1   **One-Step Making of DNA Dumbbells Allows Tunneling of Short-Read Libraries**
2   **into a Long-Read Format**
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Abstract

DNA dumbbells have many applications.   One of the useful features is to have
DNA amplified in rolling circles.   Repeated iterations allow long and accurate
sequencing from single molecules.   We have developed a highly efficient and simple
method for 'tunneling' short-read Illumina sequencing libraries into long-read
dumbbells.   A 5'-exonuclease used in Gibson Assembly converted fixed Illumina
P5/P7 sequences into sticky ends.   Self-looping oligonucleotides with
complementary 3' overhangs were ligated to form dumbbells.   The reaction
proceeded in one pot and modified only end nucleotides of input libraries.
Resultant dumbbells were ready for Phi29-dependent rolling circle amplifications.
Demonstrative readouts of a standard microbial community on the platform of
Pacific Biotechnology confirmed successful tunneling of the original P5/P7-ended
sequencing libraries.

Keywords: Dumbbell DNA, Rolling Circle Amplification, Next-Generation Sequencing
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Introduction
DNA dumbbells are a variant of circular DNA.   With topological equivalence to
circles, DNA dumbbells are highly resistant to exonucleases and can serve as stable
vectors to regulate gene expressions[1].   Beyond stability, these special forms of DNA
can be used as iterative templates to amplify DNA in rolling circles[2].   Many modern
sequencers have taken advantage of this feature to use DNA dumbbells or circles as
sequencing templates.   Pacific Biotechnology (PacBio), for example, can derive
highly accurate and long sequences *via* alignments of iterative 'subreads'[3].   MGI
Tech instead uses circular DNA to amplify sequencing signals *via* so-called 'DNA
nanoballs'[4].
Advances in parallel sequencing have made possible that a complete human
genome can be analyzed within hours[5].   Decreased costs and increased throughputs

have accelerated application of these sequencing technology in all fields of life
science.   However, the need to transform sequencing subjects into suitable library
formats remains a key pragmatic constraint.   For example, short-read libraries for
Illumina are linear DNA with fixed P5/P7 sequences on either end[6].   Long-read
libraries for PacBio are instead dumbbell-shaped with fixed loop sequences[7].   These
libraries require different workflows to prepare.   Each sequencing platform has its
own advantages and disadvantages[8].   Illumina platforms are highly accurate but
suffer from short reads, namely 150bp paired-end on most recent hardware.
PacBio platforms instead offer long reads well over 10kbp, but accuracy is only
achieved after aligning independent single-pass repeats to gain consensus 'HiFi'
sequences[3].   For some libraries, such as those that include full-length heavy chain
sequences from B lymphocytes, neither platform can be readily used.   These
libraries are typically longer than what Illumina platforms can comfortably sequence,
but there are few established workflows to format these libraries for PacBio
platforms.   A potential solution to the dilemma is to unify the protocols while
retaining a 'tunneling' option.   We achieved this option with an innovative one-step
making of DNA dumbbells.
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Materials and Methods
Preparation of 16S rDNA
16S rDNA of variable lengths from the DH5α *E. coli* strain were PCR amplified using
the following thermocycle: 95°C 3 minutes, 30 cycles of 98°C 20 seconds + 60°C 15
seconds + 72°C 2 minutes, and 72°C 7 minutes. Each 50 µl reaction contained either
a pellet from 10 µl of 5-hour culture or 10 ng template DNA, 0.2 µM each primer, and
KAPA HiFi HotStart ReadyMix (Roche). A full-length founder rDNA of 1.6 kbp was
prepared with the primer pair 5'-AAGCAGTGGTATCAACGCAGAGT
AGRGTTYGATYMTGGCTCAG-3' and 5'-CAGACGTGTGCTCTTCCGATCT
RGYTACCTTGTTACGACTT-3'. P5 and P7-flanking derivatives of lengths varying by
factors of two were subsequently amplified from the template by a common primer
5'-GGCGACCACCGAGATCTACAC AGRGTTYGATYMTGGCTCAG-3' coupled with one of
the primers 5'-GCAGAAGACGGCATACGAGAT RGYTACCTTGTTACGACTT-3', 5'-
GCAGAAGACGGCATACGAGAT CCAAGTCGACATCGTTTACGG-3', 5'-
GCAGAAGACGGCATACGAGAT CCTTCCTCCCCGCTGAAAGTA-3', 5'-
GCAGAAGACGGCATACGAGAT GCACATCCGATGGCAAGAGG-3', and 5'-
GCAGAAGACGGCATACGAGAT ACATTACTCACCCGTCCGCCACT-3' for each template.
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Dumbbell formation
16S rDNA inputs reacted with two self-looping oligonucleotides (SLOs) in

