

Multiple omic investigations of freeze tolerance adaptation in the aquatic ectothermic vertebrate, the Amur sleeper

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1 **Abstract**

2 Freeze tolerance is an amazing overwintering strategy that enables ectotherms to occupy new
3 niches and survive in cold climates. However, the genetic basis underpinning this ecologically
4 relevant adaptation is largely unknown. Amur sleeper is the only known freeze-tolerant fish species
5 that can overwinter with its entire body frozen in ice. Here, we sequenced the chromosome-level
6 genome of the Amur sleeper and performed comparative genomic, transcriptomic, and metabolomic
7 analyses to investigate this remarkable adaptation. Phylogenetic analyses showed that the Amur
8 sleeper diverged from its close relative with no cold hardiness about 15.07 million years ago and
9 revealed two unusual population expansions during the glacial epochs. Integrative omics data
10 identified a synchronous regulation of genes and metabolites involved in hypometabolism and
11 cellular stress response, and several related genes showed strong evidence of accelerated evolution
12 and positive selection. Potential evolutionary innovations that might aid in freezing survival were
13 found to be associated with the dynamic rearrangement of the cytoskeleton to maintain cell viability,
14 redistribution of water and cryoprotectants to limit cell volume reduction, and inhibition in nerve
15 activity to facilitate dormancy, demonstrating a coordinated evolution for this complex adaptation.
16 Overall, our work provides valuable resources and opportunities to unveil the genetic basis of freeze
17 tolerance adaptation in ectothermic vertebrates.

18 **Key words:** freeze tolerance, Amur sleeper, hypometabolism, cell stress response, cytoskeleton,
19 cryoprotectant, nerve transmission

1 Introduction

2 Freeze tolerance, the ability of an organism to withstand whole body freezing, is a striking
3 winter survival strategy adapted by many ectotherms living in seasonally cold climates (Schmid
4 1982; Storey and Storey 1996b). At sub-zero temperatures, freeze-tolerant animals can withstand
5 the conversion of as much as ~ 82% of their total body water into extracellular ice (Ramlov and
6 Westh 1993). These animals may spend a prolonged state of frozen dormancy (days to months) in
7 their hibernation states with the cessation of vital physiological functions including heartbeat,
8 respiration, nerve conductance, and skeletal muscle movement, and return to active lives after
9 thawing in the warm spring (Storey 1987, 1990). Although freeze tolerance has evolved multiple
10 times across the animal kingdom ranging from insects, invertebrates, reptiles, and amphibians
11 (Costanzo and Claussen 1990; Hans, et al. 1992; Loomis 1995; Layne Jr and Kefauver 1997;
12 Bradley Shaffer, et al. 2013), it is actually a minority choice among vertebrates compared with
13 common overwintering strategies like migration, hibernation and freeze avoidance (Costanzo and
14 Lee 2013; Iwaya-Inoue, et al. 2018; Mohr, et al. 2020).

15 Most natural freeze tolerance refers to ice formation in extracellular spaces while resisting
16 intercellular freezing to avoid damage of subcellular compartments and the cytoskeleton (Costanzo
17 and Lee 2013; Storey and Storey 2017). Ice crystals exclude solutes greatly elevates the osmolality
18 of extracellular fluids, producing a hyperosmotic stress that draws water out of cells causing them
19 to shrink (Storey and Storey 2020). Besides physical and osmotic damage, freezing causes important
20 consequences including hypoxia/anoxia, ischemia, dehydration and hypometabolism etc (Storey
21 and Storey 2017; Toxopeus and Sinclair 2018). Moreover, reoxygenation, rehydration and
22 reperfusion during thawing also accompany severe stresses (Giraud-Billoud, et al. 2019). To date,
23 a suite of complex coordinated cellular, molecular, and physiological adaptations that confer
24 freezing survival has been extensively and well explored in multiple hibernating reptile and
25 amphibian species, especially the wood frogs (Zhang and Storey 2012; Bradley Shaffer, et al. 2013;
26 Storey and Storey 2013, 2017; Costanzo 2019). These adaptations include mechanisms to manage
27 extracellular ice volume and growth rate, strong metabolic rate depression coupling with selective
28 activation of “survival” pathways to maintain stability, and accumulation of low-molecular-weight
29 organic compounds as cryoprotectants. However, hitherto, the genetic basis of freeze tolerance in
30 ectothermic vertebrates remains largely unknown.

31 The Amur sleeper, *Percottus glenni* (Odontobutidae, Perciformes), is an aquatic species that
32 can overwinter with its entire body frozen in ice, and probably the only freeze-tolerant vertebrate
33 aside from reptiles and amphibians (Chai, et al. 2020). It is a limnophilic species native to the Amur
34 River drainage in northeastern Asia, and has invaded European waters, leading to detrimental
35 ecological impacts (Reshetnikov and Ficetola 2011; Xu, et al. 2014). Amur sleeper prefers small,
36 stagnant waterbodies, which commonly freeze to the bottom in the winter. Before freezing, the
37 Amur sleeper experiences long-term hypoxic or anoxic conditions in ice-covered waters until its
38 whole body is encapsulated in ice (Reshetnikov 2003; Karanova 2009). Frozen dormancy can be
39 maintained for up to three months (typically December to March), with revival occurring within a
40 few hours of thawing (Chai, et al. 2020). This ecologically relevant freeze tolerance provides the
41 Amur sleeper with a competitive advantage over other freshwater fishes that are unable to survive
42 in such an extreme environment (yielding an avoidance of competitors and predators), allowing it
43 to become one of the most widespread and successful fish invaders (Reshetnikov and Ficetola 2011).
44 Therefore, the Amur sleeper could serve as a new model for investigations of adaptive freeze

45 tolerance in ectothermic vertebrates, for which the majority of our knowledge comes from research
46 in a few terrestrial amphibians. Moreover, the Amur sleeper has a small genome size and simple
47 evolutionary history, thus providing an ideal opportunity to study the genetic basis of freeze
48 tolerance in ectothermic vertebrates.

49 In this study, we generated a high-quality chromosome-level genome for Amur sleeper and a
50 de novo reference genome assembly for *Neodontobutis hainanensis*, the closest relative to *P. glenii*
51 with no cold hardiness (Lv, et al. 2020). First, we conducted comparative genomic analyses to
52 explore the population histories and genetic changes of the Amur sleeper. Then we combined
53 transcriptomic, and metabolomic analyses to better understand the molecular adaptations
54 accompanying freeze tolerance. Our results not only gain insights into the genetic basis of this
55 remarkable adaptation, but also provide useful genetic resources for future study.

56

57 **Results and discussion**

58 **Genome Characteristics**

59 We generated the first chromosome-level genome assembly for *P. glenii* using a combination
60 of Nanopore long reads, BGISEQ-500 reads and Hi-C data (supplementary table S1). The genome
61 size of *P. glenii* was estimated to be 827.25 Mb with a heterozygous ratio of 0.65% (supplementary
62 fig. S1, supplementary table S2). Three assembly algorithms were used, and with the genome
63 assembled by SmartDenovo finally selected based on continuity (supplementary table S3). After the
64 removal of sequence redundancy, the genome size of the assembly was 710.22 Mb with contig N50
65 of 5.49 Mb (supplementary table S3). Further, we anchored and oriented 702 contigs (689.63Mb,
66 ~97.09%) into 22 chromosomes (fig. 1A, supplementary fig. S2, supplementary table S4). Finally,
67 a chromosome-level genome with contig and scaffold N50 equal to 2.96 Mb and 29.56 Mb,
68 respectively, was generated (supplementary table S5). Over 99% of the short reads could be mapped
69 to the genome, which covering 98.61% of the *P. glenii* genome assembly (supplementary table S6).
70 Evaluation of the completeness based on BUSCO identified 91.2% complete and 3.3% fragmented
71 genes (supplementary table S7). For comparative analyses, a de novo assembly of the *N. hainanensis*
72 genome was performed and an individual with heterozygous ratio of 0.15% yielded a ~848 Mb
73 assembly containing 8.221 contigs with the N50 of 1.34 Mb (supplementary table S5). A total of
74 97.21% short reads were mapped to the *N. hainanensis* assembly (supplementary table S6), and
75 93.10% complete BUSCO genes captured (supplementary table S7). The higher heterozygous rate
76 in *P. glenii* may suggest an admixture of different clades during the its northwards expansion, and
77 results in a reduction of genome size compared to *N. hainanensis* due to the removal of sequence
78 redundancy.

79 The GC content were 39.80% and 39.38 % for the *P. glenii* and *N. hainanensis* genome,
80 respectively (fig. 1A, supplementary table S5). Approximately 48.13% and 45.99% of bases were
81 identified as repetitive sequences in the two genomes by combining de novo and homology-based
82 prediction methods (supplementary table S8). We predicted a total of 23,582 and 26,237 protein-
83 coding genes in *P. glenii* and *N. hainanensis*, respectively, and approximately 97.45% of the protein-
84 coding genes in *P. glenii* and 94.63% of those in *N. hainanensis* were successfully annotated using
85 five public databases (supplementary table S9). We also compared the *P. glenii* genome karyotype
86 with the genome of *O. potamophila*, a representative species also from Odontobutidae family. Only
87 two chromosomal fission and fusion events were detected, indicating a conserved chromosomal
88 evolution after divergence of the two species (fig. 1B). Taken together, these results revealed high-

89 quality assembly and accuracy of annotation for the *P. glenii* and *N. hainanensis* genomes, which
90 can be important genetic resources for further comparative and functional studies of freeze tolerance
91 in ectotherms.

92

93 **Population history and Evolutionary rate**

94 Phylogenetic analysis based on a set of 4,550 one-to-one orthologs from ten teleosts indicated
95 that *P. glenii* was closest to *N. hainanensis* and form a monophyletic sister group to *Odontobutis*
96 (fig. 1C and supplementary fig. S3). The reconstructed topology is consistent with topologies
97 inferred by the mitochondrial genome and nuclear coding genes (Li, et al. 2018; Lv, et al. 2020).
98 The divergence time between *P. glenii* and *N. hainanensis* in the present study was estimated at
99 15.07 Ma (7.94–23.02 Ma, 95% HPDs) in min-Miocene (fig. 1C).

