1	The Influences of Stomatal Size and Density on Rice			
2	Drought, Salinity and VPD Resilience			
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Total word count	6488	No. of Figures	6
Summary:	200	No. of Tables	0
Introduction:	1053	No of Supporting information files	4 (Fig. S1-S3, Table S1)
Materials and Methods:	929		
Results:	2629		
Discussion:	1808		
Acknowledgements:	69		

26 <u>Summary</u>

A warming climate coupled with reductions in water availability and rising salinity are 27 • increasingly affecting rice yields (Orvza sativa L.). Elevated temperatures are causing 28 vapour pressure deficit (VPD) rises, leading to stomata closure, further reducing plant 29 30 productivity and cooling. It is unclear which conformation of stomatal size (SS) and stomatal density (SD) will best suit these future environmental extremes. 31 To understand the influence of stomatal characteristics on rice abiotic stress tolerance, 32 • we screened the stomatal characteristics of 72 traditionally-bred varieties. We found 33 significant variation in SS, SD and maximal stomatal conductance (g_{smax}) but did not 34 identify any varieties with SD and g_{smax} as low as the genetically manipulated stomatal 35 development mutant OsEPFloe. 36 Traditionally-bred varieties with high SD and small SS (resulting in high g_{smax}) typically 37 • had lower biomasses, and these plants were more resilient to drought than low SD and 38 large SS plants, which were physically larger. None of the varieties tested were as 39 resilient to drought or salinity as low SD OsEPFloe mutants. High SD and small SS 40 rice displayed faster stomatal closure during rising VPD, but photosynthesis and plant 41 42 cooling were reduced. 43 • Compromises will be required when choosing rice SS and SD to tackle multiple future environmental stresses. 44 45 Keywords: stomata, rice, drought, salinity, temperature, VPD, climate change, water 46

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50 Introduction

Developing high yielding rice varieties that use less water which can withstand multiple 51 abiotic stresses will be critical for maintaining future global food security (Singh et al., 52 2021). Currently, rice is the most consumed human food crop, providing more than 20% 53 of the total calories consumed worldwide (Fukagawa & Ziska, 2019). It takes around 54 2500 litres of water to produce 1kg of grain, and globally, this equates to around 30% of 55 all the world's fresh water supplies being used for rice production (Bouman, 2009). Half 56 of all cultivation is irrigated, and this boosts crop yields and protects against drought and 57 58 heat stress, but such practices are highly water-intensive often leading to anaerobic soils and excessive methane production (Singh et al., 2021). The majority of other farmed rice 59 is rain fed, with 34% grown in lowland ecosystems, and 9% in upland environments. As 60 the human population continues to grow, and as climate change intensifies, clean water 61 for irrigation will decrease, and this combined with a need to reduce emissions will 62 prompt a move towards more rain fed rice agriculture (Bouman, 2009; Singh et al., 2021). 63 Such changes in farming practices are forecast to occur at the same time as increased 64 incidences of severe droughts, higher temperatures and rising soil salinity (Livsey et al., 65 2019; IPCC, In press.). Taken together these factors have the potential to massively 66 67 impact global rice yields exactly when demand for rice (and clean water) is rapidly growing (Panda et al., 2021; Singh et al., 2021). 68

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Plant water-use is controlled by stomata – microscopic epidermal pores comprised of a 70 71 pair of guard cells that regulate CO_2 uptake for plant photosynthesis (A), with the concurrent release of water via alterations to stomatal conductance (g_{sw}) . As well as 72 73 regulating gaseous exchanges, stomata facilitate water and nutrient uptake from soils and 74 also aid in plant cooling via increases to the transpiration (E) stream when temperatures 75 rise. Under drought, salinity or increasing vapour-pressure deficit (VPD), stomatal apertures reduce or close, and this restricts photosynthesis, water loss, nutrient uptake, 76 plant cooling and ultimately growth and seed yield (Merilo et al., 2018; Grossiord et al., 77 2020; Ma et al., 2020). Rises in VPD occur when the difference between the maximum 78 amount of water the air can hold, and the actual amount of water in the air increases. This 79 is often the case as temperatures rise (Grossiord et al., 2020), with forecasts predicting 80 VPD will continue to rise until the end of the century (Yuan et al., 2019). Such rises in 81 VPD have thus far offset any CO_2 fertilisation effects associated with rising CO_2 82 83 concentration.

84 With prolonged changes in environmental stimuli, many plant species are able to modulate their stomatal development by altering stomatal size (SS) and/or stomatal 85 density (SD) – often in opposite directions (Franks & Beerling, 2009; Franks et al., 2012). 86 This developmental adjustment has been widely observed in living plants, herbarium 87 samples and even fossil records, and coincides with CO₂ fluctuations that have occurred 88 during different geological epochs. Typically, high CO₂ environments are associated with 89 an increased SS and reduced SD, and low CO₂ concentrations are associated with the 90 opposite conformation of SS and SD (Franks & Beerling, 2009). Such developmental 91 92 adjustments have been suggested to alter g_{sw} range by permitting a higher calculated anatomical maximum stomatal conductance (g_{smax}) ; with plants that have a high SD and 93 small SS being able to potentially achieve high operating g_{sw} and g_{smax} levels (Franks & 94 Beerling, 2009; Bertolino et al., 2019). Alterations to SS and SD have also been suggested 95 to adjust the speed at which stomata respond to environmental cues; with evidence 96 suggesting that plants with smaller SS and higher SD are more rapidly able to respond to 97 environmental conditions, and this can boost water-use efficiency (McAusland et al., 98 2016; Bertolino et al., 2019). For fluctuating light responses, this means faster 99 responsivity of g_{sw} to changes in A, which reduces unnecessary water loss (Lawson & 100 101 Vialet-Chabrand, 2019). Examples of negative correlations between SS and speed have been observed within a species (or closely related species) but not necessarily between 102 103 distantly related species (McAusland et al., 2016). However, other studies have shown that stomatal responsiveness is not always related to SS (Eyland et al., 2021), and the 104 105 improved benefits of small SS on optimising A may be light dependent (Zhang et al., 2019). In monocot grasses such as rice, each stomatal guard cell pair is surrounded by a 106 107 pair of subsidiary cells, which increase the speed of stomatal opening and closure (Franks 108 & Farquhar, 2007; Raissig et al., 2017; Gray et al., 2020).

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It is possible to improve various abiotic stress responses by genetically manipulating 110 stomatal physiology or stomatal development (Huang et al., 2009; Mohammed et al., 111 2019). Previous work manipulating the levels of Epidermal Patterning Factor (EPF) 112 signalling peptides in several species, has shown that SD reductions of up to 113 approximately 50% can lead to significantly lower g_{sw} without significantly impacting A 114 (Hepworth et al., 2015; Caine et al., 2019; Dunn et al., 2019; Mohammed et al., 2019). 115 These larger reductions in g_{sw} compared to A led to improved intrinsic water-use 116 efficiency (iWUE) without negatively impacting seed yield. In fact, moderate reductions 117

in SD, improved rice yields following drought imposition during the flowering stage
(Caine *et al.*, 2019). Surprisingly, EPF-driven reductions in SD had inconsistent effects
on SS between different rice varieties despite sampling at the same development stage
(Caine *et al.*, 2019; Mohammed *et al.*, 2019). Overexpression of the *OsEPF1* gene in
transgenic IR-64 plants previously displayed smaller stomata with lower SD (Caine *et al.*,
2019), whereas *OsEPF1oe* Nipponbare plants had larger stomata (Mohammed *et al.*,
2019).

