

1 **Common facultative endosymbionts do not influence sensitivity of cereal**
2 **aphids to pyrethroids**

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8 **Abstract**

- 9 1. Cereal aphids, including the bird cherry-oat aphid, *Rhopalosiphum padi*, and the
10 grain aphid, *Sitobion avenae*, can transmit viruses that significantly reduce crop
11 yields. To mitigate against yield losses, insecticides are routinely used to manage
12 aphid populations.
- 13 2. Aphids can form relationships with endosymbionts that confer fitness benefits or
14 consequences to the aphid. Recent artificial inoculation experiments indicate that
15 endosymbionts could increase aphid susceptibility to insecticides, but this has not
16 been explored using aphid populations naturally infected with endosymbionts.
- 17 3. Here, we sampled aphids from an important cereal production region in Lower
18 Saxony, Germany. We characterised the endosymbiont profile of these aphid
19 populations and conducted pyrethroid dose-response assays to test the hypothesis
20 that facultative endosymbionts increase aphid susceptibility to insecticides.
- 21 4. We find that the level of insecticide susceptibility is highly variable in *S. avenae* and
22 we identify populations that are sensitive and tolerant to pyrethroids, including
23 populations collected from the same field. For *R. padi*, we find evidence for
24 decreased sensitivity to pyrethroids, representing the first report of reduced
25 sensitivity to pyrethroids in *R. padi* sampled from Central Europe.
- 26 5. We detected high endosymbiont infection frequencies in the aphid populations. 84%
27 of aphids carry one facultative endosymbiont and 9% of aphids carry two facultative
28 endosymbionts. We detected associations with *Regiella insecticola*, *Fukatsia*
29 *symbiotica*, and *Hamiltonella defensa*. However, we do not identify a link between
30 endosymbiont infection and insecticide susceptibility, indicating that other factors
31 may govern the development of insecticide resistance and the need for alternative
32 management strategies.

33 Introduction

34 Cereal aphids, including the bird cherry-oat aphid, *Rhopalosiphum padi*, and the grain aphid,
35 *Sitobion avenae*, are important herbivorous insects. Cereal aphids are classed as agricultural pest
36 species on many grasses and cereals, including wheat and barley (Van Emden & Harrington,
37 2017). Cereal aphids are widely distributed across Central Europe and can cause significant
38 damage to cereal crops. Aphid damage can be caused through direct feeding (Dedryver *et al.*,
39 2010) and via the transmission of plant viruses, including barley yellow dwarf virus (BYDV) (Perry
40 *et al.*, 2000). High levels of BYDV infection in cereal crops can result in yield losses of c. 80%
41 (Nancarrow *et al.*, 2021).

42 Insecticides remain the method that is most commonly used to manage aphid populations, with
43 pyrethroids widely used for the management of cereal aphids on spring and winter cereal crops
44 across Europe (Dewar & Foster, 2017). The high reliance on pyrethroid insecticides increases the
45 evolutionary pressure on aphid populations, increasing the risk that insecticide resistant aphid
46 populations will emerge (Dewar & Foster, 2017). Insecticide resistant populations can have
47 devastating consequences on effective aphid management and increase potential aphid-derived
48 yield loss (Dewar & Foster, 2017), making these an urgent priority for the development of
49 alternative management strategies. Resistance to insecticides evolves over time, and monitoring
50 surveys of herbivorous insect populations can detect the emergence of insecticide resistance by
51 identifying populations that are less sensitive to insecticides (Umina *et al.*, 2020; Walsh *et al.*,
52 2020a). Resistance against pyrethroids has been described in both *S. avenae* and *R. padi*
53 populations (Foster *et al.*, 2014; Wang *et al.*, 2020). Pyrethroid resistance is associated with
54 mutations in voltage-gated ion channels (Foster *et al.*, 2014; Wang *et al.*, 2020) and this resistance
55 mechanism is referred to as *knock down resistance (kdr)*. Two mutations conferring *kdr* resistance
56 have been described, namely *kdr* (Foster *et al.*, 2014) and *super-kdr* (Wang *et al.*, 2020).

57 Pyrethroid resistance has been described in *S. avenae* populations, with heterozygous *knockdown*
58 *resistance (kdr-SR)* resistant populations detected in China, Ireland, and the UK (Foster *et al.*,
59 2014; Walsh *et al.*, 2020b; Gong *et al.*, 2021). However, the composition of resistant populations is
60 variable and appears to differ between survey years and across regions. Field surveys of *S.*
61 *avenae* in Ireland indicate that the composition of individuals containing the *kdr-SR* heterozygous
62 mutation can range from 25-54% (Walsh *et al.*, 2020b). Resistance against pyrethroids was
63 recently reported in an *R. padi* population collected from Jingyang, Shaaxi Province, China (Wang
64 *et al.*, 2020) and subsequent field surveys have detected additional pyrethroid-resistant
65 populations in multiple locations across China (Gong *et al.*, 2021). According to the Arthropod
66 Pesticide Resistance Database, a global databank of insecticide resistance cases, no other
67 occurrences of pyrethroid resistance in *R. padi* have been reported. This indicates that full
68 pyrethroid resistance is yet to evolve, or be detected, in *R. padi* populations outside of China.

69 The development of insecticide resistance can be monitored through dose-response assays to
70 detect the emergence of populations showing reduced sensitivity to insecticides. *R. padi*
71 populations with reduced sensitivity to pyrethroids have been recently detected in Ireland (Walsh *et al.*
72 *et al.*, 2020a) and Australia (Umina *et al.*, 2020), suggesting that resistance could be evolving.
73 However, on average, field concentrations of pyrethroids are still effective at controlling over 90%
74 of the aphid population (Zuo *et al.*, 2016; Umina *et al.*, 2020; Walsh *et al.*, 2020a).

75 The lack of high prevalence of resistant populations across regions and years (Walsh *et al.*, 2020b;
76 Gong *et al.*, 2021) suggests that fitness consequences could be associated with insecticide
77 resistance traits. Recent research has provided some evidence to support this: it was recently
78 reported that *S. avenae* populations with heterozygous *kdr-SR* resistance to pyrethroids exhibit
79 increased vulnerability to the parasitoid *Aphidius ervi* (Jackson *et al.*, 2020). Studies have also

80 identified additional fitness trade-offs resulting from *kdr*-SR heterozygous resistance to pyrethroids,
81 including lower aphid abundance and reduced growth in *S. avenae* populations (Jackson *et al.*,
82 2020) and reduced fecundity in *R. padi* populations carrying *super-kdr* resistance (Wang *et al.*,
83 2021).