100 Analysis using pairwise sequentially Markovian coalescent (PSMC) model (Li and Durbin
101 2011) revealed quite distinct demographic patterns for the two species (fig. 1D), which could be
102 related to the significant climate oscillations involving glacial-interglacial cycles and sea level
103 changes. Interestingly, two events of unusual population expansions were detected in the
104 demographic history of Amur sleeper. The first expansion occurred before ~3 Ma and reached a
105 peak ~0.3 Ma at the largest Quaternary glaciation (0.80–0.20 Ma) in the late Pleistocene, indicating
106 that Amur sleeper has already developed a mechanism of cold adaptation at the Pliocene glaciation.
107 This expansion corroborates well with a previous study that the Amur sleeper may have spread from
108 the warm south to the cold north during Late Pliocene (2.58–3.60 Ma) (Li, et al. 2018). The
109 subsequent declines coincided with the advent of the warm interglacial period, during which the rise
110 in sea level caused by deglaciation resulted a dramatic reduction in freshwater habitats. Similarly,
111 the second expansion occurred at ~70 Ka and reached a peak at the last glacial maximum (LGM,
112 26.5–19.0 ka, (Clark, et al. 2009)). In contrast, the population size of *N. hainanensis* dropped sharply
113 since ~0.9 Ma and the only expansion occurred at ~0.15 Ma, followed by sharp declines predating
114 the LGM. Overall, Amur sleeper maintains a relatively stable effective population size and has rich
115 genetic diversity than *N. hainanensis*. This may be attributed to its strong resistance and adaptability,
116 which can aid survival in extreme environments, thereby providing opportunities to expand into
117 new ecological niches.

118 The development of freeze tolerance suggests adaptive evolution in the Amur sleeper, thus, we
119 calculated its mutated and evolutionary rates. The mutation rate across the whole genome for the
120 Amur sleeper is comparable to that of the other closely relative species (fig. 1E), however, our
121 analyses revealed a higher evolutionary rate in the Amur sleeper, implying possible changes in the
122 selection pressure experienced by *P. glenii* (fig. 1F, supplementary fig. S4).

123

124 **Transcriptomic and metabolic profiles over freeze/thaw**

125 To understand the genetic regulatory mechanisms and metabolic adaptations, the transcriptome
126 of the brain, liver, and muscle tissues and metabolomes of the liver and muscle tissues from three
127 periods in a freeze/thaw episode, i.e., active autumn (AC), winter freezing (FR) (fig. 2A,
128 supplementary movie S1), and early spring recovery (RE) (fig. 2B, supplementary movie S2), were
129 analyzed for the Amur sleeper. Principal component analyses (PCA) of the transcriptomes showed
130 that there were clear variations among the brain and liver tissues at different periods (supplementary
131 fig. S5A). PCA analyses of the metabolomes showed large variations between the AC and FR and
132 the AC and RE, while smaller differences between the FR and RE (supplementary fig. S5B). The

133 number of differentially expressed genes (DEGs) and significantly different metabolites (SDMs) for
134 the two comparisons i.e., AC vs FR and AC vs RE, were obviously more than those of FR vs RE
135 (supplementary fig. S6A and B), indicating substantial changes in transcriptional and metabolomic
136 profiles during the freezing and thawing periods. To better understand the potential functions of the
137 regulation, GO and KEGG analyses of DEGs and SDMs were performed. Moreover, we further
138 combined the results with comparative genomic analyses in order to provide clear insight into the
139 genetic evolution and adaptive mechanisms of Amur sleeper's freezing survival.

140

141 **Changes in genes and metabolites related to metabolic characteristics**

142 Stress-induced metabolic rate depression (MRD) is the core adaptive strategy implemented by
143 freeze tolerant animals to greatly decrease organismal energy demands, thereby permitting long-
144 term survival using only their body fuel reserves (Carey, et al. 2003; Storey and Storey 2013). Here,
145 transcriptomic profiles identified a set of 534 genes had significant changes in expression for all
146 three tissues of Amur sleeper at FR (supplementary fig. 6A), among which, the downregulated genes
147 were significantly enriched in the GO functional categories related to mitochondria (e.g.,
148 mitochondrial part, mitochondrion, and mitochondrial protein complex) (fig. 2C). The mitochondria
149 produce most of the adenosine triphosphate (ATP) used by cells through mitochondrial energy
150 metabolism, oxidative phosphorylation and tricarboxylic acid cycle reactions (Wu, et al. 2007).
151 Importantly, *nadufaf6* and *atp5f1d*, which as components of the mitochondrial respiratory chain,
152 were detected as positively selected genes (PSGs) (fig. 2F) in Amur sleeper. The observed signal of
153 positive selection may have implications for regulating ATP synthesis. KEGG analysis revealed that
154 down-regulated genes during FR in the brain and muscle tissue were significantly enriched in the
155 oxidative phosphorylation pathway (fig. 2F, supplementary fig. S7A and C). Simultaneously, we
156 found that malonic acid, an inhibitor of the tricarboxylic acid cycle by affecting succinate
157 dehydrogenase (Lu, et al. 2018), increased about 3.28-fold and 6.34-fold in liver and muscle tissues
158 during FR, respectively (fig. 2D and E, supplementary fig. S8A and B). Thus, the suppression of
159 multiple mitochondrial pathways suggests a dramatic reduction in metabolic rate in the Amur
160 sleeper during freezing. In addition, it is noteworthy that mitochondria are also the main cellular
161 reactive oxygen species (ROS) generator, the inhibition would lead to a lower risk of ROS damage
162 to macromolecules and organelles, including proteins, DNA, mitochondria, and cytoskeleton (Ou,
163 et al. 2018).

164 The down-regulated genes were also enriched in pathways related to transcription, translation,
165 and cell division (fig. 2F, supplementary Fig. S7A), which are all considered to be energy-expensive
166 cell processes. Studies on wood frogs and western painted turtle demonstrated that cell cycle
167 suppression is a general feature of freeze tolerance (Zhang and Storey 2012; Bradley Shaffer, et al.
168 2013). Remarkably, the *ccnd2* gene encodes G1/S specific cyclin D2 was positively selected in
169 Amur sleeper and showed decreased expression at FR (fig. 2F). Inhibition of *ccnd2* expression could
170 arrest the cell cycle in G1 phase (Xiao, et al. 2021). *Gadd45gip1* and *hdac9* were identified as
171 rapidly evolved genes (REGs), of which activity have been reported to be associated with cell cycle
172 G1/S transition (Li and Durbin 2011). Moreover, we found a number of negative regulators of
173 mTORC1 including *deptor*, *ddit4*, *tsc1* and *akt1* (Coronel, et al. 2022) significantly up-regulated at
174 FR (supplementary fig. S9), indicating a strong depression of mTORC1 activity. Studies have
175 reported that a reduction of mTORC1 activity could lead to subsequent inhibition of protein
176 translation and cell cycle in hibernation animals (Logan, et al. 2019; Dias, et al. 2021). Furthermore,

177 *nprl3* and *ikkb* from mTOR signaling pathways were identified as PSG and REG, respectively (fig.
178 2F). *Nprl3* is a component of GATOR1, a complex involved in the inhibition of the mTORC1
179 (Baldassari, et al. 2016). *Ikkb* can activates the mTOR by mediating suppression of TSC1, a
180 repressor of the mTOR pathway (Lee, et al. 2007). These genes that undergone marked genetic
181 alterations may participate in the suppression of energy-expensive cell process in Amur sleeper
182 during freezing, although their function still await further verification. Collectively, our results
183 support a global MRD in Amur sleeper during frozen dormancy, which involves not only minimum
184 energetic needs but also cellular defense strategy.

185

186 **Changes in genes and metabolites associated with cell preservation strategies**

187 Both of freezing and thawing expose cells and organs to severe physiological stresses including
188 anoxia/reoxygenation, dehydration/rehydration, ischemia/reperfusion, physical damage by ice and
189 increased oxidative stress (Storey and Storey 2017; Zhang, et al. 2021). Therefore, an integrated
190 suite of cellular stress response (CSR) to deal with these challenges is of paramount important to
191 freeze-tolerant species. Core elements that constituted in the CSR including antioxidant defense,
192 protein stabilization by chaperones, DNA damage repair, and so on (Kültz 2005). In metabolomic
193 analysis, Vitamin C (Vc), as a well-known antioxidant was significantly increased 150.2-fold and
194 66.9-fold in the liver tissue during FR and RE, respectively, but only increased 8.7-fold and 2.5-fold
195 respectively in the muscle tissue. Other vitamins, Vb3 and Vb6 have also shown antioxidant
196 potential (Sinbad, et al. 2019), and showed consistent elevation at the two periods (fig. 2D and E,
197 supplementary fig. S10 and11). Hypotaurine, which was evidenced as a strong antioxidant (Aruoma,
198 et al. 1988), rose significantly in liver tissue at the FR (19.7-fold) and RE (29.7-fold), respectively,
199 also with a slight increase in muscle tissue (3.0-fold and 3.22-fold, respectively) (fig. 2D and E,
200 supplementary fig. S10 and11). Meanwhile, metabolites that can serve as markers of oxidative stress,
201 such as glutathione oxidized (GSSG), hypoxanthine and 8-hydroxyguanosine (8-OHG) (Joanisse
202 and Storey 1996; Hira, et al. 2014) showed notable increases in muscle tissue during FR and RE
203 (fig. 2E, supplementary fig. S10). However, liver tissue had lower levels of 8-OHG and total lipid
204 peroxidation (LPO) in these two periods, in agreement with its higher antioxidants amount (fig. 2D,
205 supplementary fig. S11). Synchronously, our transcriptome profiles also identified a set of up-
206 regulation genes that play crucial antioxidant roles during FR and RE. For example, the core
207 antioxidant enzyme, superoxide dismutase (*sod1*, *sod3*), showed obviously higher expression levels
208 in all three tissues, and glutathione peroxidases (*gpx1*, *gpx4*, and *gpx6*) were up-regulated in liver
209 and muscle tissues. Other enzymes or proteins that have crucial antioxidant capacity, such as
210 glutathione S-transferase (GST) isozymes (*gstm3*, *mgst3*) and ferritin (*fh1*) were also significantly
211 increased (fig. 2F) (Tsuji, et al. 2000).

212 Heat shock proteins (HSPs) are the best-known chaperones that promote the refolding of
213 denatured or misfolded proteins and prevent denaturation and aggregation of unfolded protein (King
214 and MacRae 2015). Transcriptomic profiles revealed that the expression levels of number of HSP
215 genes (including *hspb8*, *hsp70-1*, *hspa13*, *hsp70*, *hspa12a*, *hspb1*, *hspa8*, *hspa14*, *hspb11*, and
216 *hsp90a*) significantly elevated especially in muscle during FR and RE (fig. 2F). Thus, the specific
217 upregulated HSPs particular in muscle of Amur sleeper would contribute to stabilizing cellular
218 proteome upon freeze/thaw. Moreover, genes involved in DNA damage repair, i.e., *ercc6*, *pms2*, and
219 *gadd45b*, were also up-regulated during FR. Notably, some genes (*shc1*, *oxr1*, *hspa12b*, *ercc8* and
220 *ercc6l2*) associated with CRS were found to be underwent positive selection and rapid evolution

221 (fig. 2F). *Shc1* and *oxr1* has been reported to be important in protection from oxidative stress (Koch,
222 et al. 2008; Sanada, et al. 2014). *Ercc8* and *ercc612* are essential factor in the transcription-coupled
223 repair (TCR) pathway for DNA excision repair (Fousteri and Mullenders 2008; Tummala, et al.
224 2018). Overall, the synchronous regulation at transcriptional and metabolic levels and genetic
225 changes provides strong evidence for the involvement of multiple cell preservation strategies, which
226 would make important contributions to avoid metabolic damage and maintain cellular homeostasis
227 in freeze tolerant species over freeze/thaw.

