# 1 TITLE PAGE

# 2 Manuscript title

- 3 Sigh breathing rhythm depends on intracellular calcium oscillations in a population of inspiratory
- 4 rhythmogenic preBötzinger complex neurons in mice

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# 23 ABSTRACT

24 The preBötzinger Complex (preBötC) of the lower brainstem generates two breathing-related 25 rhythms: one for inspiration on a timescale of seconds and another that produces larger 26 amplitude sighs on the order of minutes. Their underlying mechanisms and cellular origins 27 remain incompletely understood. We resolve these problems via a joint experiment and 28 modeling approach. Blocking purinergic gliotransmission does not perturb either rhythm and 29 imaging experiments show that both rhythms emanate from the same glutamatergic neuron 30 population. We hypothesized that these two disparate rhythms emerge in tandem wherein 31 recurrent excitation gives rise to inspiratory rhythm while a calcium oscillator generates sighs; 32 there is no obligatory role for gliotransmission, hyperpolarization activated mixed cationic 33 current  $(I_{\rm h})$  in neurons, or synaptic inhibition-mediated coupling of separate populations. We 34 developed a mathematical model that instantiates our working hypothesis. Tests of model 35 predictions validate the single-population rhythmogenic framework, reproducing disparate 36 breathing-related frequencies and the ability for inspiratory and sigh rhythms to be separately 37 regulated in support of respiration under a wide array of conditions. Here we show how a single 38 neuron population exploits two cellular tool-kits: one involving voltage-dependent membrane 39 properties and synaptic excitation for inspiratory breathing (eupnea) and an intracellular 40 biochemical oscillator for sighs, which ventilate and maintain optimal function in the compliant 41 mammalian lung.

# 42 SIGNIFICANCE STATEMENT

- 43 Breathing consists of two vital rhythms: one for eupnea that serves periodic physiological gas
- 44 exchange and the other for sighs, which are larger breaths that occur minutes apart and serve
- 45 to optimize pulmonary function. These rhythms with disparate frequencies emerge via a
- 46 mechanism that is simpler than previously envisaged: it results from one neuron population (not
- 47 two as previously thought) without need for gliotransmission or synaptic inhibition-mediated
- 48 coupling of neuronal populations. We show that a low-frequency intracellular calcium oscillation
- 49 underlies sighs and functions in parallel with the higher-frequency voltage-dependent network
- 50 oscillation that drives eupnea. Exploiting two separate cellular tool kits enables quasi-
- 51 independent breathing rhythms, which are unique features of breathing in mammals with
- 52 compliant lungs.

#### 54 MAIN TEXT

#### 55 Introduction

- 56 Central pattern generator (CPG) circuits produce the underlying rhythm and rudimentary motor
- 57 pattern for rhythmic behaviors (1). In mammals, depending on context, the locomotor CPG
- 58 produces either walking, running, or bounding where each form of locomotion is mutually
- 59 exclusive (2); the oromotor CPG produces either chewing, lapping, or swallowing where, again,
- 60 one form of ingestive behavior precludes the others (3, 4). The breathing CPG, however,
- 61 generates two rhythms in tandem: one for eupnea to ventilate the lungs on a second-to-second
- 62 basis (~3 Hz in rodents to ~0.2 Hz in humans) and another for sighs to optimize pulmonary
- function with periodicity on the order of minutes (0.5-5 min<sup>-1</sup> in rodents to  $\sim$ 0.2 min<sup>-1</sup> in humans)
- 64 (5). We investigated their underlying mechanisms to elucidate the neural origins of breathing
- and advance understanding of CPGs in general.
- 66 Eupnea and sigh are both forms of inhalation and both emanate from the preBötzinger Complex
- 67 (preBötC) of the lower brainstem (6, 7). The active phase of eupnea, inspiration, initiates due to
- 68 recurrent excitation among glutamatergic preBötC interneurons (8, 9) and terminates due to
- 69 refractory mechanisms including synaptic depression and recruitment of neural activity-
- 70 dependent outward currents (10–12).

The sigh mechanism is less clear but may involve calcium (Ca<sup>2+</sup>) oscillations because it is 71 72 relatively voltage-insensitive in *in vitro* models of breathing and can be disrupted by antagonists of voltage-gated Ca<sup>2+</sup> channels, intracellular Ca<sup>2+</sup> release, or Ca<sup>2+</sup> chelation (7, 13, 14). One 73 74 cogent model of the embryonic system posited a discrete neuron population that generates sigh 75 rhythm via Ca<sup>2+</sup> oscillations that depend on hyperpolarization-activated mixed cationic current 76  $(I_{\rm h})$ . Also, a discrete neuron population generating inspiratory rhythm via voltage-dependent 77 persistent Na<sup>+</sup> current ( $I_{NaP}$ ) putatively synchronizes with the sigh oscillator through chloride-78 mediated synaptic inhibition (13). The veracity of the model depends on: i) the existence of 79 dedicated sigh and inspiratory neuron populations, ii)  $I_{\rm h}$  being sigh rhythmogenic, iii)  $I_{\rm NaP}$  being 80 inspiratory rhythmogenic, and iv) synaptic inhibition coupling the two populations. Yet, all four

- 81 stipulations are problematic.
- 82 First, the literature is contradictory regarding whether dichotomous eupnea- and sigh-
- specialized neuron populations exist in the preBötC (15, 16); furthermore, a more recent report
- 84 suggests that preBötC astrocytes are sigh rhythmogenic (17). Second, experimental data are

unsupportive regarding a rhythmogenic role for  $I_{NaP}$  in inspiration (18–22). Third, blocking  $I_h$ 

stops sigh rhythm in the embryonic preBötC (13) but this observation has not been corroborated

87 in the preBötC postnatally. Lastly, synaptic inhibition is not necessary to coordinate inspiratory

and sigh oscillations in the slice model of rhythmogenesis postnatally (23).

