- 1 Validation of scRNA-seq by scRT-ddPCR using the example of *ErbB2* in MCF7
- 2 cells
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- 4 Tobias Lange^{1,2,§}, Tobias Groß^{1,2}, Ábris Jeney³, Julia Scherzinger⁴, Elly Sinkala⁴, Christoph
- 5 Niemöller⁴, Stefan Zimmermann¹, Peter Koltay^{1,2}, Felix von Stetten^{1,5}, Roland Zengerle^{1,5},
- 6 Csaba Jeney^{1,2,§}
- 7

8 Affiliations

- 9 ¹Laboratory for MEMS Applications, Department of Microsystems Engineering (IMTEK),
- 10 University of Freiburg, Georges-Köhler-Allee 103, Freiburg 79110, Germany
- ¹¹ ²Actome GmbH, Georges-Köhler-Allee 103, Freiburg 79110, Germany
- ³Faculty of Medicine, University of Freiburg, Breisacher Straße 153, Freiburg 79110,
 Germany
- ⁴CYTENA GmbH, Zollhallenstraße 5, Freiburg 79106, Germany
- ⁵Hahn-Schickard, Georges-Köhler-Allee 103, Freiburg 79110, Germany

16 [§]To whom correspondence should be addressed: <u>csaba.jeney@actome.de</u>, 17 tobics lange@imtalk.upi freiburg.de

- 17 tobias.lange@imtek.uni-freiburg.de
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- 19 Abbreviations

20 CI: confidence interval, cl: crude lysate, CV: coefficient of variance, DE: differential 21 DEG: differentially expressed gene, dPCR: digital expression, PCR, FACS: 22 fluorescence-activated cell sorting, log2FC: log2 of fold change between two conditions, 23 RT-qPCR: reverse transcription quantitative PCR, sc: single-cell, scRNA-seq: single-cell 24 RNA sequencing, scRT-ddPCR: single-cell reverse transcription droplet digital PCR, TPM: 25 Transcripts per kilobase million, UMAP: uniform manifold approximation and projection,

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27 Keywords

28 Down-scaled, dPCR, scRNA-seq, scRT-ddPCR, single cells, SMART-Seq

30 Abstract

31 Single-cell RNA sequencing (scRNA-seq) can unmask transcriptional heterogeneity 32 facilitating the detection of rare subpopulations at unprecedented resolution. In response to 33 challenges related to coverage and quantity of transcriptome analysis, the lack of unbiased 34 and absolutely quantitative validation methods hampers further improvements. Digital PCR 35 (dPCR) represents such a method as we could show that the inherent partitioning enhances 36 molecular detections by increasing effective mRNA concentrations. We developed a scRT-37 ddPCR method and validated it using two breast cancer cell lines, MCF7 and BT-474, and 38 bulk methods. ErbB2, a low-abundant transcript in MCF7 cells, suffers from dropouts in 39 scRNA-seq and thus calculated fold changes are biased. Using our scRT-ddPCR, we could 40 improve the detection of *ErbB2* and based on the absolute counts obtained we could validate 41 the scRNA-seq fold change. We think this workflow is a valuable addition to the single-cell 42 transcriptomic research toolbox and could even become a new standard in fold change 43 validation because of its reliability, ease of use and increased sensitivity.

45 1 Introduction

46 RNA-seq is the method of choice for gene expression analysis. Herein, differential expression 47 (DE) analysis between two conditions is pivotal to answer challenging questions in research 48 and clinical applications. In bulk RNA-seq, population heterogeneity remains covert, whereas 49 scRNA-seq can capture delicate differences between cells [1]. The development of new 50 platforms expresses the growing interest in scRNA-seq [2–6] and allows novel applications, 51 such as unmasking transcriptional heterogeneity in healthy and cancerous tissues by 52 functional clustering [7–9], discovering uncharacterized cell types [10], and identifying 53 phylogenetic relationships between cells [11]. scRNA-seq enables researchers to understand 54 underlying mechanisms of drug resistance development and relapse in disease treatment by 55 the detection of rare subpopulations at unprecedented resolution [5,8,12]. However, the 56 inherent low sample input in scRNA-seq introduces a significant amount of noise, which 57 increases the propensity for dropouts and artificially increases cell-to-cell variability [13,14]. 58 This is especially dramatic with respect to low-abundant transcripts, which are often referred 59 to as highly interesting but difficult to reliably analyze [15–18]. Furthermore, the tremendous 60 variety of platforms and bioinformatics tools has not yet solidified into a consistent pipeline 61 [13,19,20]. Additionally, the protocol impacts results, as plate-based Smart-seq2 [21,22] 62 proved to be more sensitive, especially regarding low-abundant transcripts compared to the 63 droplet-based Chromium system from 10X Genomics [23,24].

