Assessing risk for butterflies in the context of climate change,

demographic uncertainty, and heterogenous data sources

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dataset used here is the same as in Forister et al. (2021), and is available at

https://doi.org/10.5281/zenodo.4460647. The Shapiro transect dataset has been updated and will

be archived on figshare.com upon acceptance for publication (during review the dataset is

available at https://github.com/zgompert/MontaneButterfliesLTREB; see three files prefaced by

"Shapiro"). New variables used here include: exposure to land development, which derives from

the 2020 Cropland Data Layer (USDA 2020) downloaded using code from the function getCDL

from the cdlTools R package (Chen 2018), and climate data, including exposure to climate

change, which derive from TerraClimate (Abatzoglou et al. 2018) downloaded from THREDDS

(Unidata 2021a) using NCSS (Unidata 2021b) with batch scripts following the example

developed by Katherine Hegewisch (https://climate.northwestknowledge.net/TERRACLIMATE/

pages/guidance/CODE/terraclimate_ncss_subset.sh). Those variables for climate and land use

will be included upon manuscript acceptance in the same figshare.com archive as the Shapiro

dataset, along with the following variables that are updated, relative to data used in Forister et al.

(2021): geographic ranges, voltinism, diet breadth, and wingspan. Geographic ranges and

voltinism derive from Glassberg (2017, 2018) and from Scott (1986). Diet breadth data were

taken primarily from Scott (1986) but also from Brock and Kaufman (2006) and Lotts et al.

(2007); wingspan data derive from Opler (1999), supplemented with Warren et al. (Warren et al.

2013). Code used is not novel to this project (relevant functions and packages are cited).

Abstract Ongoing declines in insect populations have led to substantial concern and calls for conservation action. However, even for relatively well-studied groups, like butterflies, information relevant to species-specific status and risk is scattered across field guides, the scientific literature, and agency reports. Consequently, attention and resources have been spent on a miniscule fraction of insect diversity, including a few well-studied butterflies. Here we bring together heterogenous sources of information for 396 butterfly species to provide the first regional assessment of butterflies for the 11 western US states. For 184 species, we use monitoring data to characterize historical and projected trends in population abundance. For another 212 species (for which monitoring data are not available, but other types of information can be collected), we use exposure to climate change, development, geographic range, number of host plants, and other factors to rank species for conservation concern. A phylogenetic signal is apparent, with concentrations of declining and at-risk species in the families Lycaenidae and Hesperiidae. A geographic bias exists in that many species that lack monitoring data occur in more southern states where we expect that impacts of warming and drying trends will be most severe. Legal protection is rare among the taxa with the highest risk values: of the top 100 species, one is listed as threatened under the US Endangered Species Act and one is a candidate for listing. Among the many taxa not currently protected, we highlight a short list of species in decline, including *Vanessa annabella*, *Thorybes mexicanus*, *Euchloe ausonides*, and *Pholisora catullus*. Notably, many of these species have broad geographic ranges, which perhaps highlights a new era of insect conservation in which small or fragmented ranges will not be the only red flags that attract conservation attention.

KEYWORDS Anthropocene, butterfly, climate change, demographic uncertainty, extinction, heterogeneous data, hierarchical Bayesian model, Lepidoptera, population viability analysis

INTRODUCTION

Reductions in abundance, contractions in geographic range, extirpation, and extinction have become common features of wild plant and animal populations impacted by the various stressors of the Anthropocene (Dirzo et al. 2014, Turvey and Crees 2019). Effects on individual populations translate into depauperate assemblages of species in remaining natural lands, even those far removed from the most immediate effects of habitat destruction and degradation (McLaughlin et al. 2002, Brook et al. 2008). To the extent that the loss of evolutionary lineages (populations, species and higher taxonomic groups) is a part of life on earth and always has been, the current mass extinction crisis affords ecologists the chance to study extinction as an important earth-system process (Benton 2003). However, the need to maintain functioning natural ecosystems is increasingly generating motivation among scientists, the general public, and governmental bodies to reverse or slow whatever biotic losses might still be addressed (Naeem et al. 2016). Concern for functioning ecosystems has been elevated in recent years by a steady pulse of papers reporting declines in insect abundance and diversity (Eisenhauer et al. 2019, Wagner 2019, Wepprich et al. 2019, van Klink et al. 2020) that have inspired calls for new conservation attention focused on "the little things that run the world" (Wilson 1987, Goulson 2019, Cardoso et al. 2020).

Effective conservation and management actions depend not only on knowing which actions will be effective (Bladon et al. 2022) but also on prioritization of need because resources to support conservation are always limiting. Prioritization in turn depends on the synthesis of multiple lines of information including population monitoring, natural history studies, and geographic surveys. For insects, the taxonomic diversity is so great and the available information is so sparse (Cardoso et al. 2011), that proactive prioritization and conservation informed by

diverse data types has rarely been an option. As a consequence, insect conservation has often

been motivated largely by fragmentation and small geographic ranges (Samways 2007, Diniz-

Filho et al. 2010). Exceptions to that pattern include a few European countries where studies of

butterflies and a small number of other insect groups have been sufficiently thorough in terms of

natural history and monitoring that researchers have been able to prioritize species for

conservation attention in a way that follows the International Union for Conservation of Nature

(IUCN) and the Red List framework (Fox et al. 2011, van Swaay et al. 2011, Maes et al. 2012,

Bonelli et al. 2018, Franke et al. 2022). That depth of species-specific information for insects is

unusual, even for butterflies, and most countries will have a more complex mix of some

monitoring or observational data, natural history observations, and expert opinion (New et al.

1995, Edge and Mecenero 2015, Geyle et al. 2021).

Butterflies in the western United States provide an excellent case study for the challenge

of conservation prioritization that involves a mixture of heterogenous data types and sources of

information. The region does include butterfly monitoring programs, but also expansive areas

that are sparsely populated and understudied, in particular the Intermountain West with hundreds

of mountain ranges in the nearly 500k square kilometers of the Great Basin Desert. The most

temporally-intensive butterfly monitoring program in the western US is the Shapiro transect of

ten permanent sites across Northern California that have been monitored biweekly during the

flight season for between 35 and 51 years (Shapiro 2022). Many years before the entomological

world made a collective pivot to the problem of insect declines (Dirzo et al. 2014, Hallmann et

al. 2017), work with the Shapiro data documented shifting spring phenologies (Forister and

Shapiro 2003), and the influence of land use and warming temperatures on extensive declines in

abundance and species richness (Forister et al. 2010, Casner et al. 2014b). More recently,

patterns from the temporally-intensive Shapiro dataset were analyzed in parallel with

geographically-extensive monitoring data from the North American Butterfly Association

(NABA) and iNaturalist observations across the 11 western states (Forister et al. 2021). That

effort quantified a compounding loss of 1.6% fewer butterflies observed per year, consistent with

rates of loss from studies in other regions (Wepprich et al. 2019, van Klink et al. 2020), and

highlighted the negative influence of warming and drying conditions on butterfly populations in

natural areas. However, the species included in Forister et al. (2021) were only those common

and widespread enough to be present with sufficient frequency in monitoring databases to allow

for inclusion in statistical models. Moreover, an attempt was not made to combine different lines

of information into a ranking of species for conservation concern.

Here we address that need by taking a multi-faceted approach to conservation

prioritization that utilizes observational data when available (for approximately half the species)

and a combination of data types for other species, including natural history traits and quantitative

estimates of exposure to climate change and development. We refer to these two groups of

species, with and without monitoring data, as the "A group" and "B group" species, respectively.

These two groups are treated throughout this paper in distinct but complementary ways, with the

dual goal of presenting a unified picture of risk for a regional butterfly fauna, but also with the

objective of providing a case study for how species with different amounts of information can be

mutually informative when considered together in a conservation context. The different data

types studied for both A and B group species are detailed below and are used (1) to produce a

quantitative ranking that highlights the taxa most severely declining and most likely to face

regional extirpation or extinction in coming decades; and (2) to identify geographic and

taxonomic knowledge gaps in our understanding of western butterflies. It is our hope that these

results will be used by conservation practitioners and land managers to guide restoration and

protection efforts, and will also motivate additional monitoring and the development of new

population models that take maximum advantage of heterogenous data types. Throughout this

paper, we use the word "risk" (and related terms, like "risk index") in a flexible way that

encompasses evidence of past decline, projected declines, and combinations of traits that could

predispose species to ongoing and future declines. This flexibility is necessary given the nature

of our project encompassing species for which different kinds and quantities of information are

available, but in all cases we intend the concept of high risk to flag species that could profitably

receive careful attention from ecologists, conservation biologists, and the general public.

