## 1 Regenerating axolotl retinas regrow diverse cell types with

## 2 modulation by Notch signaling and reconnect to the brain

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#### 9 Summary:

- 10 We demonstrate that adult Mexican axolotl salamanders regenerate retinas after a
- 11 retinectomy. We also show some cellular and molecular mechanisms that drive axolotl
- 12 retinal regeneration.
- 13 Keywords: axolotl, retina, retinal regeneration, retinal pigment epithelium

## 14 Abstract

Some species successfully repair retinal injuries in contrast to non-regenerative 15 mammalian retina. We show here that the Mexican axolotl salamander regrows its 16 excised retina even in adulthood. During early regeneration, cell proliferation occurred in 17 18 the retinal pigment epithelium (RPE). All dividing cells expressed Vimentin, and some also expressed Müller glia and neural progenitor cell marker Glast (Slc1a3), suggesting 19 20 that regeneration is driven by RPE-derived retinal progenitor cells. Bulk RNA sequencing showed that genes associated with the extracellular matrix and angiogenesis were 21 22 upregulated in early-to-mid retinal regeneration. The fully regenerated retina reestablished nerve projections to the brain and contained all the original retinal cell types, 23 24 including Müller glia. Regeneration of cellular diversity may be modulated by Notch signaling, as inhibiting Notch signaling in early regeneration promoted production of rod 25 26 photoreceptors. Our study highlights the axolotl salamander as an advantageous model of adult tetrapod retinal regeneration and provides insights into its mechanisms. 27

## 28 Introduction

Retinas are susceptible to degeneration that impairs evesight for life. Humans and 29 30 other mammals cannot repair this damage, but some vertebrate species regenerate injured retinas. Zebrafish and newt salamanders (Gemberling et al., 2013; Mitashov, 31 1996) are well-established models of retinal regeneration, but they regrow injured retinas 32 differently. Müller glia repair retinal lesions in zebrafish (Thummel et al., 2008), and retinal 33 34 pigment epithelium (RPE) regenerates the retina after a retinectomy in Japanese firebellied newts (Islam et al., 2014; Thummel et al., 2008). Investigating how other 35 regenerative species regrow their retinas will advance the existing understanding of the 36 37 mechanisms of retinal regeneration.

The Mexican axolotl (Ambystoma mexicanum) presents an intriguing possibility for 38 investigating retinal regeneration. This salamander is a popular model of complex tissue 39 regeneration, most notably of limbs and the spinal cord (Simon & Tanaka, 2013; Tazaki 40 et al., 2017). It can also regenerate portions of ovaries, lungs, the liver, and the brain even 41 in adulthood (Erler et al., 2017; Jensen et al., 2021; Maden et al., 2013; Ohashi et al., 42 2021). However, the regenerative abilities of axolotl eye tissues at different life stages are 43 either limited or understudied. Axolotl larvae only regenerate lenses for up to two weeks 44 45 after hatching, after which the ability is lost (Suetsugu-Maki et al., 2012). Juvenile axolotis (4 months) can regenerate removed retinas (Svistunov & Mitashov, 1983), but it remains 46 unknown whether axolotl retinal regeneration persists into adulthood (approximately 1 47 year of age) or what mechanisms govern it. 48

We show here that adult paedomorphic axolotls regrow their retinas after a 49 retinectomy, regenerating diverse retinal cell types and re-establishing connections with 50 the brain. Using bulk RNA sequencing, we uncovered genes that are expressed 51 differentially in retinal regeneration. We also show that the Notch signaling pathway may 52 be an important regulator of axolotl retinal regeneration. Our findings establish the axolotl 53 as a model of tetrapod retinal regeneration and provide insights into its molecular 54 mechanisms, which may pave the way towards translating this fascinating ability into 55 treating retinal diseases. 56

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58 Abbreviations: **RPE**, retinal pigment epithelium; **ONL**, outer nuclear layer; **INL**, 59 inner nuclear layer; **RGC**, retinal ganglion cells; **OPL**, outer plexiform layer; **IPL**, inner 60 plexiform layer; CTB, cholera toxin subunit B; **GFP**, green fluorescent protein; **ECM**, the 61 extracellular matrix; **IGF**, insulin-like growth factor; **TGF-** $\beta$ , transforming growth factor 62 beta.

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## 64 Methods

### 65 Animal care

Animals were bred in our laboratory or purchased from the Ambystoma Genetic Stock Center (Lexington, KY). The animals were housed as previously described (Farkas, 2015), on a 12:12 light:dark schedule and fed soft salmon pellets. All procedures were approved by Northeastern University Institutional Animal Care and Use Committee. Male and female adult axolotls were used, the term "adult" referring to paedomorphic axolotls who had reached sexual maturity.

## 72 Surgeries and tissue collection

Animals were anesthetized in 0.01% benzocaine prior to all procedures. For eye collection, animals were euthanized in 0.05% benzocaine followed by decapitation.

Cell proliferation: the animals were weighed and injected intraperitoneally with 8.0
 ng EdU/g of animal weight in PBS, using 0.33 x 12.7 mm insulin syringes (Exel Int ®).
 The animals were returned to housing water for 3 hours and then sacrificed for eye
 collection.

Retinectomies: corneas of the left eyes were punctured with a straight surgical needle and cut halfway around the lens with surgical scissors. The lenses were removed with forceps and the retinas were removed by gently flushing the anterior eye cavities with PBS using a p20 pipette. The animals were returned to housing water in free-

standing plastic containers. The right eyes were left uninjured as internal controls. Every
retinectomy also involved a lentectomy.

Nerve tracing: we retinectomized 5 adult animals (13-17 cm in total length) and 85 86 allowed them to regenerate for 367 days. We then anesthetized the animals and cut small V-shaped skin and cartilage flaps in the skulls between the eyes, partially exposing the 87 88 brain, and labeled the brains with a retrograde nerve tracer cholera toxin subunit B conjugated with Alexa Fluor<sup>™</sup> 594 dye (0.5 mg/ml, with approximately 10 µl of final 89 solution per animal). The cartilage flaps were replaced, and the animals were kept under 90 wet lint-free tissues for about 15 minutes with their heads raised to prevent the nerve 91 92 tracer from leaking out, and then returned to their tanks. The procedure was repeated 3 days later, but the tracer was injected in the existing skull openings without conducting 93 94 another surgery. The animals were sacrificed at 377 dpi (days post injury), 10 days after the first tracer injection. 95

DAPT treatment: we soaked small pieces (>0.5mm in diameter) of cotton balls 96 (Thermo Fisher) in 100 mM Notch pathway inhibitor DAPT (Tocris) or its vehicle dimethyl 97 sulfoxide (DMSO; Sigma). We retinectomized the left eyes of 12 male and female adult 98 axolotls (14.5-18 cm in total length). At 15 dpi, we cut small incisions in the corneas of 99 retinectomized eyes and inserted the cotton ball pieces in the intraocular space, as 100 101 described previously (Nakamura & Chiba, 2007). The treatments were assigned to the animals randomly. The animals were then returned to their housing containers until 101 102 103 dpi when they were sacrificed and the left eyes were collected for analysis.

