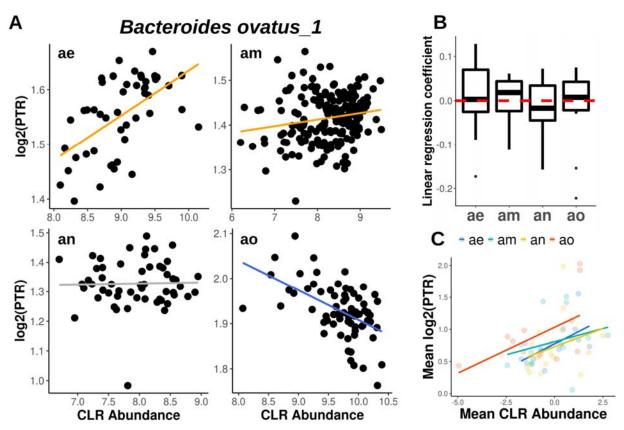
### 663 Figure 1. Conceptual figure showing two flow-through microbial ecosystems: a

664 bioreactor and a human gut. A. Both bioreactors and guts are continuous flow-through 665 systems. Prior to reaching the measured abundances in stool, taxa grow in the large intestine 666 with varying growth rates, carrying capacities, and steady-state population sizes, which may be 667 in different growth phases at the time of measurement. For example, see dynamics for Taxa 1-668 3. Daily stool collections show variation in abundances, but this variation likely does not reflect 669 internal growth dynamics in the gut. B. Healthy BIO-ML stool donors (subject IDs: ae, am, an, 670 and ao) with samples collected 3-5 days per week for a total of >50 time points. Red indicates 671 presence of shotgun metagenomic sequencing data and gray represents absence of 672 metagenomic data from consecutive daily time points.









683 684 Figure 3. Variable relationships between PTRs and CLR-normalized abundances across human gut microbial time series. The ratio of sequencing coverage near the replication origin 685 686 to the replication terminus for each species (i.e. peak-to-trough ratio, or PTR), was calculated 687 using COPTR. A. Log<sub>2</sub>(PTR) and CLR-normalized abundance relationships for donors ae, am, 688 an, and ao. Orange and blue lines show significantly positive and negative linear regression 689 coefficients (linear regression, FDR adjusted p-value < 0.05), respectively. Gray lines indicate 690 no statistically significant association. B. Boxplots (showing median and interguartile range) of 691 linear regression coefficient combined for all filtered taxa for each donor. C. Mean log<sub>2</sub>(PTR) 692 and mean CLR-normalized abundance for all abundant taxa in each donor (*p-values* for 693 regressions run within each donor were combined using Fisher's method; combined p-value = 694 0.005). PTR was calculated.

