

1 **Comprehensive Comparative Genomic reveals: *Bacteroides fragilis* is a reservoir of antibiotic**
2 **resistance genes in the gut microbiota**

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4 **Short Title: *Bacteroides fragilis* is a reservoir of antibiotic resistance genes**

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28 **Abstract**

29 *Bacteroides fragilis* are commensal bacteria of the gut microbiota of mammals and may cause
30 severe infection in a susceptible host. Treatment can be cumbersome if multidrug resistant strains
31 are present in the affected tissue. The principal aim of this study was to provide new insights into
32 the genomic properties of *B. fragilis* through different approaches in comparative genomics. Results
33 revealed that the pan-genome is opened, and an intense exchange of genetic material reinforces this
34 inference. The Don complex, responsible for extraintestinal adaptation, is present in all strains,
35 suggesting a crucial role for *B. fragilis* adaptation. CRISPR-Cas system is at 76% of the samples,
36 but it apparently has low accuracy against prophage. Multidrug resistance genes are in 80% of
37 strains. Conjugative transposons and integrative and conjugative elements (ICE) are the main
38 spreaders of genes for antimicrobial resistance. We also reported evidence for horizontal gene
39 transfer (HGT) of antimicrobial resistance genes among the *B. fragilis* strains and Bacteroidales. At
40 least 398 genes are under positive selection, including genes for antimicrobial resistance and
41 transport of toxins and nutrients.

42

43 **Keywords:** *Bacteroides fragilis*, Comparative genomics, Genes for antimicrobial resistance,
44 Horizontal gene transfer.

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59 **1. Introduction**

60 *Bacteroides fragilis* is an obligatory anaerobic Gram-negative bacillus. It is usually commensal in
61 mammals and is critical for immune development and intestinal mucosal integrity [1, 2, 3]. It may
62 become pathogenic in a vulnerable host, being able to invade intra- and extra-abdominal tissues,
63 including blood [4–9]. Recent evidence suggests that enterotoxigenic *B. fragilis* (ETBF) is
64 associated with colorectal cancer development [8-11].

65 ETBF can produce fragilysin (known as BFT), an endotoxin from the zinc-dependent
66 metalloproteinase family that cleaves E-cadherin in colonocytes [12-16]. The interaction between
67 BFT and intestinal epithelial cells triggers an inflammatory response that increases apoptosis and
68 favors extraintestinal invasion [17]. The *bftP* gene encodes the BFT and has three alleles: *bftP-1*,
69 *bftP-2*, and *bftP-3* [18]. It is on a pathogenic island termed BfPAI, along with genes for a
70 hypothetical protein and metalloproteinase II (*mpII*) [13, 14, 18–21]. BfPAI of some ETBFs is in
71 the CTn86 transposon [13-14].

72 Antimicrobial multidrug resistance (AMR) genes are widespread among the *B. fragilis* strains and
73 hamper antimicrobial therapy [22]. Integrative and conjugative elements (ICE) disseminate AMR
74 genes because they can mobilize plasmids, genomic islands, and other non-conjugative elements
75 [23]. In addition, their genes encode proteins for excision, conjugation (type IV secretion system-
76 T4SS), and transferring DNA sequences [24].

77 Other genes that improve *B. fragilis* adaptation to intestinal and extraintestinal environments belong
78 to Type VI secretion system (T6SS), capsular lipopolysaccharide biosynthesis locus (LPS locus),
79 and Don PUL complex. T6SS is a protein complex that acts during competition, inhibiting or killing
80 other bacteria or eukaryotic cells [25-27]. The LPS locus has 19 orthologous genes (OG) that
81 control the expression of three capsular polysaccharides (polysaccharides A, B, and C) and may
82 trigger the formation of intra-abdominal abscesses [28–32]. Don PUL complex enables
83 extraintestinal adaptation through uptake of N-linked glycans from fluids [33].

84 Despite the approaches described above, few studies have investigated the comparative genomics of
85 *B. fragilis*. Thus, this study provides insights into the genomic properties of these bacteria. For this
86 purpose, we analysed 183 strains by applying comparative genomics tools. Some insights obtained
87 were 1) Most genes are related to metabolic processes, mainly carbohydrates and amino acids - a
88 frequent pattern of bacterial gut microbiota; 2) The Don PUL is the only system common to all
89 strains, suggesting that alternative resource capture is decisive for their survival in the gut

90 microbiota; and 3) We also reported evidence of HGT within order Bacteroidales. Hence, this
91 research extends our knowledge of the genomic evolution of *B. fragilis*.

