1	Differential integration of activation and repression signals in a multi-enhancer system
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17 SUMMARY STATEMENT

18 Non-intuitive shadow enhancer synergies are revealed by measuring transcriptional kinetics at

- 19 the endogenous short gastrulation locus, giving rise to distinct patterning consequences in the
- 20 dorsal ectoderm of *Drosophila* embryos.
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23 ABSTRACT

24 Transcription in the early Drosophila blastoderm is coordinated by the collective action of 25 hundreds of enhancers. Many genes are controlled by so-called "shadow enhancers," which 26 provide resilience to environment or genetic insult, allowing the embryo to robustly generate a 27 precise transcriptional pattern. Emerging evidence suggests that many shadow enhancer pairs 28 do not drive identical expression patterns, however the biological significance of this remains 29 unclear. In this study we characterize the shadow enhancer pair controlling the gene short 30 gastrulation (sog). We removed either the intronic proximal enhancer or the upstream distal 31 enhancer, and monitored sog transcriptional kinetics. Notably, each enhancer differs in sog 32 spatial expression, timing of activation, and RNA Polymerase II loading rates. Additionally, 33 modeling of individual enhancer activities demonstrates that these enhancers integrate 34 activation and repression signals differently. While activation is due to the sum of the two 35 enhancer activities, repression appears to depend on synergistic effects between enhancers. 36 Finally, we examined the downstream signaling consequences resulting from the loss of either 37 enhancer, and found changes in tissue patterning that are well explained by the differences in 38 transcriptional kinetics measured.

39 40

41 INTRODUCTION

42 Drosophila blastoderm development occurs rapidly over the course of 3 hours. During this time, 43 all of the major tissue types are specified through a burst of intricate transcriptional regulation 44 that culminates in the dramatic morphogenic events of gastrulation (reviewed in Stathopoulos 45 and Newcomb, 2020). This period of development is a powerful system to study transcriptional 46 regulation of developmentally relevant genes. In this study we explore the conserved 47 phenomenon of "shadow" enhancers, first described in Drosophila (Hong et al., 2008). 48 Enhancers are cis-regulatory elements that interact with transcription factors, and are capable of 49 producing precise transcriptional outputs by employing a combinatorial logic of bound activators 50 and repressors. Shadow enhancers have overlapping activities, that is, they activate

transcription of the same gene in nearly identical patterns and are thought to provide robustness
to the system (Frankel et al., 2010; Perry et al., 2010; Perry et al., 2011).

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54 Further experiments have shown that shadow enhancers are widespread in developmentally 55 relevant genes, and appear in multiple organisms, including humans (reviewed in Kvon et al., 56 2021). Gene editing and transgenic constructs have demonstrated that despite overlapping 57 activities, RNA production from shadow enhancer pairs can deviate significantly, and multiple 58 modes of enhancer interactions between shadow enhancer pairs have been identified. Shadow 59 enhancers are said to have an additive interaction if the sum of the RNA produced from each 60 individual enhancer matches what is produced from the wildtype enhancer pair. Sub-additive 61 interactions are described as the sum of RNA produced being more than the wildtype RNA, 62 while super-additive interactions describe the opposite. Finally, repressive interactions are the 63 result of RNA from one enhancer exceeding the amount from the wildtype pair, suggesting that 64 one of the enhancers is capable of repressing the output of the other (Kvon et al., 2021). 65 66 One of the first described shadow enhancer pairs was discovered at the short gastrulation (sog)

67 locus (Hong et al., 2008). When cloned into transgenic expression constructs, both enhancers 68 produce the characteristic lateral stripe of sog expression (Hong et al., 2008; Liberman and 69 Stathopoulos, 2009). A recent report suggested that the one of the two enhancers may have 70 repressive activity (Dunipace et al., 2019), and while particularly interesting at a mechanistic 71 level, it is currently difficult to postulate a biological mechanism for how two enhancers both 72 capable of driving expression can inhibit the total output of RNA. Therefore, we were motivated 73 to quantifiably dissect exactly which features of transcription each enhancer controls, as solely 74 measuring the total RNA produced obscures the multiple mechanistic steps involved in 75 transcription. This would give a better understanding of transcriptional control by shadow 76 enhancers more broadly, as the majority of genes active during early development have been 77 shown to possess shadow enhancers.

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To accomplish this, we first created several *Drosophila* lines with endogenous enhancer
deletions to study the developmental consequences of abnormal *sog* expression. We inserted
MS2 tags (see Methods) into the first intron of *sog* in all lines allowing us to compare
transcription directly to wildtype alleles in fixed embryos, and to measure transcription in real
time to examine how each enhancer modifies the parameters that define transcriptional output.
We found that the *sog* enhancers have distinct but overlapping domains of expression, with

85 individual enhancers capable of modifying different kinetic variables of transcription that

86 combine in a manner that leverages the strength of each individual enhancer. This analysis also

87 revealed that repression, but not activation, appears to be synergistic between the enhancers.

88 Finally, we examined how altered transcription from the loss of individual enhancers leads to

- 89 idiosyncratic downstream phenotypic consequences that are well explained by differences in the
- 90 expression profile each enhancer alone generates.
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- 92

93 RESULTS

94 Proximal and Distal enhancers of sog are together necessary to drive early blastoderm

95 expression pattern

96 Early sog expression is controlled by two enhancers, originally known as "primary" or "intronic" 97 and "shadow", but also, and herein, referred to as "proximal" and "distal," respectively (Dunipace 98 et al., 2019; Hong et al., 2008). Fig. 1A shows the location of these two enhancers with respect 99 to the transcription start site (arrow), with the distal enhancer located 20kb upstream (blue 100 rectangle), and the proximal enhancer located approximately 1.5kb downstream within the first 101 intron (green rectangle). Fig. 1B shows the location of key transcription factor binding sites in 102 each enhancer that are largely responsible for the transcriptional domain of sog. Dorsal (DI) 103 serves as the primary transcriptional activator across the dorsal/ventral (D/V) axis while Zelda 104 (Zld) potentiates DI activity down the morphogen gradient, and Snail (Sna) represses activity in 105 the mesoderm, resulting in the broad lateral stripes of the sog pattern (Liberman and 106 Stathopoulos, 2009; Foo et al., 2014).

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108 To better understand the individual roles of these enhancers, we created enhancer deletion 109 lines, in which we simultaneously inserted MS2 live-imaging tags within the first intron (Fig. 1C, 110 turquoise rectangle) via CRISPR-Cas9 homology directed repair editing (see Methods). In order 111 to maintain the spacing between the MS2 loops and the promoter in the proximal enhancer 112 deletion, we adapted a "neutral" DNA sequence of identical size and GC content from Scholes 113 et. al. (Scholes et al., 2019) to replace the proximal enhancer (Fig. 1C, yellow rectangles; see 114 Methods). The wildtype enhancer allele, proximal enhancer deletion allele, and distal enhancer 115 deletion allele will hereafter be referred to as WTsogMS2, $\Delta PsogMS2$, $\Delta DsogMS2$, respectively, 116 and the double enhancer deletion allele as $\Delta P \Delta D sog MS2$.

