The largest haplotype-phased and chromosome-scale genome assembly of the fungal pathogen, *Puccinia polysora*, by HiFi reads and Hi-C data

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Abstract

Rust fungi are characterized by large genomes with high repeat content, and have two haploid nuclei in most life stages, which makes achieving high-quality genome assemblies challenging. Here, we describe a pipeline using HiFi reads and Hi-C data to assemble a gigabase-sized fungal pathogen, *Puccinia polysora* f.sp. *zeae*, to haplotype-phased and chromosome-scale. The final assembled genome is 1.71 Gbp, with ~850 Mbp and 18 chromosomes in each haplotype, being currently the largest fungal genome assembled to chromosome scale. Transcript-based annotation identified 47,512 genes with a similar number for each haplotype. A high level of interhaplotype variation was found with 10% haplotype-specific BUSCO genes, 5.8 SNPs/kbp, and structural variation accounting for 3% of the genome size. The *P. polysora* genome displayed over 85% repeat content, with genome-size expansion, gene losses and gene family expansions suggested by multiple copies of species-specific orthogroups. Interestingly, these features did not affect overall synteny with other *Puccinia* species with smaller genomes. Fine-time-point transcriptomics revealed seven clusters of co-expressed secreted proteins that are conserved between two haplotypes. The fact that candidate effectors interspersed with all genes indicated the absence of a "two-speed genome" evolution in *P. polysora*. Genome resequencing

of 79 additional isolates revealed a clonal population structure of *P. polysora* in China with low geographic differentiation. Nevertheless, a minor population drifted from the major population by having mutations on secreted proteins including *AvrRppC*, indicating the ongoing evolution and population differentiation. The high-quality assembly provides valuable genomic resources for future studies on the evolution of *P. polysora*.

Keywords: rust fungi, southern corn rust, *de novo* genome assembly, phasing, population genomics, *AvrRppC*

1 | INTRODUCTION

Rust fungi (Pucciniales) constitute one of the largest orders in the kingdom of fungi, with more than 8,000 species grouped into 18 families and approximately 170 accepted genera (Zhao et al. 2020; Aime and McTaggart 2021; Zhao et al. 2021). Rust fungi are obligate biotrophs, which are usually recalcitrant to in vitro culturing and show host-specificity to particular species, genera, or families of vascular plants. Their life cycles are diverse and complex, including up to five types of spores (spermatia, aeciospores, urediniospores, teliospores, and basidiospores) (Zhao et al. 2021). Spores of the most abundant life stage are dikaryons, which contain two physically separated and genetically different haploid nuclei. The Pucciniales contains fungal species with the largest known genomes, with an average of haplotype genome size 380 Mbp estimated by flow cytometry, far larger than that of all fungi (37.7 Mbp) (Tavares et al. 2014). Based on flow cytometry, the largest known rust genome (*Uromyces bidentis*) was estimated up to 2.4 Gbp (Ramos et al. 2015). The repeat contents of rust genomes range from 30% to 91% (Amie et al. 2017; Tobias et al. 2021) Their dikaryotic nature and highly repetitive sequences pose substantial challenges for high-quality genome assembly as well as in-depth understanding of evolution within the rust group.

In addition to the above intriguing evolutionary features, rust fungi have also received wide attention for causing major diseases on agricultural and forest crops worldwide. The most devastating rust pathogen include *Puccinia striiformis*, *P. graminis* and *P. triticina*, causing three of the world's most serious wheat rust diseases (Kolmer et al. 2005); *Puccinia sorghi* and *P. polysora,* also seriously threaten global crop production by causing common and southern corn rust of maize (Crouch and Szabo 2011; Ramirez-Cabral et al. 2017); and *Melampsora larici-*

63 *populina* and *Austropuccinia psidii*, two notorious forest pathogens leading to myrtle rust and
64 poplar rust, respectively (Pinon and Frey 1997; Winzer et al. 2019). Effective strategies of
65 management of rust disease rely heavily on host resistance breeding programme. In host-rust
66 interactions, rust fungi deliver specific effectors (avirulence genes, *Avr*) into host cells, which
67 can be recognized by resistance proteins I from resistant plants and trigger defense responses
68 (Dodds and Rathjen 2010). However, rust pathogens can acquire mutations in the *Avr* gene to
69 avoid this recognition and facilitate the pathogen infection (Cui et al. 2015). For instance, the
70 Ug99 race (TTKSK) of *P. graminis* evolved virulence to the widely deployed *Sr31* resistance
71 gene in wheat has become a big threat to global wheat production (Singh et al. 2011). Therefore,
72 understanding the molecular mechanism of *Avr*-*R*, or gene-for-gene relationship, is critical for
73 durable disease control. Given their biotrophic lifestyle, genetic transformation of rust fungi is
74 difficult and challenging. Nevertheless, genome sequencing data of rust species has enabled the
75 prediction of candidate effectors which facilitate the identification of *Avr* genes (Figueroa et al.
76 2016; Anderson et al. 2016; Maia et al. 2017; Salcedo et al. 2017; Chen et al. 2017; Miller et al.
77 2018; 2020; Upadhyaya et al 2021).

78 Early rust genome assemblies were haploid representations and different homologous
79 haplotypes were collapsed into a consensus assembly, which did not fully capture sequences
80 from both nuclei. Using single-molecule real-time (SMRT) sequencing technology and
81 haplotype resolution software, FALCON-Unzip (Chin et al. 2016), allowed higher contiguity and
82 partially haplotype-phased genomes of a few *Puccinia* species (Miller et al. 2018; Schwessinger
83 et al. 2018, Wu et al. 2021). Other softwares have been developed to obtain sub-assemblies from
84 diploid assemblies, such as HaploMerger2 (Huang et al. 2017) and Purge_Haplotigs (Roach et al.
85 2018), but many duplicate contigs cannot be correctly switched leading to mis-joins in the final
86 sub-assemblies. A recent pipeline, NuclearPhaser, was designed to phase two haplotypes from
87 the diploid genome using Hi-C data (Duan et al. 2022). Three *Puccinia* species, causing wheat or
88 oat rust have been assembled to a fully-phased and chromosome level using NuclearPhaser (Li et
89 al. 2019; Duan et al. 2022; Henningsen et al. 2022). Unlike wheat-like rusts, which have been
90 studied extensively, the genome information of corn rust pathogens is largely unknown. In
91 changed global cereal settings, maize has become the world's most productive food crop (FAO,
92 https://www.fao.org/faostat/en/#data/QCL), meanwhile, the distribution of the two major rust
93 diseases (common corn rust and southern corn rust) on corn is projected to expand to temperate

regions with increasing global temperatures (Ramirez-Cabral et al. 2017), which poses a greater threat to global food safety. A draft genome sequence of common corn rust (*P. sorghi*) was released in 2016, although it was highly fragmented (Rochi et al. 2016), however, genomic information of southern corn rust pathogens has not been reported, which seriously hampers avirulence gene identification and breeding of disease resistance. The genome sizes of recent phased *Puccinia* species range from ~170 Mbp to 250 Mbp (Li et al. 2019; Miller et al. 2018; Wu et al. 2021), whereas the genome of *P. polysora* was estimated up to 1.7 G in our preliminary test, which poses new challenges for genome assembly.

In this study, we assembled the genome of *P. polysora* to haplotype-resolved and chromosome-scale levels using a modified haplotype-phasing assembly pipeline. To our knowledge, this is the largest fungal genome as well as the first giga-scale fungal genome ever assembled at the chromosomal level. The *P. polysora* genome was annotated by fine-time-point gene expression data from germinated spores and infected issues, which supplied robust data for coexpression analyses of secreted proteins and prediction of candidate secreted effectors. Also, we investigated the genetic divergence and population structure of *P. polysora* using the genome resequencing of 79 samples from different parts of China. The high-quality genome information represents a valuable resource for better understanding genome evolution, host adaption, and genes involved in host-pathogen interactions.

2 | MATERIALS AND METHODS

2.1 | Fungal isolates and plant inoculation

The isolate, GD1913, collected from Guangdong province in the 2019 annual rust survey, was selected for reference genome sequencing. A total of 79 isolates, representing three possible populations of *P. polysora* from the south, central, and north China were selected and used for genome resequencing (Table S1). All isolates were purified by selecting a single pustule from infected leaves and amplified by 2–3 rounds of infection on highly susceptible variety Zhengdan 958. Corn seeds immersed in Chlormequat chloride (1.5 g/L) were sown in 10-cm wide square pots 10 days before inoculation. When 10-cm tall, seedling growth was reduced by adding 15 mL maleic hydrazide acid (1.5 g/L) per pot. Urediniospores were amplified by spraying on 10-day-old corn seedlings. The inoculated seedlings were incubated with dew at 27°C in the dark for 24h before transferring to a climate-controlled chamber (12h, 25°C dark period and a 12h, 27°C light period). To prevent airborne contamination, 75% ethyl alcohol was sprayed on the area

125 after each inoculation and each pot was protected by a cellophane bag. Once the pots were
126 heavily infected (ca. 15–20 days), spores were harvested to clean cellophane by scraping them
127 with sterile needles. For long-term storage, fresh spores were dried (10% relative humidity) in a
128 desiccator for 1 day at 4°C and then maintained at -80°C or in liquid nitrogen.

129 **2.2 | DNA extraction, library preparation, and sequencing**

130 About 500 mg of fresh urediniospores were ground for 4-5 batches in liquid nitrogen and
131 genomic DNA was extracted using the lysis buffer and cetyltrimethylammonium bromide
132 (CTAB) method (Justesen et al. 2002). The integrity of genomic DNA was assessed by Agilent
133 4200 Bioanalyzer (Agilent Technologies, Palo Alto, California, USA). The average insert size of
134 15 kb PacBio library was concentrated with AMPure PB magnetic beads (Pacific Biosciences,
135 California, USA) following the manufactu'er's instruction. Sequencing of high fidelity (HiFi)
136 long reads was carried out by the Pacific Bioscience Sequel II platform. For genome
137 resequencing, ~10 mg fresh urediniospores were placed in 2 mL screw-gap tubes filled with
138 Lysing Matrix C and ground twice in MP FastPrep-24 TM 5G (Mp Biomedicals, USA) with a
139 speed setting of 4 for 20 seconds. The paired-end library with 150 bp was prepared and
140 sequenced using Illumina Novaseq 6000. All sequencing was performed at Annoroad Gene
141 Technology Co., Ltd, (Beijing, China).

142 To generate a chromosome-level and haplotype phased assembly of *P. polysora* genome, a
143 Hi-C library was generated following in situ ligation protocols. In brief, fresh urediniospores (~
144 100 mg) of GD1913 were used for crosslinking reaction by 2% formaldehyde at room
145 temperature for 15 min. After Glycine quenching, the supernatant was removed and spores were
146 then ground with liquid nitrogen for DNA extraction. The purified DNA was digested with *Mbo*
147 I restriction enzyme (New England Biolabs Inc. Beijing, China) and was labeled by incubating
148 with Biotin-14-dATP (Thermo Fisher Scientific, Massachusetts, USA) and then ligated by T4
149 DNA Ligase (Thermo Fisher Scientific, Massachusetts, USA). After incubating overnight to
150 reverse crosslinks, the ligated DNA was sheared into ~350 bp fragments. Finally, the Hi-C
151 library was quantified by Bioanalyzer and sequenced on the Illumina NovaSeq 6000 platform
152 using paired-end 150 cycles.

153 **2.3 | *De novo* assembly, haplotype phasing and Hi-C scaffolding**

154 HiFi reads were assembled by Canu 2.1.1 with -pacbio-hifi (Nurk et al. 2020). The coverage
155 depth was calculated by genomeCoverageBed in BEDtools (v2.29.2) (Quinlan and Hall 2010)

156 and t oronmll contigs (< 20 kbp) with low coverage (< 2×) were excluded from the further
157 assembly. The remaining contigs were examined by BLASTN search (version 2.7.2) against the
158 NCBI nt/nr database (downloaded on May 20, 2021) with E-value set as 1e-10. Contigs with
159 significant matches to mitochondrial, plant rDNA, or chloroplast sequences were discarded in the
160 final assembly.

