```
1 Title:
```

- 2 A new device for continuous non-invasive measurements of leaf water content using NIR-transmission
- 3 allowing dynamic tracking of water budgets
- 4

5 Author:

- 6 Hartmut Kaiser
- 7

```
8 Address:
```

- 9 Botanisches Institut und Botanischer Garten
- 10 Christian-Albrechts-Universität zu Kiel
- 11 Am Botanischen Garten 7
- 12 D-24098 Kiel
- 13 Germany
- 14
- 15
- 16
- 17 **telephone:** +49 +431 880 4285
- 18
- 19 email: <u>hkaiser@bot.uni-kiel.de</u>
- 20
- 21
- 22 Abbreviations
- 23 LWC: leaf water content, NIR: near infrared, rH: relative humidity, SNR: signal to noise ratio, VPD:
- 24 vapour pressure deficit

## 25 Abstract

- 26 Leaf water content (LWC) permanently fluctuates under variable transpiration rate and sap flow and
- 27 influences e.g. stomatal responses and osmotic adjustment of plant cells. Continuous recordings of
- 28 LWC are therefore central for the investigation of the regulatory networks stabilizing leaf hydration.
- 29 Available measurement methods, however, either influence local hydration, interfere with the local
- 30 leaf micro-environment or cannot easily be combined with other techniques. To overcome these
- 31 limitations a non-invasive sensor was developed which uses light transmission in the NIR range for
- 32 precise continuous recordings of LWC. For LWC measurements the transmission ratio of two NIR
- 33 wavelengths was recorded using a leaf-specific calibration. Pulsed measurement beams enabled
- 34 measurements under ambient light conditions. The contact-free sensor allows miniaturization and can
- 35 be integrated into many different experimental settings. Example measurements of LWC during
- 36 disturbances and recoveries of leaf water balance show the high precision and temporal resolution of
- 37 the LWC sensor and demonstrate possible method combinations. Simultaneous measurements of LWC
- 38 and transpiration allows to calculate petiole influx informing about the dynamic leaf water balance.
- 39 With simultaneous measurements of stomatal apertures the relevant stomatal and hydraulic processes

40 are covered, allowing insights into dynamic properties of the involved positive and negative feed-back

41 loops.

# 42 Keywords

43 leaf water content, stomata, transpiration, sensor, infrared

44

# 45 Introduction

46 A sufficient and stable hydration of cells is essential for aerial plant organs to survive in the often 47 desiccating atmosphere. In the leaves of vascular plants various mechanisms have evolved to provide 48 sufficient water uptake while restricting and regulating transpirational water loss to ensure a stable leaf 49 water content which not only stays within safe margins for survival, but is optimal for cellular 50 processes like photosynthesis. Maintaining a stable hydration under any combination of permanently 51 fluctuating often adverse environmental influences requires the interaction of various sensing and 52 regulating mechanisms tightly controlling both the uptake of water to leaves and transpirational losses 53 through stomatal pores. Tissue water content can thus be seen as one of the most tightly controlled 54 physical properties of vascular plants. Many of the mechanisms regulating leaf water content form 55 negative feedback loops whereby deviations of LWC or related physicochemical properties are sensed 56 and transformed into short, mid or long term responses aimed to recover the target state of water 57 content. Responses range from short term adjustments (e.g. stomatal responses) over mid-term 58 osmotic adjustments to long term growth responses leading to morphological adaptations (Osakabe et 59 al. 2014; Bielach et al. 2017; Buckley 2019; Chérel and Gaillard 2019). Water status in these feedback

60 loops is both the controlled condition and serves as the input to the homoiostatic feedback-loops.

61 The most important and effective way to regulate leaf water content is the control of leaf transpiration

62 by osmotically driven changes of guard cell turgor and hence pore-width. The mechanism by which

63 guard cells are triggered to respond to changing LWC however is not yet fully understood despite of a

64 huge amount of studies published on this topic over the last decades.

65 Much of the research revolving around stomatal responses and their role in controlling leaf hydration

66 use measurements of stomatal responses and transpiration by leaf gas exchange at a sufficient time

67 resolution and accuracy. Unfortunately, the property to be controlled, namely LWC, cannot easily be

68 measured simultaneously with equally high accuracy and temporal resolution which impedes the

69 analysis of the dynamics of feedback control. The classical approach to measure water potential in a

70 pressure bomb (Scholander et al. 1965) is destructive and non-continuous. However, several

nondestructive methods to sample LWC or other related measures (turgor, water potential, sap flow)

have been developed (Zimmermann et al. 2008; Martinez et al. 2011; Davis et al. 2012; Defraeye et al.

73 2014; Dadshani et al. 2015; Baldacci et al. 2017; Fariñas et al. 2019). Each of these methods has its

74 experimental benefits, but also comes with specific drawbacks. Some measurements have a poor time-

resolution (sap flow recording), affect water status by impeding transpiration and/or changing the

77 leaves) or cannot easily be used within cuvettes with full control of the leaf-micro-environment. For

78 contactless measurements of LWC reflection or absorption of acoustic or electromagnetic waves can

79 be used. Noninvasive ultrasonic resonance spectroscopy (Sancho-Knapik et al. 2016; Fariñas et al.

80 2019) has proven to yield signals closely related to leaf hydration in many species. While this

81 approach is based (indirectly) on the covariation of leaf mechanic properties with water content,

82 measuring the interaction of electromagnetic waves of different frequences (Sancho-Knapik et al.