84 A key driver of phenotypic diversity in aphid populations is the presence of facultative
85 endosymbionts (Zytynska & Weisser, 2016; Zytynska *et al.*, 2021). The majority of aphid species
86 form an essential relationship with the endosymbiont *Buchnera aphidicola*, with *B. aphidicola*
87 providing nutritional supplementation to the aphid diet (Sasaki *et al.*, 1991; Douglas & Prosser,
88 1992). Aphids can also form non-essential, or facultative, relationships with a range of additional
89 endosymbionts (Zytynska & Weisser, 2016; Guo *et al.*, 2017). The most common facultative
90 endosymbionts detected in aphid populations are *Spiroplasma spp.*, *Regiella insecticola*,
91 *Hamiltonella defensa*, *Rickettsiella sp.*, *Fukatsia symbiotica* (previously pea aphid x-type symbiont,
92 PAXS), *Serratia symbiotica*, *Rickettsia spp.*, and *Arsenophonus spp.* (Zytynska & Weisser, 2016;
93 Guo *et al.*, 2017; Beekman *et al.*, 2022). These facultative endosymbiotic relationships occur
94 naturally in aphid populations (Henry *et al.*, 2015; Guo *et al.*, 2019; Leybourne *et al.*, 2020a;
95 Beekman *et al.*, 2022). The phenotypic consequence of endosymbiont infection is not always clear,
96 and the phenotypic traits conferred by a specific endosymbiont species are not consistently
97 observed between aphid species, aphid genotypes within the same species, or even between
98 different endosymbiont strains (Vorburger *et al.*, 2010; Cayetano *et al.*, 2015; McLean & Godfray,
99 2015; Oliver & Higashi, 2019). One common beneficial trait that is often conferred through
100 facultative endosymbiont infection across a range of aphid-endosymbiont combinations is
101 resistance against parasitoid wasps (Oliver *et al.*, 2003, 2009; Asplen *et al.*, 2014; Leybourne *et al.*,
102 2020a). A diverse range of other phenotypic traits that can be conferred by endosymbiont infection
103 have also been described, including lower fecundity (Zytynska *et al.*, 2021).

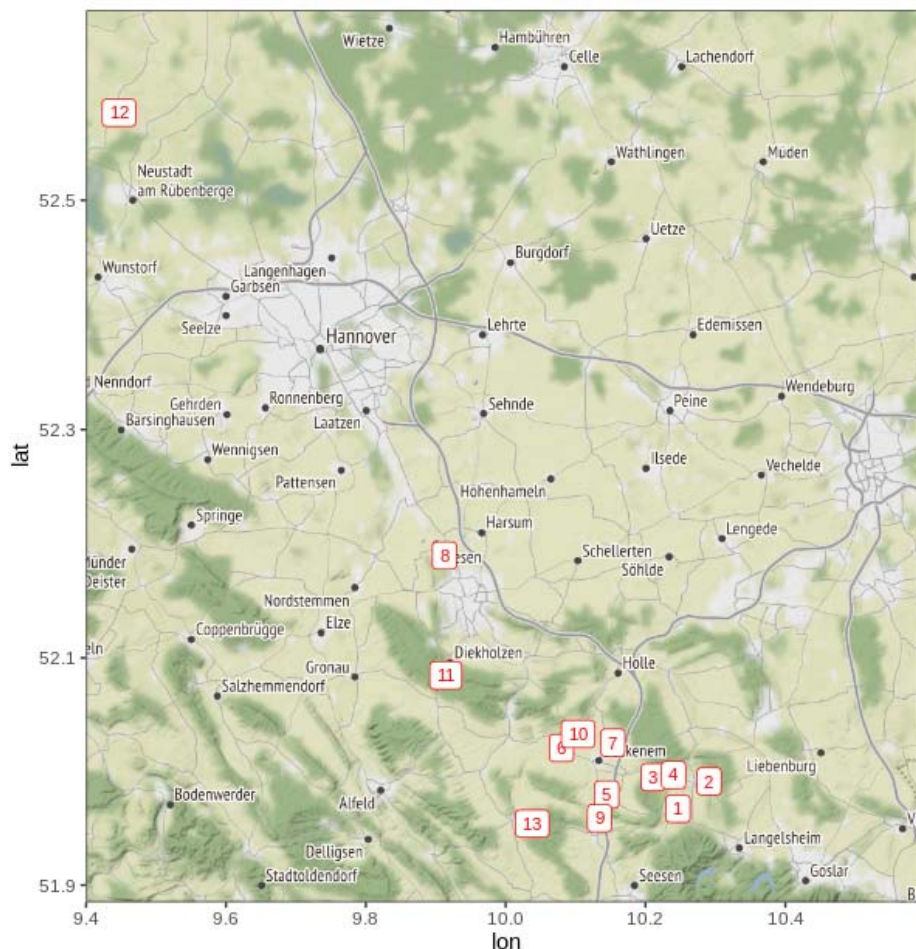
104 In cereal aphids, endosymbiont-conferred phenotypes include protection against parasitoid wasps
105 (Leybourne *et al.*, 2020a), altered feeding behaviour (Leybourne *et al.*, 2020b), adjusted life-history
106 parameters, including reduced growth and development (Liu *et al.*, 2019; Leybourne *et al.*, 2020a;
107 Luo *et al.*, 2020), and moderate increase in susceptibility to bacterial pathogens (Álvarez-Lagazzi
108 *et al.*, 2021). Recently, studies have indicated that endosymbiont infection can also influence the
109 susceptibility of the aphid host to insecticides. A study examining aphid susceptibility to a range of
110 insecticides found that wheat aphids, *S. miscanthi*, infected with *H. defensa* were more susceptible
111 to low concentrations of insecticide when compared with uninfected aphids (Li *et al.*, 2021). This
112 indicates that there could be a phenotypic link between facultative endosymbiont communities and
113 aphid susceptibility, or tolerance, to insecticides. An association between endosymbiont infection
114 and insecticide resistance could provide an explanation for high variation in endosymbiont
115 prevalence and *kdr*-SR prevalence in aphid populations (Guo *et al.*, 2019; Walsh *et al.*, 2020b).