228

229 **Gene changes correlated with the cytoskeleton**

230 The cytoskeleton consists of actin filaments (MF), microtubules (MT), and intermediate
231 filaments (IF), not only helps control cell shape, bear external forces, and maintain the stability of
232 internal cell structures, but also enables cells to carry out essential functions such as division and
233 movement (Alberts, et al. 2002; Fletcher and Mullins 2010). During freezing, direct physical stress
234 by extracellular ice and great changes in cell volume accompany freeze/thaw as well as destructive
235 ROS would place significant consequences to the cytoskeleton. Importantly, multimeric cytoskeletal
236 components quickly depolymerize at near-freezing temperatures, resulting in catastrophic
237 functional impairment (Des Marteaux, et al. 2018; Ou, et al. 2018). Therefore, adaptive
238 modifications of cytoskeletal related genes to cope with the challenges of cytoskeleton damage were
239 likely necessary. As expected, our genomic comparisons of the Amur sleeper against other teleost
240 revealed 230 gene families that had expanded significantly in size, and these families exhibited
241 significant enrichments in functions associated with the cytoskeleton (e.g., cytoskeletal part,
242 cytoskeleton organization, and microtubule-based process) (fig. 3A). Notably, three expanded gene
243 families (*kntc2*, *spc24* and *haus3*) were related to kinetochore–microtubule attachment, a critical
244 requirement for mitosis (fig. 3A, supplementary fig. S12). The *kntc2* and *spc24* gene families encode
245 components of the NDC80 complex that is essential for stable kinetochore-microtubule anchoring
246 and regulation of microtubules at the kinetochore (Umbreit, et al. 2012). *Haus3*, a component of the
247 HAU augmin-like complex, has been reported to regulate the expression of the centrosome-related
248 protein α -tubulin and the spindle-related protein γ -tubulin (Zhang, et al. 2019).

249 Consistently, KEGG pathway enrichment analyses of REGs showed mostly significant
250 enrichment in regulation of actin cytoskeleton and focal adhesin (supplementary fig. S13). Of
251 particular interest, five chaperones *cct θ* , *cct ζ* , *ccte*, *tbcA*, and *tbcD*, exhibit significant evidence for
252 elevated rates of evolution (fig. 3B). The genes *cct θ* , *cct ζ* and *ccte* encode different subunits of
253 chaperonin-containing t-complex polypeptide 1 (CCT complex) (Leitner, et al. 2012) are essential
254 in the biogenesis of actin and tubulin to assemble MF and MT (Llorca, et al. 2001). Moreover, *tbcA*
255 and *tbcD* are tubulin-specific chaperones (TBCs) that bring together α - and β -tubulin subunits to
256 form the assembly-competent heterodimer, which is required in the biogenesis of tubulin. *Tbcd* was
257 further identified to be under positive selection, and showed four positively selected sites with one
258 located in the TFCD-C domain (fig. 3C). Moreover, tubulin polymerization-promoting protein
259 (*tppp*), a microtubule regulatory protein (Hlavanda, et al. 2002), was also identified as a PSG with
260 a mutation (G174E) in p25-alpha domain (fig. 3C). *Tppp* not only promotes the incorporation of
261 tubulin heterodimers into growing microtubule filaments (Hlavanda, et al. 2002), but also increases
262 the level of microtubule acetylation, which is responsible for the stabilization of MT by inhibiting
263 the activity of histone deacetylase 6 (Tökési, et al. 2010). The genes display accelerated evolution
264 in the Amur sleeper are pivotal in cytoskeleton stability, especially tubulin biogenesis, indicating a

265 co-opted from cytoskeletal system to prevent irreparable structural damage and maintain normal
266 function over freeze/thaw.

267 Our transcriptomic analyses lend further support to a dynamic cytoskeletal regulation in the
268 Amur sleeper. Multiple genes involved in three components of the cytoskeleton exhibited significant
269 expression fluctuations over FR and RE, especially in muscle tissue (fig. 3D). We observed
270 significant upregulation of genes encoding subunits of CCT complex (*cctβ*, *cctδ*, *cctζ*, *cctθ*, and *cctε*)
271 during the FR and RE stages (fig. 3D), suggesting the potential reassembly of dissociated
272 cytoskeletal monomers. Indeed, the upregulation of the CCT complex involved in prevention of
273 cold-induced actin depolymerization was also found in two insect species (Kayukawa and Ishikawa
274 2009; Zhang, et al. 2011). For microtubules, the genes *tubb1*, *tubb4b*, and *tubb2b* encoding β-tubulin,
275 *tuba4a* encoding α-tubulin and chaperone gene *tbca* were up-regulated in the muscle during FR and
276 RE with highest expression at FR (fig. 3D), likely indicative of maintenance of tubulin pool, which
277 becomes more important at low temperatures that give rise to MT destabilization. Meanwhile, genes
278 involved in post-translational modification (PTM) of tubulins (*map4*, *tpgs2* and *tll4*) (Wang, et al.
279 2022) also exhibited a tissue-specific elevation at FR (fig. 3D). Such alterations may be important
280 in promoting MT cold stability. With respect to more cold-resistant MF, we observed a highly
281 expressed *actb* with a set of genes encoding actin-binding proteins profilin (*pfn2*), gelsolin (*gsn*),
282 cofilin (*cfl*), and arp2/3 complex (*arpc1b*, *arpc4* and *arpc2*) that required for the polymerization
283 and depolymerization of actin filaments (Pollard 2016) showed significant up-regulation in muscle
284 tissue during FR. Simultaneously, upstream regulators of cofilin and arp2/3 complex such as *limk1*,
285 *ssh2*, *wave2*, *cdc42*, *rac1*, and *rhoc* (Campellone and Welch 2010; Mizuno 2013) also exhibited
286 obviously different expression patterns, representing a dynamic regulation on actin cytoskeleton
287 (fig. 3B and D). Furthermore, we also found that genes e.g., *krt13*, *lmna*, and *lap2* that participate
288 in assembly or PTM of IF (Naetar, et al. 2017; Wu, et al. 2017) showed significant expression
289 fluctuation in muscle tissue during FR and RE (fig. 3D). Real-time quantitative PCR (qRT-PCR)
290 analysis of selected genes were consistent with the transcriptome analysis (supplementary fig. S14A
291 and B). Collectively, our analyses provide gene evolution and expression evidence for adaptive
292 modification of cytoskeleton, and thus may play vital roles in maintaining cell viability after
293 enduring freeze/thaw.

294

295 **Cryoprotectants and transmembrane transporters**

296 A well know strategy for freezing survival is the accumulation of large quantities of low
297 molecular weight cryoprotectants not only reducing ice formation but also limiting cell shrinkage
298 via osmic effects (Storey and Storey 1986, 1996b). Sugars (e.g. glucose, trehalose), polyhydric
299 alcohols (e.g. glycerol, sorbitol) and amino acid (e.g. proline) are common colligative (concentration
300 dependent) cryoprotectants among cold-hardy amphibians and insects, and urea contributes in some
301 cases in amphibians (Storey and Storey 2017; Toxopeus and Sinclair 2018). Our metabolomic
302 profiles identified a variety of putative cryoprotectants showed distinct fluctuations in muscle and
303 liver of Amur sleeper during FR and RE. Unexpectedly, glucose was the top among sugars, but only
304 showed an apparent increase (3.6-fold) in muscle during FR (fig. 4A). Myo-inositol and glycerol
305 were found to be the highest polyhydric alcohols in both tissues but with no significant fluctuations
306 during FR while the followed arabitol had a remarkable rise in muscle (5.8-fold) (fig. 4A). Despite
307 a global repression in amino acid metabolism, aspartic acid showed a substantial augment (7.88-
308 fold) in muscle (fig. 4A), suggesting a potential protective role. This higher accumulation but less

309 variation in contents for potential cryoprotectants in Amur sleeper likely indicative of a seasonal
310 acquisition but not a freeze response, which agree with the contradictory reports regarding the
311 changes of glucose or glycerol levels in different freeze tolerant frogs (Layne Jr and Jones 2001;
312 Irwin and Lee 2003; Niu, et al. 2018). On the other hand, a recent study has suggested that multiple
313 cryoprotectants (myo-inositol, proline, and trehalose) contribute to freeze tolerance largely via non-
314 colligative mechanisms with each molecular is interchangeable and has a unique cryoprotective
315 function (Toxopeus, et al. 2019).

316 During freezing, glycogenolysis is clearly the dominant pathway leading to produce
317 cryoprotectants glucose and polyhydric alcohols. In Amur sleeper liver, we observed a sharp drop
318 in glycogen level (as much as about 133-fold) and obvious rise in UDP-glucose (6.40-fold) and
319 glucose-6-phosphate (2.92-fold) (fig. 4B, supplementary fig. S15). Meanwhile, the significant
320 lactate accumulation at FR indicates glucose served as fuel for anaerobic metabolism
321 (supplementary fig. S15). β -adrenergic signaling (the fight-or-flight response) response triggered by
322 adrenaline has been linked with the rapid activation of glycogenolysis (Storey and Storey 1996a).
323 Consistently, adrenaline rose 2.20-fold and the expression of beta-1 adrenergic receptor (*adrb1*) and
324 beta-2 adrenergic receptor (*adrb2*) were significantly up-regulated (supplementary fig. S15, fig. 4B
325 and C) at FR. Moreover, glucose-6-phosphatase (*g6pc*), which convert Glu-6-p to glucose in the
326 terminal step of the synthesis of glucose (Foster, et al. 1997), was found to be significantly up-
327 regulated (fig. 4B and C). Simultaneously, glucose 6-phosphatedehydrogenase (*g6pdh*) decreased
328 obviously, this suppression could prevent Glu-6-p from being used for other purposes (Cowan and
329 Storey 2001). It is notable that *g6pc* of the Amur sleeper was also identified as a REG and has nine
330 specific amino acid replacements (fig. 4B, supplementary fig. S16A), four of them located in
331 transmembrane domains (supplementary fig. S16B). Moreover, glycerol-3-phosphate phosphatase
332 (*g3pp*), a crucial enzyme for glycerol biosynthesis (Raymond 2015) experienced an elevated rate of
333 evolution (fig. 4B). These genetic changes might promote glucose and glycerol synthesis in the liver,
334 which could be exported and circulated throughout the entire body for cryoprotection before
335 freezing.