89 We exploited rhythmically active slice preparations postnatally to address the unresolved issues

90 recapped above, constructed a mathematical model of inspiratory and sigh rhythmogenesis,

and then evaluated its testable predictions. We posit that a recurrent excitation-based network

92 oscillator generates inspiration while interactions between plasma membrane (PM) Ca<sup>2+</sup> fluxes

and  $Ca^{2+}$  excitability of endoplasmic reticulum (ER) drive sighs. Disparate mechanisms enable

94 inspiratory and sigh rhythms to operate quasi-independently within a single neuronal population

tasked with maintaining functionality of compliant mammalian lungs and adjusting breathing for

96 different physiological contexts.

### 97 Results

### 98 Inspiratory and sigh rhythms can be separately modulated

99 Slice preparations that retain the preBötC remain rhythmically active in vitro and generate 100 breathing related motor output via the hypoglossal (XII) nerve. We monitored inspiratory and 101 sigh frequency via preBötC field recordings and XII motor output while manipulating the 102 baseline membrane potential of preBötC neurons via artificial cerebrospinal fluid (aCSF) 103 extracellular K<sup>+</sup> concentration ( $[K^+]_o$ ) (Fig. 1A). Mean inspiratory frequency measured 0.14 ± 104 0.05 Hz (N = 19) at 9 mM [K<sup>+</sup>]<sub>o</sub> aCSF. Decreasing [K<sup>+</sup>]<sub>o</sub> slowed inspiratory frequency 105 incrementally such that at 3 mM [K<sup>+</sup>]<sub>o</sub> it measured 0.009  $\pm$  0.011 Hz (N = 9) or zero (N = 11) 106 (Fig. 1B, left). These data align with previous studies showing that baseline membrane 107 excitability governs inspiratory frequency (24, 25), consistent with a recurrent excitation-based 108 network oscillator as its core underlying mechanism (26–28, 12). Mean sigh frequency 109 measured 0.66 ± 0.17 min<sup>-1</sup> (N = 13) at 9 mM [K<sup>+</sup>]<sub>o</sub> (Fig. 1B, right). Decreasing [K<sup>+</sup>]<sub>o</sub> slowed the 110 sigh frequency incrementally such that at 3 mM it measured  $0.26 \pm 0.08$  min<sup>-1</sup> (N = 5). Sigh 111 rhythm was 19-fold less sensitive to changes in  $[K^+]_0$  than inspiratory rhythm (relative frequency 112 increase of m = 19,  $r^2 = 0.94$ , p =  $3.6 \times 10^{-4}$ ) (Fig. 1C).

113 Neuromedin B (NMB) is a bombesin-like peptide associated with sigh regulation (29) (Fig. 1D).

114 We meta-analyzed NMB effects on inspiratory and sigh frequency from Li et al. (28). Sigh

frequency increased from  $4.5 \pm 1.6 \text{ min}^{-1}$  in control to  $8.3 \pm 1.6 \text{ min}^{-1}$  following 10 nM NMB and

116 to 9.7 ± 2.2 min<sup>-1</sup> following 30 nM NMB ( $r^2 = 0.58$ ,  $p = 5.8 \times 10^{-5}$ ) (Fig. 1E, right). We analyzed

- 117 inspiratory frequency via all *events*, i.e., both standalone inspiratory bursts and sigh bursts,
- 118 which typically build off an inspiratory burst with very short latency ( $\leq 1 s$ ) (7, 23). Control
- 119 inspiratory (event) frequency of 0.25 ± 0.03 Hz remained unaffected by 10 nM NMB (0.25 ± 0.04
- Hz) and 30 nM NMB (0.24 ± 0.05 Hz) (Fig. 1E, left). Comparing the change in inspiratory (event)
- frequency to the change in sigh frequency yields a flat line (m = 0,  $r^2 = 6.4 \times 10^{-3}$ , p = 0.73)
- 122 (Fig. 1F), indicating that sigh rhythm can be modulated without changing inspiratory rhythm
- 123 frequency (14). These data suggest that inspiratory and sigh rhythms have different
- 124 mechanisms.

#### 125 *Purinergic signaling is not necessary for sigh rhythm generation*

- 126 One possibility is that two discrete rhythmogenic mechanisms are embodied in different cell
- 127 populations, neural and/or non-neural (17). Astrocytes can generate intracellular Ca<sup>2+</sup>
- 128 oscillations and gliotransmission via purinergic P2 receptors modulates inspiratory preBötC
- 129 rhythms (30–32), which suggests astrocytes communicating with preBötC neurons via
- 130 purinergic P2 receptors is a feasible mechanism for sigh rhythmogenesis.
- We monitored preBötC neurons derived from progenitors that express the transcription factor 131 132 *Dbx1* (hereafter: Dbx1 neurons), which comprise the inspiratory rhythmogenic preBötC core 133 (33–35). Multiphoton imaging of membrane-bound GCaMP6f in Dbx1;Ai148 mouse slices 134 produced measurable Ca<sup>2+</sup> transients simultaneously recorded with XII motor output (Fig. 135 S1A,B). Blocking the spectrum of P2 receptors via a cocktail of antagonists (50 µM PPADS, 50 136 µM suramin, 10 µM TNP-ATP, 10 µM MRS2179, and 10 µM MRS2578) did not modify the 137 frequency of either inspiratory rhythm (0.27  $\pm$  0.06 Hz in control vs. 0.26  $\pm$  0.07 Hz in P2 138 antagonist cocktail, p = 0.41) or sigh rhythm (0.73 ± 0.22 min<sup>-1</sup> in control vs. 0.74 ± 0.18 min<sup>-1</sup> in 139 P2 antagonist cocktail, p = 0.87) (Fig. S1C). A parallel experiment employed the highly selective 140 P2Y<sub>1</sub> antagonist MRS2279 because recent evidence in preprint form purports that 141 gliotransmission specifically via P2Y<sub>1</sub> receptors is obligatory for sigh rhythmogenesis in vitro 142 (17). Bath-applied 20 µM MRS2279 had no effect on either inspiratory or sigh rhythm 143 (inspiratory rhythm:  $0.20 \pm 0.04$  Hz in control vs.  $0.26 \pm 0.06$  Hz in MRS2279. p = 0.13; sighting the second state of the rhythm:  $0.86 \pm 0.45 \text{ min}^{-1}$  in control vs.  $0.61 \pm 0.28 \text{ min}^{-1}$  in MRS2279, p = 0.26) (Fig. S2A-C). 144
- We also plotted a histogram of inter-event intervals (Figs. S1D and S2D). In control there are peaks at 5 s and ~10 s that reflect the typical interval between inspiratory bursts and the

- 147 prolonged interval that follows a sigh, respectively. The distributions remain bimodal with peaks
- 148 at ~5 s and ~10 s after blocking purinergic signaling, indicating that P2/P2Y<sub>1</sub> receptor blockade
- does not prevent or modify inspiratory and sigh rhythms. In both conditions, peaks near 1 s
- 150 reflect the short latency between an inspiratory burst and the subsequent sigh burst (7, 23).