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It is now clear that reductions in SD can result in reduced crop water loss and increased 126 drought tolerance (Caine et al., 2019; Mohammed et al., 2019). In this study, we 127 investigate whether selecting for specific SD and SS traits could mitigate against not only 128 drought, but also additional climate change associated abiotic stresses including rising 129 salinity and VPD. Specifically, we ask: 1) Is it possible to identify the combination of SS 130 and SD found in IR-64 OsEPF10e (OsEPF10e) in other traditionally-bred high yielding 131 rice varieties? 2) Do OsEPF10e or other plants with fewer (or smaller) stomata perform 132 better under drought or saline stress conditions? And 3) does SD and/or SS affect stomatal 133 responses to high temperature and increased VPD. 134

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136 Material and Methods

137 <u>Plant Materials</u>

A collection of 72 rice varieties previously assayed for salinity tolerance were kindly
provided by Jose De Vega, Earlham Institute (Table. S1). Two independently transformed
lines of IR-64 variety overexpressing *OsEPF1* have been previously described (Caine *et al.*, 2019).

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143 <u>Plant growth conditions</u>

Rice seeds placed in 15-20 ml RO water were sealed in Petri dishes with micropore tape (3M, Saint Paul, Minnesota) and germinated under: 12 h 26°C : 12 h 24°C light:dark cycle at photosynthetically active radiation (PAR) 200 µmol m⁻² s⁻¹. Seedlings were sown onto a previously described soil mix (Caine *et al.*, 2019), in 0.8 L pots (IPP, Bytom, Poland). Pots were prepared by first half filling with soil mix, then RO water was mixed through, and then a second equal application of soil was added, and further RO water mixed through to saturate the soil. When drained the soil level was c. 1.5 cm from the pot 151 apex. For preparation of salt-treated pots in Fig. 4, a 20 mM solution of NaCl rather than RO water was applied to saturate the soil during mixing. Seedlings were grown in 152 Conviron growth cabinets (Controlled Environments Ltd, Winnipeg, MB, Canada) to 12 153 h 30°C : 12 h 24°C light : dark cycle, RH 60% with CO₂ concentration between 450-480 154 ppm. For plants in Fig. 4 and Fig. S1, PAR was set at canopy level to 1000 µmol m⁻² s⁻¹. 155 For all other experiments, PAR was 1500 umol m⁻² s⁻¹. For salt-treated plants in Fig. 5. 156 all samples were initially transplanted into fresh-water-mixed soil, with 50 mM NaCl first 157 applied 8 days after transferring (16 DPG). A constant supply of RO water or salt water 158 159 was available during experiments.

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161 Drought and salinity experiments

For droughted plants (n = 10 or 11), water was withheld for 5 days from 30-35 days post 162 germination (DPG). New leaves were classified as visible new growth emerging from 163 sheaves of pre-existing tillers at 42 DPG. For salinity experiments, salt water was applied 164 when required, and trays changed weekly for 20 mM NaCl experiments (Fig. 4) (n = 6), 165 or two-weekly for the 50 mM NaCl experiment (n = 7 to 9, Fig.5). For both experiments, 166 167 salt water or fresh water (for controls), was applied from above every time salt trays were 168 changed. Leaf blade and tiller base (2 cm from soil) Φ PSII measurements were collected using a FluorPen FP 110 (PSI, Drasov, Czech Republic). Leaf discs were collected from 169 170 35 day-old fresh water and salt grown plants and dried in a 40°C oven for 3 days prior to 171 analysis.

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173 Gas exchange and thermal imaging measurements

Measurements of g_{sw} shown in Fig.1 and 2 were collected using a LI-600 porometer (LI-174 COR, Lincoln, USA) set to a flow rate of 150 μ mol s⁻¹ (n = 7). Thermal images in Fig.1 175 176 were captured using a FLIR T650sc (Wilsonville, USA). Steady state and dynamic gas exchange experiments were performed using LI-6800 Portable Photosynthesis Systems 177 (LI-COR, Lincoln, USA) and attached MultiphaseFlash Fluorometer (6800-01A). Fully 178 expanded leaves of 19-25 day old plants (leaf 5 or 6) were used to collect steady-state 179 measurements in Fig. 4. Leaf chamber conditions were set to light intensity 2000 µmol 180 $m^{-2}~s^{-1}$ PAR, relative humidity (RH) 60%, Tair 30°C, flow 300 $\mu mol~s^{-1}$ and $[CO_2]_{ref}\,480$ 181 ppm. Over a 5-minute period, 10 readings were taken then averaged (n = 6 plants). For 182 VPD gas exchange experiments in Fig. 6, measurements were conducted on fully 183 expanded leaf 5 of 19-23 DPG plants, with the leaf chamber set to 2200 μ mol m⁻² s⁻¹ 184

PAR, 55% RH, Tair 32°C, flow 400 µmol s⁻¹ and 450 ppm [CO₂]_{ref}. For fluctuations in 185 RH during this experiment see Fig. S3. Once steady-state was reached, two readings were 186 collected at the end of 6-minute intervals, then the temperature was increased by 2.5°C in 187 4x 6 minute intervals, with readings taken immediately prior to each subsequent 188 temperature increase. Four further readings were recorded at 42 °C (n = 7 or 8 plants). 189 Leaf chamber matching was conducted prior to each reading. For steady-state VPD 190 191 experiments in Fig. S3, chamber settings were the same as in Fig. 6, except Tair was 39°C and RH maintained at 55% throughout (n = 5 or 6). 192

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194 Analysis and quantification of stomatal traits

Epidermal imaging and quantification was conducted on IMAGEJ using nail varnish impressions of dental resin imprints taken from leaf 5 (19-23 DPG), (Fig. 4, 6 and Fig. S1 and 3) or leaf 8 (28-33 DPG), (Fig1). Two 0.44 mm² fields of view (FOV) per replicate were used to calculate SD with 5 stomata per biological replicate used to assess guard cell length. Calculations for g_{smax} were performed as in Caine *et al.* (2019) based on double end-corrected version of the Franks & Farquhar (2001) equation from Dow *et al.* (2014). Graphs and statistical analysis were produced using R software.