336 The formation of ice crystals and the accumulation of cryoprotectants have profound effects
337 on the water content of cells as well as elevating osmolality (Storey and Storey 2013). The
338 Aquaporins (AQPs) play an essential role in rapid osmoregulation as they allow for the facilitated
339 diffusion of water and osmolytes across cell membranes (Hill, et al. 2004). There are two subsets of
340 the AQP family, AQP1, AQP2, AQP4, and AQP5 that are permeated only by water and AQP3, AQP7
341 and AQP9 that can also be permeated by glycerol and even larger solutes (Verkman and Mitra 2000).
342 In this study, the *aqp3* and *aqp4* were identified as REGs in the Amur sleeper (fig. 4B), and *aqp4*
343 has five specific amino acid mutations that can cause polarity changes. These mutations including
344 three alanine (A) to glycine (G) substitution occurred at highly conserved sites in the corresponding
345 proteins of other fish species (fig. 4D). Furthermore, the generally increased expression levels of
346 *aqp1*, *aqp3*, *aqp4* and *aqp7* in different tissues at FR provided functional evidence that these AQPs
347 are extremely important to control ice content, cell volume, and maintain fluid homeostasis in Amur
348 sleeper (fig. 4C). For glucose transport, transcript levels for members of the glucose transporter
349 (GLUT) family *glut2* and *glut9* were significantly increased in liver at FR in Amur sleeper (fig. 4B
350 and C), suggesting a rapid uptake of cryoprotective glucose during the onset of freezing. Moreover,
351 *glut2* was found to be underwent rapid evolve in Amur sleeper and up-regulated during RE (fig. 4B).
352 Indeed, *glut2* is a unique bidirectional transporter also allows for hepatocytic reuptake of glucose

353 during thawing to restore glycogen pools and mitigate hyperglycemia (Storey and Storey 1986;
354 Mueckler and Thorens 2013). Such dynamic regulation of *glut2* expression is crucial to surviving
355 freezing and thawing in organisms that employ glucose as cryoprotectant, which has been
356 demonstrated in amphibians (Storey and Storey 1988; Rosendale, et al. 2014). The expression trends
357 of genes that were found to be regulated in this part were validated by qRT-PCR analysis
358 (supplementary fig. S14D and E). Taken together, genes associated with metabolic enzymes and
359 transmembrane transporters may contribute to freeze tolerance by facilitating cryoprotectants
360 synthesis and redistribution of water and cryoprotectants, although the effects of these mutations
361 still need further investigation.

362

363 **Gene changes correlate with nerve activity**

364 Freeze tolerant animals endure a prolonged state of frozen dormancy with interrupted nerve
365 transmission (Storey and Storey 2017). Thus, a mechanism involved in the entry/exit and
366 maintenance of dormant state over freeze/thaw is likely a key innovation, but this subject has
367 received almost no attention in ectothermic vertebrates. It has been demonstrated that suppression
368 of the central nervous system (CNS) plays a key role in the entrance into hibernation in mammals
369 (Tamura, et al. 2005; Mohr, et al. 2020). Therefore, depressed neurotransmission activity in freeze
370 tolerant ectothermic vertebrates when facing freezing would be expected. In the present study, we
371 found a total of nine copies of the adenosine A1-receptor (*adora*) in the *P. glenii* genome, seven of
372 which are tandemly duplicated and situated between *slc12a5* and *cyp24a1* genes (fig. 5A). This
373 receptor is generally linked to the inhibition of the release of neurotransmitters with its most
374 prominent inhibitory action on the excitatory glutamatergic system (Dunwiddie and Masino 2001).
375 Such significant expansion might be important for the induction or maintenance of the dormant state
376 in the Amur sleeper by suppressing neurotransmission. Interestingly, we found that *gabrg2*,
377 encoding the $\gamma 2$ subunit of gamma-aminobutyric acid receptor type A that mediates the inhibition
378 of the CNS by combining with gamma-aminobutyric acid (GABA), possesses a strong signal of
379 positive selection in the Amur sleeper. Remarkably, a total of 14 positively selected sites were
380 detected, which is also highly conserved in human, mouse, and chicken (fig. 5B). Among them, 12
381 were located in the extracellular ligand-binding domain (fig. 5B). Furthermore, our transcriptomic
382 analyses identified multiple genes (*gad2*, *glna*, *snat2*, *snat3*, *pkaca* and *pkcb*) in GABAergic
383 synaptic pathway exhibited increased expression in brain tissue during FR and RE. Exposure of
384 zebrafish to GABA-enhancing drugs, and mice fed with high GABA-containing black sticky rice
385 giant embryo have antianxiety effects (Stewart, et al. 2011; Jung, et al. 2017). Thus, natural selection
386 on *gabrg2* and increased expression of the genes involved in GABAergic synaptic might have
387 important antianxiety role, which perhaps could relieve freezing-induced stresses and facilitate
388 entering dormancy.

389 In concert with the receptors that mediate neural inhibition, we found metabotropic glutamate
390 receptor 5 (*mglur5*) that modulates cell excitability and synaptic transmission, was under positive
391 selection in the Amur sleeper. Two positively selected sites (C607L and L608T) were identified on
392 the conserved dimer interface (supplementary fig. S18). The *mglur5* receptor exists as dimers and
393 monomers only contact via the extracellular domains in the inactive state, however, the dimer will
394 undergo massive conformational change that bring the seven transmembrane domains closer
395 together and into contact when it is activated (Llinas del Torrent, et al. 2019). In addition to
396 increasing neuronal excitability, *mglur5* also play important roles in the induction of long-lasting

397 forms of synaptic plasticity, long-term depression (Niswender and Conn 2010). These two mutations
398 may change the nerve conductance in the Amur sleeper and thus may have implications for its neural
399 activity maintenance while frozen and reactivation upon thawing.

400 Besides genetic changes related to neurotransmission, ubiquitination (Ub) represent a dynamic
401 PTM that precisely modulates the functional neuronal circuits. In Amur sleeper genome, we found
402 six copies of f-box only protein 2 (fbxo2) that were tandemly duplicated and they situated between
403 *bin2* and *svbp*. Particularly, two Amur sleeper-specific deletions of amino acid that could affect the
404 three-dimensional structure of the protein were detected in all copies of these proteins, which was
405 validated by mapping to both full-length and NGS transcripts. (fig. 5C, supplementary fig. S19).
406 Fbxo2 is a subunit of the ubiquitin protein ligase complex SCF, which function in Ub-mediated
407 degradation of GluN1 subunit of the NMDA receptors that mainly mediate neural excitatory
408 transmission (Otsu, et al. 2019). GluN1 knockout mice have hyperactivity compared to wild-type
409 mice (Segev, et al. 2020). Given that fbxo2 has been shown to facilitate the degradation of the GluN1
410 (Atkin, et al. 2015), the genetic changes of fbxo2 in Amur sleeper may suggest an inhibition of
411 excitatory transmission. Taken together, the Amur sleeper-specific genetic innovations might thus
412 indicate a role for adaptive evolution in function of the nervous system. Analysis of the variations
413 in these genes may yield insights into how nerve regulation is coordinated upon freezing and
414 thawing. Additionally, genes reported here are associated with multiple neurological and
415 neuropsychiatric disorders in human, we expected that the genetic changes in the Amur sleeper may
416 provide useful information for studies in mental illnesses and medical anesthesia.

417

418 **Conclusion**

419 Freeze tolerance, a fascinating example of complex animal adaptations, has been extensively
420 investigated in multiple hibernating reptile and amphibian species. However, the genetic basis for
421 freezing survival remains unclear and the underlying molecular mechanisms are still inadequate
422 understood in ectothermic vertebrates. Our study demonstrates the strengths of multi-omic methods
423 to shed light on this adaptation in the only known fish species with freeze tolerant ability. Using an
424 integrated analysis of multi-omic data, we revealed a suite of coordinated molecular adaptations in
425 the Amur sleeper related to hypometabolism and cell repair that may mitigate the detrimental effects
426 of freezing and thawing. Many significant genetics changes correlated with cytoskeletal stability,
427 osmotic regulation, and nerve activity could be regarded as evolutionary innovations, which lay a
428 blueprint for further functional characterization. This study not only provides useful genomic
429 resources and insights into freeze-tolerant adaptation in ectothermic vertebrates, but also has
430 potential implications for the development of better cryopreservation technologies and the unveiling
431 of the causes of mental diseases in biomedical field.

432

433 **Materials and Methods**

434 **Genome sequencing and de novo assembly**

435 Wild individuals of *P. glenii* and *N. hainanensis* were collected from Heilongjiang and Guangxi
436 Province, respectively. All experiments in this study were approved by the Institutional Animal Care
437 and Use Committee of Institute of Hydrobiology, Chinese Academy of Sciences (Approval ID:
438 Y21304506), and conducted in compliance with the relevant guidelines. Muscle tissues from *P.*
439 *glenii* and *N. hainanensis* were used for DNA extraction and genome sequencing. For *P. glenii*, a
440 total of 70.29 Gbp of long reads and 101.02 Gbp of short reads were generated. For *N. hainanensis*,

441 a total of 86.06 Gbp of long reads and 121.71 Gbp of short reads were generated. The long reads
442 were sequenced using the PrometION DNA sequencer on the Oxford Nanopore platform and the
443 short reads were generated on the BGISEQ-500 platform. For Hi-C sequencing of *P. glenii*, liver
444 tissue was used for the extraction of DNA for library preparation. Hi-C libraries were then sequenced
445 on the Illumina NovaSeq platform, and a total of 95.60 Gbp Hi-C reads were generated
446 (supplementary table S1).

447 We adapted the KmerFreq_AR program from SOAPdenovo2 package, which is based on k-
448 mer distribution, to estimate the genome size with about 45 Gb of BGISEQ-500 short reads filtered
449 using fastp (Chen, et al. 2018). The estimated genome size of *P. glenii* and *N. hainanensis* were
450 827.25 Mb and 840.86 Mb, respectively (supplementary table S9). First, Nanopore long reads of
451 the two species were corrected using the NextCorrect modules of NextDenovo
452 (<https://github.com/Nextomics/NextDenovo>). For *P. glenii*, we used wtdbg2 (Ruan and Li 2020),
453 Flye (Kolmogorov, et al. 2019), and Smartdenovo (<https://github.com/ruanjue/smartdenovo>) for de
454 novo assembly with corrected long reads due to the high heterozygosity of the estimated genome
455 characteristics (supplementary fig. S1, supplementary table S9). Next, we applied three rounds of
456 polishing using filtered short reads with Pilon1.23 (Walker, et al. 2014). We also filtered the
457 redundant contigs caused by high heterozygosity using the script fasta2homozygous.py from
458 Redundans (<https://github.com/lpryszcz/redundans>). The quality of these three genomes were
459 assessed. Finally, Hi-C reads were aligned to the best assembly version via Bowtie 1.2.2 (Langmead
460 2010). We then used Juicer v1.5 (Durand, Shamim, et al. 2016) and 3D-DNA (Dudchenko, et al.
461 2017) to anchor the draft genome onto 22 chromosomes. Juicerbox Assembly Tools (Durand,
462 Robinson, et al. 2016) was used to visualize and improve the assembly quality. For *N. hainanensis*,
463 the Nanopore reads were assembled by wtdbg2 and then three rounds of polishing using NSG short
464 reads were applied. To estimate the quality of the two genomes, short reads were mapped back to
465 the genome using BWA-MEM (Li and Durbin 2009). Completeness of the two genomes was
466 evaluated using Busco v3 (Simão, et al. 2015) with the actinopterygii_odb9 database.