92

93 **2. Materials and Methods**

94 *Sample*

95 Samples used were available at the National Center for Biotechnology Information - NCBI
96 (<https://www.ncbi.nlm.nih.gov/genome/browse/#!/prokaryotes/414/>). Genome lengths range from
97 4.87 to 7.60 megabases (complete, scaffold, and contig), and the minimal coverage was 15X [34]
98 The strains used and their sources are in S1 Table.

99

100 *Pan-genome Analysis*

101 Bacterial Pan Genome Analysis tool version 1.3 (BPGA) was used to identify core, accessory, and
102 unique genomes [35]. Gene functions were based on Clusters of Orthologous Groups of proteins
103 (COG) and the Kyoto Encyclopedia of Genes and Genomes (KEGG). Orthologous cluster analysis
104 (default setting) was performed by Usearch9.2.64 [36] under a cut-off value of 95% to generate
105 high-quality clusters [37]. Multiple sequence comparison by log-expectation (MUSCLE) was used
106 to generate alignments and phylogenies [36]. Gnuplot 5.0 was used for plotting graphs [38].

107

108 *Prediction of CRISPR and Resistance genes*

109 CRISPR-Cas sequences were estimated by CRISPRRCasFinder ([https://crisprcas.i2bc.paris-](https://crisprcas.i2bc.paris-saclay.fr/CrisprCasFinder/Index)
110 [saclay.fr/CrisprCasFinder/Index](https://crisprcas.i2bc.paris-saclay.fr/CrisprCasFinder/Index)) [39]. Antimicrobial resistance genes were obtained from CARD
111 (Comprehensive Antibiotic Resistance Database) (<http://arpcard.mcmaster.ca/>). CARD was
112 performed under the Resistance Gene Identifier option - RGI (Perfect match equal to 100) [40].
113 Results with a 'strict match' between 95.0 and 99.9 were also analyzed under the Basic Local
114 Alignment Search Tool- BLAST, as suggested by Jia et al. [40].

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116 *Prediction of Mobilome*

117 PhiSpy software was used to predict putative prophages, and ICEFinder was performed to identify
118 ICE and IME (Integrative mobilizable elements) [41-42]. These analyses were restricted to 19
119 complete genomes because the prophage, ICE, and IME regions are usually long and would be cut
120 into short sequences, such as contigs and scaffolds.

121 Candidate proteins were searched against the CARD (BLASTp) [43], Virulence factor database
122 (VFDB) [http://www.mgc.ac.cn/VFs/search_VFs.htm; blastp/Protein sequences from VFDB full
123 dataset (setB)] [44], and NCBI server (BLASTp) (<https://blast.ncbi.nlm.nih.gov/>) [45]. The score
124 values significant were bit-score equal to or higher than 50; E-value ≤ 0.0 , and an identity equal or
125 higher than 30% [46]. Mauve under ProgressiveMauve (default setting) [47–48] was used to
126 compare syntenic regions. MobileElementFinder (V1.0.3)
127 (<https://cge.cbs.dtu.dk/services/MobileElementFinder/>) was performed to predict mobile genetic
128 elements (MGEs) associated with antibiotic and virulence factors (Minimum alignment coverage =
129 95; minimum sequence identity-90%, maximum truncation-30 bp) [49–50].

130

131 *Analysis of Molecular Evolution*

132 Genetic Algorithm Recombination Detection (GARD) was used to detect recombination. Random
133 effect likelihood (FEL), Fast Unconstrained Bayesian Approximation (FUBAR), and Single-
134 likelihood ancestor counting (SLAC) methods implemented by HyPhy 2.5 under the MG94xREV
135 codon model were used to identify sites under positive selection [50–55]. Evidence of episodic
136 positive selection was verified by the mixed-effects model of evolution (MEME) and Branch-site
137 unrestricted statistical test for episodic diversification (BUSTED). The score values were significant
138 whether it is less than or equal to 0.05 (BUSTED, SLAC, FEL, and MEME models), and for the
139 posterior probability if it is $\geq 95\%$ (FUBAR).