161 To obtain a haplotype-phased assembly, HaploMerger2 (Huang et al. 2017), a tool to
162 rebuild both haploid sub-assemblies from the high-heterozygosity diploid genome, was used.
163 The heterozygosity evaluated by Jellyfish v. 2.1.3 (Marcai and Kingsford 2011) and
164 Genomescope.R (Vurture et al. 2017) was 1.08% leading to the identity setting as 95% (Huang et
165 al. 2017). To better distinguish allelic and non-allelic combinations, the scoring scheme for
166 alignments was recalculated by a Perl script (lastz_D_Wrapper.pl) that came with HaploMerger2.
167 The top 22 (in length) contigs accounting for ~10% length of all contigs were assigned to
168 part1.fasta and others were in part2.fasta. Mis-joins were detected by three rounds and all breaks
169 were manually checked combined with Hi-C validation. Based on all-vs-all alignment
170 (hm.new_scaffolds), contigs were assigned separately to haplotype A and haplotype B.
171 For scaffolding, the raw Hi-C data were trimmed by removing adapters and low-quality bases,
172 and then two-paired reads were separately mapped to two haplotypes independently using BWA-
173 MEM v.0.7.8 (Li and Durbin 2010). To move experimental artifacts, the alignments went
174 through the mapping workflow from the Arima Genomics pipeline
175 (https://github.com/ArimaGenomics/mapping_pipeline/blob/master/01_mapping_arima.sh).
176 Then SALSA v.2.2 (Ghurye et al. 2017) was run to cluster initial contigs into groups. SALSA
177 scaffolding was performed independently on haplotype A and B contigs. Hi-C contact matrices
178 for each scaffold were calculated by HiC-Pro (Servant et al. 2015) and contact maps are
179 visualized by HiCPlotter (Akdemir and Chin 2015). Contig reversal, breaking of mis-joins were
180 corrected manually according to Hi-C contact map and global alignment resulted from
181 HaploMerger2 (hm.new_scaffold). Hicexplorer v3.4.1 (Wolff et al. 2018)) was used to calculate
182 Hi-C links of read pairs to each haplotype. To guide scaffolds to chromosomes, telomeres were
183 identified by a custom Perl script. The possible tandem repeats, (CCTAAA/TTAGGG)n in most
184 filamentous ascomycete fungi (Lue 2021), and other irregular type reported in closely related
185 rust fungi, (CCCTAA/TTTAGG)n, (CCCCTAA/TTAGGGG)n, (CCCTAAA/TTTAGGG)n,
186 (TTAGGG/CCCTAA)n, were all tested (Li et al. 2019; Tobias et al. 2021). Finally

187 (CCCTAAA/TTTAGGG)n was identified as the telomere repeat units for *P. polysora*. Then
188 corrected scaffolds were rebuilt to chromosome-level by a Perl script. The workflow of
189 chromosome assembly has been illustrated in Figure 1A. The completeness of each haplotype
190 was evaluated using BUSCOs of basidiomycota_odb9 and *Ustilago maydis* as the selected
191 species for AUGUSTUS gene prediction (Stanke and Morgenstern 2005) in BUSCO v. 3.0.2
192 (Simão et al. 2015).

193 **2.4 | RNA extraction and sequencing**

194 For gene annotation, transcriptome sequencing of *P. polysora* from various infection time points
195 was performed. Corn seedlings of 18 pots (10 seedlings/pot) were inoculated with 10 mg
196 spores/mL mixed of Tween 20 (v/v: 0.05%). About five infected leaves were cut off per
197 biological replicate at 1 day post inoculation (dpi), 2 dpi, 4 dpi, 7dpi, 10 dpi, and 14 dpi. In
198 addition, germinated spore samples were prepared by placing 30 mg of fresh urediniospores on
199 the surface of sterile water at 25–28°C in dark for12 hours. Three biological replicates were
200 performed for each condition. All samples were collected in 4 mL Eppendorf tubes, frozen in
201 liquid nitrogen before storing at -80°C. Before RNA extraction, samples were ground in liquid
202 nitrogen and RNA was extracted using the RNeasy Plant Minikit (Qiagen) according to the
203 manufactuer's protocols (https://www.qiagen.com/us/resources/download.aspx?id=246847e7-
204 0095-43e4-8d1d-41df3f9153dd&lang=en). After checking the RNA quality by Bioanalyzer,
205 ~350 bp library was constructed and sequenced by Illumina Novaseq 6000 platform (Annoroad
206 Gene Technology Co., Ltd, Beijing, China). Before transcriptome assembly, raw reads were
207 trimmed using Trimmomatic v.0.36 (Bolger et al. 2014) with the settings: ILLUMINICLIP
208 2:30:10 LEADING 3, TRAILING 3 SLIDINGWINDOW 4:10 and MINLEN 50. A reference-
209 guided transcriptome assembly was performed in Trinity v.2.8.5 (Grabherr et al. 2011) using
210 combined reads from germinated spores and infected leaves.

211 **2.5 | Gene prediction and genome annotation**

212 The two haplotypes were annotated independently using Funannotate v1.8.7
213 (https://github.com/nextgenusfs/funannotate/releases/tag/v1.8.7). A pipeline of core modules was
214 applied as mask-> train->predict->update-> fix->annotate. The repeats of the assembled genome
215 were soft-masked according to a merged library including a self library in RepeatMasker v.4.0.8
216 (Smit et al. 2015) and a genome-trained library from RepeatModeler v.1.0.11 (Smit and Hubley
217 2008). The retrotransposons with long terminal repeats (LTR-RTs) were annotated with an in-

house pipeline that uses LTRharvest (Ellinghaus et al. 2008) packaged in LTR_retriever v2.9.0 (Ou and Jiang 2018). LTRharvest was also used to estimate the insert time of LTR-RTs according to the mutation rate of 2.0×10^{-8} of a closely related fungus, *Schizophyllum commune* (Baranova et al. 2015). The prediction step (funannotate predict) was run with transcript evidence from HISAT2 (Kim et al. 2015) RNA-seq alignments and genome-guided Trinity assemblies. Transcript evidence was aligned to two haplotypes separately using Minimap2 (Li 2018) and the protein evidence was aligned to the genome via Diamond (Buchfink et al. 2015)/Exonerate (Slater and Birney 2005) with the default UniProtKb/SwissProt protein database (http://legacy.uniprot.org/uniprot/?query=reviewed%3Ayes) from funannotate. The PASA gene models were parsed to train AUGUSTUS v3.2.3 (Stanke and Morgenstern 2005), snap and GlimmerHMM. In addition, GeneMark_ES (Lomsadze et al. 2005) was self-trained using two haplotypes' sequences. All above evidence was combined with default weight settings using Evidence Modeler (Haas et al. 2008) and filtered by removing genes with short length (< 50 aa), spanning gaps and transposable elements. The tRNA genes were predicted using tRNAscan-SE v1.3.1 (Lowe and Chan 2016). Funannotate update command to add UTR data to the predictions and fix gene models that are in disagreement with the RNA-seq data. Functional annotation was performed based on available databases including Pfam v. 34.0) (Finn et al. 2014), InterPro (v. 86.0) (Jones et al. 2014), eggNOG (v5.0) (Huerta-Cepas et al. 2019), UniProtKB (v. 2021_03), MEROPS (v. 12.3) (Rawlings et al. 2016), carbohydrate hydrolyzing enzymatic domains (CAZymes) (Terrapon et al. 2017) and a set of transcription factors based on InterProScan domains to assign functional annotations.

2.6 | Interhaplotype variation analysis

Small variants including SNPs and indels were identified by mapping trimmed short reads from DNA against haplotype A with BWA-MEM v0.7.8 (Li and Durbin 2010). After removing PCR duplicates using Picard v.2.18.27 (https://github.com/broadinstitute/picard), the bam file was input to GATK 4.1.9 (https://github.com/broadinstitute/gatk) to call SNPs. SNPs and Indels were filtered using gatk VariantFiltration with parameters QD > 2.0 & MQ > 40.0 & FS < 50.0 & SQR < 3.0 for SNPs and QD > 2.0 & QUAL > 30.0 & FS < 200.0 & ReadPosRankSum>-20.0. Variants were annotated from genome location and functional impact using SnpEff v4.3 (Cingolani et al. 2012). To estimate structure variations (SVs) between two haplotigs, we aligned 18 chromosomes of haplotype A to their corresponding chromosomes in haplotype B by using

249 the nucmer program in Mummer 4 (--maxmatch -t 100 -l 100 -c 500) (Marçais et al. 2018). The
250 chromosome-pair alignments were then analysed using Assemblytics (http://assemblytics.com/)
251 with the unique sequence length=10,000, maximum variant size=10,000 and minimum variant
252 size=1. To identify the orthologous genes between two haplotypes, we used OrthoFinder v2.5.4
253 with the default parameters (Emms and Kelly 2015).

254 **2.7 | Prediction of candidate effectors**

255 Secreted proteins were predicted based on two rules 1) the presence of a predicted signal peptide
256 using SignalP 4.0 (Petersen et al. 2011); and 2) the absence of predicted transmembrane domains
257 outside the first 60 amino acids (with TMHMM 2.0). To predict candidate effectors, we use
258 EffectorP 3.0 (Sperschneider and Dodds 2021), which applied two machine learning models
259 trained on apoplastic and cytoplasmic effectors. The density plots for genes, repeats, and secreted
260 proteins from chromosomes in two haplotypes were generated using KaryoploteR (Gel and Serra
261 2017). A recent study identified the first effector of *P. polysora*, *AvrRppC* (Deng et al. 2022). To
262 locate its genome position, we blasted the CDS sequence of $AvrRppC^{ref}$ against genome
263 sequences of two haplotypes in this study.

264 To understand the expression pattern of secreted proteins, we used all expression data from
265 germinated spores and six timepoints to perform a differential expression analysis. RNA-seq
266 reads were mapped to haplotype A by HISAT2 v2.2.1 (Kim et al. 2015) and FeatureCounts
267 v1.5.3 (Liao et al. 2014) was used to generate read counts for each gene model of secreted
268 protein. Differentially expressed genes were identified by expression in plants relative to
269 germinated spores (|log fold change| > 1.5; adjusted $P < 0.1$) using the DESeq2 R package (Love
270 et al. 2014). The average rlog-transformed values for each gene were used for clustering using
271 the *k*-means method. The optimal number of clusters was defined using the elbow plot method
272 and circular heatmaps were plotted using the Circlize R package (Gu et al. 2014).

273 **2.8 | Comparative genome analysis**

274 The high quality of *P. polysora* genome provides the opportunity for comparison with other
275 chromosome-level references, such as wheat stem rust (*Pgt*21-0), wheat left rust (*Pt*76) and oat
276 crown rust (*Pca*203) (Li et al. 2019; Duan et al. 2022; Henningsen et al. 2022). Syntenic gene
277 pairs among four *Puccinia* species were identified using the MCSCAN toolkit (Wang et al.
278 2012). Figures were plotted using MCscan (Python version).
279 (https://github.com/tanghaibao/jcvi/wiki/MCscan-(Python-version)). Orthofinder v2.5.4 (Emms

280 and Kelly 2015) was applied to compare the orthogroups and the proteome between *P. polysora*
281 and its close rust species with available high-quality genome.

282 **2.9 | Population genetic analyses**

283 To understand the population differentiation of *P. polysora* in China, 79 isolates were
284 resequenced. Reads were qualified by Trimmomatic v.0.36 (Bolger et al. 2014) using the
285 parameters described in the RNA extraction and sequencing paragraph. To assess whether each
286 isolate comprises a single genotype free of contamination, the distribution of read counts for bi-
287 allelic SNPs was calculated by R package vcfR v.1.8.0 (Knaus and Grunwald 2017) and plotted
288 using ggplot2 (v2.2.1) (Wickham 2016). The normal distribution of read allele frequencies at
289 heterozygous positions is expected to rule out contamination from other *Ppz* genotypes and only
290 the pure isolates were applied in the following population genomic analyses. SNPs calling and
291 filtration were performed by GATK v4.1.9 as described in the interhaplotype variation analysis
292 section. Population structure was detected and quantified using principal component analysis
293 (PCA), which was performed using Plink v.1.9 (Purcell et al. 2007). Pairwise FST values were
294 estimated between geographical regions using the PopGenome R package (v2.6.1) (Pfeifer et al.
295 2014). To assess the effects of variants, the vcf file including selected SNPs was run in SnpEff
296 v4.3 (Cingolani et al. 2012). Because the sexual stage of *P. polysora* has not been reported, we
297 used the standardized index of association (*rd*) to test linkage disequilibrium in the Chinese *Ppz*
298 population. We constructed 100 sets of 10,000 random SNPs by samp.ia function from R
299 package *poppr* (Kamvar et al. 2014) to generate a distribution of *rd* values. The observed *rd*
300 distribution of the Chinese population was compared to the distribution of 10,000 *rd* values
301 constructed using fully randomly simulated datasets with 0%, 50%, 75% and 100% linkage.

302 **3 | RESULTS**

303 **3.1 | Chromosome-level genome assembly and haplotype-phasing of *P. polysora***

304 We generated a total of 53 Gbp of circular consensus reads (32× coverage) from single-molecule
305 real-time sequences on the PacBio Sequel II. A total of 4056 contigs were assembled with a
306 genome size of 1.76 Gbp and a contig N50 of 1.9 Mbp (Table 1). A total of 1627 contigs,
307 accounting for ~2% of the *de novo* assembly size were excluded due to small size, low coverage
308 or high mitochondrial similarity (Table S2). By using Haplomerger2, the remaining contigs were
309 assigned to two haplotypes, A and B, with 613 contigs representing ~862 Mbp and 1321 contigs
310 representing ~852 Mbp, respectively. These contigs were further connected to 173 and 344

311 scaffolds in haplotypes A and B by Hi-C scaffolding (Table 1), after manual checking of 33
312 duplicated scaffolds between (17) and within (16) haplotypes (Table S3). By considering both
313 the Hi-C contact maps and the all-vs-all alignment from Haplomerger2, 18 super scaffolds were
314 binned for each haplotype manually. The Hi-C contact map showed evidence of a single
315 centromere on each scaffold and all scaffolds contained telomere sequences at either end (Table
316 1, Figures 1B, 1C), indicating that each haplotype contains 18 chromosomes. This is consistent
317 with the observations of 18 chromosomes in the genome of other *Puccinia* species (Boehm et al.
318 1992; Li et al. 2019; Wu et al. 2021; Duan et al. 2022). In addition, 15 (6.5 Mbp)) and 20 (4.5
319 Mbp) small scaffolds in haplotype A and B respectively could not be assigned to any
320 chromosome. The 18 chromosomes of haplotype A showed an average of over 80% Hi-C links
321 to haplotype A, suggesting high levels of nuclear phasing (Figure 1D). The chromosomes were
322 numbered according to the synteny with the other *Puccinia* species (see below).