117

118 To evaluate the fitness of our alleles, we performed lethal counts by counting the ratio of 119 unhatched to hatched larvae from homozygous lines over a period of 36 hours (Fig. 1C, right). 120 Both enhancer deletion lines showed increases in the number of unhatched larvae, with flies 121 carrying $\Delta DsogMS2$ showing larger losses in viability than those with $\Delta PsogMS2$. 122 $\Delta P\Delta Dsog MS2$ failed to produce any homozygous flies, and therefore was assumed to be 123 embryonic lethal. To evaluate the sog expression domains of these embryos, we performed 124 colorimetric in situ hybridization for sog transcripts (see Methods). All alleles produced a sog 125 expression pattern of varying intensity with the exception of $\Delta P \Delta D sog MS2$, which gave no 126 apparent sog expression. We therefore concluded that both enhancers are necessary for sog 127 expression, but a single enhancer is at least sufficient to generate some sog expression. In 128 addition, sog does not appear to contain any other enhancers that drive early expression. 129 130 Enhancer deletions appear to integrate position and output information separately 131 Because enhancers regulate gene expression at the level of transcription, we wanted to assess 132 how each of the enhancers contribute to sog transcriptional output. To do this in a quantitative 133 manner, we first turned to single molecule fluorescence in situ hybridization (smFISH), which is 134 capable of producing fluorescence that scales linearly with the amount of RNA stained. We 135 focused on measuring nascent transcripts, which can be seen in nuclei as large foci. To 136 internally control for the dynamic nature of sog expression, particularly when ventral repression 137 sets in during NC14, we crossed our MS2-tagged flies to wildtype flies to create sog 138 heterozygous embryos. This allows us to directly compare both the level and domain of 139 transcription of wildtype sog to enhancer deletion sog.

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141 As diagrammed in Fig. 2A, the alleles can be discriminated through the use of two probe sets, a 142 sog 5' exonic-directed probe that labels both alleles in heterozygous flies (magenta), and a 143 second probe set targeting the MS2 region and thus only the MS2 allele (cyan). This allows us 144 to quantify transcriptional differences at the level of single nuclei (see Fig. 2B schematic of 145 labeled foci). Fig. 2C shows the sog domain of a heterozygous WTsogMS2/wildtype embryo 146 using this double labeling system in combination with an anti-DI antibody. Note the WTsogMS2 147 foci are white because of the dual labeling of magenta and cyan probes, while the wildtype foci 148 are magenta since they are not labeled with the MS2 probe. For a more detailed explanation of 149 allele discrimination and image analysis, see Fig. S1 and Methods.

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151 The double labeling assay allows us to internally control for fluorescence of nascent transcripts 152 by examining nuclei that have both alleles active and taking a log ratio between the intensity of 153 the two magenta foci to look for upregulation or downregulation of sog, which will give positive 154 or negative values respectively. To ensure that our WTsogMS2 allele operates identically to our 155 unlabeled wildtype allele, we plotted this ratio across the D/V axis and found minimal 156 fluctuations around 0, indicating that there is no change in sog output with the addition of our 157 MS2 tag (Fig. 2D, orange line). In contrast, *ΔPsogMS2* showed significant downregulation in the 158 mesoderm but trended towards wildtype levels in the dorsal portion of the pattern (Fig. 2D. blue 159 line), and $\Delta DsogMS2$ again displayed the opposite trend (Fig. 2D, green line). This suggests 160 that the two enhancers regulate transcriptional output differentially active across the sog 161 domain.

162

163 To further investigate the idea of enhancers having a spatial preference, we assessed the 164 percentage of MS2-expressing nuclei in bins across the sog domain, including in the count not 165 only nuclei with both alleles active, but also those with only the MS2 allele active (monoallelic 166 expression). The *WTsogMS2* allele showed robust activation across the sog domain, with major 167 reductions in activity at the ventral and dorsal extremes of the domain (Fig. 2E, orange curve). 168 In contrast, the $\Delta P sogMS2$ allele showed a significant decrease in activation on the ventral end 169 of the pattern (Fig. 2E, blue curve), and the $\Delta DsogMS2$ allele showed the opposite trend with 170 significant decreases in the dorsal end of the pattern (Fig. 2E, green curve). Additionally, neither 171 of the deletion alleles showed as robust an activation as the WTsogMS2 allele in the lateral 172 portion of the domain.

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174 These results suggest that the transcriptional domain displayed by sog is the result of the two 175 separate enhancers summing their individual domains, in a manner that displays simple 176 additivity at the borders of the sog domain, and sub-additivity towards the center. This sub-177 additivity likely arises from a complete saturation of activation in the center of the pattern, i.e., 178 there are simply no more nuclei to activate, rather than any particular transcriptional mechanism 179 that causes the enhancers to integrate their activation signals in a fundamentally different way 180 across the D/V axis. This simple framework is potentially broadly applicable across multiple 181 shadow enhancer pairs, as it agrees with several previous studies where shadow enhancers 182 appear to aid in creating robust borders to the transcriptional patterns they give rise to (Perry et 183 al., 2011; El-Sherif and Levine, 2016; Dunipace et al., 2019; Scholes et al., 2019).

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185 We then focused on only the monoallelic-expressing nuclei to determine if the occurrence of 186 monoallelic expression was differentially influenced by either enhancer at any point in the sog 187 domain. We found a bimodal distribution of MS2 monoallelic expression for the WTsogMS2 188 allele, with peaks of monoallelic expression on both ends of the sog domain (Fig. 2F, orange 189 curve). This is consistent with earlier reports that have suggested that monoallelic expression 190 occurs more frequently on the border of transcriptional domains (Hoppe et al., 2020). When we 191 examined the enhancer deletion lines, we found a similar trend to the previous experiment, 192 where the dorsal peak of monoallelic expression vanished in our $\Delta P sog MS2$ (Fig. 2F, blue 193 curve), and the ventral peak absent in the $\Delta DsogMS2$ allele (Fig. 2F, green curve). Strikingly, 194 the peak that was not absent in each deletion allele remained at exactly the level we observed 195 in wildtype (Fig. 2F, note region of overlap among the three curves). This suggests that 196 monoallelic expression in shadow enhancer pairs may be largely driven by single enhancers 197 acting alone. Taken together, these observations demonstrate that each of the sog enhancers 198 has a preferred domain along the D/V axis, and when combined together create the wildtype 199 pattern.

200

201 <u>MS2 live imaging shows shadow enhancers separately integrate kinetic properties of</u>
 202 <u>transcription</u>

203 Next, we wanted to explore how control of transcriptional kinetics differed between the two 204 enhancers. Measuring nascent transcription in fixed tissue confounds two critical variables, the 205 timing of activation and the rate of transcript production. In order to examine these two 206 variables, we turned to live imaging to visualize the number of nascent transcripts produced 207 over time utilizing our endogenously inserted MS2 tag. Fig. 3A describes how MS2 live imaging 208 operates with the intronically inserted MS2 loops, with MCP-GFP binding detectable only to 209 transcripts that have not yet been spliced out of the first intron. Imaging was performed on the 210 portion of the embryo that includes the sog expression domain from NC12 to mid-NC14, at 211 which point the defined line of ventral repression in sog becomes apparent (see schematic in 212 Fig. 3B, still images in Fig. 3C, and representative Movies S1-S3).