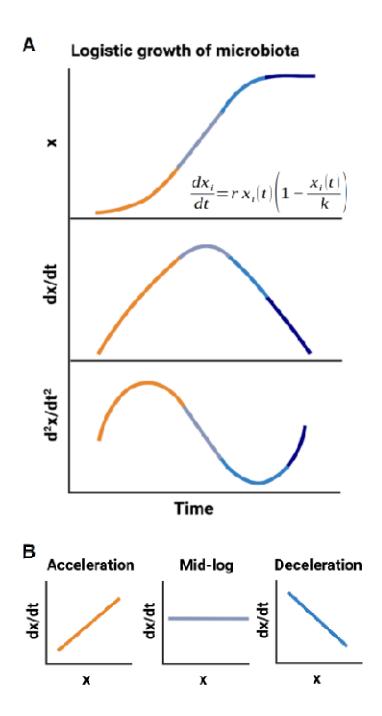
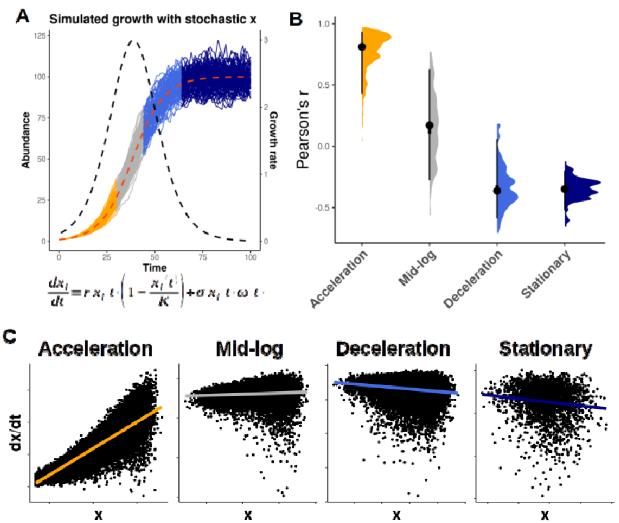
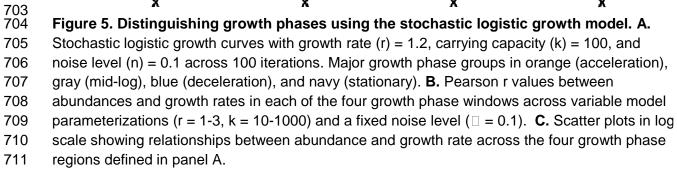
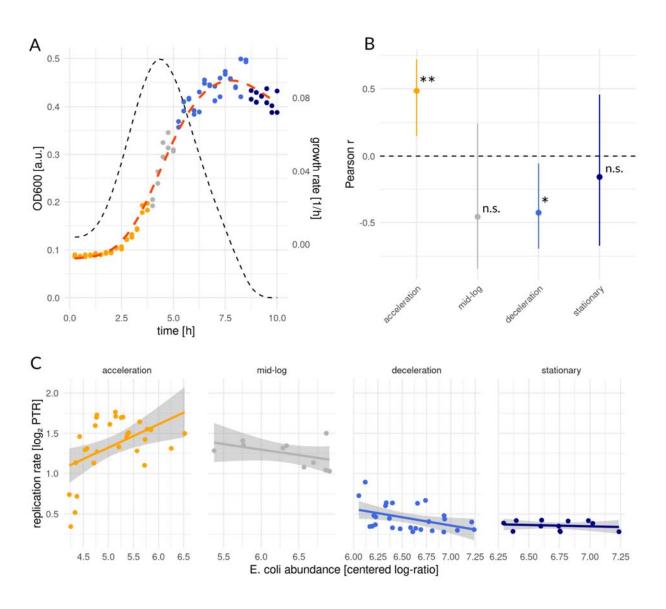


Figure 4. Diagram of the logistic growth equation. A. The logistic growth curve models
abundance (*x*) with respect to time (top panel). Orange, grey, blue, and navy describes
acceleration, mid-log, deceleration, and stationary phases, respectively. The first derivative of
the logistic growth curve models the growth rate with respect to time (middle panel). The second
derivative of the logistic growth curve models growth rate acceleration with respect to time
(bottom panel). B. Expected relationships between abundance and growth rate at different
locations along the logistic growth curve.







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715 *coli* populations. A. Growth curve of *E. coli* (MG1655) using OD measurements. Colors

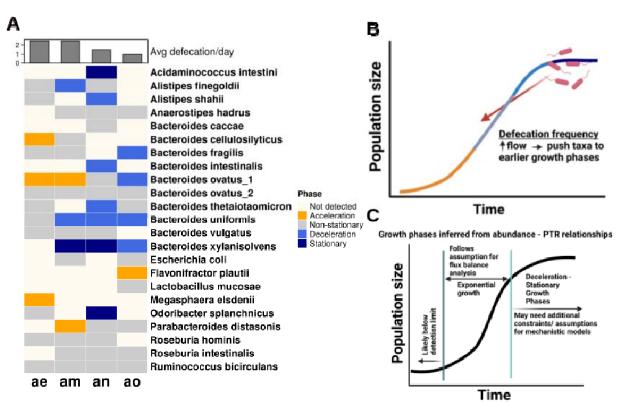
716 describe major growth phases. Dotted black and red lines show the growth rate derived from

717 OD measurements and mean growth trajectory, respectively. **B.** Pearson r values between

abundance and growth rate in each of the four growth phase windows. Asterisks show statistical

significance. \*\*: p < 0.01, \*: p < 0.05, n.s.: not significant. **C.** Scatter plots in log scale showing

- relationships between abundance and replication rate (log<sub>2</sub>PTR) across the four growth phase
- 721 regions defined in panel A.