NEBuilder® HiFi DNA Assembly Master Mix (New England BioLabs®) at 45°C for 2-3

hours. The 5'-ends of SLOs were phosphorylated and protected by five consecutive

phosphorothioate bonds (*). The 3'-ends of SLOs were compatible with the

corresponding end sequences of indicated inputs. Products in Fig. 1 were formed

from the SLO pair Pho5'-G*G*C*C*A*GCAGC AGAGGAGGAC GCTGCTGGCC ATATG

AATGATACGGCGACCACCGAGATCTACAC-3' and Pho5'-G*A*A*G*T*GCGCTG

TAAGTATTAC CAGCGCACTTC ATAT CAAGCAGAAGACGGCATACGAGAT-3', while those in

Fig. 2 were formed from the SLO pair Pho5'-G*G*C*C*A*GCAGC AGAGGAGGAC

GCTGCTGGCC AAGCAGTGGTATCAACGCAGAGT-3' and Pho5'-G*A*A*G*T*GCGCTG

TAAGTATTAC CAGCGCACTTCT ATAATGG CAGACGTGTGCTCTTCCGATC-3'.

Efficiency calculation

Successfully formed dumbbells resist exonuclease digestion, but DNA inputs with

open ends do not. To calculate efficiency, one half of each cleanup prepared using

MinElute PCR Purification Kit (QIAGEN) was treated with a cocktail of exonucleases

comprising 0.2 U/ µL T7 exonuclease, 0.2 U/ µL truncated exonuclease VIII, 0.2 U/ µL

exonuclease I, and 1 U/ µL exonuclease III (New England BioLabs®), while the other

half of each cleanup was not treated. After 30 minutes at 25°C followed by 30

minutes at 37°C, remaining DNA in both halves was quantified using a DNA Qubit™

dsDNA HS Assay Kit (Invitrogen™). Efficiency of dumbbell formation was derived

accordingly.

PCR verification

A common primer, 5'-GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCT

GACTACHVGGGTATCTAATCC-3' or 16S-V4-R, was paired with loop-hybridizing primer

SL (5'-GTCCATCCTCGTCCTCCTC-3') or SL10Ta (5'-AGCAGC GTCCTCCTCT GCTG-3') to

verify dumbbell formation, and 16S-V3-F (5'-

ACACTCTTTCCCTACACGACGCTCTTCCGATCT CCTACGGGNGGCWGCAG-3') to control

inputs. PCR reactions were performed in Q5 HiFi polymerase ready mix (New England

BioLabs®) using the following thermocycle: 98°C 30 seconds; 5 cycles of 98°C 10

seconds, 45°C (SL) or 62°C (SL10Ta or 16S-V3-F) 3 minutes; 25 cycles of 98°C 10

seconds, 54°C (SL) or 62°C (SL10Ta or 16S-V3-F) 30 seconds, 72°C 40 seconds; and

72°C 2 minutes.

Rolling circle amplification

112　　Each Phi29-dependent rolling circle amplification on dumbbells was primed with

113　loop-hybridizing primer SL or SL10Ta. Specifically, a 10 µL mixture of 10 fmol

114　dumbbell template, 0.5 or 5 pmol primer, and 1mM dNTP was heated at 95°C for 3