140

141 **3. Results and Discussions**

142 *3.1 Pan-genome*

143 Pan-genome is opened and has about 4232 protein-coding genes, 814 of which belong to the core
144 genome, 3455 are in the set of accessory genomes, and 37 are in the unique genome, on average.
145 Most of which are related to the metabolism of carbohydrates and amino acids (KEGG). Zou et al.
146 [57] described the same pattern in other bacteria of the gut microbiota. According to COG
147 distribution, most of the genes of the flexible gene pool (accessory and unique) are in the
148 ‘Information storage and processing’ category [Translation, ribosomal structure and biogenesis (J);
149 RNA processing and modification (A); Transcription (K); Replication, recombination and repair
150 (L); Chromatin structure and dynamics (B)]. Analysis of USEARCH results indicated that many
151 flexible genes encode truncated proteins. These genes might have been acquired through HGT
152 because they are often are in mobile element regions.

153 Orthologous genes distributed in their COG and KEGG general categories are in Additional files,
154 S1 Fig. COG distribution in specific categories is in S2 Fig.

155

156 **Fig 1. Distribution of orthologous genes into KEGG categories.** (a) Frequency of core (in blue),
157 accessory (in red), and unique (green) genes. The arrows point to the table corresponding to the
158 graph bars. (c) Table showing the genes supposedly related to infectious diseases and their features.

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160 KEGG analysis also displayed genes potentially related to human infections, mainly in the flexible
161 genome. These genes often encode proteins with bacterial metabolic functions but may be related to
162 virulence in specific bacteria. For example, the molecular chaperone DnaK protein, encoded by the
163 *dnaK* gene, contributes to stress tolerance and cell growth, but it is also a virulence factor in
164 *Mycobacterium tuberculosis* [58–61]. Fig 1 indicates the distribution of pan-genome across KEGG
165 categories and a table that highlights genes associated with infectious diseases.

166 Don PUL operon is in all strains, in this way belongs to the core genome. It encodes proteins that
167 enable the deglycosylate N-linked glycans of the gut mucus layer, transferrin, and other
168 glycoproteins [33]. Therefore, the operon is essential for *B. fragilis* adaptation to intra- and extra-
169 intestinal environments. Other genes related to adaptation and virulence are in the accessory
170 genome, such as *bftP*, perforin, LPS locus, and *T6SS* genes.

171 Forty strains have *bftP-1* allele while *bftP-2* is in eight strains (20793-3, 2-078382-3, 86-5443-2-2,
172 BOB25, CL07T00C01, CL07T12C05, CM13 and DS71). No strain has *bftP-3*. BfPAI is absent in
173 47 strains described as ETBF [62], suggesting that it was not sequenced or annotated in these
174 strains. Pan-genome prediction and the genomic location of BfPAI are in S2 Table.

175 The perforin gene is in 80 strains, both ETBFs and NTBFs (non-enterotoxigenic *B. fragilis*). The
176 gene encodes a nonfunctional protein in five strains (1007-1-F #8, 3783N1-2, 3783N2-1, 3976T7,
177 and S13 L11) because of the absence of 139 amino acids from the terminal portion of the protein,
178 which includes the MACPF domain (YGTHVLTDITLGG)- critical for protein activity [61].

179 Perforin [membrane attack complex/perforin (MACPF) domain] is a bacteriocin that can rupture the
180 plasma membrane of a cell host and might be a virulence factor [63]. It is found mainly in
181 eukaryotes and some bacteria, including *Bacteroides spp.* [64].

182 LPS locus was described in 638R and NCTC9343 strains [31]. We also reported it in thirteen strains
183 [DCMOUH0042B, 3719 A10, 3986 N3, 3986 T (B) 13, 3986 T (B) 9, AD126T_1B, AD126T_2B,
184 ATCC 25285, BFR_KZ09, CL04T03C20, HMW 615, KLE1257, and KLET1758]. Most of them

185 were isolated from extraintestinal infections (abscess, blood, or tissue). The capsular polysaccharide
186 complex is one of the major virulence factors related to abscess formation [29, 31], and this might
187 explain the source of the strains.