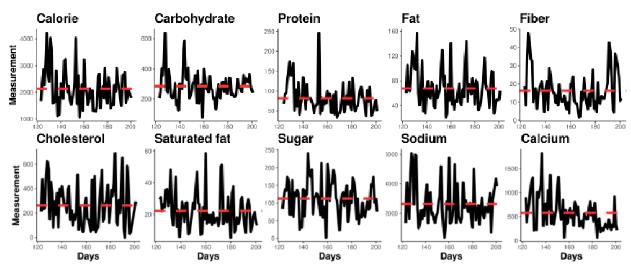




723 Figure 7. in vivo growth phase estimation. A. We find variable relationships between 724 log<sub>2</sub>PTRs and population abundances across taxa in each of the four donors, consistent with 725 the growth phase patterns observed in sLGE simulations. Donors with higher defecation rates 726 tended to have a larger fraction taxa with positive log<sub>2</sub>PTR-abundance associations and fewer 727 with negative associations, indicating acceleration and deceleration-stationary phases, 728 respectively. Taxa in stationary phase were classified using an empirical threshold (average 729  $\log_2$ PTR < 0.358). Non-stationary taxa (i.e., above the stationary phase threshold, but lacking a 730 significant correlation between log<sub>2</sub>PTRs and abundances) are likely in mid-log phase, but these 731 taxa could also be in acceleration/deceleration phases (i.e., underpowered to detect the 732 correlation). **B.** We suggest that higher defecation rates (i.e., higher dilution rates) push 733 bacterial populations towards earlier growth phases, which is consistent with our results in panel 734 A. C. Growth phase estimates can be leveraged to identify taxa that are more-or-less amenable 735 to metabolic modeling techniques, such as Flux Balance Analysis, which assumes exponential 736 growth.

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## 742 Supplemental Figures



743DaysDaysDaysDaysDays744Figure S1. Lack of autocorrelation detected in most daily macronutrient intake. Daily745measurements of nutrient intake were downloaded from David et al. 48. Post-travel time points

746 are shown. Autocorrelation was tested using the augmented Dickey-Fuller test (i.e., p < 0.1

indicates significant stationarity of a dietary variable). For each nutrient, p-values are reported for the recordings post-travel period of the subject. Calorie (p = 0.0341), carbohydrate (p =

- 749 0.0144), protein (p = 0.0314), fat (p = 0.0172), fiber (p = 0.0369), cholesterol (p = 0.0534),
- 750 saturated fat (p < 0.01), sugar (p = 0.0123), sodium (p = 0.0341), calcium (p < 0.01). Dotted red
- 751 lines show the mean measurement values.
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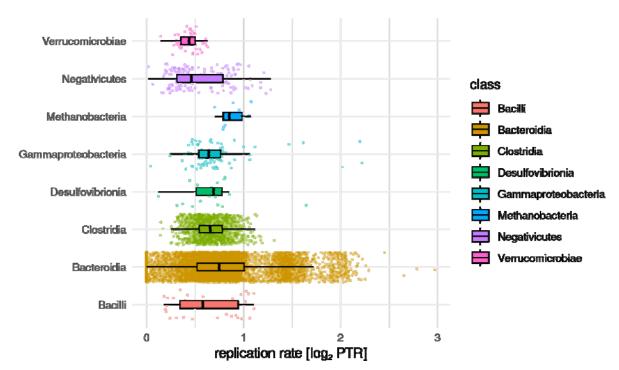




Figure S2. Distributions of log<sub>2</sub>PTR values across 84 BIO-ML donors, broken down by
 phylogenetic class. We see a fairly wide range of log<sub>2</sub>PTRs within each taxonomic class. The

765 median  $\log_2$ PTR across classes varies between ~0.45 and ~0.75. In a linear regression model,

controlling from taxonomic group as a covariate, we see a significant positive association

between  $\log_2$ PTRs and CLR abundances at the class-level ( $\Box = 0.0612$ ,  $p = 8.359e^{-60}$ ). This

positive taxonomy-controlled association is preserved at the species-level ( $\Box = 0.0101, p = 0.0006$ ).

