Spatio-temporal diversity of dietary preferences and stress sensibilities of early and middle

Miocene Rhinocerotidae from Eurasia: impact of climate changes

Authors: M. Hullot[1], G. Merceron[2], P.-O. Antoine[3]

1- Bayerische Staatssammlung für Paläontologie und Geologie, Richard-Wagner Straße 10,

80333 Munich, Germany

2- PALEVOPRIM UMR 7262, CNRS, Université de Poitiers, 86073 Poitiers, France

3- Institut des Sciences de l'Évolution, UMR5554, Univ de Montpellier, CNRS, IRD, Place

Eugène Bataillon, CC064, 34095 Montpellier, France

Abstract

Major climatic and ecological changes are documented in terrestrial ecosystems during the Miocene

epoch. The Rhinocerotidae are a very interesting clade to investigate the impact of these changes on

ecology, as they are abundant and diverse in the fossil record throughout the Miocene. Here, we

explored the spatio-temporal evolution of rhinocerotids' paleoecology during the early and middle

Miocene of Europe and Pakistan. We studied the dental texture microwear (proxy for diet) and enamel

hypoplasia (stress indicator) of 19 species belonging to four sub-tribes and an unnamed clade of

Rhinocerotidae, and coming from nine Eurasian localities ranging from Mammal Neogene zone (MN) 2

to MN7/8. Our results suggest clear differences in the feeding ecology and thus niche partitioning at

Kumbi 4 (MN2, Pakistan), Sansan (MN6, France), and Villefranche d'Astarac (MN7/8, France), while

overlap of the interpreted diets and subtle variations are discussed for Béon 1 (MN4, France) and

Gračanica (MN5/6, Bosnia-Herzegovina). All rhinocerotids studied were interpreted as browsers or

mixed-feeders, and none had a grazer nor frugivore diet. The prevalence of hypoplasia was moderate

(~ 10 %) to high (> 20 %) at all localities but Kumbi 4 (~ 6%), and documented quite well the local

conditions. For instance, the high prevalence at the close to Miocene Climatic Optimum locality of

Béon 1 (~26 %) has been correlated with periodical droughts, while the moderate ones (~ 10 %) at

Sansan and Devínska Nová Ves Spalte (Slovakia) both dated from the MN6 (i.e., by the middle

Miocene Climatic Transition, ca. 13.9 Mya) were linked to the persistence of sub-tropical local

conditions. Besides locality, species and tooth locus were also important factors of variation for the

prevalence of hypoplasia. The very large hippo-like *Brachypotherium brachypus* was one of the most

affected species at all concerned localities (but Sansan), whereas early-diverging elasmotheriines

were very little affected, suggesting an influence of phylogeny and/or diet in stress susceptibility.

Keywords: paleoecology, Miocene Climatic Optimum (MCO), microwear (DMTA), enamel hypoplasia

Introduction

The Miocene is a key period in Earth and rhinocerotid evolutionary histories. Climatic conditions in

Eurasia during the Miocene epoch are globally warm and the typical habitat is forested (Cerling et al.,

1997; Zachos et al., 2001; Bruch et al., 2007; Westerhold et al., 2020). It is the last warm episode of

the Cenozoic era, although marked by great climatic changes prefiguring the setup of modern cold

conditions (Westerhold et al., 2020). During early Miocene times, temperatures increased until

reaching the Miocene Climatic Optimum (MCO) between ~17 to 14 Mya (corresponding to the late

Burdigalian + Langhian standard ages; Westerhold et al., 2020). This optimum is followed by an

abrupt cooling (the middle Miocene climatic transition [mMCT]; Westerhold et al., 2020) associated

with faunal turnovers in Eurasia (Böhme, 2003; Maridet et al., 2007). The middle Miocene is marked

by a relative aridity, associated with a global cooling (Bruch et al., 2007; Böhme et al., 2008).

Regarding rhinocerotids, Miocene times witness peaks in their alpha diversity about 22–18 Mya and

11–10 Mya (Antoine et al., 2010; Antoine and Becker, 2013; Antoine, in press.). During the early and

middle Miocene in Eurasia, four sub-tribes of Rhinocerotidae are encountered – Rhinocerotina,

Teleoceratina and Aceratheriina (Rhinocerotinae), and Elasmotheriina (Elasmotheriinae) – and

species of which are often found associated in fossil-yielding localities (Antoine et al., 1997, 2010;

Heissig, 2012; Becker and Tissier, 2020; Antoine, 2002, in press.). This abundance and the potential

cohabitation of such large herbivores question habitat capacity and competition for food resources.

However, the ecology of the rhinocerotids has rarely been explored (e.g., Mihlbachler et al., 2018;

Wang and Secord, 2019; Rivals et al., 2020; Stefaniak et al., 2020) or only been assumed based on

morphological adaptations (as criticized by Prothero et al., 1989; Prothero, 2005; Giaourtsakis et al.,

2006). If the Rhinocerotina appear to be ecologically varied, the literature suggests a similar ecology

for most representatives of certain tribes. For instance, the elasmotheriines are considered as open

environment dwellers adapted to though vegetation (Iñigo and Cerdeño, 1997; Antoine and

Welcomme, 2000), whereas the teleoceratines are reconstructed as hippo-like rhinoceroses inhabiting

lake side or swamps and probably browsing on low vegetation or even grazing (Prothero et al., 1989;

Cerdeño, 1998), although a semi-aquatic lifestyle similar to hippos is debated (e.g., Clementz, 2012).

With this article, we wanted to assess if the great diversity of Miocene Rhinocerotidae was indeed

associated to an ecological diversity and to explore paleoecological differences associated with

climate changes between the studied species, regions, and periods. To do so, we focused on the

rhinocerotids from nine localities, covering wide temporal and geographical ranges (from early to

middle Miocene, Mammal Neogene zone [MN] 2 to MN7/8, and from southwestern France to

Pakistan). We coupled dental microwear texture analysis (short-term diet proxy) and enamel

hypoplasia (i.e., enamel defect resulting from a stress stopping tooth development) to infer dietary

preferences and stress sensibility, respectively. Eventually, we compared the results of both

approaches, which has the potential to detect stressful shifts in diet (competition, punctual shortage

event) or accentuated susceptibility due to a specialized diet, and put them into phylogenetic and

environmental contexts.

Material and methods

We studied the rhinocerotid dental remains from nine early and middle Miocene localities from France

(Béon 1, Béon 2, Sansan, Simorre, and Villefranche d'Astarac), Germany (Steinheim am Albuch),

Bosnia-Herzegovina (Gračanica), Slovakia (Devínska Nová Ves Spalte), and Pakistan (Kumbi 4,

Balochistan), ranging from MN2 to MN7/8. The rhinocerotid assemblages are detailed in Table 1. The

specimens are curated at the Naturhistorisches Museum Wien (NHMW), the Muséum de Toulouse

(MHNT), and the Naturhistorisches Museum Basel (NHMB). For all details on the specimens included

in this study see supplementary S1. The localization of the studied localities is given in Figure 1.

Further details on the localities are given in supplementary S2.

Table 1: List of rhinocerotid species found at each locality studied along with the number of specimens included for each method.

		Rhinocerotinae			Elasmotheriinae	Microwear		Hypoplasia
						Gr	Sh	
	Unnamed tribe	Aceratheriina	Rhinocerotina	Teleoceratina	Elasmotheriina			
Kumbi 4						9	4	99
	Mesaceratherium welcommi					3	3	19
	Pleuroceros blanfordi					2	1	42
	Protaceratherium sp.					0	0	0
	Plesiaceratherium naricum					0	0	4
			Gaindatherium cf. *browni*			2	0	14
				Brachypotherium gajense		2	0	4
				Diaceratherium fatehjangense		0	0	12
				Prosantorhinus shahbazi		0	0	0
					Bugtirhinus praecursor	0	0	4
Béon 2						0	0	13
	Protaceratherium minutum					0	0	2
	Plesiaceratherium mirallesi					0	0	0
				Prosantorhinus aff. *douvillei*		0	0	11
Béon 1						41	24	837
	Plesiaceratherium mirallesi					13	12	340
	Plesiaceratherium sp.					0	0	0
				Brachypotherium brachypus		7	5	46
				Prosantorhinus douvillei		15	4	359
					Hispanotherium beonense	6	3	92
Gračanica						9	5	31
	Plesiaceratherium balkanicum					4	2	12
			Lartetotherium sansaniense			1	1	2
				Brachypotherium brachypus		3	2	11
					Hispanotherium cf. *matritense*	1	0	6

	Unnamed tribe	Aceratheriina	Rhinocerotina	Teleoceratina	Elasmotheriina	Microwear Gr	Sh	Hypoplasia
			Rhinocerotinae		Elasmotheriinae			
						Gr	Sh	
Sansan						13	7	123
		Hoploaceratherium tetradactylum				7	1	89
		Alicornops simorrense				2	2	9
			Lartetotherium sansaniense			2	2	12
				Brachypotherium brachypus		2	2	13
Devínska Nová Ves Spalte						4	1	48
	Plesiaceratherium balkanicum					2	0	1
		Dicerorhinus steinheimensis				2	1	47
Simorre						4	2	71
		Alicornops simorrense				0	0	35
				Brachypotherium brachypus		4	2	36
Villefranche d'Astarac						4	7	134
		Alicornops simorrense				2	3	76
				Brachypotherium brachypus		2	4	58
Steinheim am Albuch						1	1	36
		Alicornops simorrense				1	1	6
			Lartetotherium sansaniense			0	0	8
			Dicerorhinus steinheimensis			0	0	12
				Brachypotherium sp.		0	0	10

Figure 1: Geographical position of the studied Eurasian Miocene localities.