64 Thus, DE analysis from scRNA-seq must be independently confirmed by single-cell PCR 65 [25]. Several scRT-qPCR workflows have been described [26–30] as well as a few scRT-ddPCR workflows [31–33]. The majority of these workflows use fluorescence-activated 66 67 cell sorting (FACS) for single-cell isolation [27,28,30,31,34], while other studies use 68 microfluidic devices [32,33], micromanipulators [26] or manual cell picking [29]. Despite its 69 widespread use, single-cell isolation with FACS requires high sample input and the inherent 70 shear forces can damage the cells and impair RNA integrity [34,35]. Furthermore, qPCR is 71 less sensitive and more susceptible to inhibitors compared to dPCR [17,36], while the 72 detection mechanism of dPCR allows absolute quantification without reference [37]. 73 Particularly, the lower sensitivity of qPCR hampers its use for challenging, single-cell mRNA 74 quantification with a focus on low-abundant transcripts.

75 Therefore, we here propose a novel method for the validation of fold changes from scRNA-76 seq. Our scRT-ddPCR method combines gentle (ensuring high cell viability ~ 80 %) and 77 highly reliable (~90% single cell isolation efficiency) single cell isolation using the 78 F.SIGHT[™] single-cell dispenser (CYTENA GmbH, Freiburg) [38] and contact-free liquid 79 handling (I.DOT, Dispendix, Stuttgart) with highly sensitive dPCR [36]. The F.SIGHTTM 80 requires minimal sample input (down to 5000 cells in 5 µl) and its image-based analysis 81 ensures single-cell isolation and delivers an image proof of each dispensing event, which can 82 be unambiguously assigned to the addressed well of the microplate. Through partitioning, 83 dPCR can reliably detect single molecules and enables absolute quantification [17,32,36]. The

84 latter characteristic is key to inter-experimental comparisons as absolute counts are 85 independent of any standard and depict the ground truth. For the validation of our scRTddPCR, we used two breast cancer cell lines, MCF7 and BT-474, the latter overexpresses 86 87 ErbB2 [39-41]. We found high concordance between mRNA counts from scRT-ddPCR and 88 bulk RT-ddPCR methods. Interestingly, ErbB2 log2FCs were significantly different between 89 scRNA-seq and scRT-ddPCR. We assume that the inherent partitioning of dPCR increases 90 sensitivity and resolution, and thus allows us to confirm or reject fold changes from 91 scRNA-seq.

93 2 Materials and methods

94 2.1 Cells and cell culture

MCF7 (ATCC[®] HTB-22TM) and BT-474 (ATCC[®] HTB-20TM) cells were obtained from the 95 BIOSS Centre for Biological Signaling Studies (Freiburg, Germany). MCF7 cells were 96 cultured in DMEM, GlutaMAXTM Supplement (31966021, GibcoTM) and BT-474 cells were 97 cultured in DMEM/F12, GlutaMAXTM Supplement (31331028, GibcoTM) in NuncTM 98 EasYFlaskTM Cell Culture Flasks (156340, Thermo ScientificTM). Both media were 99 supplemented with 10 % FBS (10270106, GibcoTM) and 1 % Pen/Strep (15140122, GibcoTM). 100 Cells were cultured until ~90 % conflueny in a cell culture incubator (Heracell™ 150i CO₂ 101 Incubator, 50116048, Thermo ScientificTM) under a 5 % CO₂ atmosphere at 37 °C. Cells were 102 harvested with 1X TrypLETM Express Enzyme (12604021, GibcoTM). Trypsin activity was 103 quenched by addition of medium. The cells were washed twice with DPBS (14040133, 104 GibcoTM) and counted (Countess[®] II Automated Cell Counter, InvitrogenTM) including 105 live/dead staining with trypan blue (T10282, InvitrogenTM). 106

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2.2 Total RNA isolation and bulk cell lysis