MATERIALS AND METHODS

A schematic overview of our methods is shown in Figure 1, emphasizing the flow of information

from external data sources through analyses to the generation of quantitative risk assessment. All

parts of the process are discussed in detail here. The order of the sections below follows the order

of variables (salmon colored boxes) in Figure 1, from left to right, starting with the process that

generates P(persistence) from the NABA data and ending with wing span and host range. After

the creation of variables, the subsequent methods sections roughly correspond to the main

products (green boxes) in Figure 1, from the creation of ranked lists to risk maps and the

visualization of phylogenetic risk.

Starting with the 875 taxa on the North American Butterfly Association's 2nd edition

checklist of butterflies occurring north of Mexico (NABA 2018), we retained 396 species with

resident (non-vagrant) status in the eleven western states (Washington, Oregon, California,

Idaho, Montana, Nevada, Wyoming, Colorado, Utah, New Mexico, and Arizona) based on range

maps in Glassberg (2017), and collapsed 18 subspecies from that list into full species. For clarity

and in order to facilitate wide use of our results, we also reference a second checklist by Pelham

(2022) in places where names differ.

Of the 396 species from the NABA list, 184 were present in monitoring databases (either

the Shapiro transect or the NABA count circles) with sufficient frequency to be used in

population models. For those species (the A group), our approach is to rank species based on

observed and forecast population trajectories. Acknowledging the great uncertainty inherent to

insect time series analyses, we present the ranking of A group species in a way that risk

associated with other variables (e.g., geographic range size) can be evaluated by the reader.

For the 212 species in the B group (not present in monitoring schemes in sufficient

frequency for inclusion in core population models), we have accumulated seven variables that

form a composite picture of risk: geographic range, exposure to developed land, exposure to

climate change, average (range-wide) precipitation, voltinism (number of generations per year),

wingspan, and host range (or "host breadth"). We combine those seven variables into a single

risk index as a weighted sum, where the weights are determined in part by our previous work

with western butterflies, but also by analyses of the A group (described in detail below). The

weighting scheme and other steps in data processing involve informed but partly subjective

judgments (see section below on *Calculation of risk index for A and B group species*) with

respect to threats to butterflies and natural history traits that predispose butterflies to risk. We

have presented all data decisions in a transparent way, so that the reader can judge for

themselves the consequences of our methods and decisions, and alternative weights can be

assigned by researchers using an online tool (see Appendix S1). In the sections below, we

describe first the observational datasets (NABA and Shapiro) and associated analyses, then the

seven other variables and how they are combined into composite risk indices and are visualized

geographically and in a phylogenetic context.

Variable creation part 1: North American Butterfly Association (NABA) counts and

models

The NABA butterfly count program is a suite of hundreds of individual locations throughout the

country that are monitored during midsummer (typically once, but in some cases more than

once) by a group of at least four observers recording counts of all individual butterflies seen and

identified to species, in a 15-mile (24.14 km) diameter circle. Observations from count circles in

the 11 western states encompass different numbers of years at different sites from the 1970s to

the present, with the final year in the dataset we examined being 2018 (the data were compiled

for analysis in 2019). For the current project, we filtered the observations so that we only

included sites that had been monitored for at least ten years, and with the final year being 2017

or 2018 (we did this so as not to generate forecasts for species with a substantial recent gap in

observations). More than one monitoring day has been reported per year at a small number of

sites, and for those locations we retained only the survey closest to the 4th of July, which is the

traditional target date for these censuses. We then excluded any site-by-species combinations in

which a species was not present for at least ten years (not necessarily consecutive years). Finally,

only species meeting the latter criterion for at least three locations were retained. Those filters

resulted in a dataset with 162 species from 44 locations used in the core model and associated

population forecasts (we experimented with less stringent filters but found that model

performance suffered). For species with less data, we ran a second set of models with lower

thresholds, as described after the core model below.

Previous work with the NABA data used hierarchical Bayesian linear Poisson regressions

run separately for each species (Forister et al. 2021). Here we advance that approach using a

single, multi-species model that shares information about heterogeneity in the observation

process across species observed at each site (Riecke et al. 2021). The components of the model

(each described in turn below) include an observation sub-model, an abundance sub-model, and

a forecast or simulation process that projects occupancy (the fraction of sites with non-zero

presence by species) for various intervals of years in the future.

For the observational component, we modeled the counts of individual butterflies (y)

using a Poisson distribution given the expected count of each species at each location during

each year ($\mu_{t,l,s}$), where t, l, and s identify the year, location, and species respectively:

$$y_{t,l,s} \sim \text{Poisson}(\mu_{t,l,s}).$$

We modeled the expected count ($\mu_{t,l,s}$) as a function of an abundance index ($N_{t,l,s}$), year- and

site-specific survey effort (β), and a year- and location-specific random effect ($\delta_{t,l}$) shared

among species:

$$\mu_{t,l,s} = \exp(\ln(N_{t,l,s}) + \beta * \text{effort}_{t,l} + \delta_{t,l}),$$

with a vague prior for the effect of survey effort:

$$\beta \sim \text{normal}(0,10).$$

The empirical variable for effort is the z-standardized total hours searched by all survey groups

at a site on a day. After accounting for the effect of survey effort, we modeled additional

variation in detection probability for each survey or monitoring day as a random effect shared

among species. This random effect can be thought of as the combined effects of survey-specific

variation in detection due to processes such as variation in observer experience and local weather

conditions (Riecke et al. 2021):

$$\delta_{t,l} \sim normal(0, \varsigma^2),$$

$$\varsigma \sim gamma(1,1).$$

For the abundance sub-model, we assigned priors for initial population abundance indices for each species at their first encounter ($f_{site_i, species_i}$) at a study site as a function of initial survey effort and the initial count:

$$N_{f_{l,s},l,s} \sim gamma(exp[ln(y_{f_{l,s},l,s}) + (effort_{f_{l,s},l,s} * -0.1)], 1).$$

We modeled changes in population size (N) from one year to the next for each species at each site as a function of year (t), location (l), and a species(s)-specific population growth rate (λ):

$$N_{t+1,l,s} = N_{t,l,s} * \lambda_{t,l,s}.$$

Variation in population growth rate was in turn modeled as a function of a species-specific mean population growth rate (γ_s), and species-specific random variance in population growth rate:

$$\lambda_{t,l,s} \sim lognormal(\gamma_s, \sigma_s^2),$$

$$\gamma_s \sim normal(0,1),$$

$$\sigma_s^2 \sim gamma(1,1).$$

Finally, for each species at each location, we projected the abundance index into the future using Monte Carlo simulation from the posterior distributions of species-specific population growth rate ($\lambda_{t,l,s}$), and species-specific population growth rate variance (σ_s^2):

$$N_{t+1,l,s} = N_{t,l,s} * \lambda_{t,l,s},$$

$$\lambda_{t,l,s} \sim lognormal(\gamma_s, \sigma_s^2).$$

We defined local 'extirpations' as locations at which the expected count of a species given mean effort was less than 0.1 individuals, and calculated extirpation probability for each species at 10, 20, and 50 years into the future. Thus, one minus the extirpation probability is the probability of population persistence, and it is that value (probability of persistence) for each species from the

core NABA model that moves forward (represented by 1k samples from the final year of the

simulations) into the calculation of the risk index for the A group species.

The above model and 50-year projections were used for 162 species in the A group with a

sufficient number of observations (passing the filters described above); note that the total number

of A group species is 184, not 162, because another 22 species are in the A group as a

consequence of their presence only in the Shapiro dataset (107 A group species are present in

both datasets). For a different set of 105 species in the B group, we used a less complex model

than the one described above. These species (a subset of the B group) were present in the NABA

dataset, but with too few observations (a median presence of 2 sites per species) to be included in

the core NABA model. However, in the interest of presenting maximal information on all species

and using all available data, we estimated trends through time for this subset of the B group; the

results are reported but not incorporated into the risk index calculation for these species. In this

model, the counts (y) were also modeled with a Poisson distribution given the expected count for

each location and year ($\mu_{t,l}$), where t is the year and l is the location:

$$y_{t,l} \sim \text{Poisson}\left(\mu_{t,l}\right).$$

The expected count ($\mu_{t,l}$) was then modeled as a linear function of a site-specific intercept (α_l), a

site-specific (s) year effect (β_1), and site-specific effect of effort (β_2):

$$\ln\left(\mu_{t,l}\right) = \alpha_l + \beta_{1,s} * \text{year}_t + \beta_{2,s} * \text{effort}_{t,l}.$$

The intercept and both beta coefficients were drawn from normal priors, with the normal

truncated at zero to be positive for effort (β_2); the means and variances of those distributions

were in turn drawn from hyperpriors (thus estimating effects across sites) with means drawn

from normal distributions (with mean of zero and variance of 100) and variances drawn from

gamma(1,1) as in the core model above. For 35 species present at only a single site, the model

was run without the hierarchical (across sites) structure. The output of these secondary models

(for the 105 species) was retained as a directional probability (the fraction of the posterior

distribution above zero for species with a positive year coefficient, and below zero for species

with a negative year coefficient).