104 RNA sequencing: the removed (uninjured) retinas were placed in empty 1.5 ml 105 tubes, flash frozen in liquid nitrogen, and stored at -80°C. The animals were returned to 106 their housing containers for 27 days. To collect the regenerating retinas, the animals were 107 sacrificed, the injured eyes were enucleated, and the anterior part of the eyes was 108 carefully trimmed away with surgical scissors. The tissue on the posterior internal side of 109 the exposed eye cups was collected with forceps, placed in 1.5 ml tubes, flash frozen in 110 liquid nitrogen, and stored at -80°C. Both uninjured and regenerating conditions had three

biological replicates, with each replicate consisting of four animals, for a total of 12animals.

#### 113 Immunohistochemistry and EdU detection

Collected samples were fixed overnight in 4% paraformaldehyde at 4°C, washed 114 115 twice for five minutes with phosphate-buffered saline (PBS; Fisher Scientific), and cryoprotected in 30% solution of sucrose in PBS at room temperature until the tissue 116 sank. The samples were then embedded in Optimal Cutting Temperature embedding 117 medium (Fisher Scientific), frozen at -80°C and stored there until use. Tissues were 118 119 sectioned to 12 µm, washed with deionized water for 5 minutes, washed with PBS for 5 minutes, blocked in 1.5% goat serum for 30 minutes, and incubated in primary antibodies 120 diluted in 1.5% goat serum overnight at 4°C. The sections were then washed with PBS 121 for 5 minutes and incubated in a secondary antibody dilution (1:400 in PBS) for 30 122 123 minutes.

Primary antibodies used: rhodopsin (1:400, rabbit, #8710, Cell Signaling
Technologies), β-III-tubulin (1:500, mouse, MA1-118, ThermoFisher Scientific).
Secondary antibodies used: Alexa Fluor™ 594 goat anti-rabbit IgG (1:400, 1851471,
Invitrogen), Alexa Fluor™ 594 goat anti-mouse IgG (1:400, 1851471, Invitrogen).

To detect EdU-positive cells, sections were washed with water and PBS, incubated in Click reaction cocktail (1X tris-buffered saline diluted from stock (Alfa Aesar), 100 mM sodium ascorbate (Acros Organics) in 1X TBS, 4mM CuS04 (Acros Organics) in 1X TBS, and 5 µM AF 594 picolyl azide (Click Chemistry Tools)) for 30 minutes in the dark, washed for five minutes each with PBS, DAPI, PBS, and water, and mounted with 80% glycerol or Slow-fade<sup>™</sup> (Invitrogen).

### 134 Version 3 fluorescent in situ hybridization chain reaction (V3 HCR FISH)

We have developed DNA probe sequences for detecting the following cell type
markers: *Rhodopsin* (rod photoreceptors) (Osakada et al., 2008), *Rpe65* (the RPE) (AlHussaini et al., 2008), *Glast* (also called *Slc1a3;* Müller glia) (Quintero et al., 2016), *Pkca*

(bipolar cells) (Haverkamp et al., 2003), *Lhx1* (horizontal cells) (Poche et al., 2007), and *Glyt1* (amacrine cells) (Nakhai et al., 2007). Probes were also generated for *Vimentin*, *Notch1*, *Jag1*, *Hes1*, and *Hes5*. Probes were designed as described previously (Jensen et al., 2021), using Oligominer (Beliveau et al., 2018) and Bowtie2 (Langmead &
Salzberg, 2012) against the 6.0-DD axolotl genome (Nowoshilow et al., 2018; Smith et al., 2019) (http://probegenerator.herokuapp.com/).

Collected samples were embedded in OCT, immediately frozen at -80°C without 144 145 fixation to limit autofluorescence in the RPE and photoreceptor layers, and stored until use. The samples were sectioned on Superfrost Plus slides at 12 µm thickness on a 146 147 cryostat (Leica), briefly air-dried at room temperature, and post-fixed with 4% paraformaldehyde for 15 minutes at room temperature. The sections were then washed 148 149 with PBS (Invitrogen) and dehydrated in 100% ethanol for 15 minutes. Any unused slides were at this point stored in 100% ethanol in sealed LockMailer microscope slides jars at 150 151 -80°C.

The remaining sections were washed 3x10 minutes with 8% sodium dodecyl sulfate (Fisher Scientific) to further reduce tissue autofluorescence, washed with PBS (Invitrogen), pre-hybridized with pre-warmed hybridization buffer (Molecular Instruments) at 37°C for 15 minutes, and incubated with probes (5 nM in pre-warmed hybridization buffer) at 37°C overnight under parafilm in humidified chambers.

On the next day, the sections were washed with pre-warmed formamide probe 157 wash (Molecular Instruments) 3x15 minutes at 37°C and washed with 5X saline sodium 158 citrate buffer with 0.1% Tween (SSCT) 2x10 minutes at 37°C. Concurrently, hairpins were 159 prepared by aliquoting H1 and H2 hairpins (3 µM; Molecular Instruments) into separate 160 PCR tubes, heating the aliquots at 95°C for 90 seconds in a thermal cycler (Bio-Rad), 161 and cooling them for at least 30 minutes at room temperature in the dark (i.e. in a drawer). 162 The hairpin solution was prepared in the concentration of 1:50, adding 48 µl of 163 amplification buffer (Molecular Instruments) to each pair of cooled 1 µl H1 and H2 hairpins 164 (2 µl of hairpins in total). If several sets of hairpins were used, the volume of the 165 amplification buffer was decreased to maintain the 1:50 dilution ratio. The sections were 166

washed with the amplification buffer for 10 minutes at room temperature and incubated
in the hairpin solution under parafilm at room temperature for at least 3 hours or
overnight. The sections were then washed with 5X SSCT 3x15 minutes at room
temperature, stained with DAPI nuclear stain, washed with PBS and DEPC water, and
mounted in Slow-fade<sup>™</sup> (Invitrogen) with 24x50-1.5 microscope cover glass (Fisher
Scientific).

For multi-round FISH, slides were imaged and then submerged in DEPC water in LockMailer jars to float off coverslips. The sections were treated with DNAse (2000 units/ml) for at least 1 hour at room temperature to wipe away the probes, washed with 60% formamide probe wash for 30 minutes at room temperature, washed with SSCT 2x10 minutes, pre-hybridized, and incubated with new probes overnight at 37°C. The remainder of the staining protocol was followed on the next day.

To preserve RNA in the tissue, RNAse-away spray was used throughout the procedure and all solutions were prepared in deionized diethylpyrocarbonate (DEPC)treated and autoclaved water. Fresh tissue sections were preferentially used because RNA integrity in sectioned samples can deteriorate during storage. If HCR FISH was combined with EdU detection, the Click reaction was carried out first.

#### 184 Bulk RNA sequencing and analysis

185 RNA isolation and next generation sequencing were performed by Genewiz® 186 (South Plainfield, NJ) with an Illumina® HiSeq® sequencing system. The sequencing 187 parameters were 38 million 150 base pair reads with paired ends. The resulting data 188 was analyzed for differential gene expression and gene ontology.