Total RNA was isolated from 1×10^6 MCF7 and 1×10^6 BT-474 cells ('bulk') using the RNeasy 109 110 Mini Kit (74104, Oiagen) in combination with the OIAshredder (79654, Oiagen) for lysate 111 homogenization according to manufacturer's instructions. Simultaneously, total RNA was 112 isolated using the Quick-DNA/RNA Microprep Plus Kit (D7005, Zymo Research) with an 113 upfront proteinase K digest and on-column DNase I digest. RNA concentration (Tab S3) was 114 measured with the NanoDropTM One (Thermo ScientificTM). RNA integrity was checked on a 115 1.2 % native agarose gel (2267.1, Roth) using 1X TBE buffer (3061.1, Roth) (Fig S2c). 1 µg 116 total RNA was combined with 1X DNA Orange Loading Dye (R0631, Thermo ScientificTM) 117 and 60 to 75 % formamide (6749.3, Roth) and heated to 65 °C for 5 min before loading. RNA 118 was visualized with 1X GelRed® Nucleic Acid Stain (SCT123, Milipore). Total RNA was 119 diluted 1:20, 1:50, 1:100 and 1:1000 with PBS for MCF7 cells and 1:50, 1:100, 1:1000 and 120 1:10000 with PBS for BT-474 cells. Each sample of the dilution series was analyzed 121 regarding ErbB2 and ACTB mRNA counts in triplicates using dPCR (2.5 Droplet digital 122 PCR). The absolute gene mRNA counts per single cell were calculated by dividing the 123 detected number of mRNAs with the number of cells (with respect to the dilution factor). Crude lysates ('cl') from 1x10⁶ MCF7 and 1x10⁶ BT-474 cells were prepared using 500 µl 124

LBTW (lysis buffer from PICO Amplification Core (AMC) Kit, PICO-000010, Actome) proprietary buffer of Actome GmbH). The samples were incubated on ice for 5 min, sonicated for 1 min and cell debris were removed by centrifugation at 14000 xg at 4 °C for 10 min. The lysate was diluted with 49.5 ml DPBS (100X dilution), resulting in 20 cell equivalents per µl. 129 Thus, dispensation of 50 nl in to the ddPCR master mix using the I.DOT (2.3 Liquid 130 dispensation using I.DOT) resulted in an equivalent amount of material to a single cell.

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132 2.3 Liquid dispensation using I.DOT

133 The Immediate Drop-on-demand Technology (I.DOT One; Dispendix, Stuttgart, 134 Germany) [42,43] with LDOT PURE plates 90 µm orifice (Dispendix, Stuttgart, Germany) 135 was used to dispense 0.5 µl LBTW into a 384-well V-bottom plate (0030623304, 136 Eppendorf[®]) for single-cell dispensation (2.4 Single-cell dispensation using F.SIGHT[™]). The 137 reduced volumes for down-scaled SMART-Seq® (2.6.1 cDNA synthesis using SMART-138 Seq® Single Cell Kit) and down-scaled library preparation (2.6.2 Library preparation using 139 Nextera XT and sequencing) were dispensed using the I.DOT. Prior to dispensation, the 140 I.DOT was calibrated for the applied liquids to ensure reliable dispensation.

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142 2.4 Single-cell dispensation using F.SIGHT[™]

143 The single cell dispensing procedure was performed as described earlier [38,44,45]. The F.SIGHTTM single-cell dispenser (CYTENA GmbH, Freiburg, Germany) is an improved 144 145 version of the single-cell printer (SCP) [38]. Both MCF7 and BT-474 cell concentrations were 146 adjusted to 1x10⁶ cells/ml and loaded into a Dispensing Cartridge (CYTENA GmbH, 147 Freiburg, Germany). The settings for MCF7 cells were 10 to 25 µm cells size (BT-474: 10 to 30 μ m) and 0.5 to 1 roundness (same for BT-474) (Fig 1a, S3a and S3b). The F.SIGHTTM 148 149 can reliably dispense single cells in minimal liquid volumes [45] within a short period of time 150 (96 single cells per approx. 10 min). The single-cell dispensation efficiency (fraction of 151 successful single-cell isolation events from targeted single-cell isolation events) is usually 152 around 90 % [38], and is additionally controlled by cell images unambiguously assigned to 153 each dispensation event (Fig 1a). Thus, other than single-cell dispensation events like 154 multiple cells per droplet or empty droplets can be excluded.

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156 2.5 Droplet digital PCR

ErbB2 and *ACTB* mRNAs were analyzed using the naica[®] Crystal Digital PCR System (Stilla
Technologies, Villejuif, France) [46]. Master mix was prepared as follows: 11.5 μl qScript
XLT 1-Step RT-qPCR ToughMix (2X) (95132, Quantabio), 1.15 μl TaqMan Assay
Hs01001580_m1 (20X) (ErbB2) (4331182, Applied BiosystemsTM), 1.15 μl TaqMan Assay
Hs01060665_g1 (20X) (ACTB) (4448489, Applied BiosystemsTM), 0.23 μl fluorescein
(100X, prepared according to "Fluorescein preparation for naica[®] system" from Stilla
Technologies) (0681-100G, VWR Chemicals), 1 μl of diluted RNA sample (or a single cell),