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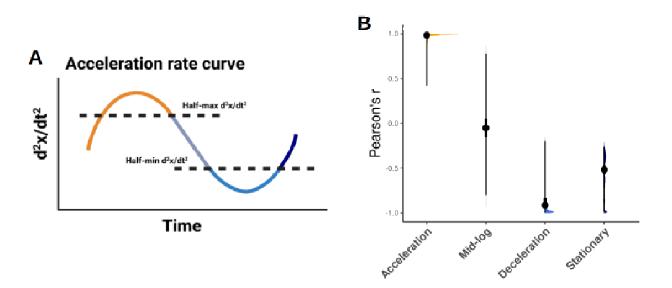
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### Figure S3. Definition of major growth phases using the stochastic logistic growth model.

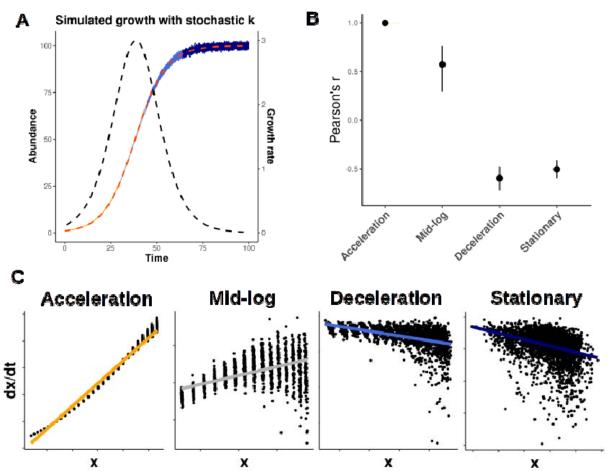
780 **A.** The half-maximum of the peak and half-minimum of the trough of the second derivative of

abundance were used to define growth phases across model parameterizations. **B.** Pearson r

values between abundances and growth rates in the three growth phase categories obtained

from combined sLGE simulation results across a range of growth rates (r = 1-3), carrying

784 capacities (k = 10-1000), and noise levels (n = 0.001-1).



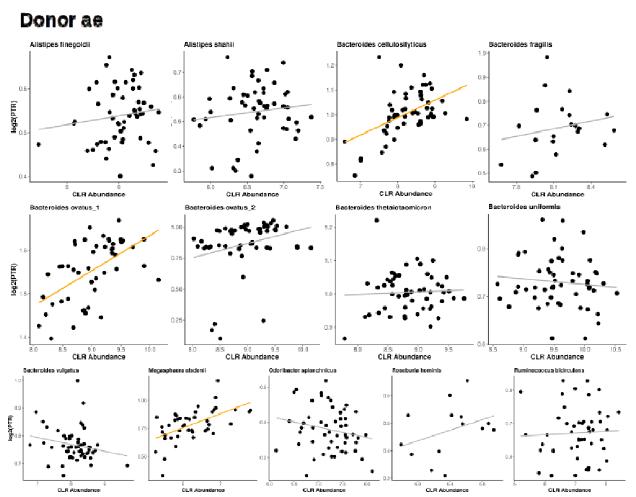
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790= 100, and noise level (n) = 0.1 applied to k across 100 iterations. Major growth phase groups in791orange (acceleration), gray (mid-log), blue (deceleration), and navy (stationary). **B.** Pearson r792values between abundances and growth rates in each of the four growth phase windows across793variable model parameterizations (r = 1-3, k = 10-1000) and a fixed noise level ( $\Box$  = 0.1). **C.**794Scatter plots in log scale showing relationships between abundance and growth rate across the

four growth phase regions defined in panel A.

