

1 **Disentangling the historical routes to community assembly in the global**
2 **epicentre of biodiversity**

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8 **Abstract**

9 Understanding the processes through which ecological communities are assembled remains
10 a major challenge, particularly in hotspots of biodiversity such as tropical mountains. Here,
11 we apply continuous-time Markov models to elevational, geographical and phylogenetic data
12 for 166 avian sister pairs to infer the relative frequency of different historical pathways
13 involved in the build-up of species on Neotropical mountains, the Earth's most biodiverse
14 terrestrial region. We show that sister species arising in geographic isolation but now
15 sympatric (i.e. living on the same mountain slope), have assembled through a mix of different
16 routes. Ecological sorting whereby species diverge to different elevations in allopatry occurs
17 with a similar frequency to ecological displacement where divergence in elevation occurs
18 upon secondary contact. However, both these routes are far less common than ecological
19 niche conservatism, whereby species transition to sympatry without diverging in their
20 elevation range. Our results suggest the predominance of this more direct and thus faster
21 route to sympatry may help explain the exceptional species richness of tropical mountains.

22 **Keywords:** biotic interactions, elevational range, character displacement, sympatry, species
23 sorting, community assembly

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25 **1. Introduction**

26 Explaining the combination and diversity of species that co-occur within communities
27 remains a major challenge in ecology. This is in part because the patterns of spatial overlap
28 observed at the present depend not only on current ecological interactions between species,
29 but also on historical processes that play out over much longer time-frames and that are
30 beyond the reach of direct observation or experimental manipulation [1,2]. These historical
31 processes include speciation, niche evolution, dispersal, and range expansions [3–5], with
32 differences in the dynamics of these processes and the ecological factors controlling them,
33 underlying different theoretical models for how communities are assembled.

34 One of the main models for how communities assemble is based on the idea that new
35 species arising in spatial isolation (i.e. allopatry) diverge in their ecological niche, and only
36 those that happen to diverge sufficiently to minimise competition are able to co-occur when
37 they come back into secondary contact [6,7]. According to this model, community assembly
38 largely involves the ‘ecological sorting’ of pre-existing variation arising due to geographically
39 variable selection pressures. An alternative to the ecological sorting model, proposes that
40 niche differences between co-occurring species arise upon secondary contact, with
41 competition between species driving divergent selection and a displacement of their
42 ecological niches [8]. As with ‘ecological sorting’, this ‘ecological displacement’ (termed
43 ‘character displacement’ when considering heritable traits) model assumes that niche
44 similarity limits co-occurrence, but differs in its predictions of when and why niche differences
45 evolve. A final possibility, is that species may re-assemble into communities whilst retaining
46 their ancestral niche. This ‘niche conservatism’ model [9] is expected to predominate if
47 ecological niche overlap is not limiting, either because of other constraints on co-occurrence
48 (e.g. dispersal limitation) or because species have diverged along alternative niche
49 dimensions [10].

50 A classic system for studying these historical processes concerns the distribution of
51 vertebrates on mountains in the tropics. Tropical mountains are renowned for their
52 exceptional diversity. For instance, in the tropical Andes over 1000 species of birds can be
53 found living on a single mountain slope [11]. Since most speciation events involve the
54 geographic isolation of populations on different mountains (i.e. allopatry) [12–14], the main
55 problem is to understand how these species subsequently assemble on the same mountain
56 slope (i.e. sympatry) and partition ecological niche space. In this case, sympatry may involve
57 species co-occurring at the same elevation. However, elevation can also be regarded as a
58 key niche axis along which species can diverge and thus coexist at the scale of the entire
59 mountain slope. Indeed, many species have very narrow elevation distributions (e.g. a few

60 hundred metres in vertical distance) and replace one another across the gradient [15,16].
61 Previous studies have variously provided evidence that niche conservatism, ecological
62 sorting and displacement may all be involved in shaping these patterns of co-occurrence and
63 turnover along mountain slopes, but progress in disentangling their relative contributions has
64 been limited.

65 One problem is that different assembly models can lead to same present day pattern. In
66 particular, according to the niche conservatism model, species living on the same mountain
67 slope have overlapping elevation ranges because they retain the adaptations to specific
68 environmental conditions inherited from their ancestor [9]. However, while some studies have
69 argued that niche conservatism is a pervasive process [17], the same pattern could also
70 arise under the ecological displacement model. In this case, competition on secondary
71 contact first forces species to occupy different elevations, but as species diverge along
72 alternative niches dimensions (e.g. resource or microhabitat use) they subsequently expand
73 their elevation ranges and overlap along the gradient. This ecological displacement model
74 has also been proposed to be the dominant process explaining the build-up of co-occurring
75 species on tropical mountains [3,16].