Because the sigh rhythm persists unperturbed after blocking purinergic P2 receptor-mediated
signaling, it is unlikely to require gliotransmission, which suggests that astrocytes are not sigh

153 rhythmogenic.

#### 154 Sigh and inspiratory rhythms arise from the same neuron population

155 A previous study reported that sigh-only neurons constitute 5% of the preBötC (15). We 156 monitored Dbx1 preBötC neurons in Dbx1;Ai148 slices (N = 9) by averaging sweeps of their 157 Ca<sup>2+</sup> transients triggered by discharge of the XII nerve; 208 of 209 Dbx1 preBötC neurons were 158 active during both inspiratory and sigh bursts. We applied a one-sided binomial test to 159 determine the likelihood of results as extreme as ours if sigh-only neurons constitute 5% of the 160 preBötC ( $H_0$ : p = 0.05): the probability of detecting a single sigh-only neuron given 209 trials is 161  $2.65 \times 10^{-4}$ . Therefore, we reject the hypothesis that 5% of preBötC neurons are dedicated to 162 sigh rhythm, which comports with the absence of sigh-only neurons in ref. (16). In summary, our 163 observations provide no evidence to support dichotomous inspiratory and sigh-dedicated 164 neuronal (or glial) populations, but rather, these data suggest both rhythms emerge from the 165 same excitatory *Dbx1*-derived neuronal population already established as inspiratory 166 rhythmogenic (33–35).

# 167 Intracellular Ca<sup>2+</sup> oscillations produce sigh rhythm

- 168 Sigh bursts do not emerge from a voltage-dependent network oscillator (Fig. 1B,C) and Dbx1
- 169 preBötC neurons show sigh-related  $Ca^{2+}$  transients that do not depend on gliotransmission
- 170 (Figs. S1 and S2). These observations suggest neuronal  $Ca^{2+}$  oscillations produce sigh bursts.
- 171 A model (13) of the embryonic preBötC posited a similar idea but also depended on  $I_h$ . We
- tested its veracity by applying the selective  $I_h$  blocker ZD7288 (50  $\mu$ M) to postnatal (not
- 173 embryonic) slices, which had no effect on sigh frequency (0.87  $\pm$  0.55 min<sup>-1</sup> in control vs 1.12  $\pm$
- 174 0.38 min<sup>-1</sup> in ZD7288, N = 3, paired t-test p = 0.41). In summary, we found that sigh
- 175 rhythmogenesis does not depend on  $I_h$  (Fig. S3).

176 We formulated a mathematical model of the preBötC wherein both inspiratory and sigh rhythms

177 emanate from a single Dbx1 neuron population without an obligatory role for  $I_h$  or chloride-

178 mediated synaptic inhibition (23) (SI Appendix). The model tracks collective network behavior

179 via five state variables for neuronal activity (*a*), synaptic depression (*s*), cellular adaptation ( $\theta$ ),

and intracellular  $Ca^{2+}(c, c_{tot})$ . Peaks in the time series of *a* represent inspiratory and sigh bursts

181 (Fig. 2A).

182 The inspiratory subsystem  $(a, s, \theta)$  represents averaged activity and excitability of the preBötC.

183 It is a network oscillator that depends on recurrent excitation, i.e., *a* is regenerative during

preinspiration (36, 8, 28, 26) (See SI Appendix section 1, Appendix Figs. 1-4). Inspiratory bursts

terminate by refractory mechanisms including synaptic depression (*s*) and outward currents

186 recruited by neural activity ( $\theta$ ) (24, 10–12). Burst termination is well-illustrated by trajectory in

187  $(s, \theta)$  phase space where s decreases (synapses depress) while  $\theta$  increases (outward currents

188 activate) (Fig. A, right, magenta). During the interburst interval *s* recovers faster than  $\theta$  declines

189 (Fig. 2A, right, cyan); the next inspiratory burst occurs when outward currents fully deactivate.

190 This three-variable subsystem captures preBötC burst dynamics (see SI Appendix, section 1)

such that it is unnecessary to explicitly model each constituent neuron at present.

192 Figure 2B shows a schematic of the sigh-rhythmogenic Ca<sup>2+</sup> subsystem. Inspiratory bursts

193 activate voltage-gated Ca<sup>2+</sup> currents yet cytosolic Ca<sup>2+</sup> (c) increases only minimally because the

194 endoplasmic reticulum (ER) sequesters most of the entering  $Ca^{2+}$  via SERCA pumps (37, 38).

195 Total Ca<sup>2+</sup> ( $c_{tot}$ ) increases in step with inspiratory rhythm as the ER fills up (Fig. 2A left, orange

196 trace). In the  $(c, c_{tot})$  phase space (Fig. 2A, lower right) the trajectory follows the left (low c)

branch of the *c* nullcline. When the system reaches its left knee (Fig. 2A, \*) the replete ER

releases  $Ca^{2+}$  and the trajectory moves to the right (high *c*) branch of the *c* nullcline (rightward

horizontal trajectory, purple arrowhead). The resulting increase in c evokes Ca<sup>2+</sup>-activated non-

specific cation current ( $I_{CAN}$ ) to trigger the sigh burst (prominent peaks in the *a* time series).

201 During the sigh burst, plasma membrane Ca<sup>2+</sup> ATPase (PMCA) pumps extrude cytosolic Ca<sup>2+</sup>; c

and  $c_{tot}$  both decrease (purple trace with down then leftward trajectory) and the system returns

to the left (low *c*) branch of the *c* nullcline. Very high *a* during a sigh increases  $\theta$  beyond its

peak value during inspiratory bursts (Fig. 2A,C red traces).  $\theta$  takes longer to recover from this

205 elevated level, which explains the post-sigh apnea.