Localization of all localities in Eurasia. Red square is a zoom on the southwestern French localities,

modified from Antoine and Duranthon (1997).

Color code by MN zones as detailed on the lower right corner. Abbreviations from West to East: S-

Steinheim am Albuch (MN7/8; Germany), D- Devínska Nová Ves Spalte (MN6; Slovakia), G-

Gračanica (MN5-6; Bosnia-Herzegovina), K- Kumbi 4 (MN2; Pakistan).

Dental Microwear Texture Analyses (DMTA)

Dental Microwear Texture Analysis (DMTA) allows for the characterization of dietary preferences at a

short time scale (days to weeks prior the death of the individual; Hoffman et al., 2015; Winkler et al.,

2020). This method has been widely used in paleontological and archeological studies to infer diet

(e.g., Grine, 1986; Rivals et al., 2012; Jones and DeSantis, 2017; Berlioz et al., 2018). Here, we

studied dental microwear texture on one well-preserved molar (germs and over-worn teeth excluded)

per individual, preferentially the second molar (first or third otherwise), either upper or lower, left or

right. Indeed, the choice of the locus and the facet are crucial in microwear analyses, as differences

have already been noted and may impact the interpretations (Mihlbachler et al., 2016; Ramdarshan et

al., 2017).

After cleaning the tooth with acetone or ethanol, two silicone (Regular Body President, ref. 6015 - ISO

4823, medium consistency, polyvinylsiloxane addition type; Coltene Whaledent) molds were made on

a single enamel band, which shows two different facets acting as grinding and shearing (if present).

The grinding facet shows several Hunter-Schreger bands on the very enamel surface – due to the fact

that they are vertical in Rhinocerotoidea (Koenigswald et al., 2011) – and is more horizontal than the

shearing facet which has a steep slope towards the labial side (Butler, 1952). To combine both types

of facets with different functions indeed improves dietary reconstruction (Louail et al., 2021; Merceron

et al., 2021). The enamel band on which we identified those two facets is localized labially near the

protocone on upper molars and distally to the protoconid or hypoconid (if the protoconid is

unavailable) on lower teeth (for an illustration see supplementary S2).

In this article we followed a protocol adapted from Scott et al. (2005, 2006) with sensitive-scale fractal

analyses. Molds were scanned with a Leica DCM8 confocal profilometer ("TRIDENT" profilometer

housed at the PALEVOPRIM, CNRS, University of Poitiers) using white light confocal technology with

a 100× objective (Leica Microsystems; Numerical aperture: 0.90; working distance: 0.9 mm). The

obtained scans (.plu files) were pre-treated with LeicaMap v.8.2. (Leica Microsystems) as follows: the

surface was inverted (as scans were made on negative replicas), missing points (i.e., non-measured,

less than 1%) were replaced by the mean of the neighboring points and aberrant peaks were removed

(see details in the supplementary information in Merceron et al., 2016). The surface was then levelled,

and we applied a polynomial of degree 8 removal of form to temper for Hunter-Schreger bands reliefs

in the DMTA parameters. Eventually, we selected a 200×200-µm area (1551 × 1551 pixels) within the

surface, which we saved as a digital elevation model (.sur) and used to extract DMTA parameters

through Scale-Sensitive Fractal Analysis with SFrax (Surfract, www.surfract.com) and LeicaMap.

Here we focused on five classical DMTA parameters: anisotropy (exact proportion of length-scale

anisotropy of relief; epLsar), complexity (area-scale fractal complexity; Asfc), heterogeneity of

complexity (heterogeneity of area-scale fractal complexity here at 3×3 and 9×9; HAsfc9 and HAsfc81),

and fine textural fill volume (here at 0.2 µm; FTfv). The description of these parameters is available in

Scott et al. (2006).

To facilitate DMTA interpretation for fossil specimens, we used the values and thresholds proposed in

extant species by Scott (2012) and Hullot et al. (2019). For the latter dataset, two new specimens were

added to increase sample size (notably for the Indian rhinoceros, which has a diet changing with the

season), as specified thereafter, and consists of 17 specimens of *Ceratotherium simum* (white

rhinoceros), four of *Dicerorhinus sumatrensis* (Sumatran rhinoceros), 21 of *Diceros bicornis* (black

rhinoceros), 15 of *Rhinoceros sondaicus* (Javan rhinoceros; one new specimen), and five of

Rhinoceros unicornis (Indian rhinoceros; one new specimen).

<u>Enamel hypoplasia</u>

Hypoplasia is a common defect of the enamel resulting from a stress or a combination of stresses

occurring during tooth development (Goodman and Rose, 1990). It is a permanent, sensitive, but non-

specific indicator of stresses either environmental (e.g., drought or nutritional stress; Skinner and

Pruetz, 2012; Upex and Dobney, 2012), physiological (e.g., disease or parasitism; Suckling et al.,

1986; Rothschild et al., 2001; Niven et al., 2004), and/or psychological (e.g., depression in primates;

Guatelli-Steinberg, 2001).

Enamel hypoplasia was studied with the naked eye and categorization of the defects followed the

Fédération Dentaire Internationale (1982) as linear enamel hypoplasia (LEH), pitted hypoplasia, or

aplasia. We studied all cheek teeth, both deciduous and permanent, but excluded 62 teeth to avoid

false negative and uncalibrated defects, as enamel was obscured (e.g., tooth unerupted in bone,

sediment occluding), broken or worn out, or as identification of the locus was impossible. This left

1401 teeth studied for the hypoplasia analysis – 294 milk molars and 1107 permanent premolars and

molars – from the nine localities. In parallel, qualitative data (tooth locus affected, position of the defect

on the crown, and severity) and caliper measurements (distance of the defect from enamel-dentine

junction, width if applicable) were taken (details in supplementary S3). This data may give insights into

the age at which the defect occurred (locus affected, position on the crown, distance to enamel-

dentine junction), as well as into the duration and intensity of the stress (width of the defect), which

can in turn help us propose causes (e.g., birth for a defect near the base of the crown on a D4). Type

of defects recorded, and caliper measurements are illustrated in Figure 2.

Figure 2: The three different types of hypoplasia considered in this study and the associated

measurements

A- Lingual view of right M2 of the specimen MHNT.PAL.2004.0.58 (*Hispanotherium beonense*; Béon

1) displaying three types of hypoplasia

B- Interpretative drawing of the photo in A illustrating the hypoplastic defects: a- pitted hypoplasia, b-

linear enamel hypoplasia, and c- aplasia

C- Interpretative drawing of the photo in A illustrating the measurements: 1- distance between the

base of the defect and the enamel-dentin junction, 2- width of the defect (when applicable).

Figure from Hullot et al. (2021).

<u>Statistics and GLMMs</u>

Statistics were conducted in R (R Core Team, 2018: https://www.R-project.org/), equipped with the

following packages: reshape2 (Wickham, 2007), dplyr (Wickham et al., 2019), lme4 (Bates et al.,

2015), car (Fox et al., 2012), MASS (Venables and Ripley, 2002). According to the recent statement of

the American Statistical Association (ASA) on p-values (Wasserstein and Lazar, 2016; Wasserstein et

al., 2019), we avoided as much as possible the use of the term "statistically significant" associated to

the classical thresholds in this manuscript. However, some typical thresholds have still been used for

sake of clarity. Figures were done using R package ggplot2 (Wickham, 2011) as well as Inkscape

v.0.91.

General Linear Mixed Models (GLMM) on our data were constructed based on a R code modified from

Arman et al. (2019) and adapted to each tested response variable. An example of this code applied to

hypoplasia variable Hypo is given in supplementary S4. DMTA response variables were the five DMTA

parameters (epLsar, Asfc, FTfv, HAsfc9, and Hasfc81) and we selected Gaussian family for the

GLMMs. Factors in the models were: specimen (number of the specimen; random factor), locality,

province, age (MN zones), genus, tooth (e.g., second molar, fourth milk molar), position (upper or

lower), side (left or right), cusp (protocone, protoconid, hypoconid), and facet (grinding or shearing).