76 A similar challenge exists when trying to discriminate ecological sorting and
77 displacement. Both these models predict that species living on the same mountain slope
78 should have non-overlapping elevation ranges, at least prior to any subsequent niche
79 expansion. Thus, discriminating between these scenarios depends critically on inferences
80 about whether elevational divergence precedes or is coincident with the attainment of
81 secondary contact. Studies attempting to address this issue have variously used
82 phylogenetic information to infer the relative timing of niche divergence and secondary
83 sympatry or have compared elevation divergence between sister species in zones of
84 sympatry to places where they remain allopatric [8,18]. However, while such tests can
85 potentially detect evidence of whether a particular process operates or not, critically they do
86 not reveal how frequently this process occurs and thus its relative contribution to the build-up
87 of sympatric diversity [19]. Progress in understanding community assembly, thus requires
88 new approaches that can reliably infer the relative frequencies of different historical
89 processes underlying current patterns of species distributions.

90 Here, we investigate the relative frequency of ecological displacement, sorting, and
91 niche conservatism and how they contribute to the co-occurrence of passerine birds across
92 elevation gradients in the Neotropics. We first constructed a new dataset consisting of the
93 divergence time, geographical and elevational distribution of $n = 166$ sister species. We then
94 apply a novel modelling approach that builds on previous studies examining the transition to

95 sympatry using continuous-time Markov models [10], but extend this framework by
96 considering the multiple routes through which species can attain sympatry via the evolution
97 of elevational ranges. Focussing on avian sister species, where we can confidently assume
98 that speciation involves the geographic isolation of populations [12,20], allows us to use
99 information on the current age and state (e.g. allopatric or sympatric, and overlapping in
100 elevation or not) to model the historical pathways through which co-occurrence arises. Using
101 this approach, we address three main objectives. First, what is relative importance of
102 ecological sorting, displacement and niche conservatism in build-up of sympatry among
103 Neotropical montane sister species? Second, what is the relative importance of ecological
104 sorting and displacement in generating turnover between sister species across elevation
105 gradients and how does co-occurrence at the same elevation arise? Third, can our
106 framework accurately and precisely infer the rate at which species pairs pass through these
107 different historical routes?

108 **2. Material and methods**

109 **(a) Elevational and geographic data**

110 We compiled a dataset consisting of avian sister species occurring in the tropical mountain
111 ranges of the Neotropics. Sister species and their respective divergence times were
112 extracted from two recently published phylogenies covering respectively >95% and >98% of
113 the New World oscines and suboscines [21,22]. We only kept sister pairs that met the
114 following conditions: (1) At least one of the species occupies montane habitat, defined as
115 areas >500m above sea-level [3]. (2) Both species occupy predominantly humid
116 environments such that species pairs could realistically live on the same mountain slope, e.g.
117 we removed species pairs if one sister occupied predominantly arid habitat, assessed with
118 information from field guides. (3) Both species live in the Neotropics, which included the
119 tropical mountain ranges of Central-America. Species that had ranges stretching into North-
120 America were included in the dataset if they were primarily Neotropical. The final dataset
121 consisted of $n = 166$ sister pairs. To establish that our results were robust and to verify
122 previously published results, we also reanalysed a previously published global dataset of
123 montane sister species (Supplementary material, Figure S2)[3].

124 We scored whether each sister pair was allopatric or sympatric and whether they had
125 overlapping or non-overlapping elevational distribution. Geographical and elevational range
126 data was gathered primarily from regional field guides [23–27] and supplemented by *Birds of*
127 *the World (BW)* [28]. *BW* typically reflects information found in local field guides but
128 incorporates more recent taxonomic changes. Sympatry was defined as when sister species
129 were present on the same mountain slope, regardless of whether this overlap was

130 widespread (i.e. thousands of kms) or minimal (i.e. a few kms). In contrast, allopatry was
131 defined as when sister species occurred exclusively on different mountain summits or
132 regions, often separated by obvious geographical barriers (e.g. valleys).

133 We assigned species pairs to one of five possible discrete states, defined by the
134 combination of sympatry/allopatry and elevation overlap/non-overlap. For sister species
135 occurring in allopatry, they may have overlapping (state 1) or non-overlapping (state 3)
136 elevational ranges. To ensure our results were not biased by marginal overlap along narrow
137 contact zones, we used a threshold of elevation overlap of 20%, calculated as a proportion of
138 the species with the narrower elevation range. To test the robustness of our conclusions,
139 however, we also repeated our analysis using different values (1, 10, and 30% overlap,
140 Figure S1).

