Structural disruption of BAF chromatin remodeller impairs neuroblastoma metastasis by reverting an invasiveness epigenomic program

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Abstract

Background: Epigenetic programming during development is essential for determining cell lineages, and alterations in this programming contribute to the initiation of embryonal tumour development. In neuroblastoma, neural crest progenitors block their course of natural differentiation into sympathoadrenergic cells, leading to the development of aggressive and metastatic paediatric cancer. Research of the epigenetic regulators responsible for oncogenic epigenomic networks is crucial for developing new epigenetic-based therapies against these tumours. Mammalian switch/sucrose non-fermenting (mSWI/SNF) ATP-dependent chromatin remodelling complexes act genome-wide translating epigenetic signals into open chromatin states. The present study aimed to understand the contribution of mSWI/SNF to the oncogenic epigenomes of neuroblastoma and its potential as a therapeutic target.

Methods: Functional characterisation of the mSWI/SNF complexes was performed in neuroblastoma cells using proteomic approaches, loss-of-function experiments, transcriptome and chromatin accessibility analyses, and *in vitro* and *in vivo* assays.

Results: Neuroblastoma cells contain three main mSWI/SNF subtypes, but only BRG1-associated factor (BAF) complex disruption through silencing of its key structural subunits, ARID1A and ARID1B, impairs cell proliferation by promoting cell cycle blockade. Genome-wide chromatin remodelling and transcriptomic analyses revealed that BAF disruption results in the epigenetic repression of an extensive invasiveness-related expression program involving integrins, cadherins, and key mesenchymal regulators, thereby reducing adhesion to the extracellular matrix and the subsequent invasion *in vitro* and drastically inhibiting the initiation and growth of neuroblastoma metastasis *in vivo*.

Conclusions: We report a novel ATPase-independent role for the BAF complex in maintaining an epigenomic program that allows neuroblastoma invasiveness and metastasis, urging for the

development of new BAF pharmacological structural disruptors for therapeutic exploitation in

metastatic neuroblastoma.

Keywords

Epigenetics; Epigenomics; Cancer; Neuroblastoma; Chromatin remodelling; SWI/SNF; Metastasis.

Background

Epigenetic control of gene expression is essential for the determination and maintenance of the

different developmental cell lineages that must coexist in the tissues of a newly forming organism.

Epigenetic alterations during development contribute to the development of embryonal tumours,

originating from errors in the regular developmental process that lead to aberrant undifferentiated

and proliferating cell populations [1]. Neuroblastoma, an embryonal tumour of the sympathetic

nervous system, originates from undifferentiated precursor cells of the neural crest aimed at

undergoing dorsolateral migration and becoming sympathoadrenergic cells. These cells fail to

undergo a complete adrenergic differentiation course and initiate a neoplastic process, leading to the

onset of an aggressive and metastatic paediatric oncologic disease [2]. Molecular alterations driving

neuroblastoma initiation and progression include aberrant epigenetic landscapes, such as abnormal

DNA methylation patterns [3,4] or oncogenic super-enhancers that determine malignant phenotypes

[5,6].

Chromatin remodelling is the final step in epigenetic regulation through which specific chromatin

condensation states are achieved. It is the final consequence of the cascade of molecular events

triggered by specific epigenetic signals (i.e. DNA methylation, histone marks, or chromatin-

associated non-coding RNA), followed by their recognition by epigenetic readers, and culminating

in the activity of a chromatin remodeller at a specific genomic locus [7]. ATP-dependent chromatin

remodellers are molecular machines that use ATP hydrolysis as the energy source to produce

diverse effects on the chromatin structure. Among them, mammalian switch/sucrose non-fermenting

(mSWI/SNF) remodellers are huge macromolecular complexes that increase chromatin accessibility

by generating nucleosome-depleted regions [8], thereby enabling the direct interaction between

DNA and certain regulatory proteins, usually transcription factors [9,10]. These remodellers act at a

genome-wide level [11,12] and have tissue-specific regulatory functions [13–15], which makes

them relevant epigenetic coactivators that translate epigenetic signals into open chromatin states and

help maintain cell identity. mSWI/SNF are multiprotein macro-complexes that exist in three

different intracellular coexistent subtypes, namely, *BRG1-Associated Factor* (BAF), *Polybromo-*

associated BAF (PBAF), and *non-canonical BAF* (ncBAF) complexes, all of which have specific

subunit compositions and divergent genomic occupancies [16–18].

The special relevance of the mSWI/SNF complexes in cancer, reported in the early 2000s and in

continued increase in the last two decades, is reflected in the fact that ~20% of human cancers

harbour deleterious mutations in at least one mSWI/SNF subunit, a mutation rate comparable to that

of well-known driving tumour suppressors such as *TP53* and *PTEN* [19]. Moreover, aberrations

involving certain mSWI/SNF subunits are defining and ubiquitous traits of certain rare tumours of

embryonal origin, such as *SMARCB1* loss in rhabdoid tumours [20], or translocations involving the

SS18 gene in synovial sarcoma [21]. However, oncogenic functions of this complex have been

reported in different human malignancies, with certain oncogenic functions being dependent on the

expression of determined mSWI/SNF subunits, and their overexpression correlating with poor

prognosis in patients [22–26].

The embryonal origin of neuroblastoma, together with the driving role of epigenetic alterations in

these tumours, put mSWI/SNF complexes in the spotlight as plausible executors of the aberrant

epigenetic landscapes by translating them into chromatin states and maintaining specific oncogenic

transcriptional programs. Indeed, deleterious mutations in the genes encoding the mSWI/SNF

subunits ARID1A and ARID1B have been reported in neuroblastoma samples and are associated

with poor patient prognosis [27,28]. Moreover, ARID1A loss has functional driving effects on the

oncogenic functions of neuroblastoma cells, including invasiveness and adrenergic-to-mesenchymal

transition [29,30]. Conversely, the mRNA and protein expression of one of the catalytic subunits,

SMARCA4/BRG1, was correlated with advanced stages and poor prognosis in neuroblastoma

patients, and this subunit was reported to control an oncogenic transcriptional program in

neuroblastoma cells [31].