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189 a)

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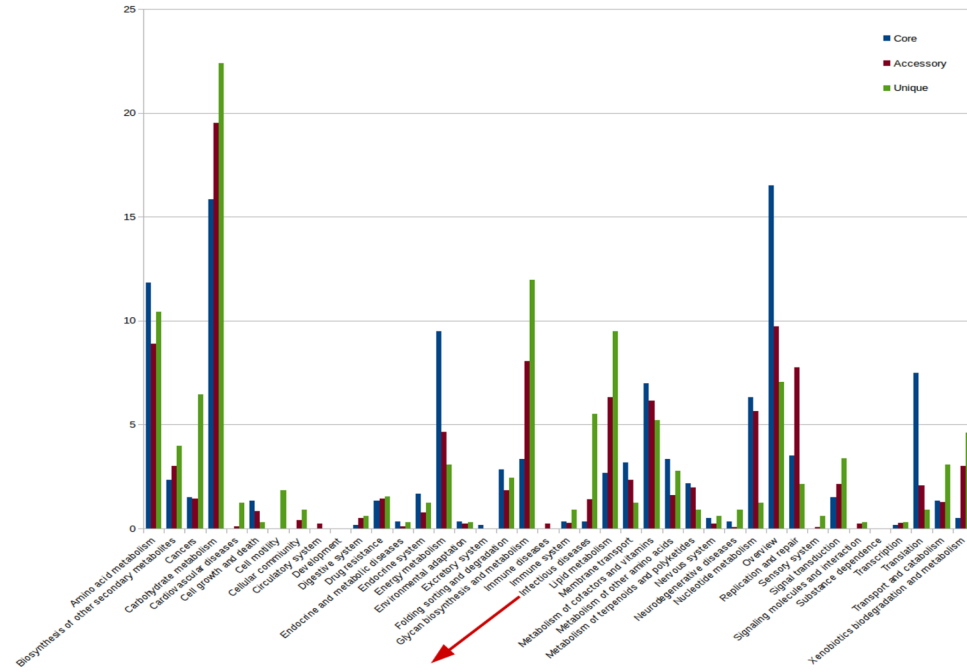
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197 b)



Gene	Definition	Function	Description	Pathogen	Kegg	Pathway	Genomes	ID%	Bit-score	E-value
<i>InlA</i>	Internalin/Ig-like domain	Adhesion	Bacterial invasion of epithelial cells	<i>Listeria monocytogenes</i>	K13730	ko05100	3725 D9 ii	47.9	49	2.21E-05
<i>sdhA</i>	Succinate dehydrogenase / Fumarate reductase	Tricarboxylic acid cycle	Legionellosis	<i>Legionella pneumophila</i> <i>Legionella longbeachae</i>	K00239	ko05134	Core genome	30	141	5.36E-33
<i>rpoN</i>	RNA polymerase sigma-54 factor	Biofilm formation	<i>Vibrio cholerae</i> infection	<i>Vibrio cholerae</i>	K03092	ko05111	Accessory genome (178)	92.5	905	5.32E-263
<i>dltA</i>	D-alanine--poly(phosphoribitol) ligase subunit 1	Antimicrobial activity	<i>Staphylococcus aureus</i> infection	<i>Staphylococcus aureus</i>	K03367	ko05150	Accessory genome (178)	31.3	199	1.55E-50
<i>dnaK</i>	Molecular chaperone DnaK	Stress response	Tuberculosis bacterial	<i>Mycobacterium tuberculosis</i>	K04043	ko05152	Core genome	95.6	1193	0.0
<i>ACTB_G1</i>	Actin beta/gamma 1	Actin polymerization	Shigellosis	<i>Shigella dysenteriae</i> <i>Shigella flexneri</i> <i>Shigella boydii</i> <i>Shigella sonnei</i>	K05692	ko05131	AD135F_2B	92.5	905	5.32E-263
<i>ACTB_G1</i>	Actin beta/gamma 1	Actin polymerization	<i>Vibrio cholerae</i> infection	<i>Vibrio cholerae</i>	K05692	ko05110	AD135F_2B	97.6	258	5.34E-69
<i>prtC</i>	U32 family peptidase	Cell signaling	Epithelial cell signaling in <i>H. pylori</i> infection	<i>Helicobacter pylori</i>	K08303	ko05120	3725 D9 ii	95.1	815	6.27E-236
<i>tolC</i>	TolC family protein (BF_RS08835)	Secretion	Pertussis	<i>Bordetella pertussis</i>	K12340	ko05133	Core genome	80	728	8.55E-210

199 **Fig 1. Distribution of orthologous genes into KEGG categories.** (a) Frequency of core (in blue), accessory (in red), and unique (green) genes. The
 200 arrows point to the table corresponding to the graph bars. (c) Table showing the genes supposedly related to infectious diseases and their features.