116 Here, we report the results of pyrethroid dose-response bio-assays for cereal aphid populations
117 sampled from a key cereal production region in Northern Germany. We sampled 25 *S. avenae* and
118 seven *R. padi* populations from 13 field sites. We find that, for *S. avenae*, the level of insecticide
119 susceptibility is highly variable, with populations sensitive and tolerant to pyrethroid exposure,
120 including populations collected from the same field. In *R. padi* populations, we find evidence for
121 decreased sensitivity, indicating that resistance to pyrethroids is starting to evolve in German *R.*
122 *padi* populations. Furthermore, we explore the hypothesis that endosymbiont infection increases
123 aphid susceptibility to insecticides by characterising the endosymbiont communities of these
124 populations.

125 **Methods**

126 ***Aphid populations and sampling***

127 Cereal aphid populations were sampled in summer and autumn 2021 from 13 agricultural fields in
128 Lower Saxony, Germany (Fig. 1). Sample sites comprised 12 winter cereal fields (collected in
129 summer) and one winter rapeseed field (collected in autumn). Single adult aphids (apterous or
130 alate) were collected and used to establish laboratory populations; from the 13 fields 25 *Sitobion*
131 *avenae* and seven *Rhopalosiphum padi* populations were established. Populations were
132 maintained on one-week old wheat plants (approximately GS 11-13) in ventilated plastic cups
133 under glasshouse conditions. Where multiple samples were collected from one field the samples
134 were either collected on different dates or with a 20 m minimum distance between sampling points.



135
136 **Fig. 1:** Location of the 13 sample sites. Field 12 was a winter rapeseed field, all other fields were winter
137 wheat

138 ***Insecticide sensitivity testing***

139 Aphid populations were screened for susceptibility and sensitivity to the synthetic pyrethroid Decis
140 Forte® (Bayer CropScience, Germany), a Class 3A synthetic pyrethroid (active ingredient
141 deltamethrin at 100 g L⁻¹ formulation). This insecticide was selected as it is approved for use on
142 arable and field crops in Germany. A stock solution was prepared in water at a concentration
143 comparable to the recommended field rate, equating to a concentration of 357 mg a.i. L⁻¹. Serial
144 dilutions were prepared from the stock solution. Five insecticide dilutions were used in the assay:
145 stock, 10⁻¹, 10⁻², 10⁻³, 10⁻⁴, with distilled water included as a negative control.

146 The insecticide sensitivity assays broadly followed the IRAC leaf-dip method (IRAC, 2016) and the
147 method deployed by (Umina *et al.*, 2020). Briefly, *c.* 25 mm sections of wheat leaves were
148 submerged for *c.* 10 s in one of the test solutions. Control leaves were dipped first, then the leaves

149 were dipped sequentially from the lowest concentration (10^{-4}) to the field rate stock solution. Once
150 dipped, leaves were left to dry on paper towels for approximately 1 h before they were placed
151 abaxial side up on agar (1 g L^{-1}) in a plastic Petri Dish; a droplet of water was added to the surface
152 of the agar to aid leaf adhesion. Aphids were transferred to each Petri Dish using a fine-haired
153 paintbrush, Petri Dishes were moved to a controlled environment room ($20^{\circ}\text{C} \pm 2^{\circ}\text{C}$, L16:D8), and
154 Petri Dishes were inverted to simulate aphid feeding from the underside of the leaf. Between 4 – 8
155 aphids were transferred to each Petri Dish. After 48 h aphids were scored as either alive,
156 moribund, or dead. Aphids were classed as alive if they were able to return to an upright position
157 when placed on their back (i.e., they were capable of coordinated movement). Moribund and dead
158 aphids were grouped together as “affected”, in-line with previous dose-response assays (Foster *et*
159 *al.*, 2012; Umina *et al.*, 2020).

160 **DNA extraction and diagnostic PCR for endosymbiont characterisation**

161 A sample of five aphids (mixture of apterous adults and nymphs) were collected from each
162 population and DNA was extracted using the Norgen® Plant and Fungi DNA extraction kit (Norgen
163 Biotek, Germany) following manufacturer’s instructions. An extraction blank was included with each
164 batch of extractions.

165 Successful DNA extraction was confirmed using a PCR marker for the primary aphid symbiont
166 *Buchnera aphidicola*. The presence of facultative endosymbionts was determined using a three-
167 step multiplex diagnostic PCR assay (Beekman *et al.*, 2022). Multiplex assays were used to detect
168 the presence of the main aphid secondary endosymbionts: *Spiroplasma spp.*, *Regiella insecticola*,
169 *Hamiltonella defensa*, *Rickettsiella sp.*, *Fukatsuiia symbiotica*, *Serratia symbiotica*, *Rickettsia spp.*,
170 and *Arsenophonus spp.* All PCR primer details are described in Table S1. PCR assays were
171 conducted in a final reaction volume of 12 μL consisting of: 2 μL DNA, 6 μL 2X Kappa2G Fast PCR
172 Ready Mix (Merck, Germany). Primer concentrations and volumes differed between the multiplex
173 assays and are detailed in Table S1. The final reaction mixture was made to 12 μL using nuclease-
174 free DEPC-treated water (CarlRoth, Germany). PCR conditions followed Beekman *et al.*, 2022, i.e.:
175 denaturation at 94°C for 3 min followed by 35 cycles of 94°C for 30 s, 58°C for 30 s, and 72°C for
176 60 s with a final extension step at 72°C for 10 min. Positive DNA (mixed DNA containing positive
177 DNA extracts for all target endosymbionts) was included as a positive control, an extraction blank
178 was used as an extraction negative control, and DNA-free PCR mastermix was included as PCR
179 negative control. Endosymbiont presence was detected by separation of PCR products on a 1%
180 agarose gel stained with GelRed® (Biotium, Germany), and reactions were visualised under UV
181 light; a 100 bp DNA ladder (ThermoFischer, Germany) was used to estimate band size. Positive
182 identification of the presence of endosymbionts in the multiplex assay were confirmed in additional
183 singleplex assays. All PCR assays were conducted in a Biometra TRIO 48, Thermocycler (Analytik
184 Jena, Germany).