The  $(c, c_{tot})$  system captures Ca<sup>2+</sup> dynamics within constituent neurons, but can it account for synchronized oscillation throughout the network? We simulated 400 neurons with action

- 208 potential-generating capabilities and internal Ca<sup>2+</sup> dynamics as described above. Excitatory
- 209 synaptic interactions, which are the basis for recurrent excitation, suffice to synchronize the Ca<sup>2+</sup>
- 210 oscillations of the constituent neurons (Fig. S4, SI Appendix section 5) such that it is
- 211 unnecessary to explicitly model each constituent neuron as we test the veracity of our model for
- 212 explaining the dynamics of the biorhythmic inspiratory-sigh system.

### 213 Inspiratory and sigh rhythms can be separately modulated in the model

- 214 We tested model predictions by simulating the change in cellular excitability that results from
- 215 manipulating  $[K^{\dagger}]_{\circ}$  in the aCSF *in vitro*. The relevant model parameter,  $\gamma_a$ , is the input-output
- 216 function attributable to a leak current that determines how close baseline membrane potentials
- are to spike threshold. Inspiratory model frequency ranged from quiescence to ~0.25 Hz as  $\gamma_a$
- 218 varied from 0.1 to 0.4 (akin to varying [K<sup>+</sup>]<sub>0</sub> 3 to 9 mM) whereas sigh frequency ranged from 0.38
- to 1.08 min<sup>-1</sup> (i.e., 0.006-0.018 Hz, Fig. 2D). Inspiratory rhythm is 20-fold more sensitive to
- changes in excitability than sigh rhythm, consistent with experiment (compare Figs. 2D and 1C).
- 221 We simulated the effects of bombesin-like peptides at the final stage of their Gq-linked signaling
- 222 cascade (39) by increasing the inositol 1,4,5-trisphosphate receptor (IP<sub>3</sub>R) release rate via
- parameter  $v_{ip3r}$ . Doing so accelerated sigh frequency from 0.01 to 0.16 Hz without affecting
- inspiratory frequency (Fig. 2E), which matches the NMB experiments (compare Figs. 2E to 1F).
- 225 Separate mechanisms and modulation govern inspiratory and sigh rhythms.

### 226 Disrupting SERCA activity reduces sigh frequency

- 227 Partially blocking SERCA (i.e., decreasing  $v_{SERCA}$  from 60 to 30 s<sup>-1</sup>) counterintuitively increases
- sigh frequency but decreases sigh magnitude (Fig. 3A). Both effects are a consequence of how
- SERCA activity influences the  $c_{tot}$  threshold that compels ER Ca<sup>2+</sup> release, marked by the left
- knee of the *c* nullcline (Fig. 2A  $\star$ , S5B). Sigh frequency is determined by the time required to
- refill the ER, which depends on the vertical separation between the knees of the *c* nullcline.
- Reducing  $v_{SERCA}$  by 50% decreases their vertical separation and thus speeds-up sigh rhythm
- 233 (Fig. S5A,B). Further reducing  $v_{SERCA}$  by 80% (12 s<sup>-1</sup>) stops sigh rhythmogenesis because the
- knee of the *c* nullcline crosses the vertical  $c_{tot}$  nullcline, which now intersects the *c* nullcline on
- its positively sloped left branch, i.e., oscillations cease via a Hopf bifurcation (Fig. 3A, S5C).
- Sigh frequency in slices can be determined from preBötC field recordings or via XII motor
   output. However, sigh magnitude can only be accurately measured via preBötC field recordings

because XII output is filtered by premotor neurons postsynaptic of the preBötC core. We bath 238 239 applied 10 µM thapsigargin to partially block SERCA pumps. Sigh frequency increased 0.8 ± 0.48 min<sup>-1</sup> in control vs.  $1.23 \pm 0.90$  min<sup>-1</sup> in thapsigargin (paired t-test, p = 0.04, N = 9) (Fig. 240 241 3B,C). Sigh burst magnitude decreased by 32% (24 ± 13 mV-s in control vs. 16 ± 11 mV-s in 242 thapsigargin, paired t-test, p = 0.029, N = 5) (Fig. 3B,D). The effect on sigh frequency was 243 reversible  $(0.8 \pm 0.42 \text{ min}^{-1})$ ; sigh magnitude recovered in three out of the five field recordings 244 (19 ± 11 mV-s) (Fig. 3C,D). These experimental results matched the model predictions for 245 partial SERCA blockade.

246 Next, we fully blocked SERCA pumps by injecting a high dose of thapsigargin (100  $\mu$ M) 247 bilaterally into the preBötC. We microinjected into the preBötC to avoid widespread blockade of 248 SERCA pumps following bath application. Local microinjection is important in this context 249 because neurons outside of preBötC that are retained in slices, like the raphé obscurus, are 250 tonically active and help maintain preBötC excitability (40). Widespread SERCA blockade via 251 bath application of a high concentration of thapsigargin could impact the preBötC rhythmogenic 252 network via indirect effects. The drawback is that local injection precludes simultaneous local 253 field potential recording. Therefore, we assessed sigh rhythm following 100 µM thapsigargin 254 solely via XII output and thus only analyzed sigh frequency.

To establish that our injection micropipettes correctly targeted the preBötC we first injected bolus of 25 mM [K<sup>+</sup>]<sub>o</sub> aCSF into the preBötC bilaterally. Potassium rapidly and reversibly increased inspiratory frequency whereas 1% DMSO aCSF, the vehicle, had no effect (Fig. S6). Thapsigargin (100  $\mu$ M) stopped the sigh rhythm (N = 3) or decreased it by 81% to 0.25 min<sup>-1</sup> (N = 1) (Fig. 3E,F), which recovered in washout (from 1.52 ± 0.25 min<sup>-1</sup> in control to 1.17 ± 0.21 min<sup>-1</sup> in washout).