Differential expression analysis was performed on the Discovery research computing cluster. Raw reads were quality trimmed with Trimmomatic v0.38 (Bolger et al., 2014) and checked with FastQC v0.11.8 (Andrews, 2010). The paired reads were quasi-mapped to the V47 axolotl transcriptome (Nowoshilow & Tanaka, 2020) and quantified at gene level using salmon v0.13.1 (Patro et al., 2017) by the Trinity v2.8.5 (Grabherr et al., 2011) script "align\_and\_estimate\_abundance.pl". The Trinity package

script "abundance estimates to matrix.pl" was used to generate raw counts and TMM-195 normalized expression matrices. The replicate quality for each group was checked with 196 197 the Trinity "PtR" script. Differential expression analysis was performed using the "run DE analysis.pl" script with the DESeq2 v1.34.0 (Love et al., 2014) method and 198 default parameters. Differential expression results were filtered for padj < 0.001 and log2 199 200 fold change > 2 or log2 fold change < -2 to represent genes that were significantly up- or down-regulated in regeneration, respectively. These files were then used in Gene 201 Ontology (GO) analysis to find enriched and depleted GO terms. 202

203 GO analysis was performed as follows: Trinotate v3.2.2 (Bryant et al., 2017) was 204 used to generate an annotation report containing top BLAST (Altschul et al., 1990) hits and GO (Ashburner et al., 2000; Gene Ontology, 2021; Mi et al., 2019) assignments from 205 206 UniProt (UniProt, 2021). GO annotations were analyzed using GOseq v1.46.0 (Young et Trinity script "run GOseq.pl". 207 al., 2010) through the The Trinity script "analyze diff expr.pl" was run using the GO annotations file to analyze differentially 208 expressed genes for enriched and depleted GO categories in up- and down-regulated 209 210 genes. The top 25 enriched GO terms in each group were plotted with gaplot2 (Wickham, 211 2016) in RStudio (RStudio Team, 2020).

### Image quantification and statistical analysis

Images were taken on a Leica DM2500 microscope, a Zeiss LSM 800 confocal
 microscope, or a Zeiss LSM 880 confocal microscope at Northeastern University
 Institute for Chemical Imaging of Living Systems (CILS). Confocal images were z-stacks
 and imaging parameters were the same for all the images within an experiment.

Cell types were quantified in FIJI, using the cell counter plugin. In the 377 dpi
experiment, cells of each type were counted within their respective nuclear layer (INL
for *Lhx*, *Pkcα*, and *Glyt1*; ONL for *Rhodopsin*; GCL, INL, and ONL for *Glast*; RPE for *Rpe65*) and normalized to the area of DAPI in those layers. Retinal thickness was
measured using the "length" tool, with two measurements per each retinal section.

The data were analyzed with either Student's two-tailed t-test in Excel or with ANOVA and post-hoc Tukey's test in JMP 21 statistical software. We used p=0.05 as

the significance threshold. Graphs were created using JMP 21. Images were processed

in FIJI to improve brightness and contrast, and figures were assembled in Adobe

226 Illustrator 2021.

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228 Results

### 229 Characterization of the axolotl eye and its retinectomy

<sup>230</sup> We first examined the morphology of the axolotl eye and retina. The axolotl retina <sup>231</sup> follows the conserved retinal structure across vertebrates, containing three nuclear layers <sup>232</sup> and two plexiform layers. The outer and inner nuclear layers in the axolotl retina are very <sup>233</sup> close to each other, so we distinguished them by labeling the outer plexiform layer with a <sup>234</sup> nerve marker  $\beta$ -III-tubulin (BTUBB) (Fig. 1A). Within the eye, the retina can be detected <sup>235</sup> through its expression of rhodopsin (RHO), a gene expressed by rod photoreceptors (Fig. <sup>236</sup> 1B).

237 There are three proliferative niches in the homeostatic axolotl retina. Dividing EdU+ cells are found in the ciliary marginal zone (CMZ) and the retinal pigment epithelial 238 layer (RPE), with occasional dividing cells in the inner nuclear layer (Fig. 1C). These three 239 proliferative niches correspond to the three possible pools of retinal progenitor cells: the 240 CMZ, the RPE, and Müller glia. They are affected differently by a retinectomy. Compared 241 to an uninjured eye (Fig. 1D), a retinectomized eye lacks the lens and the retina. The 242 retina, where Müller glia reside, is removed along with the CMZ, although parts of ora 243 serrata may remain in the retinal margin (Fig. 1E). The RPE layer may be damaged but 244 is not removed, and it retains dividing cells (Fig. 1E-F). Therefore, the retinectomy is a 245 reliable injury method for the axolotl retina. Retinal regeneration can be assessed using 246 the RHO marker for rod photoreceptors and the BTUBB marker of axons. 247

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#### Adult axolotis regenerate their retinas after a retinectomy

We retinectomized the left eyes of 20 adult axolotls and allowed them to 250 regenerate for 15, 35, 49, 65, or 90 days (n=4). We first observed the return of retinal 251 252 neural layers expressing BTUBB at 49 dpi in one animal out of four (Fig. 2A). By 65 dpi 253 and 90 dpi, the regenerating retinas had reformed the characteristic laminated 254 morphology and distinct nerve layers. Two animals out of four had successfully regenerated their retinas at those time points. The photoreceptor layers (PL), which do 255 256 not express BTUBB but were visible because of their high autofluorescence, reappeared 257 at 65 dpi. At 90 dpi BTUBB expression was similarly present in the outer plexiform layer (OPL) and inner plexiform layer (IPL) in the regenerated and the uninjured contralateral 258 retinas; the photoreceptor and RPE layers are autofluorescent. The pigment of the RPE 259 layer had also regenerated (Fig. 2B). The lenses never regenerated. 260

261 We then assessed cellular proliferation patterns during regeneration (Fig. 2C). One 262 animal was excluded from the 15 dpi group because its EdU injection had failed. The proportion of EdU+ dividing cells peaked at 15 and 35 dpi. It was not different between 263 these two timepoints (p=0.99). Proliferation in 15 dpi contralateral eves was not different 264 from contralateral eyes at later time points (p>0.7), but it was not different from either 15 265 dpi regenerating eyes (p=0.06) or 35 dpi regenerating eyes (p=0.29), which may imply a 266 modest increase in cellular proliferation in the contralateral eyes at 15 dpi. After 35 days, 267 the numbers of dividing cells decreased and were similar in injured and uninjured eyes 268 for the remainder of the regeneration period (Fig. 2C'). The rate of cellular proliferation 269 was highest at 15 dpi and 35 dpi. The regenerating eyes at 15 dpi and 35 dpi had a 270 significantly higher proliferation rate than both contralateral and regenerating eyes at 49 271 dpi, 65 dpi, and 90 dpi (p<0.01), as well as contralateral eyes at 35 dpi (p=0.02). 272 Proliferation rate was also not different between contralateral and regenerating eyes 273 during later stages of regeneration, at 49 dpi, 65 dpi, and 90 dpi (p>0.99). In regenerating 274 adult axolotl retina, cells divided at the highest rate at 15 dpi and 35 dpi, afterwards 275 decreasing to contralateral uninjured levels. Meanwhile, the contralateral uninjured eye 276 had a small proliferative spike at 15 dpi. 277