323 **3.2 | Assessment of repetitive DNA content**

324 The completeness of the *Ppz* assembly was assessed based on highly conserved Basidiomycete
325 genes (1335 BUSCOs), which suggested that the two haplotypes showed a similar level of
326 completeness, with approximately 90% complete BUSCO genes and an additional 3.5%
327 fragmented BUSCOs (Table 1). However, 126 BUSCO genes were complete in haplotype A but
328 not present in haplotype B. On the contrary, 127 complete BUSCO genes are only present in
329 haplotype B, which suggests interhaplotype variation in *P. polysora*. Considering the two
330 haplotypes in combination, only 11 BUSCOs were missing or fragmented, resulting in 99%
331 complete BUSCOs. That means we assembled a near-complete genome of *P. poplysora*.

332 Using the *de novo* library of RepeatMasker and genome-trained library of RepeatModeler,
333 RepeatMasker detected repeats accounting for 85% of the diploid assembly (both haplotypes),
334 which is significantly higher than observed in closely related species of *Puccinia* (30%–59%)
335 (Miller et al. 2018; Schwessinger et al. 2018; Wu et al. 2021), but similar to *Austropuccinia*
336 *psidii* (85% estimated in this study but 91% in its original description) (Tobias et al. 2021). The
337 repeat density and GC content along the two haplotypes were illustrated in Figure 2A. The large
338 percentage of repeats in *P. polysora* was mainly caused by Class I retrotransposons with long
339 terminal repeats (LTR), with the LTR-Gypsy superfamily most abundant (33.5%), and LTR-
340 Copia next (17.9%). The TE family composition was similar to other rust species, with the
341 exception of *A. psidii*, which is LTR-Gypsy dominated with LTR-Copia accounting for only

342 1.9% (Figure 2B). In addition, about 20% and 16% of repeats of *P. polysora* in two haplotypes
343 were unclassified repeat families based on Repbase (Bao et al. 2015).
344 Since LTR-RTs occupy the majority of the *P. polysora* genome, we examined whether
345 these repetitive sequences slowly accumulated over time or alternatively were subject to sudden
346 expansion in the life history of *P. polysora*. A total of 41,634 LTR-RT pairs were extracted. An
347 LTR-RT burst was estimated at around 1.7 Mya (Figure 2C).

348 **3.3 | High levels of interhaplotype gene content and structural variation**

349 RNA-seq reads from germinated spores and infected corn leaves at 1, 2, 4, 7, 10, and 14 dpi
350 (Table S4) were pooled and used to generate genome-guided transcriptome assemblies. In total,
351 we annotated 23,270 and 24,242 gene models on haplotype A and haplotype B, respectively
352 (Table 2). However, the gene space accounts for only ~4% of the total genome size. The two
353 haplotypes showed a similar level of functional annotation with about 51% of proteins having at
354 least one functional annotation. Orthofinder identified a total of 13,057 common orthogroups
355 between the two haplotypes, involving 20,802 genes from haplotype A and 21,519 genes from
356 haplotype B, over 90% in each haplotype. In addition, 198 and 235 orthogroups were specific to
357 haplotype A or B respectively. About 70% of haplotype-specific genes have no functional
358 annotations, with the remainder having annotated functions mainly in RNA mediated
359 transposition, transmembrane amino acid transposition or ATP binding (Table S5).
360 By mapping Illumina reads to only haplotype A, we detected a total heterozygous rate of
361 6.1 variants/kbp, in which SNP variant rate is dominated (5.8 SNPs/kbp). About 78% of the
362 SNPs were located in intergenic regions and the rest (22%) were detected in 22,802 protein
363 coding genes.The results of Assemblytics suggested that structural variation between haplotype
364 A and B comprised about 3.0% (26/849 Mbp) of the haploid genome size (Figure 3A). The full
365 chromosome-pair alignment between two haplotypes is illustrated in Figure 3B, with alignments
366 of chromsome09 and chromsome15 in Figure 3C and D), showing some insertions/deletions and
367 inversions. Among three types of variation, insertions/deletions and repeat
368 expansions/contractions are more prevalent than tandem expansions/contractions. Besides,
369 variation with size bins of 500 to 10,000 bp is the most prevalent, which accounts for 2.8%.
370 Because the maximal variant size was restricted to 10,000 in Assemblytic, the actual difference
371 between the two haplotypes could be even higher than estimated.

372 **3.4 | Prediction of secretome and candidate effectors.**

373 We predicted 1183 and 1251 secreted proteins on haplotype A and haplotype B, respectively. By
374 using the machine-learning tool, EffectorP3.0, about 14% and 27% of all secreted proteins were
375 predicted as apoplastic and cytoplasmic effectors, respectively (Table 2). GO enrichment
376 analysis and Interproscan annotation predicted some effectors involved in hydrolase activity,
377 catalytic activity, protein tyrosine phosphatase activity, metal ion binding, but most effectors had
378 no homology with known or predicted functions (Table S6, Figure S1). Then we used gene
379 expression data to predict clusters in the secretome that are differentially expressed during
380 infection. By using *k*-means clustering, seven clusters of genes with different expression profiles
381 were detected in haplotype A (Figure 4A). Genes in cluster 1 showed high expression in
382 germinated urediniospores and early infection (1dpi, 2dpi) but low expression at 4dpi and 7dpi
383 when haustoria form. On the contrary, genes in clusters 2, 3, 4 and 5 showed low expression in
384 germinated spores, and highest in planta expression at days 2-4 (cluster 2), days 2-7 (cluster 3)
385 and from day 7 (cluster 4 and 5). Clusters 6 and 7 were more uniform in expression through
386 these stages, although cluster 6 genes increased later in infection. About 33% to 80% of the
387 secreted proteins in these clusters were predicted as candidate effectors by EffectorP (Table S7).
388 A similar set of expression profile clusters were also detected for genes in haplotype B (Figure
389 4A). Nevertheless, two haplotypes presented different levels of carbohydrate-active enzymes
390 (CAZymes). A total of 179 and 289 CAZymes were detected, of which 8 vs 77 CAZymes were
391 predicted to be secreted in haplotypes A and B, respectively (Table S7). Among CAZymes
392 subclasses, Glycoside hydrolase (GH) enzymes are abundant, accounting for 48% (88 GH
393 families) and 42% (126 GH families) of all CAZymes of haplotype A and haplotype B,
394 respectively. Of these, 51 GH families were predicted to be secreted. The GH5 (cellulase and
395 other diverse forms being exo-/endo-glucanases and endomannannases) family (Langsto et al.
396 2011) was observed to be largely expanded in *P. polysora* as well as other *Puccinia* species
397 (Figure 5A). Besides, AA3 (glucose-methanol-choline oxidoreductases), CE4 (chitin and
398 peptidoglycan deacetylases), GH18 (chitinases of classes III and V), CH47(α-mannosidases),
399 GT2 and GT90 are also abundant in *P. polysora*.
400 We also investigated other factors potentially associated with infection or plant immune
401 inhibition, such as transcription factors (TFs) and peptidases. We found most TF families of *P.*
402 *polysora* have low abundance except C2H2-type (IPR013087) and CCHC-type (IRP001878)
403 zinc finger class, which have 87 and 67 members in haplotypes A and B (Figure 4B). In total, we

404 annotated 286 and 304 proteases in haplotypes A and B, with A01A (aspartic proteases), C19
405 (ubiquitinyl hydrolases), C26 (gamma-glutamyl hydrolase), S08A (subtilisin-like serine
406 protease), S09X (glutamyl endopeptidase C), S10 (carboxypeptidase Y), S33 (prolyl
407 aminopeptidase) and T01A (component peptidases of the proteasome) families expanded in *P.*
408 *polysora* as well as in other three *Puccinia* species (Figure 4C). A total of 8 (2.8%) and 30
409 (10.0%) proteases were predicted to be secreted and these proteases showed a discrete
410 distribution in each cluster without an obvious clustering pattern (Table S7).

411 **3.5 | No evidence to support the "two-speed genome" in *P. polysora***

412 Analysis of the local gene density, measured as flanking distances between neighboring genes
413 showed that the flanking distances in the *P. polysora* genome are generally rather high, with an
414 average distance between genes of 100 kbp (Figure 4B). Accordingly, the surrounding genomic
415 context of most genes in *P. polysora* genome is gene-sparse and repeat-rich. Large flanking
416 distances are not specific to candidate effectors. In line with this pattern, the gene distance
417 density plots revealed very similar distributions between all genes and candidate effectors,
418 including the only known *Avr* effector gene, *AvrRppC* (Figure 4B). We further investigated
419 whether candidate effectors present a different distribution of gene distance density compared to
420 basidiomycete core ortholog genes of *P. polysora*. The results highlighted that candidate
421 effectors are not located in peculiar gene-sparse areas, and the flanking distance centers of both
422 BUSCOs and candidate effectors overlap with that of all genes (Figure 4B).

423 **3.6 | Anchoring the *AvrRppC* in *Ppz*-GD1913**

424 A previous study identified the avirulence effector, *AvrRppC*, and found six allelic variants
425 which were named as $AvrRppC^{ref}$, $AvrRppC^{A}$, $AvrRppC^{C}$, $AvrRppC^{E}$, $AvrRppC^{F}$, $AvrRppC^{J}$
426 (Deng et al. 2022). By searching the CDS sequence of $AvrRppC^{ref}$ against haplotype A and B we
427 anchored *AvrRppC* at ~ 9 Mbp on chromosome 14. Although the gene was not present in the
428 original annotation, there was evidence of transcription from RNA-seq reads at 1 dpi and 7 dpi
429 (Figure 4C). Additionally, we found the *AvrRppC* allele on Chromosome14B is $AvrRppC^{ref}$ type
430 whereas the allele in Chromosome 14A represents a new allele type, named $AvrRppC^{1}$ which has
431 two amino acids changes compared with $AvrRppC^{ref}$ and is different from the other five
432 previously reported variants.

433 **3.7 | Comparative genomic analysis**

434 Although *P. polysora* has a 7–10 times larger genome size than other rust species, the gene
435 synteny amongst four *Puccinia* species with full chromosome assemblies is well conserved, with
436 18 chromosomes corresponding to each other clearly without any chromosome rearrangement
437 (Figure 6A). A few large inversion blocks were detected in chromosomes 1, 3, 4, 7, 8, 9 and 10
438 between *Ppz*A and *Pt*76 (Figures 6A, S2). Inversion blocks were also detected in chromosomes 5,
439 7, 10, 13 between *Pt*76 and *Pca*203, and in chromosomes 2, 3, 5, 7, 8, 10 and 13 between *Pgt*21-
440 0 and *Pca*203 (Figures 6A, S2).
441 The protein orthologs among four closely related *Puccinia* species were compared based on
442 haplotype A of each species. It seems that *Puccinia polysora* experienced gene expansion events
443 compared with the other three species (Figure 6B, Table S8). Among 11,840 orthogroups
444 identified from the four species, genes of *P. polysora* were assigned to 7,148 orthogroups which
445 was less than those of over 8,000 orthogroups for the other three species. Nevertheless, *P.*
446 *polysora* presented more species-specific orthogroups and these orthogroups are featured with a
447 high copy number of gene duplication (Figure 6C). Based on Eggnog annotation result, the
448 orthogroups of *P. polysora* with high copy numbers (>10) are mainly associated with the
449 pathogenicity-related function, energy metabolism, RNA regulation and heat shock reaction
450 (Table S9).
451 **3.8 | Population genetics**
452 To understand the population differentiation of *P. polysora*, we analyzed genome resequencing
453 data from 79 isolates of *Ppz* collected from across maize-growing regions of China and
454 performed population genetic analysis. The analyses of bi-allelic frequency suggested the
455 expected normal distribution of bi-allelic frequency for most isolates except GD1922-3 and
456 GX1905-2 (Figure S3) which were excluded from the analysis. After removal, a total of
457 7,147,489 whole-genome SNPs were obtained from the remaining 77 isolates. PCA analysis
458 separated the isolates into 2 groups, with a major group consisting of 73 isolates (blue circle in
459 Figure 7B) and a minor group containing only four isolates (red circle in Figure 7B). However,
460 this separation was independent of the geographic origin of isolates (North, Central and South
461 China). Correspondingly, although higher genetic differentiation was revealed between North
462 China and South China populations (Fst $=8.1\times10^{-4}$) than in other regional pairs (3.9×10^{-4} and
463 3.6×10^{-4}), all pair-wise *Fst* values were close to zero indicating a lack of geographic
464 differentiation. We used the standardized index of association, *rd*, to estimate the linkage

465 disequilibrium of *P. polysora* population in China. The observed *rd* distribution for all isolates
466 was between the values calculated from simulated datasets with 0% linkage, a sexual population,
467 and 50% linkage (Figure 7C). The result suggested that, although *Ppz* population in China
468 showed as a clonal population, its evolutionary history may be influenced by some level of
469 sexual reproduction.