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203 Inductively Coupled Plasma-Mass Spectrometry

204 Sample preparation was as described previously (Danku et al., 2013). In brief, dried plant material was digested with 1ml concentrated nitric acid (trace metal grade, Fisher 205 206 Chemicals) spiked with Indium (internal standard) in dry block heaters (SCP Science; OMX Laboratories) at 115°C for 4h. The samples were then diluted to 10ml with Milli-207 208 Q Direct water (18.2 MΩcm, Merck Millipore) and analysed using ICP-MS (PerkinElmer 209 NexION 2000) in the collision mode (He). Reference material (pooled samples) was run 210 to correct for variation within ICP-MS analysis run. Calibration standards were prepared from single element standards solutions (Inorganic Ventures, Essex Scientific Laboratory 211 Supplies Ltd, Essex, UK). The final element concentrations were obtained by normalizing 212 concentrations to sample dry weight. 213

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217 **Results**

Rice stomatal size and density are negatively correlated and contribute to operating and maximum potential stomatal conductance

By overexpressing an Epidermal Patterning Factor, we previously showed that reductions 220 in SD reduces rice water requirements and thus improves iWUE and drought tolerance 221 (Caine et al., 2019; Mohammed et al., 2019). Here we carried out a screen of 72 222 traditionally-bred rice varieties alongside two independently transformed OsEPFloe 223 lines to survey and compare stomatal traits between genetically engineered plants and a 224 non-transgenic population (Fig. S1, Table, S1). Although SD differed widely across the 225 collection, we were unable identify a non-transgenic variety with a mean SD as low as 226 either of the IR-64 OsEPF10e rice lines. We did, however, observe a negative correlation 227 between SS and SD within the full collection of traditionally-bred varieties ($r^2 = -0.17$, P 228 < 0.0001, Fig. S1), with lower SD varieties typically having larger SS (with the exception 229 230 of OsEPF10e lines), and higher SD varieties typically having smaller SS. To study how these differences in stomatal traits might affect abiotic stress responses to increased 231 232 drought, salinity and VPD, we selected nine varieties spanning the range of SS and SD, and conducted a series of experiments alongside OsEPFloe plants. We began by 233 234 measuring and comparing stomatal morphology, gas exchange and pore size on the leaves 235 of tillering rice plants (Fig. 1).

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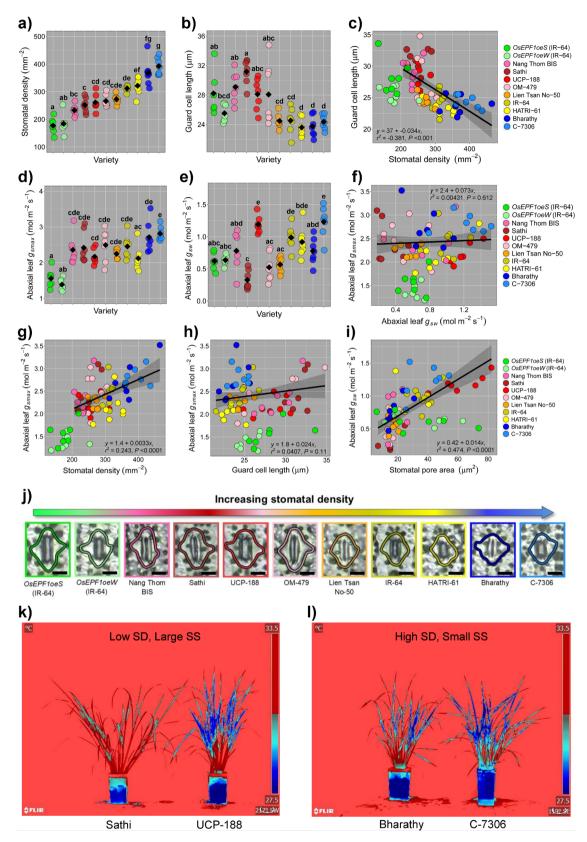




Fig. 1. Stomatal size, density and pore aperture contributions to rice gaseous exchange
on plants grown in high light conditions (1500 PAR). (a) Abaxial stomatal density (SD)
plotted low to high of nine selected rice varieties and two transgenic *OsEPF1oe* plants.
(b) Corresponding stomatal size (SS) (guard cell length) measurements of plants in (a).

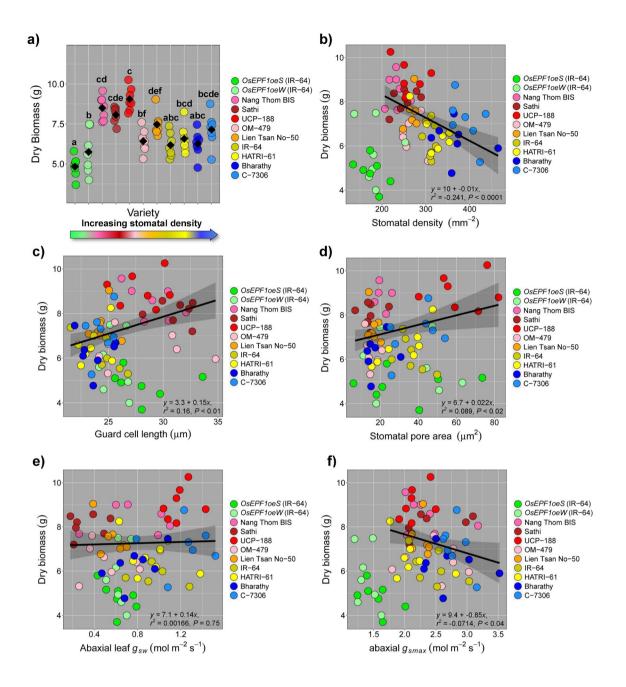
242 (c) Regression analysis highlighting relationship between SS and SD. (d) Calculated maximal stomatal conductance (g_{smax}) , and (e) corresponding operating stomatal 243 conductance (g_{sw}) of abaxial leaf surface. (f-i) Regression analysis of (f) abaxial g_{smax} and 244 245 operating g_{sw} , (g) abaxial g_{smax} and SD, (h) abaxial g_{smax} and SS and (i) abaxial g_{sw} and 246 stomatal pore area. (i) Examples of stomatal openness (bars, 10µm). (k and l) Thermal images illustrating temperature differences in plant temperature driven by changes in pore 247 apertures between (k) plants with low SD and large SS and (l) high SD and small SS. 248 Different letters on graphs indicate a significant difference between the means (One-way 249 ANOVA, Tukey HSD test, P < 0.05). Black diamonds represent means. Regression 250 analysis and trend lines are based on linear models. OsEPF10e plants are excluded from 251 252 regression analyses. (a-i) n = 7 plants.

Assessment of SD on leaf 8 of tillering rice revealed traditionally-bred varieties had mean 253 SD values ranging from 233-393 stomata per mm⁻² whereas the two independent 254 OsEPFloe lines had c. 180 stomata per mm⁻² (Fig. 1a). As expected, a negative 255 correlation between SS and SD was observed between varieties, with those with higher 256 SD typically having smaller guard cells ($r^2 = -0.38$; P < 0.0001, Fig. 1b and c). OsEPF10e 257 lines, which had the lowest SD, did not follow the same trend line, but surprisingly, by 258 259 the leaf 8 stage (28-33 DPG), stomata were either equal to (OsEPFloeW) or significantly larger (OsEPFoe1S) than IR-64 control plants. In the case of OsEPFloeS, this result was 260 opposite to what we had previously found in IR-64 plants at the leaf 5 stage (Caine et al., 261 2019). Despite having larger than expected stomata, very low SD led to OsEPF10e plants 262 263 having the lowest g_{smax} (Fig. 1d). Within the nine varieties selected, there were limited differences between calculated g_{smax} , but notably, the varieties with the two highest SD 264 265 also had the highest mean g_{smax} values.