All Bayesian models were implemented using JAGS (version 4.3) and the `jagsUI`

package (Kellner 2017) in R (R Core Team 2020). The core model (for A group species) was run

with three chains for 500k iterations, with a 250k iteration burn-in. The secondary models (for

the 105 B group species with some presence in the NABA data) were run with two chains for 2k

steps and a 1k burn-in. Model diagnostics included inspection of plots of chain histories (all

chains converged; $\hat{R} < 1.01$), and effective samples sizes.

284 Variable creation part 1b: Phenology

A potential issue with once-per-year sampling, as in the NABA data, is sensitivity to

phenological shifts. For example, earlier spring emergence associated with a warming climate

could cause a mid-summer census date to fall after a population peak, and thus appear as a

reduction in population density. This might be especially relevant for species with fewer

generations per year (univoltine or bivoltine species) where average flight date tends to be

correlated with emergence date (Wilson et al. 2007), but could be relevant for any species

depending on the timing of peak population productivity. On the other hand, we have found that

earlier emergence for multivoltine butterflies in low elevation California tends to be associated

with elevated numbers throughout the summer as populations have more time for growth

(Forister et al. 2018). To consider these possibilities here, we developed an alternative version of

our core Bayesian model (described above) in which sampling date is included as a linear

covariate with effects estimated separately for univoltine and multivoltine species. Sampling date

in the NABA dataset varies among sites and years, and has been advancing at the rate of a little

over one day per decade when estimated across sites (Appendix S1: Figure S1). Results from that

model, including effects of sampling date on the different voltinism groups, were nearly

indistinguishable from the results of our primary model (see Appendix S1: Figure S1 for this and

other relevant results), thus in the interest of parsimony we do not include the phenological term

in our core model and results presented throughout the rest of this paper.

We also used the more temporally intensive Shapiro dataset, with biweekly samples

(described in detail in the next section), to further explore potential biases with once-per-year

census data (as in the NABA dataset). These analyses focused on the years since 1999 at the five

low elevation Shapiro sites, for which counts of individuals are available, as opposed to presence

and absence observations that are available for earlier decades at all sites. Using species with at

least 10 years of data, we calculated the following indices for each species at each site, using

generalized linear models with Poisson error and a log link function: (1) rate of change in the

date of first flight, estimated as the year coefficient from models predicting the date of first

observation in each year; (2) rate of change in total annual abundance (summed across all visits),

as the year coefficient from models that included (in addition to year) the number of sampling

days as a covariate for effort; (3) rate of change similar to the previous, but instead of total

annual abundance the dependent variable was the count of individuals on a randomly selected

day that a given species was observed in a given year; day of the year was included as a

covariate for phenology. For the third index, change in abundance based on simulated once-per-

year sampling, the single day in each year was restricted to the window between June 15 and

August 15 to correspond to variation in mid-summer count circles hosted by NABA. With those

three indices, representing change in phenology, change in annual abundance, and change in

abundance on a single census day per year, we used simple Pearson correlations to ask if species

that have emerged earlier since 1999 would appear to be in decline based on the once-per-year

sampling, as predicted by the idea of a missed peak of abundance (discussed above). We also

asked if any trends in abundance detected with the simulated single census point data would

align with change over time inferred from analysis of counts of abundance summed over all

visits in a year.

Variable creation part 2: Shapiro transect data and models

Ten long-term study sites across northern California have been monitored for between 35 and 51

years (depending on the site), with the presence of all butterflies noted along fixed routes every

two weeks during the flight season. Data used here were compiled in 2021, including

observations through 2020; earlier years were truncated so the dataset starts at 1985, except for

three sites where data collection began in 1988. Species by site combinations of at least eight

years were retained for analyses of 133 species. Additional details on sites, butterflies and field

methods have been described elsewhere (Forister et al. 2010, Halsch et al. 2021, Shapiro 2022).

In brief, data from the Shapiro sites have been analyzed using hierarchical Bayesian linear

models in which the response variable (the number of days a species is observed in a year) is

modeled as a binomial process, with a beta coefficient from the year term in the linear model

representing change through time in the probability that a species is observed (Nice et al. 2014,

Halsch et al. 2021). Here we use the version of this model and implementation as described in

Forister et al. (2021) in which the model was run separately for each species and beta

coefficients for years are estimated within and across sites; the higher level coefficients (across

sites) are used as indices of population change for each species across the northern California

sites. As with the NABA models, model diagnostics included inspection of convergence and

effective sample sizes. For downstream analyses (the creation of the risk index for A group

species), 1k samples were retained from the posterior distributions of the year coefficients

estimated across sites for each species. For two species, *Lycaena rubidus* and *Agraulis vanillae*,

the year coefficients were extreme outliers (in the negative and positive direction, respectively)

and were not used in the creation of the risk index values (described below) but we do include

those coefficients in visual summaries of patterns across species.

The year coefficients from this modeling approach have been shown to be effective

indices of change in total abundance as reflected in total counts of individuals which are

available from a subset of years and sites (Casner et al. 2014a). Unlike the main NABA model,

described in the previous section, we have not taken a forecasting approach with the Shapiro

data. The two datasets have different strengths and weaknesses. The strengths of the Shapiro data

are intensity and consistency of observation, which lend precision to estimates of species-

specific change through time. In contrast, the NABA observations are only once per year, but

because they are counts of individuals for all years they can be used to estimate population

growth rates (see model description above) which can in turn be used to forecast population

occupancy.

Variable creation parts 3 and 4: Geographic ranges and voltinism

Expert-derived range estimates from Glassberg (2017, 2018) were generated from Keyhole

Markup Language (.kml) files for each species. The range of each species was separated by

voltinism (the number of generations per year in different portions of the range), with spatial

polygons retained separately for uni-, bi-, and multivoltine regions. Quantitative area estimates

were then derived for each species within the US and Mexico using the area function in the R

package `raster` v3.5-11(Hijmans et al. 2021), which estimates area based on the size of raster

cells. For species with ranges spanning from the 48 states into Canada and Alaska, we scanned

range maps from Scott (1986) and quantified those northern range portions with the software

ImageJ (Collins 2007). These area estimates were added to the values derived from the other

sources (Glassberg 2017, 2018). In general, area estimates become biased closer to the poles, but

our use of range estimates is relative, and we expect the imprecision to have little effect on

results.

In addition to estimating the total area of geographic ranges, the outlines of the expert-

derived ranges were used for multiple purposes, including calculating the fraction of each range

that is univoltine. For simplicity, we focus on a univoltine vs bivoltine plus multivoltine

comparison, rather than considering bivoltinism as a distinct category. The outlines of the expert-

derived ranges were also used to calculate exposure to land use and climatic variables (as

described in the next section). Note that these other lines of geographic information, from

voltinism to exposure to development and climate change, are focused on the 11 states of the

western US and do not consider, for example, climate change in other regions.

Variable creation parts 5 through 7: Land use, climate, and climate change

Previous work with butterflies in our region has revealed effects of land use and climate change

that are complex, potentially interacting, and dependent on both the species involved and the

landscape context (Casner et al. 2014b, Forister et al. 2018, Halsch et al. 2021). Summarizing

exposure to land use and climate change is not a simple task, but we have taken the relatively

straightforward option of using the range outline (described in previous section) to quantify these

stressors within the range of each species. Note that this differs from the use of point locations to

quantify proximity to, for example, urban development (Jamwal et al. 2021). The range-outline

approach is a better fit for our goals simply because all species have the same starting data (the

expert-derived ranges), which would not be true of 396 species using available point-occurrence

records in, for example, iNaturalist. For highly mobile animals, like butterflies, the range-outline

method has another advantage in that we do not have to assume that point locations of

observations represent the only or most relevant habitats.