Hence, an in-depth study of this chromatin remodeller as a whole, and not of its subunits as

independent entities, is still needed to elucidate its complete set of functions in neuroblastoma. We

present a systematic analysis of the presence, composition, and role of mSWI/SNF in

neuroblastoma cells, which led to the discovery of multiple cancer-relevant transcriptional

regulatory functions attributed exclusively to the structural integrity of the BAF-subtype complex.

Structural disruption of the BAF complex, achieved by silencing of its essential subunits ARID1A

and ARID1B, exerted an epigenomic reprogramming in neuroblastoma cells, resulting in the

repression of a wide expression program involving invasiveness-related genes such as integrins,

cadherins, and key mesenchymal regulators, leading to the impairment of metastatic invasion, an

effect that is potentially exploitable in a therapeutic strategy for the treatment of metastatic

neuroblastoma.

Methods

Proliferation, adhesion, and invasion assays

Neuroblastoma proliferation experiments were performed by seeding 8×10^4 cells/well in 24-well

plates and allowing them to grow for 72 h. The cells were fixed with 1% glutaraldehyde and stained

with 0.5% crystal violet. Dried crystals were dissolved in 15% acetic acid and the absorbance was

measured at 590 nm. For adhesion assays, 96-well culture plates were pre-coated with poly-D-

lysine (Sigma-Aldrich, St. Louis, MO, USA; 0.5 mg/mL in water, 20 min at 37 °C), followed by

coating with collagen V (Corning Inc., Corning, NY, USA; 80 µg/mL in 0.02 M acetic acid,

overnight evaporation), and 4×10^4 cells/well were seeded. Non-adherent cells were removed in a

time course of 5-minute intervals by aspiration. The remaining collagen-adhered cells were allowed

to stand for 8 h in full medium for complete attachment; afterwards, the cells were fixed with 1%

glutaraldehyde and stained with crystal violet. Adhesion percentage was normalised to empty wells

(0% adhesion) and non-aspirated cells (100% adhesion). Invasion assays were performed by

seeding 2×10^5 cells in serum-free media in the upper chamber of transwells (Corning; 8.0 µm pore

size) previously coated with a collagen barrier, upon a lower chamber filled with serum-containing

media. After 16 h, the remaining cells were removed from the upper chamber, and the cells that had

migrated to the lower membrane surface were fixed with 4% paraformaldehyde and stained with

crystal violet. Invading cells were imaged by bright field microscopy, quantified by diluting crystals

in acetic acid, and the absorbance was read at 590 nm.

RNA-sequencing

RNA was purified from neuroblastoma cells using Qiazol buffer and the miRNeasy Mini Kit

(Qiagen, Hilden, Germany), following the manufacturer's instructions. Total RNA was eluted in

nuclease-free water and fluorescently quantified using the Qubit RNA HS Assay (Invitrogen,

Waltham, MA, USA), and its quality was evaluated with the RNA 6000 Nano Assay on a

Bioanalyzer 2100 (Agilent, Santa Clara, CA, USA). Libraries were prepared using the TruSeq

Stranded mRNA LT Sample Prep Kit protocol (Illumina, San Diego, CA, USA) and sequenced on

NovaSeq 6000 (Illumina) at the National Center of Genomic Analyses (CNAG-CRG, Barcelona,

Spain). For detailed procedures and analysis, see Supplementary Materials and Methods (Additional

file 1).

ATAC-sequencing

ATAC-seq samples were processed in triplicate as previously reported [32], with minor

modifications. Transposase Tn5 E54K, L372P was expressed and purified from the pETM11

vector, loaded with linker oligonucleotides as previously reported [33], purified with a 30-kDa

Amicon Ultra 0.5 centrifugal unit (Sigma-Aldrich) and diluted to 0.1 mg/mL in 25% glycerol.

Nuclei corresponding to 5×10^5 cells were mixed with 5 μL of loaded Tn5 and tagmentation mix,

and the mixture was incubated for 60 min at 37 °C. Tn5 was heat-inactivated for 5 min at 80 °C.

DNA was purified using the MinElute PCR Purification kit (Qiagen) and PCR-amplified using

NEBNext 2× Ultra Mastermix (New England Biolab, Ipswich, MA, USA) with the combinatorial

dual index primers i5 and i7 (IDT, Newark, NJ, USA) for 12 cycles. Libraries were purified with

Ampure Beads (Beckman Coulter, Brea, CA, USA) and quality-checked with Agilent High-

Sensitivity DNA on an Agilent 2100 instrument (Agilent). Samples were sequenced on a NovaSeq

6000 (Illumina) at CNAG-CRG. For detailed procedures and analysis, see Supplementary Materials

and Methods (Additional file 1).

Neuroblastoma metastasis murine models

Experimental procedures involving animals were performed at the Rodent Platform of the

Laboratory Animal Service of the Vall d'Hebron Institute of Research (LAS, VHIR, Barcelona,

Spain). All animal protocols were reviewed and approved by the regional Institutional Animal Care

and Ethics Committee of Animal Experimentation. Murine neuroblastoma metastatic models were

generated as previously described [34]. SK-N-BE(2) neuroblastoma cells stably transduced with the

lentiviral FLUC vector, containing mCherry and Firefly Luciferase reporters, and prepared in 200

μL of phosphate-buffered saline (PBS), were intravenously injected into 5-week-old Fox Chase

SCID beige mice (Charles River, Wilmington, MA, USA).

For short-term metastasis experiments, 2 million SK-N-BE(2)-FLUC cells were stained

immediately before injection with CellTrace™ Far Red (Invitrogen) following the manufacturer's

instructions. At days 4 and 7 after injection, mice were euthanised, following which livers were

collected, mechanically dissociated, and incubated with 10 mg/mL collagenase type I (Gibco,

Amarillo, TX, USA) under agitation at 37 °C for 30 min. Homogenates were filtered through a 100-

µm cell strainer (Corning) and washed with PBS. Red blood cells were eliminated by incubation for

10 min on ice with 5 mL of erythrocyte lysis buffer (Qiagen). Human cells were enriched using the

Mouse Cell Depletion Kit and the QuadroMACS magnetic cell separator (Miltenyi Biotec, Bergisch

Gladbach, Germany). Pairs of liver samples from the same experimental group were pooled and

subjected to a second round of purification. Eluates were stained with SYTOX Blue (Invitrogen)

and analysed using the fluorescence-activated cell sorter LSR Fortessa (BD Biosciences, Franklin

Lakes, NJ, USA) at the Flow Cytometry facility of VHIR. The results were analysed using FlowJo

v10.8 software (BD Biosciences).