To investigate if operating g_{sw} followed a similar trend to g_{smax} , we measured leaf g_{sw} 266 using a porometer (Fig. 1e). Whilst we found no overall correlation between operating 267 g_{sw} and calculated g_{smax} across varieties (Fig. 1f), we did detect positive relationships 268 between SD and g_{smax} ($r^2 = 0.24$; P < 0.0001, Fig. 1g) and between SD and operating g_{sw} 269 $(r^2 = 0.13; P < 0.01,$ Fig. S2a). There was no significant correlation between SS and g_{smax} 270 $(r^2 = 0.04; P = 0.11, Fig. 1h)$, but we did identify a weak negative relationship between 271 SS and gsw ($r^2 = 0.09$; P < 0.02, Fig. S2b). Despite very low calculated g_{smax} , both 272 OsEPF10e lines were able to maintain a similar operating g_{sw} comparatively to some of 273 the other selected varieties even though SD was around 30% lower (Fig. 1a, d, e), and 274

275 whilst low SD typically meant low operating g_{sw} , UCP-188 bucked this trend having the equal highest g_{sw} (Fig. 1a, e). Our results suggested that factors other than SS and SD 276 277 drove the observed differences in operating g_{sw} , so we next assessed stomatal pore area. Overall we observed a robust correlation ($r^2 = 0.47$; P < 0.0001) between gas exchange 278 279 and the extent of stomatal opening across the nine selected varieties (Fig. 1i, j). Rates of stomatal water loss were further explored by assessing whole-plant surface temperatures 280 281 as a proxy for evaporative transportation – with lower temperatures indicative of higher water loss. Thermal imaging confirmed firstly that the open-pored UCP-188 variety with 282 large stomata was cooler than the Sathi variety with equivalent SS and SD, and secondly, 283 that the open-pored C-7306 variety, with high SD was cooler than the Bharathy variety 284 which again had similar conformations of SS and SD. These observed similarities 285 286 between surface temperatures and leaf g_{sw} values, indicate that in addition to SS and SD, stomatal pore aperture (and perhaps other root and vascular features) can differ 287 substantially between rice varieties and this also has the potential to greatly influence 288 289 water loss (Fig. 1i-l).

290 To investigate if the differences in stomatal morphology and physiology (Fig. 1) were associated with overall plant growth, we next measured vegetative-stage above ground 291 292 biomass at 35 DPG (Fig. 2). Within the nine selected varieties, we found that low SD 293 varieties typically had greater biomass than plants with higher SD (Fig. 2a). The 294 exceptions to this were the OsEPF10e transgenic plants. With OsEPF10e transgenic lines 295 excluded from regression analyses, a moderate negative correlation was observed between SD and plant biomass ($r^2 = -0.24$; P < 0.001), and a weaker positive relationship 296 was detected between SS and biomass ($r^2 = 0.16$; P < 0.01) (Fig. 2b-c). We also found 297 298 that pore area was weakly associated with greater plant biomass ($r^2 = 0.09$; P < 0.02) (Fig. 2d), but no such relationship was detected for operating g_{sw} (Fig. 2e). Assessment of g_{smax} 299 values revealed a weak negative correlation with plant biomass ($r^2 = -0.07$; P < 0.04) (Fig. 300 **2f**), with smaller plants typically having marginally higher g_{smax} values, although this was 301 not the case for OsEPFloe plants. 302

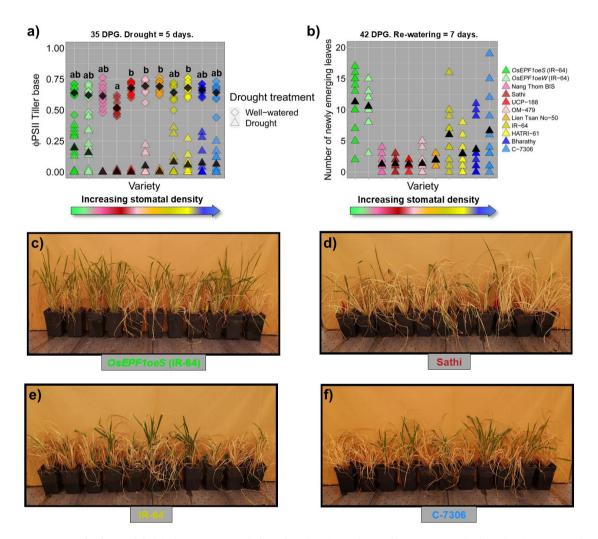


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304 Fig. 2. Stomatal size, density, pore area and anatomical g_{smax} correlate with whole plant biomass during vegetative tillering. (a) Dry plant biomass. (b-f) Regression analysis 305 306 conducted between biomass and (b) stomatal density, (c) stomatal size (guard cell length), (d) stomatal pore area, (e) leaf stomatal conductance (g_{sw}) , and (f) g_{smax} . Different letters 307 indicate a significant difference between the means (One-way ANOVA, Tukey HSD test, 308 P < 0.05). Black diamonds represent means. Regression analysis and trend lines are based 309 on linear models. OsEPFloe plants are excluded from regression analyses. (a-f) n = 7310 plants. 311

313 The association of stomatal traits on rice resilience to drought stress

To understand how our nine selected varieties might compare to the *OsEPF1oe* plants when exposed to abiotic stress, we first imposed a drought for five days from 30 DPG (**Fig. 3**). Chlorophyll fluorescence measurements were taken to assess the efficiency of Photosystem II (Φ PSII) using a drop in the Φ PSII value to indicate plant stress (Caine *et al.*, 2019).



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Fig. 3. Varieties with higher stomatal density (SD) and smaller stomatal size (SS) respond better to drought than those with lower SD and large SS. (a) Drought responses assessed at the tiller base using Φ PSII to measure plant health. (b) Number of new regenerative leaves one week after re-watering. (c-f) Examples of varieties with (c) very low SD (*OsEPF1oeS*), (d) low SD and large SS (Sathi), (e) medium SD and small SS (IR-64) and, (f) high SD and small SS (C-7306) recovering from drought at 42 days, one week after re-watering. Different letters indicate a significant difference between the means (One-

327 way ANOVA, Tukey HSD test, P < 0.05). Black diamonds represent means for well-328 watered plants, and triangles represent means for droughted plants. (a-b) n = 10-11 plants.