For hypoplasia, response variables were Hypo (1 or 0 for presence or absence of hypoplasia,

respectively) for which we used Binomial family, Defect (e.g., LEH, Pits, Aplasia; converted to

numbers), Localization (position of the defect on the crown; mostly labial or lingual, converted to

numbers), Multiple (number of defects), and Severity (0 to 4), modeled using Poisson family. The

factors were: specimen (number of the specimen; random factor), locality, province, age (MN zones),

genus, tooth (e.g., first molar, fourth premolar), position (upper or lower), side (left or right), and wear

(low, average, high). Additionally, for response variables Severity, Multiple, and Localization, defect

was converted and used as a factor.

The models were built with a bottom-up approach, starting with the only random factor of our dataset

alone (specimen) and adding factors incrementally for every set (e.g., 1|Specimen + Genus,

1|Specimen + Locality). New set was built as long as Akaike's Information Criterion score (AIC) kept

decreasing. Few interactions (e.g., Genus x Facet for microwear, Genus x Tooth for hypoplasia) were

tested in the models, as most factors were considered independent and to avoid unnecessarily

complex and rarely selected models (Arman et al., 2019). We selected the best candidate model as

the one with the lowest AIC and checked for over-dispersion (ratio of deviance and degrees of

freedom > 1.5). If needed (over-dispersion), we corrected it through quasi-Poisson or quasi-Binomial

laws from the MASS package (Venables and Ripley, 2002) or by adjusting the coefficients table

(multiply type error by square root of the dispersion factor and recalculate Z and p values accordingly).

In total, 340 models were compared across the 10 response variables (see supplementary S5, S6,

and S7).

Results

<u>Microwear</u>

MANOVA (Species x Facet x Age x Locality) on all five main DMTA parameters (epLsar, Asfc, FTfv,

Hasfc9, Hasfc81) revealed low p-values for Species (df = 14; p-value = 8.6 x 10^{-4}), Facet (df = 1; p-

value = 6.5 × 10^{-4}), and Locality (df = 4; p-value = 0.014). However, when we separated our sample by

facet, most of these differences were only found in the shearing facet subsample (n = 51), while very

few were observed for the grinding facet subsample (n = 85). Based on MANOVA results, ANOVAs

(Species x Age x Locality) were conducted for each parameter in each microwear facet subsample. In

the grinding subsample, we only found a potential influence of Species (p-value = 0.0165) and Age (p-

value = 0.0426) on Asfc. In the shearing subsamples, Species had an impact on epLsar (p-value =

0.034) and Hasfc 9 (p-value = 0.0477), while Age had an impact on Asfc (p-value = 4.31 × 10^{-4}) and

FTfv (p-value = 0.035).

As the different factors (Species and Age) had more than two states, we conducted post hoc tests to

precise the highlighted differences, results of which are detailed in Table 2 and Table 3. The more

conservative post hoc (Tukey's honestly significant difference; HSD) revealed very few noticeable

differences in the microwear textures of the studied rhinocerotid specimens (Table 2). On the shearing

facet *Plesiaceratherium mirallesi* (n = 12) had higher values of epLsar than *Brachypotherium*

brachypus (n = 15; p-value = 8.81 × 10^{-3}), *Mesaceratherium welcommi* (n = 3; p-value = 0.040), and

Lartetotherium sansaniense (n = 3; p-value = 0.016). The shearing facet of the rhinocerotids from the

MN5 (i.e., Gračanica, n = 5) had lower values of Asfc than that from the MN6 (Sansan and Devínska

Nová Ves, n = 8; p-value = 0.058) and MN7/8 (Simorre, Villefranche and Steinheim, n = 10; p-value =

0.056). The least conservative post hoc (Fischer's least significant difference; LSD) highlighted more

differences in the DMTA patterns of the specimens regarding Species and Age (Table 3, p-values <

0.05). Concerning Species, *P. mirallesi* (n = 12) and *A simorrense* (n = 5) had higher values of epLsar

on their shearing facet compared to *B. brachypus* (n = 15), *P. balkanicum* (n = 2), *P. douvillei* (n = 4),

H. tetradactylum (n = 1), *L. sansaniense* (n = 3), *D. steinheimensis* (n = 1), and *M. welcommi* (n = 3).

Regarding Asfc, *D. gajense* (n = 2), *G.* cf. *browni* (n = 2) and *H. tetradactylum* (n = 7) had higher

values compared to *P. douvillei* (n = 15), *A. simorrense* (n = 4), *H.beonense* (n = 6), *P. mirallesi* (n =

13), *H.* cf. *matritense* (n = 1). However, with the p-value threshold of 0.05 selected for LSD tests, no

differences in Hasfc9 were found by Species. Concerning Age, MN4 (Béon 1) and MN5 (Gračanica)

rhinocerotids had lower complexity values than those from MN6 (Sansan and Devínska Nová Ves)

and MN7/8 (Simorre, Villefranche and Steinheim) for both facets, and MN2 (Kumbi 4) for the grinding

facet only. The FTfv was also different on the shearing facet of rhinocerotids from MN2 (n = 4) and

from MN4 (n = 24), MN5 (n = 5), and MN6 (n = 8), and between the rhinocerotids from MN5 and those

from MN7/8 (n = 10).

Table 2: Pairs (Species or Age) with low p-values after Tukey's honestly significant difference

(HSD) by DMTA parameters.

FTfv not shown as it yielded p-values above 0.1 only

DMTA parameter	Facet	Pair (Species or Age) with differences		p-value
Asfc	**Grinding**			
		Hoploaceratherium tetradactylum	*Plesiaceratherium mirallesi*	0.034
			Prosantorhinus douvillei	0.055
	Shearing			
		MN5	MN6	0.058
			MN7/8	0.056
epLsar	**Shearing**			
		Plesiaceratherium mirallesi	*Brachypotherium brachypus*	0.009
			Lartetotherium sansaniense	0.016
			Mesaceratherium welcommi	0.040

Except at Béon 1, the specific microwear sampling at each locality was very restricted (n < 5), either

due to low numbers of exploitable teeth available, or to the lack of well-preserved microwear texture

on molars. In order to facilitate the understanding, the results are presented by locality

(chronologically) and by species. All dietary interpretations in the results section are based on

reference dataset and values in extant species of bovids (Scott 2012) and rhinoceros (Hullot et al.

2019).

Table 3: Fischer's least significant difference (LSD) post hoc results by DMTA parameters

Groups (a, ab, abc, abcd, ac, b, bc, bcd, c, cd, d) are significantly different from one another (here with a 0.05 threshold, as a p-value threshold had to be defined to run the LSD tests) if they don't share a common letter (e.g., ab is distinct from c, cd, and d).

Gr. and Sh. stands respectively for grinding and shearing facets. No post hocs conducted for HAsfc81 as ANOVA did not reveal differences for this parameter.

		a	ab	abc	abcd	ac	b	bc	bcd	c	cd	d
Asfc												
	Gr.	*D. gajense*	*G.* cf. *browni* *H. tetradactylum*	*B. brachypus*	*L. sansaniense* *M. welcommi* *P. blanfordi*	-	-	-	*P. balkanicum* *D. steinheimensis*	-	*P. douvillei* *A. simorrense* *H. beonense*	*P. mirallesi* *H.* cf. *matritense*
	Gr.	MN2 MN6 MN7/8	-	-	-	-	MN4 MN5	-	-	-	-	-
	Sh.	MN6 MN7/8	MN2	-	-	-	-	MN4	-	MN5	-	-
epLsar												
	Sh.	*P. mirallesi* *A. simorrense*	*H. beonense* *P. blanfordi*	-	-	-	*B. brachypus* *P. balkanicum* *P. douvillei* *H. tetradactylum* *L. sansansiense* *D. steinheimensis* *M. welcommi*	-	-	-	-	-
FTfv	**Sh.**	MN2	MN7/8	-	-	-	-	MN4 MN6	-	MN5	-	-
HAsfc9	**Sh.**	*D. steinheimensis* *L. sansaniense*	*M. welcommi* *A. simorrense* *B. brachypus*	*H. tetradactylum* *P. blanfordi* *H. beonense* *P. balkanicum* *P. douvillei*		*P. mirallesi*	-	-	-	-	-	-

At **Kumbi 4**, four species were considered for DMTA: *Pleuroceros blanfordi*, *Mesaceratherium*

welcommi, *Gaindatherium* cf. *browni* (grinding facet only), and *Brachypotherium gajense* (grinding

facet only). Figure 3 shows that Kumbi rhinocerotids display a great variety of microwear patterns.