We further measured inter-event intervals as a metric of sigh rhythm. During control conditions the inter-event interval distribution is bimodal, with a peak at ~8 s representing the inspiratory intervals and a peak at ~13 s representing the post-sigh apneas (Fig. 3G). The inter-event interval histogram became monophasic in the presence of 100  $\mu$ M thapsigargin; its sole peak at ~6 s represents inspiratory intervals; the lack of another peak at >6 s indicates the cessation of sigh rhythm. These experimental results matched the model predictions for ≥80% blockade of SERCA pumps.

#### 268 Blocking IP<sub>3</sub>Rs diminishes sigh frequency

Attenuating IP<sub>3</sub>R-mediated Ca<sup>2+</sup>-induced Ca<sup>2+</sup> release rate  $(v_{ip3r})$  at first decelerates and then

- stops the sigh rhythm (Fig. 4A). Decreasing  $v_{ip3r} \leq 40\%$  elevates the critical  $c_{tot}$  that compels
- 271 ER Ca<sup>2+</sup> release (Fig. 2A \*), enhancing the vertical separation between the knees of the *c*
- 272 nullcline and slowing-down the sigh rhythm (Fig. 4A, S7A,B).  $v_{ip3r}$  reduction did not affect sigh
- burst area as indicated by the  $\int a$  in Fig. 4A. Decreasing  $v_{ip3r} \ge 50\%$  raises the left knee high
- enough to cross the vertical  $c_{tot}$  nullcline, which now intersects the *c* nullcline on its positively
- sloped left branch, i.e., oscillations cease via a Hopf bifurcation (Fig. 4A, S7C).
- 276 We tested this model prediction in slices by attenuating IP<sub>3</sub>Rs using xestospongin (41, 42),
- 277 injected bilaterally into the preBötC. It was impracticable to calibrate xestospongin dose to
- 278 mimic 40% vs. 50% attenuation of  $v_{ip3r}$ . Xestospongin (1 µM) decreased sigh frequency (1.29 ±
- 279 0.49 min<sup>-1</sup> in control vs.  $0.3 \pm 0.2$  min<sup>-1</sup> in xestospongin, N = 3) or stopped it altogether (N = 1),
- in broad agreement with model predictions (Fig. 4B,C). The effect was reversible; sigh
- frequency returned to 0.91  $\pm$  0.48 min<sup>-1</sup>.
- 282 We further measured inter-event intervals as a metric of sigh rhythm. During control conditions
- the inter-event interval distribution is bimodal, with a peak at ~6 s representing the inspiratory
- intervals and a broad peak at ~12 s representing the post-sigh apneas (Fig. 4D). Xestospongin
- caused the peak ~12 s disappear, which indicates the cessation sigh rhythm.

#### 286 Discussion

- Eupnea and sigh rhythms both come from the preBötC (7) but from which cell population(s)?
- 288 Furthermore, could the slower rhythm, lacking voltage dependence, depend on glia? How are
- the rhythms coupled? Here we resolve these questions by showing that both rhythms emanate
- from one neuronal population in the preBötC postnatally with no obligatory role for purinergic
- gliotransmission. Membrane properties like  $I_h$  and synaptic inhibition (ref. 23) are not required
- 292 for rhythmogenesis or coupling, respectively.
- 293 We conclude that glia and gliotransmission are not mandatory for sigh rhythmogenesis because
- attenuating purinergic P2Y signaling, the dominant means by which astrocytes interact with
- 295 preBötC neurons (30–32), did not preclude or modify sigh rhythmogenesis. A recent report in
- 296 preprint form showed that blocking gliotransmission at P2Y<sub>1</sub> receptors stops the sigh rhythm in
- *vitro* but does not stop sighs in adult mice (17). Our conclusion is not incompatible purinergic

298 aliotransmission is ultimately unnecessary for sigh behavior - but our data in vitro are 299 incongruous. The disparity may be attributable to which populations were monitored. We 300 recorded Dbx1 neurons in the preBötC core from the rostral surface of 500 µm-thick slices. In 301 contrast, ref. 17 performed field recordings from the caudal surface of ~600 µm-thick slices, in 302 which the recording site is ~200 µm caudal to the preBötC in an area containing phrenic 303 premotor neurons (6). Therefore, cessation of sigh rhythm suggests that P2/P2Y<sub>1</sub> receptor-304 mediated signaling might be critical for premotor transmission of sigh bursts while remaining 305 dispensable from the standpoint of sigh rhythmogenesis.

306 Our conclusion that glia are not sigh rhythmogenic assumes that signaling is purely purinergic. 307 However, astrocytes in the trigeminal system facilitate oromotor rhythmogenesis via paracrine 308 transmission (43). There, S100 $\beta$  secretion neither generates nor synchronizes oscillations but 309 rather modulates  $I_{NaP}$ -mediated bursting-pacemaker properties in rhythmogenic trigeminal 310 interneurons. This mechanism is highly unlikely to apply to sigh rhythmogenesis because  $I_{NaP}$ 311 bursting-pacemaker neurons oscillate much faster than the sigh rhythm and are not inspiratory 312 rhythmogenic in the preBötC (18–21).

One report found 5% of preBötC neurons were active only during sighs and postulated them as
sigh rhythmogenic (15). A contemporary report found the opposite, namely that all preBötC
neurons participated in both sighs and inspiratory bursts (16). We monitored Dbx1 preBötC
neurons via photonic imaging; the vast majority were bi-rhythmically active. We conclude that

317 inspiratory and sigh rhythms come from a single population of Dbx1 preBötC neurons.

318 One neuron population produces two rhythms by engaging separate cellular tool kits. The faster 319 inspiratory rhythm employs recurrent synaptic interactions (9, 27, 28, 33, 34); it is a canonical 320 network oscillator (1) whose bursts emerge on the order of seconds. Nevertheless, each 321 constituent neuron hosts an intracellular signaling system linked to plasma membrane Ca<sup>2+</sup> flux 322 as well as ER Ca<sup>2+</sup> storage and release mechanisms. That system can produce biochemical 323 oscillations much slower than inspiration and trigger neural bursts substantially larger than 324 inspiration via  $Ca^{2+}$ -induced  $Ca^{2+}$  release that evokes the burst-generating inward current,  $I_{CAN}$ . 325 The two mechanisms can comfortably coexist in preBötC neurons because the underlying 326 network oscillator and a biochemical oscillator are fundamentally different and can be separately 327 regulated by manipulating either membrane excitability (inspiration) or Gq-mediated intracellular 328 signaling (sigh).