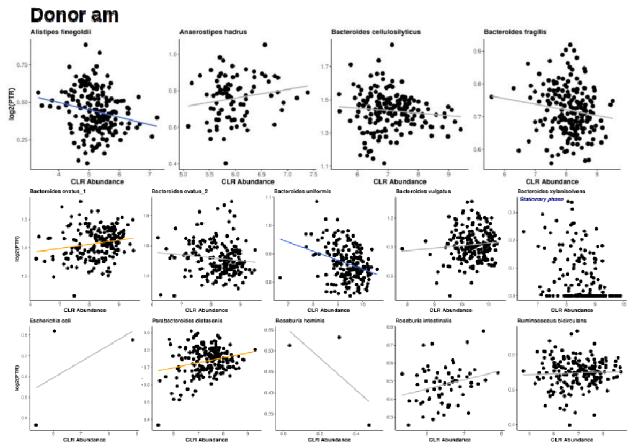
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798 799 Figure S5. Relationships between abundance and log2(PTR) for abundant taxa in donor

800 ae. Abundant taxa with relatively dense longitudinal PTR and abundance data (at least 5 matched data points; time differences between adjacent samples less than three days) were 801 802 selected for analysis. Gray trend lines show no significant correlations, orange trend lines 803 indicate significant positive correlations, and blue trend lines represent significant negative 804 correlations (linear regression, BH-FDR < 0.05).



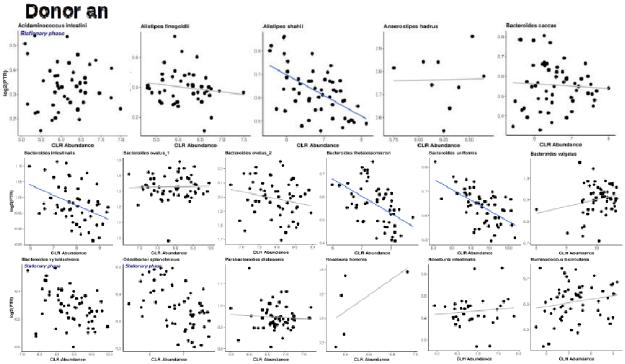
805 806 Figure S6. Relationships between abundance and log2(PTR) for abundant taxa in donor

807 am. Abundant taxa with relatively dense longitudinal PTR and abundance data (at least 5

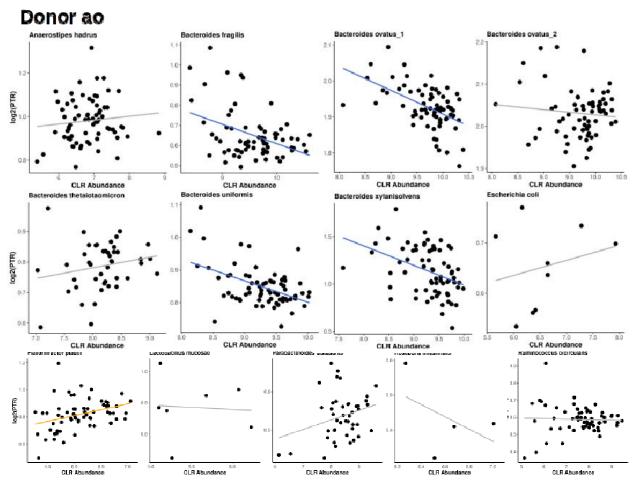
808 matched data points; time differences between adjacent samples less than three days) were

809 selected for analysis. Gray trend lines show no significant correlations, orange trend lines 810

- indicate significant positive correlations, and blue trend lines represent significant negative
- 811 correlations (linear regression, BH-FDR < 0.05).



**Figure S7. Relationships between abundance and log2(PTR) for abundant taxa in donor an**. Abundant taxa with relatively dense longitudinal PTR and abundance data (at least 5 matched data points; time differences between adjacent samples less than three days) were selected for analysis. Gray trend lines show no significant correlations, orange trend lines indicate significant positive correlations, and blue trend lines represent significant negative correlations (linear regression, BH-FDR < 0.05).