329 Under well-watered conditions, the OsEPF10e lines and most of the selected varieties shared similar Φ PSII values at the tiller base, with only Sathi displaying slightly (but 330 significantly) lower values than 4 of the other varieties. Following five days of severe 331 332 drought treatment the Φ PSII values of all traditionally-bred varieties and *OsEPF10e* lines were dramatically lower than in well-watered controls. Varieties previously identified as 333 having low SD (mostly with large stomata: Nang Thom BIS, Sathi, UCP-188 and OM-334 479) did not perform well, with most exhibiting Φ PSII values of 0 at the end of the 335 drought (36 out of 44 plants), suggesting that the tillers of these plants were severely 336 stressed (Fig. 3a). Plants previously identified to have medium to high SDs (typically 337 with smaller SS: IR-64, HATRI-61, Bharathy and C-7306) performed better, with just 338 339 over half of plants recording Φ PSII values of 0 (25 out of 44). Although there was variability between individual plants, the OsEPFloe lines fared best and maintained the 340 highest mean Φ PSII values (only 4 out of 22 plants displayed a Φ PSII value of 0). 341

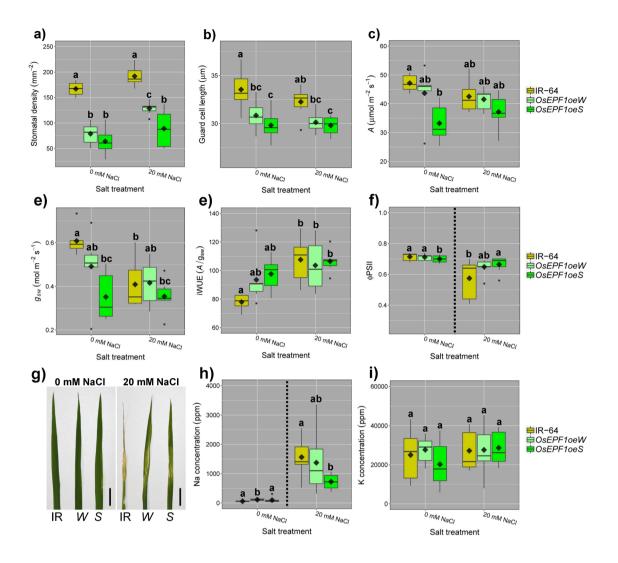
All plants were then resupplied with water and new leaf growth was assessed after 7 days
(Fig. 3b-f). This revealed that the 35 DAG ΦPSII measurements were a good indicator
of plants drought resilience. Plants with low densities of large stomata recovered most
slowly and had the fewest new leaves (averaging 1-2 new leaves). Medium and high SD
varieties recovered better (averaging 3-7 new leaves) and the transgenic *OsEPF10e* plants
had the highest drought tolerance (averaging 10-11 new leaves per plant).

348 **OsEPF1**oe lines have enhanced salt tolerance

349 To understand if stomatal traits can influence how plants perform under saline conditions,

350 we compared the performance of the *OsEPF10e* to IR-64 control plants grown in 20 mM

351 NaCl.



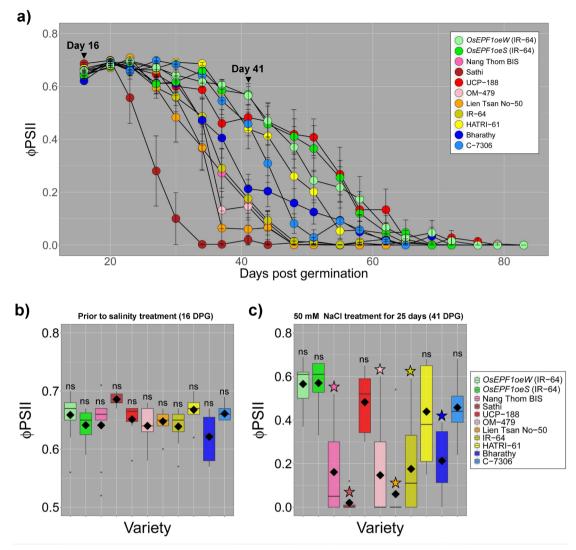
353 Fig. 4. OsEPF10e plants with reduced stomatal density (SD) display increased salinity tolerance during seedling and tillering stages. (a) 19-21 DPG Leaf 5 SD and (b) Stomatal 354 size (guard cell length) of fresh water and salt grown IR-64 and OsEPFloe plants. (c-f) 355 Fresh water and salt grown rice plant gas exchange measurements of (c) Assimilation (A), 356 357 (d) stomatal conductance (g_{sw}) and (e) intrinsic water-use efficiency (iWUE). (f) Φ PSII leaf measurements of apical leaves at 28 DPG with (g) representative leaf images from 358 fresh water and salinity treated plants (bars, 2 cm). (h) Sodium (Na), and (i) Potassium 359 (K) concentrations in auxiliary leaves of 35 DPG tillering plants. Whiskers indicate the 360 ranges of the minimum and maximum values and different letters indicate a significant 361 difference between the means (Two-way ANOVA, Tukey HSD test, P < 0.05). For (f) 362 and (h), 2 separate Kruskal-Wallis one-way ANOVAs were performed due to unequal 363 variances (P < 0.05). Black diamonds represent means and black dots are outliers. n = 6-364 7 plants. 365

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366 Growth under saline conditions resulted in an increase in mean SD (19-21 DPG), with salt-treated OsEPFloeW seedlings having significantly more stomata mm⁻² than 367 368 equivalent fresh-water grown plants when leaf 5 seedlings were assessed (Fig. 4a). SS was unaffected by salt treatment, although OsEPFloeS plants had the smallest SS when 369 370 grown in fresh water or 20 mM NaCl (Fig. 4b) (this is more similar to SS trends previously reported for seedling leaves by Caine et al (2019)., than that reported for leaf 371 372 8 of tillering plants in Fig. 1b). Gas exchange analysis showed that OsEPFloeS (but not OsEPFloeW) had significantly reduced A and g_{sw} relative to IR-64 when grown in fresh-373 water (Fig. 4c and d). Under saline conditions, IR-64 g_{sw} was greatly reduced, and the A 374 375 and g_{sw} rates were no longer significantly higher than either OsEPF10e line (Fig. 4c and **d**). However, the (already low) g_{sw} of OsEPF10e plants remained relatively similar 376 377 between fresh water controls and salt-treated equivalents. The reduced A and g_{sw} of salttreated IR-64 plants resulted in an increased intrinsic water-use efficiency (iWUE), 378 whereas for OsEPFloe plants, iWUE did not significantly increase under saline 379 conditions (Fig. 4e). 380

381 Plants were left to develop further and at 28 DPG the continuing impact of salt uptake was investigated using Φ PSII values as a proxy for plant health (Fig. 4f). Under normal 382 383 fresh water conditions the ΦPSII of OsEPFloeS (but not OsEPFloeW) leaves were was 384 significantly lower than IR-64. Salinity treatment had a more severe impact on IR-64 than the OsEPF10e lines and salt-treated OsEPF10eS had higher Φ PSII values than IR-64, 385 with visibly healthier leaves (Fig. 4f-g). At 35 DPG the concentration of accumulated salt 386 in auxiliary leaves was measured. The plants with the lowest SD accumulated 387 significantly less salt in their leaves, with OsEPFloeS salt-grown plants having c. 50% 388 lower Na than IR-64 equivalents (Fig. 4h). In comparison, we did not detect any 389 differences in K levels across genotypes or treatments (Fig. 4i). 390

Next, we investigated how the nine selected traditionally-bred varieties and two transgenic lines performed under exposure to 50 mM NaCl from 16 DPG onward. We measured Φ PSII every 3 to 4 days for the next 67 days (Fig. 5).