83 2011; Browne et al. 2020) with water molecules promises to offer a more direct measure of water

84 content. Leaf transmission and reflection spectra in the visible light and infrared range (Jacquemoud &

85 Baret, 1990) have widely been employed for contactless determination of leaf and vegetation water

86 content (Thomas *et al.*, 1971; Inoue *et al.*, 1993; Cozzolino, 2017; Braga *et al.*, 2021). This approach

87 has gained importance especially in satellite and aerial vehicle based remote sensing. While absorption

by liquid water is an important determinant of IR absorbance and reflection spectra of leaves, a

89 straightforward derivation of LWC from spectral measurements is hindered by interfering effects of

90 e.g. dry matter content, metabolite composition and leaf structural parameters which can widely vary

91 between and even within species. Various solutions to this problem have been proposed and

92 successfully applied: Inclusion of more spectral bands up to continuous spectra, development of

93 various indices (Jiang et al. 2018; Braga et al. 2021; Li et al. 2021), inversion of leaf optical models

94 (Jacquemoud and Baret 1990; Féret et al. 2019) and neural networks (Conejo et al. 2015; Koirala et al.

95 2020; Braga et al. 2021) successfully aid in deriving specific leaf properties in the presence of

- 96 confounding variation in overlapping spectral effects.
- 97 The approach presented here, however, attempts to simplify the estimation of LWC from spectral
- 98 measurements by performing calibrations for individual leaves. In this way, even limited spectral
- 99 information from key spectral ranges strongly influenced by liquid water could be sufficient to get
- 100 precise measurements of LWC. The separation from non-water-related confounding leaf optical effects
- 101 is assigned to the calibration procedure which is performed on and valid only for the measured leaf.
- 102 By measuring in only two spectral IR ranges (Seelig et al. 2009) a simple and miniaturized
- 103 measurement setup based on two LED's and one photodiode is possible. Using this approach a non-
- 104 invasive, precise and continuous optical method to record of LWC is presented which puts little
- 105 constraint on simultaneously occurring other measurements or the choice and control of experimental
- 106 conditions. Example measurements demonstrate how the combination of this measurement of LWC
- 107 with other methods can yield better insight into leaf water budgets and the regulatory processes behind
- 108 leaf water homeostasis.

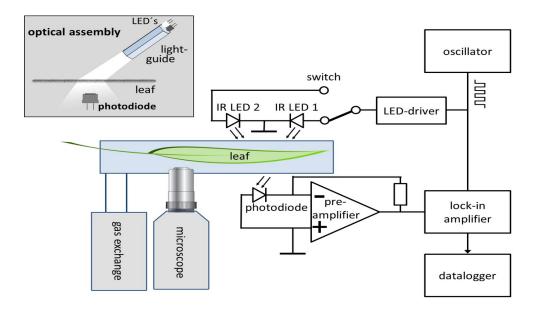
#### 109

#### 110 Materials and Method

- 111 Principle of the water content sensor
- 112 The transmission of light through leaf tissues depends on specular reflection, internal reflection,
- 113 scattering and absorption (Govaerts et al. 1996). Varying water content causes changes in the
- absorption spectrum especially in the distinctive absorption bands of liquid water. In the presented
- device, the infrared transmission at 1450 nm, a local maximum of the water absorption spectrum, is
- used as an indicator of LWC. Initial experiments with a first prototype using only the 1450 nm spectral
- 117 band confirmed a strong response to LWC. However, the leaf clamped by an annular clamp around the
- 118 measured area, moved slightly due to turgor changes which strongly influenced the IR transmission
- 119 signal. These movements were evident as a changing focus position of the microscope when
- 120 simultaneously observing the lower epidermis. Leaf movements change the distance between the light
- 121 sensing photodiode and the leaf tissue diffusing the measurement beam and therefore strongly
- 122 influence incident irradiation on the sensor surface. A firmer fixation of the leaf at the spot of
- 123 measurement, however, is undesirable, as it would inhibit transpiration and thus disturb leaf water
- 124 relations. This problem was overcome by using a ratiometric measurement principle with an
- additional beam at 1050 nm with little absorption by liquid water. The 1450 nm and 1050 nm bands
- 126 were chosen, because they represent two bands which are differentially affected by leaf water content
- 127 and because both spectral ranges fall into the sensitivity range of InGaAs photodiodes which allowed
- 128 for a simple setup for transmission measurements basically consisting of only one photodiode and two
- 129 LED's. Errors due to leaf movements should affect both measurement wavelengths similarly and be

- 130 canceled out in the transmission ratio. The same reasoning applies for other errors in the optical and
- 131 electronical signal chain, which affect both beams independently of their wavelength.
- 132 The ratiometric measurement was accomplished by measuring alternately the light transmission of the
- 133 light emitted by 1450 nm and 1050 nm LED's (LED1450-03, Roithner Laser, Wien, Austria;
- 134 LED1050E Thorlabs, Munich, Germany) with an InGAaS photodiode (LAPD-1-09-17-TO46,
- 135 Roithner Laser, Vienna, Austria). The measuring light was pulsed at 1,2 kHz and photodiode output
- 136 amplified phase-sensitive with a lock-in-amplifier to allow measurements irrespective of ambient
- 137 radiation (Fig 1). The optical assembly consists of the two LED's (pointing to the upper leaf surface at
- 138 an angle of 45° thus avoiding shading of the measured area when using perpendicular illumination.
- 139 Radiant power density as estimated from manufacturer data for the LED's when driven with a current
- 140 of 20mA in a 50% duty cycle and an illuminated area of 0,5 cm<sup>2</sup> is lower than 14 W/m<sup>2</sup>, which was
- 141 assumed to be negligible (approx. 1.4% of full sunlight radiant density). The beams of the LED's
- 142 were homogenized by a hexagonal homogenizing light pipe (#63-088, Edmund Optics, Barrington,
- 143 U.S.) to ensure identical and homogenous illumination for both wavelengths. The photodiode placed
- 144 5-10mm below the illuminated area (Fig. 1) picked up the transmitted diffuse light of the LED's.

145



- 146 Fig. 1
- 147 Measuring principle, simplified schematic circuit and optical assembly of the device for the measure-
- 148 ment of leaf water content integrated into a cuvette system with simultaneous microscopical observa-
- tion of stomata.