164 ad 23 μ l H₂O. After thorough mixing, 20 μ l of the reaction mix were transferred bubble-free 165 to the chambers of the Sapphire Chips (Stilla Technologies, Villejuif, France). The dPCR 166 conditions of the Geode cycler were: partitioning of the reaction mix, cDNA synthesis (50 °C, 167 10 min), initial denaturation (95 °C, 1 min); followed by 45 cycles of denaturation (95 °C, 6 s), annealing and extension (60 $^{\circ}$ C, 45 s) and finally the pressure was released. The chips 168 169 were transferred to the Prism3 reader and imaged using exposure times: 65 ms and 150 ms for 170 FAM and HEX channel (82 mm focus). Afterwards, droplet quality was manually controlled 171 and in case of poor quality, e.g. coalescence or air bubbles, the respective areas were excluded 172 from further analysis. All NTCs were negative (data not shown). The average droplet volume using 1X qScript XLT 1-Step RT-qPCR ToughMix is 0.548 nl. Hence, the corresponding 173 174 analysis configuration file was used for quantification (User Manual v2.1 of the Crystal Miner 175 Software, Stilla Technologies, 2018). We comply with the dMIQE guidelines [47,48] and 176 report all essential information (Tab S7).

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178 2.6 Down-scaled single-cell RNA sequencing

179 2.6.1 cDNA synthesis using SMART-Seq® Single Cell Kit

180 For cDNA synthesis the SMART-Seq® Single Cell Kit (634472, Takara BIO) at 1/10 of the 181 original reaction volume was used (down-scaled SMART-Seq® workflow). Briefly, 1.15 µl 182 of the lysis buffer (0.1 µl Reaction Buffer, 0.1 µl 3' SMART-Seq CDS Primer II A and 183 0.95 µl dH₂O) were dispensed in skirted 384-well PCR plates (4ti-0384/X, 4titude) using the I.DOT. Subsequently, single cells (84 cells per cell line) and NTCs (empty droplets) were 184 dispensed into the lysis buffer. The plates were sealed (AB0558, Thermo ScientificTM) and 185 snap-frozen at -80 °C until further processing. After thawing, primers were annealed in a 186 C1000 TouchTM Thermal Cycler (Bio-Rad Laboratories, Hercules, CA, USA) at 72 °C for 187 188 3 min. Afterwards, 0.75 µl of RT Master Mix (0.4 µl SMART-Seq sc First Strand Buffer, 189 0.1 µl SMART-Seq sc TSO, 0.05 µl RNase Inhibitor and 0.2 µl SMARTScribe II Reverse Transcriptase) were added to each well using the I.DOT. The plate was processed in the 190 191 C1000 Thermal Cycler for cDNA synthesis at 42 °C for 180 min, 70 °C for 10 min and 4 °C 192 hold. Next, 3 µl PCR Master Mix (2.5 µl SeqAmpCB PCR Buffer (2X), 0.1 µl PCR Primer, 193 $0.1 \,\mu$ l SeqAmp DNA Polymerase and $0.3 \,\mu$ l dH₂O) were added to each well and cDNA was 194 amplified (95 °C for 1 min, 19 cycles: 98 °C for 10 sec, 65 °C for 30 sec, and 68 °C for 3 min; 195 72 °C for 10 min and 4 °C hold). Purification of cDNA was performed manually using 9 µl of 196 AMPure XP bead suspension (A63880, Beckman Coulter) per well according to 197 manufacturer's instructions. In brief, beads and cDNA were incubated for 8 min at room 198 temperature. The beads were separated using conventional neodym magnets for 5 min and 199 beads were washed with 30 µl 80 % ethanol for 30 sec. Afterwards, the beads were 200 resuspended in 17 µl 10 mM Tris-HCl and incubated for 8 min at room temperature. The

beads were separated using magnetic separation for 5 min. 15 μ l supernatant of each well were transferred to a fresh 384-well plate. The cDNA quantity was determined with the Quant-iTTM PicoGreenTM dsDNA Assay Kit (P7589, InvitrogenTM) in 384-well plates (4ti-0203, 4titude). Fluorescent intensities were measured using the Spark 10M Microplate Reader (Tecan, Männedorf, Switzerland). cDNA quality was determined with Agilent's 2100 Bioanalyzer using the High Sensitivity DNA Kit (5067-4626, Agilent) according to manufacturer's instructions (representative images in **Fig 1b**).