To understand what cell types were dividing at 15 dpi in regenerating eyes, we 278 used HCR FISH to identify EdU+ cells expressing Glast (Müller glia marker) and Rpe65 279 280 (RPE marker) in four adult axolotls (Fig. 2D). We also looked for cells positive for Vim, which is expressed in Müller glia, neural progenitor cells, and, under some conditions, 281 RPE cells (Guidry et al., 2002). Most dividing EdU+ cells did not express either *Rpe65* 282 or *Glast*, but all expressed *Vim.* 33.3% of dividing cells expressed *Glast*, although they 283 lacked the characteristic elongated morphology of Müller glia. Only 1.3% of dividing cells 284 contained Rpe65 transcripts, although the presence of black retinal pigment was still 285 substantial in the regenerating retina and co-colocalized with dividing cells (Fig. 2D). Glast 286 and Rpe65 were never co-expressed. Significantly more cells expressed Glast than 287 Rpe65 (p=0.012) (Fig. 2D'). All dividing Glast+ and Rpe65+ cells also expressed Vim, so 288 we did not examine statistical differences between the expression of Vim and the two 289 other genes. 290

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#### 292 Regenerated retinas contain diverse cell types and re-establish

#### 293 connections to the brain

We compared the cellular make-up of regenerated and contralateral uninjured 294 axolotl retinas at 377 dpi. We identified the following cell types: rods (*Rho*), bipolar cells 295 (Lhx1), horizontal cells (Pkca), amacrine cells (Glyt1), RPE cells (Rpe65), and Müller glia 296 297 (Glast). We then compared the numbers of those cell types in regenerated and contralateral retinas. The numbers of rods (p=0.44), RPE cells (p=0.13), and bipolar cells 298 (p=0.61) were similar in both uninjured and regenerated retinas (Fig. 3A-C'). However, 299 regenerated retinas contained fewer horizontal (p=0.013) and amacrine cells (p=0.009) 300 and more Müller glia (p=0.013) (Fig. 3D-F'). This experiment suggests that the 301 302 regenerated axolotl retina regrows the same cell types, some of which are regenerated 303 in different proportions.

We also investigated whether the new retinas had regenerated projections to the brain through the optic nerve. Ten days prior to eye collection, we had injected a retrograde fluorescent nerve tracer cholera toxin subunit B (CTB) that travels from the

brain to the retina. All 5 retinectomized eyes had successfully regenerated retinas, and 307 both regenerated and contralateral retinas contained CTB in the RGC layer (Fig. 3G-H). 308 309 The CTB fluorescence was quantified at 20x magnification (Fig. 3G, I), but it is better 310 visible at 40x magnification (Fig. 3H). The proportion of RGCs containing CTB was similar between contralateral and regenerated retinas (p=0.89) (Fig. 31), suggesting that 311 312 regenerated axolotl retinas had successfully restored nerve projections from the retina to the brain. Interestingly, regenerated retinas were thinner than the contralateral ones 313 (p=0.038) (Fig. 3H). Importantly, the HCR FISH protocol quenches the CTB fluorescence 314 (Fig. 3K), and therefore CTB and HCR FISH fluorescence was not present in the retinas 315 at the same time. 316

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#### 318 Bulk RNA sequencing of uninjured and regenerating retinas

We conducted bulk RNA sequencing on uninjured and regenerating (27 dpi) retinas, derived from the same 12 adult axolotls, at early-to-mid stages of regeneration when cellular proliferation is still high (Fig. 2C). Both conditions had three biological replicates, each containing tissue pooled from four animals. The pooling was matched between uninjured and regenerating samples (Fig. 4A).

Genes were identified as differentially expressed if the absolute value of log<sub>2</sub>fold change was greater than 2. We identified enriched gene ontology (GO) terms in the categories of biological processes (BP), cellular components (CC), and molecular functions (MF). We then identified GO terms that were upregulated and downregulated in regenerating retinal samples. The plots include the top 25 enriched GO terms (Fig. 4).

We identified the GO terms that were associated with genes upregulated in regenerating retinal samples. They were predominantly associated with the extracellular matrix (ECM) (Fig. 4B), such as "extracellular region," "extracellular matrix," "collagencontaining extracellular matrix," "cell-matrix," "adhesion," "basement membrane," "integrin binding," "and "collagen binding," highlighting the importance of the extracellular matrix in regeneration.

Most ECM-related GO terms belonged to the "cellular component" category. To 335 ensure that it did not obscure other gene categories, we plotted the top categories for 336 337 "biological processes" and "molecular processes" only (Fig. 4C), which revealed additional GO terms that were associated with the genes upregulated in regenerating 338 retinal samples. Vascularization of the regenerating retina was represented by GO terms 339 "angiogenesis," "vasculogenesis," "positive regulation of angiogenesis," and "heparin 340 binding." Signaling pathways were represented by GO terms "insulin-like growth factor 341 binding" (IGF) and "transforming growth factor beta binding" (TGF- $\beta$ ). Interestingly, GO 342 terms "ossification" and "skeletal system development" were also present, even though 343 there are no bones in the retina, possibly implying that bone morphogenesis and retinal 344 tissue growth employ similar molecular mechanisms. GO term "calcium binding" was also 345 346 present, which may mean that calcium activity regulates regeneration of neural tissue. Finally, this analysis revealed the GO term "fibronectin binding," which is associated with 347 the extracellular matrix. 348

We then identified GO terms associated with genes downregulated in regeneration 349 350 (Fig. 4D). They largely reflected neural activity, such as "synapse," "regulation of membrane potential," "chemical synaptic transmission," and, predictably, "visual 351 perception." GO terms associated with neuron cell morphology, such as "neuronal cell 352 body," "axon," and "neuron projection," were also present, confirming our previous finding 353 that the retina is not yet regenerated at 27 days, taking more than 35 days (Fig. 2). Plots 354 355 with "biological processes" and "molecular processes" only (Fig. 4E) revealed GO terms associated with neuronal morphogenesis like "axonogenesis" and "positive regulation of 356 synapse assembly," suggesting that at 27 dpi, retinal progenitor cells had not yet fully 357 differentiated into neurons. 358

The differential gene expression analysis of bulk RNA sequencing showed that the extracellular matrix and angiogenesis are important at the early-to-mid stage of axolotl retinal regeneration after a retinectomy. IGF and TGF- $\beta$  signaling may also regulate retinal regeneration. Neuronal activity and morphogenesis are not restored yet at this stage of regeneration.

