- 1 Joint effect of temperature and insect chitosan on the heat resistance of *Bacillus cereus*
- 2 spores in rice derivatives
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14 Summary

The heat resistance of *Bacillus cereus* spores inoculated in a rice substrate supplemented with insect chitosan as an alternative antimicrobial was studied. Two concentrations of insect chitosan were considered in order to assess the role of the insect chitosan concentration during the heat process as an antimicrobial replacement for crustacean chitosan.

Results of the study indicated that the D_T values were clearly higher in the substrate 20 without chitosan than in the substrate containing chitosan thus indicating a greater heat 21 resistance to heat treatment of the microorganism inoculated in the substrate without 22 chitosan. This behaviour was also evidenced in the survival curves. There were no great 23 differences between either of the insect chitosan concentrations tested regarding the D_T 24 values. The z values were 9.8 °C on rice substrate. 8.9 °C on rice substrate supplemented 25 with insect chitosan at 150 µg/mL and 10.7 °C on rice substrate supplemented with 250 26 $\mu g/mL$ of insect chitosan, the chitosan concentration appears to affect the z value of the 27 microorganism. Our results indicate that the combination of heat with insect chitosan as 28 an antimicrobial on foodstuffs subjected to cooking is feasible and can improve the 29 30 safety of rice derivatives.

32 Introduction

Bacillus cereus is present in many foods due to its ubiquitous nature. This 33 microorganism is one of the top ten pathogens responsible for many foodborne diseases 34 in humans (Rodrigo et al., 2021). According to the latest EFSA and ECDC report 35 36 (EFSA and ECDC 2021) B. cereus was involved in 38 outbreaks of strong evidence and 117 outbreaks of weak evidence with a total of 155 outbreaks reported in 2019. Some 37 recent outbreaks in non-EU countries have also been associated with this pathogen; 45 38 39 people were affected in an outbreak in a restaurant in Canberra (Australia) in 2018 (Thirkell et al.. 2019) and 200 students in an outbreak in a school in China in 2018 40 (Chen et al., 2019). 41

Bacillus cereus causes two types of food poisoning one of an emetic nature and the 42 43 other of a diarrheal nature (Griffiths and Schraft. 2017). On the one hand diarrheal syndrome is caused by a gastrointestinal disorder due to the ingestion of *B. cereus* 44 spores present in food and at a dose given, an appreciable probability that dells cross the 45 stomach barrier and implanting themselves in the small intestine is possible. Once they 46 germinate in the small intestine they produce enterotoxins that cause disease. On the 47 48 other hand emetic syndrome is associated with the production of cereulide toxin in the food contaminated with spores that germinate and produce the toxin resulting in 49 50 foodborne poisoning (Rouzeau et al., 2020).

In general, this microorganism is associated with complex food products that may include rice as a component; however, other rice-based products and farinaceous foods such as pasta and noodles are also frequently contaminated and involved in cases of *B*. *cereus* poisoning (Grande et al., 2006).

The ability of *B. cereus* to form spores and biofilms enables its persistence in various ecological niches and food products resulting in its presence in processed foods such as

cooked rice (Navaneethan and Effarizah. 2021). Furthermore, it is the bacteria most
commonly present in rice and rice-based products (Hwang and Huang. 2019).

Rice is a basic cereal in many diets and is widely consumed by the general population 59 given its ample supply of nutrients and its relatively low cost. This cereal is one of the 60 61 most important staple crops feeding almost half of the world's population (Wei and Huangm 2019). Starch is the most abundant component of a rice grain constituting 62 about 80% of the dry weight of a brown rice grain and approximately 90% of a milled 63 64 rice grain (Bao 2019). Rice also provides an important variety of micronutrients including vitamins such as niacin thiamine, pyridoxine or vitamin E. and minerals such 65 66 as potassium, phosphorus, magnesium and calcium (Base de Datos Española de Composition de Alimentos 2021). These conditions provide a very good substrate for B. 67 cereus growth and subsequent toxin production. 68

This cereal is habitually contaminated by *B. cereus* spores throughout all production 69 stages from cultivation to the later stages of processing and consumption. It is believed 70 that the primary habitat of emetic strains could be related to roots tubers and 71 mycorrhizae of some plants such as rice which could explain the generally higher 72 73 prevalence of these strains in carbohydrate-rich foods. In fact, starch has been shown to 74 promote *B. cereus* growth and emetic toxin production. This would explain why most 75 outbreaks of emetic disease are associated with starch-rich farinaceous foods (Ehling-76 Schulz et al. 2015).