141 Sister species occurring in sympatry may have non-overlapping elevational ranges
142 (state 4), overlapping elevational ranges (state 5), or elevational ranges that overlap in
143 allopatry but not sympatry (state 2). Assignment to state 2 can only be done if sister species
144 occur partially in sympatry but at least one sister has a partially allopatric distribution, and no
145 other closely related species forms a hypothesised elevational replacement in the allopatric
146 part of their range. The latter condition was to ensure that any intraspecific variation was not
147 caused by a tertiary species, as this would not be the result of interactions between the sister
148 species. We assessed this with observations mentioned in field guides combined with any
149 information on geographic and elevational ranges of congeners.

150 **(b) Statistical analysis using Markov models**

151 To infer the relative importance of different assembly scenarios in explaining the build-up of
152 sympatry we developed a continuous time multi-state Markov model (Figure 1). In this model,
153 the initial state for sister species is allopatry with overlapping elevational ranges (state 1),
154 which reflects the situation expected at the time of their initial divergence [29]. Sister pairs
155 then stochastically transition between states, with different transition pathways corresponding
156 to the different assembly scenarios. Under the ecological sorting scenario, sister species first
157 transition to having non-overlapping elevation ranges whilst remaining in allopatry (state 3).
158 They can subsequently transition to having non-overlapping elevation ranges and occurring
159 in sympatry (state 4). Under the ecological displacement scenario, sister species instead
160 transition from state 1 to having non-overlapping elevation ranges in sympatry but
161 maintaining overlapping elevation ranges in allopatry (state 2). Finally, the niche
162 conservatism scenario is described by a direct transition from state 1 to sympatry and
163 overlapping elevational ranges (state 5).

164 Once sympatry between sister species is attained (states 2, 4 or 5) we allow the
165 further evolution of species elevational ranges. Sister pairs in state 2 (i.e. elevation overlap in
166 allopatry and non-overlap in sympatry), can subsequently diverge in their elevation range in
167 the allopatric part of their distribution (e.g. due to ecological opportunity) and thus transition
168 to state 4. Alternatively, they can converge in their elevation range in their sympatric
169 distribution (e.g. due to differentiation along an alternative niche axis reducing competition)
170 and thus transition to state 5. Equally, we allow the possibility that sympatric sister species
171 with non-overlapping elevation ranges (state 4) may subsequently converge to occur at the
172 same elevation (state 5) [4,30]. Our model thus allows for the overlap (state 5) of sister pairs
173 on the same mountain slope, which is considered to be the final state, to be attained through
174 any of the three community assembly scenarios

175 Based on the estimated time since divergence and states of sister pairs at present we
176 used maximum likelihood (ML) [31] to estimate the transition rates between the states. The
177 full model contains 7 rate parameters corresponding to the 7 possible state transitions. We
178 also considered simpler models by, for instance, constraining the transition rate from state 1
179 to 2 to be equivalent to the transition rate from state 2 to 3. The simplest model has only a
180 single rate parameter, corresponding to identical rates for all transitions. We compared
181 model fit across all ($n = 877$) combinations according to AIC and report both the best model
182 and the model averaged parameter values of all highly supported models ($\Delta AIC \leq 2$ of best
183 model).

184 **(c) Relative frequency of trajectories**

185 Having inferred the transition rates between states, we used these to estimate the relative
186 contribution of ecological displacement (ED), ecological sorting (ES), and niche conservatism
187 (NC) in the build-up of sympatry. Specifically, we used the inferred rates to perform 1000
188 posterior predictive simulations using the Gillespie algorithm for constant rates [32]. Under
189 the Gillespie algorithm, transitions between states correspond to events. The simulation
190 starts at time $t = 0$, indicating the time since divergence for all sister species. The waiting
191 time (δ) to the next event is determined by a random draw from an exponential distribution
192 with the mean equal to the sum of all transition rates across all sister pairs, e.g. if the
193 transition rate from state 1 to 2 (r_{12}) is 0.05 and there are currently 5 pairs in state 1, then
194 this transition adds 0.25 to the total rate. The event that occurs at time $t + \delta$ is selected with a
195 probability equal to the relative contribution of each rate to the total rate. This transition
196 applies to a single species pair and this pair is chosen at random with equal weighting across
197 all pairs that are currently in the relevant state. As we simulate forward in time, species can
198 no longer transition if they are younger than t . The simulation is initiated with all sister pairs

199 being in state 1 and terminated once time t is greater than the age of the oldest sister pair.
200 During the simulation we record the percentage of sister pairs passing through each of the
201 three pathways corresponding to different community assembly scenarios (i.e. ED, ES, and
202 NC), and report the mean and standard deviation across simulations runs.