467

468 **Genome prediction annotation**

469 We combined RepeatMasker v4.06 (Tarailo - Graovac and Chen 2009) with
470 RepeatProteinMask v4.06 for homology repeat sequence prediction by aligning the genome
471 sequences against the RepBase library. For de novo repeat prediction, we adopted RepeatModeler
472 v1.08 along with LTR-FINDER v1.06 (Xu and Wang 2007) based on the de novo repeat library.

473 We used three different methods, namely, ab initio annotation, homology annotation and
474 transcriptome-based annotation, to predict the whole gene set for the two genomes. Briefly,
475 Augustus (Stanke, et al. 2008), Snap (Leskovec and Sosič 2016) and GeneScan (Burge and Karlin
476 1997) were used for de novo gene prediction based on the repeat masked genome sequences. The
477 Augustus and Snap programs were trained with the transcript and zebrafish genome training set,
478 respectively. For homology-based annotation, protein sequences from *Oreochromis niloticus*,
479 *Oryzias latipes*, *Danio rerio*, *Anabas testudineus*, *Neogobius melanostomus* and *Poecilla formosa*
480 were downloaded from Ensembl and aligned to the two genomes using the TBLASTN program.
481 GeneWise (Birney, et al. 2004) was used to identify accurate gene structures for the alignment
482 produced by TblastN. In addition, GeMoMa (Keilwagen, et al. 2019) was used for homology-based
483 prediction with the zebrafish genome as a reference genome. For transcriptome-based annotation, a
484 total of 62.49 Gb of RNA-seq reads from ten tissues of *P. glenii* and 87.30 Gb from nine tissues for

485 *N. hainanensis* were generated by BGISEQ-500 (supplementary table S10). We also performed full-
486 length transcriptome sequence, a total of 8,570,679 subreads with a mean length of 2,009 bp for *P.*
487 *glenii* and 16,621,922 subreads with a mean length of 1719 bp for *N. hainanensis* were generated in
488 PACBIO_SMRT platform (supplementary table S11). RNA-seq reads were mapped to the genomes
489 using Hisat2 (Kim, et al. 2015), and then transcripts were generated using StringTie (Pertea, et al.
490 2015). Full-length transcriptome data were used to construct consensus sequences using IsoSeq3
491 (<https://github.com/PacificBiosciences/IsoSeq>), and subsequently mapped to the genomes with
492 Gmap (Wu and Watanabe 2005). Both types of transcripts were then processed with PASA (Haas,
493 et al. 2003) to obtain final results. Finally, all gene models generated from these three strategies
494 were integrated with EVIDENCEModeler (EVM) (Haas, et al. 2008). Functional annotations of the
495 predicted gene set were obtained by mapping to public functional databases, including SwissProt,
496 NCBI-Nr, KEGG, GO and InterPro.

497

498 **Syntenic relationship with the dark sleeper genome**

499 To evaluate the consistency of the Amur sleeper with its close relative, the dark sleeper
500 (*Odontobutis potamophila*), we assembled and annotated the genome of dark sleeper based on raw
501 data downloaded from NCBI (Jia, et al. 2021). First, the coding sequences from 22 autosomal
502 chromosomes of the two species were aligned by LAST v942 (Kielbasa, et al. 2011). The results
503 were then subjected to MCscan (Tang, et al. 2008) to identify syntenic blocks.

504

505 **Phylogenetic analysis**

506 In addition to *P. glenii* and *N. hainanensis*, genomes of eight other teleosts, including *O.*
507 *potamophila*, *O. niloticus*, *O. latipes*, *D. rerio*, *N. melanostomus*, *P. Formosa*, *Gasterosteus*
508 *aculeatus*, and *Takifugu rubripes* were used to perform comparative genomic analyses. First, we
509 identified orthologous gene clusters using OrthoFinder v2.3.4 (Emms and Kelly 2015) with default
510 parameters. A total of 4550 single-copy genes were identified in the 10 species. Protein sequences
511 for each single-copy orthologue were aligned using MAFFT v7.310 (Katoh and Standley 2013) and
512 then corresponding coding sequence alignments were obtained with pal2nal v14 (Suyama, et al.
513 2006). We removed poorly aligned regions of each CDS alignment using Gblocks v0.91b (Talavera
514 and Castresana 2007) with the codon model. Then the alignments of less than 50 codons were
515 discarded (Wu, et al. 2021). All the filtered CDS were concatenated into super-genes for each
516 species to construct a phylogenetic tree using RAXML v8.2.4 (Stamatakis 2014) with 1,000 ultrafast
517 bootstrap replicates. To estimate divergence times, MCMCtree from the PAML software package
518 was performed on the inferred phylogenetic tree with *D. rerio* as the outgroup and fourfold
519 degenerate sites (4D) extracted from the super-genes. We set four calibration time points (*G.*
520 *aculeatus*–*T. rubripes* ~99–127 Ma; *O. niloticus*–*O. latipes* ~88–139 Ma; *N. melanostomus*–*P. glenii*
521 ~59–89 Ma; *G. aculeatus*–*D. rerio* ~206–252 Ma) taken from TimeTree database to calibrate the
522 calculated divergence times.

523

524 **Inference of demographic history**

525 We inferred the demographic histories of *P. glenii* and *N. hainanensis* by pairwise sequentially
526 Markovian coalescent (PSMC) analysis (Li and Durbin 2011). NSG short reads used for the genome
527 assemblies were aligned to the two reference genomes using BWA-MEM (Li and Durbin 2009) with
528 default parameters. To generate consensus diploid sequences of the two individuals severally, the

529 SAMtools mpileup with bcftools and vcfutils.pl pipeline (<https://github.com/lh3/psmc>) was applied.
530 We then used the fq2psmcfa program of PSMC to convert the consensus fastq files into psmcfa
531 format, the input files for PSMC. Finally, the effective population history was inferred using PSMC
532 with 100 bootstraps and plotted by the psmc_plot.pl pipeline based on a substitution rate of 2.89e-9
533 per generation for *P. glenii* and 3.11e-9 per generation for *N. hainanensis*.

534

535 **Mutation rate and strength of natural selection**

536 We chose four closely related species for whole-genome synteny alignment using LAST v942
537 (Kielbasa, et al. 2011) with *O. potamophila* genome sequence used as a reference. The aligned
538 results were submitted to the subprogram “roast” of Multiz v3 (Blanchette, et al. 2004) to generate
539 one-to-one alignment sequences. A sliding window (100kb) along the synteny alignment was
540 applied to estimate the mutation rate. First, the branch lengths for each window were estimated
541 using RAxML (Stamatakis 2014) based on neutral regions (repetitive sequences, regions located
542 within genes and 3kb upstream/downstream) of every window were filtered. Then, the mutation
543 rates were calculated with r8s using the estimated branch lengths and divergence time previously
544 estimated. In addition, we calculated the ω (*ka/ks*) ratios based on 4,550 one-to-one orthologues from
545 the ten teleosts. The free-ratio model, allowing a separate ω for each branch of a tree, from the
546 codeml program in PAML (Yang 2007) was run on the concatenated orthologues and each of the
547 orthologues.

548

549 **Gene family expansion and contraction**

550 We used CAFE v3.1(De Bie, et al. 2006) to test for the expansion and contraction of gene
551 families in *P. glenii* based on the results from the OrthoFinder (Emms and Kelly 2015) analyses and
552 the estimated divergence times from MCMCTree. If the copy number of the *P. glenii* was higher or
553 lower than that of its close ancestral branch lineage, then we identified this gene family as
554 substantially expanded or contracted gene family. Any gene family, with a false discovery rate (FDR)
555 adjusted P-value < 0.05, was thought to experience significant expansion or contraction. Functional
556 categories and pathways in significantly expanded gene families were identified by performing GO
557 terms enrichment analysis and KEGG pathway enrichment analysis. GO terms or KEGG pathways
558 with a p-value <0.05 were considered significantly enriched.

559

560 **Identification of positively and rapidly evolved genes**

561 All one-to-one orthologous genes were used to assess the contribution of natural selection on
562 the *P. glenii* genome by calculating the ratios (ω) of nonsynonymous substitution (dN) to
563 synonymous substitution (dS) using the software package PAML4 (Yang 2007). The two-ratio
564 branch model (model=2, NSsites=0) was used to detect REGs and branch-site model (model=2,
565 NSsites=2) was used to detect PSGs, with *P. glenii* as the foreground branch. Likelihood ratio tests
566 (LRTs) were applied to test the significance of the differences between alternative and null models
567 for each orthologue. We treated a gene as a REG when the FDR-adjusted p-value < 0.05 and a higher
568 ω ratio in *P. glenii*. Genes with p < 0.05 were considered as PSG. Finally, we removed the false
569 positive results by manual checking. The final REGs and PSGs were then assessed for enrichment
570 of functional categories and pathways.

571

572

573 **Transcriptome sequencing and analysis**

574 Samples were collected from fish in September 2020, late February 2021, and late March 2021
575 to represent AC, FR and RE stages, respectively, with 6 fish collected at each time point. Brain,
576 muscle, and liver tissue were collected for a total of 54 samples. RNA was extracted from 27 samples
577 (three replicates per stage) using TRIzol (Invitrogen, USA) to generate paired-end (PE) libraries.
578 Each library was sequenced on an Illumina HiSeq platform with 150 bp PE reads. A total of 206.81
579 Gb clean data were generated (supplementary table S12). Clean reads were mapped to the reference
580 *P. glenii* genome using Hisat2 (Kim, et al. 2015). StringTie (Pertea, et al. 2015) was then used to
581 generate gene expression level counts in fragments per kilobase of transcript per million fragments
582 mapped (FPKM). PCA was performed based on the expression pattern of all genes. To identify
583 DEGs between the different life stages, the number of reads mapped to gene regions was quantified
584 by the FeatureCounts program (Liao, et al. 2014), a part of the Subread package v2.0.0
585 (<http://subread.sourceforge.net/>). The R package DESeq2 (Love, et al. 2014) was used for the
586 detection of DEGs based on the read count table generated by featureCounts. An FDR adjusted p
587 value < 0.05 and a 2-fold-change > 2 was set as the level of significance. Enrichment of KEGG
588 pathways and GO terms of the DEGs was estimated using the annotations of all identified transcripts
589 as a background.