185 **Statistical analysis**

186 All statistical analysis was carried out using R (v.4.1.2) and R Studio (v.1.3.1093). The estimated
187 concentration of active ingredient required to achieve 50% mortality (EC_{50} value), EC_{50} 95%
188 confidence intervals, slope, intercept, and associated standard errors for the dose response curve
189 were calculated for each aphid population using probit estimation regression (Finney, 1952). To
190 achieve this, the “ProbitEPA” function in the R package ecotoxicology (v.1.0.1) was used.
191 Differences in the dose response between populations was detected using an ANOVA, as done in
192 similar studies (Umina *et al.*, 2020); to achieve this the intercepts of the dose response curves
193 were estimated using linear models with aphid population, facultative endosymbiont infection
194 status, and facultative endosymbiont diversity (Simpson’s diversity) included as explanatory
195 variables in individual models. Linear models were tested for significance using Type-II ANOVA.

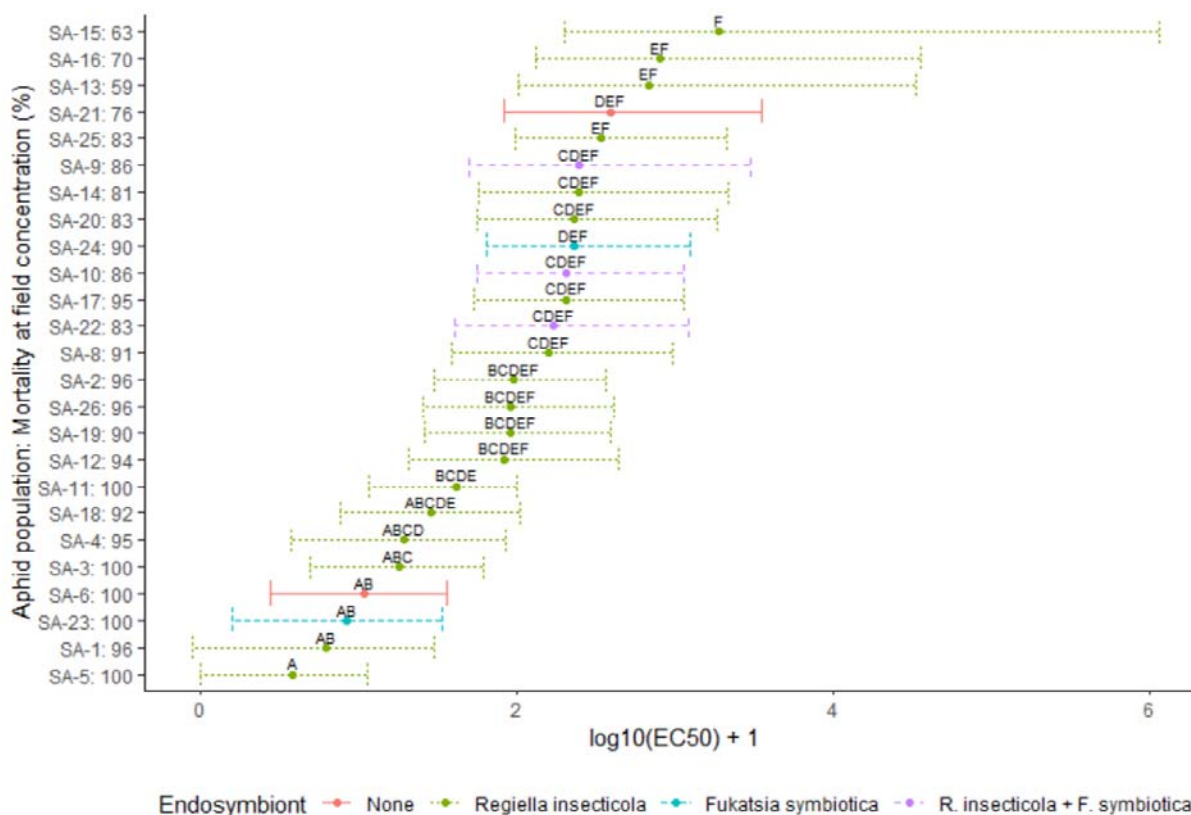
196 Simpson's diversity was calculated using the vegan package (v.2.5-7). Where significant
 197 differences in model intercepts were detected, the differing aphid populations were identified by
 198 observing the overlapping confidence intervals. This method has been used previously to identify
 199 differences in insecticide susceptibility between aphid populations from estimated concentration of
 200 active ingredient required to achieve 50% population mortality (EC_{50}) values (Foster *et al.*, 2012).

201 Results

202 *Pyrethroid sensitivity is variable in Sitobion avenae populations*

203 Based on mortality at field rate concentration (i.e., mortality in the stock treatment, 357 mg a.i. L⁻¹
 204 deltamethrin), *S. avenae* populations were grouped into four broad categories (Table 1):
 205 Susceptible (mortality at field concentration 95-100%), moderately susceptible (mortality at field
 206 concentration 90-94%), moderately tolerant (mortality at field concentration 71-89%), and tolerant
 207 (mortality at field concentration \leq 70%). Field rate concentration only achieved complete aphid
 208 control in five of the 25 *S. avenae* populations, namely populations SA3, SA-5, SA-6, SA-11, and
 209 SA-23 (Fig. 2). Three *S. avenae* populations (SA-13, SA-15, SA-16) showed tolerance to
 210 pyrethroid exposure, with \leq 70% population mortality following exposure to field rate concentrations
 211 of deltamethrin (Fig. 2; Table 1).

212 The estimated effective dose required for 50% population control (EC_{50}) ranged from 0.38 mg a.i.
 213 L⁻¹ to 190.08 mg a.i. L⁻¹ deltamethrin (Table 1). Comparison of the dose response model intercepts
 214 highlighted differences in EC_{50} amongst the *S. avenae* populations examined ($F_{24,92} = 4.16$; $p =$
 215 <0.001). Observation of the overlapping 95% confidence intervals (Fig. 2; Table 1) indicates that
 216 differences in EC_{50} are between the three tolerant populations (SA-13, SA-15, SA-16) and seven of
 217 the ten susceptible populations (SA-1, SA-3, SA-4, SA-5, SA-6, SA-11, SA-23).