201 Fifteen complete genomes have GA3 T6SS genetic architecture [27], except the genomes of
202 DCMOUH0067B, DCMSKEJBY0001B, FDAARGOS_763, Q1F2 strains, which belong to the
203 carbapenem-resistant *B. fragilis* group [63]. This finding suggests T6SS is nonessential to *B.*
204 *fragilis*.

205

206 3.2 Prediction of CRISPR-Cas system

207 Results revealed that 76,4% of the genomes have a CRISPR-Cas system. Fifty-eight strains have
208 two CRISPR-Cas types in their genomes. Three types are only in six strains (AF26-6, BFR_KZ08,
209 S14, S38L3, S38L5, and TM08-15). CRISPR-Cas system is absent in most NTBFs, whereas the
210 IIIB type is the most common among ETBFs, corroborating Tajkarimi and Wexler [64].

211 Monophyletic groups often share the same CRISPR-Cas system. A phylogenetic tree displaying the
212 CRISPR-Cas type of each strain is in S3 Fig.

213

214 3.3 Antimicrobial Resistance Genes

215 The most frequent antimicrobial resistance genes in *B. fragilis* are *cepA* [Class A beta-lactamase
216 (EC:3.5.2.6)] and *tetQ* (Tetracycline-resistant ribosomal protection protein). Only 40 strains
217 presented a ‘perfect match’ (100%) for *cepA*, but the results showed high identity values in 158
218 strains (range from 98 to 99%, ARO: 3003559). Thus, the functionality of *cepA* gene is probable.

219 Thirty-six strains have the *tetQ* gene with a ‘perfect match’, and 125 strains presented a gene with a
220 ‘strict match’ (ARO: 3000191), but likely functional, according to the comparison to the protein
221 described by Lépine et al. [65]. *tetQ* is the most abundant gene for antimicrobials in the human gut
222 microbiota, as reported in a metagenomics analysis [66]. Therefore, the spread of the gene seems
223 advantageous.

224 *ermF* gene [23S rRNA (Adenine (2058)-N (6))-methyltransferase (EC: 2.1.1.-)] is in 43 strains with
225 a ‘strict match’ (identity from 98 to 99.9%), but their binding sites - essential for protein
226 functionality [67] are unchanged. This gene confers multidrug resistance (macrolide, lincosamide,
227 and streptogramin B) [68] and has a clinical impact on treatment. Antimicrobial resistance genes
228 present in the strains are in S3 Table.

229 *aadS* [Aminoglycoside 6-nucleotidyltransferase (EC: 2.7.1.95)], *aphA* [Aminoglycoside 3’-
230 phosphotransferase (EC: 2.7.1.95)], *ccrA* [Subclass B1 beta-lactamase (EC 3.5.2.6)], *OXA-347*
231 [Beta-lactamase class D OXA-209 (EC: 3.5.2.6)], *SUL2* [Dihydropteroate synthase type-2 (EC

232 2.5.1.15)], and *TEM-1* [Beta-lactamase class A TEM (EC: 3.5.2.6)] genes had 'perfect matches', but
233 they were restricted to a few strains.

234

235 3.4 Mobilome

236 a) Analysis of Prophages

237 Results indicated the complete genomes have two (BOB25 strain) to fifteen (DCMOUH0067B and
238 FDAASGOS_763 strains) prophage regions. Most virulence-related proteins in these regions had
239 low scores, except for EF-Tu, which had higher values [VFDB database = VFG046475
240 (F7308_0636) Tu translation elongation factor (EF-Tu) (*Francisella sp.* TX077308) ID = 64%; bit-
241 score = 540, and E-value = e-154]. EF-Tu protein performs various functions in bacteria, including
242 catalyzing the binding of aminoacyl-tRNA to the ribosome, forming a biofilm, and decreasing the
243 host immune response [69]. It is unclear whether it plays a role related to virulence in *B. fragilis*.
244 We found no antimicrobial resistance genes in the prophage region (S4 Table).