590

591 **Metabolite extraction, detection, and analysis**

592 Muscle and liver samples from 18 fish (6 replicates per stage) were used for LC–MS/MS
593 analysis. 50mg of each sample was homogenized with 1000 µl of ice-cold methanol/water (70%,
594 v/v). The supernatant was extracted to detect metabolites using a combination of non-targeted
595 detection (Ultra-performance liquid chromatography (UPLC) and Quadrupole-Time of Flight) and
596 widely targeted detection (UPLC and Tandem mass spectrometry (MS/MS)). PCA was performed
597 using statistics function prcomp within R to identify general trends in the content changes.
598 Metabolites with VIP (variable importance in projection) ≥ 1 , absolute Log₂FC (fold change) \geq
599 1 and FDR-p value < 0.05 were regarded as SDMs. Identified metabolites were annotated using the
600 KEGG Compound database (<http://www.kegg.jp/kegg/compound/>), and then annotated metabolites
601 were mapped to the KEGG Pathway database (<http://www.kegg.jp/kegg/pathway.html>).

602

603 **Real-time quantitative PCR assay**

604 To validate DEGs across different life stages of *P. glenii*, qRT-PCR was performed. First strand
605 cDNA was synthesized from 1ug of total RNA samples using Hifair[®] II 1st Strand cDNA Synthesis
606 SuperMix for qRT-PCR (Yeasen, China). The qRT-PCR was performed with the Hieff[®] qPCR
607 SYBR[®] Green Master Mix (Yeasen, China) and a LightCycler 480 II Instrument (Roche,
608 Switzerland). Six biological replicates and three reaction replicates for each group were used.
609 Expression values were calculated using the detected threshold cycle (Ct) value using the geNorm
610 algorithm. Non-tissue-specific reference genes elongation factor alpha (ef1a) and 18S rRNA were
611 selected as internal controls to normalize the relative expression levels. Statistical analysis was
612 performed using an unpaired two-tailed Student's t test.

613

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623

624 **Author Contributions**

625 L.Y. and S.H. designed and managed the project. H.J., Y.L., and H.B. collected and prepared the
626 Amur sleeper samples. W.L, Y.Q. and M.M. performed genome assembly and gene annotation. W.L.,
627 H.J., and C.W. conducted the bioinformatic analysis and transcriptome, metabolism analysis. W.L.,
628 H.J., D.I., N.S., and F.C. wrote and revised the manuscripts.

629

630 **Conflict of Interest statement**

631 The authors declare no competing interests.

632

633 **Data availability statement**

634 The sequence data have been deposited in the NCBI BioProject database with accession
635 numbers PRJNA818152 (*P. glenii*), PRJNA818180 (*N. hainanensis*). The genome assembly files
636 are under accession numbers JALDQB000000000 (*P. glenii*) and JALDNG000000000 (*N.*
637 *hainanensis*).

638

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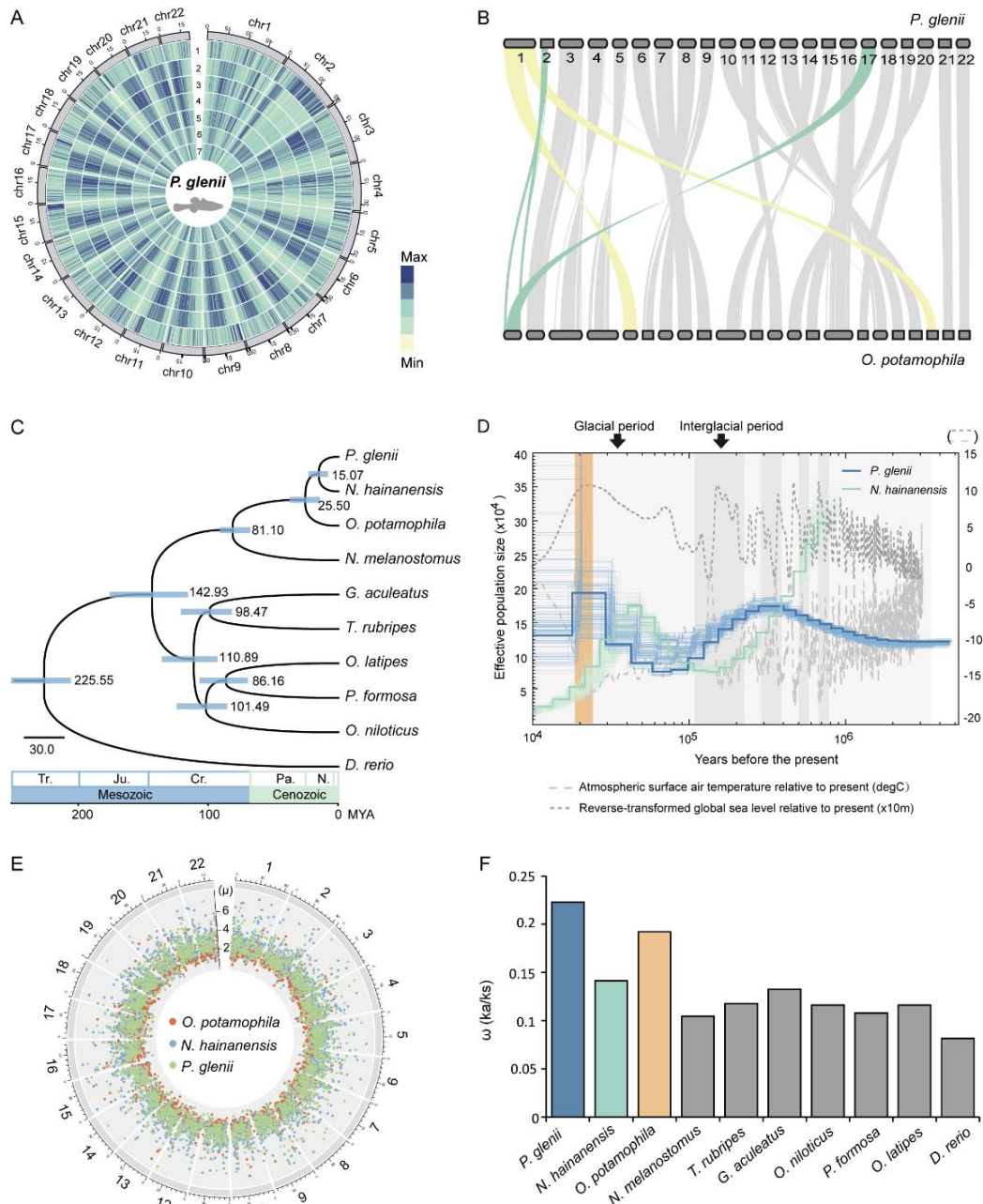
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3 **Fig. 1.** Evolutionary history of the Amur sleeper. (A) Circos plots showing the distributions of the

4 genomic components in *P. glenii* with 500,000 bp windows. 1: Gene frequency, 2: Density of LINES,

5 3: Density of LTRs, 4: Density of DNA, 5: Density of TRFs, 6: Density of SINES, 7: Density of GC

6 content. (B) Collinearity analysis of the *P. glenii* and *O. potamophila* genomes. (C) Phylogenetic

7 tree and divergence times estimated for the Amur sleeper and nine other teleosts. Numbers near each

8 node are the estimated divergence times, with the blue error bars indicating the 95% confidence

9 levels. (D) Demographic history estimated by PSMC. Blue lines represent *P. glenii*, and green lines

10 represent *N. hainanensis*. Orange frame represents the last glacial maximum (LGM). (E) Mutation

11 rates for three species estimated across the genome. Number around the outside represent the

12 chromosome ID for the *O. potamophila* genome. μ represents the mutation rate ($\times 10^{-9}$ per site per

13 year) of each window. (F) The $\omega(Ka/Ks)$ ratios of concatenated genes in ten species.

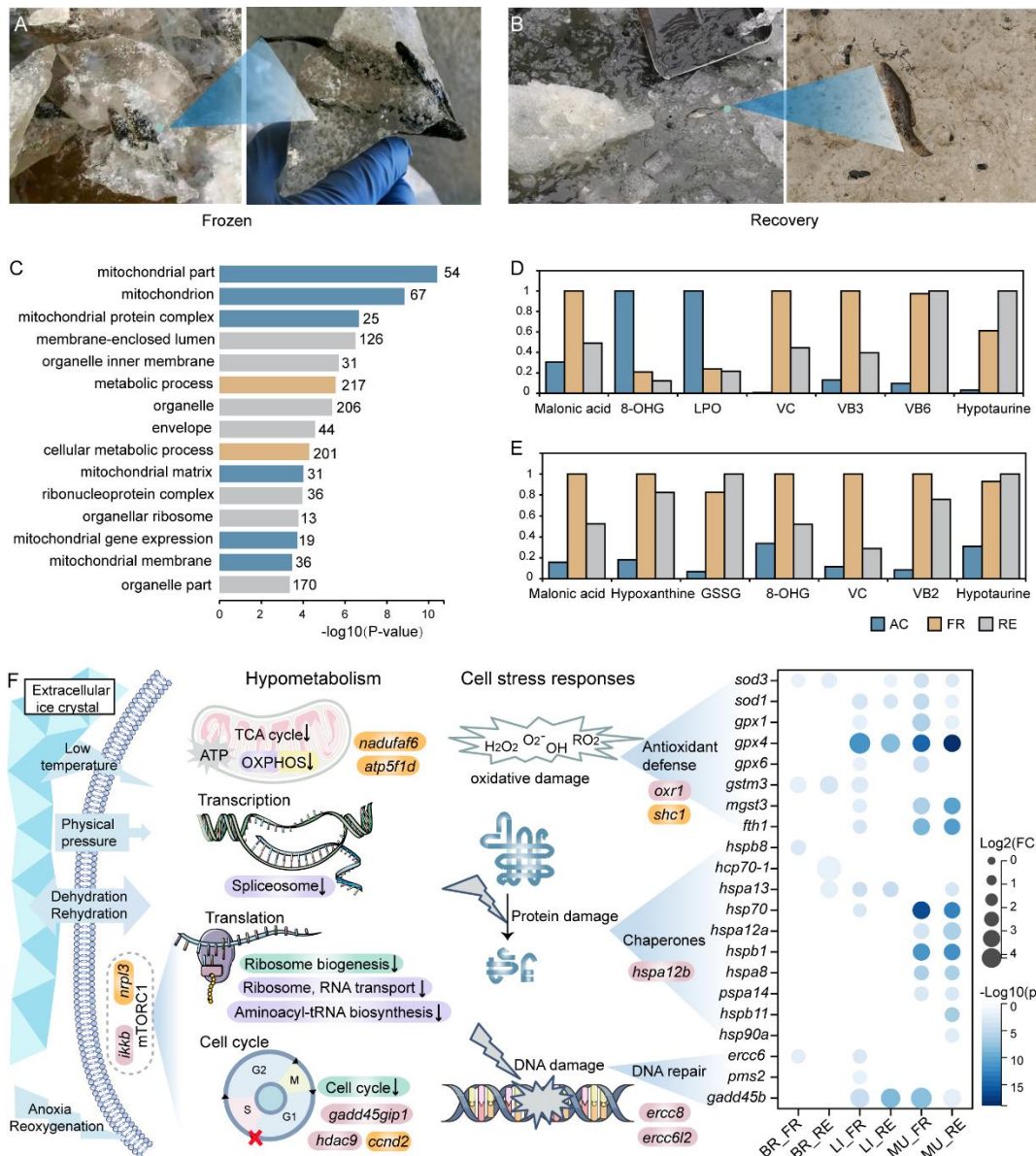
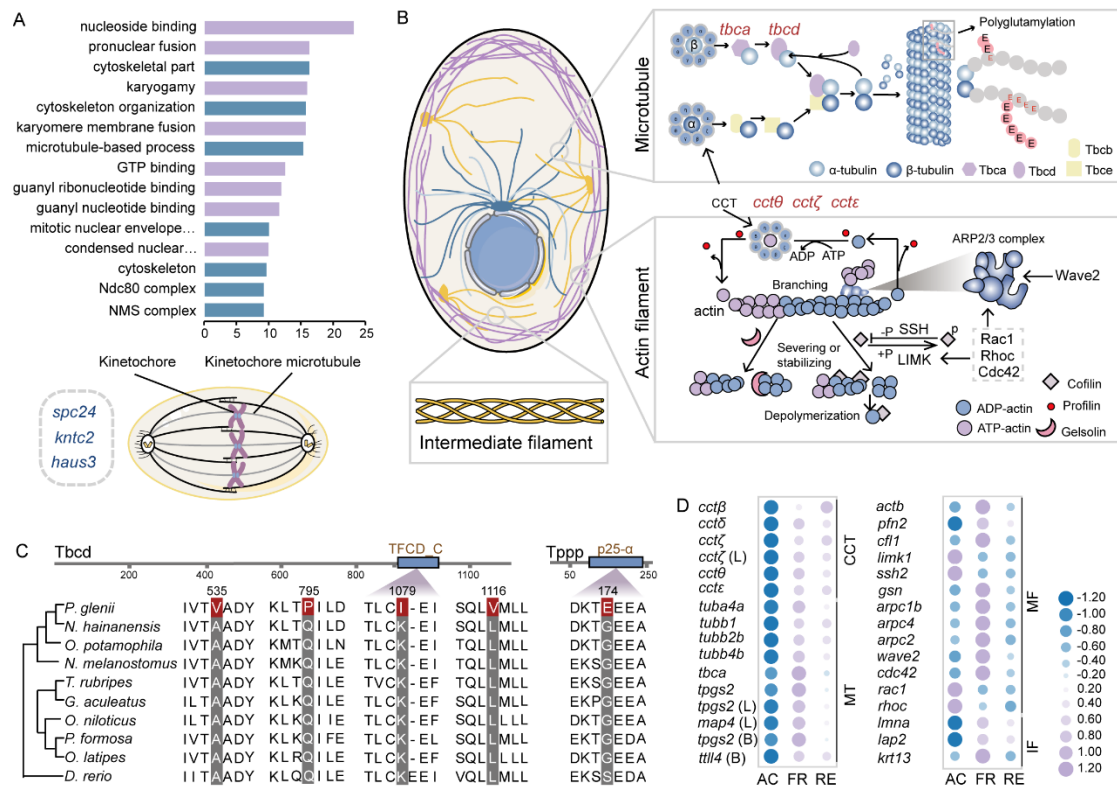