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2.6.2 Library preparation using Nextera XT and sequencing

210 Prior to tagmentation cDNA was normalized to $0.2 \text{ ng/}\mu\text{l}$. Tagmentation was performed using 211 the Nextera XT DNA Library Preparation Kit (FC-131-1024, Illumina) at 10-fold 212 down-scaled reaction volumes. 1 µl of Tagment DNA Buffer and 0.5 µl Amplicon Tagment 213 Mix were added to each well using the I.DOT. The amplified and purified cDNA was 214 tagmented for 8 min at 55 °C in a C1000 Thermal Cycler. Transposase activity was quenched 215 by addition of $0.5 \,\mu$ l Neutralize Tagment Buffer using the I.DOT (< 1 min) and incubation at 216 room temperature for 5 min. MCF7 and BT-474 libraries were independently amplified with 217 index primers N7xx and S5xx of Nextera XT Index Kit v2 Set A (FC-131-2001, Illumina) and 218 Nextera XT Index Kit v2 Set B (FC-131-2002, Illumina). 1.5 µl Nextera PCR Master Mix and 219 0.5 µl of a unique combination of primers were added to each well using the I.DOT and 220 tagmented cDNA was amplified in a C1000 Thermal Cycler (72 °C for 3 min, 95 °C for 30 sec, 12 cycles: 95 °C for 10 sec, 55 °C for 30 sec, and 72 °C for 30 sec; 72 °C for 5 min 221 222 and 10 °C hold). The cDNA libraries for MCF7 and BT-474 were pooled separately (total 223 volume ~ $420 \,\mu$), and purified according to the previously mentioned bead clean-up 224 procedure (using 0.6 to 1-fold AMPure XP bead suspension, A63880, Beckman Coulter), 225 except that after removal of ethanol, 75 µl of resuspension buffer were added and incubated 226 for 3 min. 73 µl of eluted library were transferred to a fresh tube. The quality of tagmented 227 libraries was determined using the High Sensitivity DNA Kit on Agilent's 2100 Bioanalyzer 228 (representative images in **Fig 1c**). The pooled library was sequenced on the NextSeq 500 229 System (Illumina, San Diego, CA, USA) using the High-Output v2.5 Kit (20024906, 230 Illumina) with 75 bp single-end reads.

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2.6.3 Bioinformatics data analysis

FASTQ files were generated with bcl2fastq v2.20 ("--no-lane-splitting" flag). Sample quality was assessed with FASTQC v0.11.9 (exemplary images: **Fig S1**). The aligners, salmon v1.3.0 [49], kallisto v0.46.1 [50] and STAR 2.7.5c [51], were wrapped into bash scripts and the FASTQ files were separately aligned aligned to the GRCh38 cDNA reference transcriptome from Ensembl using salmon ("--validateMappings" flag) or kallisto as well as to the 238 GRCh38.p13 genome with STAR in solo mode. As recommended for salmon and kallisto, the 239 mean read length and the standard deviation were calculated for each file. For STAR aligner, 240 a genome index was calculated. The outputs were analyzed in Jupyter Notebooks [52] 241 (jupyter core v4.7.0, jupyter-notebook v6.1.6) using R version 4.1.2. Transcript abundances 242 (TPM) and count estimates were imported with the tximeta [53] package for salmon and 243 tximport [54] for kallisto and summarized to genes using the summarizeToGene() statement. 244 STAR alignment files were counted with featureCounts v2.0.3 [55] and the count matrices 245 were directly imported. Cells with an alignment efficiency below 80 % were filtered out 246 (salmon and kallisto) (Fig 1d and Tab S1). Cells with less than 60 % of uniquely mapped 247 reads were filtered out (STAR) (Fig 1e and Tab S1). In both cases cells with at least 1E+5 248 detected reads were considered. Pseudo-bulk differential expression analysis was performed 249 with DESeq2 v1.32.0 [56] on count matrices using LRT testing and suggested parameters for 250 single-cell testing. In order to evaluate transcriptional similarity between cells assayed using 251 our down-scaled SMART-Seq® (salmon aligner only) and published data (GSE151334) [4], 252 the datasets were concatenated into a single AnnData object and imported into SCANPY 253 (v1.8.1) [57]. Cells with fewer than 200 genes expressed and genes expressed in less than 254 three cells were excluded from further analysis. Counts per cell were normalized with 255 SCANPY's built-in normalization method and log-transformed according to the standard 256 workflow recommended in the SCANPY documentation. Batch-correction was performed 257 with BBKNN [58]. Dimensionality reduction was performed with SCANPY's built-in 258 UMAP-function (uniform manifold approximation and projection). Scripts for the here 259 described analysis are available from github.com/LangeTo/scRNA-seq_scripts.

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261 2.7 Statistical analysis

262 Groups were initially tested for normal distribution (Shapiro-Wilk test) and for 263 homoscedasticity (F-test) upon which information a suitable test was chosen (if not stated 264 differently): Mann-Whitney test (normal distribution rejected), Welch's t-test (normal 265 distribution, heteroscedasticity) or Student's t-test (normal distribution, homoscedasticity). 266 Distributions were compared using the Kolmogorov-Smirnov test. Bonferroni correction was 267 applied for multiple testing corrections. Significance levels are indicated as follows: 268 *** p < 0.001, ** p < 0.01, * p < 0.05, ns p > 0.05. Boxplots indicate the inner quartiles of the 269 data (25 % to 75 %). Whiskers show 1.5xIQR (interquartile range). The median is drawn as a 270 horizontal line. The mean is represented by a square. Individual data points are shown as dots. 271 All plots and statistical analyses were performed with OriginPro 2021 (OriginLab 272 Corporation).