The two oscillators have a second point of intersection; plasma membrane Ca<sup>2+</sup> influx. Network 329 activity increases Ca<sup>2+</sup> influx leading to a modest increase Ca<sup>2+</sup> oscillation frequency. This 330 331 confers (minimal) voltage dependence on the sigh oscillation, which is approximately 5% as 332 sensitive as inspiratory rhythm to changes in membrane excitability (see Figs. 1B.C and 2D). 333 Activity dependent Ca<sup>2+</sup> influx may also promote synchronization of intracellular Ca<sup>2+</sup> oscillations 334 across the neural population. In the spiking model, ionotropic synaptic signaling can maintain 335 synchronized intracellular  $Ca^{2+}$  oscillations underlying the sigh rhythm (Fig. S4 and SI Appendix 336 Fig. 12). In the biological system, additional mechanisms are available including metabotropic 337 glutamatergic transmission (21) as well paracrine signaling mechanisms yet to be identified.

338 The evolution of the compliant lung (one that expands and contracts) in mammals introduced

the physiological need for regularly timed large-volume breaths to reinflate collapsed or

340 collapsing alveoli. As the breathing system evolved from fish to reptiles it produced an

inexorable inspiratory oscillator but not a sigh rhythm. As the physiological need arose, rather

than recruit a new brain region for sighs, we posit that the mammalian nervous system adapted

343 the inspiratory rhythmogenic neurons to produce a low frequency, large amplitude oscillation

344 that depended on a separate intracellular tool kit in the same constituent Dbx1 preBötC

345 neurons.

This work demonstrates the operation of a bi-rhythmic canonical CPG system with relevance to health and physiology. Its dynamics can be understood via a low-dimensional dynamical system with fast and slow time scales.

# 349 Materials and methods

# 350 Ethical approval and animal use

The Institutional Animal Care and Use Committee at William & Mary approved these protocols, which conform to the policies of the Office of Laboratory Animal Welfare (National Institutes of Health, Bethesda, MD, USA) as well as the guidelines of the National Research Council (44). Mice (described below) were maintained on a 12-hour light / 12-hour dark cycle at 23° C and were fed *ad libitum* with free access to water. The mice are provided with several forms of enrichment including opaque igloo shelters, wood blocks, and nest materials.

Multi-photon experiments employed Cre-driver mice generated by inserting an *IRES-CRE-pGK- Hygro* cassette in the 3' untranslated region of the *Developing brain homeobox 1* (i.e., *Dbx1*)

- gene, which we refer to as *Dbx1<sup>Cre</sup>* mice (45) (IMSR Cat# EM:01924, RRID:IMSR EM:01924). 359
- We crossed female *Dbx1<sup>Cre</sup>* mice with males from a reporter strain featuring Cre-dependent 360
- expression of the fluorescent Ca<sup>2+</sup> indicator GCaMP6f dubbed Ai148 by the Allen Institute (46) 361
- 362 (IMSR Cat# JAX:030328, RRID:IMSR JAX:030328). Their offspring, Dbx1;Ai148 mice, express
- 363 GCaMP6f in *Dbx1*-derived cells, the majority of which are neurons (47).

#### 364 Breathing-related measurements in vitro

- 365 Mouse pups of both sexes were anesthetized by hypothermia and killed by thoracic transection
- 366 at postnatal day 0 to 4. Neuraxes were removed in artificial cerebrospinal fluid (aCSF)
- 367 containing (in mM): 124 NaCl, 3 KCl, 1.5 CaCl<sub>2</sub>, 1 MgSO<sub>4</sub>, 25 NaHCO<sub>3</sub>, 0.5 NaH<sub>2</sub>PO<sub>4</sub>, and 30

368 dextrose equilibrated with 95% O<sub>2</sub>-5% CO<sub>2</sub>, pH 7.4. Isolated neuraxes were glued to an agar

- 369 block and then cut in the transverse plane to obtain a single 500-µm-thick slice that exposed the
- 370 preBötC at its rostral face. Atlases for wild-type and Dbx1 reporter mice show that the loop of
- 371 the inferior olive and the semi-compact division of the nucleus ambiguus collocate with the 372
- preBötC during early postnatal development (48, 49). Slices were then perfused with aCSF at
- 373 28° C in a recording chamber below a fixed-stage microscope.
- 374 We elevated extracellular  $K^+$  concentration ( $[K^+]_0$ ) to 9 mM to increase preBötC excitability (50). 375 Inspiratory-related motor output was recorded from the hypoglossal (XII) nerve rootlets, which 376 are captured in transverse slices along with the XII motoneurons and their axon projections to 377 the nerve rootlets, using suction electrodes and a differential amplifier. We obtained field 378 potential recordings by forming a seal over the preBötC with a suction electrode at the rostral 379 slice surface. Amplifier gain was set at 1000. Signals were acquired digitally at 1 kHz while low-380 pass filtering at 300 Hz. XII and preBötC bursts were full-wave rectified and smoothed for 381 display and quantitative analyses of burst events.

382 To apply drugs locally in the preBötC we fabricated micropipettes from borosilicate glass (OD: 383 1.5 mm, ID: 0.86 mm) and filled them with either thapsigargin or xestospongin (see below). Two 384 pipettes were inserted 200 µm deep into the preBötC on both sides of slice preparations. We microinjected the drugs using 7-9 psi pressure pulses lasting 8 ms in duration, delivered at a 385 386 frequency of 5-7 Hz. Pipettes for local drug application in the preBötC precluded simultaneous 387 local field recordings; we monitored preBötC activity in those experiments only via XII nerve 388 recordings.