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Fig. 5. OsEPFloe plants maintain higher leaf Φ PSII values for equal or longer than all 395 396 traditionally-bred varieties. (a) Apical leaf Φ PSII measurements of the nine selected 397 varieties and two OsEPF10e lines grown in 50 mM NaCl solution for 67 days. Vertical 398 lines above and below individual points show one standard error (b) There was no statistically significant differences between OsEPFloeW OPSII and the other 399 traditionally-bred varieties or the OsEPFloeS line prior to plants beginning the salt 400 treatment at day 16 (denoted ns). (c) ΦPSII values on the apical leaf at 41 DPG, 25 days 401 after the commencement of the salt treatment. For (b) and (c), whiskers indicate the ranges 402 of the minimum and maximum values and stars indicate a significant difference from salt 403 tolerant OsEPFloeW (One-way ANOVA, Tukey HSD test, P < 0.05). Black diamonds 404 represent means. n = 7-9 plants. 405

406 Prior to the start of salt treatment (16 DPG), no significant differences between control
407 *OsEPF1oeW* leaf ΦPSII values and any of the other varieties tested (Fig. 5a, b). After 25

408 days of salt treatment (41 DPG), six out of nine varieties (including IR-64) showed 409 significant reductions in Φ PSII (**Fig. 5a, c**). Post 41 DPG, *OsEPF10e* plant leaves 410 continued to show a slower decline in health than the majority of varieties, with the 411 exceptions being UCP-188. Within the selected varieties, neither SS nor SD appeared to

412 be associated with the degree of salinity tolerance.

413 Stomatal responses to raising temperature and vapour pressure deficit

At higher temperatures the amount of water the air can hold increases and this often leads 414 to rising VPD bringing about stomatal closure. We next investigated how increases in 415 VPD impacted stomatal dynamics by undertaking gas exchange experiments that either 416 rapidly increased VPD by increasing temperature (Fig. 6 and Fig. S3), or by exposing a 417 leaf to a constantly high VPD (Fig. S3). High temperature and VPD conditions were 418 imposed inside an infrared gas analyser (IRGA) leaf chamber and gas exchange 419 simultaneously measured. For our rapid VPD experiments, assays were conducted over a 420 421 1 hour duration, with a 10 °C increase in temperature inside the chamber (from 32 °C to 42 °C), beginning 12 minutes into the experiment. The leaf VPD inside the chamber 422 423 increased from c. 2.6 kPa to 3.7 - 4.7 kPa (Fig. 6b, Fig. S3). Variation in leaf VPD and chamber relative humidity (RH) at higher temperatures (\geq 39.5 °C) was due to reductions 424 425 in water flow caused by increased stomatal closure and by inability of the IRGA to maintain RH. 426

427

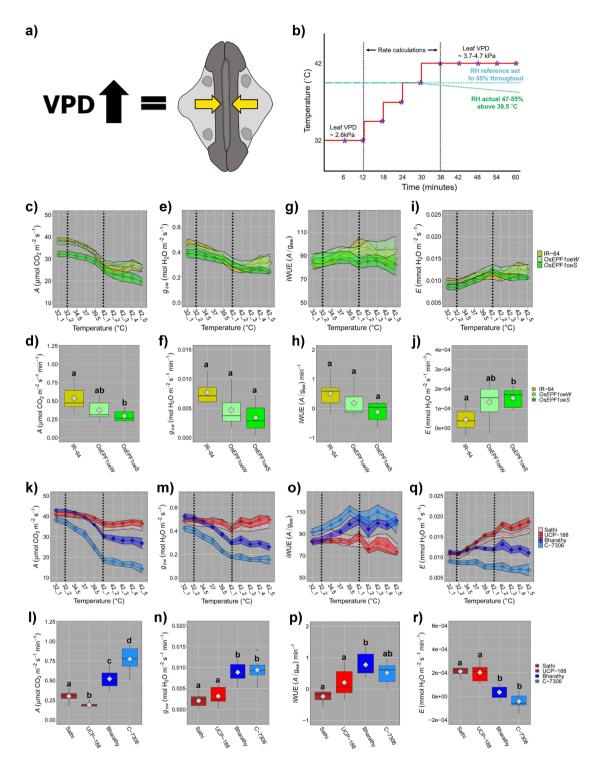




Fig. 6. Dynamic stomatal responses to rising Vapour Pressure Deficit (VPD) lead to
large alterations in plant photosynthesis and water-use efficiency (a) When VPD rises,
usually driven by increasing temperature, stomata close. (b) Program performed in LICOR 6800 gas analysers used to study stomatal responses to temperature and leaf VPD.
Around 39.5 °C and above, many varieties were unable to maintain relative humidity at
55% due to additive RH reaching its maximum possible set point (see also Fig. S3). Purple

435 stars indicate points data was recorded. Parallel vertical dotted lines throughout indicate 436 period used to calculate rate changes. (c-j) Comparison of IR-64 plants and OsEPFloeW and S plants using leaf 5 to investigate responses to raising temperature and VPD. (c) 437 Assimilation (A) responses over a one hour duration and (d) rate of change per minute 438 during the 24-minute incline period. (e-f) Equivalent stomatal conductance (g_{sw}) 439 responses and rates of change over 24-minute period. (g-h) Corresponding intrinsic water-440 use efficiency (iWUE) responses and rates of change and (i-j) transpiration (E) responses 441 and rates of change. (k-r) Comparisons between low SD, large SS Sathi and UCP-188 442 443 varieties and high SD, small SS Bharathy and C-7306. (k) A responses over one hour 444 assay and (1) rate of change per minute during the 24-minute incline period. (m-n) Equivalent stomatal conductance (g_{sw}) responses and rates of change. (o-p) 445 Corresponding intrinsic water-use efficiency (iWUE) responses and rates of change and 446 (q-r) transpiration (E) responses and rates of change. Ribbons highlight standard error of 447 the mean. Boxplot whiskers indicate the ranges of the minimum and maximum values 448 and different letters indicate a significant difference between the means (One-way 449 ANOVA, Tukey HSD test, P < 0.05). Grey diamonds represent means. n = 7-8 plants. 450

We first assayed IR-64 and OsEPFloe plants and found that all plants showed a 451 452 significant decrease in A as temperature and VPD increased (ANOVA, P < 0.0001, Fig. **6c**), but the reduction in A occurred significantly more slowly for OsEPFloeS than in IR-453 454 64, but not for OsEPFloeW (Fig. 6d). Like A, g_{sw} also reduced with increasing 455 temperature (ANOVA, P < 0.001, Fig. 6e), however there were no significant differences 456 in the rate of change between genotypes, despite a clear trend for a slower rate in OsEPFloeS (Kruskal-Wallis one-way ANOVA, overall P = 0.056) (Fig. 6f). The slower 457 decreases in A and (to some extent g_{sw}) resulted in a trend toward lower iWUE for 458 459 OsEPFloeS during the temperature incline relative to IR-64 (Fig. 6g and h) (Kruskal-Wallis one-way ANOVA, overall P = 0.1052). Conversely, transpiration (E) responses 460 generally increased across all genotypes with OsEPFloeS plants having increased rates 461 462 of *E* relative to IR-64 during the temperature incline, peaking at the end of the increase. The *E* of IR-64 peaked later and by the end of the 1 hour experiment reached a similar 463 464 level to OsEPFloe plants (Fig. 6i-j).