To quantify land use change, we reclassified the 2020 Cropland Data Layer (USDA

2020) into land cover types of agriculture, development, or natural and semi-natural habitats

using the associated Cropland Data Layer scheme; all crops were classified as agriculture,

development of any intensity level as development, and remaining land cover types (including

pastureland) as natural or semi-natural habitat. For each species, we used the spatial polygon

generated from the range map to clip the rasterized land cover types and calculated the

proportion that was agriculture or development. Because of the form in which we acquired the

spatial data, this process was done separately for regions of different voltinism, and these were

summed to a single value for each species (see Appendix S1: Figure S2 for examples of range-

wide exposure to land use).

To estimate climate change exposure, we used TerraClimate data for minimum

temperature, maximum temperature, and precipitation (Abatzoglou et al. 2018), which we

resampled from ~4km^2 spatial resolution to ~40km^2 for computational efficiency. Using

multivariate Mahalanobis distance as a measure of departure (Farber and Kadmon 2003,

Abatzoglou et al. 2020), we calculated departure from baseline conditions (1958-1987) for the

most recent thirty years (1991-2020) for each cell. To estimate exposure to climate change, we

calculated rate of change in departure over time using Theil-Sen slopes (Theil 1950, Sen 1968)

which estimate the median slope between each pairwise set of observations and are relatively

robust to outliers near the start or end of a series. We generated a raster of these trends in

departures for the eleven western states. For each species, we then clipped the climate departure

raster layer using the species range maps as spatial polygons and calculated the mean climate

change exposure across that portion of the range (as with land use, this was done separately by

voltinism, but then added for a single value per species for further analyses; see Appendix S1:

Figure S2 for examples). We also calculated 30-year climate normals (1991-2020) for minimum

temperature, maximum temperature, and precipitation annually and within each season across

the entire range for each species. Among those three variables, precipitation was recently found

to be predictive of changes in butterfly abundance across the west (Forister et al. 2021), thus it

was used as a static description of climate for inclusion in the composite risk index (described

below).

Variable creation parts 8 and 9: Wingspan and host range

Among the many morphological and natural history traits that could be informative of status and

risk, body size and ecological specialization are widely studied, and thus relevant data are

available for many species. More narrow diets are often associated with greater sensitivity to

habitat loss and other disturbance (Hughes et al. 2000), and dispersal ability is a key determinant

of metapopulation resilience in the face of fragmentation or other stressors. Wingspan has been

shown to be a proxy for dispersal ability in butterflies (Sekar 2012), and the values derived here

were taken primarily from Opler (1999), and also from Warren et al. (2013). Similarly with diet

breadth (or host range), we used a single source for the vast majority of species (Scott 1986),

supplemented with Brock and Kaufman (2006) and Lotts et al. (2007).

We gathered both the number of plant genera and plant families reported as caterpillar

hosts for each species, and then calculated a combined index of diet breadth as the number of

taxonomic families plus the natural log of the number of genera. This calculation of taxonomic

diet breadth puts most weight on the number of families but allows for some influence of the

number of genera eaten. For example: a species that uses hosts in two genera in two families

would have a diet breadth of 2.69 (2 + ln(2)), while a species that uses plants in three genera in

two families would have a diet breadth of 3.10 (2 + ln(3)). We did not attempt to gather species-

level host records, for which too much data would be missing or unreliable.

Products part 1a: Transformations prior to risk index calculation

In total, we compiled nine variables that contribute to the prioritization of A and B group species

in different ways: (1) 50-year occupancy projections (probabilities of population persistence)

based on NABA data; (2) historical rates of change from the Shapiro data; (3) geographic range

based on expert assessment; (4) exposure to agricultural and other developed lands; (5) exposure

to climate change; (6) average precipitation throughout the range; (7) the fraction of the range

with one generation per year; (8) wingspan; and (9) an index of dietary specialization or host

range (Figure 1). Prior to their use in assigning a risk value to each species (discussed in the next

section), each variable was subjected to a specific set of transformations that resulted in a

variable with a range of 0 to 1 where larger values represent greater risk. Depending on the

nature of the variable (when larger values do or do not naturally represent higher risk), the

transformations included a change of sign, and (for all variables) standardization between 0 and

1 (by dividing by the largest value). In some cases, for highly skewed variables, a natural log

transformation was applied as the first step, and all transformations and scaling steps are

illustrated in Appendix S1: Figure S3.

For visualization of the transformed and scaled variables and for comparison among

species, we divided the distributions (Appendix S1: Figure S3) into quantiles and assigned

circles of different sizes to the different intervals, with larger circles indicating larger values and

greater assumed risk. For most of the variables, we found that the following breakpoints

provided a useful assignment of circles for visualization: 0.15, 0.5, and 0.85; in other words, the

interval from 0 to 0.15 was assigned the smallest circle (the least risk), from 0.15 to 0.5 the next

largest, etc. Breakpoints differed for some of the more skewed variables (e.g., host range), but

the results are interpreted in the same way (larger circles represent larger assumed risk).

Products part 1b: Calculation of risk index for A and B group species

Here we discuss how the variables described in the sections above are combined into a weighted

sum that becomes the risk index for each of the 396 species. This process happens in parallel for

the A and B group species, but these processes are not entirely disconnected, as the monitoring-

based risk index for the A group is studied in relationship to the other variables (geographic

range, voltinism, etc.) for that group, and the lessons learned from that analysis inform the

structure of the weighted sum for the B group species.

The A group taxa are those species for which data were available from at least one of the

monitoring programs, the Shapiro transect or the NABA network of count circles. For these

species, we calculated a weighted sum based on those two lines of information with weights split

evenly between them: 50% NABA and 50% Shapiro. Thus, a species with the most severe

declining values for each dataset would receive a composite risk score of 1. To incorporate

uncertainty retained from Bayesian analyses of the NABA and Shapiro data, the composite risk

index was recalculated 1k times using 1k samples of the relevant posterior distributions; we then

calculated a mean and 85% highest density interval of risk for each species. Alternative

weighting schemes among all variables (including the two monitoring variables) can be explored

using an interactive, online tool; see Appendix S1.

The B group species are those lacking monitoring data. Thus, we used a composite of the

other seven variables to estimate risk. We experimented with a number of weighting schemes for

those seven variables and settled on an approach that was partly influenced by previous research

(e.g., Forister et al. 2021) but also informed by an additional analysis of the species in the

monitoring data. Specifically (for that additional analysis), we took the composite risk index for

the A group species (based on NABA and Shapiro data) and used a linear regression model to

determine which of the other seven variables were most predictive of that risk index (following

general protocols with other Bayesian models as described above). The exact weighting scheme

for B group species (influenced partly by results of the analysis of the A group) is described fully

in results below. Clearly many schemes are possible for a weighted sum of seven variables, and

we report correlations among outcomes from different schemes. Finally, many of the B group

species had some data from the NABA dataset that were not sufficient for inclusion in our main

model and occupancy forecasts. For those species, we ran a less complex model (described

above as the secondary set of NABA models) and report the results along with other B group

results, but we do not incorporate those values into the B group risk index to maintain

consistency in risk index calculations.

The calculation of the risk index for both the A and B groups relied on a complete data

matrix. For most of the variables used for the B group, there were no missing values, specifically

for all of the variables deriving in part from the expert geographic ranges: range area, voltinism,

precipitation, development, and climate departure (Figure 1). A few species lacked data for host

range, and these we filled with interpolation of the median value calculated across all species.

Similarly, median interpolation was used with the observational data and the A group species. In

other words, a species without sufficient NABA observations for analysis was given the median

value associated with that variable (across other species in that dataset) prior to the calculation of

the risk index.

Products part 2 and 3: Geographic and Phylogenetic visualization of risk

Finally, we asked how the composite risk indices were distributed across the landscape and

across the phylogeny of western butterflies. From a spatial perspective, we calculated both the

cumulative estimated occurrence of at-risk species (separately for each cell in a raster covering

the extent of the eleven western states) and average risk among species present in a cell. We did

this separately for the A and B group species, and we restricted analyses to only species with

higher risk values by subsetting to the upper 75th quantile of risk values separately for each list

(A and B). Within those higher-risk groups, we converted each species range map from a spatial

polygon to a raster layer where values within the range were set to 1 and values outside the range

to 0. We summed these values across all rasters to produce a new raster of cumulative estimated

species occurrence (also referred to simply as "cumulative occurrence"). To calculate mean risk

for each cell, we divided the cumulative risk index raster by the cumulative occurrence raster.

For the evolutionary perspective, we used the phylogeny from Zhang et al. (2019) for all

845 butterfly species from the United States and Canada. Briefly, this tree was based on 756

universal single-copy orthologs we identified from 36 reference genomes using `OrthoMCL` (Li

et al. 2003). Sequences of these orthologs were aligned using both local (BLAST [Altschul et al.