213

In order to characterize the *sog* transcriptional activity of each line and to validate the fidelity of our live imaging system, we counted the number of foci seen in each nuclear cycle relative to the number of nuclei (Fig. 3C). We classified foci position into four categories across the *sog* domain: ventral, ventral/lateral, dorsal/lateral, and dorsal, with the ventral position encompassing any foci detected in the presumptive mesoderm. Broadly, we observed that 219 WTsogMS2 and ΔD sogMS2 produced similar numbers of MS2 active nuclei, with the exception 220 of the dorsal most position (see Fig. 4D histograms). This is in contrast to the activity of 221 $\Delta PsogMS2$, which nearly universally underproduced relative to WTsogMS2. This is most 222 striking in NC12, where barely any transcriptional activity was observed (Fig. 4D, blue bars). 223 Furthermore, in NC14, $\Delta P sogMS2$ produced very little transcription in the ventral most bin, 224 which suggests that the distal enhancer is more sensitive to Sna-mediated repression. The 225 results of this analysis at NC14 are consistent with our fixed imaging data (Fig. 2D), 226 demonstrating that the MS2 system is faithfully reporting on the transcriptional output of soq. 227

228 To better understand how activity differs between the enhancers, we analyzed single nuclei in 229 the manner outlined in Fig. 4A-B. Single foci were tracked and their voxel intensity values 230 summed for each timepoint to produce a trace of MS2 activity over time. Then, several 231 parameters were extracted from these traces: ton, defined by the time at which a MS2 focus was 232 first observed following the previous nuclear division; loading rate, which describes the rate of 233 signal increase by fitting a line to values where the GFP signal first increases (Fig. 4B, purple 234 line); and t_{off}, the time at which the signal is no longer detectable in that nucleus. All parameters 235 were measured for nuclei across the D/V axis. We focused on NC13 and NC14 for this analysis. 236 as these cycles produce far more activity than NC12 and are therefore more relevant to the total 237 transcriptional output of sog.

238

239 Fig. 4C shows the t_{on} times for each genotype at NC13 and NC14. With the exception of the 240 most ventral bins, WTsogMS2 activated transcription at a faster rate than both deletion 241 genotypes. $\Delta PsogMS2$ showed extremely delayed transcription at all positions and times, in line 242 with the results of inefficient activation discussed above. However, when we examined the 243 loading rates of all lines (shown in Fig. 4D), $\Delta P sog MS2$ outperformed even WTsog MS2 in most 244 cases, and greatly outperformed $\Delta DsogMS2$, which had loading rates that fell severely in the 245 more dorsal bins. All genotypes showed lower loading rates in the ventral bins, likely driven by 246 Sna-mediated repression of sog.

247

With these apparently opposing enhancer activities for activation and loading rates, we wanted to create a metric that would describe the total transcriptional output of each genotype. To do this, we adapted an approach used by Garcia et al. (2013) that described transcriptional output by combining multiple parameters of transcription (diagrammed in Fig. 4E). For each bin, we multiplied the time active (duration of t_{on}; Fig. 4B, white area) by the loading rate for each nucleus. The average value obtained in each bin was then multiplied by the fraction of nuclei with detectable transcription to normalize the differences in activation seen across the genotypes. Total output values are plotted in Fig. 4F, showing that *WTsogMS2* generates the most activity by this metric. Thus, although at first glance it appeared that the distal enhancer when acting alone drives higher transcriptional activity than when the distal and proximal are combined (Fig. 4D; also shown by Dunipace et al. (2019), this is not the case when taking into account all transcription variables to determine total output.

260

Curiously, the only point *WTsogMS2* is not highest in this metric is in the most ventral bin in
NC14, where Δ*DsogMS2* showed higher total output (see Fig. 4F, NC 14, compare orange and
green lines in mesoderm). This result indicates a repressive interaction between the two
enhancers, as an additive interaction is always indicated by the wildtype enhancer pair showing

- the highest output.
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267 Modeling the rate of activation predicts potential cross-talk of repression, but not activation 268 To address this finding, we wondered if it was possible to construct the observed wildtype 269 transcriptional activation and repression of sog over time, using the kinetic parameters gathered 270 from the enhancer deletion lines. By building a model of each individual enhancer's activity over 271 time, we could simulate what would be observed if those enhancers operated in the same 272 nucleus, but did not interact when driving sog transcription. We could then compare the output 273 of this simulation to the transcriptional activity observed in WTsogMS2 embryos, where any 274 significant deviations from the model's prediction and the data could be interpreted as potential 275 synergy between the enhancers.

276

In order to simulate enhancer activity, we first fit gamma distributions to the t_{on} and t_{off} values
obtained for each genotype across the D/V axis at NC14. These distributions were then refined
by systematically altering the shape and rate parameters of each distribution until the
differences between simulated nuclei and the observed activity over time were minimized (see
Methods). During simulation, distributions of t_{on} and t_{off} for each nucleus were sampled
independently, assuming no correlation between a nucleus' activation time and the time of loss
of signal (see Fig. S2 for validation of this assumption).

Fig. 5A shows the output of simulations based on our model expressed as the percentage of active nuclei over time (solid line) plotted over the data gathered from our NC14 live-imaging

experiments (open circles). Note the near perfect overlap for all genotypes, indicating the
distributions of t_{on} and t_{off} values chosen are sufficient to describe the data. Beside each plot is
shown the distributions of t_{on} (pink) and t_{off} (blue) values generated from sampling the fit gamma
distributions. For a breakdown of distributions and fits for all D/V bins, see Fig. S3.

291

292 Having found parameters for all distributions that can accurately describe the data of individual 293 enhancers based on data from our enhancer deletion lines, we created a combined model that 294 simulates the activity of both enhancers in a single nucleus. Nuclei remain "on" if at least one 295 enhancer is simulated to be active based on the values obtained by sampling ton and toff 296 distributions obtained from each deletion line. This underlying assumption represents the null 297 hypothesis that there is no interaction between the enhancers, and the activity seen in 298 WTsogMS2 is based purely on the combined activity of the proximal and distal enhancers. Fig. 299 5B shows conceptually how the model interprets multiple sets of ton and toff values sampled from 300 each pair of distributions for the two enhancer deletion genotypes. In this example, the faster 301 acting proximal enhancer is responsible for the initial activation of sog (green), while the slower 302 acting distal enhancer activates later (blue), with a brief period of overlapping activity of both 303 enhancers (orange) that maintains continuity of transcription.

304

305 Using this combined model, we simulated an additional 10.000 nuclei for each bin across the 306 D/V axis, and compared the results to the observed activation kinetics of WTsogMS2. While in 307 all D/V bins the rate of activation was remarkably well predicted by the model (Fig. 5C, see 308 overlap between initial rise in curves), the rate of deactivation was not, and a dramatic 309 overactivation of the model output compared to the data was seen in the ventral bins (Fig. 5C, 310 note different curve heights). This rigorously demonstrates that the strong repression 311 experienced by the distal enhancer in the mesoderm is somehow influencing the ability of the 312 proximal enhancer to activate transcription in WTsogMS2 embryos. Additionally, it identifies the 313 key parameter from which the repressive interaction arises, clearly implicating Sna-mediated 314 repression, not DI-activation. Understanding this form of crosstalk between enhancer pairs is 315 likely critical for creating a unified model of enhancer biology. For a more detailed look at the 316 implications of this finding and possible underlying mechanisms, see Discussion. 317

318 sog Enhancer deletions affect downstream signaling events in late blastoderm embryos

319 With a better understanding of the kinetic features of *sog* transcription, we wanted to evaluate

320 the downstream developmental effects that occur due to the loss of a single sog enhancer. To

321 observe developmental consequences of the sog enhancer deletions, we measured the 322 developmental morphogen gradient that Sog protein is directly involved in refining: the dorsally 323 located gradient of phospho-Mothers Against Decapentaplegic (pMAD). As diagrammed in Fig. 324 6A, Sog protein produced in ventro-lateral cells diffuses dorsally, where it inhibits activity of the 325 TGF- β homolog Decapentaplegic (Dpp), resulting in a gradient of Dpp (Shimmi et al., 2005; 326 Wang and Ferguson, 2005). Dpp signal transduction leads to the phosphorylation of MAD, and 327 in early NC14 it initially creates a broad region of pMAD. Sog protein binds to Dpp, preventing it 328 from creating high levels of pMAD in the lateral regions of the embryo, and continued Sog 329 diffusion eventually restricts pMAD to a DI stripe 4-5 nuclei wide (Dorfman and Shilo, 2001; 330 Rushlow et al., 2001; Sutherland et al., 2003). By staining embryos with anti-pMAD antibodies, 331 we can visualize any impairments in pMAD-domain formation that may be caused by sog 332 enhancer deletions.