Only one specimen, belonging to *G.* cf. *browni*, is above the high anisotropy threshold of 5×10^{-3},

while regarding complexity all specimens of *B. gajense* and *G.* cf. *browni* but none of *P. blanfordi*

display values above the high complexity cutpoint of 2. *Gaindatherium* cf. *browni* and *P. blanfordi* have

large variations in anisotropy, from low values ($\sim 1 \times 10^{-3}$) to high (about 5×10^{-3}), but consistent

values of complexity (around 3 and 1.4 respectively). Such a pattern associated with moderate

(HAsfc9: 0.3-0.4, HAsfc81: 0.5-0.6; *P. blanfordi*) to high (HAsfc9 > 0.3, HAsfc81 > 0.6; *G.* cf. *browni*)

values of HAsfc (Figure 4) point towards mixed-feeding diets, probably with the inclusion of harder

objects for *G.* cf. *browni* (higher values of complexity). The signature for *B. gajense* is suggestive of

browsing with low mean anisotropy (1.82×10^{-3}), but high means of complexity (4.58), FTfv (7.89

$\times 10^{4}$), and HAsfc (HAsfc9 = 0.36; HAsfc81 = 1). Eventually, *M. welcommi* presents low to moderate

anisotropy values ($< 4 \times 10^{-3}$), moderate complexity (~ 1.5) and HAsfc (HAsfc9: 0.2-0.4, HAsfc81: 0.3-

0.6), but high FTfv ($> 4 \times 10^{4}$) on both facets (Figure 4), which denotes browsing or mixed-feeding

habits.

At **Béon 1**, the DMT patterns of the four rhinocerotids overlap contrary to that of Kumbi 4 rhinocerotids

(Figure 3). The DMTA results are already detailed in Hullot et al. (2021). They suggest a mixed-

feeding behavior for *H. beonense* with moderate anisotropy values (mostly $< 4 \times 10^{-3}$), variable values

of complexity (low-medium), moderate-high FTfv (around 4×10^{4}), and moderate HAsfc on both facets

(HAsfc9: 0.2-0.3, HAsfc81: 0.5-0.6; Figure 4). *Plesiaceratherium mirallesi* is considered as a folivore

due to low complexity (~ 1) and HAsfc values (HAsfc9: ~ 0.2, HAsfc81: 0.4-0.5) but relatively high

anisotropy (above 5×10^{-3}), indicating an abrasive but not diversified diet. Concerning the

teleoceratines, they display similar microwear textures (Figure 3; Figure 4), although *B. brachypus* has

lower values of anisotropy ($< 2 \times 10^{-3}$). This suggests that *B. brachypus* was probably a browser or a

mixed-feeder, while *Pr. douvillei* was a browser favoring leaves.

At **Gračanica**, we also observe a great overlapping in the DMT patterns. The complexity is very low

for all rhinocerotids studied (mostly below 1) suggesting soft food items. Anisotropy varies greatly but

Hasfc81 is consistently low (< 0.5) for all species (Figure 4). This points towards soft browsing or

folivory for all rhinocerotids at Gračanica.

At **Sansan**, the DMT signatures of the rhinocerotids are more diversified and less overlapping,

similarly to Kumbi 4 (Figure 3). *Lartetotherium sansaniense* and *H. tetradactylum* have low values of

anisotropy (< 2.5 x 10^{-3}) and moderate (1-2; *L. sansaniense*) to high (> 2; *H. tetradactylum*) values of

complexity, recalling browsers. The high values of HAsfc (HAsfc9 > 0.4, HAsfc81 > 0.6; Figure 4) for

both species are compatible with a varied browsing diet. The other two species, *B. brachypus* and *A.*

simorrense, are in the range of mixed-feeders (Figure 3), and have consistent moderate to high values

of HAsfc (HAsfc9: 0.3-0.5, HAsfc81: 0.5-0.9). At **Devínska Nová Ves**, our restricted sample suggests

browsing habits for both species *P. balkanicum* and *D. steinheimensis*, with moderate values of both

anisotropy (~ 2.5 x 10^{-3}) and complexity (mostly between 1 and 1.5). FTfv is high on both facets (> 4 x

10^{4}) and HAsfc moderate (Figure 3; Figure 4).

At **Simorre**, *B. brachypus* specimens display low values of anisotropy (< 2.5 x 10^{-3} except two

specimens), and high values of complexity (> 2) and FTfv (> 4 x 10^{4}) on both facets. Values of HAsfc9

are high (> 0.3) on both facets, while those of HAsfc81 are moderate on the grinding facet (median =

0.45) and high on the shearing one (median = 0.7). These DMTA results suggest browsing

preferences with the inclusion of hard objects, probably fruits. At **Villefranche d'Astarac**, *B.*

brachypus and *A. simorrense* present well-distinguished DMT patterns (Figure 3). *Brachypotherium*

brachypus has low anisotropy values (< 2.5 x 10^{-3}) and high complexity ones (> 2.5) corresponding to

a browsing signal, while the opposite is true for *A. simorrense*. The moderate values of HAsfc for *A.*

simorrense suggest that folivory is more likely than mixed-feeding for these specimens and the

corresponding individuals. Eventually the specimen of *A. simorrense* from **Steinheim am Albuch** has

a moderate anisotropy (Grinding: 3.56 x 10^{-3}; Shearing: 2.27 x 10^{-3}), low (Shearing: 0.41) to moderate

(Grinding: 1.6) complexity, a high FTfv on the grinding facet (8.35 x 10^{4}) but low on the shearing one

(0.63 x 10^{4}), and low HAsfc on the shearing facet (HAsfc9: 0.22, HAsfc81: 0.3) but moderate-high on

the grinding one (HAsfc9: 0.42, HAsfc81: 0.53; Figure 4). This pattern is consistent with browsing or

mixed-feeding habits.

Figure 3: Dental microwear results of early and middle Miocene rhinocerotids plotted as mean and standard deviation of anisotropy against that of complexity by facet, locality and species Localities organized chronologically. Béon 2 and Steinheim am Albuch not shown as not studied for microwear and only one specimen, respectively. Color code by species as indicated in the figure.

Figure 4: Dental microwear results of early and middle Miocene rhinocerotids plotted as boxplots of each DMTA parameter by facet and species.

Time flows from left to right. DNV: Devínska Nová Ves Spalte, St: Steinheim am Albuch, Si: Simorre, V: Villefranche d'Astarac. Béon 2 not on the graph as microwear was not studied for the concerned rhinocerotids. Color code by species as indicated in the figure and consistent with Figure 3.

GLMM: For all response variables (epLsar, Asfc, FTfv, HAsfc9, and HAsfc81), model support

increased (i.e., lower AIC) when intraspecific factors (e.g., Facet, Genus, Locality) were included. The

final models contained three to seven factors, including Specimen, the random factor, by default in all

models. Facet was in the final models of epLsar and FTfv, Locality and Age were found in the final

models of Asfc and both HAsfc. Details and comparison of all models can be seen in supplementary

S5 and S6. Differences by Locality were also observed. The rhinocerotid specimens from Béon 1 had

a lower complexity than those of Kumbi 4, Sansan, Simorre, and Villefranche (df = 119, α = 0.05,

absolute t-values > 1.7), while Tukey's contrasts highlighted lower values of Asfc for the rhinocerotids

at Gračanica than for those at Kumbi (p-value = 0.004), Simorre (p-value = 0.027), and Villefranche (p-

value < 0.001). Moreover, Béon 1 rhinocerotids had lower HAsfc9 and HAsfc81 values than Kumbi 4

and Sansan specimens (df = 119, α = 0.05, absolute t-values > 1.7). Tukey's contrasts also showed

that Sansan rhinocerotids had higher HAsfc9 and HAsfc81 than those at Gračanica (p-value ≤ 0.001).

The sampling site (tooth locus, position, side) had sometimes a confounding effect. For instance, M2

had higher epLsar values than M3 (df = 119, α = 0.05, t-value = -1.95).

When compared to the extant dataset (see supplementary S8 for all details), we noticed that all fossil

species had lower anisotropy values than the extant grazer *Ceratotherium simum* (white rhinoceros)

and the folivore *Dicerorhinus sumatrensis* (Sumatran rhinoceros), although the classic t-value

threshold was not reached for a few species (*P. blanfordi*, *G.* cf. *browni*, *B. gajense* [only regarding *C.*

simum], *P. mirallesi*, and *A. simorrense*; α = 0.95, |t-values| ≤ 1.7). On another hand, *P. mirallesi*

displayed higher values of anisotropy than the extant browsers *Diceros bicornis* (black rhinoceros; t-

value = 1.93) and *Rhinoceros sondaicus* (Javan rhinoceros; t-value = 2.66). Regarding complexity, *C.*

simum and *D. sumatrensis* had lower values than *B. gajense* and *H. tetradactylum*, while the extant

browsers had higher values than *P. balkanicum*, *P. douvillei*, *P. mirallesi*, and *H. beonense* (α = 0.95,

|t-values| > 1.7). All other DMTA parameters showed less differences between the extant and fossil

datasets: *C. simum* and *R. sondaicus* had higher FTfv, HAsfc9, and HAsfc81 than *B. brachypus*, *P.*

balkanicum, and *P. mirallesi* (α = 0.95, |t-values| > 1.7).