For long-term metastasis experiments, 2×10^5 SK-N-BE(2)-FLUC cells were injected into the tail

vein. At the indicated time points, the mice were anaesthetised by isoflurane inhalation and

intraperitoneally injected with D-luciferine (Invitrogen). Luminescence signals were acquired using

an IVIS SpectrumCT In Vivo Imaging System (PerkinElmer, Waltham, MA, USA), and visualised

and quantified using Living Image Software 4.5.2 (Perkin Elmer). Average photon counts from

same-duration exposSitions were calculated for the whole body for each mouse and normalised by

extracting the background signal. Mice were monitored for symptomatology such as signs of

suffering or appearance of palpable metastatic lesions, as endpoint criteria.

Results

Proliferation of neuroblastoma cells depends on BAF complex structural integrity

We initiated a systematic study on mSWI/SNF in neuroblastoma by determining the structural

integrity and composition of its variants. Isolation of all complex subtypes existing in

neuroblastoma cell lines by immunoprecipitation of the ubiquitous SMARCA4/BRG1 catalytic

subunit and analysis of its interactors by mass spectrometry led to the identification of all known

mSWI/SNF subunits from the core and ATPase modules, as well as those specific to the three

same-cell coexistent variants (Figure 1A, Table S1), thereby reflecting the presence and structural

integrity of the three known variants in neuroblastoma cells. To elucidate the contribution of the

mSWI/SNF complex to the oncogenic properties of neuroblastoma cells, we tested the effects of

different loss-of-function strategies.

First, we focused on the catalytic subunits SMARCA2 (or BRM) and SMARCA4 (or BRG1), the

ATPase activity of which is crucial for active chromatin remodelling by all known mSWI/SNF

variants. PROTAC degradation of these two subunits did not exert any effect on cell proliferation

(Figure 1B) or on the protein levels of other mSWI/SNF subunits, indicating the permanence of the

structural integrity of the different complexes after this inhibitory strategy (Figure 1C).

Nevertheless, the subtype-specific structural disruption of each of the three same-cell coexistent

mSWI/SNF variants by silencing of known subtype-specific and essential structural subunits [17]

showed a clear proliferative dependency of neuroblastoma cells on BAF complex structural

integrity, which was observed when silencing the pair of redundant and mutually exclusive BAF-

specific subunits ARID1A/B (Figure 1D, Figure S1A-B). This dependency was not reported for the

PBAF complex, through ARID2 silencing, or for ncBAF, through BRD9 degradation (Figure 1D).

Monitoring each of the subtype-specific subunits, DPF2, BRD7, and BRD9 for BAF, PBAF, and

ncBAF, respectively, showed their specific destabilisation after each silencing experiment, thereby

confirming the efficacy and specificity of the structural disruption of each variant (Figure 1E). The

relevance of the structural integrity of the mSWI/SNF complex on neuroblastoma proliferative

function was further proven by the simultaneous full disassembly of the three complex variants by

silencing of the SMARCC1 and SMARCC2 core subunits (Figure S1C-D), which are essential for

the initiation of the assembly process [17].

These results show that, among the three coexistent and fully assembled mSWI/SNF complexes

found in neuroblastoma cells, only the structural integrity of the BAF complex, and not its ATPase

activity, is important for neuroblastoma proliferation.

Structural disruption of the BAF complex by ARID1A and ARID1B silencing exerts an extensive

impact on the neuroblastoma transcriptome involving cell cycle blockade

The marked effect exerted by BAF complex disruption in comparison with that of PBAF and

ncBAF encouraged us to break down the detailed functions of this variant in neuroblastoma.

Because the main functional difference between the complex subtypes is their genomic occupancy

and the transcriptional network under their specific control [18,35], we sought to determine the gene

expression network under BAF control by performing a transcriptome-wide analysis. RNA-Seq of

neuroblastoma cells after BAF disruption by silencing of ARID1A and ARID1B with two different

shRNAs for each protein (Figure S2A) revealed the presence of hundreds of transcripts whose

expression was modulated after the simultaneous knockdown of both subunits. Contrarily, single

silencing of each subunit showed smaller and subunit-specific groups of modulated genes, the

majority of which were also modulated after complete disruption of the complex (Figure 2A),

illustrating the redundant and compensatory functions of these two subunits in neuroblastoma.

Therefore, we focused on the genes that were modulated after the combined silencing of ARID1A

and ARID1B, which were named BAF-modulated genes (Figure 2B; Additional file 2). Average

expression levels of these genes were more pronouncedly modulated after full BAF disruption than

after individual silencing of ARID1A or ARID1B, although these genes were modulated in the

same direction by both proteins separately (Figure S2B-C). Of note, sorting this set of BAF-

modulated genes according to their behaviour after single inhibitions revealed that the majority of

them (50.61%) belonged to clusters of genes regulated by ARID1A and ARID1B separately, but

with more pronounced modulation after simultaneous inhibition (Clusters 1 and 5, Figure 2B-C).

Comparison between single and combined knockdowns within these clusters revealed that, in all of

them, the average expression levels of the included genes was more modulated after full BAF

disruption than after single silencing, even in cases in which those genes were modulated by only

one of the proteins (Figure 2D). These results showed the synergistic effects of simultaneously

inhibiting ARID1A and ARID1B on the transcriptome of these cells in terms of both gene network

extension and intensity of gene expression modulation, indicating that only complete disruption of

the BAF complex through this combined silencing can uncover all of its transcriptomic functions.