333

334 Fig. 6B shows the results of pMAD antibody staining on homozygous WTsogMS2 mid and late 335 NC14 embryos. Due to the continued production of Sog protein, we see a narrowing of the 336 pMAD domain. To quantify total pMAD levels, we measured the intensity of pMAD staining and 337 plotted it over the dorsal position centered on the peak of maximum pMAD staining (Fig. 6C). 338 Interestingly, the $\Delta DsogMS2$ and $\Delta PsogMS2$ alleles show peak pMAD intensity nearly identical 339 to wildtype, suggesting that only a small input of sog activity is required to increase the level of 340 pMAD seen in the dorsal-most cells. This is in contrast to max pMAD levels seen in 341 $\Delta P\Delta Dsog MS2$, which completely fail to refine into a narrow peak. However, single enhancer 342 deletions produced an overall broader distribution of pMAD staining. *DsogMS2* embryos gave 343 the broadest pMAD domain, which is consistent with the rank order of total output of sog (Fig. 344 4F).

345

346 Because we found large differences in the onset of transcription in our enhancer deletions, we 347 were interested to see if this influenced the timing of pMAD refinement. To test this, we plotted 348 the width of the pMAD domain of both mid and late NC14 embryos for all genotypes (Fig. 6D). 349 As expected, *WTsogMS2* embryos refine their pMAD domain over these two timepoints. 350 $\Delta PsogMS2$ embryos carry out a more extreme refinement, initially showing a far larger pMAD domain. In contrast, *DsogMS2* embryos initially show a modestly expanded pMAD domain 351 352 less so than $\Delta P sog MS2$ embryos, however this undergoes no appreciable change in late NC14. 353 Finally, $\Delta P \Delta D sog MS2$ displays an incredible expansion of the pMAD domain, which in the 354 absence of any sog production, does not undergo any subsequent retraction.

355

These results are well explained by our MS2 data, which showed significant delays in the onset of transcription of *sog* in $\Delta PsogMS2$ embryos. However, the high loading rates achieved by the distal enhancer allow $\Delta PsogMS2$ embryos to eventually produce enough Sog protein to refine the pMAD gradient. The lack of refinement of pMAD in $\Delta DsogMS2$ is likely due to the inability of the primary enhancer alone to continuously produce *sog* transcripts late into NC14.

361

362 To determine if the changes in the pMAD gradient impact the expression domains of pMAD 363 target genes, we performed colorimetric in situ hybridization for two representative pMAD 364 targets; *u-shaped (ush)*, thought to be an "early" pMAD target, and *hindsight (hnt)*, thought to be 365 a "late" pMAD target (Hoppe et al., 2020) (Fig. 6E). Patterns observed in ush stained embryos 366 show $\Delta P sog MS2$ embryos more severely affected, creating broad domains of expression 367 matching those found in the $\Delta P\Delta Dsog MS2$. *Int* staining patterns show the opposite, with 368 $\Delta P sogMS2$ patterns appearing nearly wildtype, and $\Delta D sogMS2$ embryos showing a pattern 369 similar to, but stronger than, $\Delta P\Delta DsogMS2$. These results suggest that the changes observed in 370 pMAD stainings functionally impacts the subsequent patterning steps, and that changes in the 371 onset and rate of transcription of sog have specific and defined consequences in the selection 372 of dorsal fates.

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375 DISCUSSION

376 In this study we sought to understand how two shadow enhancers collectively contribute to the 377 output of a gene. We utilized fixed and live imaging techniques to characterize the position, 378 timing, and rate of transcription of each enhancer separately. Far from being redundant, we 379 found these enhancers contributed to different aspects of transcription, and loss of enhancers 380 produced different downstream consequences for development in terms of altered tissue 381 patterning and embryo survivability. Additionally, by separating out different key features of 382 transcription, we have shown that enhancer additivity functions differently at particular steps in 383 transcriptional activation and repression.

384

385 <u>Shadow enhancers show positional preferences along the D/V axis</u>

386 Our fixed imaging experiments demonstrated that the proximal and distal enhancers contribute

to the ventral and dorsal locations of the sog transcriptional pattern, respectively, with the

388 highest overlapping activity located in the lateral region of the pattern (Fig. 2). Higher rates of

389 monoallelic expression were seen on both edges of the sog pattern in WTsogMS2 embryos. 390 which are presumably the result of reduction in the frequency of activation the farther away a 391 given nucleus is from the target region of sog expression encoded by the enhancers. This is 392 supported by the observation that the peak of monoallelic expression found at either end of the 393 pattern disappears when the enhancer that has a preference for that position is lost. However, it 394 is unclear whether monoallelic expression represents a complete loss of activity from a single 395 allele, or if a small amount of activity remains, but has dipped below our detection threshold for 396 nascent transcription.

397

398 Shadow enhancers interact to mediate repression

399 Modeling of enhancer activity found that the collective action of the two enhancers complement 400 each other in mostly an additive fashion, that is, the action of the two enhancers together can be 401 adequately explained by assuming that there is no mechanistic interaction between them. 402 However, this is not the case in the ventral portion of the D/V axis where Sna acts to repress 403 transcription of sog. Instead, there appears to be enhanced repression by the proximal 404 enhancer in the presence of the distal enhancer, as seen in Fig. 5C where the prediction of our 405 model deviates from the observed WTsogMS2 data, indicating interaction between the two 406 enhancers.

407

408 The cause of this effect is unknown, but a plausible mechanism can be postulated based on the 409 current understanding of Sna-mediated repression. Sna works to repress transcription in the 410 early embryo by the recruitment of the co-repressor dCtBP, which is thought to operate at small 411 genomic distances less than 200bp (Keller et al., 2000). In the classic example of the short 412 range repressive effect of dCtBP, Krüppel is responsible for repressing the activity of the eve 413 stripe 2 enhancer to create the sharp posterior border of stripe 2. Located just 1.7kb away is the 414 eve stripe 3+7 enhancer, which does not experience any repressive effects despite eve stripe 3 415 being found in the domain where Krüppel is most active in the blastoderm embryo (Nibu et al., 416 1998). Importantly, the portion of the enhancer that drives stripe 3 is locally depleted for Krüppel 417 binding sites (Vincent et al., 2018).

418

However, this lack of a shared repressor responsible for recruiting dCtBP is not the case for the
enhancers of *sog*, where both enhancers contain binding sites for Sna (see Fig. 1B). Efficient
recruitment of the co-repressor by high occupancy of Sna at the distal enhancer may amplify the
action of Sna at the proximal enhancer by increasing the local concentration of dCtBP in the

423 microenvironment of the sog locus, thereby allowing Sna at the proximal enhancer to recruit 424 dCtBP more efficiently. A modeling based approach that attempted to derive how enhancer 425 sequence changes transcriptional output based on the binding characteristics of recruited 426 transcription factors in *Drosophila* embryos found that Sna repression required uniquely high 427 levels of homotypic cooperativity in the context of a single enhancer compared to all other 428 repressors examined by the study (Fakhouri et al., 2010). It is unknown whether this 429 cooperativity could scale to larger genomic distances, but repressive factors, including the 430 *Ciona* Sna homologue, have been shown to form condensates that may extend the range of 431 repressive activity (Treen et al., 2021).