470 Due to limited samples in the minor group, we did not calculate the *F*st between two genetic
471 groups instead of comparing the highly differentiated SNPs between two genetic clusters. A total
472 of 32,281 SNPs (*F*st > 0.9) were filtered and these SNPs were evenly distributed in 18
473 chromosomes without region specificity. These variants were annotated in 5,975 protein-coding
474 genes, of which 305 genes were affected by the moderate or high impact on amino acid
475 sequences. The known functional annotations of these 305 genes were mainly related to
476 transmembrane transport, signal transduction, zinc ion/protein/nucleic acid binding, catalytic
477 activity and protein kinase activity (Table S10). Interestingly, 16 secreted protein genes also had
478 mutations including *AvrRppC.* The allele types of *AvrRppC* varied between the two genetic
479 groups. In the major group, most isolates (93%) carried allele types of $AvrRppC^{1}$ and $AvrRppC^{ref}$.
480 A few isolates showed the allele combination containing new allele types, $AvrRppC^{1}$ to
481 $AvrRppC^{5}$ as well as $AvrRppC^{ref}$. Whereas in the minor group, all four isolates carried the allele
482 of $AvrRppC^{A}$ and $AvrRppC^{J}$ (Figure 7D). The CDS and amino acid sequences for new allele
483 types are listed in Table S11.

484 4 | DISCUSSION

485 As rust fungi are dikaryotic pathogens, obtaining their nuclear phased assembly is critical for
486 pathogenicity studies. In this study, we reported a haplotype-phased and chromosome-scale
487 genome of *P. polysora* based on HiFi reads and Hi-C data. The 18 chromosomes had telomeres
488 at both ends and showed high completeness (~90% complete BUSCOs for each nucleus and 99%
489 complete BUSCOs for two nuclei) and high continuity (N50 of scaffolds = 54 M) as well as over
490 80% nuclear phasing. These data strongly supported that we generated a high-quality reference
491 genome for *P. polysora*. In addition, this is the first case to assemble a gigabase-sized fungal
492 genome to chromosome level. The assembly pipeline we illustrated in Figure 1 will inform
493 future genome assembly for other dikaryotic or non-haploid organisms. Although NuclearPhaser
494 has been developed and successfully applied in three rust other species (*Pgt*21-0, *Pt*76 and

495 *Pca*203) (Li et al. 2019; Duan et al. 2022; Henningsen et al. 2022), whether it can handle large
496 genome size (> 1 G) needs to be further confirmed.
497 Compared to its close relatives, *P. polysora* has experienced a large genome expansion,
498 with its genome size of 1.71 Gbp equivalent to 7–10 times of four other *Puccinia* species
499 assemblies (*Pst*-104E, *Pgt*21-0, *Pt*76, and *Pca*203) (Schwessinger et al. 2018; Li et al. 2019; Wu
500 et al. 2021; Henningsen et al. 2022). Based on a limited set of rust species, Tavares et al (2014)
501 inferred that rusts infecting monocot Poaceae hosts have considerably smaller genomes than
502 rusts infecting dicot Fabaceae hosts (556.6 Mbp on average). Thus, our study supplied an
503 exception to this observation, indicating it may not be a general model. Gene duplication, repeat
504 content variation and ploidy variation are common mechanisms to account for genome expansion
505 of fungi (Castanera et al. 2016; Sipos et al. 2017; Todd et al. 2017). In this case, although gene
506 expansion or loss has happened in the evolutionary process from *P. polysora* to other wheat-like
507 *Puccinia* species (Figure 6B) and correspondingly the gene number predicted in *Ppz*-GD1913
508 (~24000 per haplotype) is much more than those of its two close relatives, *P. coronata* (~18000)
509 and *P. striiformis* (~14000) (Miller et al. 2018; Schwessinger et al. 2018), the expanded genes
510 only contribute to a size difference of 7 Mbp and 17 Mbp (Table S12). On the contrary, repeat
511 analyses suggested that genome expansion of *P. polysora* is due to its large repeat contents (85%
512 of the total genome), notably a proliferation of LTR-RTs, which is consistent with the common
513 evidence for rust genome expansion (Tobias et al. 2021). The evidence of genome expansion has
514 also been detected in other obligate fungi, such as powdery mildew (PM) fungi (Spanu et al.
515 2010; Frantzeskakis et al. 2020) and symbiotic fungi (Miyauchi et al. 2020). PM fungi lose
516 carbohydrate-active enzymes, transporters, etc. which are probably redundant genes in strict
517 parasitism as tradeoffs (Spanu et al. 2010). The low abundance of PCWDEs and transcription
518 factors in *P. polysora* as well as other *Puccinia* species was in line with the above pattern (Figure
519 5) (Duplessis et al. 2011, Miller et al. 2018). Despite the massive TE proliferation, *P. polysora*
520 shows high gene synteny with other *Puccinia* species (Figure 5), as suggested by comparing
521 BUSCO genes in another study (Henningsen et al. 2022).
522 In fungi, TEs have been implicated in coevolution with the host, genome architecture,
523 plasticity, and adaptation (Lorrain et al. 2021). The "TE-thrust hypothesis" proposed that TEs
524 can act to generate genetic novelties for organisms leading to speciation or may be related to
525 adaptation to new challenges, e.g. new host or rapidly changing climate (Oliver and Greene 2012;

526 Zeh et al. 2009). We detected a TE burst of *P. polysora* at 1.7 Mya (Figure 2) and the
527 phylogenetic tree (Figure 6) suggested that *P. polysora* speciated earlier than the divergence time
528 between *P. coronata* and *P. striiformis* (40 Mya) (Aime et al. 2018). So, TE burst of *P. polysora*
529 may be unlikely for speciation. Other explanation could be "nearly neutral theory", in which
530 varied genomic features (e.g. large genome size, TE content, introns) are not initially harmful but
531 are passively fixed by mutation or random genetic drift (Lynch and Conery 2003). With more
532 available genome resources in the future, the relationship between effective genome size and
533 adaptative evolution of *P. polysora* needs to be answered.
534 The "two-speed genome" concept has been put forward to highlight the over-representation
535 of effector-like genes in the repeat-rich and gene-sparse genome in many filamentous pathogens
536 (Dong et al. 2015, Frantzeskakis et al. 2019). These TE-rich invasion/blocks may contribute to
537 extensive chromosomal reshuffling and the rise of accessory chromosomes (de Jonge et al. 2013).
538 Pathogens can resolve the evolutionary conflict through rapid evolution of effector genes to
539 adapt to changing environment but maintain the housekeeping genes in the core genome with a
540 moderate evolution rate (Presti et al. 2015). However, the situation is clearly different in rust
541 fungi. There was no signal of effector compartments detected in Oat crown rust, wheat stripe rust
542 and myrtle rust pathogens when comparing the intergenic distance between all genes and
543 effectors (Schwessinger et al. 2018; Miller et al. 2018; Tobias et al. 2021). In *P. polysora*, the
544 numerous TEs are evenly dispersed throughout the genome (Figure 2). The intergenic distance
545 distribution of candidate effectors overlapped with that of all genes and BUSCOs (Figure 7).
546 This genomic architecture with TEs and genes interspersed was also reported in another
547 biotrophic fungal group, powdery mildew (PM) fungi (Frantzeskakis et al. 2020). Similarly, AT-
548 rich isochores or large-scale compartmentalization are also missing in PM fungi (Frantzeskakis
549 et al. 2020). When compared with other *Puccinia* species, the high repeat content of *P. polysora*
550 leads to much lower relative gene space (4% in *Ppz* vs 20%–35% for currently reported *Puccinia*
551 species) and large intergenic distance expansion (~100 kbp of *Ppz* vs 1kbp for *Pst* and *Pca*)
552 (Figure 6B) (Miller et al. 2018; Schwessinger et al. 2018).
553 In the "arms race" between rust species and plants, the *Avr* genes in rust pathogens have
554 been the subject of mutations to avoid the recognition by host resistance genes (Cui et al. 2015).
555 Therefore, monitoring the variation of *Avr* genes in rust populations is a priority for disease
556 management. Based on whole genome SNPs, we detected two genetic groups of *P. polysora* in

557 China. The highly differentiated SNPs between these two groups suggested mutations in 16
558 secreted proteins including the only verified avirulence gene, *AvrRppC*. A recent study
559 investigated the allele types of *AvrRppC* in a Chinese *P. polysora* population (Deng et al. 2022).
560 Isolates with allele types of *AvrRppC*^{A}, *AvrRppC*^{F} and *AvrRppC*^{J} could escape the recognition of
561 *RppC* causing southern corn rust but *AvrRppC*^{ref}, *AvrRppC*^{E}, and *AvrRppC*^{C} are avirulence alleles
562 that trigger *RppC*-mediated resistance. In our study, isolates in the major group almost all carried
563 the avirulence allele, *AvrRppC*^{ref} and four isolates in the minor genetic group carried the
564 virulence allele types, *AvrRppC*^{A} and *AvrRppC*^{J} (Figure 7D). These results suggested that
565 differentiation between two genetic groups could be related to virulence evolution and such
566 relation was more prominent in the wheat stripe rust pathogen (Hubbard et al. 2015). Upadhyaya
567 et al (2021) suggested that avirulence genes of *P. graminis* showed a similar expression pattern.
568 In this study, *AvrRppC* was classified in cluster 3 (Figure 4A) with low expression in germinated
569 spores and early infection but the high expression in intermediate infection (4 dpi and 7 dpi).
570 Among 16 secreted proteins with *F*st > 0.9 between two genetic groups, FUNA_005021 (cluster
571 2) and FUNA_020823 (cluster 3) showed similar expression patterns to *AvrRppC* and may be
572 candidate avirulence genes. In other rust species, the rust population could drastically shift
573 towards a wider or new spectrum of virulence over time (Miller et al. 2020; Bai et al. 2021),
574 therefore, performing long-term surveillance as well as developing effective virulence markers
575 are particularly critical for *P. polysora*.
576 Rust fungi have complex life cycles involving asexual as well as sexual reproduction
577 (Figueroa et al. 2020; Zhao et al. 2021). In some species, sexual reproduction is rare or cryptic
578 that it can remain undiscovered. For example, it took a century to discover the alternate host of *P.*
579 *striiformis* (Jin et al. 2010). In our case, the sexual stage of *P. polysora* is still a mystery;
580 teliospores are rarely found in natural fields and germination tests of teliospores were not
581 successful in past attempts (Cammack 1959). Also, studies on population genetics of *P. polysora*
582 are very limited, possibly due to the lack of effective molecular markers and the reference
583 genome. Our data using whole-genome SNPs supported that the clonal Chinese population of *P.*
584 *polysora*, however, the low *rd* between those from simulated with 0% and 50% linkage
585 suggested potential influence of sexual reproduction. The absence of a sexual stage or alternate
586 host may be reflected by unequal gene numbers in the secretome. A supportive example is two
587 species in *Melampsora*. *Melampsora lini* (autoecious, no alternate host, ~800 secreted proteins)

588 was found to have much less secreted proteins and secreted plant cell wall-degrading enzymes
589 (PCWDEs) than its close relative, *M. larici-populina* (heteroecious, with both primary and
590 alternate hosts, ~1800 secreted proteins) (Presti et al. 2015). In our case, we identified 2434
591 secreted proteins for *P. polysora*, which was comparable to the secretomes of two heteroecious
592 relatives, *P. coronata* (~2500) and *P. graminis* (~2500) (Miller et al. 2018; Li et al. 2019). Of
593 course, this single *Melampsora* example did not allow for making a general conclusion. Varied
594 quality of genome assemblies and different prediction methods can lead to significant bias in
595 secreted protein predictions. However, it will be valuable to test this hypothesis with more
596 available high-quality rust genomes in future studies. If the hypothesis is true, our results may
597 suggest the existence of alternate hosts that have not been discovered yet for *P. polysora.*

598 **5 | CONCLUSION**

599 In conclusion, we successfully obtaining the first nuclear phased and chromosome-scale genome
600 assembly of the serious fungal pathogen, *P. polysora*. The high-quality of genome assembly and
601 fine-time-point transcriptome facilitate the comparative genomic analyses and The genome
602 expansion of *P. polysora* is driven by TEs, but this did not affect its gene synteny with close
603 relatives. The investigation of genome characteristics and functional features provided a
604 fundamental resource for pathogenicity studies as well as to understand the evolutionary
605 mechanisms of genome expansion in rust fungi. Also, the population genomic data revealed low
606 genetic differentiation in the Chinese *P. polysora* population, which expanded from south to
607 north in recent decades. However, a minor genetic group with low frequency suggested the
608 ongoing virulence evolution to evade recognition by *RppC*, a major resistance gene in Chinese
609 corn cultivars, alarming the need to excavate additional resistance genes as soon as possible.
610

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616

617 **AUTHOR CONTRIBUTIONS**

The experiment was conceived and managed by Lei Cai and Junmin Liang. Field samples were collected by Junmin Liang, Yuanjie Li, Zhanhong Ma, Leifu Li and Keyu Zhang. Single-spore isolation, reproduction, DNA/RNA extraction and other biological materials used for sequencing were conducted by Junmin Liang and Yuanjie Li. Data analyses were performed by Junmin Liang. Analysis tools were assisted by Peter N. Dodds, Melania Figueroa and Jana Sperschneider. Junmin Liang wrote the manuscript and Peter N. Dodds, Jana Sperschneider, Clement K.M. Tsui, and Lei Cai reviewed the manuscript.

DATA AVAILABILITY. All raw sequence reads (PacBio, Illumina, Hi-C and RNA-seq data) generated in this study are available in the National Microbiology Data Center (NMDC, https://nmdc.cn/) under the BioProject (NMDC10018113) and the accession number for all raw data are listed in Table S13. The assembled genome has been deposited at NMDC under the accession number NMDC60042795. Scripts used to construct figures are available at https://github.com/jimie0311/Puccinia-polysora-genome.