Functional annotation of BAF-modulated genes revealed multiple cell cycle-related gene sets

among the most repressed biological hallmarks (Figure 2E, Figure S2D-H, Table S2). These genes,

mainly repressed, were implicated both in the progression of the cell cycle from G_1 to S phase (E2F

targets) as well as at later points of the cell cycle (G_2-M checkpoint, mitotic spindle) (Figure 2F), a

repressive event potentially explained by G_1 phase arrest. Silencing of ARID1A/B caused a strong

decrease in cyclin D1 protein levels in neuroblastoma cells, with a concomitant reduction in Rb

phosphorylation (Figure 2G). Flow cytometry cell cycle analysis confirmed a clear increase in the

percentage of G_1 phase cells and a reduction in the S and G_2/M phases, validating cell cycle arrest

after BAF complex disruption (Figure 2H-I). No signs of apoptosis or other types of cell death

involving cell membrane permeabilisation were observed in the long term (Figure S3), indicating

that the decrease in proliferation observed after BAF disruption was related to strong cell cycle

arrest, without the contribution of cell death. Interrogation of a cell cycle-related BAF-controlled

transcriptional signature, consisting of 171 genes (Table S3), on patient sample expression datasets

correlated the BAF activity score with poor prognosis and advanced stages of the disease, with

independent predictive value, supporting the idea that increased BAF activity has oncogenic

consequences in neuroblastoma (Figure 2J-L, Figure S4, Table S4).

These results prove that BAF complex disruption promotes transcriptome-wide reprogramming of

neuroblastoma cells, affecting cell cycle regulators, together with a strong arrest of the G_1 phase of

the cell cycle, thereby blocking neuroblastoma proliferation.

BAF complex disruption promotes the epigenetic repression of a wide invasiveness-related gene

expression network

Given that most of the transcriptome changes occurring after BAF depletion could be attributed to

indirect consequences through downstream effectors, we sought to refine the detection of genes

under immediate BAF complex epigenetic regulation through *cis* regulatory elements. ATAC-Seq

allowed us to identify at a genome-wide level open chromatin regions undergoing significant

declines in chromatin accessibility after disruption of this remodeller, the basic function of which is

the generation and maintenance of open chromatin states. Among the consensus ATAC-Seq peaks

corresponding to the open chromatin sites, more than 19,375 showed significant modulation after

BAF disruption, with the majority of them (16,849) being repressive events leading to a close

chromatin conformation (Figure 3A, Figure S5A). Peak annotation by genomic proximity identified

7,613 genes associated with these chromatin remodelling repressive events, 719 of which were also

transcriptionally modulated in the RNA-Seq data (Figure 3B). Most of these genes (469) underwent

significant and consistent transcriptional repression (Figure 3C; Additional file 3). Notably, the cell

cycle-related BAF transcriptional signature retained its independent predictive value in

neuroblastoma datasets after keeping only those genes associated with chromatin remodelling

repressive events (26 genes, Table S5), further associating BAF activity with poor prognosis in

patient samples (Figure S6, Table S6). These chromatin remodelling repressive events happening

after BAF disruption did not occur at promoters, but rather at both upstream and downstream distal

cis regulatory elements located in intergenic and intronic regions (Figure 3D, Figure S4B-C). These

results are in agreement with the described tendency of the BAF complexes to occupy and regulate

enhancers in comparison with PBAF and ncBAF tendencies toward promoters [18,35].

Functional annotation of these 469 epigenetically repressed genes showed a clear enrichment of

categories related to invasiveness (Figure 3E, Table S7), including several proteins and regulators

of the metastatic process, such as adhesion proteins (i.e. integrins and cadherins) and key regulators

of the mesenchymal phenotype (e.g. *LOXL2* and *SNAI2*) (Figure 3C, Table S8). Among these

genes, integrins were the most extensively repressed gene family. Integrins are cell-matrix adhesion

proteins that act both as sensors and transducers of external stimuli to the cells, and as mechanical

effectors of processes like migration and invasion, through their interaction with multiple

extracellular matrix (ECM) components such as collagen, fibronectin, or laminin [36]. Among the

26 different human integrin genes, 11 were transcriptionally downregulated after BAF disruption

(Figure 3F, Figure S7A-B), 10 of which were assigned at least one chromatin remodelling

repressive event in near genomic sites (Figure 3F, Figure S7C), indicating a wide epigenetic

repression of this gene family when the structural integrity of the BAF complex is compromised.

Interestingly, these BAF-modulated integrins were also modulated after single inhibition of

ARID1A or ARID1B, but to a lesser extent (Figure S7D), further highlighting the need for

simultaneous inhibition of both proteins for full achievement of BAF inhibition effects. The

functional consequences of this epigenetic event were supported by the protein reduction of the two

most repressed integrins, namely, ITGB3 and ITGA9 (Figure 3G), both of which have been

previously implicated in metastasis [37,38], as well as the clear repressive effect observed on

transcriptional signatures related to the activation of integrin signalling (Figure S7E).

Additionally, many mesenchymal phenotype-defining genes such as certain cadherins (*CDH2*,

CDH5, *CDH6*, *CDH11*) or master regulators (*LOXL2*, *SNAI2*) were also epigenetically repressed

upon BAF disruption (Figure 3C). Indeed, the most repressed transcriptional hallmark found in

BAF-disrupted cells was epithelial-to-mesenchymal transition (EMT) (Figure 2E, Table S2). The

non-epithelial origin of neuroblastoma cells makes them unable to undergo EMT, but recent

epigenetic evidence has shown their classification into adrenergic or mesenchymal lineages, with

the latter being more undifferentiated, invasive, and drug resistant [5]. Neuroblastoma-specific

mesenchymal transcriptional signature was also repressed after BAF disruption in neuroblastoma

cells (Figure 3H), involving the epigenetic repression of key regulators of the mesenchymal

phenotype, such as *SNAI2*, *CDH11*, *CDH2* and *LOXL2* (Figure 3C and I, Figure S5C).

These results suggest the putative epigenetic control through the BAF chromatin remodelling

complex of an invasiveness transcriptional program in neuroblastoma cells, which could be

exploited for therapeutic purposes.

BAF complex disruption represses metastasis-related features of neuroblastoma cells

The drastic modulation of important regulators of the invasiveness and metastatic potential of

cancer cells revealed a new oncogenic role of the BAF chromatin remodelling complex in

neuroblastoma beyond proliferation. Hence, we decided to validate whether this epigenetic

reprogramming manifested itself as a functional phenotypic effect associated with metastasis-

related functions.

We first assessed the interaction of neuroblastoma cells with the ECM *in vitro*, specifically with

collagen, a ubiquitous integrin ligand and one of the most abundant ECM components [39].