Fig. 2. Genes and metabolites related to hypometabolism and cell stress response. (A and B) Illustrations of Amur sleeper during FR (A) and RE (B). (C) Top 15 significantly enriched GO terms for down-regulated genes in all three tissues at FR are shown. (D and E) Changes of metabolites related to metabolic inhibition and antioxidant defense in the liver (D) and muscle tissue (E). The relative levels of the metabolites are represented as bar graphs. Height of the column with the largest quantity is set as 100%, and that for the smaller quantities shown proportionally. (F) Pathways and genes associated with hypometabolism and cell stress responses. The significantly enriched pathways related to energy-expensive cell processes of down-regulated genes in brain (purple), liver (green), and muscle (yellow) tissues were shown. Genes are labeled with different colors to indicate positively selected genes (orange) and rapidly evolved genes (pink). The heatmap showed the transcriptional log2 (fold change) in expression of differently expressed genes in FR and RE relative to that in AC (BR: brain, LI: liver, MU: muscle, FC: fold change).

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32 **Fig. 3. Changes in genes correlated with the cytoskeleton.** (A) The top 15 significantly enriched
 33 GO terms for expanded gene families (up), and three expanded gene (down) that play crucial roles
 34 in mitosis (down) were shown. Blue columns represent cytoskeleton-related GO terms. (B)
 35 Schematic illustration of cytoskeletal composition, microtubule assembly, and regulation of actin
 36 cytoskeleton. Rapidly evolving genes are marked in red. (C) Sequence alignments for the positively
 37 selected genes, *tbcd* and *tppp*. Sites marked with red rectangles are positively selected sites. (D)
 38 Expression changes for genes related to the cytoskeleton. Purple represents higher expression levels,
 39 and blue represents lower expression levels. L and B represent liver and brain tissue, respectively
 40 (CCT: CCT complex; MT: microtubule; MF: actin filament; IF: intermediate filament).

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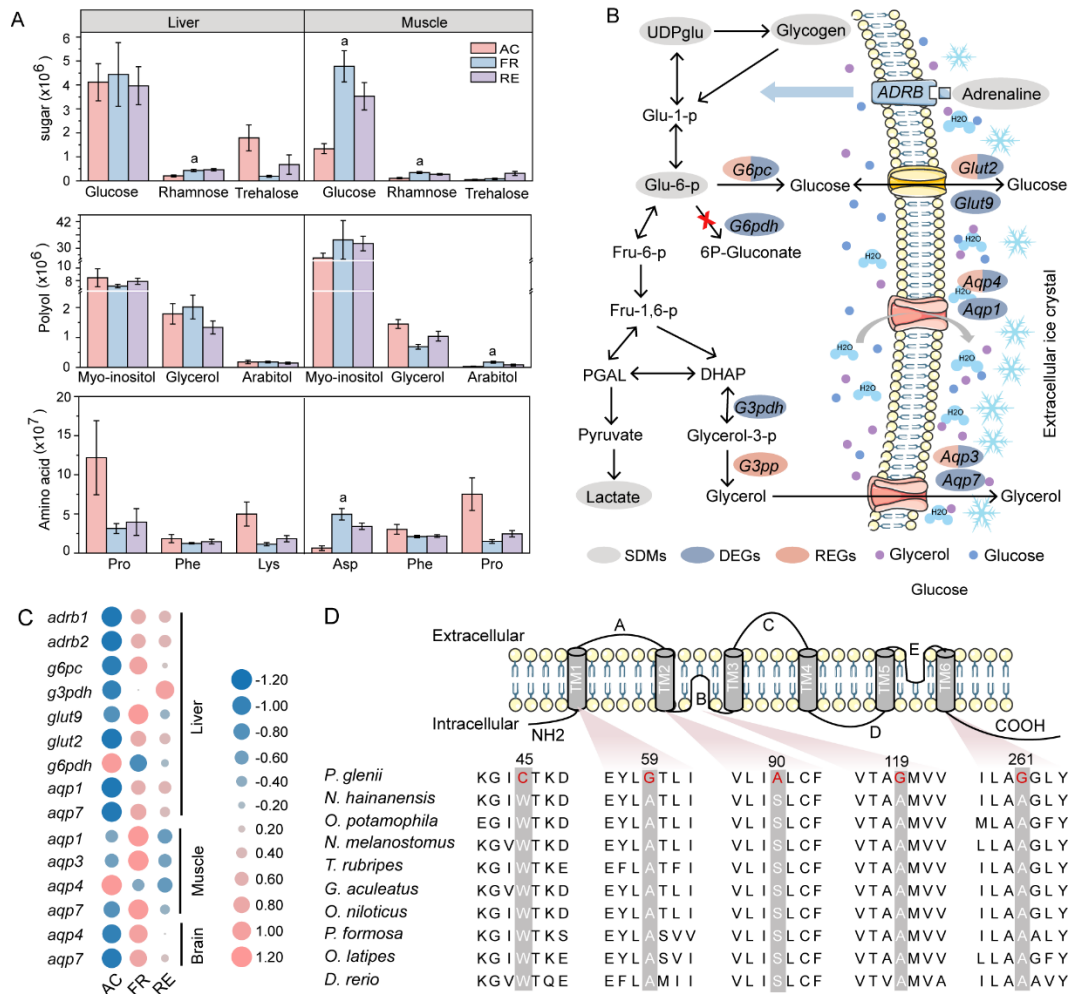
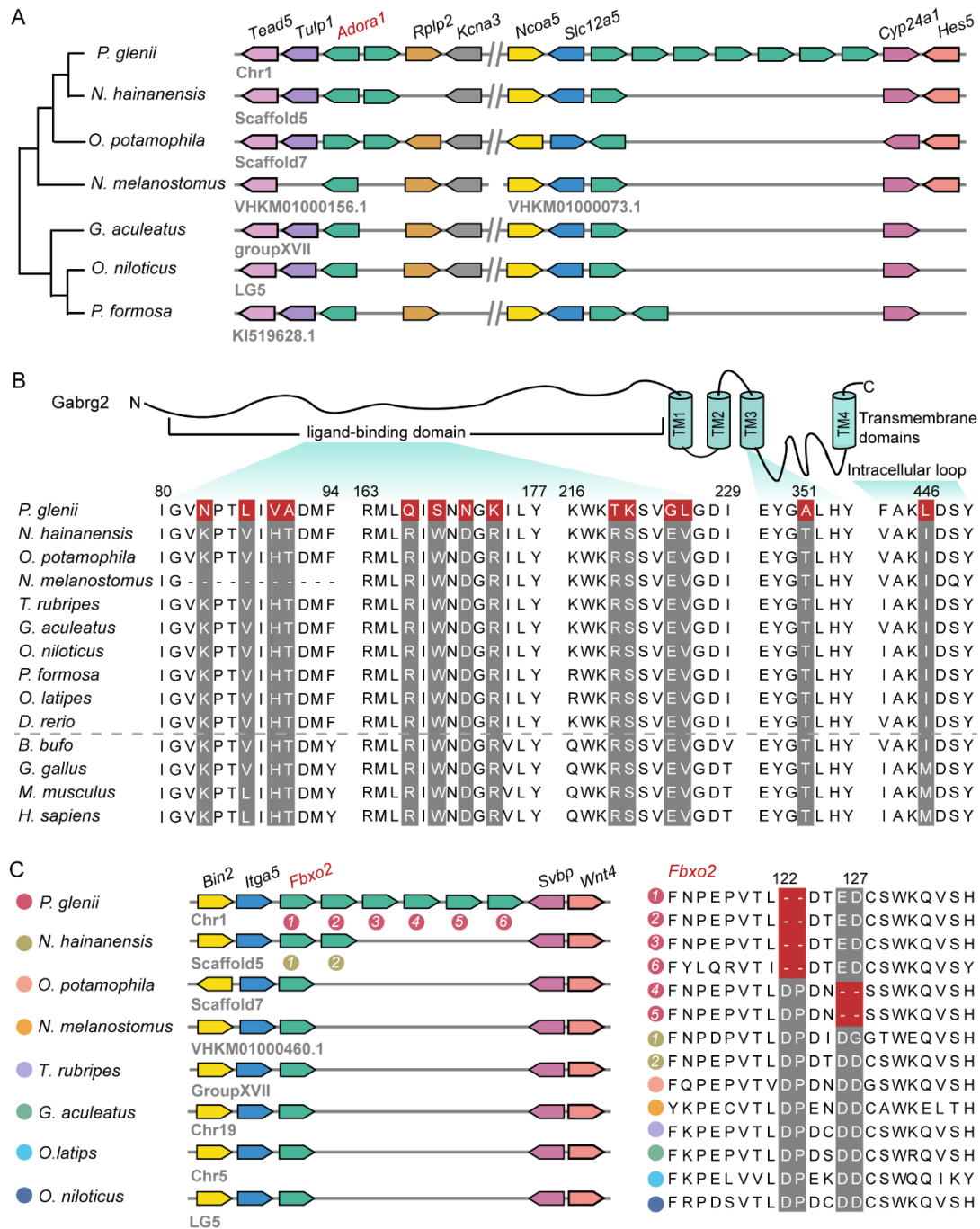