### 150

151 In order to standardize the I1450/I1050 ratio signal across measurements, the raw signals were divided

152 by the signal obtained using a wavelength independent diffusive standard made of a matte glass slide

153 with scattering properties similar to a typical leaf. This adjustment procedure is performed every time

- 154 the measurement setup has changed (e.g. changed distance, angle, LED-currents) and warrants
- 155 comparable I1450/I1050 ratios between measurements.
- 156

157 Calibration

158 Accurate calculation of LWC from adjusted I1450/I1050 requires a calibration which is dependent on

among others leaf structural parameters and therefore specific for each observed leaf or at least

160 specific for the observed species and growing condition. Calibration can be performed in three

161 different ways. Up to now calibrations mostly were performed after the experiment specific for each

162 measured leaf by simultaneously recording LWC with an independent method and I1450/I1050 ratio.

163 This can be done either by detaching the leaf and weighing the entire leaf clamp together with the leaf

164 on a scale while continuing to measure IR-transmission, which of course requires precautions to keep

165 the force exerted by the cable constant. Another way to calibrate is only possible if the sensor is

166 installed in a gas exchange chamber for continuous transpiration measurements: After cutting the

167 petiole with the leaf still enclosed in the cuvette I1450/1050 ratio and transpiration are measured

simultaneously for about 0.5-1 hours. The accumulated transpirational water loss for each measured

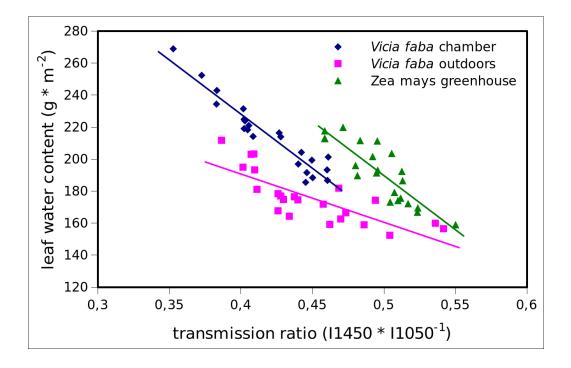
169 infrared transmission ratio during this period is finally added to the final LWC determined by

170 weighing fresh leaf weight at the end of the leaf drying period in the cuvette and dry weight after

171 complete drying in an oven. As most of the experiments up to now have been performed in a cuvette,

this procedure was the usual way to obtain an accurate per leaf calibration.

173



# 175 Fig. 2

176 Calibrations of the leaf water content sensor for leaves of Vicia faba grown hydroponically in climate

177 chamber, Vicia faba grown outdoors in Mitscherlich-pots and Zea mays plants grown in a greenhouse.

178 On 22 detached leaves of each species resp. treatment leaf water content was measured gravimetri-

179 cally simultaneously with the transmission ratio I1450/I1050. To induce variation in LWC, 11 of the

180 leaves were measured immediately after cutting in the fully turgescent state and 11 after c. 10 minutes

- 181 of passive drying under c. 22°C and 50% rH.
- 182

183 Another method of calibration is applicable when per leaf calibration by the balance method and the 184 transpiration based method are not possible. Regression on accumulated data of several leaves of a 185 species or variety under defined growing concitions can result in a calibration specific for a batch of 186 plants in an experiment (fig. 2). To demonstrate such calibrations and their associated measurement 187 uncertainties, leaves of *Vicia faba* plants from two growing conditions (outdoors in pots or 188 hydroponically in a climate chamber) and of *Zea mays* grown in pots in a greenhouse were detached in 189 the fully turgid state, and either immediately weighed and IR transmission ratio measured with the 190 LWC sensor or left to dry under room conditions for c. 10 min before measurement. The obtained 191 relationships between the IR transmission ratio followed a linear relationships as long as LWC was 192 above the wilting point. As the sensor was only used within this range a linear calibration was 193 sufficient and could be used to calculate leaf water contents from IR transmission ratios. The 194 calibration lines differed in slope and offset between species and growing conditions, showing that not

195 only each species requires an individual calibration, but also that growing conditions can substantially

196 change the relation between the IR transmisson ratio and LWC. After calibration for a certain variety

197 under the experimental growing conditions, a measurement uncertainty (experimental standard

198 deviation) of between 6 to 9 g m<sup>-2</sup> was achieved, which is between 3 to 6% of the total leaf water

- 199 content.
- 200

201 *Quality of the measurement* 

202 One possible drawback of the ratiometric approach is the multiplication of noise from the two

203 channels. The final signal-to-noise ratio, however, remains fully sufficient (Fig 3) with an error margin

204 of  $\pm 0.0155$  g\*m<sup>-2</sup> when using oversampling and averaging to a 20s interval. To put this into perspective

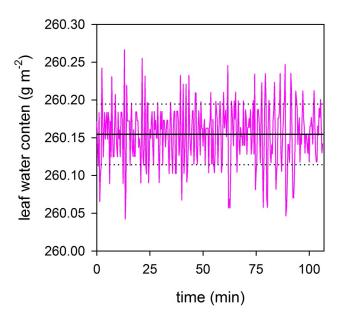
this signal noise amounts to about 0,04% of the amplitude of changes in LWC observed during a

206 drought experiment leading to non lethal wilting (fig. 7). This high precision together with the

207 continuous nature of this measurement allowed to discriminate changes in LWC after a change in

208 conditions already after 20 to 30 seconds (figs 4-6).