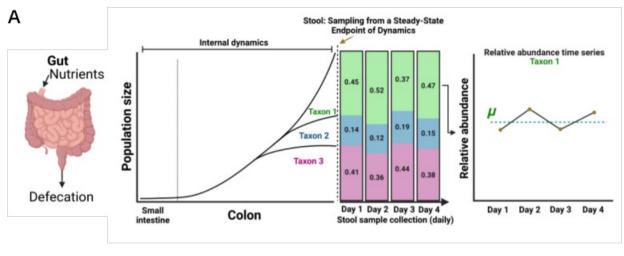


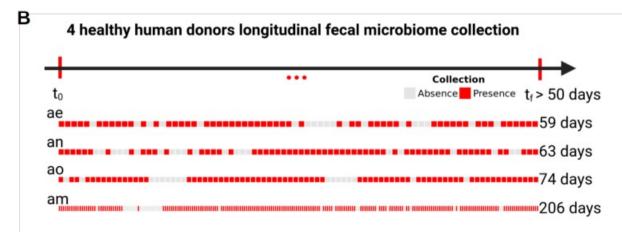
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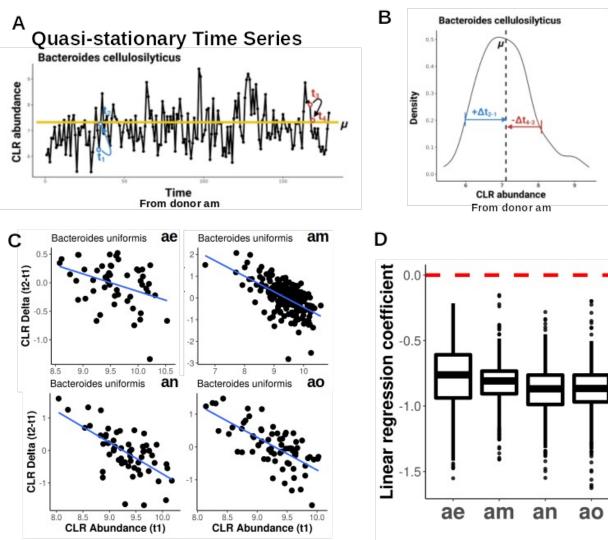
Figure S8. Relationships between abundance and log2(PTR) for individual taxon in donor 821 ao. Abundant taxa with relatively dense longitudinal PTR and abundance data (at least 5 822 matched data points; time differences between adjacent samples less than three days) were

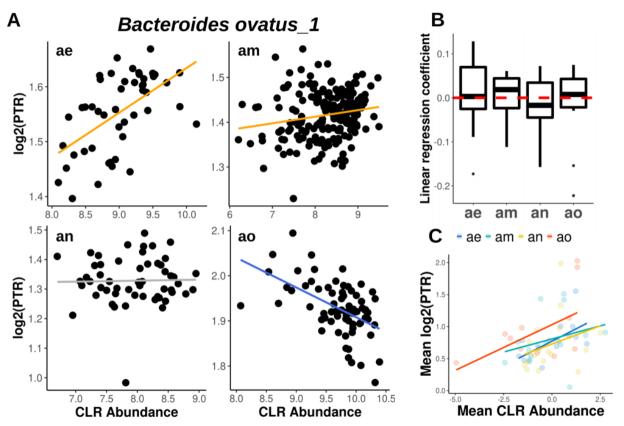
823 selected for analysis. Gray trend lines show no significant correlations, orange trend lines 824 indicate significant positive correlations, and blue trend lines represent significant negative 825 correlations (linear regression, BH-FDR < 0.05).

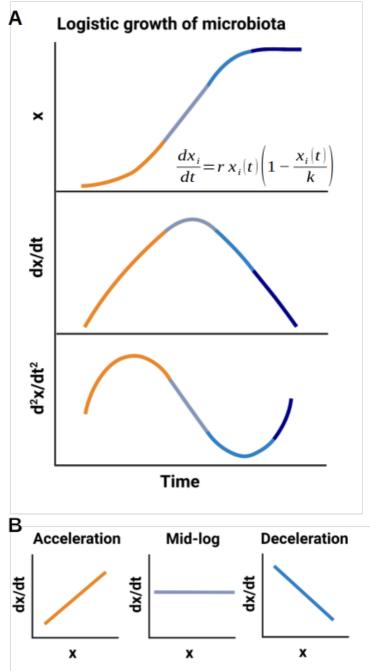
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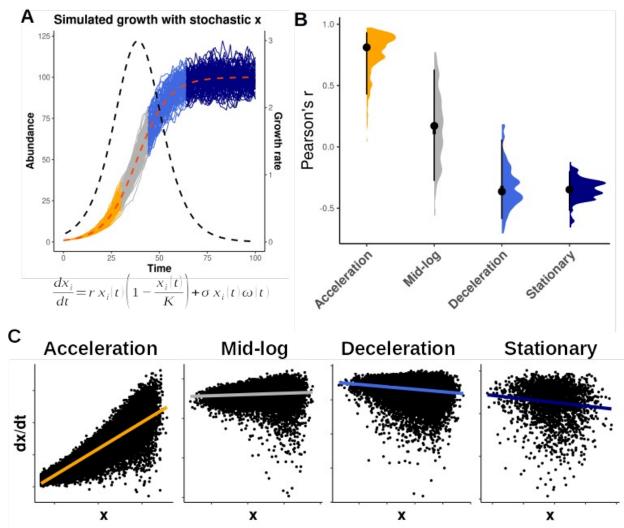