273

274 2.8 Bootstrapping comparison

275 To compare fold changes between two methods (a: scRNA-seq and b: scRT-ddPCR), we used 276 a bootstrapping comparison because regular statistical tests suffer from p-value inflation after 277 repetitive bootstrapping. The algorithm is described in Fig S4 based on the ratio r_g (Eq 1). In 278 brief, four arrays of expression values are needed (one per cell line and per method). A subset 279 of each initial expression array is randomly subsampled with replacement (same length as 280 initial array). The log2FCs of the means of these expression arrays are calculated per method. 281 Then the ratio r_g of these log2FCs is determined (Eq 1). Subsampling and ratio calculation is 282 repeated 1,000 times. Finally, mean and 95 % confidence intervals (CI) of the new array r_g is 283 determined. If the 95 % CI overlaps with 1, the methods are assumed to yield the same fold 284 change.

$$r_{g} = \frac{\log_{2} \left(\frac{\overline{gene x_{MCF7}}}{\overline{gene x_{BT-474}}}\right)_{a}}{\log_{2} \left(\frac{\overline{gene x_{MCF7}}}{\overline{gene x_{BT-474}}}\right)_{b}}$$
(1)

286 3 Results

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3.1 Down-scaling of SMART-Seq®

288 It is hypothesized that down-scaling of reaction volumes improves sensitivity [59], 289 while conserving data quality [4,60-62]. This idea follows the concept of dPCR [36], where 290 down-scaling (by partitioning) is an inherent feature, which enhances molecular detections by 291 increasing the effective concentration of nucleic acids. Thus, we down-scaled our scRNA-seq 292 reaction volumes to yield the most precise log2FCs. We used the F.SIGHT[™] (CYTENA 293 GmbH, Freiburg) for single cell isolation and the I.DOT (Dispendix, Stuttgart) for contact-294 free liquid handling. The F.SIGHTTM uses a microfluidic chip generating free-flying, 295 picoliter-sized droplets in which single cells are encapsulated and delivered to the microplate 296 [34,35,38]. Image-based analysis intercepts a permanent vacuum suction when single cells of 297 the desired morphological criteria are detected in the nozzle. High precision is ensured by 298 automatic dispenser offset compensation (AOC) enabling single-cell deposition into few 299 hundred nanoliters in 384-well plates [45]. Simultaneously, the F.SIGHTTM records an image 300 series for each dispensation event, which can be unambiguously assigned to the addressed 301 well of the microplate [38]. Based on the images, the cells can be qualitatively stratified 302 according to roundness and size from a heterogeneous population of particles (Fig 1a, only 303 colored dots are dispensed cells, the grey dots are either artefacts or cells that could not be 304 isolated) resulting in a homogeneous cell population (Fig 1a, boxplots at the edges). We 305 manually analyzed all images from putative single cells (84 cells per cell line) and found that 306 7 % (MCF7) and 4 % (BT-474) were doublets or empty droplets (**Tab S1**). The cells were 307 directly dispensed into the lysis buffer and processed by down-scaled SMART-Seq® and 308 down-scaled Nextera XT protocols (2.6.1 cDNA synthesis using SMART-Seq® Single Cell 309 Kit and 2.6.2 Library preparation using Nextera XT and sequencing). The average fragment 310 length for tagmented cDNA was 459 bp and 432 bp for MCF7 and BT-474 cells, respectively. 311 According to Jaeger et al. [62], this is an indication for good quality, tagmented cDNA. 312 Representative electropherograms of cDNA and tagmented cDNA are shown in Fig 1b and 313 1c. FastQC analysis revealed an average Phred score of above 30 for both cell lines (Fig S1). 314 We analyzed sequencing data using three common aligners: salmon [49], kallisto [50] and 315 STAR [51]. Based on alignment efficiency ≥ 80 % (salmon and kallisto) or fraction of 316 uniquely mapped reads \geq 60 % (STAR), cells of poor quality were excluded from downstream 317 analysis (Fig 1d and 1e and Tab S1). Further on, cells with less than 1E+5 reads were also 318 excluded from analysis (Fig 1f and Tab S1). All aligners yielded the same number of genes 319 per cell. 11676 genes per single MCF7 cell and 11682 genes per single BT-474 cell were 320 detected (Fig 1g). We also clustered our data with external data from Isakova *et al.* [4], who 321 used 10-fold down-scaled Smart-seq2 protocol. The clusters of MCF7 cells exactly overlap, 322 while other cells formed independent clusters like the reference cell lines HEK293T and 323 fibroblasts (Fig 2a). We performed pseudo-bulk DE analysis with different input to DESeq2