203 **(d) Assessing model fit**

204 Although the model is optimised using maximum likelihood, model fit may be poor if the
205 underlying assumptions of the model are not met. If that is the case, estimated rates will
206 poorly reflect the empirical observations. We assessed how well the predicted transition rates
207 can predict the distribution of states among the sister pairs using the output of the posterior
208 predictive simulations. To examine if there is bias in the effectiveness of our model
209 depending on species age, we bin species pairs in three equal-width age bins: 0.07-3.80,
210 3.80-7.52, and 7.52-11.25 million years (Myr), where 0.07 and 11.25 Myr are the age of the
211 youngest and oldest pair. We used three bins to ensure we would approximately capture any
212 changes in the trend in the prevalence of states through time beyond increases and
213 decreases. The prevalence of each of the five states per age bin is then compared between
214 the empirical data and 95% confidence intervals constructed from the final prevalence of the
215 simulated states. If the empirical prevalence falls within the confidence intervals this would
216 indicate good model fit (Figure 3), but large CI's would likewise indicate high uncertainty.

217 **(e) Simulation tests of accuracy and precision**

218 Using simulations, we further evaluate the model by assessing if we can both accurately and
219 precisely recover the transition rates. High accuracy indicates that the model is not biased
220 towards over- or under-estimating particular transition rates. High precision indicates that the
221 estimated rates are close to the true rates. We explored a number of different scenarios,
222 designed to characterise the three different assembly models and variants of these (S1-S3),
223 as well as a scenario assuming identical transition rates (S4) and one corresponding to the
224 transition rates inferred from the empirical data (S5). For each scenario, we performed 100
225 replicate simulations using the observed number and ages of sister pairs. For each
226 simulation, we then performed an identical model fitting procedure as for our empirical data,
227 reporting the parameter estimate for both the best model and the model averaged rates. We
228 evaluate the accuracy and precision of the rate estimates using the median and 95%
229 confidence intervals of the estimated rates.

230 **3. Results**

231 **(a) Empirical distribution of sister pair states**

232 Across the $n = 166$ sister species pairs, the majority are currently in allopatry with
233 overlapping elevation ranges (state 1: 62%). The next most common state is sympatry with
234 overlapping elevation ranges (state 5: 21%), with fewer pairs having non-overlapping
235 elevational ranges and occurring in sympatry (state 4: 7%), or allopatry (state 3: 5%) or
236 having non-overlapping elevational ranges in sympatry but overlapping elevational ranges in
237 allopatry (state 2: 5%). The mean age of pairs that are in allopatry with overlapping elevation
238 ranges (state 1: 2.31Myr) is younger than all other states (state 2: 3.46, state 3: 3.19, state 4:
239 3.95, and state 5: 3.44Myr), consistent with our assumption that is the initial state at the time
240 of species divergence.

241 **(b) Transition rates between states**

242 We found that our best model contains three parameters and constrains r_{12} , r_{13} , r_{25} , and
243 r_{45} to 0.04 (CI95: 0.03-0.07), r_{15} to 0.10 (CI95: 0.07-0.14), and r_{24} , r_{34} to 0.33 (CI95: 0.17-
244 0.63). While there are 41 models that are highly supported ($<2 \Delta AIC$), the model-averaged
245 rate estimates are very similar to the best model. Because of this, we focus on the model-
246 averaged results below. The model averaged results show that the transition rate from a
247 state of allopatry with overlapping elevation ranges (state 1) to non-overlapping elevation
248 ranges, either while in allopatry ($r_{13} = 0.05/\text{Myr}$) or upon the attainment of sympatry ($r_{12} =$
249 $0.04/\text{Myr}$) is relatively slow. In contrast, the transition rate of allopatric pairs with overlapping
250 elevation ranges (state 1) to sympatry with overlapping elevation ranges (state 5) is
251 significantly faster ($r_{15} = 0.10/\text{Myr}$).

252 **(c) Accuracy and reliability of estimated transition rates**

253 Our simulations show that transition rates from state 1 (r_{12} , r_{13} , and r_{15}) (Figure 1), can be
254 reliably and accurately estimated irrespective of the simulated scenario (Table 1). Later
255 transitions (r_{24} , r_{25} , r_{34} , r_{45}) are estimated with less accuracy, probably because there are
256 relatively few old sister pairs and thus less information to reliably estimate these rates.
257 However, this does not affect the reliability of our conclusions because our aim is to infer the
258 proportion of species pairs that embark on the different historical routes to sympatry and this
259 depends on accurate estimation of the initial rates from state 1.

260 **(d) The relative contribution of community assembly routes**

261 The posterior-predictive simulations show that following speciation a similar proportion of
262 pairs embark on the ecological sorting (27.27%, CI95: 16.36-39.13%) and ecological
263 displacement (20.63%, CI95: 11.11-30.44%) routes, whereby species diverge in elevation
264 while in allopatry (i.e. from state 1 to state 3) or upon secondary contact (i.e. from state 1 to
265 state 2) respectively (Figure 2b). Of the pairs with currently non-overlapping elevation ranges

266 in sympatry (states 2 and 4), more are inferred to be generated through ecological
267 displacement (58.82%, CI95: 35.00-80.02%) than sorting (41.18%, CI95: 19.98-65.00%)
268 (Figure 2c). However, confidence intervals on the proportion of pairs estimated to undergo
269 ecological displacement and sorting broadly overlap, suggesting there is little evidence that
270 one route is more common than the other.