432

433 Shadow enhancers follow a "first come first serve" model for activation

434 In the case of activation, our data does not support any mechanism of super-additivity.

435 Activation rates of *sog* are well predicted by a model that assumes enhancers act

436 independently. Decreases in measured ton values seen in *WTsogMS2* embryos are likely

437 accounted for by the wide distribution of t_{on} times measured in $\Delta P sogMS2$ embryos (Fig. 4C).

438 Activation of *sog* by the distal enhancer occasionally precedes the proximal enhancer, thus

439 modestly lowering the average t_{on} values in *WTsogMS2* embryos. However, in most cases, the

proximal enhancer will activate first, and the later t_{on} value contributed by the distal enhancer will

be "masked" and will therefore not contribute to raising the average t_{on} value. Because of this,

442 we believe our data supports a "first come, first serve" model of enhancer activation.

443

444 Although we do see evidence that RNA Pol II loading rates are diminished in the WTsogMS2 445 embryos when compared to $\Delta P sogMS2$ embryos (Fig. 4D), potentially suggestive of so-called 446 "enhancer interference" (Fukaya, 2021), we believe that this result is well explained by the initial 447 activation of transcription being performed by the proximal enhancer in the majority of nuclei, 448 which appears to drive much lower rates of transcription. This confounds our loading rate 449 measurement, as the rise in signal intensity in WTsogMS2 embryos is likely a composite of the 450 two enhancers acting sequentially. Techniques that attempt to estimate the promoter state at 451 any given time using an MS2 trace may be able to dissect out the individual contributions each 452 enhancer makes, however we believe that this analysis is not required to explain our data. 453 454 Altered downstream signaling is well predicted by differential transcription activity of shadow 455 enhancer mutants

456 Our study has uncovered the primary biologically relevant transcriptional parameters 457 responsible for the phenotypic differences in the downstream signaling pathway of sog. The 458 slower activating distal enhancer drives insufficient levels of sog to achieve the early refinement 459 of the pMAD gradient. However, the high loading rates achieved by the distal enhancer enable 460 enough build-up of Sog in the later stages of NC14 to eventually reach near wildtype restriction 461 of the pMAD domain. In contrast, the faster acting proximal enhancer is capable of achieving an 462 early contraction of the pMAD domain but fails to drive sustained expression of sog at high 463 enough levels to continue this contraction. The expansion of the expression domain of the 464 "early" pMAD target gene ush, but not the "late" pMAD target gene hnt seen in $\Delta P sogMS2$ 465 embryos, while the opposite is seen in $\Delta DsogMS2$ embryos, give good indication of the validity 466 of this model.

467

468 Evolutionary considerations for shadow enhancer pairs

469 With this in mind the question naturally arises: why have two enhancers at all, if it is possible to 470 achieve this result with only one? Based on our previous work on the distal enhancer in reporter 471 constructs, we know that placement of the distal enhancer directly upstream of a promoter is 472 capable of driving fast transcriptional activation at high levels (Yamada et al., 2019). Beyond 473 increasing the robustness of transcription as proposed by previous studies of shadow 474 enhancers (Frankel et al., 2010; Perry et al., 2010; Tsai et al., 2019), we believe our data 475 elaborates on the original hypothesis that shadow enhancers act as a source for evolutionary 476 novelty (Hong et al., 2008). In its original conception, the de novo creation of a shadow 477 enhancer allows one of these enhancers to drift, potentially adding new functionality without 478 disturbing the core role of the original transcriptional program.

479

480 An alternative view to this interpretation is that selection may favor the creation of enhancers 481 that allow for the tuning of individual transcriptional parameters. In our study, loss of a single 482 enhancer produced defined and unique differences in phenotypic outcomes based on the 483 parameter that enhancer was principally responsible for controlling, either the activation speed 484 in the case of the proximal enhancer, or loading rate in the case of the distal enhancer. By 485 keeping these activities separate, mutations in either enhancer will create smaller, but more 486 precise changes in the downstream patterning events, reducing potential pleiotropy that would 487 be present if sog was driven by a single enhancer. Overall, this partitioning of enhancer activity 488 would allow for a more defined exploration of the landscape of potential phenotypes during 489 periods of increased selective pressure.

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- 491

492 MATERIALS AND METHODS

493

494 Drosophila lines

495 All flies were grown on standard fly (Drosophila melanogaster) cornmeal-molasses-yeast media. 496 FLy stocks used in this study were: y[1]w[1118] (used as wildtype flies) and y[1] sog[S6]/FM7c, 497 sn[+] (used as a sog null allele; Bloomington Stock Number 2497). zld embryos were made 498 using UAS-zld shmir lines and the Gal4 driver, MTD as previously described (Sun et al., 2015) 499 Flies of the genotype y[1] w*; P{His2Av-mRFP1}II.2; P{nos-MCP.EGFP}2 (Bloomington Stock 500 Number 60340) carried two transgenes, one on chromosome 3, P{nos-MCP.EGFP}2, which 501 expresses the MS2 coat protein (MCP) fused to EGFP under the control of the nanos promoter 502 active in oogenesis, and the other on chromosome 2, P{His2Av-mRFP1}II.2, which expresses 503 RFP-tagged His2Av in all cells under the control of *His2Av*. Embryos from these and CRISPR 504 engineered flies (see below) were collected on yeasted grape juice agar plates, aged, and either 505 fixed or live imaged (see below).

506

507 Generation of engineered sog alleles

All engineered fly lines were created through CRISPR-Cas9 mediated homology directed repair. 508 509 sog enhancer sequences that were deleted are listed below. Transgenic Cas9 flies were co-510 injected with pCDF5 plasmids encoding guides targeting relevant genomic targets and pGEM-T 511 vectors containing homology repair templates. All injections were performed by BestGene. 512 pGEMT donor DNA vectors were generated from fragments obtained through genomic PCR for 513 homology arms, and sequences subcloned or PCR amplified from existing plasmids. All 514 24xMS2 loops containing plasmids utilized the MS2 sequence found in the MS2v5(-TAG) vector 515 (Yamada et al., 2019). The neutral spacer DNA in the primary deletion plasmid was generated 516 using the spacer sequence found in Scholes et al. 2019 (Scholes et al., 2019) and was 517 generated as a IDT (Integrated DNA Technologies) gene block. The 3x3P-RFP sequence 518 (Berghammer et al., 1999; Sheng et al., 1997) for the distal deletion (ΔD) plasmid was a 519 generous gift from the Desplan Lab. Plasmids were assembled using a combination of 520 restriction enzyme digest and ligation, and Gibson assembly cloning. Primers used to create 521 donor vectors for each fly line are listed below, along with the guide sequences associated with 522 each injection. Plasmid sequences and maps can be found at https://rushlowlab.bio.nyu.edu/ 523

- 524 sog enhancer sequences:
- 525 Proximal Enhancer (ChrX: 15,624,486..15,625,257):