#### 389 Multi-photon imaging

We imaged cytosolic Ca<sup>2+</sup> concentration in neurons contained in slices from Dbx1:Ai148 mice 390 391 using a multi-photon laser-scanning confocal microscope (Thorlabs, Newton, NJ) equipped with 392 a Nikon water immersion objective (20x, 1.0 numerical aperture). Illumination was provided by 393 an ultrafast tunable laser with a power output of 950 mW at 940 nm, 80-MHz pulse frequency, 394 and 100-fs pulse duration (Coherent Chameleon Discovery, Santa Clara, CA). We scanned 395 Dbx1;Ai148 mouse slices over the preBötC and collected time series images using a non-396 descanned photomultiplier tube detector at 15 Hz. Each frame reflects one-way raster scans 397 with a resolution of 256 x 256 pixels (116 x 116 µm). Fluorescence data were collected using 398 Thorlabs LS 4.1 software and then analyzed using MATLAB 2021a (MathWorks, Natick, MA,

399 RRID:SCR\_001622).

400 First, we calculated the average fluorescence intensity for all pixels in each frame of the time

401 series. The mean fluorescence intensity was used as an index of overall network activity during

402 the time series. The bursts of fluorescence intensity were periodic and the cycle periods were

403 normally distributed. We use the 95% confidence interval (CI) of cycle periods to define the high

404 frequency (short cycle period) and low frequency (long cycle period) limits of a window in

405 frequency space. Next, our script performs a fast Fourier transform on the time series for each

406 pixel. The maximum power from the previously defined window in frequency space is mapped to

407 the corresponding pixel in a new, processed two-dimensional image.

408 We calculate the mean and standard deviation of the power from each pixel in the new 409 processed image (Fig. S1A). Rhythmically active pixels will have power far greater than the 410 average. Therefore, all pixels with intensity less than mean + 2\*SD are set to zero. The 411 remaining contiguous pixel sets, whose area exceeds 8  $\mu$ m<sup>2</sup>, are retained as ROIs. The Ca<sup>2+</sup> 412 fluoresce changes within those ROIs, obtained from the original time series, are reported using the equation  $\frac{(F_i - F_o)}{F_o}$ , i.e.,  $\frac{\Delta F}{F_o}$ , where  $F_i$  is the instantaneous average fluorescence intensity for all 413 414 pixels within a given ROI and  $F_0$  is the average fluorescence intensity of all pixels within that 415 same ROI averaged over the entire time series.

## 416 Inspiratory burst and sigh burst detection

We distinguished a sigh burst from an inspiratory burst in the preBötC field recordings by measuring burst area and the duration of the interval between the putative sigh burst and the

419 subsequent inspiratory burst. Sigh bursts are typically  $\geq 2x$  larger in area than inspiratory bursts.

420 Additionally, a prolonged interval between the putative sigh and the following inspiratory burst,

421 typically 1.3x the average inter-event interval for inspiratory bursts, confirms that the event in422 question is a sigh burst.

# 423 Pharmacology

- 424 We employed the following drugs to block neuron-glia signaling: PPADS (50 μM), suramin (50
- 425  $\mu$ M), TNP-ATP (10  $\mu$ M), MRS2179 (10  $\mu$ M), and MRS2578 (10  $\mu$ M). We used thapsigargin (10-
- 426 100  $\mu$ M) and xestospongin C (1  $\mu$ M) to interrogate intracellular Ca<sup>2+</sup> sequestration and release.
- 427 We employed ZD7288 (50 μM) to block *I*<sub>h</sub>. Thapsigargin, xestospongin, and ZD7288 were
- 428 dissolved in dimethyl sulfoxide (DMSO) to generate stock solutions. Final concentration of
- 429 DMSO in aCSF never exceeded 1% by volume. All drugs were obtained from Millipore Sigma
- 430 (Burlington, MA).

# 431 <u>Numerical simulations and data analysis</u>

432 We used MATLAB 2021a and XPPAUT software to simulate and analyze ordinary differential

- 433 equation models. Numerical integration was performed using Euler's method with a time step of
- 434 0.01 ms in MATLAB. XPPAUT was used with default solver settings. The SI Appendix describes
- the modeling work in detail. The code and equations are in the public repository on ModelDB
- 436 (Accession No. 267252).
- 437 Error bars show standard deviation. P-values for regression statistics are calculated using the *F*-438 test.

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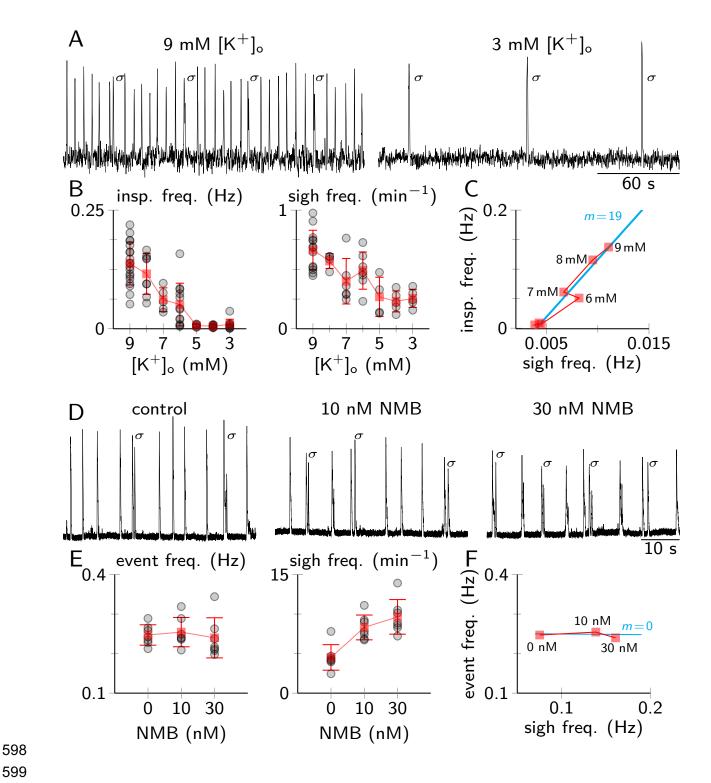
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#### 563 **FIGURE LEGENDS**