<u>Hypoplasia</u>

The overall prevalence of hypoplasia on rhinocerotid teeth from the early and middle Miocene

localities studied is high, with 302 teeth affected out of 1401, corresponding to over 20 % (21.56 %) all

localities, teeth and species merged. There are, however, marked discrepancies between species,

localities, and tooth loci (Figure 5). The most affected genera were *Plesiaceratherium* (104/357; 29.13

%), *Prosantorhinus* (97/370; 26.22 %), and *Brachypotherium* (46/178; 25.84 %), but this resulted

mostly from the dominance of Béon 1 specimens in our sample. *Brachypotherium brachypus* was

often one of the most affected species at all sites where the species was found, except Sansan (1/13;

7.69 %), contrary to *A. simorrense* often found associated with the previous species and relatively

spared by hypoplasia (maximum 4/35 = 11.43 % of teeth affected at Simorre; Figure 6).

The prevalence was above 10 % for all localities except Kumbi 4, for which the overall prevalence is

low (6/99; 6.06 %; Table 4). Hypoplasia defects are quite rare at Kumbi 4 for all species studied, and

even null for the teleoceratine species (*D. fatehjangense* and *B. gajense*), *Bugtirhinus praecursor*, and

Plesiaceratherium naricum (Figure 6). Only *Pleuroceros blanfordi* appears a little more affected (4/42;

9.52 %), totaling four of the six hypoplasias observed at the locality. Hypoplasia is also relatively

limited at Sansan (14/132; 10.61 %) and Devínska Nová Ves (5/48; 10.42 %), with only *B. brachypus*

and *H. tetradactylum* affected at Sansan, and *D. steinheimensis* at Devínska Nová Ves (Table 4 ;

Figure 6). On the contrary, the rhinocerotids from Béon 1, Béon 2, and Gračanica are very affected,

with more than 25 % of the teeth presenting at least one hypoplasia at Béon 1 (216/832; 25.96 %) and

Béon 2 (5/18; 27.78 %), and nearly 50 % at Gračanica (15/31; 48.39 %; Table 4). At these sites, the

prevalence of hypoplasia is high for all species but the elasmotheriines (Figure 6). Indeed, the

elasmotheriines of all sites were relatively spared (*H. beonense* at Béon 1: 13.04 %) or even not

affected by hypoplasia (*B. praecursor* at Kumbi 4 and *H.* cf. *matritense* at Gračanica).

Figure 5: Number (A) and Frequence (B) of hypoplasia by locality and species

Numbers on barplot A indicate the number of hypoplastic teeth (dark colors) versus unaffected ones

(light colors). Frequencies are calculated as the ratio of hypoplastic teeth on the total number of teeth

(hypoplastic and normal). Sub-tribes colored in blue: Teleoceratina, in green: Rhinocerotina; in yellow:

Aceratheriina, in pink: stem Rhinocerotinae, and in orange: Elasmotheriina

Abbreviations: DNV: Devínska Nová Ves Spalte, Sim: Simorre, Vil: Villefranche d'Astarac

Df: *Diaceratherium fatehjangense*, *Bg*: *Brachypotherium gajense*, *Bp*: *Bugtirhinus praecursor*, *Gb*:

Gaindatherium cf. *browni*, *Mw*: *Mesaceratherium welcommi*, *Pbl*: *Pleuroceros blanfordi*, *Pn*:

Plesiaceratherium naricum, *Pmin*: *Protaceratherium minutum*, *Pd*: *Prosantorhinus douvillei* (*P.* aff.

douvillei at Béon 2), *Bb*: *Brachypotherium brachypus*, *Hb*: *Hispanotherium beonense*, *Pmir*:

Plesiaceratherium mirallesi, *Hm*: *Hispanotherium* cf. *matritense*, *Ls*: *Lartetotherium sansaniense*, *Pba*:

Plesiaceratherium balkanicum, *As*: *Alicornops simorrense*, *Ht*: *Hoploaceratherium tetradactylum*, *Ds*:

Dicerorhinus steinheimensis, *B*: *Brachypotherium* sp.

Figure 6: Prevalence of hypoplasia by locality, species and tooth locus plotted against

phylogeny

Phylogenetic relationships follow formal parsimony analyses (Antoine, 2002; Antoine et al., 2010,

2022; Becker et al., 2013; Tissier et al., 2020).

Subtribes colored in blue: Teleoceratina, in green: Rhinocerotina; in yellow: Aceratheriina, in pink:

stem Rhinocerotinae, and in orange: Elasmotheriina

Dark colors: hypoplastic teeth; Light colors: unaffected teeth

 Table 4: Prevalence of hypoplasia by locality (number of specimens/percentages)

	Hypoplastic	Unaffected	Percentage of hypoplasia
Kumbi 4	93	6	6.06
Béon 2	13	5	27.78
Béon 1	616	216	25.96
Gračanica	16	15	48.39
Devínska Nová Ves Spalte	43	5	10.42
Sansan	118	14	10.61
Simorre	60	11	15.49
Villefranche d'Astarac	111	23	17.16
Steinheim am Albuch	29	7	19.44

Regarding the loci, milk teeth (47/294; 15.99 %) were overall less affected by hypoplasia than

permanent ones (255/1107; 23.04 %; Table 5). Indeed, besides at Béon 1, very few milk molars are

hypoplastic (one D1/d1 at Gračanica and Steinheim, two D1 at Simorre, two D2 and one d4 at

Sansan, four D4/d4 at Villefranche d'Astarac). Upper and lower teeth were equally affected (Kruskal-

Wallis, df = 1, p-value = 0.11), with respectively 19.86 % (144/725) and 23.37 % (158/676) of teeth

bearing hypoplasia. The most affected locus was the fourth milk molar with 38.24 % (26/68), while the

least affected were second and third milk molars with around 4 % affected (3/68 and 3/72 respectively;

Table 5). Other loci particularly affected were fourth premolars (60/200; 30 %), third molars (50/188;

26.60 %), and second molars (49/202; 24.26 %; Table 5). Once again, these findings mostly result

from the dominance of Béon 1 specimens in the sample, and great differences in the hypoplasia

pattern are observed by locality (Figure 6). Indeed, if virtually all tooth loci are likely to be affected for

Béon 1 rhinocerotids, the pattern is less varied at other localities although it seemingly diversifies with

sample size (e.g., *H. tetradactylum* from Sansan and Villefranche d'Astarac). For instance, hypoplastic

teeth are nearly exclusively molars at Kumbi 4 (with only one defect on a p3), and permanent teeth at

Gračanica (only one defect on a D1; Figure 6).

GLMM: For all response variables (Hypo, Defect, Multiple, Localization, and Severity), model support

increased (lower AIC) when intraspecific factors (e.g., Tooth Loci, Genus, Locality) were included.

When Genus was not forced into the models, the final models contained three to six factors, including

Specimen, the random factor, by default in all models. Defect (converted to a factor) was in the final

models of all concerned variables (Multiple, Localization, and Severity). Genus was in the final models

of all variables but Localization. Position was in the final models of Hypo, Defect, and Localization,

while Tooth, and Wear were in that of Hypo and Defect. Details and comparison of all models can be

seen in supplementary S5 and S7.

Table 5: Prevalence of hypoplasia by tooth locus, regardless of provenance and taxon

Upper and lower teeth merged as they have a similar timing of development

	Hypoplastic	Unaffected	Percentage of hypoplasia
D1	71	15	17.44
D2	65	3	4.41
D3	69	3	4.17
D4	42	26	38.24
Total decidual teeth	47	247	15.99
P2	130	26	16.67
P3	138	33	19.30
P4	140	60	30.00
M1	153	37	19.47
M2	153	49	24.26
M3	138	50	26.60
Total permanent teeth	255	852	23.04

Based on GLMMs results, we can assess the influence of Genus, Locality, and Tooth on the

hypoplasia pattern. *Alicornops* was less affected than *Brachypotherium* (p-value = 0.015), while

Plesiaceratherium was more prone to hypoplasia than *Hispanotherium* (p-value = 0.02). GLMMs

revealed differences in the patterns of hypoplasia (i.e., type of defects and their frequencies) between

Brachypotherium and the following taxa: *Dicerorhinus* (p-value = 0.0046), *Alicornops* (p-value <

0.001), and *Protaceratherium* (p-value = 0.034). Tukey's contrasts also revealed the lowest p-values

between *Alicornops* and the following taxa: *Hispanotherium* (p-value = 0.064), *Plesiaceratherium* (p-

value < 0.01), *Prosantorhinus* (p-value < 0.01), *Protaceratherium* (p-value = 0.05). Eventually

Dicerorhinus had a different hypoplasia pattern than *Plesiaceratherium* (p-value = 0.034) and

Prosantorhinus (p-value = 0.071).