1997]) and global (MAFFT [Katoh et al. 2002]) alignment methods, and only positions that were

consistently aligned by both methods were used. Sequences of non-reference species were

derived by mapping the Illumina reads to the exon sequences of the reference species and

performing reference-guided assembly. Multiple sequence alignments (MSA) of different

orthologs were concatenated to a single MSA. This MSA was partitioned by codon position and

used to build a tree by `IQ-TREE` (version 1.6.12) (Nguyen et al. 2015) with the most suited

evolutionary model automatically found by `IQ-TREE`.

The phylogeny was imported as a time-calibrated .tre file into R and pruned to our focal

western butterflies (the combined A and B group lists). The package `ggtree` (Yu et al. 2017)

was used to plot a phylogeny with tips labeled by risk categories assigned based on the quantiles

of the risk distributions separately for the A and B group species. Specifically, species in the

upper 90th quantile were labeled as "high risk," species between the 75th and 90th quantiles

were labeled as "medium risk", and species below the 75th were "low risk." Finally, the

phylosig() function from `phytools` (Revell 2012) was used to calculate lambda and K (with

1000 simulations for the permutation test) as measures of phylogenetic signal for the continuous

risk index across all species, which in this context is informative with respect to the extent to

which closely related species share similar levels of risk.

RESULTS

We calculated an index of risk for 396 species, which includes two groups: 184 species in the A

group with extensive monitoring data, and 212 species in the B group without observational data

(or without enough to be used in our primary population models). The B group species tend to

have smaller geographic ranges (Appendix S1: Figure S4), which in part explains their reduced

presence (just by geographic chance) in monitoring programs, but the two groups differ in other

ways. The B group species have slightly lower exposure to development (Appendix S1: Figure

S4) and moderately higher exposure to climate change (Appendix S1: Figure S4). The higher

climate change exposure is explained in part by the greater presence of more southern species in

the B group, as seen by latitudinal midpoints (Appendix S1: Figure S4) and qualitative

characterization of range (Appendix S1: Figure S4).

For the A group species, we modeled historical and projected population trajectories

using different sources of observational data. Consistent with previous work with NABA data,

our new model with shared (across-species) observation heterogeneity found that a majority of

species (71%) had annual population growth rates below replacement (Appendix S1: Figure S5).

We used those estimated annual growth rates and the most recent year of observed counts to

simulate 50 years into the future. The median fraction of extant locations (or probability of local

persistence) per species at 50 years was 0.60, and that fraction was positively related to historical

population growth rates (Appendix S1: Figure S5). Results from analyses of Shapiro data also

find that a majority of species exhibited downward trends through time of varying magnitude

(84.5% of species had negative year coefficients).

Our risk index and ranking was based on a combination of evidence from the NABA and

Shapiro datasets, but note that the A group species are shown in Figure 2 with risk information

associated with the other seven variables (geographic range, exposure to development, etc.), even

though the actual ranking of the A group is based solely on the observational data. We present

the information in this way because we acknowledge the imperfect geographic coverage of

monitoring programs and the inherent uncertainty in population models. Thus, the reader or

conservation practitioner can easily see if two species with similar risk values in the A group

(based on NABA and Shapiro) potentially have similar risk based on other variables like range

size.

Without observational data, the ranking of B group species required a partitioning of

weights among the other lines of information. To partly inform that process, we used the A group

species to estimate the effects of other variables on risk index (based on NABA and Shapiro

data). The model explained a relatively small proportion of variance in the risk index (Appendix

S1: Table S1), but did demonstrate that smaller wingspans (99% probability of effect) and lower

range-wide precipitation (98% probability of effect) are associated with risk for the A group

species. In addition, we also suspected climate change would be important based on our previous

work with western butterflies (Forister et al. 2021, Halsch et al. 2021). This is especially true

given the large presence of B group species with ranges in the desert southwest (Appendix S1:

Figure S4h), a region heavily impacted by warming and drying trends. We adopted the following

weighting scheme to calculate a single risk value for species in the B group: 20% precipitation,

20% wingspan, 20% climate change, 10% development, 10% range size, 10% voltinism, and

10% host range; correlations among the seven variables as well as the two observational

variables (for the A group) are shown in Appendix S1: Figure S6. As a comparison to that

scheme, we also ranked the B group species with equal weights (14.3%) among the seven

variables; the resulting risk values were correlated at $r = 0.90$ ($t = 29.32$, df $= 210$, $P < 0.001$)

with the values from the primary scheme. With a third weighting scheme based on 50% from

each of average range-wide precipitation and wingspan (the two variables identified as most

predictive of risk by analysis of the A group), the correlation with the main scheme was $r = 0.57$

($t = 10.16$, df $= 210$, $P < 0.001$).

The top fifty species with the highest risk values from each of the A and B groups are

shown in Figure 2 (the other species with lower risk values are in Appendix S1: Figures S7, S8

and S9). For the highest-ranked A group species, agreement between the two monitoring

schemes is apparent with large "risk circles" in both the NABA and Shapiro columns (Figure 3a).

Time series plots for two of those top species are shown in Figure 3 (*Vanessa annabella*) and

Figure 4 (*Euchloe ausonides*); in Figure 5, neutral or upward trajectories can be seen for *Poanes*

melane, the species with the lowest risk index among the A group species (Appendix S1: Figure

S10). Similar plots for all other A group species are available through an online tool (see

Appendix S1). The rankings for the A group species are shown with 85% credible intervals

(Figure 2a), which are broad; this uncertainty reflects the high inter-annual variability inherent to

the time series data being modeled (from both NABA and Shapiro) and should be kept in mind

when interpreting the position of species on the A group list.

It should also be remembered that the NABA data are based on a once-per-year census

scheme, which potentially raises concerns with respect to phenological shifts: if species emerge

earlier in response to warming springs, it is possible that a mid-summer count could erroneously

infer decline if occurring past the population peak in more recent years. We took advantage of

the temporally-intensive Shapiro program, where phenological shifts have been observed

(Forister and Shapiro 2003), to simulate once-per-year sampling dates and compare results to a

census based on biweekly counts. Contrary to the expectation that earlier emergence might lead

to a mistaken inference of decline, but consistent with recent findings (Forister et al. 2018,

Macgregor et al. 2019), earlier emergence tends to be associated with stable or increasing

populations, and univoltine and bivoltine species do not appear as outliers in that relationship

(Figure 6). Moreover, inferences about changes in population density over time are similar in

once-per-year sampling and the full data with many visits per year (right column of panels in

Figure 6). The role of phenological change in population response to anthropogenic stressors,

including climate change, remains an important issue (Bonoan et al. 2021), but does not appear

to bias the results presented here (see also Appendix S1: Figure S1 for a statistical control on

phenology in the core NABA population model).

Finally, we examined the distribution of the species-level risk index geographically and

phylogenetically, for which we divided the species into low-, medium- and high-risk categories,

based on composite risk values below the 75th quantile, between the 75th and 90th quantiles, and

above the 90th, respectively. For the A group species, average forecast 50-year occupancy (based

on NABA data) was 33.7% of populations extant (standard deviation = 12.5%) for the high-risk

species, 47.7% occupancy (sd = 11.0%) for the medium-risk species, and 66.0% (sd = 13.2%) for

the low-risk species. Considering the species with medium- and high-risk index values (above

the 75th quantile of risk values) for the A group, the spread of average risk across the 11 western

states is only partially associated with expected numbers of the most at-risk species (Figure 7a

and 6c). For example, average risk is high in the northern Central Valley of California and in the

northwestern region of Oregon (Figure 7a), while the cumulative occurrence of at-risk species is

lower in those areas (Figure 7b). Similarly, the number of at-risk species (cumulative

occurrence) is high in the Sierra Nevada, but average risk is lower. The distributions of risk for

the B group species highlight the bias of that group towards the most southern areas, with high

average risk along the southern California coast (Figure 7c) and a concentration of at-risk species

along the border between Mexico and New Mexico (Figure 7d).

The phylogenetic picture of risk shows multiple clusters of at-risk species, and some

lineages with notably lower risk, like much of the Nymphalidae (Figure 8). The families sharing

the disproportionate amount of risk are the Lycaenidae (with 17% of species in the high-risk

category, above the 90th quantile of risk) and the Hesperiidae (with 14% of species at high-risk);

these are followed by the Riodinidae (with 13% of species at high risk, albeit based on a small

sample size from a family represented by only 8 species) and the Pieridae (with 12% of species

at high risk). The percentages of high-risk species in the Papilionidae and Nymphalidae are just

8% and 2%, respectively (Figure 8). Tests of phylogenetic inertia are consistent with the

observation of phylogenetically clustered risk (Pagel's $\lambda = 0.38$, $P < 0.001$; Blomberg's $K =$

0.049, $P = 0.001$ based on 1k randomizations), which is evident not only at the family level, but

also at lower taxonomic levels, including (among others) within the genera *Lycaena*, *Agathymus*,

and *Eurema* (Figure 8).