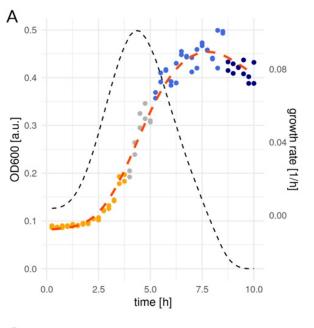


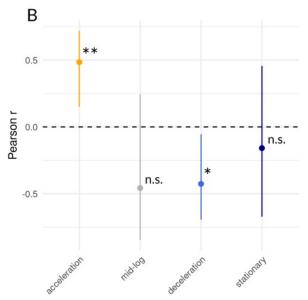


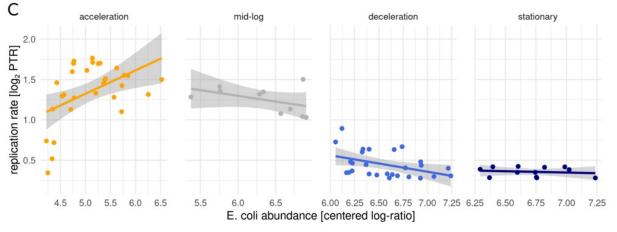


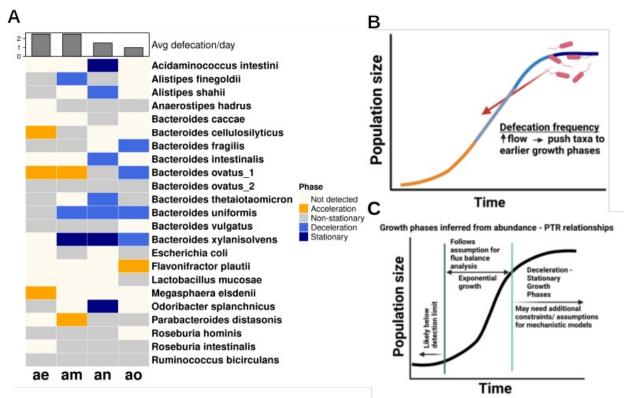


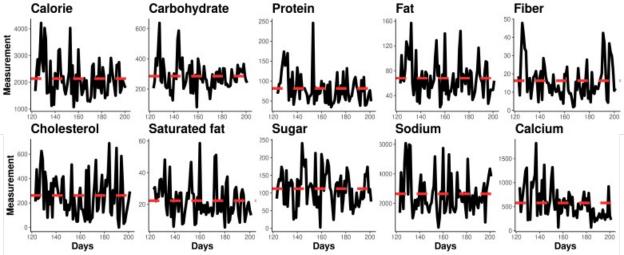


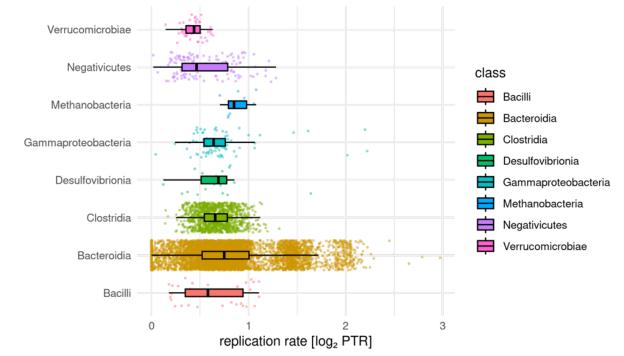