324 (salmon, kallisto or STAR aligner count matrices) (Fig 2c, 2d and Tab S2). Interestingly,

- salmon and kallisto predict the highly significant overexpression of *OLFML3*, *RAMP3* and
- 326 VWA5A (only salmon), which we could not observe with STAR aligner (Fig 2c). To our
- 327 knowledge there is no supporting evidence for this overexpression in MCF7 cells in literature.
- 328 Furthermore, STAR aligner input to DESeq2 predicts clearly more DEGs in MCF7 than the
- 329 other two aligners (**Fig 2d**). We found that *ErbB2* is significantly overexpressed in BT-474
- cells as previously described [39], while *ACTB* as a housekeeping gene is not significantly
- different between the cell lines (Fig 2c and Tab S2). These findings are consistent across all
 aligners. Additionally, we evaluated the expression of two marker genes for MCF7 cells,
- *KRT8* and *TFF1* [4]. *TFF1* is overexpressed in MCF7 cells, while *KRT8* shows differential
- expression only with STAR aligner input to DESeq2 (**Fig 2c** and **Tab S2**). This underlines
- furthermore the dissimilarity of the aligners used.
- 336

337 3.2 Validation of scRT-ddPCR using bulk methods

338 For scRT-ddPCR, we isolated cells on the same day and from the same culture as in the case 339 of down-scaled SMART-Seq® using F.SIGTH[™] and I.DOT except that the cells were 340 dispensed into LBTW (Fig S3a and S3b). After lysis, the gene mRNA per cell counts were 341 determined directly form the lysate using digital PCR. First, we investigated varied volumes 342 of LBTW lysis buffer, as the carry-over of detergents may impair droplet formation or reverse 343 transcription and thus PCR efficiency [31,63]. The results indicate that as the volume of lysis 344 buffer increases, the number of formed droplets decreases (Fig 3a) due to increased areas of 345 coalescence (Fig S2a). The use of $0.5 \,\mu$ l LBTW produces no areas of coalescence and the 346 number of droplets is not significantly reduced, despite a drop of ~ 18 % in total droplet 347 number (Fig 3a). Similarly, we could not detected a significant difference between the *ErbB2* 348 and ACTB mRNA concentrations upon different volumes of lysis buffer (Fig S2b). Thus, we 349 used 0.5 µl lysis buffer in subsequent experiments. Of note, at low target concentrations the 350 subsampling error becomes significant [36] due to the loss of mRNAs in the non-partitioned 351 (dead) volume (~34 % according to manufacturer's information). We reduced the loaded 352 master mix volume without performance effects (data not shown) to minimize the loss of 353 transcripts (~18 % dead volume). The well-documented differential expression of *ErbB2* in 354 MCF7 and BT-474 cells [39–41] was taken advantage to demonstrate the ability of our scRT-355 ddPCR for absolute quantification. We could observe expression an of 356 9 ErbB2 mRNA/MCF7 cell (79 % CV), while BT-474 cells expressed a ~50-fold higher 357 amount (453 ErbB2 mRNA/BT-474 cell (42 % CV)) (Fig 3b and Tab S5). Durst et al. could 358 observe the same fold difference [39]. On the other hand, we could not detect a significant 359 difference in ACTB expression between the cell lines (66 ACTB mRNA/MCF7 cell with 360 44 % CV and 114 ACTB mRNA/BT-474 cell with 70 % CV, p > 0.05, Mann-Whitney test 361 with Bonferroni correction; Fig 3b and Tab S5). The F.SIGHTTM records morphological 362 details of each dispensed cell but we could not detect any correlation between cell size and 363 number of mRNAs per singe cell (Fig S3c and S3d). These mRNA counts could be biased by 364 incomplete lysis of the single cell. To verify these mRNA counts, we checked the ability of 365 the lysis buffer (LBTW) to exert full dispersion of cell material prior to compartmentalization. 366 Thus, we used two commercially available methods for total RNA isolation, for which we 367 assume a 100 % isolation efficiency ('bulk'). The two methods differ in sample preparation 368 (DNase I digest vs. no digest and enzymatic lysate homogenization vs. mechanical lysate 369 homogenization), buffers and handling in general, but resulted in the same amount of ErbB2 370 or ACTB mRNAs per cell (Fig S2d). Of note, the RNA quality differs between the two 371 methods (Fig S2c and Tab S3). Similarly, a single-cell volume equivalent from a crude lysate 372 ('cl') cells was dispensed into the dPCR mix and ErbB2 and ACTB counts per single cell were 373 determined to validate that the lysis conditions have no effect on dPCR or the detection of the 374 transcripts. Comparing these extraction methods ('sc', 'bulk', 'cl') for BT-474 cells yields no 375 significant differences for both genes *ErbB2* or *ACTB* (Fig 3b). Also *ErbB2* counts in MCF7