Ablation of the BAF complex resulted in a drastic reduction in the attachment dynamics of

neuroblastoma cells to a collagen matrix (Figure 4A), indicating a clear reduction in their affinity

for and ability toward adhesion to this ECM component. Accordingly, BAF inhibition reduced the

ability of neuroblastoma cells to invade and migrate through a collagen barrier (Figure 4B-C) for a

particular period (16 h) at which differences could not be explained by different proliferation

rhythms between the experimental conditions. Finally, confocal microscopy of actin filaments by

phalloidin staining showed that BAF complex disruption produced a clear change in neuroblastoma

cell morphology to a rounded form with the destruction of stress fibres (Figure 4D), cell

prolongations that mediate cell motility [40]. Quantification of these prolongations confirmed the

observable morphological changes (Figure 4E).

Altogether, the invasiveness epigenetic program that was deactivated upon BAF disruption exerted

deleterious functional consequences *in vitro* on relevant metastasis-related properties in

neuroblastoma cells, such as ECM adhesion, motility, and invasiveness.

Structural integrity of the BAF complex is essential for neuroblastoma metastasis initiation and

progression in vivo

Based on *in vitro* evidence, we assessed the effects of BAF structural disruption on the initiation

and growth of neuroblastoma metastatic dissemination *in vivo* using mouse models of liver and

bone marrow metastasis generated by tail vein injection of SK-N-BE(2) cells [34].

Because the proliferation blockade exerted by BAF disruption on neuroblastoma cells was expected

to mask any deleterious effects on their arrival and invasion into the metastasis target organs, we

monitored the initial stages of liver colonisation by metastatic cells at single-cell resolution using

flow cytometry (Figure 5A). Neuroblastoma cells were detected and counted using the fluorescent

mCherry reporter, and their proliferation rate was assessed using a fluorescent proliferation tracing

Far Red dye. This double reporter system allowed the discrimination of the human neuroblastoma

cell population from mouse hepatocytes (Figure 5B). Only 4 days after injection, a drastic 10-fold

reduction in the detection of neuroblastoma cells was already observed in the liver of mice injected

with BAF-disrupted (i.e. shARID1A/B) cells, in comparison with those transduced with an empty

vector (Figure 5B and C). This decrease was not explained by differences in cell proliferation

because the tracer signal was similar between groups at this time point (Figure 5D). After three

days, while metastatic control cells had already started populating the liver by proliferation, the few

BAF-disrupted cells that had already reached the liver did not increase in number, becoming almost

undetectable and impeding proliferation tracer quantification (Figure 5B-D). These results prove

that BAF disruption impairs the initial liver colonisation of metastatic cells and not only the

posterior metastatic lesion growth through cell cycle arrest.

The long-term consequences of this anti-invasive effect were assessed by monitoring metastatic

lesion growth and mouse survival, using a luciferase reporter gene for *in vivo* imaging (Figure 5A).

Prior *in vitro* analysis revealed that silencing of ARID1A and ARID1B produced a ~2.516-fold

decrease in the luminescence signal (Figure S8A), probably because of metabolic or chromatin

accessibility changes. By adjusting with this correction ratio, luminescence *in vivo* imaging at 1-

hour post-injection validated the homogeneous injection of viable cells between both experimental

groups, with all signals being concentrated in the lungs (Figure 5E-F). *In vivo* weekly imaging

showed the disappearance of cells from the lungs, followed by the detection and sustained increase

of luminescence signal in multifocal liver and bone marrow metastases in control mice, whereas in

animals injected with BAF-disrupted cells, the luciferase signal remained close to background

levels and no detectable metastases were observed, except for two mice that developed delayed

liver mono-focal metastases (Figure 5E-G, Figure S8B). Although no impact on mouse weight was

observed (Figure S8C), BAF disruption extended mouse survival (Figure 5H). While all control

mice died between days 53 and 71 post-injection, only 20% of mice injected with BAF-depleted

cells developed metastasis-related symptomatology and survived until days 80 and 85 post-

injection. Necropsy showed that livers from mice injected with BAF-disrupted cells were devoid of

macroscopic lesions, except for two mice that had developed delayed mono-focal metastases, in

contrast with the multifocal liver macro-metastases in the empty vector group (Figure 5I, Figure

S8D). This effect was further reflected in the liver weight difference between both groups (Figure

5J). Immunohistochemistry analyses identified only one micro-metastasis in slides from three

different lesion-free livers from the shARID1A/B group of mice (Figure 5K). Of note, the only two

macro-metastases observed in the BAF-disrupted group grew through evasion of the silencing

technique, since no downregulation of ARID1A/B, DPF2, or cyclin D1 was observed at the protein

level (Figure S6E), reinforcing the impact of BAF complex disruption on metastasis formation and

progression.

In summary, BAF structural disruption impairs neuroblastoma metastasis at very early stages by

reducing the arrival, invasion, and/or posterior survival of neuroblastoma cells at the metastatic site,

an effect later magnified by the strong blockade of cell proliferation. The combination of these

effects prevents the formation and growth of macro-metastases and expands the survival of

metastatic neuroblastoma models *in vivo*.

Discussion

Neuroblastoma oncogenic properties reflect aberrant epigenomes [5,6], which require molecular

effectors, including chromatin remodellers, to be translated into specific chromatin states. We had

already associated the main catalytic subunit of mSWI/SNF remodellers, SMARCA4/BRG1, with

poor prognostic clinical parameters and oncogenic transcriptional pathways in neuroblastoma [31].

This evidence, together with the recurrent mutations reported for the *ARID1A* and *ARID1B* genes in

patient samples [27,28], led us to deepen into the understanding of these epigenetic regulators as

plausible therapeutic targets.

Hence, we performed a systematic study of the presence, composition, and biological role of the

different mSWI/SNF complexes in neuroblastoma cells. Integral proteomic characterisation of the

mSWI/SNF complexes in neuroblastoma cells had not been previously reported. Thus, there was

still a need to address their presence and structural integrity to gain a complete understanding of

these remodellers in neuroblastoma. The SWI/SNF complex subunits are particularly vulnerable to

destabilisation and proteasomal degradation when physically separated from the complex [41].

Therefore, proteomic detection of all known subunits of the three mSWI/SNF subtypes after affinity

purification indicated the presence and structural integrity of these subcomplexes in neuroblastoma

cells.