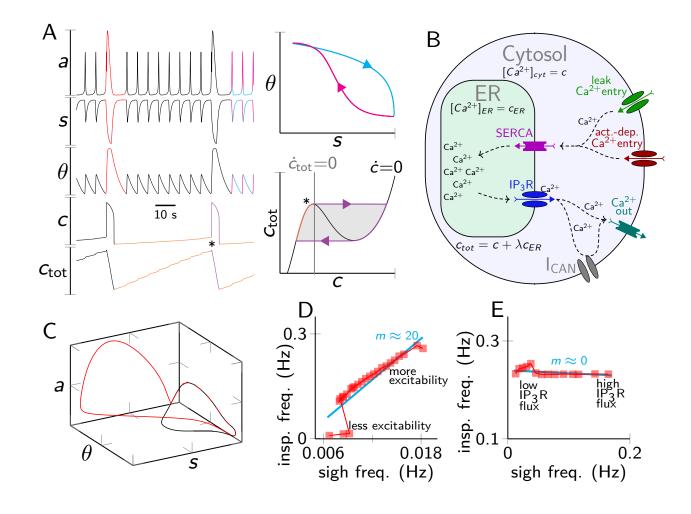
- 564 Figure 1: Differential modulation of inspiratory and sigh rhythm frequency. (A) preBötC field
- 565 recording capturing inspiratory and sigh rhythms at 9 and 3 mM extracellular K<sup>+</sup> concentration in
- 566 the aCSF ( $[K^+]_o$ ). Sigh events are indicated by  $\sigma$ . (B) Inspiratory and sigh frequencies plotted as
- 567 a function of aCSF [K<sup>+</sup>]<sub>o</sub>. Grey circles show individual slices; red squares show mean frequency
- 568 (N = 19 inspiratory, N = 13 sigh). (C) Mean inspiratory frequency plotted versus corresponding
- 569 mean sigh frequency for different aCSF [K<sup>+</sup>]<sub>o</sub>. (D) XII nerve recordings in control and after
- 570 Neuromedin-B (NMB) application. (E) Event (inspiratory) and sigh frequencies plotted at
- 571 different NMB doses. Grey circles represent individual slices; red squares show mean frequency
- 572 (N = 8). (F) Event (inspiratory) and sigh frequencies plotted at different NMB doses.
- 573 Figure 2: Inspiratory and sigh rhythms in the preBötC model system. (A) At left, time series of
- 574 state variables  $(a, s, \theta, c, c_{tot})$ . Top right, inspiratory burst trajectory in  $(s, \theta)$  phase space.
- 575 Bottom right, sigh trajectory in  $(c, c_{tot})$  phase diagram showing c and  $c_{tot}$  nullclines; **\*** marks
- 576 onset of the sigh burst. (B) Schematic of the Ca<sup>2+</sup> subsystem showing the critical channels and
- 577 pumps. Dashed arrows show  $Ca^{2+}$  fluxes. (C) Trajectory in  $(a, s, \theta)$  phase space during
- 578 inspiratory burst events (black) and a sigh event (red). (D,E) Inspiratory and sigh frequencies for
- 579 different baseline excitabilities for the network (D) or different maximum IP<sub>3</sub>R conductance
- 580  $(v_{ip3r})$  (E). Red squares show single simulations; cyan line from linear regression.
- 581 Figure 3: Investigating the role of SERCA pumps in sigh generation. (A) Progressive attenuation
- of SERCA pump in the model. Filled circles on the  $\int a$  axis show area of a for each burst.
- 583 Dashed line shows average sigh area during control conditions for reference. (B) preBötC field
- 584 recordings before and 15 min after application of 10  $\mu$ M thapsigargin. Sighs are denoted by  $\sigma$ .
- 585 (C,D) Group data showing average sigh frequency (area) during control (C), thapsigargin (Th),
- and washout (W) (N = 9 frequency, N = 5 area); data in normalized units (NU) also shown. (E)
- 587 XII nerve recording during 100 µM thapsigargin application and washout. (F) Sigh frequency
- 588 during control (C), thapsigargin (Th), and washout (W) (N=4). (G) Inter-event interval
- 589 distributions.
- 590 Figure 4: Investigating the role of  $IP_3Rs$  in sigh generation. (A) Progressive  $IP_3R$  blockade in the
- model. Filled circles on the  $\int a$  axis show area of *a* for each burst. Dashed grey line shows
- 592 average sigh area during control conditions for reference. (B) XII nerve recordings before and
- 593 during application of 1 μM xestospongin. Sighs are denoted by σ. (C) Sigh frequency during

- 594 control (C), xestospongin (X) application, and washout (W) (N=4). (D) Inter-event interval
- 595 distributions.

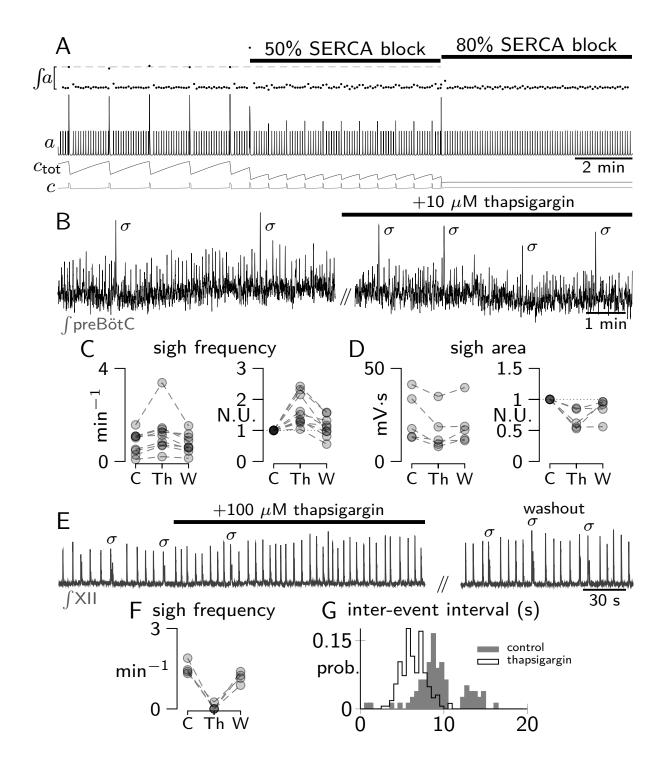
597 **FIGURE 1** 



600 FIGURE 2



603 FIGURE 3



606 FIGURE 4