271 Following speciation, the majority of pairs follow the niche conservatism route
272 (51.67%, CI95: 39.39-64.52%), transitioning directly to sympatry without diverging in their
273 elevation range (i.e. from state 1 to state 5) (Figure 2b). Of the species pairs that attain
274 overlapping elevation ranges in sympatry (state 5) almost all of these (93.94%, CI95: 83.87-
275 100.0%) originate through the niche conservatism route (Figure 2d). This can be explained
276 because of the low transition rates in the early stages of the ecological sorting (r13) and
277 displacement (r12) routes and because species pairs taking these route must pass through a
278 number of intermediate states to attain overlapping elevation ranges in sympatry. We note
279 that relaxing our threshold at which we consider species to have overlapping elevation
280 ranges resulted in qualitatively similar results (Figure S1).

281 **(d) Assessing model fit**

282 The posterior-predictive simulations under the estimated parameter values predict the
283 incidence of the five states well (Figure 3). The simulations capture the main patterns,
284 namely: an increase with age of sympatric pairs that have not diverged in elevation (state 5),
285 a decrease in the pairs that have not diverged in elevation and are allopatric (state 1), and
286 slight increases for pairs that are either in allopatry or sympatry but have diverged in
287 elevation (state 2, 3 and 4). However, the simulations also show that our model has large
288 confidence intervals. This is likely caused by the uneven age distribution of species pairs in
289 our empirical dataset. While there are 131 pairs <3.80 Myr and 33 pairs 3.80-7.52 Myr, only 2
290 sister pairs exceed 7.52Myr.

291 **4. Discussion**

292 Multiple historical processes have been proposed to explain the combination and diversity of
293 species within ecological communities. However, because previous studies have focused on
294 static biogeographic patterns and treated ecological sorting, displacement and niche
295 conservatism as alternative explanations, their relative contribution to community assembly
296 has remained unknown. Through our analysis of the dynamics of sympatry and elevation
297 overlap among Neotropical montane birds, we present three key findings. First, we show that
298 the relative contributions of different historical assembly models can be reliably inferred given
299 current phylogenetic and geographic data among sister species. Second, our results show
300 that ecological sorting and displacement contribute almost equally to explaining the turnover

301 of closely related bird species across elevation gradients in the Neotropics. Finally, we show
302 that the build-up of sympatry (i.e. living on the same mountain slope) and the overlap of
303 sister species along elevation gradients is almost entirely (>90%) explained by conservatism
304 of species elevation niches, rejecting more complex scenarios requiring niche displacement
305 followed by subsequent expansion.

306 The high diversity of tropical mountains is associated with a rapid turnover of species
307 as ecologically similar species replace one another up the mountain. Previous studies of
308 montane birds have concluded that ecological displacement rather than sorting is the
309 dominant process explaining such elevational replacements [3,16]. This is because most
310 sister pairs with divergent elevation ranges currently occur in sympatry rather than allopatry,
311 potentially consistent with the idea that divergence happens upon secondary contact rather
312 than during geographic isolation. However, our results suggest that the importance of
313 ecological sorting has been underestimated. This is because species that diverged in their
314 elevation ranges while in allopatry can subsequently transition to sympatry, thus reducing the
315 apparent incidence of allopatric pairs with divergent elevational ranges. Our phylogenetic
316 model accounts for these historical dynamics and shows that ecological sorting and
317 displacement contribute approximately equally to explaining turnover among sister species
318 across tropical elevation gradients.

319 While our analysis detects evidence of both ecological sorting and displacement, we
320 find that niche conservatism is a much more frequent route to sympatry, accounted for ~68%
321 of sister species living on the same mountain slope. Such a high frequency of niche
322 conservatism may not seem surprising, given that previous studies focussed on the drivers of
323 speciation have shown that most vertebrate sister species have overlapping elevation ranges
324 [9]. However, a high frequency of elevation overlap among sister species is by itself
325 inconclusive regarding the mode of community assembly because the same pattern can
326 arise under the ecological displacement model if the initial constraints on elevational overlap
327 weaken as species diverge across alternative niche axis (e.g. resource use). Our
328 phylogenetic approach to modelling the dynamics of elevation range overlap, enables us to
329 exclude this possibility. Indeed, we estimate that displacement and subsequent overlap in
330 species elevational ranges contributes little (3.57%) to current patterns of co-occurrence
331 along elevation slopes.