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### 365 Notch signaling participates in axolotl retinal regeneration

The RNA sequencing analysis revealed differential expression of Hes1 and Hes5, 366 both downstream effectors of the Notch signaling pathway. Hes1 was upregulated (log2 367 fold change = 1.76, adjusted  $p=8.36*10^{-45}$ ) and Hes5 was downregulated (log<sub>2</sub> fold 368 change = -2.37, adjusted p= $2.37*10^{-4}$ ) in the regenerating retina. GO annotation 369 370 associated Hes1 with "muscle organ development" (FDR = 0.0030), possibly indicating that axolotl retinal regeneration employs similar mechanisms as organ development. 371 372 Hes5 was represented by the following GO terms: "cell adhesion," "brain development," 373 "neuron differentiation," "positive regulation of BMP signaling pathway," "cartilage development," "regulation of cell differentiation," and "negative regulation of 374 oligodendrocyte differentiation" (all FDR values < 0.015). This analysis suggests that 375 during axolotl retinal regeneration, Hes5 regulates neuronal differentiation. 376

We qualitatively visualized the expression of Hes1 and Hes5, along two other 377 Notch pathway genes Notch1 and Jag1, in one round and the expression of Glast, Vim, 378 379 and *Rpe65* in the second round of multi-round HCR FISH in a regenerating (28 dpi) eye and the contralateral eye from the same animal (n=1). Hes1 was present in Glast- and 380 381 Vim-expressing Müller glia in the uninjured retina. It was also present in *Glast*-expressing and Vim-expressing cells in the regenerating retina that were possibly also expressing 382 383 Rpe65 at low levels, although the omnipresence of the Rpe65 fluorescent stain throughout the tissues complicated definitive identification of *Rpe65*-expressing cells (Fig. 384 5A, B). Those cells may have been neural progenitor cells or Müller glia that had not yet 385 developed their characteristic elongated morphology. Hes5 was not detected in the 386 387 uninjured retina but was present at low levels in the regenerating retina, co-expressed with Vim (Fig. 5C, D). Notch1 and Jag1, Notch pathway receptor and ligand, were present 388 throughout the uninjured and regenerating retina. Like in our previous finding (Fig. 2D). 389 Vim was strongly expressed in the regenerating retina. This visualization revealed that 390 391 Hes1 is expressed in Müller glia in the uninjured retina and possibly also during

regeneration, while *Hes5* is present at low levels in both conditions and its cellular residence remains unclear.

We manipulated Notch signaling during retinal regeneration to better understand 394 395 its role in neuronal differentiation. We inserted small pieces of cotton balls soaked in either 396 Notch inhibitor DAPT or solvent DMSO into retinectomized eyes (n=4) at 15 dpi, when 397 cellular proliferation peaks (Fig. 2C'). The animals were then allowed to regenerate until 101 dpi. Despite this prolonged regeneration period, regenerated retinal tissue was small 398 399 and lacked well-defined lamination, possibly due to cotton balls in the eye. One animal was excluded from each treatment group because we could not find sections with 400 401 regenerated retinas on those samples. Nevertheless, HCR FISH revealed rod marker *Rho* and Müller glia marker *Glast* in the regenerated retinal tissue. DAPT-treated eyes 402 403 contained more Rho+ rod photoreceptors (p=0.041) than solvent-treated eyes (Fig. 5E-E'). The number of *Glast*+ Müller glia was similar in both conditions (p=0.27) (Fig. 5F-F'). 404 These differences in the cellular make-up of regenerated retinal tissue suggest that Notch 405 signaling participates in regulating cell differentiation during axolotl retinal regeneration. 406

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## 408 Discussion

A regenerating retina must produce the original cell types and repair the severed connections with the brain. We show here that adult axolotl salamanders are capable of both, regenerating retinal neural structures within three months after a retinectomy and re-establishing retinotectal projections within a year. To our best knowledge, we have also provided the first visualization of diverse cell types in the axolotl retina, as well as their regeneration.

The axolotl retina contains three possible cellular sources of regeneration: the CMZ, Müller glia, and the RPE. Cellular sources of retinal regeneration vary among species. Zebrafish repair injured retinas using Müller glia (Wan & Goldman, 2016); firebellied Japanese newts regenerate missing retinas from the RPE (Mitsuda et al., 2005); post-metamorphic frogs and embryonic chicks have been found to employ all three progenitor cell pools (Langhe et al., 2017; Mitashov & Maliovanova, 1982; Palazzo et al.,

2020; Tsonis & Del Rio-Tsonis, 2004; Yoshii et al., 2007). Mammalian retinas do not 421 naturally regenerate, but regenerative responses have been elicited from cultured Müller 422 423 glia, retinal margin (which anatomically corresponds to the CMZ), and the RPE (Akhtar et al., 2019; Giannelli et al., 2011; Kuwahara et al., 2015; Lawrence et al., 2007; Zhao et al., 424 1997). Such vast differences in cellular mechanisms of retinal regeneration among 425 426 species warrant special attention to retinal progenitor cells in the highly regenerative tetrapod axolotl salamander. We observed that all dividing cells in early regeneration 427 expressed Vimentin (Vim), and one-third also expressed Müller glia marker Glast. Glast-428 positive cells in the regenerating retina lacked the characteristic elongated morphology of 429 Müller glia, but they also did not express the RPE marker *Rpe65* or its black pigment. 430 RPE cells that lose pigment, downregulate RPE65, and express Vimentin are undergoing 431 epithelial-to-mesenchymal transition (EMT) (Tamiya et al., 2010), which marks their new 432 ability to migrate and proliferate (Zhou et al., 2020). This change in the RPE then enables 433 salamander retinal regeneration (Islam et al., 2014). Glast may mark dedifferentiating 434 RPE cells, as RPE cells downregulate *Rpe65* in the regenerating newt retina and *Glast* 435 436 expression has previously been detected in the RPE (Derouiche & Rauen, 1995; Sakami et al., 2005). Glast is a Müller glia marker in the homeostatic retina, but it is also expressed 437 438 in neural progenitor cells in the adult mouse brain (Slezak et al., 2007) and the developing human retina (Walcott & Provis, 2003). We suggest that axolotl retinal regeneration is 439 440 driven by dedifferentiating cells of the RPE, which then give rise to *Glast*-expressing retinal progenitor cells. Using transgenic reporter axolotl lines in future studies will 441 elucidate the dynamics of RPE reprogramming during axolotl retinal regeneration. 442

We also found more Müller glia in regenerated retinas than in uninjured ones, 443 which suggests that Müller glia may participate in axolotl retinal regeneration. 444 Alternatively, Müller glia are the last cells born in a developing mammalian retina (Sawant 445 et al., 2019). This pattern may be recapitulated in the regenerating axolotl retina and the 446 numbers of newborn glia may take longer than 377 days to reach baseline levels. 447 However, this is only feasible if axolotl retinal regeneration is driven entirely by the RPE. 448 Developing transgenic reporter axolotis in the future will elucidate the cellular source of 449 retinal regeneration after a retinectomy. Using focal retinal injuries that fully preserve 450 451 Müller glia populations will further clarify their role in axolotl retinal regeneration.