Fig. 4. Putative cryoprotectants and their movement with water. (A) The top three metabolism with the highest content in sugar, polyhydric alcohols (polyol), and amino acid, respectively. The letter a indicates that the content increases significantly during FR stage. Error bars represent the mean \pm S.D. (n = 6). (B) Schematic depiction of biosynthetic pathways for glucose and glycerol, and movement of water and cryoprotectants. (C) Expression changes of genes related to cryoprotectant. Pink represents higher expression levels, and blue represents lower expression levels. (D) Multiple-sequence alignments of *aqp4* amino acid sequences of Amur sleeper and other nine teleosts, and the specific mutations are marked in red.

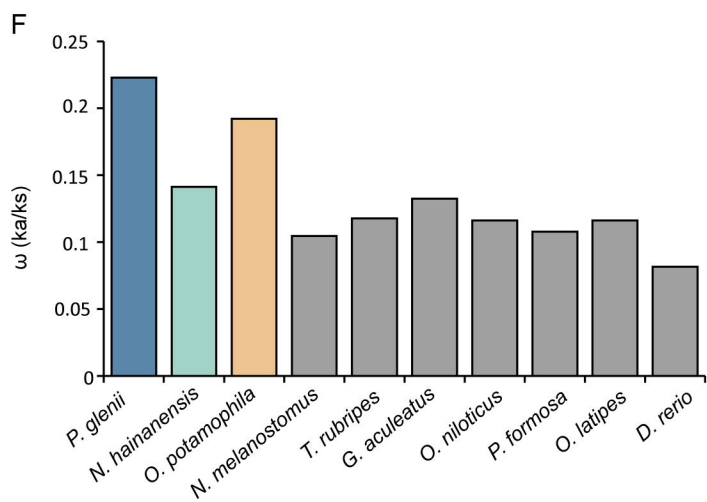
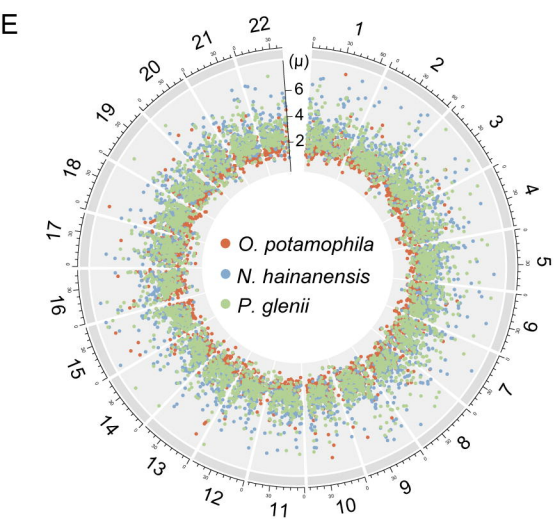
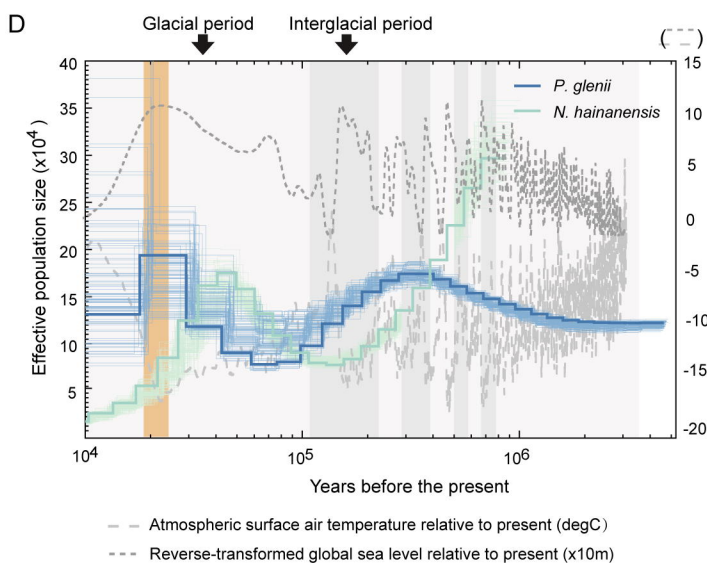
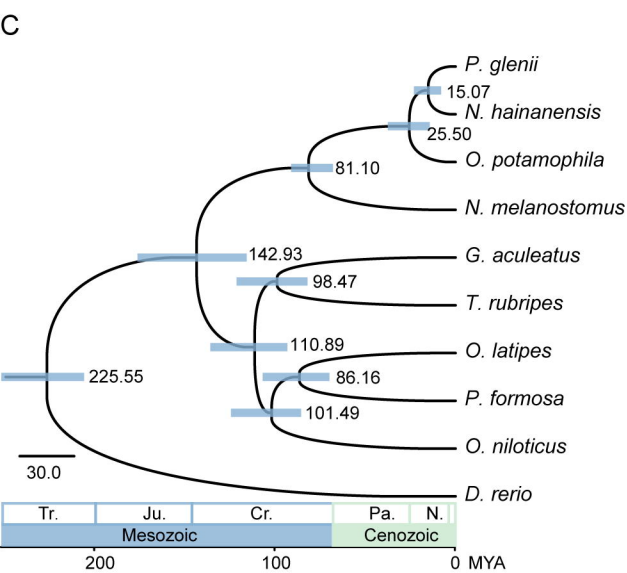
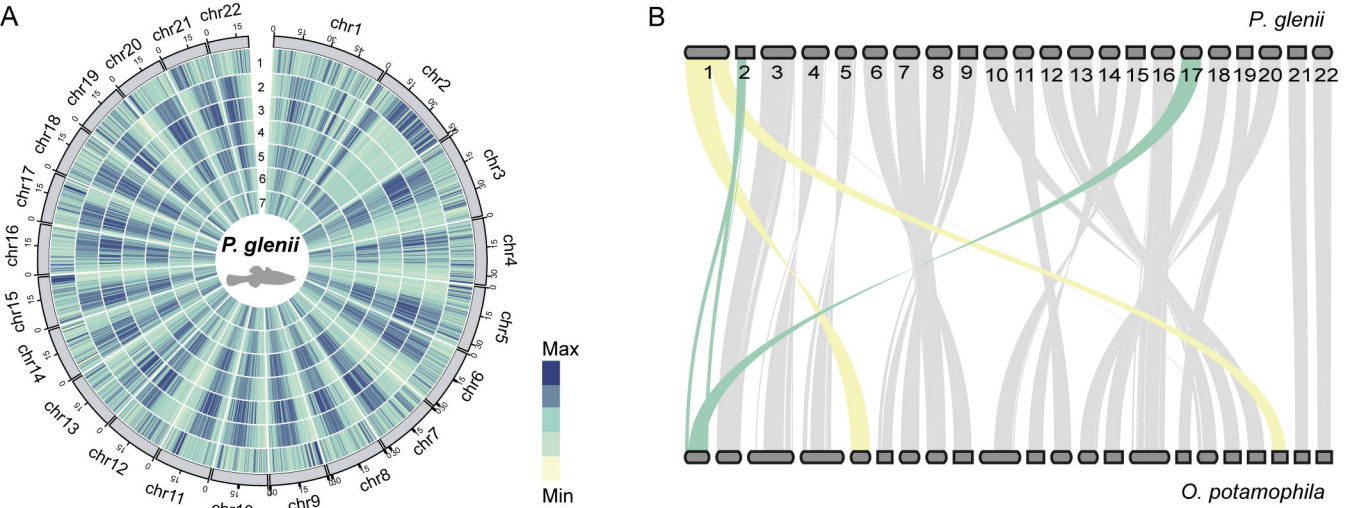
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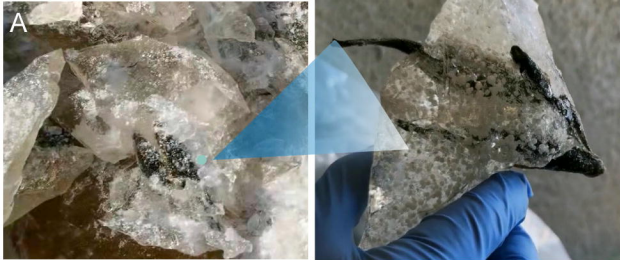


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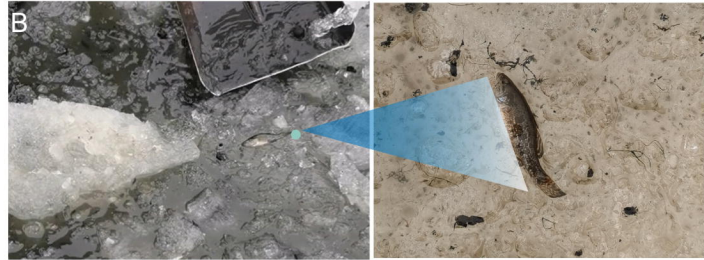
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64 **Fig. 5. Expanded and positively selected genes related to nerve activity.** (A) Expansion of the
 65 adenosine A1-receptor (*adora*) gene family. Seven copies of the Amur sleeper *adora* gene are
 66 arranged in tandem. (B) Schematic representation of *gabrg2* protein, and the sequence alignment of
 67 Amur sleeper *gabrg2* with nine teleosts and four other vertebrates (*B. bufo*: frog, *G. gallus*: chicken,
 68 *M. musculus*: mouse, *H. sapiens*: human). 14 positively selected sites in Amur sleeper are boxed in
 69 red. (C) F-box only protein 2 (*fbxo2*) in the Amur sleeper is tandemly duplicated (left). Alignment
 70 of six copies of *fbxo2* in Amur sleeper with other teleosts show specific deletions of 2-amino acid
 71 (right).





Frozen



Recovery