218

219 **Fig. 2:** Estimated EC_{50} values and 95% confidence intervals for *Sitobion avenae* populations. EC_{50} values
 220 are shown on the $\log_{10}+1$ scale to aid interpretation. Aphid population on the y-axis is followed by the

7

221 percentage population control at field rate concentration. Letters indicate differences between the aphid
222 populations based on overlapping confidence intervals.
223
224
225

226 **Table 1: Insecticide bio-assay results**

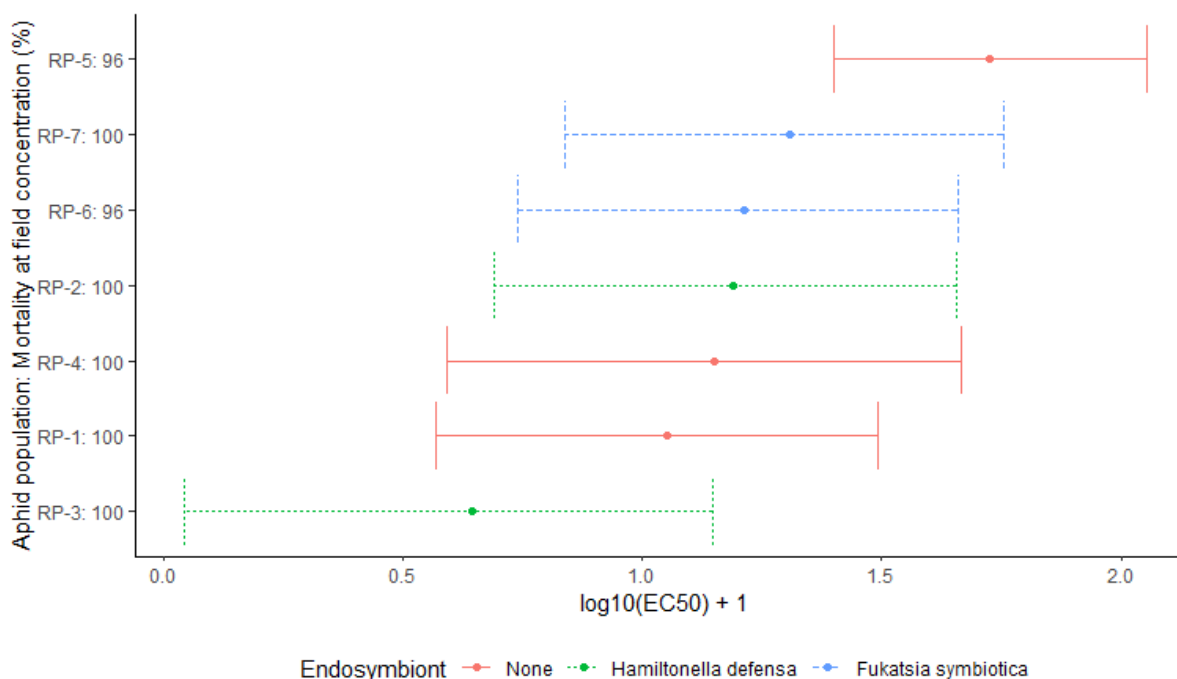
Clone name	Species	Field	Week sampled	Number tested	Slope coefficient (±SE)	EC ₅₀ (mg a.i. L ⁻¹)	95% confidence interval	Resistance Category
SA-1 ^{AB}	<i>S. avenae</i>	6	21.06.2021	153	0.50 (0.08)	0.62	0.09 – 3.00	Susceptible
SA-2 ^{BCDEF}	<i>S. avenae</i>	5	21.06.2021	173	0.70 (0.18)	9.59	2.99 – 36.71	Susceptible
SA-3 ^{AB}	<i>S. avenae</i>	1	21.06.2021	126	0.89 (0.11)	1.81	0.49 – 6.11	Susceptible
SA-4 ^{ABCD}	<i>S. avenae</i>	7	21.06.2021	123	0.67 (0.11)	1.91	0.37 – 8.57	Susceptible
SA-5 ^A	<i>S. avenae</i>	10	21.06.2021	168	0.79 (0.09)	0.38	0.10 – 1.13	Susceptible
SA-6 ^{AB}	<i>S. avenae</i>	2	21.06.2021	174	0.66 (0.18)	1.09	0.28 – 3.64	Susceptible
SA-8 ^{CDEF}	<i>S. avenae</i>	5	21.06.2021	124	0.69 (0.15)	15.67	3.84 – 96.17	Moderately Susceptible
SA-9 ^{CDEF}	<i>S. avenae</i>	1	21.06.2021	126	0.57 (0.38)	24.62	5.03 – 295.95	Moderately Tolerant
SA-10 ^{CDEF}	<i>S. avenae</i>	1	21.06.2021	176	0.60 (0.33)	20.39	5.64 – 114.40	Moderately Tolerant
SA-11 ^{BCDE}	<i>S. avenae</i>	7	21.06.2021	192	1.05 (0.18)	4.14	1.17 – 10.04	Susceptible
SA-12 ^{BCDEF}	<i>S. avenae</i>	3	21.06.2021	192	0.51 (0.28)	8.26	2.09 – 43.62	Moderately Susceptible
SA-13 ^{EF}	<i>S. avenae</i>	6	21.06.2021	174	0.38 (0.09)	68.56	10.19 – 3319.38	Tolerant
SA-14 ^{CDEF}	<i>S. avenae</i>	6	21.06.2021	192	0.48 (0.12)	24.52	5.75 – 215.58	Moderately Tolerant
SA-15 ^F	<i>S. avenae</i>	7	21.06.2021	144	0.38 (0.10)	190.08	19.80 – 11356.90	Tolerant
SA-16 ^{EF}	<i>S. avenae</i>	7	21.06.2021	156	0.45 (0.11)	80.53	13.31 – 3572.30	Tolerant
SA-17 ^{CDEF}	<i>S. avenae</i>	9	21.06.2021	126	0.75 (0.16)	20.29	5.39 – 114.46	Susceptible
SA-18 ^{ABCDE}	<i>S. avenae</i>	3	21.06.2021	156	0.69 (0.08)	2.86	0.77 – 10.34	Moderately Susceptible
SA-19 ^{BCDEF}	<i>S. avenae</i>	7	21.06.2021	174	0.63 (0.30)	9.08	2.60 – 39.21	Moderately Susceptible
SA-20 ^{CDEF}	<i>S. avenae</i>	3	21.06.2021	174	0.53 (0.17)	23.01	5.57 – 184.19	Moderately Tolerant
SA-21 ^{DEF}	<i>S. avenae</i>	3	05.07.2021	126	0.59 (0.13)	39.30	8.27 – 573.09	Moderately Tolerant
SA-22 ^{CDEF}	<i>S. avenae</i>	9	21.06.2021	174	0.52 (0.17)	16.87	4.07 – 120.94	Moderately Tolerant
SA-23 ^{AB}	<i>S. avenae</i>	13	21.06.2021	149	0.62 (0.18)	0.85	0.16 – 3.33	Susceptible
SA-24 ^{DEF}	<i>S. avenae</i>	6	05.07.2021	173	0.63 (0.19)	22.71	6.49 – 123.98	Moderately Susceptible
SA-25 ^{EF}	<i>S. avenae</i>	9	21.06.2021	174	0.63 (0.64)	33.94	9.74 – 209.92	Moderately Tolerant
SA-26 ^{BCDEF}	<i>S. avenae</i>	12	04.10.2021	170	0.63 (0.13)	9.15	2.57 – 41.39	Susceptible
RP-1 ^{ZY}	<i>R. padi</i>	2	21.06.2021	189	0.81 (0.07)	1.13	0.37 – 3.11	Susceptible
RP-2 ^{ZY}	<i>R. padi</i>	5	05.07.2021	192	0.73 (0.10)	1.55	0.49 – 4.54	Susceptible
RP-3 ^Z	<i>R. padi</i>	5	21.06.2021	189	0.66 (0.15)	0.44	0.11 – 1.40	Susceptible
RP-4 ^{ZY}	<i>R. padi</i>	11	21.06.2021	192	0.63 (0.18)	1.41	0.39 – 4.63	Susceptible
RP-5 ^Y	<i>R. padi</i>	13	21.06.2021	192	1.50 (0.11)	5.32	2.51 – 11.29	Susceptible
RP-6 ^{ZY}	<i>R. padi</i>	4	21.06.2021	187	0.82 (0.09)	1.63	0.55 – 4.55	Susceptible
RP-7 ^{ZY}	<i>R. padi</i>	4	05.07.2021	192	0.78 (0.07)	2.03	0.69 – 5.69	Susceptible