332 Our finding that niche conservatism is more common than ecological sorting or
333 displacement does not suggest that competition or other negative species interactions are
334 unimportant in the assembly of montane bird communities. Indeed, evidence that
335 interspecific competition limits elevational ranges is widespread in birds [33–35]. Instead, we

336 suggest that species attaining sympatry without diverging in their elevation range are likely to
337 have diverged across alternative niche dimensions such as resource or microhabitat use.
338 Such an explanation would be consistent with previous evidence that coexistence of
339 Neotropical bird species following speciation is limited by rates of divergence in key trophic
340 traits, such as beak size [10]. Given the relatively young age of many Neotropical montane
341 radiations and that there is little evidence for a slowdown in the rates of diversification, it is
342 possible that local niche space at any point along the elevational gradient remains far from
343 saturated [36]. As alternative niche dimensions become increasingly densely packed, it is
344 possible that ecological sorting and displacement may become increasingly important routes
345 to sympatry as has been suggested for New Guinean songbirds [16].

346 It could be argued that the relatively low frequency of ecological sorting and
347 displacement inferred by our models is a peculiarity of Neotropical birds and that the
348 dynamics of community assembly in this region may differ from other tropical mountain
349 systems that have different geographic configurations and histories of uplift. However, we
350 note that we obtained very similar results when re-analysing a global dataset of avian sister
351 species (albeit skewed towards the Neotropics)(Fig. S2), suggesting that our conclusions
352 may apply across tropical mountain regions generally. Another possible critique of our
353 approach, is that by focussing on sister species we have not detected ecological
354 displacement or sorting occurring among more distantly related species. Indeed, species
355 that form elevational replacements along mountain slopes are often not sisters [37–39].
356 However, this is also unlikely to explain our results because it is among young, ecologically
357 similar species that competition and other negative species interactions are expected to be
358 strongest.

359 The expansion of species ranges following speciation is an essential step not only in
360 the build-up of sympatry but also in providing renewed opportunities for further rounds of
361 geographic isolation and thus the generation of new species. Our results show that the rate
362 of range expansions leading to sympatry are substantially accelerated by the capacity for
363 species to occur on the same slope without having to first diverge in their elevation niche.
364 Specifically, according to our models, the expected lag time to sympatry under the niche
365 conservatism route (10.26Myr), is substantially shorter than the lag time for species passing
366 through the ecological sorting or displacement routes combined (29.39Myr). Thus, in addition
367 to the turnover of species across elevations, a key additional ingredient explaining the high
368 diversity of tropical mountains is the capacity for species to coexist locally at the same
369 elevation.

370 Our model represents a simplification of the complex processes governing the
371 assembly of montane biotas and is limited to explaining the patterns of sympatry and
372 elevation overlap among sister species. However, to our knowledge this is the first study to
373 quantify the relative frequency of ecological sorting, ecological displacement, and niche
374 conservatism in shaping species elevational ranges. A key next step will be to test how these
375 dynamics may vary across different mountain regions or taxa that vary in their ecology. Such
376 a comparative approach may be necessary to uncover why ecological sorting and
377 displacement appear to be relatively rare between the species where we expect them to be
378 most common. Our model could also be applied to disentangle the dynamics of assembly
379 across other ecological gradients and evolutionary radiations, such as the vertical layering of
380 foraging niches among rainforest birds [40], perch height among Anolis lizards [41] or depth
381 zonation in the Cichlid fish of East African rift lakes [42].

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387 BRR – Conceptualisation; Data curation; Formal analysis; Investigation; Methodology;
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389 editing. DJM – Conceptualisation; Methodology; Supervision; Writing – review & editing. ALP
390 – Conceptualisation; Funding acquisition; Methodology; Supervision; Writing – review &
391 editing.