- 531 ggaatatcccatgtcccgaaaaccctggcgggattagaggtgcgagcaggtcccgcctcggcaccggctggaattctacctgcgatt
- 532 acggggatttccccgcaccatacagccatatagccatatagccatatagacgacacggcgtatgcgcaatggcattggcaacttatgc
- $533 \qquad a atcgcagcggaggtagaa atgtcga aagcaa caggcaa cagttaa tacccctttaa ctaa agattttga ctagttcga acttta agg$
- 535
- 536 Distal Enhancer (ChrX: 15,646,594..15,647,337):
- $538 \qquad gccgactgacctgtgtgtgtgtgtgtgtgtgtgtgtgtggaagctcaggatggacagattcccgggtttcagcggaacaggtaggctggtcgat$
- $539 \qquad cggaaattcccaccatacacatgtggctataatgccaacggcatcgaggtgcgaaaacagatgcagcctcataaaaggggcgcag$
- $540 \qquad at a aggtcgcggttgcgtgggaaa a gcccatccgaccaggaccaggacgaagcagtgcggttggcgcatcattgccgccatatctg$
- $541 \quad ctattcctacctgcgtggccatggcgatatccttgtgcaaggataaggagcggggatcataaaacgctgtcgcttttgtttatgctgcttattt$
- $542 \qquad a a attggcttcttggcgggcgttgcaacctggtgctagtcccaatcccaatcccaatcccaatccgtatacccgtatatccaatgcattcta$
- 543 cctgtcctgggaatttccgatttggccgcacccatatggccacggatgcgtgagagtgctctccgtgcgattctagatcatcgtgggtattc
- 544 gcagacaatcgggttattgtgccgcattcgatgttggctctttggttttcggaaactctgaccaggttttcggttttcggtttttggtttttggttttt
- 545 ccggccgcatcgtg
- 546
- 547 Primer and guide sequences:
- 548
- 549 WTsogMS2
- 550 Plasmid Primers:
- 551 5' Homology Arm Forward
- 552 5'-gcctggctgtgtgagtgttgtg
- 553 5' Homology Arm Reverse
- 554 5'-cgagatctctgtttatacaaagtcttagc
- 555 3' Homology Arm Forward
- 556 5'-tgccgaatcgggtaggacgat
- 557 3' Homology Arm Reverse

- 558 5'-accggaacgaatatcgaatatgcaattggc 559 Guide Sequences: 560 5'-taaacagagatctcgggaag* 561 5'-aaacagagatctcgggaagt* 562 563 $\Delta P sogMS2$ 564 **Plasmid Primers:** 565 5' Homology Arm Forward 566 5'-gcctggctgtgtgagtgttgtg 567 5' Homology Arm Reverse 5'-cgagatctctgtttatacaaagtcttagc 568 569 3' Homology Arm Forward 570 5'-tgccgaatcgggtaggacgat 571 3' Homology Arm Reverse 572 5'-accggaacgaatatcgaatatgcaattggc 573 Guide Sequences: 574 5'-taaacagagatctcgggaag* 575 5'-aaacagagatctcgggaagt* 576 5'-gttgggattctgtttatcaa 577 5'-tgggcaaatagaaacggcgc 578 579 $\Delta D sog MS2$ 580 **Plasmid Primers:** 581 5' Homology Arm Forward 582 5'-gtttttatgtccgtctggcgc 583 5' Homology Arm Reverse 584 5'-gatggctaaaatgaataaaatgagttgcta 585 3' Homology Arm Forward 586 5'-gtcatctggtggcacaggac 587 3' Homology Arm Reverse 588 5'-gaaaggaattccacgtattcgctg 589 **Guide Sequences:** 590 5'-taaacagagatctcgggaag*
- 591 5'-aaacagagatctcgggaagt*

592 5'-gcagtccttcgattaaatga 593 5'-ccaccagatgacgcacgatg 594 *Guides were used in every experiment to insert the 24x MS2 loops 595 596 Proximal+distal deletion ($\Delta P \Delta D$) flies were generated by injecting the ΔD guide plasmid and 597 donor plasmid on the background of the proximal deletion (ΔP) fly line homozygous for 598 transgenic Cas9. Flies expected to contain 3x3P-RFP cassettes were screened for red 599 fluorescence, all other lines were screened via PCR using primers that spanned the MS2 600 insertion: 601 MS2 Screen Fwd 602 5'-tgacgtttgattagccaccagttggg 603 MS2 Screen Rev 604 5'-gccaacctcaacttccaatctccg 605 606 Colorimetric *in situ* hybridization 607 Embryos were collected and aged to be 1-3 hours old at room temperature and dechorionated 608 in Clorox for two minutes. They were then fixed in 4% formaldehyde (1X PBS) and an equal 609 volume of heptane for 25 minutes while shaking vigorously. Devitellinization was performed by 610 pipetting off the bottom fixative phase and adding 4 mL of methanol and shaking vigorously for 611 30s. Embryos were rinsed in methanol and transferred to ethanol for storage at -20°C. 612 Hybridization of fixed embryos used a standard in situ hybridization (ISH) protocol and DIG-613 labeled sog cDNA or lacZ RNA antisense probes; hybridized at 55°C overnight). Visualization of 614 the labeled probe was done using anti-DIG-AP (alkaline phosphatase) antibodies (Roche 615 Biochemicals) followed by histochemical enzymatic staining reagents (Roche Biochemicals). 616 Embryos were mounted on slides with Aqua-Polymount (Polysciences) using 1.5 coverslips 617 (Fisher Scientific), and imaged with Zeiss Axiophot DIC optics and a Zeiss Cam and ZEN2012 618 software. 619 Single-Molecule Fluorescent in situ Hybridization (smFISH) 620 621 Probe sets for smFISH were generated using the online Stellaris (LGC Biosearch Technologies) 622 probe designer. sog probes were ordered to be conjugated to Atto-670, and MS2 probes were 623 ordered to be conjugated to Atto-570. Embryos were fixed in the same manner outlined above, 624 and stained following the *Drosophila* whole embryo staining protocol found on the Stellaris 625 website (https://www.biosearchtech.com/support/resources/stellaris-protocols). After in situ

staining, embryos were washed 3x with PBS-Tris, and stained overnight at 4 degrees C with
anti-Dorsal antibodies (see below) followed by staining with fluorescently labeled secondary
antibodies for 1.5 hr at room temperature (see below).

629

630 Antibody staining

631 Antibody staining was performed at 4 degrees C for 16 hours followed by three 20 minute 632 washes in PBS + 0.1% Tris pH 7.0. Anti-DI antibody (DI_7A4) was obtained from the 633 Developmental Studies Hybridoma Bank and used at 1:50 dilution. Anti-pMAD antibodies were 634 obtained from Cell Signaling. Embryos were then stained with secondary antibodies: Alexa 635 Fluor 488 anti-mouse or Alexa Fluor 488 anti-rabbit (ThermoFisher Scientific) for 1.5 hours at 636 room temperature and washed in the same manner. After DAPI (D9542, Sigma-Aldrich) staining 637 for 20 minutes, embryos were mounted on microscope slides using ProLong™ Diamond 638 Antifade Mountant (ThermoFisher Scientific) and Number 1.5 glass coverslips (Fisher 639 Scientific). Embryos were imaged with Zeiss 880 with Airyscan confocal microscope.

640

641 Fixed tissue confocal imaging

642 All confocal images were captured on an LSM Zeiss 880 microscope. Images for the pMAD 643 experiments were captured using a 20X objective with 1.1 Digital Zoom and a 2000X800 644 scanning area. Images all contained approximately 20 Z-planes. Laser power was set for lasers 645 405nm at 0.5% and 488nm at 3%, with gain set at 750. Images for all smFISH experiments 646 were captured using the Airyscan module, and processed using the suggested Airyscan 647 Processing strength. These images were captured using a 40X objective with 1.0 Digital Zoom 648 and a 2000X1500 scanning area. Images all contained approximately 50 Z-planes. Laser power 649 was set at 0.5% (405nm), 5% (488nm), 7% (561nm) and 20% (633nm), with gain set at 750. 650

651 Live confocal imaging

652 Virgin females maternally expressing MCP-GFP and H2Av-RFP were crossed with males of the 653 MS2 reporter lines. 0-1 hour embryos were collected, dechorionated, and transferred onto a 654 breathable membrane (Lumox Film, Sarstedt AG & Co.) in the middle of a plastic microscope 655 slide (3D printed on Ender 3 Pro, Creality). Live imaging was performed using a LSM Zeiss 880 656 63X objective lens with the following settings: optical sections: 1024x1024 pixels, 20z stacks 657 0.7µm apart, 12bit; zoom: 1.0; time resolution: 25 seconds per frame. Laser power was set at 658 0.6% (488nm), and 0.4% (561nm) with gain set at 800. Embryos were imaged for approximately 659 one hour, typically from NC12 to late NC14.