ATP hydrolysis is a well-known energy source required for nucleosome mobilisation and chromatin

remodelling initiation by the SWI/SNF complexes. However, catalytic inhibition of the complex by

degradation of ATPase subunits did not exert observable effects on neuroblastoma proliferation,

whereas BAF-specific structural disruption did. Multiprotein complexes concentrate in time and

space varied molecular activities for the completion of a multistep process. As such, mSWI/SNF

remodellers integrate ATP-dependent DNA translocation, nucleosome anchor, and histone mark

binding, among several others, to achieve their functions. Thus, the inhibition of one of its activities

could not unveil all the roles of mSWI/SNF, as reported previously with the use of bromodomain

inhibitors [42]. Indeed, the SWI/SNF complexes are able to maintain altered unfolded nucleosomes

without any catalytic activity [43] and have been found to exert part of their genome-wide functions

in an ATPase-independent manner in *Drosophila* [44] and humans [45]. A possible explanation for

this phenomenon could be the interplay between the mSWI/SNF complex and transcriptional

repressors, such as its antagonist PRC2 [46], conditioning the need for catalytic activity for active

chromatin remodelling. Here, we demonstrated a relevant proliferative dependency of

neuroblastoma cells on BAF complexes, which is not produced after mere catalytic inhibition but

rather requires the full functional depletion of the complex through its structural disruption to be

unmasked. In any case, specific full ablation of the BAF complex impaired neuroblastoma

proliferation by promoting a strong arrest of the G_1 phase and the shutdown of a cell cycle

transcriptional signature associated with poor prognosis in patient expression datasets.

Concordantly, BAF disruption exerted a strong protein repression of cyclin D1 and downstream

effectors, a regulatory axis crucial particularly for neuroblastoma cells, which have higher levels of

dependency for this protein than those of other origins [6]. Our results show that inhibition of this

priority neuroblastoma target is one of the potential therapeutic benefits of BAF disruption.

Genome-wide analysis of the specific BAF molecular function, which is promoting chromatin

accessibility, has served to narrow down the list of BAF-modulated genes to those directly

regulated at the epigenetic level. Notably, ATAC-Seq revealed that the majority of differentially

accessible sites underwent repression after BAF disruption, supporting that most of the observed

chromatin remodelling events could be attributed to the activity of the BAF complex on these

genomic sites. The fact that these events were predominantly located at intergenic and intronic

sequences, most probably belonging to distal regulatory elements (i.e. enhancers), is in line with the

previously found tendency of BAF complexes towards these sites over promoters [18,35].

Moreover, the neuroblastoma mesenchymal transcriptional signature, repressed after BAF

disruption, is determined by a specific super-enhancer configuration [5], and many of these genes

(*LOXL2*, *SNAI2*, *CDH11*, *ITGAV*) are associated with chromatin repressive events. This could be a

reflection of a putative role of the BAF complex in maintaining open chromatin states at the super-

enhancers controlling the expression of this mesenchymal signature.

The merged list of both transcriptionally and epigenetically BAF-modulated genes was markedly

enriched with several players related to the cell-matrix interaction, a defining trait of the

invasiveness and metastatic potential. Metastatic spread is one of the major challenges in the

clinical management of neuroblastoma patients, occurring in 90% of newly diagnosed high-risk

cases and in more than 70% of relapsed cases [47,48]. Identifying the molecular mechanisms

enabling neuroblastoma cells to undergo every step of the metastatic process is essential for the

development of new therapeutic strategies against metastasis formation and progression in these

patients. Our findings indicate that the BAF complex allows the expression of an invasiveness

epigenomic program involving several adhesion molecules and mesenchymal genes, which is

deactivated upon BAF structural disruption. This set of genes includes a wide collection of

integrins, some of which have already been reported to have pro-metastatic functions in

neuroblastoma, including integrins α1, α3, α4, α4 αv, and β3 [49,50]. Hence, the functional

consequences observed in the adhesion, invasion, and metastatic functions of neuroblastoma cells

can be partly attributed to the epigenetic repression of this gene family. Either way, we could

dissect the detrimental effects on proliferation and prove relevant impairment of neuroblastoma

metastatic colonisation caused by BAF disruption in animal models.

While our results clearly show an oncogenic function of the BAF complex in neuroblastoma

proliferative and metastatic capacities by controlling poor prognosis-associated transcriptional and

epigenetic networks, they apparently conflict with the potential tumour suppressive functions of

ARID1A and ARID1B in neuroblastoma, supported by recurrent deleterious mutations [27,28], and

functional evidence showing that ARID1A loss promotes adrenergic-to-mesenchymal transition

[30] and potentiates invasion [29]. Nevertheless, these studies were based on the single inhibition of

one of the two homologous subunits and did not evaluate the effects of combined silencing of

ARID1A and ARID1B, which is necessary for full BAF structural disruption. Indeed, proliferative

dependency on ARID1B in ARID1A-mutated neuroblastoma cell lines has already been

demonstrated in one of these studies [30]. The increased metastatic properties attributed to the loss

of one homologue, whether by natural mutations or by experimental loss-of-function studies, could

be explained by an overcompensation effect of the remaining one. We hypothesize that the

remaining subunit would maintain the structural integrity of the BAF complex and its resulting

chromatin states, and would potentiate the expression of an invasiveness epigenomic program,

thereby becoming a proliferative and metastatic vulnerability of these cells.

Conclusions

The specific epigenetic control of such concrete functions by the BAF complex in neuroblastoma

cells opens the possibility of reverting an epigenetic program to inhibit several metastatic effectors

and regulators simultaneously, with potential as an anti-metastatic epigenetic therapy for

neuroblastoma. Furthermore, considering the strong effects of BAF disruption on proliferation

through cell cycle arrest and downregulation of one of the most-wanted neuroblastoma therapeutic

targets, cyclin D1, these findings reveal BAF complex structural disruption as a potential

therapeutic strategy for the treatment of metastatic high-risk neuroblastoma and urge for the design

and development of pharmacological structural disruptors of this chromatin remodeller.