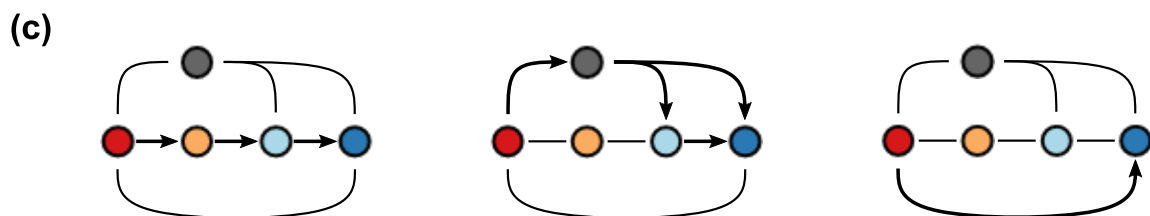
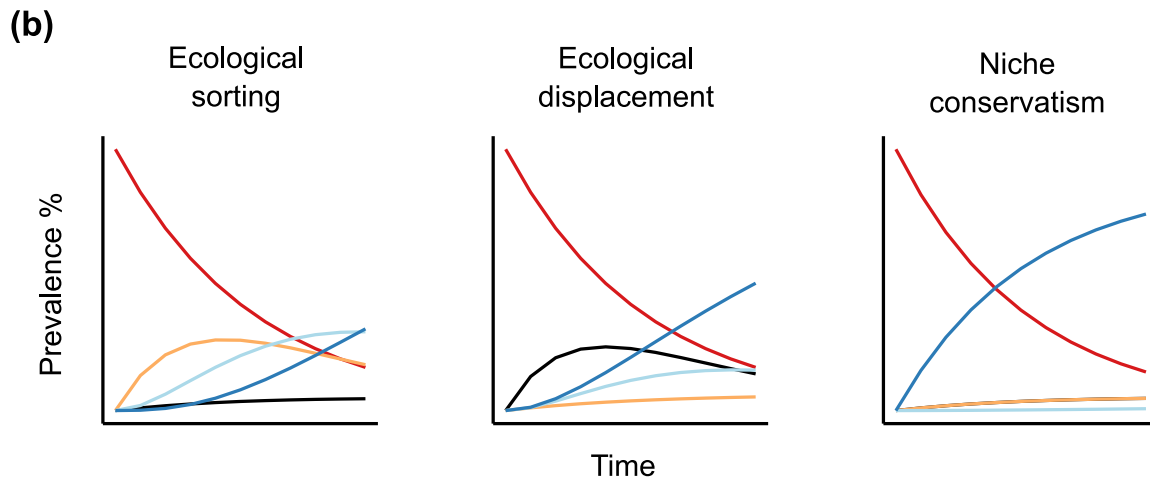
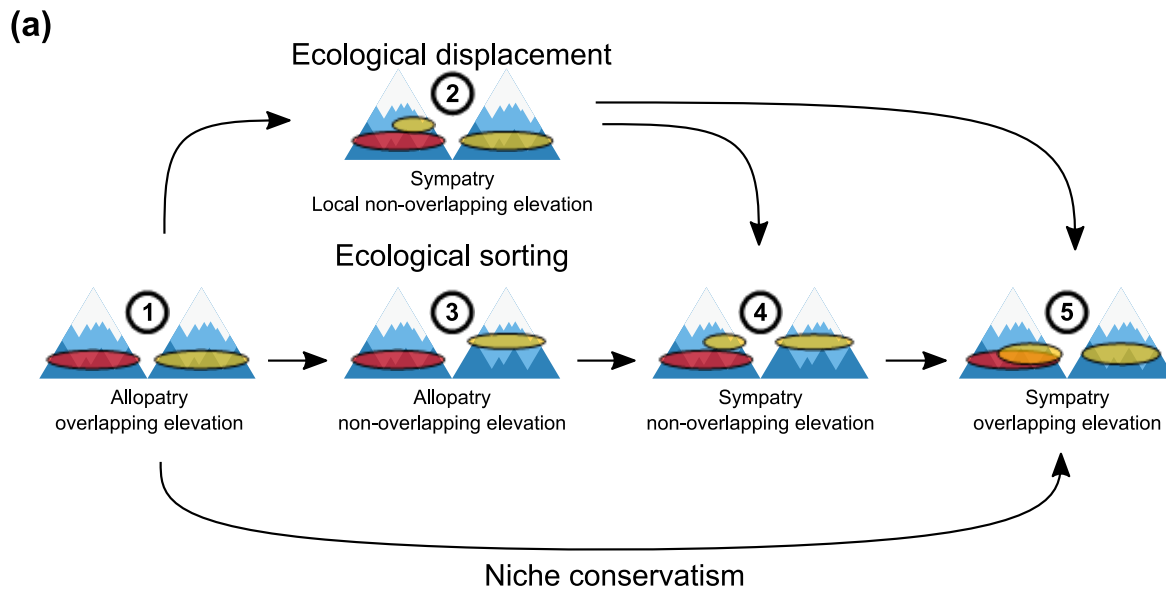
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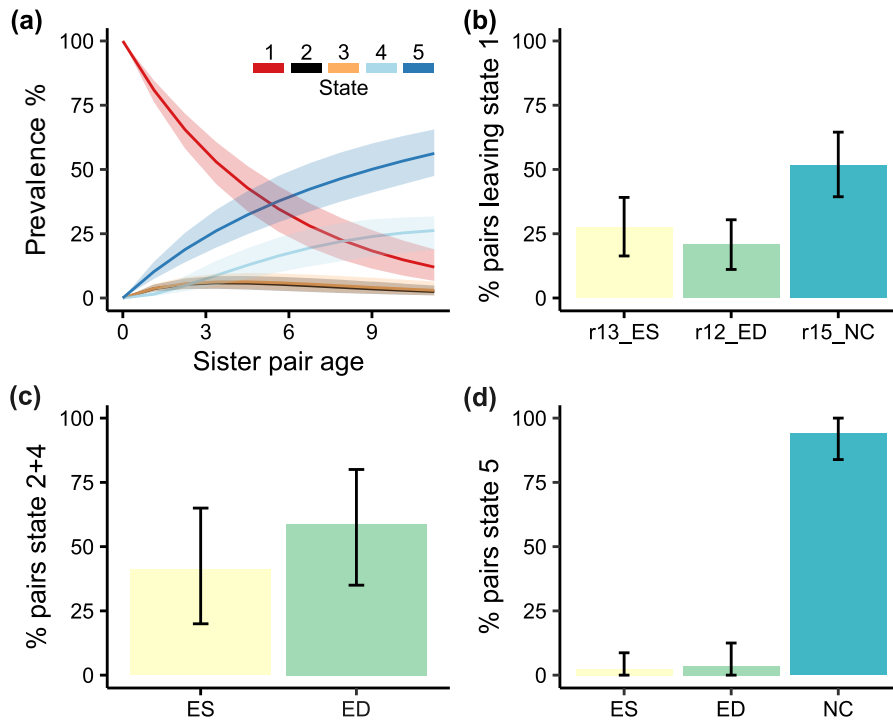