245

246 b) ICEFinder Analysis

247 The size of ICEs varies from 24.6 kilobase pairs (kb) to 193 kb, while EMIs are smaller (5 kb to
248 76.8 kb). Genes for antimicrobial resistance, mainly *tetQ*, were identified in ICEs and IMEs.
249 Complete genomes have at least one putative ICE with T4SS, except those from BE1 and Q1F2
250 with only putative IMEs. S5 Table indicates the genomic location of the ICEs, IMEs and the
251 antibiotic resistance genes found in them.

252 ICEFinder, Mauve, and NCBI BLAST search (Bit-score = 70088; e-value = 1.020e + 05; ID =
253 98%) analyses supported the hypothesis of an HGT (horizontal gene transfer) event between the
254 genomic regions of *B. fragilis* DCMOUH0085B (position 2993358 to 3044318) and one from
255 *Butyricimonas faecalis* H184 (Accession no. CP032819.1). The two regions also share the genes for
256 antimicrobial resistance, *AADE* (aminoglycoside 6-adenylyltransferase gene), *ermB* [rRNA adenine
257 N-6-methyltransferase gene], (*APH (3') - IIIA* {ANT-like pseudogene (9) ANT-like
258 aminoglycoside (9) aminoglycoside nucleotidyltransferase family gene}. HGT among Bacteroidales
259 species was also reported by Coyne et al. [70] and García-Bayona et al. [71].

260 The results of the Mauve and ICEFinder analyses are in Fig 2 and Table 1.

261

262

263

264 **Fig 2. Insertion elements found in the strains.** Frequency of insertion elements inferred by
 265 MobileElementFinder. The green bar corresponds to the insertion elements with an antimicrobial
 266 resistance gene, the red bar denotes insertion elements without antimicrobial resistance gene, and
 267 the gray bar shows the untransferred plasmid (repUS2).

268

269 **Table 1. Features of the insertion elements.**

Strain	Accession Number	IS Type	IS Family	Position in Contigs	ID (%)
2_1_16	GG705215.1	ISBthe1- <i>nimB</i>	IS4	39206-40521	98.9
320_BFRA	JVLR01000059.1	ISBf8 (Tn4555)- <i>cfxA</i>	IS4	1-1663	99.7
885_BFRA	JUPJ01000031.1	IS4351 (Tn4351)- <i>tetX</i>	IS30	2258-3424	99.9
894_BFRA	JUOZ01000029.1	IS4351 (Tn4351)- <i>tetX</i>	IS30	2258-3424	99.9
915_BFRA	JUOA01000729.1	IS4351 (Tn4351)- <i>tetX</i>	IS30	3147-3947	100
BF8 Consensus_4	LGTH01000004.1	IS4351 (Tn4351)- <i>tetX</i>	IS30	924279-925445	99.9
	LGTH01000004.1	IS4351 (Tn4351)- <i>ermF</i>	IS30	922085-923239	100
BF8 Consensus_1	LGTH01000001.1	IS1168- <i>nimB</i>		612426-612920	100
BF8 Consensus_2	LGTH01000002.1	IS942- <i>cfiA</i>	IS4	1220392-1221141	99.1
CL07T00C01	AGXM01000001.1	IS4351 (Tn4351)- <i>ermF</i>	IS30	582395-583195	100

270

271 A genomic region from the CL03T12C07 strain (position 3350878 to 3378618) is a putative ICE
 272 with T4SS that includes a CtnDOT but its *ermF* gene is unfunctional. CtnDOT is a transposon
 273 widespread among *Bacteroides spp.* and contains the genes for antimicrobial resistance, *ermF*, *tetQ*,
 274 *tetX* (flavin-dependent monooxygenase gene) and *tetX1* (flavin-dependent monooxygenase gene)
 275 [72-74].