List of Abbreviations

mSWI/SNF, mammalian switch/sucrose non-fermenting

BAF, BRG1-associated factor

PBAF, polybromo-associated BRG1-associated factor

ncBAF, non-canonical BAF

PBS, phosphate-buffered saline

ECM, extracellular matrix

EMT, epithelial-to-mesenchymal transition

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and materials

The sequencing datasets generated during the current study are available in the Gene Expression

Omnibus (https://www.ncbi.nlm.nih.gov/geo/) under accession numbers GSE202231 (RNA-Seq)

and GSE202306 (ATAC-Seq). Neuroblastoma patient sample gene expression analyses were

performed with publicly available datasets extracted from R2 genomics analyses and visualization

platform (http://r2.amc.nl) under the accession numbers GSE45547 and GSE62564.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

CJ and MFS conceived and designed the study. CJ, RA, MN-R, CS, MM, AM and JR carried out

the experiments. CJ, MN-R, LD-J, PL, AS and DL-N analyzed the data. AS, JSdT, DL-N, JR, FP,

EdN, SG and LM provided intellectual support for result interpretation and critical revision. CJ and

MFS wrote and revised the initial manuscript. All the authors read and approved the final

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Additional files

Additional file 1 (.pdf): Supplementary information. Includes Supplementary Materials and

Methods, Supplementary Figures, Supplementary Tables and References.

Additional file 2 (.xlsx): RNA-Seq BAF-modulated genes. List of genes transcriptionally modulated

in SK-N-BE(2) cells after BAF disruption through ARID1A and ARID1B silencing, ordered by

cluster. Log_2 fold change versus control and adjusted *p*-value are indicated.

Additional file 3 (.xlsx): ATAC-Seq and RNA-Seq BAF-downregulated genes. List of genes

transcriptionally repressed (RNA-Seq) and with associated chromatin closing events (ATAC-Seq)

in SK-N-BE(2) cells after BAF disruption through ARID1A and ARID1B silencing. RNA-Seq

expression $\log_2$ fold change versus control and adjusted *p*-value are indicated.

Figure legends

Fig. 1: Structural integrity of BAF complex is essential for neuroblastoma proliferation. (**A**) Mass

spectrometry analysis of mSWI/SNF complexes composition in SK-N-BE(2) and SH-SY5Y

neuroblastoma cell lines. Proteins enriched in SMARCA4/BRG1 co-immunoprecipitation compared

with normal IgG control in both cell lines (Bayesian False Discovery Rate (BDFR) < 0.05) are

shown. Fold change of average spectrum counts with respect to IgG (FC vs IgG) is represented. (**B**)

Degradation of mSWI/SNF ATPase subunits in neuroblastoma cells. Upper panel, schematic

representation of the catalytic subunits SMARCA2 (BRM) and SMARCA4 (BRG1). Lower panel,

proliferation assay of neuroblastoma cell lines treated with 1 μM of the SMARCA2/4 degrader

ACBI1, or its negative control *cis*-ACBI1 for 96 h. (**C**) Protein expression analysis of mSWI/SNF

subunits in neuroblastoma cells treated with 1 μM ACBI1 or *cis*-ACBI1 for 96 h. (**D**) mSWI/SNF

subtypes specific disruption in neuroblastoma cells. Upper panel, schematic representation of the

key subtype-specific subunits selected for gene silencing. Lower panel, 96 h proliferation assays

with neuroblastoma cell lines seeded at 72 h post-transduction with shRNA against the indicated

genes or with a non-silencing shRNA control. For BRD9 degradation, cells were treated with the

dBRD9 degrader or vehicle at the indicated doses. (**E**) Protein expression analysis of mSWI/SNF

subunits in neuroblastoma cells transduced with shRNA or treated with dBRD9 for 96 h. *** means

$p < 0.001$. Colour code indicates the condition against which the multiple comparison statistical

tests are calculated.

Fig. 2: Co-depletion of ARID1A and ARID1B uncovers a wide transcriptome reprogramming

involving cell cycle blockade. (**A**) Modulated genes in SK-N-BE(2) cells after single silencing of

ARID1A or *ARID1B* with two different shRNAs for each gene (shARID1A, shARID1B) and two

combinations of shRNA for both genes (Combination) analysed by RNA-Seq. Venn diagram shows

the number of modulated genes in comparison with a non-silencing control for each of the three

experimental conditions, using cutoff values of $\log_2$ FC (Fold Change) > 1.5 or < -1.5, and adjusted

p-value < 0.001. (**B**) Heatmap representing relative RNA expression of genes modulated after BAF-

disruption (Combination), using a cutoff of $\log_2$ FC > 1.5 or < -1.5, and adjusted *p*-value < 0.001.

Genes were sorted by single inhibition behaviour-based clusters. (**C**) Pie chart representing the

proportion of BAF-modulated genes included in each cluster. (**D**) Comparison of expression FC

against control among experimental groups of BAF-modulated genes split in single inhibition

behaviour-based clusters. (**E**) Normalized Enrichment Score (NES) and False Discovery Rate

(FDR) of the top 5 hallmarks of transcriptionally enriched genes in control compared with BAF-

depleted cells (shARID1A/B), by Gene Set Enrichment Analysis. (**F**) Heatmap representing relative

RNA expression of those genes included in E2F targets, G_2-M checkpoint and mitotic spindle

hallmarks from MSigDB, and modulated after BAF-disruption using a cutoff of $\log_2$ FC > 1 or < -1,

and adjusted *p*-value < 0.001. (**G**) Cyclin D1 (CCND1) and phosphorylated Rb (p-Rb) western blot

analysis of BAF-disrupted cells, at 96 h after transduction with shARID1A and shARID1B. (**H**)

Cell cycle analysis of neuroblastoma cell lines co-transduced with shARID1A and shARID1B, or

with control shRNA, analyzed by flow cytometry. (**I**) Quantification and comparison of the

different cell cycle phase populations detected by flow cytometry. (**J**) RNA expression of a BAF-

modulated the cell cycle transcriptional signature consisting of 171 genes in a gene expression

dataset of 498 human neuroblastoma tumours (GSE62564). Patients were unbiasedly clustered into

high and low BAF score groups, as described in Supplementary Materials and Methods. (**K**)

Kaplan-Meier plots comparing the overall survival of high and low BAF score group of patients.

(**L**) Comparison of BAF signature score between risk groups and INSS (International

Neuroblastoma Staging System) stages. * means $p < 0.05$; ** means $p < 0.01$; *** means $p < 0.001$.

Color code indicates the condition against which the multiple comparison statistical tests are

calculated.