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497 **Figure 1** Hypothetical trajectories towards sympatry in montane sister species. a) All
 498 possible transitions in our models with their respective geographical and elevational context.
 499 (1) Species originate in allopatry and initially share the same elevational range.
 500 Subsequently, pairs might either stay in allopatry, but diverge in elevational range (3), attain
 501 partial elevational differentiation only in sympatry but retain overlap in allopatry (2), transition
 502 to sympatry but with differentiated elevational ranges (4), or transition to sympatry and co-
 503 occurrence (5). b) The prevalence of the five states through time according to the three
 504 hypothesised trajectories: I) Ecological sorting (ES), II) Ecological displacement (ED) and III)
 505 Niche conservatism (NC). These hypotheses are based on simulations in which the

506 respective pathways are dominant highlight the differences in temporal dynamics. c) An
507 abstract view of the dominant transitions under respectively ES, ED, and NC.

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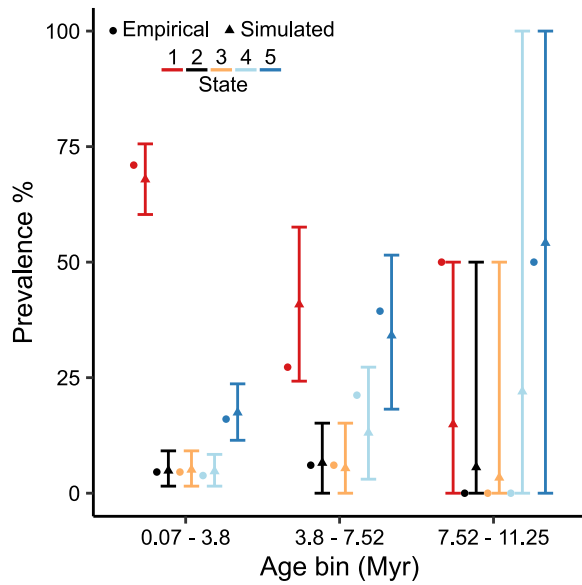
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511 **Figure 2** Sister species occurrence predictions through time and trajectories taken. a)
512 predicted prevalence of the five states, as represented in Figure 1a, through time. State 2
513 and 3 overlap greatly in predicted prevalence. Shaded areas show 95% confidence intervals.
514 b) Percentage of sister pairs that leave state 1 and start on each of the three routes towards
515 sympatry. c) the percentage of pairs gone through either ecological sorting (ES) or ecological
516 displacement (ED) that occupy non-overlapping elevational ranges in sympatry. d) The
517 percentage of pairs that have gone through ES, ED, or niche conservatism (NC) to reach
518 sympatric ranges with overlapping elevational distributions. Results are obtained from
519 posterior-predictive simulations of the model-averaged parameter estimates.

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522

523 **Figure 3** empirical prevalence and predicted prevalence of states by age category. Predicted
524 prevalence (triangles) was obtained from posterior predictive simulations, and empirical data
525 (circles) represents the prevalence of the states within three bins of equal age width starting
526 at the youngest pair and ending at the oldest. Data among age groups is distributed unevenly
527 ($n = 131, 33, \text{ and } 2$). Brackets indicate the 95% confidence interval of the prevalence of the
528 states across 1000 simulations.