- 209
- 210 Fig. 3



211 Demonstration of signal to noise ratio (SNR). The measurement was performed on an attached leaf of

212 Vicia faba during a period of stable leaf water content with a running average applied over 30 sec.

213 Dotted lines indicate ±standard deviation.

- 214
- 215 Experimental setup

216 The experiments presented here serve to demonstrate sensor performance and possible applications in

217 combination with other methods, They were conducted with the LWC-sensor installed in a cuvette of a

218 customized gas-exchange system (Walz GmbH, Effeltrich, Germany) allowing LWC measurements

 $219 \qquad \text{under controlled temperature light and VPD simultaneously with $H_2O$ gas-exchange measurements}$ 

- and microscopic observations of stomata on the abaxial leaf surface of the same leaf see (Kaiser and
- 221 Legner 2007). This not only enables the transpiration based calibration procedure described above but
- also allows to calculate a continuous leaf water budget from measured transpiration and rate of change
- 223 in leaf water content. The rate of influx through the petiole is the difference between efflux by
- transpiration and the rate of change in LWC.
- 225

226 Influx=
$$\frac{\Delta LWC}{\Delta t}$$
 + E (mmol ·  $m^{-2}$  ·  $s^{-1}$ ) with Influx = petiole water influx, E = transpiration

- 227 rate
- 228

229 Hereby, a continuous determination of leaf water fluxes is possible.

230 The sensor was tested on leaves of *Vicia faba* L. grown in a greenhouse under ample supply of light

231 water and nutrients. Variations in LWC were induced by excision of the leaf, darkening, changing

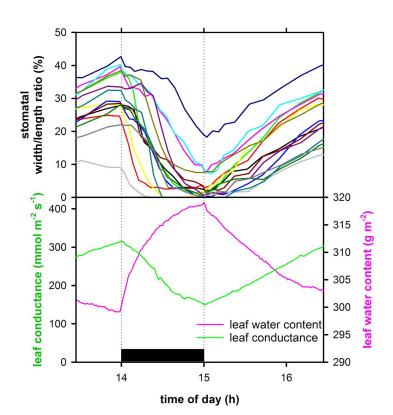
- 232 VPD and gradual soil drying followed by sudden rewatering.
- 233

# 234 **Results and discussion**

235 The sensor uses the IR-absorbtion ratio at 1450 nm vs 1050 nm to provide a precise real time 236 measurement leaf water content. This ratiometric dual-beam transmission measurement using one water sensitive and one less water sensitive has been successfully applied previously (Sancho-Knapik 237 238 et al. 2011; Cecilia et al. 2022) to record variations in leaf water status. The goal of the approach 239 presented here was to overcome the problems of using only a limited spectral information on 240 structurally complex and diverse objects with different biochemical compositions to estimate the 241 content of water which is only one of several effector of changes in IR transmission. While the 242 transmission ratio at the spectral bands around 1450 and 1050 nm has a strong relation to LWC 243 content, the interfering influences of leaf structure and differences in biochemical composition 244 preclude the possibility of a universal calibration valid across species and leaf morphologies. This is 245 evident from the calibrations for maize and faba bean (fig. 2). The different calibration parameters for 246 different growing conditions demonstrate that a calibration per species may not be sufficient, but that 247 plants from different growing conditions require a separate calibration. The reasons for differences 248 between calibrations are speculative. In the case of the Vicia faba, a 25% higher dry mass was found in 249 the outdoor grown leaves, which might explain the differences, but they may also result from 250 variations in internal leaf structure or reflective properties of the leaf surface. Irrespective of the 251 reasons for variations in calibration parameters, the examples (fig. 2) demonstrate that a calibration for 252 a batch of experimental plants from identical growing conditions can result in an accuracy of LWC 253 estimation of c. 3-6%. In many experiments this accuracy can be sufficient especially if the focus is 254 on detecting relative changes of LWC where precision is more important than accuracy. In the 255 experiments presented here however, the simultaneous measurement of transpiration offered the 256 opportunity to calibrate the sensor specifically for the leaf under investigation using cumulated 257 transpirational water loss of the detached leaf together with gravimetric determination of the 258 remaining LWC to achieve a both accurate and precise calibration. Calibrated in this way, the limited 259 spectral information from a two-wavelength-measurement is sufficient to record water contents both 260 precisely and accurately. It should be kept in mind, however, that the spot of LWC measurement is 261 small and may not be fully representative for the whole leaf whose water content is determined by the 262 integrating weight and transpiration measurements during calibration. Future technical improvement 263 should address this problem by using more or wider measurement beams.

264

265

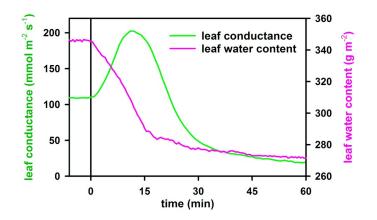


266 Fig. 4

267 The typical dynamic response of leaf conductance, leaf water content and apertures of 15

- 268 microscopically observed stomata to intermittent darkening (1h). The experiment was performed at a
- temperature 24°C, a VPD of 1.05 kPa and a PPFD when illuminated of 450  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>.
- 270 Examples of applications