Some works pointed out that the current cooking processes for rice and rice derivatives do not inactivate *B. cereus* spores and consequently they can germinate and grow in food if it is not stored properly (Rodrigo et al. 2021). Different control measures have been proposed to control *Bacillus cereus* in foods. As an additional strategy, heat

treatment can be combined with other control measures. In this respect, crustacean 81 82 chitosan has received attention as antimicrobial. It is a polysaccharide with a welldocumented antibacterial activity towards vegetative cells which has already been 83 effectively applied as edible chitosan films (Elsabee 2014) and in food packaging 84 applications (Kumar et al... 2020; Priyadarshi and Rhim. 2020). Nevertheless insect 85 chitosan no currently used as antimicrobial could be an alternative to the crustacean 86 chitosan as an additional control measure applied during heat processing of rice thus 87 favouring the destruction of *B. cereus* spores by affecting their heat resistance. 88 According to Van Huis et al. (2013) rearing insects is a sustainable activity more 89 90 friendlily with the environment than fishing or traditional farming. Currently there are no data on the joint effect of insect chitosan and heat on the heat resistance of B. cereus 91 spores since chitosan from crustaceans is used as a natural antimicrobial in the 92 93 preservation processes.

The purpose of this study is to determine how *B. cereus* spore inactivation is affected by the presence of insect chitosan during the heat treatment. This knowledge can pave the way to a better control of *B. cereus* during and after the cooking processes of rice and its derivatives.

98 Material and methods

99 Microorganisms and sporulation procedure

The *Bacillus cereus* CECT 148 strain used in this study was obtained from the Spanish Type Culture Collection (CECT), (Valencia, Spain). The strain was reactivated in nutrient broth by shaking for 24 hours at 32 °C and subsequently 0.5 mL of the *B*. *cereus* culture was inoculated in 20 Roux flasks (Fisher Scientific SL, Madrid, Spain) with Fortified Nutritive Agar (Scharlab. Barcelona, Spain) and incubated at 30 °C.
When the sporulation level reached approximately 90% the spores were collected.

Spore harvesting was performed using a modified metal Digralsky loop (Deltalab, Barcelona, Spain) gently sweeping the agar surface and washing it with double distilled water. The collected solution was centrifuged at 2500g for 15 minutes at 5°C the supernatant was removed suspended again in 5mL of double distilled water and was centrifuged under the same previously described conditions this process was repeated 4 times. Finally, the spores from the pellet were stored at 4 °C in distilled water.

112 Substrate preparation

The rice solutions (cooked and lyophilized rice) were prepared by dissolving 0.4 g in 19 mL H₂O. All solutions were sterilized by filtration through of a 0.45µm filter. After sterilizing the rice solution, 1 mL of the spore solution was added and homogenous distribution was guaranteed by a vortex.

Two solutions of rice with chitosan (150 and 250 µg/mL chitosan) (ecoProten, Cordoba,
Spain) were used for the heat resistance studies on the food matrix. The pH was
adjusted to between 6.8 and 6.9 by using NaOH. Finally, 1 mL of the spore suspension
was added and homogenous distribution was guaranteed by a vortex. The resulting 20
mL of solution containing spores and chitosan were poured into a 50 mL sterile beaker.

122 In all cases the spore concentration in the resulting rice solution was 10^8 spores/mL.

123 Capillary filling and heat treatment

The capillary tubes with one end closed were supplied by Vitrex, reference 217913 (1.50 x 2.00 x 100 mm). For the heat resistance study capillaries were filled using a drying chamber with a vacuum pump. Once the vacuum was achieved, it was broken 127 and the rice solution rose through the capillaries, which were filled to a volume of 2/3 of 128 their capacity. After that, the solution column was centred in the capillaries they were 129 removed from the chamber and the open end was closed with a quick-drying silicone.

Before the heat resistance study spores were heat activated in order to create the conditions for them to germinate and grow in the culture medium. For the activation of *B. cereus* spores the capillaries were placed in hooked racks designed for this type of study. The racks with the capillaries were immersed in a water bath (HAAKE N3) at 80 $^{\circ}$ C ± 0.5 for 10 minutes.

Both the rice solution alone and the rice solution containing chitosan were heat treated at 90 95 100 and 105 °C for different exposure times. A silicone oil bath (HAAKE DC5) was used for this treatment. For time cero (0) and for each treatment temperature. acapillary rack was removed after spore activation and was not heat-treated thus considered as control. The rest of the racks were withdrawn from the activation bath and immediately immersed in the oil bath at the selected temperature. A rack was removed at each time interval and immersed in ice water to stop the treatment.