We observed increased cell proliferation in the intact contralateral eyes. In axolot 452 regeneration, cells re-enter the cell cycle even at sources distant from the injury. Limb 453 454 amputation triggers cell-cycle activation in the contralateral, uninjured limbs, but also in 455 other organs (Johnson et al., 2018). After a lung injury, a variety of cell types proliferate in the contralateral, uninjured lung (Jensen et al., 2021). In a regenerating tail, 456 proliferating cells can be detected in the spinal cord as far as 5 mm away from the 457 amputation site (Duerr et al., 2021). It remains unclear what drives this spike in 458 proliferation, but the uninjured contralateral eye may have received growth signals from 459 the brain, which connects the two eyes. 460

461 Regenerated axolotl retinas contained all the major retinal cell types and reconnected with the brain. However, they were thinner and did not regenerate the 462 463 original cell diversity, containing fewer neuronal types of the inner nuclear layer and more Müller glia. This imperfect regeneration of an axolotl neural structure is consistent with a 464 465 previous observation that the regenerating axolot brain fails to re-establish pre-existing cytoarchitecture (Amamoto et al., 2016). However, since we compared the regenerated 466 467 retinas to the uninjured contralateral ones, the regenerated retinas might have been thinner due to a spike in cellular proliferation in contralateral eyes in early regeneration 468 469 (Fig. 2D'). Finally, retinal regeneration is shaped by neural activity (Chiao et al., 2020). As adult axolotls do not regenerate lenses, re-establishment of correct neuronal networks 470 may suffer from the permanent absence of visual input. Unveiling the molecular 471 mechanisms behind retinal regeneration will help understand how it can be regulated to 472 473 yield more complete retinal structures.

In species that regenerate the retina from the RPE, this cell monolayer reprograms 474 to give rise to the neural retina using FGF2 (Sakaguchi et al., 1997; Tangeman et al., 475 476 2021), and retinal regeneration can be induced by supplying FGF2 to the retinectomized eye (Vergara & Del Rio-Tsonis, 2009). The function of FGF2 may be mediating tissue 477 478 interactions between the choroid and the RPE, which are important for RPE proliferation (Fronk & Vargis, 2016; Mitsuda et al., 2005). We did not supply any pro-regenerative 479 480 factors to retinectomized axolotl eyes and saw that the proportion of regenerated retinas varied from 50% (Fig. 2) to 100% (Fig. 3), although foreign bodies in the intraocular space 481

hindered regeneration (Fig. 5). In the future, the success rate of adult axolotl retinal
regeneration may be further improved by either carrying out retinectomies with extra care
to preserve choroid-RPE interaction, or by experimenting with FGF2 supplementation.

We conducted bulk RNA sequencing of homeostatic and regenerating axolotl 485 retinas. The analysis revealed that at 27 dpi, the regenerating axolotl retina had not yet 486 487 re-established such essential characteristics as neuronal projections or glutamatergic synaptic signaling. At this timepoint, the injured retina is in early-to-mid regeneration. 488 489 Genes associated with ECM and angiogenesis were largely upregulated in regenerating retinas. Molecular mechanisms that may regulate early-to-mid stage of axolotl retinal 490 491 regeneration also include IGF signaling, TGF-β signaling, and calcium binding. IGF signaling participates in retinal progenitor proliferation in fish retina (Becker et al., 2021) 492 493 and differentiation in mammalian retina (Xia et al., 2018), so it may regulate retinal progenitors in the regenerating axolotl retina. Proteins in the IGF signaling network also 494 495 regulate angiogenesis (Contois et al., 2012; Delafontaine et al., 2004), possibly linking IGF signaling with angiogenic activity in the regenerating axolotl retina. TGF- $\beta$  regulates 496 497 proliferation of retinal progenitor cells in the regenerating zebrafish retina (Sharma et al., 2020), possibly playing a similar role in axolotl retinal regeneration. 498

The ECM also plays a pivotal role in neural regeneration. Fibronectin is upregulated during early regeneration of newt retina (Ortiz et al., 1992), which agrees with our RNA-Seq data. The ECM of *Xenopus* retinal neuroepithelium cell line promoted axonal outgrowth (Sakaguchi & Radke, 1996), and ECM remodeling in mouse retinal explants improved integration of grafted photoreceptors (Tucker et al., 2008), suggesting that ECM changes may regulate formation of new neuronal networks.

505 The RNA sequencing analysis also suggested a role for Notch signaling in axolotl 506 retinal regeneration. Notch signaling is a promising candidate for controlling regeneration 507 of retinal cell diversity. The Notch signaling pathway regulates glial and neuronal fate in 508 differentiating neural progenitors, favoring glial fate (Gaiano & Fishell, 2002; Grandbarbe 509 et al., 2003). We used RNA sequencing analysis and visualized its results with HCR FISH 510 to show that two Notch downstream effector genes, *Hes1* and *Hes5*, are differentially 511 expressed during axolotl retinal regeneration. *Hes1* was expressed in Müller glia in an

512 uninjured retina and upregulated in a regenerating retina at 28 dpi. Hes5 was downregulated during regeneration, although we only detected its weak expression in the 513 514 retina. These two Notch pathway genes have distinct functions during retinogenesis: Hes1 maintains retinal progenitor cells in an undifferentiated state, while Hes5 promotes 515 their glial fate and inhibits their differentiation into neurons (Hojo et al., 2000). Hes5, but 516 not Hes1, was upregulated in the embryonic chick retina after an excitotoxic injury (Hayes 517 et al., 2007). Our analysis shows the opposite pattern, although it may have been carried 518 out at a different stage of retinal regeneration and employed a different injury method (3) 519 days post excitotoxic injury in chicks vs. 27 days post retinectomy in axolotls). 520 Upregulation of *Hes1* may indicate that it contributes to maintaining a pool of progenitor 521 cells that are necessary for regrowing the retina. Downregulation of Hes5 suggests that 522 523 at 28 dpi, retinal progenitor cells may be committing to a specific fate, emphasizing the role of Notch signaling in retinal differentiation. 524

525 Notch signaling favors glial and progenitor cell fate in the retina (Jadhav et al., 2006). Inhibiting Notch signaling promotes regeneration of neurons, such as hair cells 526 527 and motor neurons of the spinal cord (Dias et al., 2012; Mizutari et al., 2013). In the retina, 528 inhibition of Notch triggers a regenerative response (Conner et al., 2014; Elsaeidi et al., 529 2018; Hayes et al., 2007), and its dynamic regulation is required for regeneration of the 530 zebrafish retina (Campbell et al., 2022). In Japanese fire-bellied newts, intraocular inhibition of Notch accelerated formation of neurons during early regeneration of the retina 531 (Nakamura & Chiba, 2007). We show here that early inhibition of Notch signaling also 532 533 impacts retinal regeneration in the long term, driving production of rod photoreceptors. If Müller glia self-renew in axolotls like in zebrafish (Langhe & Pearson, 2020; Meyers et 534 al., 2012), they may have compensated Notch inhibition-induced deficit in their numbers 535 536 during regeneration. Our finding emphasizes Notch signaling as an important regulator of cell diversity during retinal regeneration. 537

The axolotl salamander is a useful model of retinal regeneration because it regenerates its retina even in adulthood and accommodates techniques of assessing and manipulating gene expression. Much larger than zebrafish, axolotls are amenable to retinectomies and more focal injuries like light or excitotoxicity; studying retinal responses

to different injuries may help clarify how different progenitor cells function in regeneration. 542 Unlike some newt species, axolotis are also easy to breed in a laboratory and to develop 543 544 into transgenic lines (Joven et al., 2019), which will aid future studies of their retinal regeneration. In addition, retinectomies - often-used models of retinal injury in 545 salamanders - require removing both the retina and the lens. Newts can regenerate their 546 lenses, but axolotls lose this ability soon after hatching (Suetsugu-Maki et al., 2012). 547 Therefore, retinectomized axolotl eyes only regrow the retina without concurrently 548 regenerating the lens, and any regenerative processes, such as cell proliferation, can 549 likely be attributed to retinal regeneration. Studies on behavior and gene expression of 550 axolotl retinal progenitors will enrich our understanding of retinal regeneration across the 551 phylogeny, harness the regenerative power of those cell types in the human retina, and, 552 553 in the future, help place humans among species that can repair their retinas.