276 We also identified in DCMSKEJBY0001B strain a putative IME without identified DR that
 277 harbours CTn341. This conjugative transposon is typical of *B. fragilis* and carries the *tetQ* gene.
 278 CTn341 (or CTn341-like) may also be found in other Bacteroidates, such as *Bacteroides sp.* PHL
 279 2737, *B. xylanisolvens XB1A*, and *Phocaeicola vulgatus VIC01is*. Thus, CTn341 is apparently the
 280 major disseminator of the *tetQ* gene within Bacteroidales (S4 Fig).

281

282 c) Conjugative Transposon

283 Among the conjugative transposons previously described in *Bacteroides* spp., we reported
284 CTnDOT (aforementioned), CTn86, and CTn341.
285 We have identified a CTn86-like (Accession no. AY372755.1) in thirteen ETBF strains (2-F-2#5,
286 3397 N3, 078320-1, 1001285H_161024_D4, AM31-13AC, BFR_KZ09, CL07T00C01,
287 CL07T12C05, CL05T12C13, HMW 615, HMW 616, TL139C_1B, and TL139C_1B2). The *PER*
288 gene, which encodes an ABC permease transporter protein, was excluded from these strains,
289 possibly because of transposon insertion, as described by Franco [13]. CTn86 was also identified in
290 20793-3, 86-5443-2-2, and BOB25 strains [13, 75-76].
291 CTn341-like is in 20656-2-1, AF14-14AC, and AF14-26 strains. It does not have all CTn341 genes
292 and attachment sites (*attL* and *attR*) [77]. It has genes for transfer (*traG*, *traI*, *traJ*, and *traM* genes),
293 integration (*int* gene), mobilization (*mobA*, *mobB*, and *mobC*), and excision (*exc* gene) [78-80],
294 besides *tetQ* (S5 Fig).

295

296 c) Insertion Elements (IS)

297 ISBf5 (IS1182 family) is the insertion element most common in *B. fragilis* genomes. It has 1830 bp
298 and carries one transposase gene [81].

299 ISBf1 (IS21 family) [82] is in some strains, but its *cepA* gene is incomplete in eight strains
300 (885_BFR, AD135F_2B, AF32-10, Am47-7, COR2-248-WT-1, HCK-B3, HAP130N_2B, TM08
301 -15) and absent in AD135F_1B, AD135F_3B, ATCC 25285, and Ds-233 strains. However, they
302 have in their genomes a *cepA* functional with the insertion of IS1224 in their promoter region that
303 increases the *cepA* expression [82-83].

304 Functional resistance genes were identified in ISBthe1 (*nimB* gene, metronidazole), ISBf8 (*cfxA*
305 gene), and IS4351 (*tetX* gene). ISBf8 and IS4351 are within the non-conjugative transposons
306 (Tn4555 and Tn4351, respectively), and for that reason, they cannot spread their genes.

307 Therefore, the spread of genes for antibiotic resistance in *B. fragilis* depends on conjugative
308 transposons, as suggested by Whittle et al. [84], Yan, Hall and Jiang [85], and Johnson and
309 Grossman [23], and of ICE with T4SS, as we observed in our results. IS frequency and its genes for
310 antimicrobial resistance are in Fig 3 and the genomic location of the insertion elements is shown in
311 S6 Table.

312

313 **Fig 3. Comparison between two putative ICEs with T4SS from *Bacteroides fragilis***
314 **DCMOUH0085B and *Butyricimonas faecalis* H184 genomes.** In (a) shown the conserved synteny

315 between these genomic regions; and b) indicates their features in DCMOUH0085B and H184.
316 Antimicrobial resistance genes and their localization in the genomes are indicated in the figure.

317

318 3.5 Analysis of Molecular Evolution

319 a) Molecular Evolution of Antibiotic Resistance Genes

320 Results indicated evidence of positive selection in the *cepA* (beta-lactamase gene) and *tetQ* genes.

321 Codon 88 of the *cepA* gene is under positive selection (FUBAR method; Posterior probability =

322 0.9878). The substitutions found do not contain any putative ribosome-binding sites indicated by

323 Rogers et al. [86].

324 *tetQ* alignment displayed 116 variable sites. FUBAR method inferred three codon sites under

325 positive selection: 35 (posterior probability = 0.9676), 237 (posterior probability = 0.9669), and 426

326 (posterior probability = 0.9623) while MEME suggested episodic positive selection at 354 codon

327 (p-value = 0.003), and 550 (p-value = 0.0464). Comparative analysis with the *tetQ* protein indicated

328 that the four GDP-GTP binding sites are intact, as described by Lépine et al. [65].