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968 **Figure Legends**

969 **FIGURE 1** Chromosome-level assembly of GD1913 isolate of *Puccinia polysora*. (A) The
970 dikaryotic phasing pipeline and switch strategies for duplicated contigs. Duplicated contigs were
971 checked based on the all-vs-all sequence alignment from HaploMerger 2 (Huang et al. 2017). (B)
972 Hi-C-based contig anchoring. The heat map showed the density of Hi-C interactions within
973 haplotype A. The 18 chromosomes are highlighted by blue squares. (C) Schematic representation
974 of assembled chromosomes for GD1913 of each haplotype. (D) Percentage of Hi-C links of each
975 chromosome of haplotype A to either haplotype A (blue) or haplotype B (red).

976 **FIGURE 2** Genomic features of *P. polysora* f.sp. *zeae* isolate, GD1913 and repeat comparison
977 analyses with its close relatives. (A) Genomic landscape of 18 chromosomes for haplotype A
978 (hapA) and haplotype B (hapB). From outer to inner circles: (i) chromosomes, (ii) repeats
979 density, (iii) gene density, (iv) candidate effector density, (v) Guanine-cytosine (GC) content. (ii-
980 iv) are drawn in non-overlapping 100 kbp sliding windows. (B) Comparison of genome size (two
981 nuclei) and repeat contents among *Puccinia polysora* f.sp. *zeae* (*Ppz*) and other rust species,
982 *Melampsora larici-populina* (*Mlp*), *Austropuccinia psidii (Ap*), *Puccinia sorghi* f.sp. *zeae* (*Psz*),
983 *Pucccnia coronata* f.sp. *avenae* (*Pca*), *Puccinia graminis* f.sp. *tritici* (*Pgt*), *Puccinia triticina* f.sp.
984 *tritici* (*Ptt*) and *Puccinia striiformis* f.sp. *tritici* (*Pst*). The isolate names are after the hyphen. (C)
985 The insertion time (Mya) distribution of intact LTRs in *P. polysora*.

986 **FIGURE 3** The interhaplotype variation of *Ppz*-GD1913 isolate. (A) Summary of interhaplotype
987 variation between haplotype A and B using Assemblytis. Six types of specific variation were
988 illustrated by schematic plot and each bar chart indicates the number of bases categorized to each
989 type. (B) Synteny plot of haplotype A and B using Mummer. (C) and (D) Two representative
990 whole-chromosome alignments.

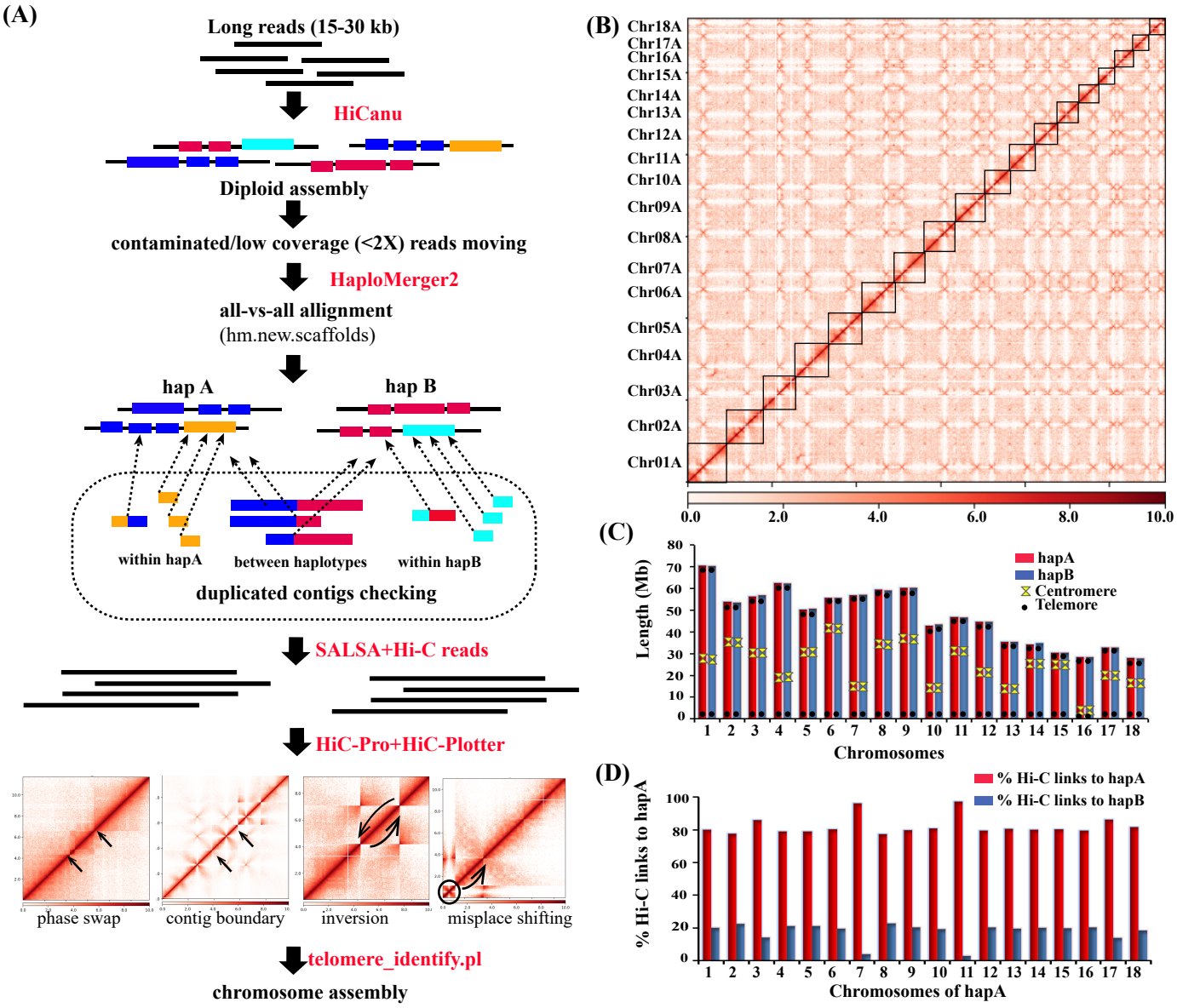
991 **FIGURE 4** Analyses of gene expression and gene distance of predicted secretome of *P. polysora*.
992 (A) Clustering analysis of gene expression of secretome on two haplotypes. Heatmaps show rlo-
993 transformed expression values. Cluster numbers are shown outside the graphs, and tracks
994 represent gene expression in germinated spores (GS), and infected tissues at 1 dpi, 2 dpi, 4 dpi, 7
995 dpi, 10 dpi and 14 dpi. (B) Hexplots for closest-neighbor gene distance density of *P. polysora* in
996 haplotype A (left) and haplotype B (right). Circle dots represent two gene categories, BUSCOs
997 (yellow) and predicted candidate effectors (red). The black dots represent the distribution of the
998 avirulent gene, *AvrRppC.* (C) Gene and repeat density as well as log2TPM (transcripts per

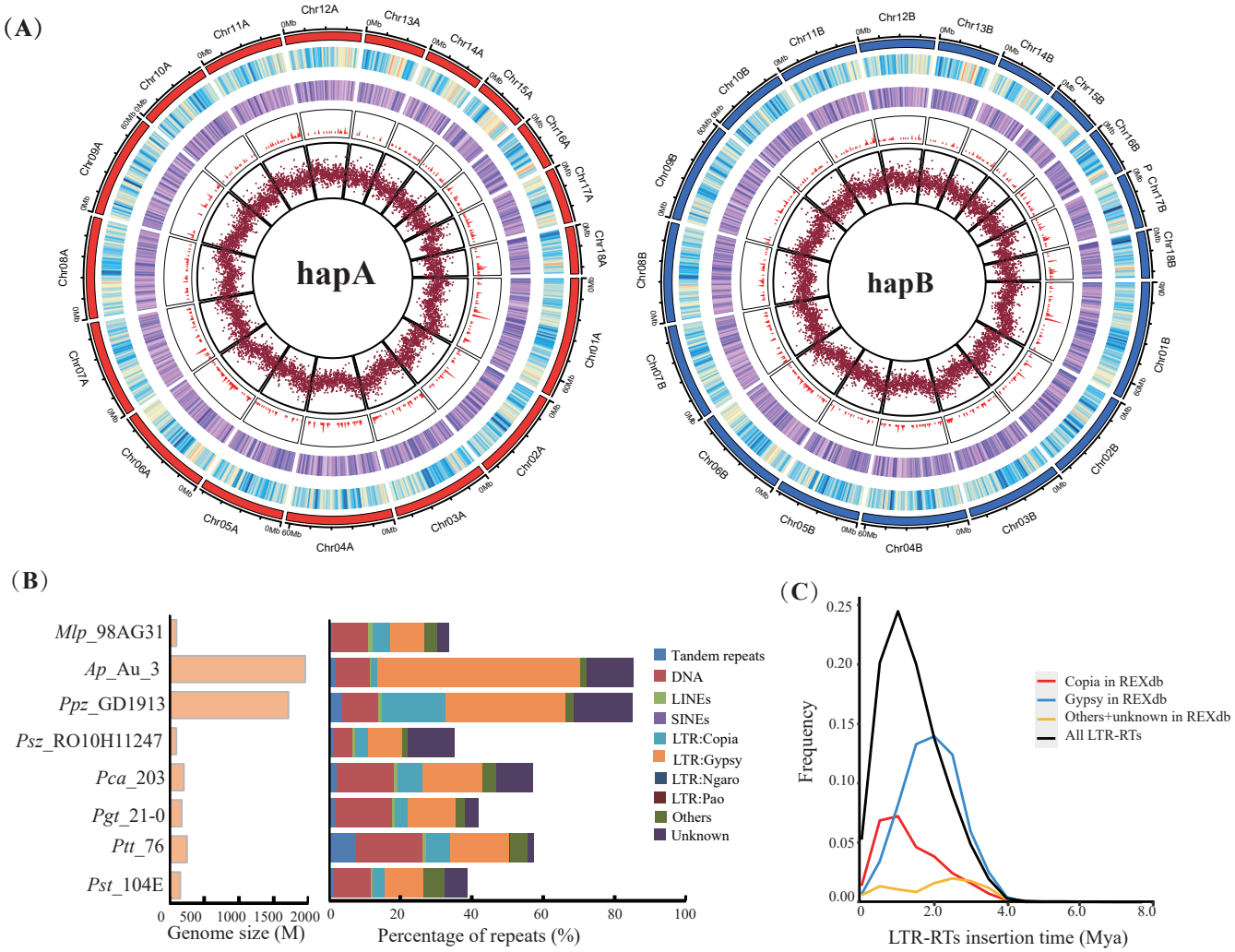
999 million) of candidate effectors from chromosomes 14A and 14B. The red and blue points
1000 represent expression values of candidate effectors at 1 dpi and 7dpi. Positions and protein
1001 sequences of *AvrRppC* genes are presented.
1002 **FIGURE 5** Functional annotation of CAZymes, transcription factors and Merops proteases in
1003 *Ppz*-GD1913 isolate. (A) CAZyme families comparison of *P. polysora* (*Ppz,* GD1913), *P.*
1004 *triticina* (*Ptt*, *Pt76* isolate), *P. graminis* (*Pgt*, *Pgt*21-0 isolate) and *P. coronata* (*Pca*, *Pca*203).
1005 Heat maps showing gene numbers annotated in the following classes: auxiliary activities (AA),
1006 carbohydrate-binding modules (CBM), carbohydrate esterases (CE), glycoside hydrolases (GH),
1007 glycosyltransferases (GTs), and polysaccharide lyases (PL). (B) Percentages of genes from *P.*
1008 *polysora* are predicted to encode members of various fungal transcription factor classes based on
1009 InterProScan and Eggnog annotation. (C) Merops families comparison of *Ppz*, *Ptt*, *Pgt* and *Pca*.
1010 Heat map showing gene numbers annotated in the classes of aspartic acid (A), cysteine(C),
1011 metalloprotease (M), serine protease (S), and threonine protease (T) or peptidase inhibitors (I).
1012 **FIGURE 6** Comparative analyses of macro-synteny and orthogroups among *Puccinia polysora* f.
1013 sp. *zeae* (GD1913) and its relatives, *Austropuccinia psidii* (Au_3), *P. triticina* f. sp. *tritic*i (*Pt*76),
1014 *P. graminis* f.sp. *tritici* (*Pgt*21-0) and *P. coronata* f.sp *avenae* (*Pca*203). (A) Macro-synteny of
1015 four species in *Puccinia* with available haplotype-phased and chromosome-scale genome. Only
1016 the chromosomes from haplotype A/primary haplotype were compared. Grey lines are
1017 homologous proteins identified by MCscan with default parameters and black lines present the
1018 genome inversions between species. (B) Numbers of duplicated (+) and lost (-) genes inferred
1019 from OrthoFinder. The typical symptoms caused by each species are listed accordingly. (C)
1020 Distribution of species-specific orthogroups with different gene copy numbers among four
1021 *Puccinia* species.
1022 **FIGURE 7** Population genomics analyses of *P. polysora* from China. (A) Geographic
1023 distribution of 79 *P. polysora* isolates collected from north, central and south of China. (B)
1024 Principal component analysis of Chinese *P. polysora* population showing two genetic clusters.
1025 (C) Linkage disequilibrium test of Chinese *P. polysora* population. (D) *AvrRppC* genotypes of *P.*
1026 *polysora* isolates in minor (red) and major (blue) genetic groups.