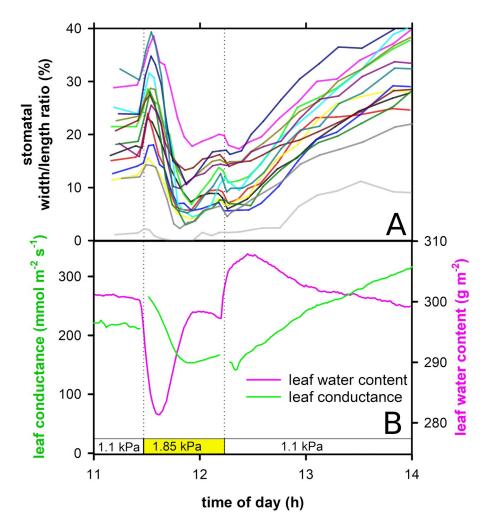
- 271 In order to test the usability of the sensor to detect fluctuations in LWC induced by changes in
- 272 environmental conditions in different experimental settings, the sensor was installed into a gas-
- 273 exchange cuvette with full control of temperature, VPD and Light and the option to measure
- transpiration and stomatal apertures simultaneously (Kaiser 2009). Fluctuations of LWC were induced
- by varying PPFD, VPD, cutting the petiole and by varying the soil water content.
- 276 Darkening a transpiring leaf will lead to a decrease of transpiration due to a quick drop in leaf
- 277 temperature and subsequent stomatal closure. The changed flow balance between uptake through the
- 278 petiole and transpiration will lead to an increase in LWC. The dynamics of this response was observed
- in detail with the LWC sensor (fig. 4) in combination with cuvette measurements of leaf conductance.
- 280 Upon darkening LWC content increased by about 7%, approaching a new equilibrium after 1h. After
- 281 re-illumination this process was reversed. The LWC sensor tracked these changes in high temporal and
- signal resolution which showed important details. For example, the change of LWC followed a typical
- 283 relaxation kinetics with the rate of change being largest just after darkening/re-illumination and
- 284 decreasing towards the new equilibrium. Together with the simultaneously measured stomatal
- responses of stomata and gas fluxes a dynamic in-situ analysis of leaf water relations is possible.
- 286
- 287



288 Fig. 5

- 289 Response of LWC and leaf conductance to detachment of the leaf from the stem. The experiment was
- 290 performed at a temperature of 24°C, a VPD of 1.05 kPa and a PPFD of 400 µmol m<sup>-2</sup> s<sup>-1</sup>. Representa-
- 291 tive response of numerous recordings on detached leaves.
- 292
- In another experiment the response to cutting a leaf was measured (fig. 5), demonstrating the classical
- 294 Iwanoff-effect (Iwanoff 1928) of hydropassively opening of stomata upon the onset of water loss. This
- temporal opening is known to rely on the so called mechanical advantage of guard cells (De Michele
- and Sharpe 1973) which causes opening of stomatal pores after a turgor decline due to a negative

- 297 water balance . In this experiment the LWC-sensor informed about the dynamics of leaf water content,
- showing that the maximum rate of water loss coincided with the maximum of leaf conductance 15
- 299 minutes after cutting the petiole. This demonstrates the action of a positive feedback-loop between
- 300 'wrong way' stomatal responses and leaf hydration (Cowan 1972) which initially led to an
- 301 acceleration of water loss until active stomatal closure after 20 min was able to counteract and to close
- 302 stomata.
- 303



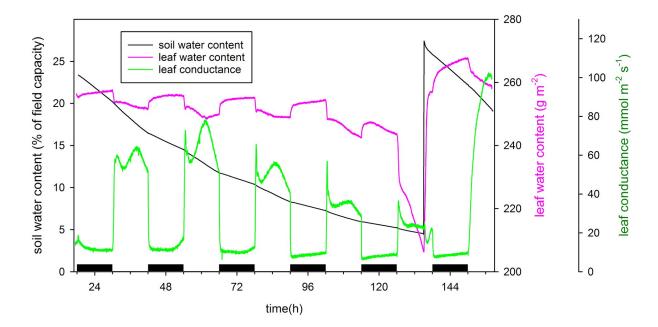
304 Fig. 6

305 Typical responses of stomata, leaf conductance and LWC to a temporary increase (1h) of VPD from

- 306 1,1 kPa to 1,85 kPa. A) Microscopically observed apertures of 15 individual stomata. B) LWC and leaf
- 307 conductance. The measurement of  $g_L$  was interrupted during transitions to a different VPD. The exper-
- 308 iment was performed at a temperature of 25°C, and a PPFD of 400  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>.
- 309
- 310

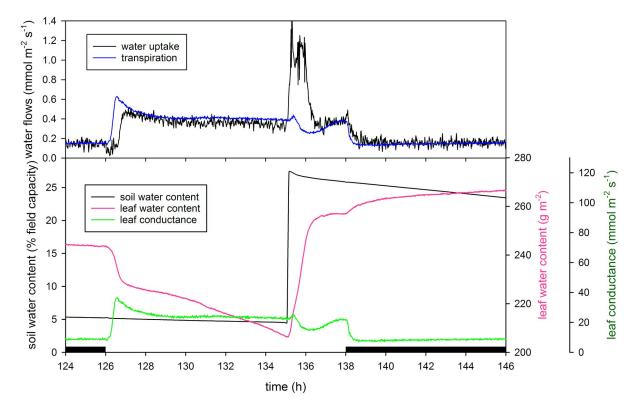
311 The measurement of a LWC response to a more temporal disturbance of leaf water status by a

- 312 temporal increase of VPD from 1.1 kPa to 1.85 kPa (fig. 6) revealed a complex dynamic of LWC and
- 313 its interaction with stomatal movements and gas exchange. After switching to high VPD, similar to the
- 314 previous leaf cutting experiment, a temporal decline in LWC caused temporary hydropassive stomatal
- 315 opening followed by active closure and consequently recovery of LWC to a value almost as high as in
- 316 lower VPD. Switching back to low VPD revealed the inverse dynamic, an increase in LWC caused
- 317 transient further stomatal closure. At this time, the positive feedback between leaf hydration, passive
- 318 stomatal responses and transpiration supposedly lead to leaf water contents much higher than at the
- 319 beginning. A delayed active reopening by increased transpiration restores the previous balance
- between water uptake into the leaf and transpiration and a recovery of the initial LWC. Notably, while
- 321 short term LWC disturbances apparently were accentuated by hydraulic positive feedback, longer term
- 322 stomatal adjustments recovered a similar LWC irrespective of VPD as would be expected from a
- 323 feedback control of LWC. This suggests that the inclusion of LWC measurements into such
- 324 experiments can provide the missing causal link between transpiration induced changes in leaf tissue
- 325 hydration and stomatal feedback responses and could allow a more complete analysis of the
- 326 interactions of hydraulic and pyhsiologic processes and their dynamic interaction.
- 327



- 328 Fig. 7
- 329 Leaf water content and leaf conductance during a soil drying and rewatering pot experiment on a leaf
- 330 enclosed in a gas exchange chamber. Soil water content was measured by placing the pot on top of a
- 331 continuously recording balance. The leaf was kept at 20°C, a VPD of 1.5 kPa and a 12/12 L/D cycle
- 332 with a PPFD of 50  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>.