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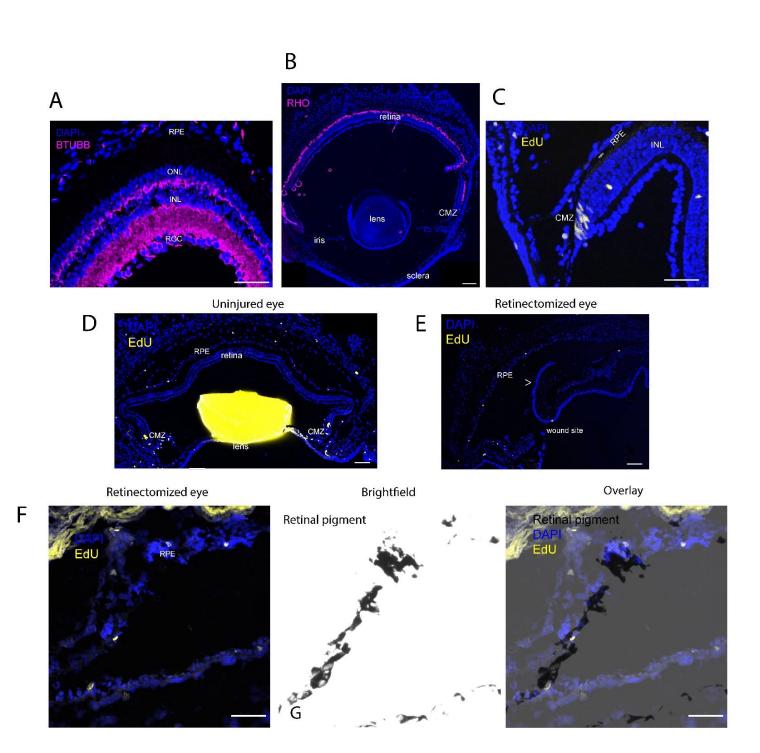
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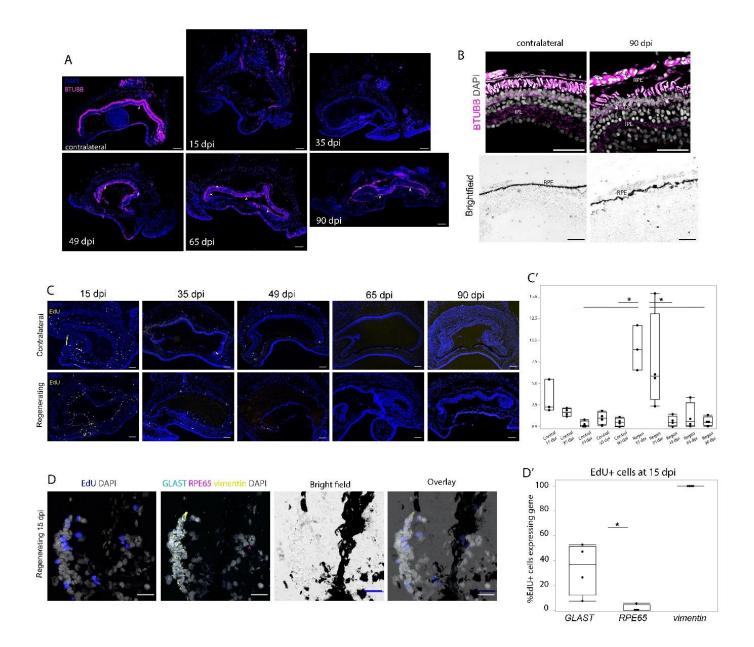
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Figure 1: Axolotl eye morphology and retinectomy. (A) The axolotl retina contains
three nuclear layers and two plexiform layers that are immunoreactive for the nerve
marker BTUBB. The scale bar is 50 µm. (B) A cross-section through the axolotl eye,

851 showing immunostaining for RHO, a photoreceptor marker. (C) EdU+ dividing cells in the 852 homeostatic retina are found in the ciliary marginal zone (CMZ), the retinal pigment 853 epithelium (RPE), and the inner nuclear layer (INL). (D) In an uninjured eye, the retina and the highly autofluorescent lens are present, with cells dividing in the retina and the 854 retinal pigment epithelium (RPE). (E) A retinectomized axolotl eye at 0 dpi. The 855 retinectomy involves a cornea cut, lentectomy, and a retinectomy, preserving the RPE 856 857 with dividing EdU+ cells. The arrow indicates a scleral flap, which was left in the intravitreal cavity of the immediately collected eye, but it is straightened out in the eyes 858 that are allowed to regenerate. (F) A zoomed-in view of a retinectomized eye. The 859 preserved RPE layer contains EdU+ dividing cells and is discernible in the brightfield view 860 due to its black pigment. The scale bar is 100 µm. 861

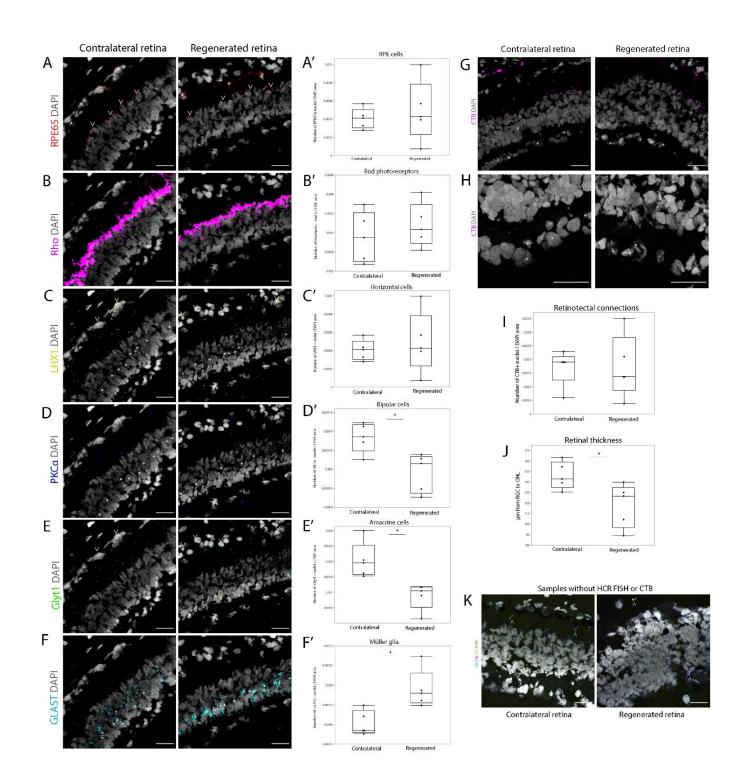


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Figure 2: The axolotl retina can regenerate after a retinectomy. (A) Timeline of axolotl retinal regeneration, using immunoreactivity for nerve marker BTUBB to label nerve layers. The retinal structure regenerates within 65 days. (B) The characteristic three-layer retinal lamination with nerve layers (BTUBB) is present both in contralateral and regenerated (90 dpi) retinas in the outer and inner plexiform layers (OPL, IPL). Photoreceptors, the RPE, and the choroid layer are highly autofluorescent. The BTUBB signal is dimmer and DAPI has permeated the photoreceptor and axonal layers because

the pictures in (A) and (B) were taken three years apart. (C-C') EdU+ dividing cells peak at 15 dpi during retinal regeneration, both in the regenerating and contralateral eyes. (D) EdU+ dividing cells express markers of RPE and Müller glia in the regenerating 15 dpi retina, but only of RPE in the contralateral uninjured retina. Arrowheads point to EdU+ cells that express either *Glast* or *Rpe65*. The scale bars are 100  $\mu$ m in A-C and 50  $\mu$ m in D.