DISCUSSION

Our goal has been to organize and analyze heterogenous data sources in a way that allows

conservation biologists to identify the butterflies in the 11 western US states that are most likely

to suffer serious reductions in range or population size in coming years. It has not been our

objective in this paper to document butterfly declines or to identify traits that make insects more

or less sensitive to population stressors, as these topics have been addressed elsewhere for North

America (Schultz et al. 2019, Wepprich et al. 2019, Forister et al. 2021), the Neotropics (Janzen

and Hallwachs 2019, Salcido et al. 2020), and numerous other parts of the world (Nakamura

2011, Fox 2013, Wagner 2019). We hope that our work on species prioritization advances the

issue for conservation practitioners using mixed data types with uneven spatial coverage and

uncertainty in historical trends. Although some parts of the world (notably countries in Europe)

have dense coverage with standardized monitoring, prioritization in most of the world will

involve some mix of monitoring and trait-based inference.

The western states have been our region of study, rather than the entire US, because the

impacts of climate change are severe and distinct in this arid region (Gonzalez et al. 2018), and

the butterfly fauna is similarly shaped by a unique topography and climatic history (Shapiro

1996, Hawkins 2010). As a consequence of expansive areas with low human population density,

about half of the butterfly species in the region are not included in the monitoring datasets used

here, yet we have brought together information on the entire fauna (with the exception of a few

species with rare occurrences, mostly strays across the US-Mexico border). Because of this, our

study has an apples-and-oranges structure (species with and without monitoring data) that

extends to the interpretation of the risk index values and engenders certain ironies. Chief among

the ironies of our work is the fact that we rank B group species in part by certain variables

(geographic range, exposure to climate change, etc.) that are not strongly associated with

declines in the species for which we have historical records (the A group). In other words,

considering Figure 2, the A group species near the top of the list do not necessarily have the

smallest ranges, and the same can be said of other variables. Even for the two variables

(wingspan and average precipitation) which do predict risk in the A group, the variance

explained is low (Appendix S1: Table S1) yet we still emphasize these variables in ranking the B

group species. We discuss these apparently counterintuitive decisions below, and then discuss

phylogenetic and geographic hotspots of risk. Finally, we end with a consideration of individual

taxa most deserving of attention given available evidence.

Among the complexities of variables potentially associated with risk, an understanding of

geographic range starts by noting that the A group species have broader geographic ranges

(Appendix S1: Figure S4a), which is part of the reason they are present at enough NABA sites to

be included in our core population model. Thus the fact that many of the most severely declining

species are widespread (e.g., *Vanessa annabella* in all 11 states) does not diminish the logic of

prioritizing B group species based in part on small range size, which is a well-known

determinant of risk (Staude et al. 2020). Similarly, the effects of voltinism and ecological host

specialization are relatively straightforward: everything else being equal, we expect a species

with multiple generations per year (a trait often associated with increased dispersal) and an

ability to utilize many hosts to be more resilient to any number of stressors than another species

without those traits (Eskildsen et al. 2015). We have previously observed the resiliency of

multivoltine species during a mega-drought in the western US, where species with multiple

generations per year were able to take advantage of earlier springs and greater time for

population growth to temporarily reverse downward trajectories of multiple decades (Forister et

al. 2018).

The interpretation of other variables is less straightforward, chief among them being

exposure to climate change. Previous work with western butterflies has identified warming and

drying conditions as stressors, based in particular on analyses of geographic variation among

study sites in climate change effects and changes in aggregate butterfly density (Forister et al.

2021). At the species level (rather than the level of individual study sites), the same signal is not

as apparent in the present study for the A group species (in other words, the species towards the

top of the A group list do not have particularly high exposures to climate change). This is

because most of these species have large enough ranges that their exposure to climate change

(when quantified across the entire range) includes areas with both more and less severe warming

and drying that tend to cancel each other out at the scale of broadly-distributed species.

However, the B group species have smaller and more southern ranges (Appendix S1: Figure S4),

which is the part of the west most impacted by climate change (Gonzalez et al. 2018). Thus, we

believe exposure to climate change is well justified as a contributing factor to risk specifically

for these species for which we lack monitoring data.

Exposure to development (urban, suburban and agricultural lands) requires similarly

careful interpretation. This is chiefly because the data most well suited to understanding the

effects of habitat destruction on insects will rarely be collected: places that have already been

developed will not be monitored, and existing monitoring efforts will often be located in more

pristine locations even when relatively proximate to human habitation (Wagner et al. 2021). The

Shapiro dataset is an exception, as it encompasses a severe land use gradient from the

agricultural and urban Central Valley to the undeveloped high elevations of the Sierra Nevada.

From that program, we know that land conversion and contamination (with pesticides) have

effects of similar magnitude at low elevations (Forister et al. 2016). Though similar information

does not exist across the west, we included exposure to development in our rankings here for the

B group species for the simple reason that common sense suggests that a range that encompasses

more development is likely to experience increasing fragmentation and contamination in coming

years relative to a species with less exposure.

Geographic projections of risk for B group species emphasize the southern areas of the

west (Figure 7), but also point to specific hotpots of average risk that include the southern

California coast. Like A group species in the Central Valley of California, that coastal region has

a low cumulative occurrence of B group species, but on average the species that are there in the

vicinity of the Los Angeles basin score high for our risk factors. Arizona and southwestern New

Mexico have a high concentration of B group species with high risk factors, thus this area should

be prioritized for future monitoring efforts. For A group species, the Sierra Nevada Mountains

(especially the northern Sierra), the Colorado Plateau and the southern Rocky Mountains are

hotspots of declining species (Figure 7). These same places have been recently identified as

hotspots of imperiled species in analyses that included plants, vertebrates, freshwater

invertebrates and some terrestrial insects (Hamilton et al. 2022).

Phylogenetically, risk values are strongly clustered within and among families, with

notable concentrations in the Lycaenidae and Hesperiidae, with the latter in part due to both

species with small southern ranges (B group species) and species in monitoring programs with

observed declines. The phylogenetic clustering of risk suggests that currently unknown or

unmeasured variables could in the future improve our ability to model interspecific variation in

populations trajectories and extinction risk. On the other hand, the non-random distribution of

risk among species and lineages suggests that species loss might itself be clustered, leading to

shifts in the function and composition of assemblages (Sol et al. 2017). At present, we can say

that of the high-risk category species (with risk index values above the 90th quantile), 46% are

Hesperiidae. The family Nymphalidae has the lowest concentration of at-risk species, although

one of the most notably declining species is in this family. Despite being large and dispersive

and able to use a number of exotic plants as larval hosts, *Vanessa annabella* is becoming hard to

find across locations that include urban centers, high mountains, and southern deserts (Figure 3).

Although *V. annabella* is deservedly at the top of the risk list (Figures 2 and 3), we stress

the uncertainty in the actual risk values that we have generated, and we do not place much

weight on the exact position of species on that list. In other words, we believe that the top species

in the A group are indeed in historical declines that will likely continue in coming years, but the

fact that one species is in the 4th position vs the 10th or even the 25th position on the list is not

necessarily important. Small differences in, for example, the projected 50-year probability of

population persistence affect the positions for those top species which have mostly similar risk

values (and broadly overlapping credible intervals). This is why we conservatively suggest that

all of the top 50 species in the A group (Figure 2) deserve closer scrutiny and in some cases

likely deserve protection. The fact that rankings should be treated as approximate is also why we

have presented other lines of information (geographic range, host specialization, etc.) for the A

group, even though the risk index ranking is based solely on the observational data (NABA and

Shapiro) for those species. For example, *Pontia protodice* and *Lycaena xanthoides* have nearly

identical risk indices, but the latter (*L. xanthoides*) is univoltine with a smaller geographic range,

greater exposure to development and a more specialized diet (Figure 2); these are all factors that

could be considered by conservation biologists and ecologists interested in declining insects.

With respect to current protections, only two of the species that we have studied have status at

the federal level: one of the A group species (the monarch butterfly, *Danaus plexippus*) is

currently a candidate for protection under the US Endangered Species Act (ESA), and one of the

B group species, *Lycaena hermes*, is currently listed as threatened.