- 333 The method combination of gas-exchange with LWC-measurement was also used in a long term soil
- drying and rewatering experiment (fig. 7 and 8), to assess whether the sensor provides stable and
- 335 meaningful signals over terms of several days. During gradual decline in soil water content, LWC
- 336 stayed largely constant for the first four days and only then started to decline. Stomatal conductance
- 337 however started to decline earlier and was clearly reduced on the last two days of the experiment apart
- 338 from a short temporary increase in the morning. Apparently stomatal responses at first successfully
- 339 acted to preserve water. As LWC at this stage was not yet affected, this closure can be seen as 'pre-
- 340 emptive'. Only on day five LWC started to decrease at an increasing rate leading to a quick and
- 341 visually apparent wilting process. At this point, by rewatering the plant, a recovery was initiated and
- 342 monitored.



343 Fig. 8

- 344 Leaf water content, leaf conductance and balance of water flows during wilting and re-watering of a
- 345 *leaf.* Detail from the final stage of the soil drying experiment shown in fig. 7. The upper panel shows
- 346 measured transpiration and water uptake through the petiole as calculated from the leaf water balance
- 347 equation.

348

- 349 The dynamics during the final stage of wilting and the subsequent recovery here serve as an example,
- 350 how a combination of LWC and transpiration measurements can be used to calculate water uptake
- through the petiole, thereby making up a continuous leaf water balance (fig 8). The comparison of leaf

352 water uptake and transpiration showed that starting from the morning of the last day of the drought 353 experiment, transpiration exceeded uptake, resulting in steady decline of LWC until wilting was 354 reached. Rewatering after observation of visible wilting resulted in a rapid recovery completed within 355 less than an hour. Noteworthy again is, that continuous observation of LWC and transpiration allows a 356 flow rate determination even in these rapid transitory events which are governed by rapidly changing 357 flow rates and leaf capacitance (Blackman and Brodribb 2011; Schymanski et al. 2013). Strikingly, 358 LWC after recovery was higher than at the beginning of the experiment, which could be explained by 359 osmotic adjustment during the 5 days of gradual drying resulting in increased osmotic potential and 360 hence higher final water content. In Vica faba, changes in ion fluxes (Shabala et al. 2000) and in 361 expression patterns of sugar metabolism related genes conforming to processes of osmotic adjustment 362 (Ghouili et al. 2021) were found after exposure to osmotic stress. The reports on the actual existence 363 and magnitude of osmotic adjustment, however, remain contradictory (Amede et al. 1999; Khallafallah 364 et al. 2008; Abid et al. 2017). The LWC sensor in combination with other direct measurements of 365 osmotic or total water potential appears as a promising tool to investigate the research field of osmotic 366 adjustment.

367

368 To summarize the lessons learned from these example experiments, the presented method appears best 369 suited for experiments requiring precise continuous recording of relative changes in LWC with 370 sufficient SNR to detect even slight variations in near real time. The accuracy depends on the chosen 371 calibration method and is high for a single leaf calibration, whereas the less accurate species specific 372 calibration is sufficient for many applications and will maintain the high precision when measuring 373 relative changes in LWC. The use of only two wavelengths thus means, that in order to achieve both 374 high precision and accuracy the additional effort of a per leaf calibration procedures is required. The 375 reward is however a small and simple sensor setup which does not impose many limitations on the 376 choice of other simultaneous measurements. Here, combinations with cuvette based gas exchange 377 measurements under control of gas composition, and light level together with in-situ microscopy are 378 demonstrated. Combinations with other non-invasive optical methods like chlorophyll fluorescence 379 probing and spectroscopic measurements are feasible.

380

381 In combination with recording of leaf gas exchange a real time balance of leaf water uptake and loss

382 via transpiration can be calculated enabling e.g. an analysis of leaf water capacitances and flow

383 resistances (Blackman and Brodribb 2011).

384 It might be questioned that LWC is a relevant parameter for leaf water relations at all. The significance

385 of measures for water status has been under discussion (Jones 2007). Water potential, osmotic

386 potential and turgor potential are linked and co-varying according to the water potential equation with

changing leaf water content. Frequently, water potential is considered as the most important measure,

388 as it ultimately determines the direction of water transport. For many physiological processes however, 389 leaf water content appears to be a better determinant (Sinclair and Ludlow 1985). For example, LWC 390 decline has been linked to inhibited Photosynthesis, (Kaiser 1987; Lawlor and Tezara 2009) and 391 altered Chloroplast movement (Nauš et al. 2016). It should be considered here, that small variations in 392 LWC typical for sub-stress fluctuations in water status will only have a relatively small impact on 393 water potential, while directly affecting cell volume and turgor pressure. Changes in cell volume and 394 turgor pressure are controversely discussed as properties being sensed by cellular mechanims and 395 feeding back into processes regulation water status (e.g. activation of proton pumps, adjustment of 396 osmotic potential, guard cell responses, activation of key enzymes of ABA synthesis (McAdam and 397 Brodribb 2016; Sack et al. 2018; Zhang et al. 2018). If changes in cell volume are the relevant 398 property, direct measurements of LWC are a useful experimental tool. Continuous non-invasive turgor 399 measurements would also be desirable but they turned out to be difficult and attempts to use 400 mechanical force sensors (Zimmermann *et al.*, 2008) while providing continuous recordings of relative 401 changes suffer from lack of absolute calibration and disrupt the local tissue water balance by blocking 402 transpiration at the measured site. Instead of direct turgor measurements, water content can serve as a 403 good proxy for turgor as long as cell volume and cell wall elasticity remain constant. Although drought 404 can induce long term changes in cell wall elasticity (Martínez et al., 2007) over short term cell wall 405 properties can be assumed to be constant resulting in a fairly constant turgor-volume relation which 406 makes LWC a good proxy for turgor changes. Measurements of LWC are definitely meaningful when 407 performed simultaneously with transpiration measurements, allowing a quantitative coverage of all