142 Before the solution was plated the capillaries were cleaned with 96% ethanol and. using forceps the ends were split to extract the solution. The content of eight capillaries was 143 144 deposited into sterile Eppendorf tubes. With the solution recovered from the capillaries two series of serial decimal dilutions (series A and B) were made up to 10⁻⁶ by 145 duplicate. From each decimal solution 100 µL was plated in duplicate on nutrient agar 146 147 (Scharlab, Barcelona, Spain) enriched with 1g/L starch (Scharlab, Barcelona, Spain) and incubated for 18-20 hours at 30°C. After the incubation time, a manual count of B. 148 cereus colonies was carried out 149

150 Statistical analysis

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151	All statistical	analyses	including the	e one step	o nonlinear i	regression	were performe	a using

- 152 Statgraphics Centurion XVI Software (Addinsoft SARL. New York. NY. USA). Non-
- linear regression is a powerful technique for standardizing data analysis (Brown 2001),
- it allows obtaining the D and z values from survival curves at once

155

- 156 Results
- 157 In the present work, the heat resistance of *Bacillus cereus* was studied in a rice substrate
- 158 without insect chitosan and with insect chitosan at two concentrations.
- 159 The survival curves at each temperature tested in the study can be seen in Figures 1 to 4.

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- 161 Figure 1: Survival curves for *Bacillus cereus* heated at 90 °C
- 162 Figure 2: Survival curves for *Bacillus cereus* heated at 95 °C
- 163 Figure 3: Survival curves for *Bacillus cereus* heated at 100 °C
- 164 Figure 4: Survival curves for *Bacillus cereus* heated at 105 °C

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In general, at all temperatures studied. *B. cereus* spores were more resistant to heat in the rice substrate without chitosan. Regarding chitosan concentrations we also observed that for all temperatures the heat resistance of *B. cereus* spores was quite similar so the chitosan concentration in the heating medium did not affect the survival of these spores. The parameters defining the heat resistance of the spores were derived by a non-linear one-step fitting of the survival data. Nonlinear models often capture the relationships in a data set better than linear models. Perrin (2017) described the disadvantages of the usual linear least squares analysis of first- and second-order kinetic data and nonlinear least squares fitting was recommended as an alternative. In our study the value of the studentized residuals was in all cases two or less than two in any case three as absolute value this means that in no case the residuals exceed two standard deviations. Tables 1 2 and 3 show the estimation of the parameters that define the heat resistance of *B*. *cereus* spores D_T for each of the substrates and temperatures studied. Table 4 shows the z value for each of the studied substrate.

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Table 1: Estimation of thermal resistance parameters by a nonlinear regression in a substrate without chitosan

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Estimated D value (min)	Standard Error Asymptotic
18.90	1.78
5.87	0.37
1.82	0.078
0.56	0.029
	18.90 5.87 1.82

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Table 2: Estimation of thermal resistance parameters by a nonlinear regression in substrate with chitosan 150 μg/mL

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Temperature (°C)	Estimated D value (min)	Standard Error Asymptotic
90	15.47	0.97
95	4.27	0.18
100	1.18	0.050
105	0.32	0.023

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Table 3: Estimation of thermal resistance parameters by a nonlinear regression in substrate with chitosan 250 μg/mL

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Temperature (°C)	Estimated D value (min)	Standard Error Asymptotic
90	14.17	1.11
95	4.83	0.26
100	1.64	0.06
105	0.56	0.032

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196 Table 4: Estimated z values (°C) in different substrates

Substrate	Estimated z value (°C)	Standard Error Asymptotic
without chitosan	9.84	0.30
chitosan 150 μg/mL	8.95	0.20
chitosan 250 µg/mL	10.70	0.32

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198 The value of the parameter D_T estimated by the model is clearly higher in the substrate 199 without chitosan than in the substrate containing chitosan which indicates greater spore resistance to heat treatment without chitosan as previously shown by the survival 200 201 curves. Regarding the value of the parameter, D_T estimated by the model when chitosan 202 is present little difference was found between the two chitosan concentrations. It seems that the effect of chitosan on the heat resistance of *B. cereus* spores does not depend on 203 the concentration but rather on the molecular structure of chitosan and its interaction 204 205 with elements of the bacterial spore during heating. With respect to the value of the z 206 parameter estimated by the model varied between 8.9 and 10.7, those are quite common 207 values for this microorganism (Fernandez et al. 1999, Alvarenga et al. 2018)

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209 Discussion

Bacillus cereus is a ubiquitous microorganism that can cause serious food safety issues especially in rice products and their derivatives. Proper characterization of its thermal resistance is essential for the design and development of suitable cooking processes. Likewise the prospect of using combined processes in this case with natural antimicrobials can pave the way to improving the safety of these widely consumed products around the world. Currently there is information on the effect of temperature and on the effect of chitosan separately on *B. cereus* spores.