To investigate if a combination of SS and SD contributed to rice VPD responses, we
compared two selected varieties which had low SD and large SS (Sathi and UCP-188),
with two varieties which had high SD and low SS (Bharathy and C-7306) (Fig. 6k-r and

Fig. S3). There were no significant differences in A or g_{sw} at 32 °C between the two low 468 SD, large SS varieties and the two high SD, small SS varieties at the beginning of the 469 470 experiments, but large differences were detectable in response to rising temperature and 471 VPD (Fig. 6k-n). Specifically, varieties with high SD with small SS showed significantly 472 faster rates of reduction for both A and g_{sw} in response to rising temperature and VPD in comparison to varieties with low SD and large SS (Fig. 61-n). This faster response 473 474 resulted in a large increase in iWUE (Fig. 6g, o-p). We also detected striking differences in E in response to rising VPD, with varieties with low SD and large SS displaying a rapid 475 476 increase in E with increasing temperature which was in contrast to plants with high SD 477 and small SS that showed little change (or a slight drop) in E (Fig. 6q-r). Thus, the two varieties with higher SD and smaller SS reduced A and g_{sw} relatively quickly in response 478 479 to an increase in VPD whereas for low SD varieties with large SS, A and g_{sw} remained higher and this led to increasing E. 480

The experiments in Fig. 6 highlight that high SD, small SS rice varieties were unable to 481 maintain high A, g_{sw} and E when exposed to increasingly high temperature and VPD. It 482 483 is possible that that this may have, at least in-part, been due to a transient drop in RH within the chamber during the rapid closure of small stomatal that contributed to leaves 484 485 having higher VPD (represented by green dotted line Fig. 6b, see also Fig. S3). To address this, we conducted a subsequent experiment where we held plants under steady-486 487 state conditions with temperature fixed at 39 °C where chamber RH was maintained at stable at 55%, and this led to more similar leaf VPD values between varieties (Fig. S3). 488 489 These conditions captured the maximum point where all 4 different rice varieties were able to maintain steady state conditions. This removed any additional stress on the plant 490 491 leaves caused by insufficient supply of RH as observed in our Fig. 6 experiments. Like 492 with our dynamic response Fig. 6 experiments, plants with low SD and large SS 493 maintained a higher A and g_{sw} than those with high SD and small SS. Reductions in A and g_{sw} again had the opposite effect on iWUE, with high SD, small SS plants typically 494 495 having higher iWUE at the expense of A and g_{SW} (Fig. S3). And also like with our dynamic response experiments in Fig. 6, these changes appeared to be entwined with an increased 496 capability of plants with low SD and large SS plants to increase E, whereas for plants 497 with high SD and small SS, E stayed low. These combined responses led to plants with 498 499 lower SD and larger SS having lower leaf temperatures based on calculated energy 500 balance (Fig. S3).

501 Discussion

502 Stomatal size and density impact on plant gas exchange

503 A low SD (often associated with larger SS) and/or small SS (often associated with high SD) have frequently been correlated with improvements in water-use and/or drought 504 tolerance (Hepworth et al., 2015; McAusland et al., 2016; Dittberner et al., 2018; 505 506 Kardiman & Ræbild, 2018; Caine et al., 2019; Mohammed et al., 2019). In this study, we took a stomatal-focused approach to investigate how differences in SS and SD can affect 507 508 rice performance under several separate abiotic stresses. Anatomical screening of a rice population identified significant variation in both traits across the population. We then 509 510 compared the abiotic stress responses of selected varieties with a range of differences in SS and SD and OsEPFloe plants which have an unusual combination of low SD and 511 512 small SS (on their seedling leaves). None of the varieties examined had an SD as low as OsEPF10e lines, suggesting that finding traditionally-bred rice varieties with SDs and 513 g_{smax} values equivalent to OsEPF10e is perhaps unlikely. 514

515 Previous research has shown that high SD (often accompanied by small SS) can lead to a higher maximum anatomical g_{smax} (Franks & Beerling, 2009), and this leads to a higher 516 operating g_{sw} and greater responsivity of stomatal apertures to environmental changes 517 (Franks et al., 2012; Bertolino et al., 2019). Our results only partially support these 518 findings. We observed the expected correlations between SD and both g_{smax} and g_{sw} ; with 519 the two varieties with highest SD and small SS (Bharathy and C-7306) having the highest 520 521 average g_{smax} . We did not, however, find a correlation between operating g_{sw} and g_{smax} under well-watered conditions underlining that factors other than SD and SS, including 522 523 stomatal openness, also impact on operating g_{sw} . For example, the UCP-188 variety with low SD and large SS, despite having a low calculated g_{smax} , had the equal highest 524 525 operating g_{sw} , and OsEPF10e lines with only 50-72% of the g_{smax} of the traditionally-bred varieties, had an operating g_{sw} similar to other low SD varieties (except UCP-188). Indeed, 526 527 plotting stomatal pore area measurements against g_{sw} indicated that OsEPF10e plants can counteract their reduced SD by increasing stomatal pore areas (Fig. 1i). 528

529 Our observed correlations between plant biomass and SD, and plant biomass and SS 530 suggest that stomatal characteristics at the tillering stage are reasonable predictors of plant 531 size (**Fig. 2**). Plants with low SDs and large SS typically had higher biomasses (with the 532 exception of *OsEPF10e*), and plants with a high SD and small SS typically had lower

biomasses. Importantly, differences in biomass have the potential to exacerbatedifferences in water-use, as plants with a larger surface area often require more water

535 (Feldman *et al.*, 2018) and may also close their stomata more slowly than smaller plants

536 (Drake et al., 2013; McAusland et al., 2016; Lawson & Vialet-Chabrand, 2019).

537 Stomatal associations with drought tolerance

Our drought stress experiments were designed to investigate if larger plants with large SS 538 displayed slower stomatal responsiveness to water stress (Fig. 3). We found that 539 traditionally-bred varieties, with high or medium SD with small SS, maintained higher 540 Φ PSII values for longer during drought than varieties with low SD and large SS. 541 542 indicative of better plant health. However, this was not the case for the OsEPF10e plants. Both lines of these very low SD plants had lower biomass, and these combinations of 543 544 traits resulted in OsEPF10e plants maintaining the highest Φ PSII at the end of the drought. This was despite *OsEPF10e* plants showing similar operating g_{sw} levels as other varieties 545 with low SD, large SS when assessed under well-watered conditions (Fig. 1e). These 546 results suggest that the ideal combination of traits for growth of rice under drought 547 conditions, would be small plant size together with either a very low SD (leading to a 548 very low g_{smax}), or a higher SD combined with a small SS (leading to a high g_{smax} and 549 550 greater stomatal responsivity).

551 Stomatal contributions to salinity tolerance

Modelling of root water and solute uptake has suggested that passive transport and uptake 552 553 of water and solutes into plants may be negligible (Foster & Miklavcic, 2017). However, recent research in rice has suggested that reduced SD and g_{sw}, caused by increased activity 554 555 of a histone deacetylase, improved both drought and salinity tolerance (Zhao *et al.*, 2021). 556 In our study, we tested this potential relationship between SD and salt tolerance and found 557 that OsEPFloe plants with reduced SD showed improved salinity treatment (Fig. 4). We found that these plants with maintained higher leaf Φ PSII values when grown in salt water, 558 and also accumulated less than half the amount of Na⁺ in leaves after approximately 5 559 weeks of growth (Fig. 4). Salt toxicity often leads to deficiencies in other elements such 560 as K⁺ (Wang *et al.*, 2013) but we did not detect this in *OsEPF10e* plants or controls. We 561 compared the performance of traditionally-bred varieties against OsEPFloe and found 562 563 that, as in the drought experiments, OsEPF10e plants performed well, maintaining leaf

 Φ PSII the equal longest of all varieties surveyed. In contrast, other varieties with low SD

and large SS performed the least well (with the exception of UCP-188) (Fig. 5a, c).