Regarding tooth loci, all teeth but fourth premolars and third molars were less affected than fourth milk

molars (p-values < 0.05). The results further suggested that the most commonly affected loci were

third molars, fourth premolars and fourth milk molars, while the least affected were all milk molars but

the fourth. Concerning localities, Gračanica teeth were significantly more affected than Béon 1 and

Sansan specimens (p-values ≈ 0.01). Middle Miocene rhinocerotids presented a hypoplasia pattern

distinct from that of early Miocene ones (p-value = 0.019). Similarly to GLMMs for DMTA, we observed

confounding effects. Slightly-worn teeth had less hypoplasia than average worn (p-value = 0.005) and

very worn teeth (p-value = 0.026).

Discussion

<u>Dietary preferences and niche partitioning of the rhinocerotids studied</u>

The comparison of the fossil specimens DMT to those of extant ones highlighted marked differences.

This suggests that the dietary spectrum of extinct rhinocerotids might have been very distinct from that

observed in living species (Hullot et al., 2019). However, the microwear textures of most fossils

studied are critically distinct from that of the only extant strict grazer *Ceratotherium simum*, banning

such dietary preferences for the studied fossil specimens. This finding is not surprising as grasses and

associated grazing ungulates expended only during latest Miocene in Eurasia (Janis, 2008). The

reconstructed dietary preferences based on DMTA are presented in Table 6 by locality and by

species.

The DMTA results of fossil specimens on both facets (Figure 3; Figure 4) suggest clear differences in

the feeding preferences of the rhinocerotid specimens studied at Kumbi 4, Sansan, and Villefranche

d'Astarac, which could indicate a potential nich partitioning. Although DMT could only be explored in

four out of the nine rhinocerotid species present at Kumbi 4, the patterns observed indicate clear

differences in the feeding behaviors, even if leaf consumption seems to be a major component for all

rhinocerotids studied but *B. gajense*. This finding is in line with the inferred lush vegetation under

warm and moist climatic conditions proposed for this locality, providing abundant and diverse feeding

resources for the many large herbivores present (Antoine et al., 2010, 2013; Martin et al., 2011).

Table 6: Dietary preferences inferred from textural microwear (DMTA) of the studied

rhinocerotid specimens from different fossil localities of the early and middle Miocene of

Eurasia.

Extant species are provided as a comparison, based on the work of Hullot et al. (2019)

Color code: brown/B – browser, blue/M – mixed-feeder, pale green/F – folivore, vivid green/G –

grazer, vivid green/VG – variable grazer, no color/x – not studied

	Kumbi 4	Béon 2	Béon 1	Gračanica	Sansan	Devínska Nová Ves Spalte	Simorre	Villefranche d'Astarac	Steinheim am Albuch	Extant species
Rhinocerotinae										
Mesaceratherium welcommi	M									
Pleuroceros blanfordi	M									
Protaceratherium sp.	x									
Protaceratherium minutum		x								
Plesiaceratherium naricum	x									
Plesiaceratherium mirallesi		x	F							
Plesiaceratherium balkanicum				F		B				
Plesiaceratherium sp.										
Aceratheriina										
Hoploaceratherium tetradactylum					B					
Alicornops simorrense					M		x	F	M	
Rhinocerotina										
Ceratotherium simum										G
Diceros bicornis										B
Gaindatherium cf. *browni*				M						
Lartetotherium sansaniense					B	B		x		
Dicerorhinus steinheimensis						B		x		
Dicerorhinus sumatrensis										F
Rhinoceros unicornis										VG
Rhinoceros sondaicus										B
Teleoceratina										
Diaceratherium fatehjangense			x							
Brachypotherium gajense	B									
Brachypotherium brachypus					M	F	M	B	B	
Brachypotherium sp.									x	
Prosantorhinus shahbazi	x									
Prosantorhinus douvillei				x	B					
Elasmotheriinae										
Elasmotheriina										
Bugtirhinus praecursor	x									
Hispanotherium beonense					M					
Hispanotherium cf. *matritense*						M				

The dietary preferences reconstructed for Sansan rhinocerotids suggest the co-occurrence of two

browsers (*L. sansaniense* and *H. tetradactylum*, the latter including harder items in its diet) and two

mixed-feeders (*A. simorrense* and *B. brachypus*), coherent with the warm (16 to 19°C mean annual

temperatures) forested environment reconstructed for that locality (Costeur et al., 2012), but at odds

with the recent-like Miocene coolhouse as depicted by Westerhold et al. (2020; ~415 parts per million

CO_2). This discrepancy could be due to differences between local and global climatic conditions or,

less probably, to a problematic dating of the locality of Sansan (Sen and Ginsburg, 2000; Maridet and

Sen, 2012). Moreover, the niche partitioning was probably accentuated by different habitat

preferences as suggested in the literature: *Hoploaceratherium tetradactylum* is mostly found in swamp

or fluvial sediments indicating wet habitat preferences contrary to *A. simorrense*, while *B. brachypus*

seems intermediate and *L. sansaniense* generalist (Heissig, 2012). Eventually, we observed obvious

differences in the dietary preferences for *A. simorrense* (folivore or mixed-feeder favoring leaves) and

B. brachypus (browser including hard objects) at Villefranche d'Astarac, where a humid forested

environment is hypothesized (Bentaleb et al., 2006).

On the contrary, an overlap of microwear textures, especially for the grinding facet, is observed for the

localities of Béon 1 and Gračanica. Besides diet, different habitats and feeding heights might result in

niche partitioning (Hutchinson, 1959; Arsenault and Owen-Smith, 2008). Concerning Béon 1, a partial

niche partitioning due to habitat differences has been hypothesized for the rhinocerotids – swamps for

both teleoceratines *B. brachypus* and *P. douvillei*, open woodland for *P. mirallesi*, and savannah-like

open environments for *H. beonense* (Bentaleb et al., 2006) – and subtle dietary differences are

discussed in Hullot et al. (2021) in the light of the combination of molar mesowear and dental

microwear texture analysis. At Gračanica, low-magnification microwear and mesowear score already

revealed an overlap in the dietary preferences of *Pl. balkanicum* and *B. brachypus* as two browsing

species, but the microwear pattern of the latter likely suggests dirty browsing (i.e., browsers ingesting

abrasive dust, grit, or dirt with their forage; Rivals et al., 2014), a dietary category however not defined

by comparative datasets on intensively studied extant species with known diet. Although microwear

sampling is restricted and includes premolars for the other two rhinocerotids (*L. sansaniense* and *H.*

cf. *matritense*), it points towards different mixed-feeding behaviors, most likely with a dominance of

grass in the diet of *H.* cf. *matritense* (Xafis et al., 2020). The very low values of complexity for all

Gračanica rhinocerotids in our sample, combined to relatively high values of anisotropy (Figure 3;

Figure 4), recalls the feeding preferences and microwear textures of the extant *Dicerorhinus*

sumatrensis (Sumatran rhino; Hullot et al., 2019). This could suggest an important consumption of

leaves for all species, as well as a very low amount of lignified tissues that would have required more

grinding to get access to cell content. Interestingly, the reconstructed environment at this locality

(based on mammal assemblage and flora) is a lowland swamp surrounded by a closed canopy-like

environment (Butzmann et al., 2020; Xafis et al., 2020), meaning that leaves would have been an

abundant resource. Eventually, the restricted DMTA samples from Devínska Nová Ves Spalte,

Steinheim am Albuch, and Simorre suggest browsing or mixed-feeding habits for all specimens

studied, but did not allow to conclude on potential competition for food resources.

<u>Interactions with co-occurring herbivores</u>

Besides other rhinocerotid species, the individuals studied co-occurred with many other meso- and

megaherbivores (> 4 kg; bovids, cervids, giraffids, anthracotheriids, equids, chalicotheriids, and

proboscideans). The co-occurrence is inferred based on the retrieving from the same stratigraphic

level and/or fossil locus (Kumbi 4: Antoine et al., 2010; Gračanica: Göhlich and Mandic, 2020;

Steinheim: Tütken et al., 2006) or by attested interactions between some species (Béon 1: trampling

marks, Antoine pers. obs., Sansan: Aiglstorfer et al., 2019). Although co-occurrence is not necessarily

a good proxy for ecological interactions (Blanchet et al., 2020), it is possible that some of these large

herbivores were competing for or partitioning food resources with the rhinocerotids. Unfortunately, very

little has been studied concerning the dietary preferences of the fauna at most of the studied localities

with the notable exceptions of Gračanica (Göhlich and Mandic, 2020) and Sansan (Peigné and Sen,

2012).

Indeed, recent studies on dental wear (micro- and meso- wear) or stable isotopy, suggested frugivory

for some associated species such as tragulids (*Dorcatherium* spp. at all localities but Simorre and

Villefranche; Aiglstorfer et al., 2014; Xafis et al., 2020), the middle Miocene Moschidae (*Micromeryx*

spp. found at Sansan, Simorre and Steinheim am Albuch; Aiglstorfer and Semprebon, 2019) or the

chalicothere *Metaschizotherium fraasi* (found at Steinheim am Albuch; Semprebon et al., 2011).