- 408 liquid and gaseous water exchanges of a leaf.
- 409

387

# 410 Acknowledgements

411 I gratefully acknowledge the skillfull technical assistance of late Frank-Peter Rapp. Thanks go to Jon

- 412 Henningsen for aid in calibrations.
- 413

### 414 **Data availability statement**

- 415 Data are available on request from the author.
- 416

## 417 **References**

Abid G, Hessini K, Aouida M, Aroua I, Baudoin J-P, Muhovski Y, Mergeai G, Sassi K, Machraoui M, Souissi F, Jebara M (2017) Agro-physiological and biochemical responses of faba bean (Vicia faba L. var. 'minor') genotypes to water deficit stress. Comportement agrophysiologique et biochimique de différents génotypes de féverole (Vicia fava L var 'minor') soumis au déficit hydrique 21:

- Amede T, Kittlitz EV, Schubert S (1999) Differential Drought Responses of Faba Bean (Vicia faba L.) Inbred Lines. Journal of Agronomy and Crop Science 183: 35–45
- Baldacci L, Pagano M, Masini L, Toncelli A, Carelli G, Storchi P, Tredicucci A (2017) Noninvasive absolute measurement of leaf water content using terahertz quantum cascade lasers. Plant Methods 13: 51
- Bielach A, Hrtyan M, Tognetti VB (2017) Plants under Stress: Involvement of Auxin and Cytokinin. International Journal of Molecular Sciences 18: 1427
- Blackman CJ, Brodribb TJ (2011) Two measures of leaf capacitance: insights into the water transport pathway and hydraulic conductance in leaves. Funct Plant Biol 38: 118–126
- Braga P, Crusiol LGT, Nanni MR, Caranhato ALH, Fuhrmann MB, Nepomuceno AL, Neumaier N, Farias JRB, Koltun A, Gonçalves LSA, Mertz-Henning LM (2021)
   Vegetation indices and NIR-SWIR spectral bands as a phenotyping tool for water status determination in soybean. Precision Agric 22: 249–266
- Browne M, Yardimci NT, Scoffoni C, Jarrahi M, Sack L (2020) Prediction of leaf water potential and relative water content using terahertz radiation spectroscopy. Plant Direct 4: e00197
- Buckley TN (2019) How do stomata respond to water status? New Phytologist 224: 21-36
- Cecilia B, Francesca A, Dalila P, Carlo S, Antonella G, Francesco F, Marco R, Mauro C (2022) On-line monitoring of plant water status: Validation of a novel sensor based on photon attenuation of radiation through the leaf. Science of The Total Environment 817: 152881
- Chérel I, Gaillard I (2019) The Complex Fine-Tuning of K+ Fluxes in Plants in Relation to Osmotic and Ionic Abiotic Stresses. International Journal of Molecular Sciences 20: 715
- Conejo E, Frangi J-P, Rosny G de (2015) Neural network implementation for a reversal procedure for water and dry matter estimation on plant leaves using selected LED wavelengths. Appl Opt, AO 54: 5453–5460
- Cowan IR (1972) Oscillations in stomatal conductance and plant functioning associated with stomatal conductance: Observations and a model. Planta 106: 185–219
- Dadshani S, Kurakin A, Amanov S, Hein B, Rongen H, Cranstone S, Blievernicht U, Menzel E, Léon J, Klein N, Ballvora A (2015) Non-invasive assessment of leaf water status using a dual-mode microwave resonator. Plant Methods 11: 8
- Davis TW, Kuo C-M, Liang X, Yu P-S (2012) Sap Flow Sensors: Construction, Quality Control and Comparison. Sensors 12: 954–971
- De Michele DW, Sharpe PJH (1973) An analysis of the mechanics of guard cell motion. Journal of Theoretical Biology 41: 77–96