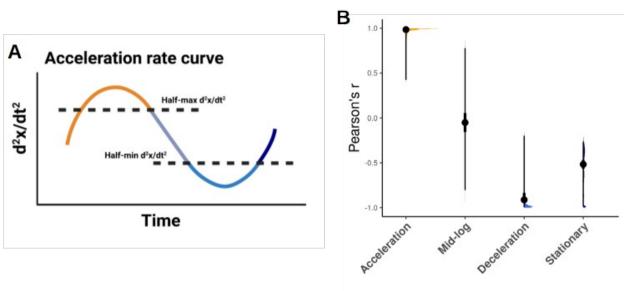


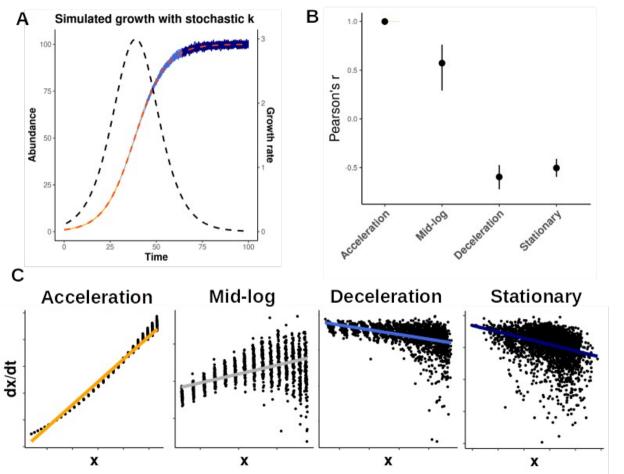




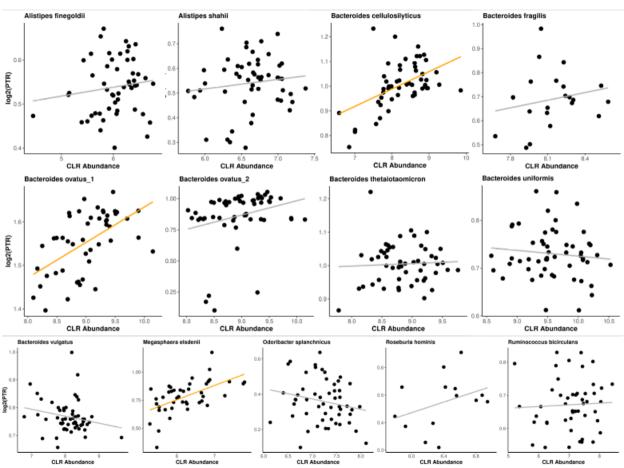




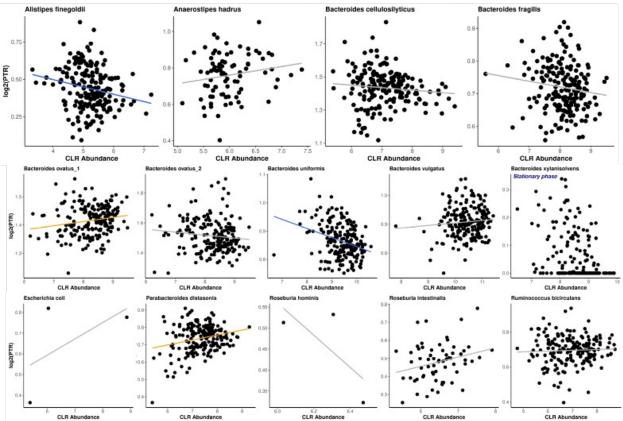




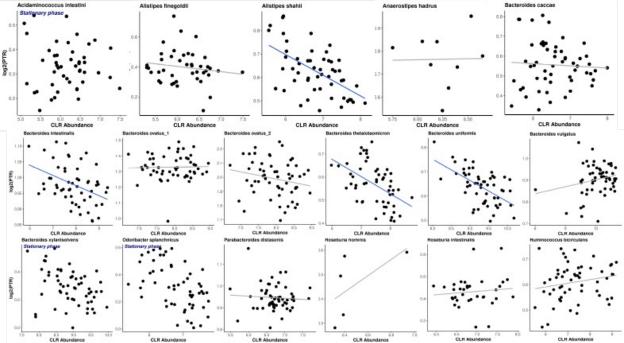
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