Interestingly, no rhinocerotid specimens studied here seemingly favored fruits, although they might

have included some in their diet (*B. brachypus* from Simorre) or consumed some seasonally, which

might not be detected by DMTA.

Similarly, the lophodont suid *Listriodon splendens*, found at Gračanica, Devínska Nová Ves, and

Simorre, might have favored grasses (Van der Made, 2003; Xafis et al., 2020), a resource not

dominant in the diet of the sampled rhinocerotids neither. Otherwise, the vast majority of herbivore

species were probably browsers or mixed-feeders, in good agreement with the statement by Eronen

and Rössner (2007) that these forms are dominant between MN4 and MN9. This is for instance the

case of the associated perissodactyl species *Anisodon grande* (Chalicotheriidae), whose low-

magnification microwear signal at Devínska Nová Ves suggests folivory (Semprebon et al., 2011), and

Anchitherium spp. (Equidae) ranging from generalists to dirty browsers depending on the locality

(Kaiser, 2009; Xafis et al., 2020).

Within browsers and mixed-feeders, resources' partitioning is still possible (consumption of different

plant parts or species) but might be difficult to detect in fossil communities. Moreover, other strategies

can lead to niche partitioning, such as different habitat, different body mass, or different feeding height

(Hutchinson, 1959; Schoener, 1974; Arsenault and Owen-Smith, 2008). Regarding body mass, most

rhinocerotids studied are megaherbivores *sensu* Owen-Smith (1988; terrestrial herbivores weighting

more than 1000 kg), which implies specific feeding strategies and metabolic requirements.

Megaherbivores are often treated as a separate herbivore guild, mostly disturbing the feeding and

abundance of mesoherbivores (4–450 kg ; Fritz et al., 2002; Calandra et al., 2008; Landman et al.,

2013). Within megaherbivores, proboscideans frequently co-occurred with rhinocerotids at the studied

localities and were mostly browsers or mixed-feeders, placing them as direct competitors for

rhinocerotids. Indeed, the mesowear and low-magnification microwear suggest that *Prodeinotherium*

bavaricum and *Gomphotherium angustidens* were browsers at Gračanica (Xafis et al. 2020), while the

mesowear angle categorizes *P. bavaricum* from Sansan, *D. giganteum* from Villefranche d'Astarac

and *G. angustidens* from Simorre as browsers, but *G. angustidens* from Sansan and Villefranche

d'Astarac as mixed-feeders (Loponen, 2020). Such overlapping in the diet of proboscideans and

rhinocerotids is observed nowadays between African elephants and black rhinoceroses (Landman et

al., 2013). Interestingly, this competition is detrimental to the rhinoceros, with individuals shifting

towards the inclusion of more grasses in presence of elephants (on a seasonal basis). A similar shift

could be hypothesized at the studied localities, notably at Gračanica, for which the low-magnification

microwear of *P. bavaricum* and *L. sansaniense* would be consistent with the consumption of more

grass (Xafis et al. 2020). Another possibility, as postulated by Xafis et al. (2020) for *Deinotherium* spp.

and *Plesiaceratherium balkanicum* at Gračanica, would be different feeding heights between

proboscideans and rhinocerotids, as the first ones were most likely feeding at the top of trees due to

their larger size (some of the biggest Neogene mammals; Larramendi, 2015).

<u>Hypoplasia prevalence and environmental conditions</u>

We found that the hypoplasia prevalence and pattern (i.e., tooth loci affected) were very different

depending on the locality and the species concerned. Except for Kumbi 4, the prevalence was

relatively high (> 10 %) at all sites of our early-middle Miocene sample. Even though nine species of

rhinocerotids are found at Kumbi 4, such a low prevalence is in agreement with previous results in the

region over the Cenozoic (Roohi et al., 2015), and coherent with the very favorable, low-stress context

hypothesized at this locality, that is a rich vegetation under a warm and humid climate (Antoine et al.,

2013).

The prevalence of hypoplasia is high at Béon 1 (>25 %) for all rhinocerotids except *H. beonense*, with

molars being particularly affected with respect to other dental loci. Second and third molars are the last

teeth to develop and erupt in rhinocerotids (Hitchins, 1978; Hillman-Smith et al., 1986; Böhmer et al.,

2016), and stresses on these late-developed teeth have been correlated with environmental, seasonal

stresses in sheep (Upex and Dobney, 2012). Although subtropical wet conditions are reconstructed at

Béon 1 (just prior to the MCO), periodic droughts are also reported in the area at that time (Duranthon

et al., 1999; Hullot and Antoine, 2020). Interestingly, the least affected species is the elasmotheriine *H.*

beonense, an early representative of a clade adapted to relatively open and arid environments

(Cerdeño and Nieto, 1995; Iñigo and Cerdeño, 1997), and which displays a mixed-feeding diet thus

probably not relying on a single (or a few) specific resource(s) (Figure 4). On the contrary, both

teleoceratine species, often considered swamp dwellers and hence probably depending on water

availability, display a high prevalence of hypoplasia (Figure 5).

We found a very high prevalence of hypoplasia at Gračanica, with nearly 50 % of the teeth bearing at

least one hypoplastic defect. The proposed age for the locality ranges between 14.8 and 13.8 Ma

(Göhlich and Mandic, 2020), which is an interval of great climatic changes. Indeed, though included in

the MCO, the interval from 14.7 to 14.5 Ma presents an increased seasonality in precipitations, with

extended dry periods (Böhme, 2003). On the other hand, an abrupt cooling occurred between 14 and

13.5 Ma, correlating with the Mi-3 event (Zachos et al., 2001; Böhme, 2003; Holbourn et al., 2014;

mMCT of Westerhold et al., 2020). Besides this challenging environmental context for the

rhinocerotids, our DMTA results suggest a potential competition for food resources (Figure 3), that

could have generated stressful conditions for meso- and megaherbivores.

At Sansan, the prevalence of hypoplasia is overall moderate (~ 10 %) and defects are only found in

two species out of four: *H. tetradactylum* and *B. brachypus* (only one M1). The pattern of hypoplasia

for *H. tetradactylum*, with various loci affected, suggests different stresses and timing, from *in utero*

(D2) to post-weaning (M3). It is quite remarkable, as the proximity of the MCO peak (Maridet and Sen,

2012) leading to seasonal warm and moist conditions (Costeur et al., 2012), would seemingly

constitute relatively low stress conditions for the concerned rhinocerotids.

The prevalence of hypoplasia at Devínska Nová Ves Spalte is also moderate (5/48; 10.42 %) and

restricted to *D. steinheimensis* (P3, M1, M2 only; Figure 6), although the locality dates from the

mMCT. However, despite this transitional climatic system, pollen data from the Vienna Basin, to which

the locality belongs, indicate that regional conditions remained tropical with few precipitation variations

(Sabol and Kováč, 2006), coherent with the absence of hypoplasia on third molars, that could be

correlated with seasonal stresses. The paleogeographic context seems to have played a major role,

as the taxonomic differences with Sansan are partly explained by different paleoenvironments:

Devínska Nová Ves Spalte was a forested area near the shoreline of the transgressive late Langhian

sea (Sabol and Kováč, 2006).

The rhinocerotids from the localities of the MN7/8 (Simorre, Villefranche d'Astarac, and Steinheim am

Albuch), a time of sea-level drop and comparatively dry climate (Legendre et al., 2005; Böhme et al.,

2011; Heissig, 2012; Westerhold et al., 2020), presented higher prevalences but contrasted patterns

depending on the species and locality (Figure 5; Figure 6). However, contrary to what we could have

expected regarding the environmental conditions, the most affected loci (P2, P3, D1) document mostly

early-life stresses (e.g., birth, juvenile disease), rather than environmental or seasonal stresses (Niven

et al., 2004; Upex and Dobney, 2012). At Steinheim, only *L. sansaniense* has hypoplasia on other

teeth than second and third premolars, suggesting mostly early-life stresses. At Simorre, more loci are

affected (D1, P2-P3, P4, and M1) and the pattern is relatively similar for both co-occurring species i.e.,

B. brachypus and *A. simorrense*. Hypoplasia on D1, that develops mostly *in utero* synchronously with

D4, could indicate birth-related stresses (Hillman-Smith et al., 1986; Mead, 1999; Böhmer et al., 2016).

Indeed, birth is a stressful moment for most animals as it causes a temporary malnutrition and rapid

environmental changes (Upex and Dobney, 2012). Similarly, the M1 starts its development relatively

early, as attested by the presence of a neonatal line in some rhinocerotid teeth (Tafforeau et al.,

2007), and hypoplasia on M1 could thus reveal particularly stressful conditions around birth.