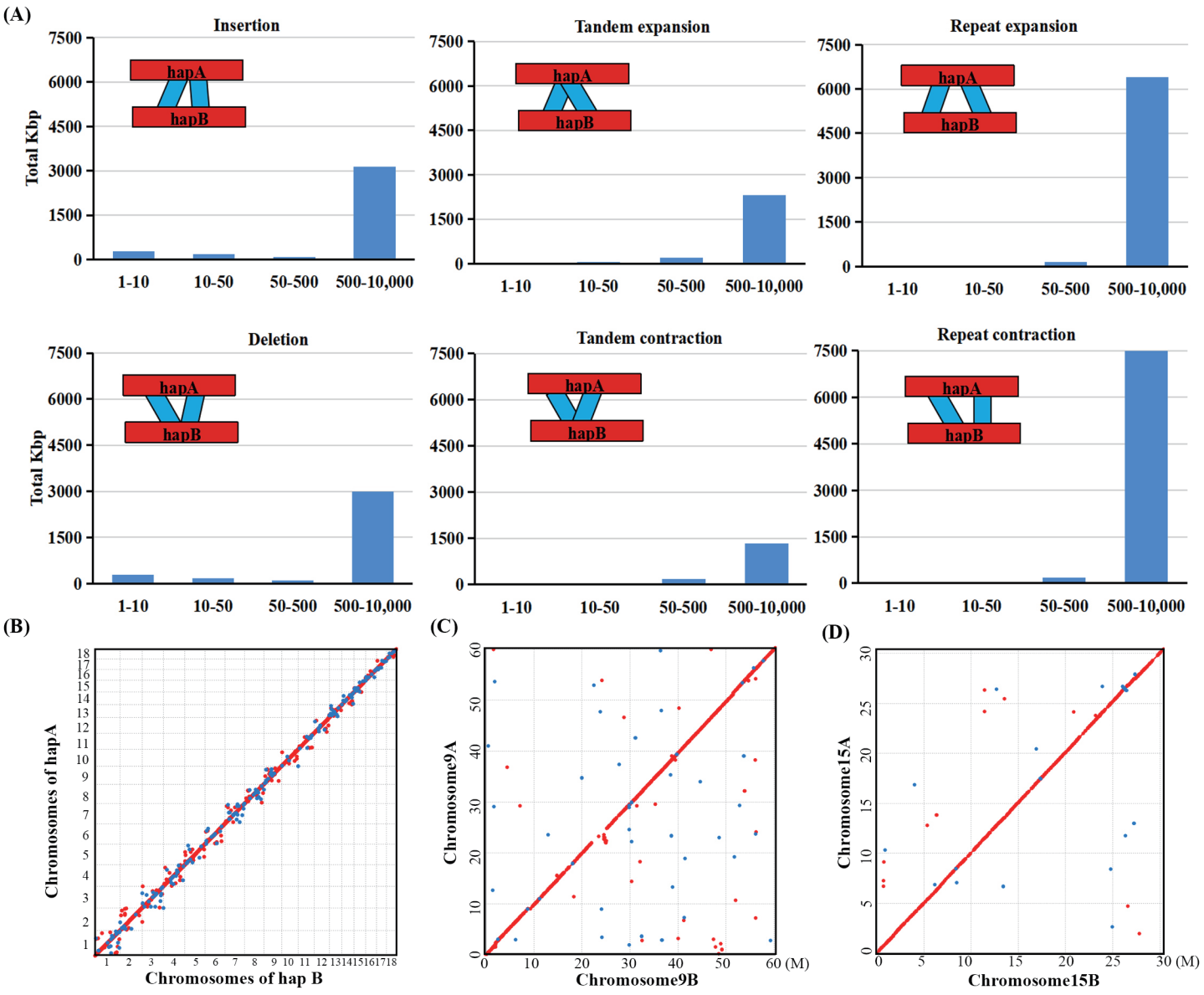
1027 **Supplementary files**

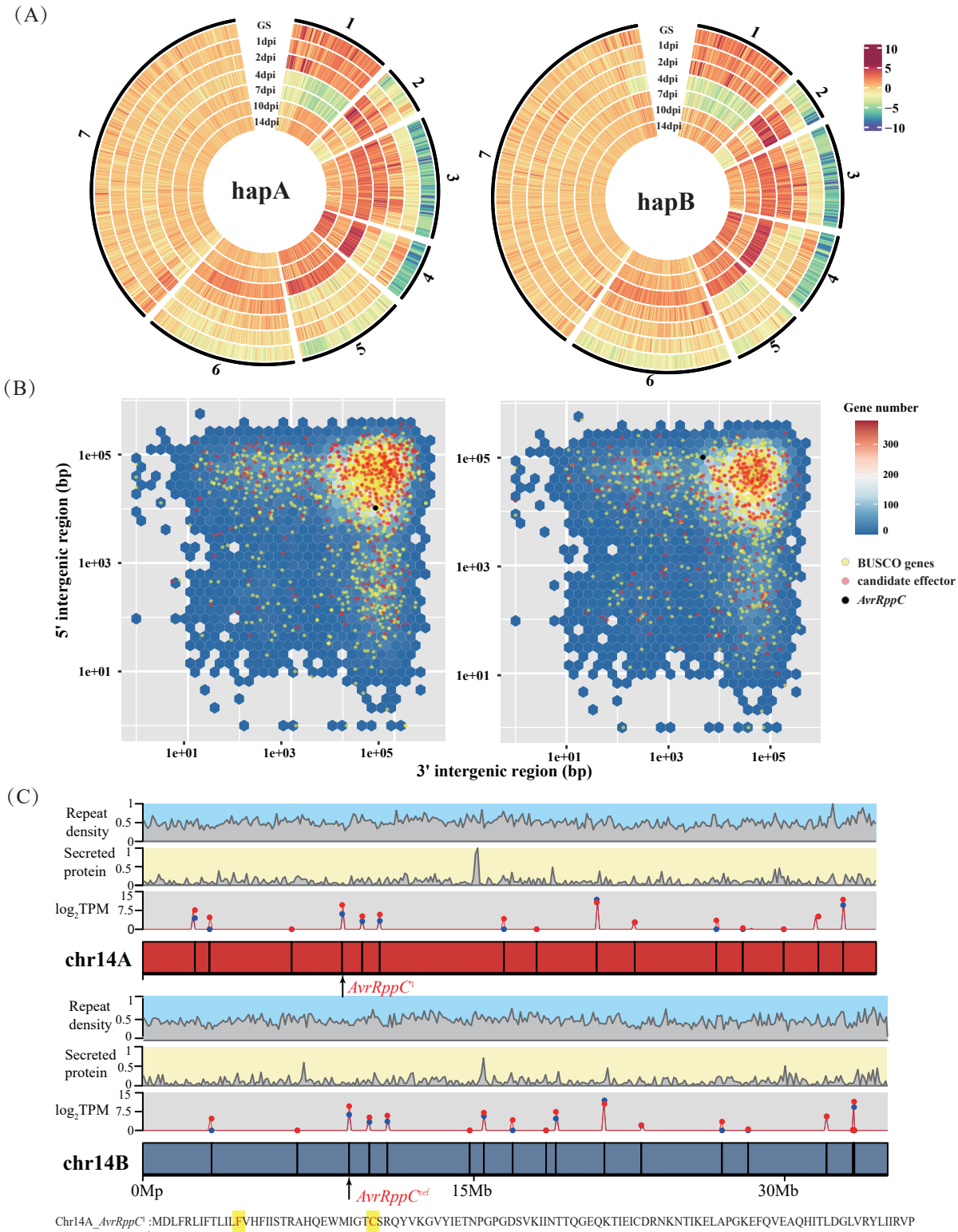
1028 Table S1 Information of 79 isolates from China used for genome resequencing.

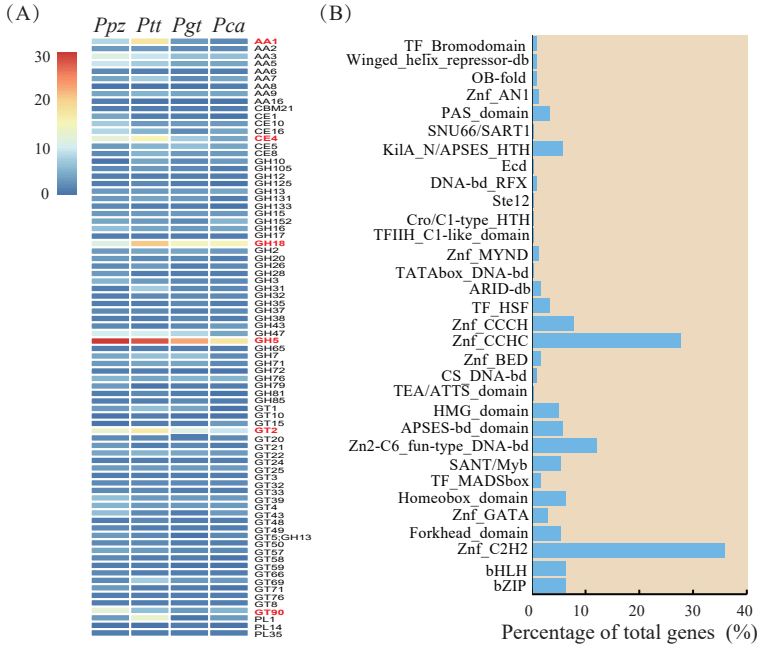
1029 Table S2 Contig information removed from the final assembly due to low-quality assessment.
1030 Table S3 Treatments for duplicate contigs between/within haplotype A and haplotype B
1031 Table S4 Sample information and data used for transcript analyses.
1032 Table S5 Functional annotation of specific orthologs between two haplotypes.
1033 Table S6 GO and IPR annotation for predicted candidate effectors.
1034 Table S7 Features of secreted proteins in different expression clusters of *P. polysora* f.sp. *zeae.*
1035 Table S8 Proteome comparison of four *Puccinia* species.
1036 Table S9 GO annotation of top 42 *P. polysora* specific orthogroups with high gene copy number.
1037 Table S10 Functional annotation of SNPs with *F*st > 0.9 between two genetic groups.
1038 Table S11 The CDS and amino acid sequences of *AvrRppC* gene.
1039 Table S12 Comparison of Gene number and gene length among three *Puccinia* species.
1040 Table S13 NMDC numbers for sequencing raw data used in this study.
1041 Figure S1. GO enrichment analysis of secreted proteins on two haplotypes.
1042 Figure S2 Pair-wise synteny of *P. polysora* and three close relatives.
1043 Figure S3 The bi-allele distribution of 79 isolates used for population genomics. Two isolates
1044 (GD1922-3 and GX1905-2) in red squares are removed from the final analysis due to abnormal
1045 distribution.


(A)
Long reads (15-30 kb)
HiCanu
Diploid assembly
contaminated/low coverage (<2X) reads moving
HaploMerger2
all-vs-all allignment
(hm.new.scaffolds)
hap A
hap B
within hapA
between haplotypes
within hapB
duplicated contigs checking
SALSA+Hi-C reads
HiC-Pro+HiC-Plotter
phase swap
contig boundary
inversion
misplace shifting
telomere_identify.pl
chromosome assembly
(B)
Chr18A
Chr17A
Chr16A
Chr15A
Chr14A
Chr13A
Chr12A
Chr11A
Chr10A
Chr09A
Chr08A
Chr07A
Chr06A
Chr05A
Chr04A
Chr03A
Chr02A
Chr01A
0.0
2.0
4.0
6.0
8.0
10.0
(C)
Length (Mb)
hapA
hapB
Centromere
Telemore
Chromosomes
(D)
% Hi-C links to hapA
% Hi-C links to hapB
Chromosomes of hapA


(A)
hapA
hapB
Chr01A
Chr02A
Chr03A
Chr04A
Chr05A
Chr06A
Chr07A
Chr08A
Chr09A
Chr10A
Chr11A
Chr12A
Chr13A
Chr14A
Chr15A
Chr16A
Chr17A
Chr18A
Chr01B
Chr02B
Chr03B
Chr04B
Chr05B
Chr06B
Chr07B
Chr08B
Chr09B
Chr10B
Chr11B
Chr12B
Chr13B
Chr14B
Chr15B
Chr16B
Chr17B
Chr18B
(B)
Mlp_98AG31
Ap_Au_3
Ppz_GD1913
Psz_RO10H11247
Pca_203
Pgt_21-0
Ptt_76
Pst_104E
Genome size (M)
Percentage of repeats (%)
Tandem repeats
DNA
LINEs
SINEs
LTR:Copia
LTR:Gypsy
LTR:Ngaro
LTR:Pao
Others
Unknown
(C)
Frequency
LTR-RTs insertion time (Mya)
Copia in REXdb
Gypsy in REXdb
Others+unknown in REXdb
All LTR-RTs


(A)
Insertion
Tandem expansion
Repeat expansion
Deletion
Tandem contraction
Repeat contraction
hapA
hapB
Total Kbp
7500
6000
4500
3000
1500
0
1-10
10-50
50-500
500-10,000
(B)
Chromosomes of hapA
Chromosomes of hap B
(C)
Chromosome9A
Chromosome9B
60 (M)
(D)
Chromosome15A
Chromosome15B
30 (M)