Fig. 3: Genome-wide repression of chromatin accessibility after BAF disruption involves an

invasiveness-related gene expression network. (**A**) Heatmap and profile plots of significantly

ATAC-Seq modulated peaks (False Discovery Rate < 0.01) after BAF disruption (shARID1A/B) in

SK-N-BE(2). Two different combinations of shRNAs against both genes were used. (**B**)

Comparison of genes annotated to chromatin repressive events by ATAC-Seq with BAF-modulated

genes by RNA-Seq ($\log_2$ FC > 1 or < -1, adjusted p-value < 0.05). (**C**) Heatmap representing

relative RNA expression of 719 BAF disruption-modulated genes and associated to ATAC-Seq

chromatin accessibility repressive events. (**D**) Representative ATAC-Seq coverage images of the

genome browser of repressed sites after BAF disruption in the indicated genes also repressed at the

mRNA level. (**E**) Gene Ontology (GO) Biological Process analysis of 469 ATAC and RNA-Seq

repressed genes, showing enriched categories with adjusted p-value < 0.01. (**F**) Heatmap

representing relative mRNA expression of 11 BAF-modulated integrin genes and the mean

normalized ATAC-Seq counts of their observed chromatin accessibility repressive events. (**G**)

Integrin β3 and α9 western blot analysis in BAF-disrupted cells, at 96 h after co-transduction with

shARID1A and shARID1B. (**H**) Gene Set Enrichment Analysis plot of the neuroblastoma

mesenchymal phenotype gene expression signature on control versus shARID1A/B (RNA-Seq).

Normalized Enrichment Score (NES) and False Discovery Rate (FDR) are shown. (**I**) Western blot

validation of the mesenchymal protein LOXL2 repression after BAF disruption, 96 h post co-

transduction with shARID1A and shARID1B (shARID1A/B).

Fig. 4: BAF complex disruption reduces collagen adhesion and invasion *in vitro*, and causes

morphological changes and destruction of stress fibres in neuroblastoma cells. (**A**) Adhesion assays

of neuroblastoma cells to collagen-coated 96-well plates. Cells were aspirated and rinsed at the

indicated time points after seeding. Attached cells were quantified using crystal violet staining and

absorbance values were normalized to non-aspirated cells. (**B**) Invasion assay with collagen-coated

transwells. Neuroblastoma cells ($2x10^5$ cells/well) were seeded in collagen-coated transwells and let

migrate for 16 h. Invaded cells were quantified by crystal violet staining (**C**) Representative

microscopy pictures invaded cells. (**D**) Representative images of neuroblastoma cells stained with

phalloidin (F-Actin), anti-tubulin antibody and DAPI by confocal microscopy. (**E**) Quantification of

tubulin-free phalloidin area per cell of SK-N-BE(2) (upper graph) and SH-SY5Y (lower graph) cell

lines. Ten fields per biological replicate (n = 3) were analyzed. ** means $p < 0.01$; *** means $p <$

0.001.

Fig. 5: BAF disruption impairs neuroblastoma metastasis initiation and growth *in vivo*. (**A**)

Experimental design of the in vivo short- and long-term neuroblastoma metastasis models

performed with SK-N-BE(2) cells. (**B**) Representative flow cytometry plots of the short-term

metastasis experiment, showing mCherry positive (mCherry+) and FarRed positive/mCherry

negative (FarRed+) single living cell populations. (**C**) Quantification of detected mCherry positive

cells, expressed in events per million of living single cells (parent gate). Mann-Whitney's test was

performed for statistical comparisons. Fold change between conditions are indicated. (**D**) Average

FarRed intensities assessed, when possible, for the mCherry+ population of each experimental

group. (**E**) Representative *in vivo* luminescence images of 5 mice per group at the indicated time

points. Scale bar represents luminescence counts (photons). (**F**) Luminescence quantification,

expressed in average counts, and comparison between experimental groups at the indicated times

post-injection. (**G**) Individual mice luminescence quantification follow-up through the entire

experiment. (**H**) Kaplan-Meier survival plot comparing mice injected with SK-N-BE(2) transduced

with empty vector (Empty) or shARID1A/B. Log-rank test was performed to assess statistical

significance. (**I**) Representative images of mouse livers after necropsy. (**J**) Comparison between

groups of liver weight at necropsy. (**K**) Representative bright field microscopy images of

chromogranin A immunohistochemistry of FFPE liver slides. *ns* means 'non-significant'; * means *p*

< 0.05; ** means $p < 0.01$; *** means $p < 0.001$.

A In vivo metastasis models

Tail vein injection
SK-N-BE(2)-FLUC

Empty vector   shARID1A/B

Short-term metastasis model   *Long-term metastasis model*

After **4** and **7** days:
Necropsy
Liver dissociation
Mouse cell depletion by magnetic capture

Flow cytometry
NB cell marker: mCherry
Proliferation: CellTrace
Viability: SYTOX

Follow up:
Luciferase reporter
In vivo imaging
+
Survival monitoring

B Short-term metastasis model

Empty vector   shARID1A/B

4 days
mCherry+ 2.07%   mCherry+ 0.09%
Far Red+ 0.09%   Far Red+ 0%

7 days
mCherry+ 4.58%   mCherry+ 0.01%
Far Red+ 0.77%   Far Red+ 0%

mCherry

CellTrace Far Red

C

mCherry+ cells / million

*   *
x10   x77

4 days   7 days
Time post-injection

Empty vector   shARID1A/B

D

log₂ FarRed Signall

ns   ***
Non-determined

4 days   7 days
Time post-injection

Empty vector   shARID1A/B

E Long-term metastasis model

Time post-injection: Empty vector   shARID1A/B

1 hour

3 weeks

Counts: 500 1000 1500 2000 2500

4 weeks

5 weeks

Counts: 20000 40000 60000

F

Average counts

ns   ***   ***   ***

1 hour 3 weeks 4 weeks 5 weeks
Time post-injection

G

Average counts

0 1 2 3 4 5 6 7 8 9 10 11 12
Weeks after injection

Empty   shARID1A/B

H

Percent survival

Empty
shARID1A/B

Log-rank
P < 0.0001

30 40 50 60 70 80 90 100
Days elapsed

I

Empty

shARID1A/B

1 cm

J

Liver weight (g)

***

Empty   shARID1A/B

K Liver Chromogranin A IHC   500 μm

Empty vector

shARID1A/B

Macro-metastase
Liver parenchyma
Micro-metastases
Liver parenchyma