- Defraeye T, Derome D, Aregawi W, Cantré D, Hartmann S, Lehmann E, Carmeliet J, Voisard F, Verboven P, Nicolai B (2014) Quantitative neutron imaging of water distribution, venation network and sap flow in leaves. Planta 240: 423–436
- Fariñas MD, Jimenez-Carretero D, Sancho-Knapik D, Peguero-Pina JJ, Gil-Pelegrín E, Gómez Álvarez-Arenas T (2019) Instantaneous and non-destructive relative water content estimation from deep learning applied to resonant ultrasonic spectra of plant leaves. Plant Methods 15: 128
- Féret J-B, le Maire G, Jay S, Berveiller D, Bendoula R, Hmimina G, Cheraiet A, Oliveira JC, Ponzoni FJ, Solanki T, de Boissieu F, Chave J, Nouvellon Y, Porcar-Castell A, Proisy C, Soudani K, Gastellu-Etchegorry J-P, Lefèvre-Fonollosa M-J (2019) Estimating leaf mass per area and equivalent water thickness based on leaf optical properties: Potential and limitations of physical modeling and machine learning. Remote Sensing of Environment 231: 110959
- Ghouili E, Sassi K, Jebara M, Hidri Y, Ouertani RN, Muhovski Y, Jebara SH, El Ayed M,
  Abdelkarim S, Chaieb O, Jallouli S, Kalleli F, M'hamdi M, Souissi F, Abid G (2021)
  Physiological responses and expression of sugar associated genes in faba bean (Vicia faba L.) exposed to osmotic stress. Physiol Mol Biol Plants 27: 135–150
- Govaerts YM, Jacquemoud S, Verstraete MM, Ustin SL (1996) Three-dimensional radiation transfer modeling in a dicotyledon leaf. Appl Opt 35: 6585–6598
- Iwanoff L (1928) Zur Transpirationsbestimmung am Standort. Berichte der Deutschen Botanischen Gesellschaft 46: 306–310
- Jacquemoud S, Baret F (1990) PROSPECT: A model of leaf optical properties spectra. Remote Sensing of Environment 34: 75–91
- Jiang J, Comar A, Burger P, Bancal P, Weiss M, Baret F (2018) Estimation of leaf traits from reflectance measurements: comparison between methods based on vegetation indices and several versions of the PROSPECT model. Plant Methods 14: 23
- Jones HG (2007) Monitoring plant and soil water status: established and novel methods revisited and their relevance to studies of drought tolerance. J Exp Bot 58: 119–130
- Kaiser H (2009) The relation between stomatal aperture and gas exchange under consideration of pore geometry and diffusional resistance in the mesophyll. Plant, Cell & Environment 32: 1091–1098
- Kaiser H, Legner N (2007) Localization of mechanisms involved in hydropassive and hydroactive stomatal responses of Sambucus nigra to dry air. Plant Physiol 143: 1068– 1077
- Kaiser WM (1987) Effects of water deficit on photosynthetic capacity. Physiologia Plantarum 71: 142–149

Khallafallah AA, Tawfik KM, Abd El-Gawad Z (2008) Tolerance of Seven Faba Bean

Varieties to Drought and Salt Stresses. Research Journal of Agricultural and Biological Sciences 4: 175–186

- Koirala B, Zahiri Z, Scheunders P (2020) A Machine Learning Framework for Estimating Leaf Biochemical Parameters From Its Spectral Reflectance and Transmission Measurements. IEEE Transactions on Geoscience and Remote Sensing 58: 7393–7405
- Lawlor DW, Tezara W (2009) Causes of decreased photosynthetic rate and metabolic capacity in water-deficient leaf cells: a critical evaluation of mechanisms and integration of processes. Ann Bot 103: 561–579
- Li H, Yang W, Lei J, She J, Zhou X (2021) Estimation of leaf water content from hyperspectral data of different plant species by using three new spectral absorption indices. PLOS ONE 16: e0249351
- Martinez EM, Cancela JJ, Cuesta TS, Neira XX (2011) Review. Use of psychrometers in field measurements of plant material: accuracy and handling difficulties. Spanish Journal of Agricultural Research 9: 313–328
- McAdam SAM, Brodribb TJ (2016) Linking Turgor with ABA Biosynthesis: Implications for Stomatal Responses to Vapor Pressure Deficit across Land Plants. Plant Physiology 171: 2008–2016
- Nauš J, Šmecko S, Špundová M (2016) Chloroplast avoidance movement as a sensitive indicator of relative water content during leaf desiccation in the dark. Photosynth Res 129: 217–225
- Osakabe Y, Osakabe K, Shinozaki K, Tran L-SP (2014) Response of plants to water stress. Front Plant Sci 5:
- Sack L, John GP, Buckley TN (2018) ABA Accumulation in Dehydrating Leaves Is Associated with Decline in Cell Volume, Not Turgor Pressure. Plant Physiology 176: 489–495
- Sancho-Knapik D, Gismero J, Asensio A, Peguero-Pina JJ, Fernández V, Álvarez-Arenas TG, Gil-Pelegrín E (2011) Microwave l-band (1730 MHz) accurately estimates the relative water content in poplar leaves. A comparison with a near infrared water index (R1300/R1450). Agricultural and Forest Meteorology 151: 827–832
- Sancho-Knapik D, Medrano H, Peguero-Pina JJ, Mencuccini M, Fariñas MD, Álvarez-Arenas TG, Gil-Pelegrín E (2016) The Application of Leaf Ultrasonic Resonance to Vitis vinifera L. Suggests the Existence of a Diurnal Osmotic Adjustment Subjected to Photosynthesis. Front Plant Sci 7:
- Scholander PF, Bradstreet ED, Hemmingsen EA, Hammel HT (1965) Sap Pressure in Vascular Plants. Science 148: 339–346
- Schymanski SJ, Or D, Zwieniecki M (2013) Stomatal Control and Leaf Thermal and Hydraulic Capacitances under Rapid Environmental Fluctuations. PLoS ONE 8:

### e54231

- Seelig H-D, Hoehn A, Stodieck LS, Klaus DM, Adams WW, Emery WJ (2009) Plant water parameters and the remote sensing R1300/R1450 leaf water index: controlled condition dynamics during the development of water deficit stress. Irrig Sci 27: 357– 365
- Shabala S, Babourina O, Newman I (2000) Ion-specific mechanisms of osmoregulation in bean mesophyll cells. Journal of Experimental Botany 51: 1243–1253
- Sinclair TR, Ludlow MM (1985) Who Taught Plants Thermodynamics? The Unfulfilled Potential of Plant Water Potential. Functional Plant Biol 12: 213–217
- Zhang F-P, Sussmilch F, Nichols DS, Cardoso AA, Brodribb TJ, McAdam SAM (2018) Leaves, not roots or floral tissue, are the main site of rapid, external pressure-induced ABA biosynthesis in angiosperms. J Exp Bot 69: 1261–1267
- Zimmermann D, Reuss R, Westhoff M, Gessner P, Bauer W, Bamberg E, Bentrup FW, Zimmermann U (2008) A novel, non-invasive, online-monitoring, versatile and easy plant-based probe for measuring leaf water status. Journal of Experimental Botany 59: 3157–3167