Eventually, the rhinocerotids from Villefranche d'Astarac document later-life stresses consistent with

the dry and arid conditions mentioned above, with hypoplasia recorded from D4 to M2 (not P2-P3 for

A. simorrense). The fourth premolars are particularly affected for *B. brachypus*, which could indicate

harsh weaning or cow-calf separation conditions. Interestingly, the timing of weaning varies according

to climatic conditions in proboscideans (shorter under favorable conditions), and a delayed weaning

renders the individuals more vulnerable to climatic stressors (Metcalfe et al., 2010). A similar

phenomenon could be hypothesized for rhinocerotids, which could result in the high prevalence of

hypoplasia observed on the fourth premolars of *B. brachypus* at Villefranche.

<u>Paleoecological implications and changes</u>

Several species or genera are retrieved in various localities overtime, but *B. brachypus* clearly has the

longest range (from Béon 1 [MN4] to Simorre + Villefranche d'Astarac [MN7/8], with Gračanica [MN5-

6] and Sansan [MN6] in the meantime). We observe a clear shift in the DMT of *B. brachypus* over time

from a mixed-feeding behavior at Béon 1, Gračanica, and Sansan to a clear browsing signal with the

ingestion of harder items (fruits, seeds, or even soil) at Simorre and Villefranche d'Astarac (Figure 3;

Figure 4). This result could be due to a change in the regional climatic conditions, from warm and

humid pre-MCO to cooler, more seasonal and arid post-MCO (Zachos et al., 2001; Böhme, 2003;

Holbourn et al., 2014), perhaps leading to behavioral changes in this species (Cerdeño and Nieto,

1995), and/or to changes in local conditions. Interestingly, the $\delta^{18}O$ of carbonates in the bioapatite of

B. brachypus teeth at Béon 1, Sansan, and Simorre also shows marked differences, with a 2.9 ‰

decrease between MN4 and MN7/8, suggesting a decrease of the temperature of more than 4°C

(Bentaleb et al., 2006). As the prevalence of hypoplasia is also very high at all localities but Sansan for

this rhinocerotid, the observed dietary changes could also be due to competition with other herbivores

(e.g., other rhinocerotids at Béon 1 and Gračanica, proboscideans as discussed above). Eventually,

the DMT pattern at Gračanica is more singular, with high values of anisotropy (> 5 x 10^{-3}). The low-

magnification microwear of *B. brachypus* was also studied at Gračanica, and the authors discussed a

dirty browsing behavior, consistent with the low head posture of this rhinocerotid (Becker and Tissier,

2020; Xafis et al., 2020). Such ingestion of abrasive soil particles could explain the high anisotropies

observed in the studied specimens, but not the relatively low values of complexity and FTfv. Such a

DMT pattern recalls that of the extant species (Scott, 2012; Ramdarshan et al., 2016), notably *D.*

sumatrensis (Hullot et al., 2019), and could point towards an important consumption of leaves from low

vegetation (folivory). This diet would be consistent with the abundance of trees at Gračanica

(Butzmann et al., 2020; Xafis et al., 2020),

Contrastingly, the DMT of *A. simorrense* remains quite similar from Sansan (MN6) to Steinheim

(MN7/8; Figure 3; Figure 4). Interestingly, there are clear differences in the hypoplasia prevalence of

these two species, *B. brachypus* being one of the most affected species in our sample. Such

differences in the hypoplasia prevalence could reveal the existence of a competition for food and/or

water resources, that could be responsible for a shift in the diet of *B. brachypus*. The pattern of

hypoplasia at Simorre (D1, P2-P3) and Villefranche d'Astarac (D4-M1, P4-M2) suggests early life

stresses for both rhinocerotids (Figure 6), mostly before weaning (Mead, 1999), which does not give

insight into such a competition.

Concerning other rhinocerotid species found at more than one locality (*L. sansaniense*, *D.*

steinheimensis, and *Plesiaceratherium* spp.), the hypoplasia patterns seem to be different at each

locality, denoting a greater effect of local conditions than species-related sensitivities. Only the

Plesiaceratherium species from Béon 1 and Gračanica exhibit comparable patterns (Figure 6),

although it could be related to the high prevalence of stresses for individuals belonging to the

concerned taxa at these localities. Overall, the elasmotheriines (*Bugtirhinus, Hispanotherium*) were

seemingly spared by hypoplasia. Indeed, no tooth of elasmotheres was hypoplastic at Kumbi 4 (*B.*

praecursor; 0/4) and Gračanica (*H.* cf. *matritense*; 0/6). At Béon 1, for which a greater sample is

available, *H. beonense* is the least affected species with only 13.04 % (12/92) of hypoplastic teeth,

nearly exclusively permanent (only one hypoplasia on a D4). If this result was not surprising at Kumbi

4, where low-stress conditions were inferred and very little hypoplasia recorded for all studied species,

the difference with other associated species was particularly striking at Béon 1 (prevalence above 25

% for the three other species at the site) and Gračanica (prevalence above 50 % for the three other

species at the site). The microwear study of elasmotheriines is restricted in the literature, but it

suggests the inclusion of a non-negligible part of browse resources in the diet, at least seasonally

(Rivals et al., 2020; Xafis et al., 2020). This finding is in line with our DMTA results for *Hispanotherium*

species (Béon 1 and Gračanica) suggesting mixed-feeding preferences (Figure 3; Figure 4). The

increasing crown height observed in this clade over time could allow for accommodating to a greater

variety of food items (Semprebon and Rivals, 2007; Damuth and Janis, 2011; Tütken et al., 2013),

thus limiting nutritional stress, as observed in hipparionine equids, with respect to anchitheriine equids

(MacFadden, 1992; Janis, 2008; Mihlbachler et al., 2011). The classic view of elasmotheriines as

obligate open-environment rhinocerotids adapted to grazing – notably based on representatives from

the arid Iberic Peninsula (Iñigo and Cerdeño, 1997) – is thus somehow challenged. This could mean

that hypsodonty in this clade might counterbalance significant grit load induced by feeding low in open

environments, thus reflecting more the habitat rather than the diet, an hypothesis that has already

been proposed to explain hypsodonty evolution (Janis, 1988; Jardine et al., 2012; Semprebon et al.,

2019). A lower prevalence of hypoplasia in elasmotheriines compared to other associated

rhinocerotids has also been noted at Maragha (in the latest Miocene, though), where *Iranotherium*

morgani does not present hypoplastic defects (0/16 teeth; Hullot et al., 2022). This finding could

suggest an influence of diet in the stress susceptibility, with more specialized species (i.e., heavily

relying on a limited number of resources) being more sensitive.

Conclusions

In this article, we wanted to assess if the great diversity of Miocene Rhinocerotidae was associated to

an ecological diversity and to explore how these paleoecological differences might reflect climate

changes between the studied species, regions, and periods. The study of the paleoecology of

rhinocerotids from nine localities of the early and middle Miocene of Europe and Pakistan revealed

clear differences over time and space between and within species. Although the DMTA results in this

sample suggested only browsers and mixed-feeders (no grazers and frugivores), we observed

differences within these dietary categories that widely exceed the ecological range of extant relatives.

Regarding enamel hypoplasia, which is rather prevalent in the studied sample (except in the oldest

and only South Asian locality, Kumbi 4), it revealed clear disparities between localities, species, and

dental loci. While the effects of global climate changes (MCO, mMCT) were often not immediately

obvious, hypoplasia revealed itself as marker of more specific, local conditions at least in certain taxa

(Rhinocerotina). Indeed, our sample highlighted various stress susceptibilities depending on the

species and even the sub-tribe: while *B. brachypus* is highly affected by hypoplasia regardless of

locality and conditions, elasmotheriines (*Bugtirhinus praecursor* at Kumbi 4, *Hispanotherium beonense*

at Béon 1 and *H.* cf. *matritense* at Gračanica) are pretty spared in contrast. This could suggest an

influence of phylogeny and/or diet in stress susceptibility, with more flexible species being less

vulnerable to environmental stressors. The investigation of diet preferences and stress susceptibility in

other taxa associated with rhinocerotids at the different localities could be of great interest to

discriminate between the effects of local conditions (similar prevalences in various taxa from the same

locality), phylogeny (similar prevalences in related taxa regardless of the locality) and diet in the

prevalence of hypoplasias.

Acknowledgments

The sampling for this study was partly funded by SYNTHESYS AT-TAF-65 (2020; Naturhistorisches

Museum Wien, Austria) and a Bourse de Mobilité Doctorale from the Association Française des

Femmes Diplômées des Universités. We are indebted to the curators in charge of all the collections

we visited and studied: U. Göhlich (NHMW, Austria), L. Costeur (NHMB, Switzerland), Y. Laurent and

P. Dalous (MHNT, France).

We are particularly grateful to A. Uzunidis (IPHES, Spain), C. Mallet (University of Liège, Belgium) and

M.C. Mihlbachler (New York Institute of Technology, USA) for their thorough and constructive revision

of an early version of the manuscript, and to A. Houssaye (Mécadev, MNHN, Paris) for her deep

involvement in editing and recommending this work.

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1102