115	minutes before ramping down slowly to 25°C. Bovine serum albumin at final 0.2
116	mg/mL and Phi29 polymerase (New England BioLabs) were added and the reaction
117	ran at 30°C for 16 hours before inactivation at 65°C for 10 minutes.
118	
119	**Tunneled PacBio sequencing**
120	Customized primers to amplify full-length 16S with P5/P7 ends are illustrated in
121	**Fig. 3a**. Sequence details are in **Supplementary Table 3**. PCR thermocycles were:
122	95°C 3 minutes, 25-28 cycles of 95°C 30 seconds + 57°C 30 seconds (ramp rate ≤ -3
123	°C/sec) + 72°C 1 minute, and 72°C 3 minutes. Each 25 µl reaction contained 2 ng
124	template DNA, 0.375 µM primer each, and KAPA HiFi HotStart ReadyMix (Roche).
125	PCR products were cleaned up with MinElute PCR Purification Kit (QIAGEN) and
126	quantified by DNA Qubit™ dsDNA HS Assay Kit (Invitrogen™). Purified amplicons
127	receiving the same pair of SLOs were pooled equally before assembly. Most
128	samples were subjected to asymmetric looping (**Supplementary Table 1**), which
129	allowed docking of the PacBio sequencing primer on the P5 loop only. One sample
130	received symmetric looping, which took the PacBio sequencing primer on both loops.
131	SLOs for asymmetric looping are Pho5'-C*T*C*T*C*TCAACAACAAC
132	TCCTCCTCCTCCGTT GTTGTTGTTGAGAGAGAT GATAC GGCGACCACCGAGATCTACAC-3'
133	and Pho5'-G*A*A*G*T*GCGCTG TAAGTATTAC CAGCGCACTTC ATAT
134	CAAGCAGAAGACGGCATACGAGAT-3'. The latter was replaced with Pho5'-
135	C*T*C*T*C*TCAACAACAAC TCCTCCTCCTCCGTT GTTGTTGTTGAGAGAGAT CAA
136	GCAGAAGACGGCATACGAGAT -3' for symmetric looping. The assembly condition
137	has been described above in 'Dumbbell formation.' Two samples were from DH5α
138	*E. coli* strain, one sample was ZymoBIOMICS Microbial Community Standard (D6300,
139	ZYMO RESEARCH), and the others were controls that have been sequenced on the
140	PacBio platform before.
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142	**Bioinformatic analysis of tunneled PacBio sequencing**
143	In brief, adapters were recalled using PacBio's utility *recalladapters*. The output
144	subreads.bam was processed by the *ccs* utility to get the fastq file. After
145	demultiplexing, records belonging to the standard microbial community were pooled
146	into another fastq file for downstream analyses following the published workflow.
147	Detailed commands and scripts are in the supplementary file.
148	
149	**Results**
150	**One-step making of DNA dumbbells**
151	This study followed Gibson Assembly[9] in utilizing 5'-exonucleases to expose sticky
152	ssDNA in fixed P5/P7 sequences on Illumina libraries (**Fig. 1a**). Introduction of self-

looping oligonucleotides with complementary 3'-ends completed dumbbell

formation in one pot.   Phosphorothioate bonds were artificially introduced at the

5'-ends of looping oligonucleotides to resist the action of 5' exonucleases.

As a demonstration of the method, five sequencing libraries of DH5α 16S with

P5/P7 ends were prepared, with lengths varying over four powers of two (**Fig. 1b**, left

panel).   Library conversions were performed using commercially-available ready-mix

NEBBuilder kits.   Purified products were subjected to digestion by a cocktail of

exonucleases (**Fig. 1b**, right panel).   Only successfully converted dumbbells were not

degraded by the exonuclease cocktail.   Conversion efficiency decreased moderately

with input length, ranging from 69% for the longest input to 98% for the shortest

input.   Without conversions, input DNA was highly susceptible to exonucleases;

only 1.4% of unconverted input DNA remained undegraded in the longest input (**Fig.

1b**, right panel).

Verification of dumbbell formation

Looping reactions were verified using PCR (**Fig. 2a**).   Dumbbells with known loop

sequences were prepared from the DH5α 16S sequence.   Two primers, SL and

SL10Ta, differ in end sequences but hybridize with the same loop of each dumbbell.

Both were each paired with a primer matching the input sequence (16S-V4-R).   Only

dumbbells, and not unmodified inputs, could yield positive bands.   SL and SL10Ta

were also used for Phi29-based rolling circle amplification[2] (**Fig. 2b**).   Only

dumbbells, and not unmodified inputs, allowed Phi29-catalyzed polymerization of

high molecular-weight products (**Fig. 2b**, arrow).

Tunneling to PacBio Sequencing

DNA dumbbells are the template format of PacBio sequencers.   Analyses of a

standard microbial community on the PacBio platform have been published by

Callahan et al[10].   For comparison, we prepared a full-length 16S library from the

same standard community with customized PCR primers (**Fig. 3a and Supplementary

Table 1**), along with other full-length 16S control libraries (**Supplementary Table 2**).

These PCR oligos differed from PacBio standards on the 5' ends, where the forward

primer had Illumina P5 sequences and the reverse primer had Illumina P7 sequences,

respectively.   After PCR amplification, these linear DNA libraries were converted to

dumbbell derivatives.