227 The EC₅₀ values for populations followed by the same letter do not differ significantly, based on observation of overlapping confidence
 228 intervals (Foster *et al.*, 2011). Populations have been allocated a resistance category based on the observed mortality (% aphids
 229 affected) at the field concentration (375 mg a.i. / L) treatment: Susceptible (95-100% mortality), moderately susceptible (90-94%
 230 mortality), moderately tolerant (71-89% mortality), tolerant (≤ 70% mortality).

232 **Decreased pyrethroid sensitivity in a *Rhopalosiphum padi* population collected from**
233 **Germany**

234 Based on mortality at field rate concentration, all *R. padi* populations were categorised as
235 susceptible to deltamethrin (mortality >95%; Table 2; Fig. 3); however, two populations (RP-5 and
236 RP-6) had a reduced mortality of 96% (Fig. 3).

237 The estimated effective dose required for 50% population control (EC_{50}) ranged from 0.44 mg a.i.
238 L^{-1} to 5.32 mg a.i. L^{-1} deltamethrin (Table 1). Comparison of model intercepts highlighted
239 differences in EC_{50} amongst the *R. padi* populations examined ($F_{6,27} = 7.43$; $p = <0.001$).
240 Observation of the overlapping 95% confidence intervals (Fig. 3; Table 1) indicates that differences
241 in EC_{50} are between one of the populations with reduced mortality, RP-5, and the susceptible
242 population RP-3 (Fig. 3; Table 1).



243

244 **Fig. 3:** Estimated EC_{50} values and 95% CI for *Rhopalosiphum padi* populations. EC_{50} values are shown on
245 the $\log_{10}+1$ scale to aid interpretation. Aphid population on the y-axis is followed by the percentage
246 population control at field rate concentration.

247 **Facultative endosymbionts occur at high frequencies in aphid populations but they**
248 **do not influence pyrethroid sensitivity**

249 Of the 32 aphid populations 27 were infected with at least one facultative endosymbiont (Table 2).
250 The endosymbiont community differed between the two cereal aphid species: endosymbionts were
251 detected in 92% of *S. avenae* populations and 57% of *R. padi* populations (Table 2). In *S. avenae*
252 endosymbiont communities were dominated by *R. insecticola*, with *R. insecticola* present in 72% of
253 *S. avenae* populations. Low levels of infection with *F. symbiotica* (8%) and co-infection of *R.*
254 *insecticola* and *F. symbiotica* (12%) were detected in the *S. avenae* populations. For *R. padi*, the
255 defensive endosymbiont *H. defensa* was detected in 28% of the populations and *F. symbiotica* was
256 detected in 28% of the populations. For both aphid species, neither endosymbiont infection status
257 nor endosymbiont diversity influenced aphid sensitivity to deltamethrin: *S. avenae* facultative
258 endosymbiont infection status ($F_{1,23} = 0.37$; $p = 0.778$; Fig. 2) and diversity ($F_{1,23} = 0.06$; $p = 0.816$),
259 *R. padi* facultative endosymbiont infection status ($F_{2,4} = 0.65$; $p = 0.569$; Fig. 3) and diversity $F_{2,4} =$
260 1.11; $p = 0.341$).