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Figure 3: Regenerated retinas (377 dpi) contain diverse cell types and reconnect
 with the brain. HCR FISH images of cells expressing retinal cell type markers in

880 regenerated and contralateral uninjured retinas, and boxplots showing quantification of those cells: (A-A') Retinal pigment epithelium (RPE) marker RPE65. (B-B') Rod 881 882 photoreceptor marker Rho. (C-C') Horizontal cell marker LHX1. (D-D') Bipolar cell marker Pkca. (E-E') Amacrine cell marker Glyt1. (F-F') Müller glia marker Glast. (G-883 G') Abundance of RGCs expressing nerve tracer CTB at 20x magnification, at which 884 the data was guantified. The fluorescent signal above the ONL is likely autofluorescence as 885 the sections had not been treated for it. (H-H') Abundance of RGCs expressing nerve 886 tracer CTB at 40x magnification for better visibility. (I) Boxplot showing retinotectal 887 connectivity of regenerated and contralateral uninjured retinas. (J) Boxplot showing 888 thickness of regenerated and contralateral uninjured neural retinas. (K) Negative 889 control images of V3 HCR FISH, containing only fluorescent hairpins but no probes 890 for detecting gene expression. Asterisks indicate cells expressing a gene, and 891 arrowheads indicate autofluorescence. Scale bars are 50 µm. 892

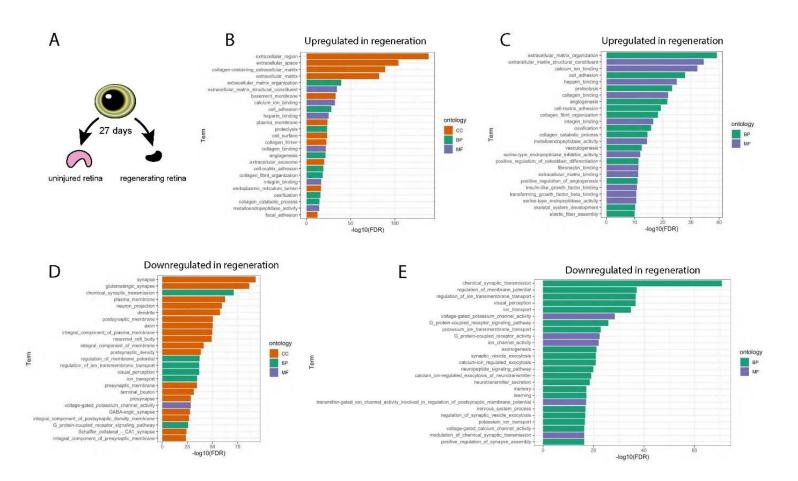
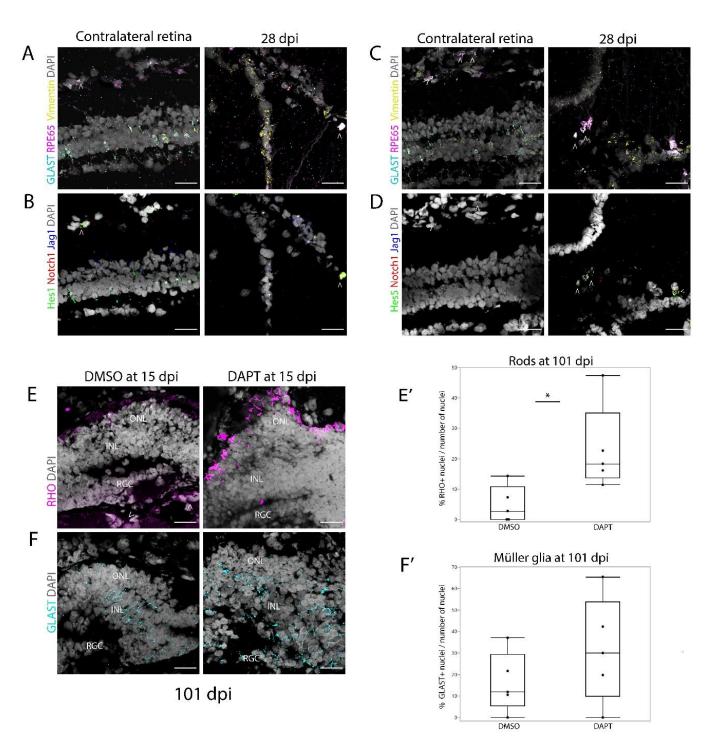


Figure 4: Mechanisms of early-to-mid stage axolotl retinal regeneration. (A) 893 Experimental design of the RNA-Seq analysis. We collected uninjured retinas from 12 894 adult axolotls and pooled them into 3 samples. We then collected the regenerating tissue 895 from the same retinectomized eyes at 27 dpi, matching the same animals to the 3 896 samples. (B) Top 25 enriched GO terms that were associated with upregulated genes in 897 retinal regeneration, including "molecular function" (MF), "biological process" (BP), and 898 "cellular component" (CC). (C) Same as in B, excluding "cellular component" category. 899 900 (D) Top 25 enriched GO terms that were associated with downregulated genes in retinal regeneration, including "molecular function" (MF), "biological process" (BP), and "cellular 901 component" (CC). (E) Same as in D, excluding "cellular component" category. 902



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Figure 5: Notch signaling modulates axolotl retinal regeneration. (A, C) Expression
of Müller glia marker *Glast*, retinal pigment epithelium (RPE) marker *RPE65*, and *Vim* in
a homeostatic retina and regenerating (28 dpi) contralateral retina; (A) was then probed

907 for Hes1 and (B) for Hes5. (B) Expression of Hes1 and other Notch pathway genes, receptor Notch1 and ligand Jag1, in the same retinal tissue section as in (A). Hes1 is 908 909 expressed in Müller glia in a homeostatic retina. (D) Expression of Hes5 and other Notch pathway genes, Notch1 and Jag1, in same tissue sections as (C). (E-E') Proportion of rod 910 photoreceptors in regenerated (101 dpi) retinas after they were treated with either vehicle 911 or Notch inhibitor DAPT at 15 dpi. (F-F') Proportion of Müller glia in regenerated (101 dpi) 912 retinas after they were treated with either vehicle or Notch inhibitor DAPT at 15 dpi. 913 Asterisks indicate cells expressing a gene, and arrowheads indicate autofluorescence. 914 Scale bar is 50 µm. 915