(A)
GS
1dpi
2dpi
4dpi
7dpi
10dpi
14dpi
hapA
hapB
1
2
3
4
5
6
7
10
5
0
−5
−10
(B)
5' intergenic region (bp)
3' intergenic region (bp)
1e+01
1e+03
1e+05
Gene number
300
200
100
0
BUSCO genes
candidate effector
AvrRppC
(C)
Repeat density
Secreted protein
log2TPM
chr14A
AvrRppC1
chr14B
AvrRppCref
0Mp
15Mb
30Mb
Chr14A_AvrRppC1 :MDLFRLIFTLILFVHFIISTRAHQEWMIGTCSRQYVKGVYIETNPGPGDSVKIINTTQGEQKTIEICDRNKNTIKELAPGKEFQVEAQHITLDGLVRYLIIRVP
Chr14B_AvrRppCref:MDLFRLIFTLILCVHFIISTRAHQEWMIGTRSRQYVKGVYIETNPGPGDSVKIINTTQGEQKTIEICDRNKNTIKELAPGKEFQVEAQHITLDGLVRYLIIRVP


(A)
Ppz Ptt Pgt Pca
30
20
10
0
AA1
AA2
AA3
AA5
AA6
AA7
AA8
AA9
AA16
CBM21
CE1
CE10
CE16
CE4
CE5
CE8
GH10
GH105
GH12
GH125
GH13
GH131
GH133
GH15
GH152
GH16
GH17
GH18
GH2
GH20
GH26
GH28
GH3
GH31
GH32
GH35
GH37
GH38
GH43
GH47
GH5
GH65
GH7
GH71
GH72
GH76
GH79
GH81
GH85
GT1
GT10
GT15
GT2
GT20
GT21
GT22
GT24
GT25
GT3
GT32
GT33
GT39
GT4
GT43
GT48
GT49
GT5;GH13
GT60
GT57
GT58
GT59
GT66
GT69
GT71
GT76
GT8
GT90
PL1
PL14
PL35
(B)
TF_Bromodomain
Winged_helix_repressor-db
OB-fold
Znf_AN1
PAS_domain
SNU66/SART1
KilA_N/APSES_HTH
Ecd
DNA-bd_RFX
Ste12
Cro/C1-type_HTH
TFIIH_C1-like_domain
Znf_MYND
TATAbox_DNA-bd
ARID-db
TF_HSF
Znf_CCCH
Znf_CCHC
Znf_BED
CS_DNA-bd
TEA/ATTS_domain
HMG_domain
APSES-bd_domain
Zn2-C6_fun-type_DNA-bd
SANT/Myb
TF_MADSbox
Homeobox_domain
Znf_GATA
Forkhead_domain
Znf_C2H2
bHLH
bZIP
0
10
20
30
40
Percentage of total genes (%)
(C)
Ppz Ptt Pgt Pca
30
20
10
0
A01A
A02A
A11A
A11B
A22B
A28A
C01B
C02A
C115
C12
C13
C14B
C15
C19
C26
C44
C48
C50
C54
C56
C76B
C83
C85
C85A
C85B
C86
C97
I09
I32
I51
M01
M03A
M12B
M16A
M16B
M16C
M17
M18
M20D
M20F
M24A
M24B
M24X
N28A
M28E
M28X
M36
M38
M41
M48A
M48C
M49
M50B
M07A
M67C
M67X
M76
M79
M80
S08A
S08B
S09A
S09B
S09C
S09X
S10
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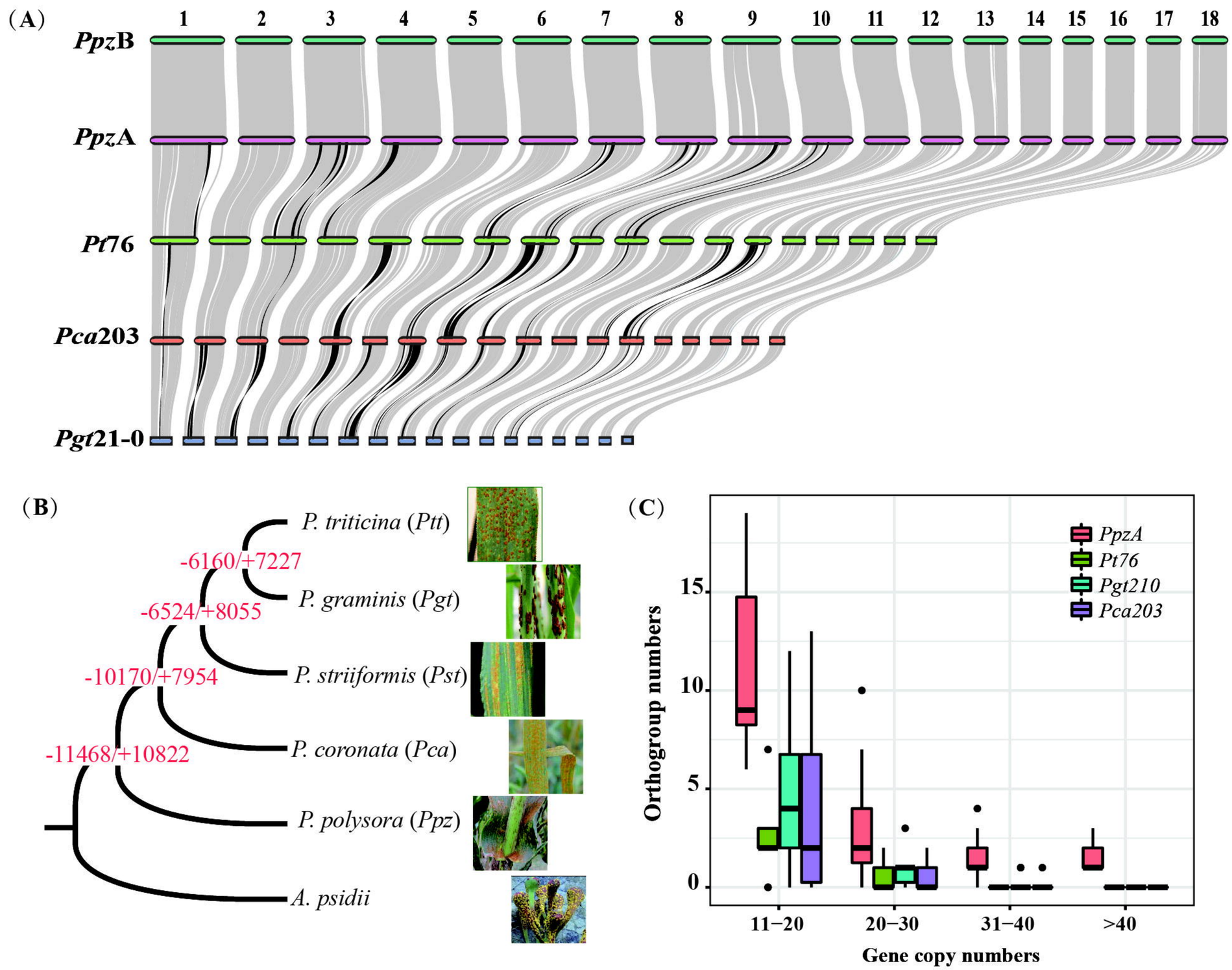
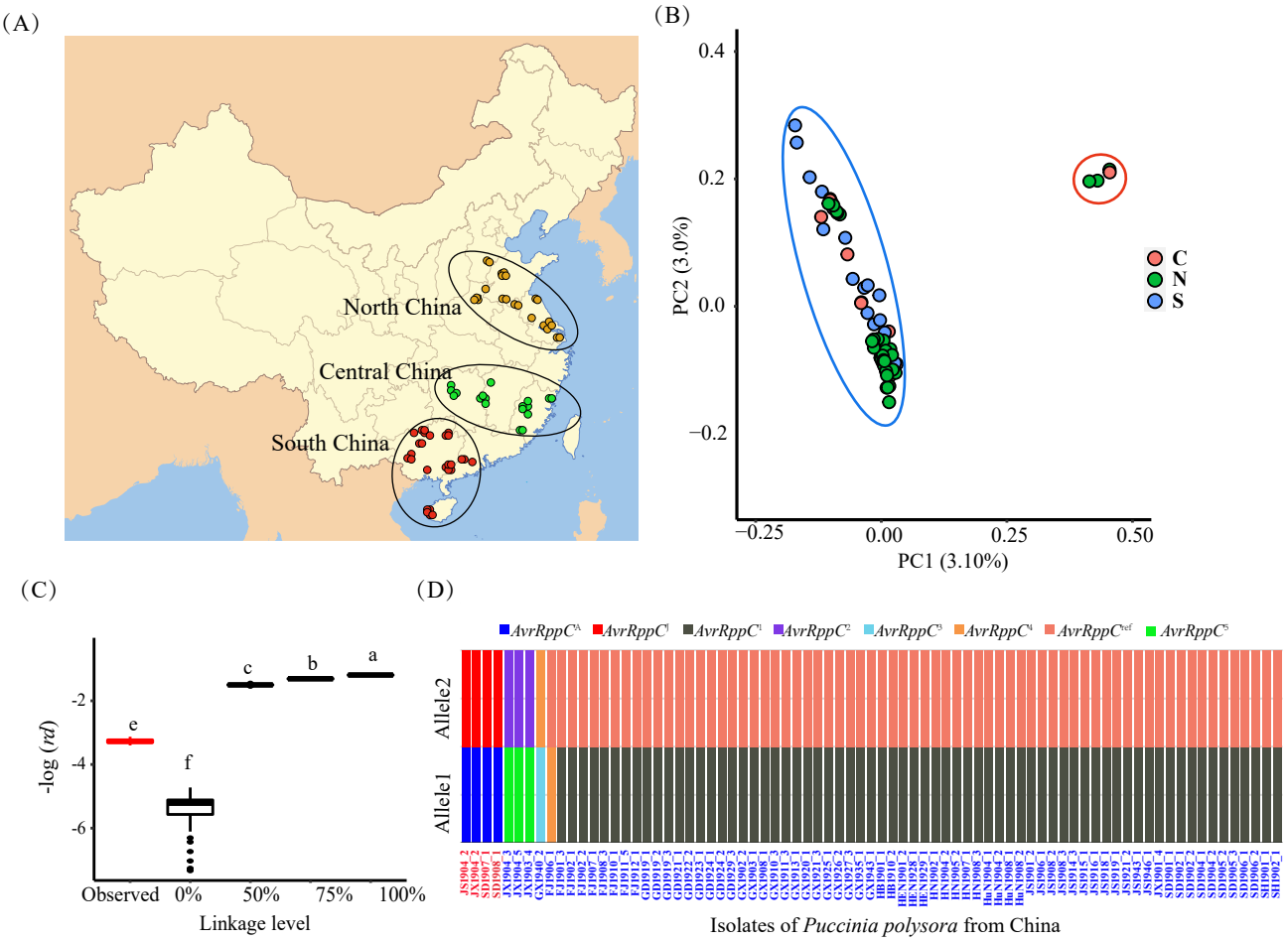
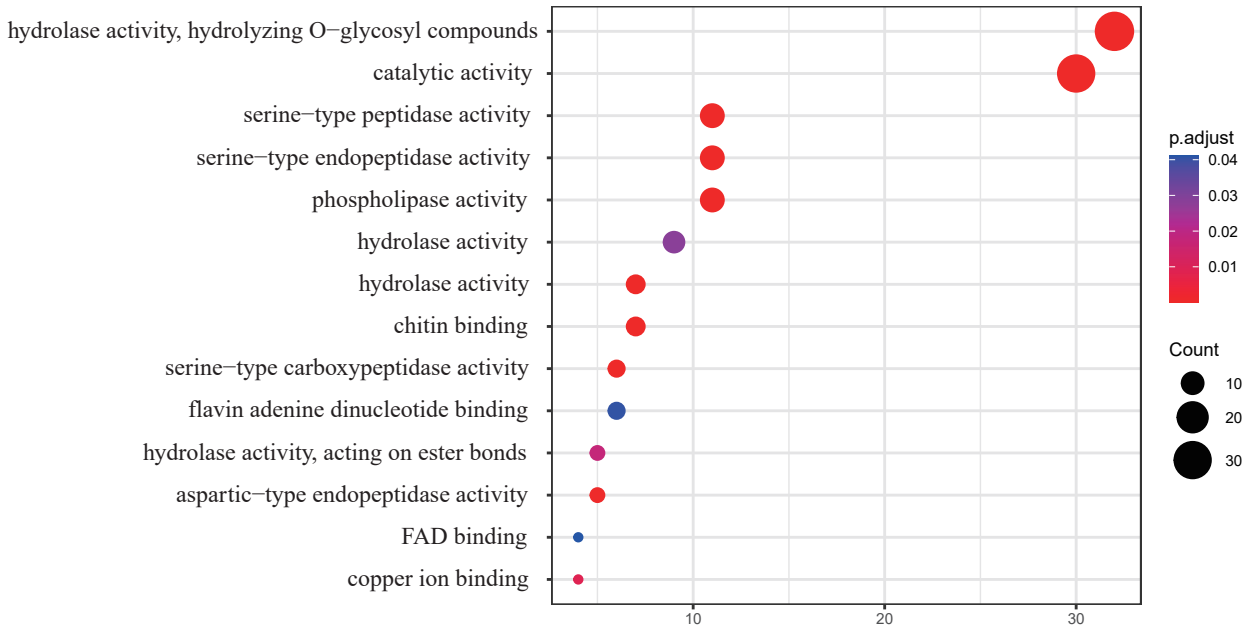
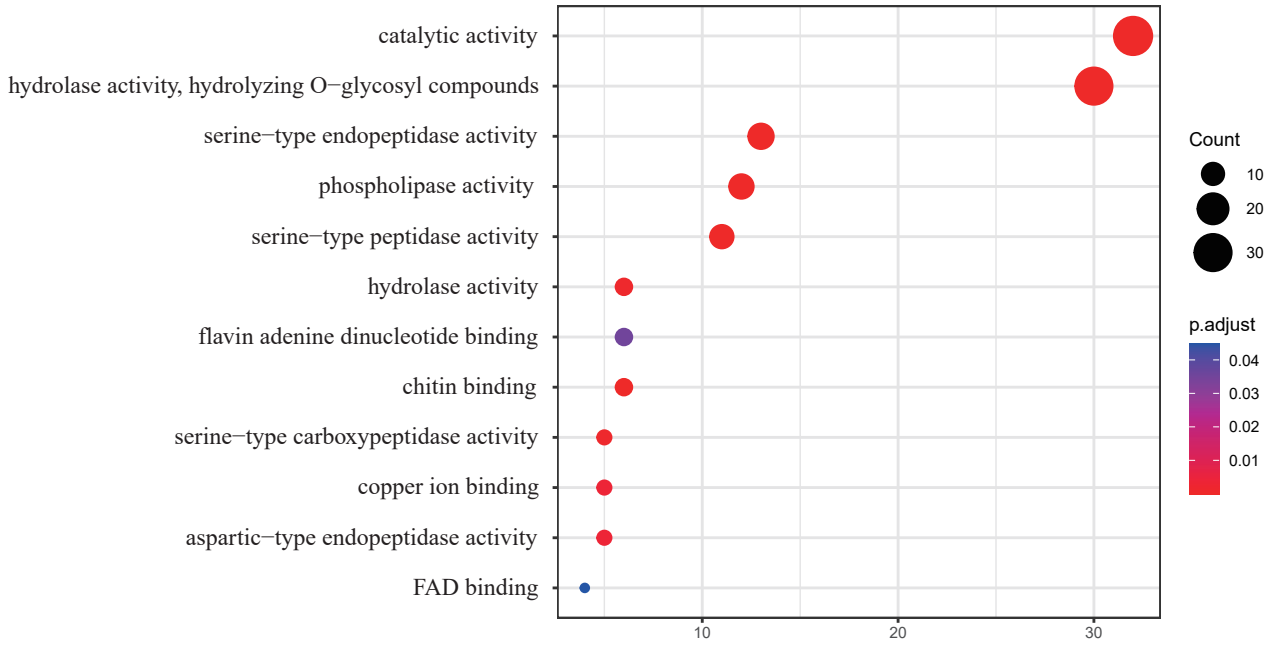
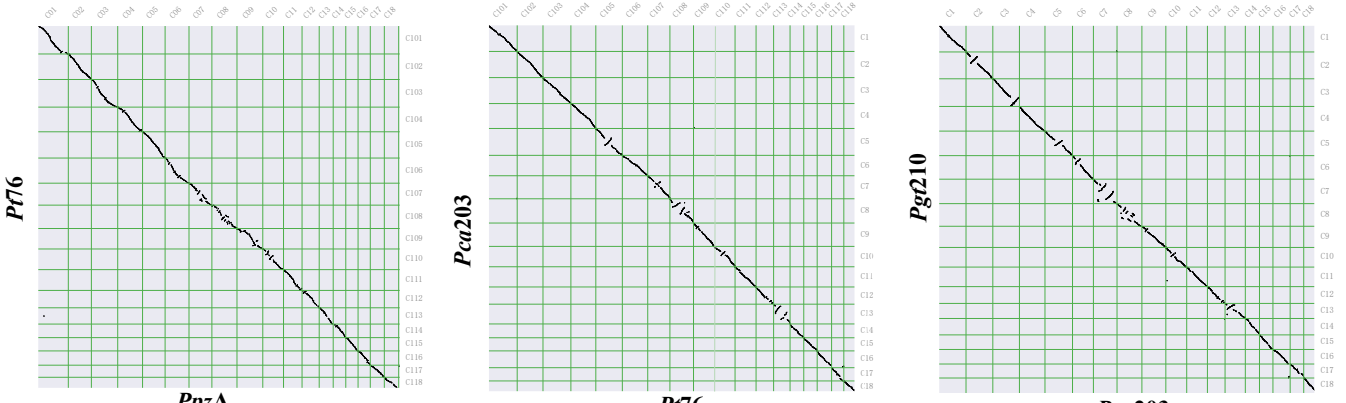
S26B
S33
S49A
S53
S54
S59
T01A
T01X
T03
T05