261 **Table 2:** Endosymbiont profiles of the 32 aphid populations

Clone name	Species	<i>B.a</i> (primary)	<i>Spi</i>	<i>R.i.</i>	<i>H.d.</i>	<i>R-siella</i>	<i>F. s.</i>	<i>S.s.</i>	<i>R-tsia</i>	<i>Ars.</i>
SA-1	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-2	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-3	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-4	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-5	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-6	<i>S. avenae</i>	+	-	-	-	-	-	-	-	-
SA-8	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-9	<i>S. avenae</i>	+	-	+	-	-	+	-	-	-
SA-10	<i>S. avenae</i>	+	-	+	-	-	+	-	-	-
SA-11	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-12	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-13	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-14	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-15	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-16	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-17	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-18	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-19	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-20	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-21	<i>S. avenae</i>	+	-	-	-	-	-	-	-	-
SA-22	<i>S. avenae</i>	+	-	+	-	-	+	-	-	-
SA-23	<i>S. avenae</i>	+	-	-	-	-	+	-	-	-
SA-24	<i>S. avenae</i>	+	-	-	-	-	+	-	-	-
SA-25	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
SA-26	<i>S. avenae</i>	+	-	+	-	-	-	-	-	-
RP-1	<i>R. padi</i>	+	-	-	-	-	-	-	-	-
RP-2	<i>R. padi</i>	+	-	-	+	-	-	-	-	-
RP-3	<i>R. padi</i>	+	-	-	+	-	-	-	-	-
RP-4	<i>R. padi</i>	+	-	-	-	-	-	-	-	-
RP-5	<i>R. padi</i>	+	-	-	-	-	-	-	-	-
RP-6	<i>R. padi</i>	+	-	-	-	-	+	-	-	-
RP-7	<i>R. padi</i>	+	-	-	-	-	+	-	-	-

262 Symbiont abbreviations: *B.a* (*B. aphidicola*; essential primary endosymbiont), *Spi* (*Spiroplasma* spp.), *R.i.* (*Regiella insecticola*), *H.d.*
 263 (*Hamiltonella defensa*), *R-siella* (*Rickettsiella* sp.), *F.s.* (*Fukatsia symbiotica*), *S.s.* (*Serratia symbiotica*), *R-tsia* (*Rickettsia* spp.), and *Ars.*
 264 (*Arsenophonus* spp).

265 Discussion

266 Our results provide insecticide dose-response data for 32 aphid populations against a synthetic
 267 pyrethroid approved for aphid management in arable crops in Germany. We observe wide variation
 268 in dose-response amongst the 25 *S. avenae* populations and we detect reduced sensitivity to
 269 deltamethrin in one of the *R. padi* populations tested. We detect natural infection with the
 270 facultative endosymbionts *R. insecticola* in *S. avenae*, *H. defensa* in *R. padi*, and *F. symbiotica* in
 271 both species, including co-infection of *R. insecticola* and *F. symbiotica* in a subset of *S. avenae*
 272 populations.

273 For the *S. avenae* populations we detected variable response to pyrethroid exposure, with EC₅₀
 274 values ranging from 0.38 mg a.i. L⁻¹ to 190.08 mg a.i. L⁻¹. This wide variation in dose response in *S.*
 275 *avenae* indicates that our *S. avenae* populations comprise individuals that are highly sensitive to
 276 pyrethroids, tolerant to pyrethroids, and populations with intermediate susceptibilities. Indeed, the

277 low EC_{50} value of 0.38 mg a.i. L^{-1} detected in SA-5 is comparable with EC_{50} values in *S. avenae*
278 populations that are sensitive to pyrethroid exposure (0.50 mg Bifenthrin L^{-1} ; 2.40 mg Beta-
279 cypermethrin L^{-1} ; Gong *et al.*, 2021) and the high EC_{50} values estimated for the three tolerant
280 populations, SA-15 (190.08 mg a.i. L^{-1}), SA-16 (80.53 mg a.i. L^{-1}), SA-13 (68.56 mg a.i. L^{-1}), are
281 similar to the EC_{50} values reported for *S. avenae* populations tolerant to pyrethroids, including
282 those that harbour heterozygous *kdr*-SR resistance (Foster *et al.*, 2014; Walsh *et al.*, 2020a; Gong
283 *et al.*, 2021). Our field survey also indicates that pyrethroid susceptibility is highly variable between
284 populations collected from the same field. We sampled five *S. avenae* populations from field 7 and
285 the estimated EC_{50} for these populations ranged from 1.91 mg a.i. L^{-1} 190.08 mg a.i. L^{-1} . Based on
286 the observed population control at field rate concentrations, the aphids collected from this field
287 contained populations grouped into the susceptible, moderately tolerant, and tolerant categories.
288 Similar observations were made for other locations where more than one population was sampled:
289 Field 3 contained four *S. avenae* populations comprising those categorised as moderately tolerant
290 and moderately susceptible; field 9 had three populations comprising those categorised as
291 susceptible and moderately tolerant; four individuals were collected from field 6 comprising those
292 categorised as susceptible, moderately tolerant, and tolerant; and three populations were sampled
293 from field 1 comprising populations categorised into susceptible and moderately tolerant. This
294 diverse range of susceptibility and tolerance to pyrethroids, including wide variation within the
295 same sampling locations, is in-line with recent surveys conducted in Ireland (Walsh *et al.*, 2020b)
296 and China (Gong *et al.*, 2021). However, these studies did not relate insecticide susceptibility or
297 tolerance to the presence or absence of facultative endosymbionts within the local aphid
298 populations.

299 In our *R. padi* populations we detected reduced sensitivity to the pyrethroid deltamethrin in one
300 population. This difference is between the most sensitive aphid population, RP-3 estimated EC_{50}
301 0.44 mg a.i. L^{-1} , and population RP-5, estimated to have an increased EC_{50} of 5.32 mg a.i. L^{-1} . We
302 also detected a reduction in the level of population control in RP-5, with only 96% of the aphid
303 population effectively managed at the field rate concentration of 357 mg a.i. L^{-1} , compared with
304 100% population control in RP-3. Our increased EC_{50} for RP-5 represents the first observation of
305 reduced sensitivity to pyrethroids in *R. padi* populations collected in Germany. Reduced sensitivity
306 against pyrethroids has recently been reported in three *R. padi* populations in Australia, these three
307 populations were estimated to have EC_{50} values of 15.34, 16.22, and 24.57 mg a.i. L^{-1} (Umina *et al.*,
308 2020). These values are at least three-fold higher than the EC_{50} value estimated for RP-5 and
309 are associated with a further reduction in effective population control under field rate
310 concentrations, down to 91% effective control (Umina *et al.*, 2020), compared with 96% control in
311 RP-5. Recent surveys in Ireland have also detected reduced pyrethroid sensitivity in one *R. padi*
312 population, where EC_{50} levels were compared with a susceptible *kdr*-SS *S. avenae* population
313 (Walsh *et al.*, 2020a). Although we detect reduced sensitivity in *R. padi* in Germany, effective
314 population control remains relatively high at 96%, thus this should not adversely affect aphid
315 management strategies or impact crop yields in the immediate term. However, this finding indicates
316 that resistance to pyrethroids is starting to evolve and, upon the evolution of *kdr*-SR resistance, the
317 impact on crop yields could become more apparent as conventional control strategies fail. Surveys
318 should be continued in order to monitor the development of the situation over the coming years
319 while developing and prioritising the use of alternative strategies for aphid management that do not
320 rely on resistance-inducing insecticides. In China, decreased sensitivity to pyrethroids was first
321 detected in populations sampled in 2013 from multiple locations across China, including Xianyang,
322 Shaaxi Province (Zuo *et al.*, 2016). *Super-kdr*-SR heterozygous resistance against pyrethroids
323 (shown to be effective against two active ingredients: alpha-cypermethrin and deltamethrin) was
324 detected six years later from populations collected in 2019 from the same Province (Wang *et al.*,
325 2020).