Several works have reported the variation in the D_T and z values of the microorganism 218 219 in different heating substrates. Pendurkaa and Kulkarni (1989) studied the heat 220 resistance of the spores of five Bacillus species including B. cereus in distilled water 221 and pasteurized skim milk. The authors found that in all cases the spores survived the cooking conditions applied to the rice. At 100 °C a D_T value of 19 min was shown by B. 222 223 cereus in distilled water while B. cereus spores were completely inactivated in skim 224 milk at the same temperature (100 °C). This result indicates low levels of heat resistance. In the present work at 100 °C a D_T value of 1.82 min was recorded when the 225 spores were heated in a rice solution. However, the great variability that exists between 226 227 B. cereus spores in relation to heat resistance is well known. Fernandez et al. (1999) studied the heat resistance of two Bacillus cereus strains isolated from cooked chilled 228 foods containing vegetables and found D_T values between 0.22 and 2.5 min at 100°C. 229

More recently. Salwa Abu El-Nour. Ali Hammad (2013) found D_{85} -values of *B. cereus* spores ranging from 24.9 to 35.2 min. D_{90} -values ranging from 7.6 to 11.6 min. whereas D_{95} -values ranged from 2.4 to 4.7 min. depending on the type of substrate. The values obtained in the present work are slightly higher probably due to the strain and substrate differences.

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Regarding the z value. Fernandez et al. (1999) reported values of 8.1 and 8.4 °C depending on the strain considered obtained on a reference substrate. Salwa Abu El-Nour. Ali Hammad (2013) reported z values of *B. cereus* spores suspended in different media ranging from 9.81 to 11.24 °C. In the present work, the z value ranged from 8.9 °C to 10.7 °C depending on the substrate used. The z values obtained in the present work are in accordance with previously reported results; therefore these results can be considered a suitable reference to develop suitable cooking conditions for rice.

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244 Today, chitosan is extensively studied given the multiple applications that it can have in both the food and the pharmaceutical industries. One of these applications is its use as a 245 246 natural antimicrobial in food preservation. Ke et al. (2021) indicated that the broadspectrum antimicrobial activity of chitosan offers great commercial potential for this 247 product. Some studies have been published in which the effectiveness of chitosan 248 249 against B. cereus has been demonstrated. Fernandes et al. (2009) found a relationship between the molecular weight of chitosan and its antimicrobial activity for both 250 vegetative cells and spores of B. cereus. Mellegård et al. (2011) studied the inhibition of 251 252 B. cereus spore outgrowth and multiplication by chitosan; they found chitosan exerts antimicrobial activity that appears to be concentration-dependent and related to the 253 254 average molecular weight and fraction of acetylation of the chitosan used as 255 antimicrobial.

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257 Currently the industry is looking into combined treatments in which the different 258 control measures are administered with lower intensities than when applied individually. In this way, pathogenic microorganisms are inactivated in a way that 259 improves both the nutritional and sensory quality of food. In some cases, this 260 combination is interesting because it can provide greater inactivation by heat than when 261 heat is administered alone. There are no studies in the literature reporting the 262 combination of heat treatment and chitosan to achieve control and inactivation of B. 263 cereus in rice-based substrates. However, the effect of combining heat treatment or 264 other control measures with natural antimicrobials has been reported in the scientific 265 266 literature. Ueckert et al. (1998) reported that exposure to heat and nisin caused synergistic reductions of Lactobacillus plantarum viability. Huertas et al. (2014) 267

studied the combined effect of natural antimicrobials (nisin. citral and limonene) and 268 269 thermal treatments on Alicvclobacillus acidoterrestris spores. Authors concluded that the antimicrobial agents tested did not affect the heat resistance of the spores; however, 270 the antimicrobials were effective in controlling the growth of the microorganisms after 271 the heat treatment. Kamdem et al. (2015) studied the effect of mild heat treatments on 272 273 the antimicrobial activity of some essential oils. Authors indicated that the combination 274 of temperature and those essential oils reduced the treatment time needed to inactivate 7 log cfu/mL of Salmonella enteritidis. In the present work, a joint effect of heat and 275 chitosan on *B. cereus* spores heat resistance was found, D_T values were in general lower 276 277 on samples containing chitosan than in the sample without chitosan. Probably, the 278 additive effect during heat treatment depends on the type of microorganism or the type 279 of antimicrobial. In the present work, we found that the effect on D_T values was not 280 dependent on chitosan concentration. It is possible that at this level the chitosan concentration does not play an important role but rather it is the molecular structure of 281 282 the chitosan that facilitates the action of heat on the bacterial spores thus reducing the number of spores capable of germinating and growing. 283

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285 **Conclusions**

This study investigated the nature of the inactivation of *Bacillus cereus* spores by combining insect chitosan with heat treatment. The results indicated that the presence of chitosan regardless of its concentration produced reductions in the D_T value of *B. cereus* spores in a rice substrate. These findings pave the way to a better control of *B. cereus* during and after the cooking processes of rice and its derivatives making the combination of chitosan with heat treatment feasible in order to improve the safety of

- these types of products. These results also indicate that insect chitosan could be an
- alternative to crustacean chitosan, as antimicrobial in combination with heat treatment.

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