Our presentation of the top 50 species in the A group (Figure 2) includes sample sizes

(for NABA and Shapiro datasets) which should also be considered when judging the evidence

for risk. For example, the 2nd and 3rd species on the A group list (Figure 2) are represented by

data from 3 or fewer sites for the NABA and Shapiro datasets. The small samples for those

species are reflected in broad intervals around the risk values, and it can be noted that other

species in the top 10 for the A list are known to be in decline based on evidence from two to

three times as many sites (e.g., *Pholisora catullus*, *Atalopedes campestris*, and *Euchloe*

ausonides). The number of sites for individual species is a reflection not just of information

available for analysis. It should be remembered that risk associated with the NABA data derives

from a multi-species population viability analysis. In that analysis, species with fewer sites are

more likely by chance to have lower occupancy in forecasts than species known from a greater

number of sites. This is both a methodological feature of stochastic simulations but also reflects a

biological reality in that more widespread species are known from a greater number of NABA

sites (thus geographic range is indirectly involved in the contribution that the NABA analyses

make to our estimate of risk).

Yet another important aspect of sample size involves A group species not represented in

both of the observational datasets; for these species, we used median interpolation. In other

words, when calculating the risk index for a species present in, for example, the Shapiro dataset

but not NABA, we assigned a 50-year projection value based on the median across all other

species represented in the NABA dataset. For the present effort, we consider this to be at least a

relatively simple assumption, although we acknowledge that future analyses could use more

sophisticated interpolation perhaps including information from closely related species. The

phylogenetic signal observed here suggests that genetic relatedness could be a tool for dealing

with uncertainty and missing data in conservation ranking.

The weight of missing data and uncertainty of course becomes greater when we turn to

the top 50 species in the B group (Figure 2) for which monitoring data is either absent or

insufficient for robust models. Not only is robust observational data lacking, but so many of the

B group species are similar in having small ranges in hot and dry parts of the region that the

overall spread of risk values is smaller than for the A group. Thus, rankings in the top 50 for the

B group should be treated as approximate. For example, *Strymon avalona* is restricted entirely to

Catalina Island (less than 200 square kilometers) off the coast of southern California. The partly

wild nature of the island gives the species a low development score and the area happens to be

characterized by only moderate departure from climatic baseline. Thus *S. avalona* ranks towards

the bottom of the top 50 for the B group (Figure 2), even though that small geographic range of

course puts it at high risk of stochastic loss. Similarly, many of the B group species below the top

50 have negative annual trends (indicated by red lambda symbols to the right of the panel in

Appendix S1: Figures S7 - S9), albeit based on very few NABA sites (which is why we have

shown those results but did not use them in the calculation of the B group risk index). In general

we hope that the data organized here for the B group species is an inspiration for greater

monitoring of these taxa with small ranges in regions vulnerable to threats that include ongoing

climate change and the loss of natural disturbance regimes (Haddad 2018).

CAVEATS & CONCLUSIONS

Our synthesis of status and trends for a diverse fauna faced many challenges, and includes many

sources of taxonomic and spatial bias in the data that were available to us. We have not

undertaken a formal assessment of bias for the temporal and other patterns reported here (Boyd

et al. 2022), largely because the number of datasets to be assessed is large and the issue deserves

another manuscript-level treatment. In addition to sources of spatial bias discussed above,

including the over-representation of widespread species in monitoring programs, we close by

noting that even for those widespread species (well represented in census data), the information

tends to be clustered around areas of human population density. Thus, broad ranges (e.g., Figure

3) and relatively more narrow ranges (e.g., Figure 5) alike are not particularly well sampled in

terms of the spread of monitored locations in space. We hope that these results inspire greater

investment in state-level monitoring programs (Taron and Ries 2015, Wepprich et al. 2019),

which could eventually fill data gaps and lead to a national understanding of butterfly status on

par with countries in Europe. Coming years should also see the development of new models that

can take advantage of mixed data types including those reported from crowd-sourced platforms

(e.g, Strebel et al. 2022). Our estimation of risk has not included exposure to pesticides, which is

available at the county level for California, but not for other states in the region, although we

know that it is an important stressor (Gilburn et al. 2015, Forister et al. 2016).

Another important issue that we acknowledge is that our estimates of exposure to

development and climate change are restricted to the portions of geographic ranges found in the

11 western states. This was mainly motivated by our focus on the unique exposure of the region

to warming and drying trends, but it is of course the case that wide ranging species might have

other parts of their range subject to divergent pressures. Future analyses of risk could quantify

heterogeneity of stressors at continental scales. In the meantime, it is for these reasons that we

have included our qualitative range labels (N, S, E, and W) with our rankings (Figure 2), which

the reader can use to focus as desired on species as a function of their distribution.

The traditional focus for butterfly conservation in the United States has been at the

taxonomic level of subspecies, which is partly a consequence of the fact that population

segments cannot be listed for invertebrates (thus leaving subspecies as the next unit below full

species that can be protected). Thus, we acknowledge that our results fall partly outside of the

traditional scope of conservation work for butterflies in the United States. It is, however, entirely

likely that compounding population losses across the wild spaces of the region have pushed

many full species to the point where range-wide research and conservation attention are

warranted. A notable example of this is recent effort focused on conservation of the monarch

butterfly, *Danaus plexippus* (Pelton et al. 2019), which is indeed in our list of the 50 most at-risk

species (Figure 3). Notably, a large number of species are higher on the list and are equally

deserving of attention. Research in coming years might also profitably focus on species that

appear to be relatively stable. One example (*Poanes melane*) is shown in Figure 5, and others can

be found toward the end of the ranked list of species in Appendix S1: Figure S10, including

Ochlodes agricola, Papilio rutulus, and *Limenitis lorquini*. We hesitate to use the common

metaphor of winners and losers. That implies that the game is over, when of course the

Anthropocene is underway. Nevertheless, the diversity of ecologies, morphologies and

geographic ranges among the stable or increasing species (Appendix S1: Figure S10) suggests

that much could be learned about combinations of traits potentially associated with resilience. It

is our hope that the results presented here are a framework that will facilitate such work in

coming decades while acknowledging the many assumptions that have been made along the way

to synthesize diverse data and organize species by composite risk scores.

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without which the current paper would not have gotten off the ground.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

AUTHOR CONTRIBUTIONS

MLF conceived the project and the overall organization of data and the presentation of results.

TVR wrote the Bayesian population models for the NABA data and contributed to the design of

analyses. EMG contributed to numerous components, especially the analysis of spatial data. KJB

organized information on subspecies. CAH, CFC, KLB, and TB contributed to component

analyses and assisted with data collation or organization. JZ, QC, and NVG generated the

phylogeny which was analyzed here by JPJ. JG manages the collection of NABA data and

contributed the expert-derived range outlines. AMS has collected the vast majority of the Shapiro

transect data (with a few recent years of collection contributed in part by MLF and CAH). All

authors contributed to interpretation of results and writing of the manuscript.

DATA AVAILABILITY STATEMENT

Data analyzed for this paper are partly based on data used in Forister et al. (2021), with additions

and updates. The North American Butterfly Association (NABA) dataset used here is the same

as in Forister et al. (2021), and is available at https://doi.org/10.5281/zenodo.4460647. The

Shapiro transect dataset has been updated and will be archived on figshare.com upon acceptance

for publication (during review the dataset is available at

https://sites.google.com/view/westernbutterflies/data). New variables used here include:

exposure to land development, which derives from the 2020 Cropland Data Layer (USDA 2020)

downloaded using code from the function getCDL from the cdlTools R package (Chen 2018),

and climate data, including exposure to climate change, which derive from TerraClimate

(Abatzoglou et al. 2018) downloaded from THREDDS (Unidata 2021a) using NCSS (Unidata

2021b) with batch scripts following the example developed by Katherine Hegewisch

(https://climate.northwestknowledge.net/TERRACLIMATE/pages/guidance/

CODE/terraclimate_ncss_subset.sh). Those variables for climate and land use will be included

upon manuscript acceptance in the same figshare.com archive as the Shapiro dataset, along with

the following variables that are updated, relative to data used in Forister et al. (2021): geographic

ranges, voltinism, diet breadth, and wingspan. Geographic ranges and voltinism derive from

Glassberg (2017, 2018) and from Scott (1986). Diet breadth data were taken primarily from Scott

(1986) but also from Brock and Kaufman (2006) and Lotts et al. (2007); wingspan data derive

from Opler (1999), supplemented with Warren et al. (Warren et al. 2013). Code used is not novel

to this project (relevant functions and packages are cited).