326 Aphids are often considered as a single homogeneous population, however it is clear that aphids
327 can comprise populations with contrasting intra-species diversity. Intra-species diversity in cereal
328 aphids is associated with genetic diversity and the composition of endosymbiont communities
329 (Alkhedir *et al.*, 2013; Malloch *et al.*, 2016; Guo *et al.*, 2019; Leybourne *et al.*, 2020a). This intra-
330 species diversity can affect the aphid phenotype, and there is evidence that this could include
331 heightened aphid susceptibility to insecticides (Li *et al.*, 2021). In order to examine whether natural
332 occurrence of facultative endosymbionts influences aphid sensitivity to pyrethroids, we
333 characterised the facultative endosymbiont community of the 32 aphid populations and related this
334 to the results of the dose-response assays. We detected facultative endosymbionts in 92% of the
335 *S. avenae* populations and 57% of the *R. padi* populations. These natural levels of endosymbiont
336 infection are similar to those reported in previous endosymbiont surveys (Alkhedir *et al.*, 2013;
337 Łukasik *et al.*, 2013; Fakhour *et al.*, 2018; Guo *et al.*, 2019). Our *S. avenae* populations showed a
338 high prevalence of *R. insecticola* infection (72%). This is comparable with infection levels detected
339 in Morocco, 75% *S. avenae* population infection with *R. insecticola* (Fakhour *et al.*, 2018), and
340 above levels previously observed in *S. avenae* sampled from Germany, 50% *R. insecticola*
341 infection (Alkhedir *et al.*, 2013). Similarly, *H. defensa* usually occurs at low-to-moderate frequency
342 in *R. padi* populations, with previous studies reporting infection frequencies between 10-38% (Guo
343 *et al.*, 2019; Leybourne *et al.*, 2020a), comparable with 29% of *R. padi* populations detected to be
344 infected with *H. defensa* in our aphid populations. We detected *F. symbiotica* in a small proportion
345 of our *S. avenae* and *R. padi* populations. *F. symbiotica* can occur at high levels in *A. pisum*
346 populations (Zytynska & Weisser, 2016) but rarely infects other aphid species, occurring at low
347 frequencies where it is detected (Łukasik *et al.*, 2013; Zytynska & Weisser, 2016).

348 Although we detected variation in endosymbiont infection frequencies across our populations, with
349 84% of populations carrying at least one facultative endosymbiont and 9% carrying two facultative
350 endosymbiont species, we did not detect any link between endosymbiont infection and heightened
351 susceptibility to insecticides. This is in contrast with recent lab studies, where an association
352 between endosymbiont infection and heightened insecticide susceptibility has been reported
353 (Skaljac *et al.*, 2018; Li *et al.*, 2021). Recent research has shown that artificial inoculation with *H.*
354 *defensa* in the grain aphid *S. miscanthi* increases aphid sensitivity to a range of insecticides at low
355 concentrations, including neonicotinoids and diamides (Li *et al.*, 2021). Similar observations have
356 been made in pea aphids (*Acyrtosiphon pisum*) infected with the endosymbiont *S. symbiotica*,
357 where symbiont-infected aphids were more susceptible to low concentrations of several
358 insecticides, including carbamates, neonicotinoids, tetrone and tertamic acid derivatives, and
359 diamides (Skaljac *et al.*, 2018). These studies also show that the EC₅₀ values are lower for
360 symbiont-infected aphids compared with aphid populations that do not contain facultative
361 endosymbiont communities (Skaljac *et al.*, 2018; Li *et al.*, 2021). Although these studies did not
362 examine the relationship between endosymbiont presence and susceptibility to pyrethroids, they
363 still showcase a link between endosymbiont infection and heightened susceptibility to insecticide
364 exposure in aphid populations. However, it should be noted that these were artificially manipulated
365 populations developed through the endosymbiont removal and infection to establish desired
366 endosymbiont communities under lab conditions, not comparisons of natural infections (Skaljac *et*
367 *al.*, 2018; Li *et al.*, 2021). The next stage of research would be to examine this association under
368 field conditions, and to examine this across a broader range of insecticides, including the important
369 pyrethroids.

370 One caveat of our study was our lack of a characterised *kdr*-SS homozygous pyrethroid
371 susceptible reference clone. A susceptible clone can be used as a reference baseline in order to
372 calculate resistance ratios for each tested population and to act as an internal reference (Walsh *et*
373 *al.*, 2020a; Wang *et al.*, 2020), although this is not included in every survey (Umina *et al.*, 2020;
374 Gong *et al.*, 2021). The calculated EC₅₀ values for our most highly sensitive aphid population for

375 each species, *R. padi* (0.44 mg a.i. L⁻¹) and *S. avenae* (0.62 mg a.i. L⁻¹), are comparable with the
376 EC₅₀ values reported in the susceptible populations used in similar studies, including populations
377 confirmed to contain the homozygous susceptible *kdr*-SS allele: 0.59 mg a.i. L⁻¹ in deltamethrin-
378 susceptible *R. padi* populations (Wang *et al.*, 2020, 2021). Therefore, we are confident that our
379 detection of decreased pyrethroid sensitivity in *R. padi* population RP-5 and our range of
380 susceptibilities and tolerance detected in our *S. avenae* populations are comparable with
381 susceptible clones.

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388 **Data accessibility**

389 The data that support the findings of this study are available from the corresponding author upon
390 reasonable request.

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