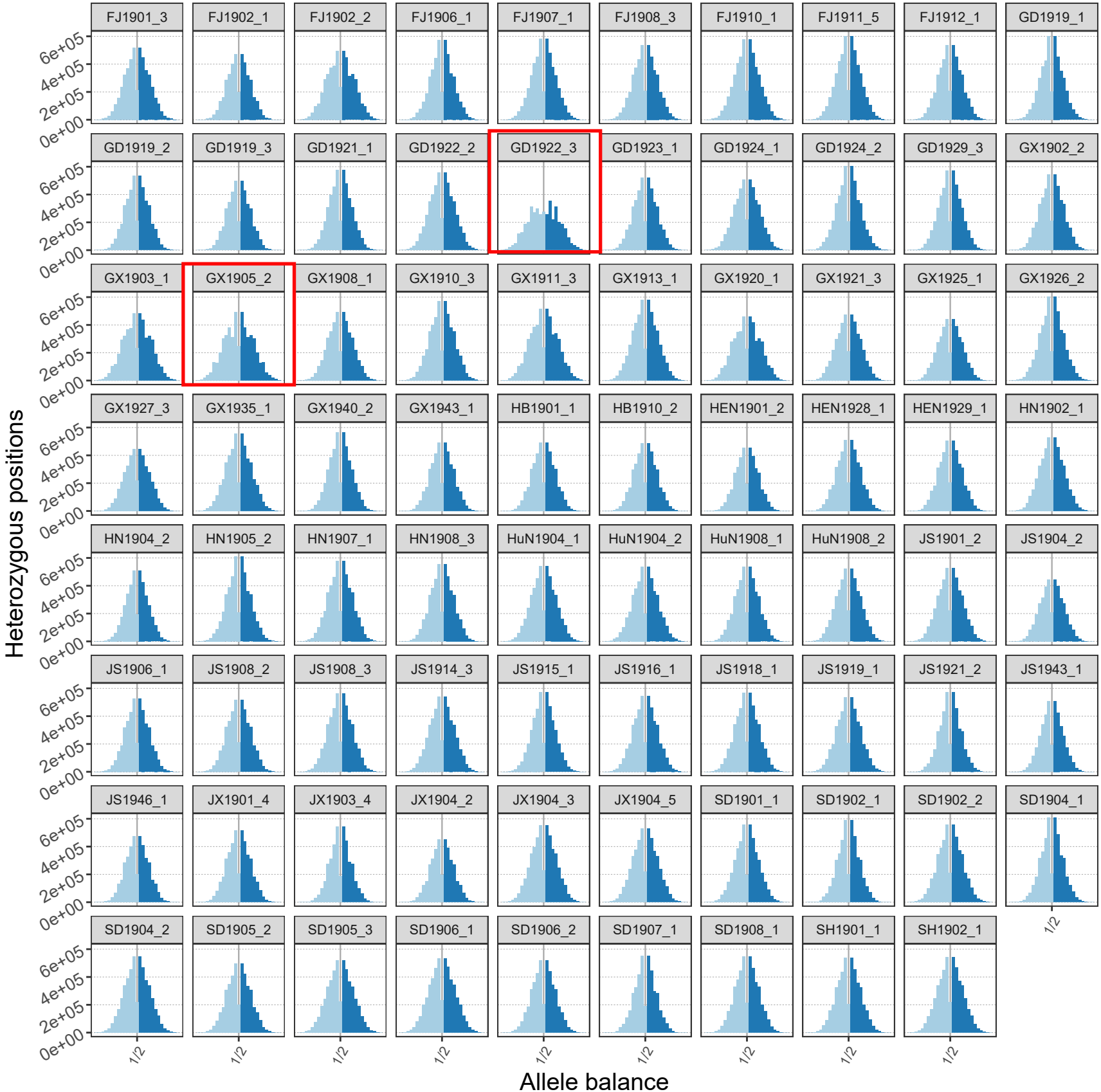

(A)
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
PpzB
PpzA
Pt76
Pca203
Pgt21-0
(B)
P. triticina (Ptt)
P. graminis (Pgt)
P. striiformis (Pst)
P. coronata (Pca)
P. polysora (Ppz)
A. psidii
-6160/+7227
-6524/+8055
-10170/+7954
-11468/+10822
(C)
PpzA
Pt76
Pgt210
Pca203
Orthogroup numbers
0
5
10
15
11−20
20−30
31−40
>40
Gene copy numbers


(A)
North China
Central China
South China
(B)
0.4
0.2
0.0
−0.2
PC2 (3.0%)
−0.25
0.00
0.25
0.50
PC1 (3.10%)
C
N
S
(C)
-log (rd)
-2
-4
-6
e
f
c
b
a
Observed
0%
50%
75%
100%
Linkage level
(D)
AvrRppC^A
AvrRppC^J
AvrRppC^1
AvrRppC^2
AvrRppC^3
AvrRppC^4
AvrRppC^ref
AvrRppC^5
Allele2
Allele1
Isolates of Puccinia polysora from China


hydrolase activity, hydrolyzing O−glycosyl compounds
catalytic activity
serine−type peptidase activity
serine−type endopeptidase activity
phospholipase activity
hydrolase activity
hydrolase activity
chitin binding
serine−type carboxypeptidase activity
flavin adenine dinucleotide binding
hydrolase activity, acting on ester bonds
aspartic−type endopeptidase activity
FAD binding
copper ion binding
10
20
30
p.adjust
0.04
0.03
0.02
0.01
Count
10
20
30


catalytic activity
hydrolase activity, hydrolyzing O−glycosyl compounds
serine−type endopeptidase activity
phospholipase activity
serine−type peptidase activity
hydrolase activity
flavin adenine dinucleotide binding
chitin binding
serine−type carboxypeptidase activity
copper ion binding
aspartic−type endopeptidase activity
FAD binding
10
20
30
Count
10
20
30
p.adjust
0.04
0.03
0.02
0.01


Pt76
PpzA
4347 gene pairs
Pca203
Pt76
4971 gene pairs
Pgt210
Pca203
5560 gene pairs


FJ1901_3
FJ1902_1
FJ1902_2
FJ1906_1
FJ1907_1
FJ1908_3
FJ1910_1
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FJ1912_1
GD1919_1
GD1919_2
GD1919_3
GD1921_1
GD1922_2
GD1922_3
GD1923_1
GD1924_1
GD1924_2
GD1929_3
GX1902_2
GX1903_1
GX1905_2
GX1908_1
GX1910_3
GX1911_3
GX1913_1
GX1920_1
GX1921_3
GX1925_1
GX1926_2
GX1927_3
GX1935_1
GX1940_2
GX1943_1
HB1901_1
HB1910_2
HEN1901_2
HEN1928_1
HEN1929_1
HN1902_1
HN1904_2
HN1905_2
HN1907_1
HN1908_3
HuN1904_1
HuN1904_2
HuN1908_1
HuN1908_2
JS1901_2
JS1904_2
JS1906_1
JS1908_2
JS1908_3
JS1914_3
JS1915_1
JS1916_1
JS1918_1
JS1919_1
JS1921_2
JS1943_1
JS1946_1
JX1901_4
JX1903_4
JX1904_2
JX1904_3
JX1904_5
SD1901_1
SD1902_1
SD1902_2
SD1904_1
SD1904_2
SD1905_2
SD1905_3
SD1906_1
SD1906_2
SD1907_1
SD1908_1
SH1901_1
SH1902_1
0e+00
2e+05
4e+05
6e+05
1/2
Heterozygous positions
Allele balance

Table 1 Assembly information and completeness evaluation of *Puccinia polysora*

Parameters	before haplotype-phased	
No. of contigs	4056	
No. of bases (Gbp)	1.76	
Size of longest contig (Mbp)	14.7	
N50 (Mbp) of contigs	1.9	
	after Hi-C scaffolding	
	Haplotype A	Haplotype B
No. of scaffolds	173	344
Size of scaffolds (Mbp)	862	855
Size of longest scaffold (Mbp)	31	39
N50 (Mbp) of scaffolds	13.2	9.5
	after Hi-C contact map binning	
Total size (Mbp)	856	855
No. of chromosomes	18	18
No. of unplaced scaffolds	15	20
Size of chromosomes (Mbp)	849	850
Size of longest chromosome (Mbp)	70.5	70.3
GC content	40.10%	40.00%
Complete BUSCOs	89.70%	89.70%
Complete and Single-copy BUSCOs	88.60%	88.60%
Complete and Duplicated BUSCOs	1.10%	1.10%
Fragmented BUSCOs	3.50%	3.50%
Missing BUSCOs	6.80%	6.80%

Table 2 Gene, ortholog content, and effectors in *Puccinia polyosra* genome assemblies

	haplotype A	haplotype B
No. of genes	23270	24242
No.(%) of genes located in 18 chromosomes	23038 (99.0%)	24080 (99.3%)
No.(%) of genes located in unplaced scaffolds	232 (1.0%)	162 (0.7%)
Mean gene length (bp)	1509	1480
% of genome covered by genes	4.1	4.2
No. of orthogroups on A and B	13057	
No. of genes in orthogroups	19815	20428
No. of secreted proteins	1183	1251
No. of apoplastic effectors	169	190
No. of cytoplasmic effectors	314	341
No. of effectors in orthogroups	463	501
No. of haplotype-specific effectors	20	30