660

661 Image analysis, quantification and statistical analysis

662 Processing for images followed a pipeline started with feature extraction using standard tools in 663 Imaris, then data exported to .csv files for organization, further processing, and plotting. For 664 pMAD experiments, nuclei positions were obtained using the "spots" function with an estimated 665 diameter of 4um, and a Z-axis diameter of 7um, with background subtraction enabled. Spot 666 positions were restricted to an area of interest approximately 75% to 25% of egg length. 667 Fluorescence intensity from the pMAD channel at all spot positions was extracted and 668 processed using the "pMAD quant.R" script. This script aligned, plotted, and extracted gradient 669 widths from all pMAD gradients measured.

670

671 For smFISH experiments, nuclei positions were instead obtained using the "volume", with a 672 surface detail parameter set at 0.2um, and background subtraction enabled. Foci of sog 673 smFISH signal were obtained using the "spots" function, with an estimated diameter of 0.5um 674 and a Z-axis diameter of 1. Alleles were discriminated by analysis of MS2 signal at spot 675 locations, and thresholds were set manually by examining the separation between the two 676 populations. Foci were assigned to single nuclei by finding the nearest nucleus in 3D space to 677 each focus. Nuclei with more than two assigned foci were excluded from the analysis, and 678 represented less than 1% of the data.

679

680 Live imaging analysis was performed on Imaris by tracking nuclei using the "spots" function with 681 an estimated diameter of 4um and a Z-axis diameter of 6um. Tracking was performed using the 682 "retrograde motion," with a max allowable gap of 1, and a max allowable displacement of 10um. 683 Foci were also tracked using the "spots" function with an estimated diameter of 1.3um and a Z-684 axis diameter of 2um. Tracking was performed using the "retrograde motion," with a max 685 allowable gap of 0, and a max allowable displacement of 2.5um. Spots were filtered by inclusion 686 of foci with "Quality" scores greater than 33.0, median RFP fluorescence greater than 200 AU, 687 mean GFP fluorescence greater than 250 AU, and a distance from the xy-border greater than 688 1um. All tracking data, including position and mean GFP fluorescence was exported to .csv files 689 for further analysis in R.

690

691 Foci were assigned to nuclei by finding minimum distance between foci and nuclei.

692 Subsequently, any nuclei that came within 3um of the xy-border were filtered out to reduce edge

693 effects. Nuclear cycle times and D/V axis relative positions to the mesoderm were annotated

694 manually and stored in a separate .csv file. Nuclei were assigned into positional bins by taking 695 the difference between the annotated mesoderm y-coordinate and the average position of the 696 nucleus for each nuclear cycle. ton values for NC13 and NC14 were obtained by subtracting the 697 time GFP foci were first detected from the annotated cycle time of the respective nuclear cycle. 698 Loading rates were estimated by fitting a linear model to the first five timepoints of the GFP foci 699 intensity. Negative values were discarded, and represented less than 5% of the data. Total 700 output values were calculated by multiplying each nucleus' loading rate by the total time that foci 701 was detected, with a max allowable time of 25 minutes in NC14 to account for differences in 702 imaging time between each movie. These values were then averaged for each positional bin, 703 and multiplied by the percentage of active nuclei in the corresponding bin.

704

705 Plotting

All plots were generated using base R plotting functions. All error bars were computed using thestandard error of the mean (s.e.m.).

708

709 Modeling

710 Models of activation were constructed by fitting gamma distributions to measured ton and toff 711 values using the function fitdist() included in the "fitdistrplus" library. Fits were achieved via 712 maximum likelihood estimation. The shape and rate of each distribution was extracted, and 713 used to construct new distributions of values which were sampled independently to generate 714 simulated ton and toff values. Distribution parameters were subsequently refined by comparing 715 simulated nuclei to measured activation traces for each bin. New sets of potential shapes and 716 rates for each distribution were generated by allowing each parameter to vary by up to 20%, 717 and selecting new shape and rate values based on which parameters minimized the residuals 718 between the prediction generated by the model and data. 719

720 During simulation, each nucleus was assigned a ton value and toff value generated from the 721 corresponding distribution. At each timepoint, the number of nuclei that had a ton value less than 722 the current time, and a t_{off} value greater than the current time were considered "on". The number 723 of nuclei "on" was divided by the total number of nuclei in the simulation, generating the value of 724 the proportion of nuclei active for that timepoint. If the assigned toff value was less than the 725 assigned ton value, the nucleus was considered "off" at every timepoint. This allowed us to 726 account for nuclei which never activate transcription without skewing the distribution of ton, which 727 was critical to accurately simulate the ventral bins.

728

- For the combined model, nuclei were assigned two ton and toff values each sampled from the two
- 730 different enhancer deletion distributions. Nuclei were evaluated in the same manner as
- described above, but only required one enhancer's values to meet the criteria of "on" to be
- considered as such. All simulations were carried out using a set of 10,000 nuclei, which
- represented a compromise between accuracy of prediction and computing power.
- 734
- 735

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- 742

743 Competing Interests

- The authors have no competing interests.
- 745

746 Author Contributions

- 747 All authors contributed to the experiments. PHW and CAR designed the study. PHW carried out
- the image analysis and modeling, and prepared manuscript figures and first draft. PHW and
- 749 CAR revised the manuscript.
- 750

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- 754

755 Data and Code Availability

- 756 Imaris generated .csv files and R scripts can be found at:
- 757 https://rushlowlab.bio.nyu.edu/research/
- For any detailed procedures for required file headers or help implementing these scripts please
- 759 contact the Rushlow lab directly.
- 760
- 761

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- 840
- 841

842 FIGURE LEGENDS

843

Fig. 1. Early activation of sog is driven by two shadow enhancers.

845 (A) Schematic representation of the sog locus. Previous studies have identified two enhancers 846 that drive sog transcription (Dunipace et al., 2019). The proximal (green) enhancer located in 847 the first intron of sog ~2kb downstream of the promoter, and the distal enhancer (blue) located 848 20kb upstream of the promoter. (B) Transcription factor binding sites relevant to the expression 849 of sog. Both enhancers contain binding sites for Zld (gold), DI (dark green), and Sna (plum). All 850 sites are present in roughly equal number, but vary in their position within each enhancer. (C) 851 All enhancer lines created for this study. Each line contains a 1.2kb insertion of 24x MS2 loops 852 located immediately downstream of the proximal enhancer. $\Delta PsogMS2$ and $\Delta P\Delta DsogMS2$ 853 replace the proximal enhancer with spacer DNA computationally depleted for early blastoderm 854 transcription factor binding sites (Scholes et al., 2019) to maintain the spacing between the 855 promoter and the MS2 loops. $\Delta DsogMS2$ and $\Delta P\Delta DsogMS2$ replace the distal enhancer with a 856 3xP3 reporter construct for the purpose of screening mutant alleles. For each line, 857 representative colorimetric *in situ* stainings for sog transcripts are shown in ventral lateral views. 858 Lethal counts performed on all lines are listed besides each image. $\Delta P \Delta D sog MS2$ produced no 859 viable homozygous females or hemizygous males, and are therefore assumed to have a fully 860 penetrant lethal phenotype.