329

330 b) Molecular Evolution in Pan-genome

331 At least 396 genes are under positive selection (episodic or pervasive). Most of them encode

332 membrane proteins, such as the adenosine triphosphate (atp)-binding cassette (abc) superfamily

333 (*macB* genes), resistance nodulation-division (*rnD*) family (*macA*, *mdtA*, *mdtE*, and *mexA* genes),

334 and tonb-dependent receptor (*susC* and *susD* genes).

335 ATP-binding and RND proteins are efflux pumps that facilitate the elimination of toxins and are

336 related to antimicrobial resistance phenotypes [87–90].

337 TonB-dependent receptors contribute to the transport and nutrient absorption [90]. Recently, they

338 have been the subject of research for developing vaccines against Gram-negative bacteria because

339 of their cell multiple functions [92–93].

340 Seven paralogous genes of the Colicin I receptor (*cirA* genes) also had several sites under positive

341 selection. These proteins belong to the TonB-dependent copper receptor family (IPR010100) and

342 perform the transport of nutrients across the periplasmic space [94–95]. Colicins in *Escherichia coli*

343 are toxins that act in intraspecific competition [96–97], but their role in *B. fragilis* is unclear.

344 Yoshizaki et al. [90] compared the genomes of 638R, NCTC9343, and YCH46 and found 52 genes

345 under positive selection. The greater diversity used in our work might explain the difference

346 between the results. The results of Hyphy are in S7 Table.

347 **4. Conclusions**

348 HGT is an event of extreme evolutionary relevance for *B. fragilis*. The *tetQ* gene spread was mainly
349 by ICE with T4SS and conjugative transposons, whereas the *cepA* gene was an ancient spread
350 through a mobile element that lost its function. We can describe HGT events not yet reported in
351 previous research. Nonetheless, greater availability of complete genomes is required for the
352 verification and validation of these results. Besides antimicrobial resistance genes, other genes
353 related to efflux pumps facilitating the exit of substances from the cells; transport and absorption of
354 nutrients; and alternative carbon acquisition (DON PUL) might provide adaptive advantages for *B.*
355 *fragilis*. There is no evidence that *EF-Tu*, *dnaK*, or colicin genes are associated with virulence and
356 need further research to clarify their functions in *B. fragilis*.

357

358 **Abbreviation:**

359 AMR: Antimicrobial multidrug resistance

360 BFT: Fragilysin

361 CRISPR: Clustered regularly interspaced short palindromic repeat

362 ETBF: Enterotoxigenic *Bacteroides fragilis*

363 HGT: Horizontal gene transfer

364 ICE: Integrative and conjugative elements

365 IME: Integrative mobilizable elements

366 NTBF: Non-enterotoxigenic *B. fragilis*

367

368 **Supporting information**

369 **S1 Table. *Bacteroides fragilis* strains used in this study.**

370

371 **S2 Table. Pan-genome prediction of 183 *B. fragilis* strains.**

372

373 **S3 Table. Antibiotic resistance genes identified by CARD.**

374

375 **S4 Table. Results obtained by PhiSpy compared to the VFDB database.**

376

377 **S5 Table. Results obtained by ICEFinder compared to NCBI BLAST and CARD databases.**

378

379 **S6 Table. Results obtained by MobileElementFinder.**

380

381 **S7 Table. Results obtained by Hyphy software.**

382

383 **S1 Fig. Pan-genome prediction.**

384

385 **S2 Fig. COG distribution of genes found in the core, accessory and unique genomes.**

386 **S3 Fig. Pan-genome tree indicating the features of each strain.**

387

388 **S4 Fig. Comparison among the CTn341 from DCMSKEJBY0001B, *Phocaeicola vulgatus***

389 **VIC01, *Bacteroides sp.* PHL 2737, and *B. xylanisolvens* XB1A.**

390

391 **S5 Fig. Comparison among the CTn341 from AF14-14AC, AF14-26 and 20656-2-1 strains.**

392

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