566 Stomatal responsiveness to rising temperature and VPD

567 Rising temperatures leading to increased VPD has the potential to shut stomata at a time when plants might otherwise utilise transpiration-driven evaporative cooling to maintain 568 569 a high photosynthetic output (Urban et al., 2017; Yuan et al., 2019; Grossiord et al., 2020). Comparisons between IR-64 and transgenic plants revealed that OsEPFloeS seedlings 570 had slower rates of photosynthetic decline at higher temperature and VPD, and this was 571 linked with a trend towards a decreased rate of g_{sw} change per minute (Fig. 6). These 572 573 slower reductions in A and operating g_{sw} were coupled with increased rates of E, suggesting that OsEPFloeS leaves with very low SD lost more water in comparison to 574 575 IR-64 as VPD stress increased. This slower response suggests that having vastly reduced stomata may be detrimental when temperature and VPD are constantly fluctuating, but 576 577 this requires further study to confirm.

578 Small SS in nature is often associated with faster stomatal responsiveness, which in-turn 579 can result in higher water-use efficiency under fluctuating conditions (McAusland et al., 580 2016; Lawson & Vialet-Chabrand, 2018; Inoue et al., 2021). However, if plants with small SS were to close stomata rapidly on sensing high VPD, this could lead to 581 582 detrimental reductions in A and evaporative cooling. We therefore tested whether 583 varieties with small SS had faster or slower stomatal VPD responses by comparing two 584 varieties with the highest SD and small SS with two varieties which had lowest SD and large SS (Fig. 6 and Fig. S3). We found that, unlike OsEPFloe lines, the varieties with 585 586 small SS (and higher SD) reduced their g_{sw} much more rapidly than those with large SS. Indeed, the extremely efficient closure of small SS (and high SD) reduced g_{SW} so 587 588 effectively that the IRGA equipment measuring the plants was unable to maintain RH levels within the leaf chamber, whereas this was not apparent for plants with larger SS 589 590 (and low SD) (Fig. S3c). This rapid stomatal closure in plants with small SS resulted in improved iWUE at higher VPD levels, but this was at the expense of A. In contrast, the 591 592 varieties with larger SS maintained higher rates of A and g_{sw} fairly steadily with increasing temperature and VPD, but their level of E increased markedly. These results illustrate 593 how differing stomatal configurations are associated with differing responses; small SS 594 with high SD can react more quickly to reduce g_{sw} whereas plants with larger SS and low 595

596 SD are usually less responsive in controlling their water loss via stomata at high 597 temperature and VPD. These differing stomatal strategies most probably allow plants to 598 inhabit and thrive under different environments. For example, the fast responses of small 599 stomata (potentially with high SD) would be expected to be beneficial in water restricted 600 conditions, but higher levels of *E* associated with plants with larger SS (potentially with 601 lower SD) could have a greater effect on the microenvironment. This may be a positive 602 trait when growing in high temperature or VPD environments if sufficient water is 603 available to power an enhanced transpiration stream.

604 Effects on Stomatal Development

605 Our results support previous observations (Zhang et al., 2019) showing that rice SD and SS are, in general, negatively correlated (Fig. 1 and Fig. S1). During leaf development, 606 607 epidermal cell division is usually synchronised with cell expansion to achieve a specific SS and SD on a given leaf. This relationship is perturbed in OsEPF10e seedlings, where 608 609 plants with extremely low SD, typically have smaller SS at the seedling stage (Caine et 610 al., 2019). However, as we show here, by leaf 8 stage when plants are rapidly tillering, this is no longer the case, and OsEPFloe plants had comparatively larger SS (than IR-64) 611 in their more mature leaves. SD is also under developmental control, and in our 612 613 experiments the leaves of mature plants had considerably more stomata than seedling leaves. Thus, the potency or nature of factors driving SS and/or SD regulation must 614 change as a plant develops. Recently GWAS analysis has identified genetic traits 615 associated with both SS and SD which could be useful in breeding for these traits (Chen 616 617 et al., 2020).

618 **Conclusion**

619 Previously we have shown that OsEPF10e plants with extremely low SD, have improved 620 drought tolerance and survivability, even at high temperatures. Here, we show that these plants are also less susceptible to salinity toxicity, most probably because they accumulate 621 salt at much lower levels. By screening a range of traditionally-bred rice varieties, we 622 show that varieties with low SD and large SS typically have lower operating g_{sw} when 623 sufficient water is available, but this is often associated with increased biomass that could 624 625 promote increased drought susceptibility. OsEPF10e plants were physically smaller and 626 yet had the lowest SD and g_{smax} of all varieties studied, traits which together appeared to positively impact on both drought and salinity tolerance. Based on our screen, it seems 627

unlikely that similar conformations of stomata with correspondingly small plant size will
be found in traditionally-bred rice varieties. This means that stomatal-based stress
tolerance may best be obtained via genetic manipulation of stomatal development rather
than via conventional breeding practices.

632 Assessment of VPD responses suggest that strong over-expression of *EPF1* diminishes 633 stomatal closure responses relative to IR-64 leading to reduced short-term iWUE. This slower stomatal responsiveness leads to increased E. Conversely, traditionally-bred plants 634 with high SD and small SS greatly reduce g_{sw} at high VPD, preventing E increasing, and 635 this may be beneficial for short-term iWUE, but detrimental to long-term plant 636 productivity and cooling. Whilst OsEPFloe plants showed enhanced tolerance to several 637 abiotic stresses, taken together our results highlight that there is unlikely to be an ideal 638 SS and SD for all future climatic conditions, and thought must be given as to which 639 640 conformation(s) of stomata will best suit a given growth environment.

641 Acknowledgments

- 642 We thank Dr Jose De Vega, Earlham Institute, for providing the rice germplasm. We are
- 643 grateful for funding from BBSRC Newton Fund (BB/N013646/1) and a Leverhulme
- Trust Senior Research Fellowship (SRF\R1\21000149) to JEG, a University of Sheffield
- 645 QR GCRF Fellowship (Research England institutional allocation) to RSC, and a BBSRC
- 646 DTP studentship to ELH (BB/M011151/1). HLC was supported by the UK Research and
- 647 Innovation (UKRI) Future Leaders Fellowship scheme [MR/T01993X/1]
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- 650 undertook the experiments. N.T.L., N.T.P., H.L.C. and J.E.G. contributed materials and
- advice. R.S.C., H.L.C. and J.E.G. wrote the paper with comments from E.L.H, J.M.S.,
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- 768 Fig. S1. Rice leaf 5 stomatal size and density screen of 72 traditionally-bred rice varieties and 2
- 769 transgenic varieties.
- 770 **Table. S1.** List of 72 rice varieties and corresponding stomatal size and densities.
- 771 Fig. S2. Stomatal size and density relationships to stomatal conductance.
- **Fig. S3.** Rapid and steady-state VPD responses of rice with differing stomatal size and density.