861

862 Fig. 2. Internally controlled smFISH assay identifies spatial preference of each enhancer. 863 (A) Crossing scheme used for all MS2 labeled lines. The location of exonic smFISH probe set 864 (magenta) targets the first exon of sog, labeling both alleles, while the intronic smFISH probe 865 set (cyan) targets only the MS2 sequence found in our engineered lines. (B) Schematic view of 866 a single nucleus diagramming the expected allele labeling using the two probe sets. (C) 867 Maximum intensity projection of z-stack images showing the region of the DI gradient imaged. 868 DAPI (white) labels nuclei, anti-DI antibody (green) shows the DI morphogenic gradient, MS2 869 probe (cyan) shows our MS2 tagged allele, and sog probe (magenta) shows all active sog 870 transcription. Cut-out shows a single nucleus, matching the expectation of labeling in (B), (D) 871 Log fold change calculated in each nucleus by taking the log ratio of the wildtype allele sog

872 nascent transcript staining intensity over the MS2 allele sog nascent transcript staining intensity.

- 873 Measurements were performed across the DI gradient for WTsogMS2 (orange), $\Delta PsogMS2$
- 874 (blue), and ΔDsogMS2 (green). Shaded region with dashed line shows the location of the
- 875 presumptive mesoderm. Error bars: s.e.m. (E) Quantification of the percentage of all active MS2
- alleles regardless of the state of the wildtype allele. (F) Quantification of the percentage of all
- active MS2 alleles in nuclei with no detectable wildtype allele transcription.
- 878

Fig. 3. MS2 live imaging reveals differences in activation from NC12 to NC14.

880 (A) Schematic of intronic MS2 loops reporting on live transcription. MS2 loops (blue hairpins) 881 are transcribed and serve as binding sites for MCP-GFP (pink dots). Loops are spliced co-882 transcriptionally and are degraded by RNA-exonucleases (black circular sector). (B) Region of 883 the embryo imaged during live imaging. Imaging volume of 135µm by 135µm by 15µm was 884 positioned ventral/laterally to capture ventral repression as seen in late NC14 in order to orient 885 nuclei across the D/V axis. Embryos were imaged for approximately 1 hour across NC12 to 886 NC14. (C) Stills taken from live imaging movie of WTsogMS2 Active transcription was 887 determined by the appearance of MCP-GFP foci (pink) in nuclei marked by H2aV-RFP (white). 888 Scale bar: 10µm. (D) Quantification of number of nuclei with active transcription for WTsogMS2 889 (orange), $\Delta PsogMS2$ (blue), and $\Delta DsogMS2$ (green). Percentage of active nuclei were 890 measured in the ventral region (mesoderm), ventral/lateral region, dorsal/lateral region, and 891 dorsal region of the sog transcriptional domain. Error bars: s.e.m.

892

893 Fig. 4. Internal kinetic parameters are modified by individual enhancers.

894 (A) Maximum intensity projections of a single nucleus tracked over time. t_{on} is determined by the 895 first appearance of a MCP-GFP focus (pinka) inside a H2aV-RFP labeled nucleus (white). The 896 first 5 timepoints of a track focus (purple line) are used to determine the relative RNA Pol II 897 loading rate. t_{off} represents the timepoint at which a focus can no longer be detected. (B) Signal 898 intensity over time of the MCP-GFP focus tracked in (A). Loading rate is found by fitting a linear 899 model (purple line) to the first five timepoints after t_{on} . (C) t_{on} times for across the D/V axis for 900 WTsogMS2 (orange), ΔP sogMS2 (blue), and ΔD sogMS2 (green) at NC13 (left) and NC14 901 (right). Shaded region of the graph represents the mesoderm. Error bars: s.e.m. for all nuclei. 902 (D) Relative loading rates measured across the D/V axis for all genotypes at NC13 (left) and 903 NC14 (right). (E) Schematic diagram demonstrating how total transcriptional output is 904 calculated. (F) Total output measured across the D/V axis for all genotypes at NC13 (left) and 905 NC14 (right).

906

Fig. 5. Modeling the activities of individual enhancers reveals potential synergy of Sna mediated repression.

- 909 (A) Activation over time of all genotypes in the lateral region of the embryo at NC14. Model fits
- 910 (solid lines) based on simulations of 10,000 nuclei generated by sampling ton and toff
- 911 distributions superimposed over data (open circles). Histograms of t_{on} (red) and t_{off} (blue) values
- 912 used to perform simulations shown to the left. (B) Schematic representation of modeling
- 913 WTsogMS2 activation over time using t_{on} and t_{off} values from enhancer deletion distributions.
- 914 Active transcription (purple foci) is maintained by the sequential and overlapping activity of
- 915 individual enhancers. Enhancer activity (proximal in green, distal in blue) is defined by ton and toff
- 916 values derived from each enhancer's fit distributions. (C) Output of combined model of non-
- 917 interacting enhancers (black line) compared to activation data from *WTsogMS2* (orange line).
- 918 Each graph contains data from different spatial bins across the D/V axis.
- 919

920 Fig. 6. sog enhancer deletions show differential downstream effects on the pMAD

921 gradient and pMAD target gene expression.

922 (A) Schematic of the downstream signaling controlled by sog. Sog protein diffuses dorsally from 923 the ventral-lateral sog domain (dark purple) where it encounters and sequesters ventrally 924 diffusing Dpp emanating from the pMAD domain (green). Sog also localizes Dpp to the dorsal 925 midline (Shimmi et al., 2005; Wang and Ferguson, 2005). Genetic interactions of the 926 components of this pathway are shown to the right. pMAD acts as a transcription factor on 927 target genes hnt and ush. (B) Dorsal views of mid and late NC14 homozygous WTsogMS2 928 embryos stained with anti-1/5 pMAD antibody (green) and DAPI (white). Late embryos are 929 identified by irregular nuclei shape and the appearance of the ventral furrow. Scale bars: 20µm. 930 (C) pMAD staining intensity across the dorsal midline of the embryo for WTsogMS2 (orange), 931 $\Delta PsogMS2$ (blue), $\Delta DsogMS2$ (green) and $\Delta P\Delta DsogMS2$ (purple). Each embryo is centered 932 based on the point of highest pMAD intensity. Error bars: s.e.m. (D) Quantification of pMAD 933 domain width for all genotypes in mid and late NC14 embryos. Domain width is determined by 934 measuring the point at which pMAD staining intensity is above 50% of max intensity. Error bars: 935 s.e.m. (E) Evaluation of pMAD target genes on all genetic backgrounds. Conventional 936 colorimetric in situ hybridizations were performed on NC14 embryos. ush and hnt were chosen 937 as representative early and late genes, respectively (Hoppe et al., 2020). 938

Figure 1

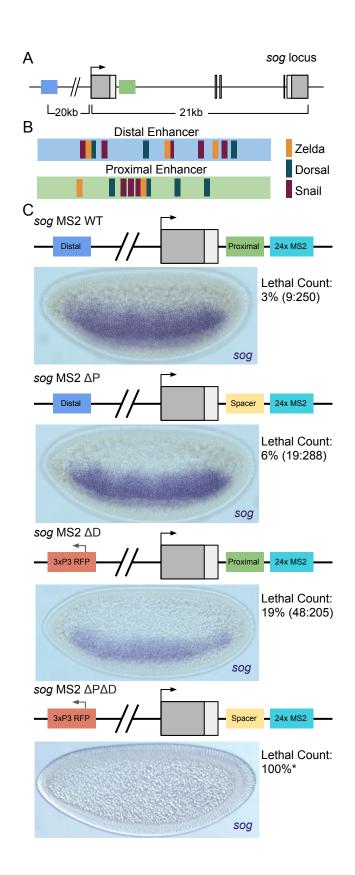


Figure 2