The libraries were sequenced on the PacBio Sequel platform with default

parameters (**Supplementary Table 2**).   Demultiplexed reads of the standard

community were analyzed with the same pipeline from Callahan et al[10].   23

amplicon sequence variants (ASV) of full-length 16S were identified by DADA2[11, 12]

of which matched exactly to reference sequences (**Supplementary Table 3**).   All

eight bacteria genera, including *Bacillus, Enterococcus, Escherichia, Lactobacillus,*

Listeria, Pseudomonas, Salmonella, and Staphylococcus were detected.   Their

genomic abundances are supposed to be equal.   Our results reasonably presented

the prediction with a relatively even distribution (**Fig. 3b**).   Precise stoichiometry of

ASV per genome (**Fig. 3c**) corresponding to Callahan's results[10] was successfully

reproduced.

Discussion

In this study we offered a simple method to convert P5/P7-ended short-read

libraries into DNA dumbbells in one step.   Resultant dumbbells are ready for Phi29-

based rolling circle amplifications (RCA)[2].   PacBio uses RCA as the *bona fide*

sequencing mechanism.   Oxford Nanopore can employ RCA to increase sequencing

accuracies[12, 13].   MGI Tech needs RCA to prepare sequencing templates as 'DNA

nanoballs'[4].   In other words, our method provides a unified one-step option to

tunnel all P5/P7-ended sequencing libraries to a long-read format which operates on

many different sequencers.

Known methods to make DNA dumbbells often take multiple steps and employs

PCR[14, 15].   Instead our method combines the principle of Gibson Assembly[9] and the

unique topology of self-looping oligonucleotides to make DNA dumbbells in one step.

This protocol modifies only the end nucleotides of input libraries.   Native

nucleotides inside flanking P5/P7 sequences can in principle be left untouched.

Asymmetric dumbbells can be readily achieved by ligating P5- or P7-specific loops.

Methods for linking multiple dumbbells in tandem can be pursued with minor

modifications.

We demonstrated the tunneling option to the PacBio sequencer (**Fig. 3**).

Although read depths were suboptimal (**Supplementary Table 2**), analyses of the

standard microbial community exhibited very good correspondences to previously

published results[10].   We saw reasonably distributed abundances of bacteria

genomes from the mock standard community.   Totally 23 ASV of full-length 16S

were identified.   The number was six fewer than that identified by Callahan's[10] et

all.   With a significant difference in sequencing depths, the disparity was not

unexpected.   Importantly, stoichiometry of ASV per genome matched Callahan's

results exactly.   The missing three ASV for *Bacillus*, two ASV for *Enterococcus*, and

one ASV for *Staphylococcus* did not change overall integral sums of ASV for these

bacteria, which remained 10, 4, and 6, respectively.

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Author Contributions

Y.H.C. conducted experiments.   H.H.L. invented the method.

Competing Financial Interests

A patent application is being filed.

Figures

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Figure 1 | Demonstration of protocol. (**a**) P5/P7 ends of input libraries are processed using a 5'-exonuclease, as in Gibson Assembly. Only one end is illustrated. 3'-ssDNA is exposed by dsDNA 5'-exonuclease, which allows 5'-phosphorothioated and 5'-phosphorylated self-looping oligonucleotides to anneal with complementary 3'-overhangs. DNA polymerase and ligase complete the looping reaction. (**b**) Five DH5α 16S libraries of lengths varying by factors of two were subjected to conversion. Products were tested with a cocktail of exonucleases, which has no effect on successfully looped dumbbells. Conversion efficiencies varied from 69% for the longest input sequence to 98% for the shortest input sequence. Little unconverted input survived digestion by the exonuclease cocktail.

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Figure 2 | Verification of protocol. (**a**) Two different primers capable of hybridizing
with a loop paired with a reverse primer matching the input sequence. Only looped
products, and not unconverted inputs, yielded positive PCR bands. (**b**) Rolling circle
amplifications by Phi29 polymerase proceeded only on dumbbell templates primed
with two different loop-hybridizing primers. No high molecular-weight products
(arrow) were produced using unconverted inputs as templates.

Figure 3 | Tunneling to PacBio sequencing. (**a**) A pair of primers able to amplify full-

length 16S with P5/P7 end sequences are illustrated. (**b**) Genome abundances of

eight bacteria genera were relatively equal, corresponding to the made composition

of the microbial community standard. (**c**) Stoichiometric analyses of 16S ASV per

bacteria genome revealed integral values and sums in line with expectations.

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