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1130

1131 **Figure legends**

1132

FIGURE 1 Schematic overview of main inputs, processes and products associated with the

generation of risk index values. As noted in the key, data sources are in brown, analyses (and

other calculations) are in blue boxes, variables (used in the creation of the risk index) are in red,

and the primary products are in green. The central branching path illustrates the division of

species into the A and B groups, with monitoring data contributing to the A group risk

assessment on the left, and other data types contributing to B group assessment on the right. The

9 variables (in red) are identical to the columns in Figure 2, although labelled slightly different

here, especially for the observational variables: "β year" is the year coefficient from analyses of

Shapiro data summarizing change through time, and "P(persistence)" is the probability of

population persistence from 50-year forecasts. Variables on the right ("range area",

"precipitation," etc.) are more self-explanatory. Also note that the expert-derived geographic

ranges contribute to the risk index calculations both directly ("range area" and "voltinism") and

indirectly as indicated with connecting arrows. Finally, the "Risk analysis" process box (towards

the lower left) illustrates the analysis of A group risk that was used to partly inform the

weighting scheme for the B group species.

1148

FIGURE 2 The top 50 species with the highest risk values in the A group (on the left) and the B

group (on the right). The two panels have some features in common, and some unique elements.

In common they both show the extent to which different variables are associated with higher or

lower risk for each species: a large circle under NABA occupancy, for example, marks a species

that we infer as being at risk because of low forecast occupancy (probability of population

persistence) across currently-extant locations; similarly, a large circle under development

indicates a species at risk because of high exposure to developed lands, and a large circle under

geographic range indicates corresponding risk associated with a relatively small range. The sizes

of the circles were assigned separately within the two lists, A and B group species, and thus

indicate relative differences within those lists. Although all variables are shown for comparison,

the overall risk ranking for the A group species is based solely on the first two variables (NABA

occupancy and Shapiro monitoring, to the left of the vertical gray line), while the ranking for the

B group species is based entirely on the other seven variables (see main text for details, and

Figure 1). Both panels also have in common the quantitative risk values shown to the right (e.g.,

the risk index for *Vanessa annabella* in panel A is 0.687); note that the risk values for the A

group species include 85% credible intervals (in parentheses), encompassing uncertainty derived

from Bayesian analyses of both NABA and Shapiro data. The capital letters (N, S, E and W)

running down the left side of each panel are qualitative biogeographical descriptions indicating

where the mass of a geographic range lies relative to the western US (N and S indicate species

found primarily north and south of the US borders with Canada and Mexico, respectively; W

indicates species with the majority of their range in our focal region; and E indicates species

found either mostly in the eastern US or with a transcontinental distribution), and the asterisks

next to species names flag taxonomic issues (see Appendix S1: Table S2). A unique element of

the panel on the left is the sample size in parentheses, e.g. "(14,10)" for *Vanessa annabella*,

which is the number of locations from which data were included from the NABA and Shapiro

datasets, respectively. Finally, on the far right of panel (b), the lambda symbols represent the

results of individual time series models run for the species present in the NABA program but

without enough sites and years to be included in the main model (and thus not a part of the A

list); a blue symbol indicates a species with an 80% or greater probability of increasing in recent

years, while a red symbol indicates an 80% chance of decreasing, and black is neither increasing

nor decreasing. The other species (beyond the top 50 highest ranked shown here) are included in

Appendix S1: Figures S7, S8, and S9.

1181

FIGURE 3 Overview of site-specific trends through time for *Vanessa annabella* at Shapiro sites

(on the left) and NABA sites (on the right and along the bottom). Plots for Shapiro sites are

shown with decreasing elevation and colored to match the elevational profile of Northern

California (cooler colors are montane sites) shown below the map of the western US. The y-axes

for Shapiro plots are the fraction of days a species was seen at a site in a year (Shapiro data were

truncated at 1984 for analyses, but earlier years are shown here and in Figures 4 and 5). Plots for

NABA sites are shown with decreasing latitude (starting with the most northern sites), from the

top right to the bottom, with symbols matching the locations shown in the central map. Values

shown in NABA plots have been adjusted for variation in sampling effort, and values plotted are

total counts of individuals on a natural log scale. Also shown on the central map is the

geographic range of *V. annabella* (Glassberg 2017), with the multivoltine portion of the range

(closer to the coast) shown as darker gray. Adult and caterpillar images by Camryn Maher,

copyright 2022.

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FIGURE 4 Overview of site-specific trends through time for *Euchloe ausonides* at Shapiro sites

(on the left) and NABA sites (on the right). Plots for Shapiro sites are shown with decreasing

elevation and colored to match the elevational profile of Northern California (cooler colors are

montane sites) shown below the map of the western US. The y-axes for Shapiro plots are the

fraction of days a species was seen at a site in a year. Plots for NABA sites are shown with

decreasing latitude (starting with the most northern sites), with symbols matching the locations

shown in the central map. Values shown in NABA plots have been adjusted for variation in

sampling effort, and values plotted are total counts of individuals on a natural log scale. The

geographic range of *E. ausonides* (Glassberg 2017) is shown as the gray shaded area on the

central map. Adult and caterpillar images by Camryn Maher, copyright 2022.

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FIGURE 5 Overview of site-specific trends through time for *Poanes melane* at Shapiro sites

(on the left) and NABA sites (on the right). Plots for Shapiro sites are shown with decreasing

elevation (cooler colors are montane sites) and colored to match the elevational profile of

Northern California shown below the map of the western US. The y-axes for Shapiro plots are

the fraction of days a species was seen at a site in a year. Plots for NABA sites are shown with

decreasing latitude (starting with the most northern sites), with symbols matching the locations

shown in the central map and insect. Values shown in NABA plots have been adjusted for

variation in sampling effort, and values plotted are total counts of individuals on a natural log

scale. The geographic range of *P. melane* is shown as the gray shaded are on the central map.

Adult and caterpillar images by Camryn Maher, copyright 2022.

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1218 **FIGURE 6** Analyses of phenology and abundance using Shapiro data and three variables: (1)

1219 change in annual abundance as summed across all visits within a year, shown as "Δ abun. (all

1220 days)"; (2) change in abundance based on a single, randomly sampled day each year, shown as

1221 "Δ abun. (one day)"; and (3) change in the date of first flight, shown as "Phenological shift". The

1222 values plotted are year coefficients for each species from models including year predicting each

of the three variables, and Pearson correlation coefficients for each pairwise association are shown above the plots. Negative values for phenological shift indicate earlier emergence over time. For example, in the upper row, the negative relationship in the left panel indicates that species that emerged earlier (negative values on the x-axis) tended to become more abundant over time (positive values on the y-axis); and the same pattern is evident when change in abundance is estimated with the once-per-year sampling (middle panel); finally, in the right panel, it can be seen that change through time in abundance estimated with all of the data is positively correlated with year coefficients for change in abundance estimated with the once-per-year (NABA-style) samples. In all plots, red points are univoltine species with one generation per year, blue points are bivoltine species, and gray points represent species with more than two generations per year. Two outlier points (one species at Gates Canyon and one at Rancho Cordova) were strongly negative and compressed the visualize of other species; they are excluded here although the patterns and direction of relationships are unaltered.

FIGURE 7 The geography of risk for species with values in the upper 75th quantile of risk indices as shown in Figure 2 (i.e., combining "medium" and "high" risk categories treated separately in Figure 8). Panels (a) and (b) show average risk values among those high risk species, separately for the A and B group species, while panels (c) and (d) show cumulative estimated species occurrence, again for the A group and B group species separately.

FIGURE 8 The phylogenetic distribution of risk, here shown as three categories: high risk (upper 90th quantile), medium risk (75th to 90th quantiles), and low risk (below the 75th quantile). Species names in black are the A group species, other are B group. Butterfly images as

1246   follows: (A) *Apodemia mormo* (Riodinidae); (B) *Euphilotes pallescens arenamontana*

1247   (Lycaenidae); (C) *Euchloe ausonides* (Pieridae); (D) *Polites sabuleti* (Hesperiidae); (E) *Adelpha*

1248   *bredowii* (Nymphalidae); (F) *Papilio rutulus* (Papilionidae). Photo credits go to CAH (panels A,

1249   C, E, and F); MLF (panels B and D). Bootstrap support is not shown but the vast majority of

1250   nodes have support above 0.95; see Zhang et al. (2019) for additional details.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

(a) Mean risk (A group)

(b) Mean risk (B group)

(c) Cumulative occurrence (A group)

(d) Cumulative occurrence (B group)

Figure 7

(a)

(b)

(c)

(d)

(e)

(f)

Papilionidae
Hesperiidae
Pieridae
Riodinidae
Lycaenidae
Nymphalidae

Low
Medium
High

Figure 8