376 cells are not significantly different between extraction methods. However, ACTB counts from 377 'bulk' are significantly higher than from 'sc' or 'cl' (p < 0.001, Mann-Whitney test with 378 Bonferroni correction). Although, ACTB is considered to be a housekeeping gene, its 379 variability due to possible uncontrolled conditions is already described [64]. In this given case 380 we assume, the variability might be related to the different passage numbers (Tab S4) or to a 381 different confluency state of the cell culture. Overall, the results of absolute gene mRNA 382 counts of total bulk RNA isolation methods and similarly the results of the crude lysates 383 confirm unbiased, quantitative transcript detection by our scRT-ddPCR method (Fig 3b). The 384 larger CVs of single-cell data compared to 'bulk' and 'cl' (Tab S5) are expected and 385 considered to recapitulate expression variability.

387 3.3 Comparison of down-scaled SMART-Seq® and scRT-ddPCR

388 Conclusions made solely on the basis of scRNA-seq might be biased because of noise and 389 dropouts and thus need confirmation by PCR means [25]. Because of increased sensitivity, 390 absolute quantification and higher tolerance towards inhibitors [17,32,36,37], we chose 391 scRT-ddPCR for unbiased validation of scRNA-seq data. Furthermore, dPCR provides an 392 orthogonal validation as mRNAs are non-competitively but simultaneously transcribed into 393 cDNAs (partitioning). Thus, the detection events are independent, while in scRNA-seq 394 multiple mRNAs are reverse transcribed in a bulk reaction resulting in competitions and 395 increased propensity for dropouts. Further, we sought to minimize biological and technical 396 variability between the methods by using cells from the same population and the same high 397 precision instrumentation regarding single-cell isolation and liquid handling. We constructed 398 signal distributions of *ErbB2* and *ACTB* expression in MCF7 and BT-474 cells using TPM 399 values from salmon and kallisto, raw counts from STAR aligner or absolute gene mRNA 400 counts per cell from scRT-ddPCR and normalized them to the maximum value per dataset 401 (based on values from Fig 2b and 3b). For *ErbB2* expression in MCF7 cells, we found for 402 scRNA-seq a typical zero-inflation for low abundant targets (~ 80 % of cells in the first bin; 403 Fig 4a, strongly skewed distributions Tab S6) [13,14,65]. We observed this behavior also for 404 already published down-scaled Smart-seq2 data from Isakova et al. [4]. However, this 405 distribution differs from our scRNA-seq pipelines (Kolmogorov-Smirnov test with Bonferroni 406 multiple testing correction). For the *ErbB2* signal distribution from MCF7 scRT-ddPCR data, 407 we observed a significantly different shape as we could not observe an accumulation of cells 408 in a bin of the histogram and the skewness is much lower (Tab S6). For high-abundant 409 transcripts such as *ErbB2* in BT-474 cells, we could detect differences between the alignment 410 tools especially between salmon and kallisto, and STAR. This difference might be justified by 411 the missing normalization of raw counts from STAR aligner or by using the genome as 412 alignment reference. However, ACTB signal distributions show no such behavior, but data 413 from Isakova *et al.* are strikingly different compared to all our approaches (Fig 4b). Based on 414 the expression values (Fig 2b and Fig 3b), we calculated log2FCs (MCF7 vs. BT-474) (Fig 415 **4c**). Additionally, we bootstrapped and down-sampled the scRNA-seq groups to the same 416 sample size as the scRT-ddPCR group to eliminate subsampling errors (**Fig 4c**, shaded bars). 417 The so calculated log2FCs do not differ from the log2FCs calculated by DESeq2 (blue and 418 green arrows; Fig 2c, 4c and Tab S2). All ACTB log2FCs from scRNA-seq and scRT-ddPCR 419 fluctuate within 0 ± 1 , which is the null hypothesis of DESeq2 [56], meaning that there is no 420 differential expression between cell lines (Fig 4c). The fluctuation probably depicts statistical 421 noise. To compare log2FCs between methods, we bootstrapped their ratio and calculated 422 95 % CIs. If these 95 % CIs overlap with 1, the methods are assumed to determine the same 423 log2FC (Eq 1 and Fig S4). Log2FCs from both, scRNA-seq (with salmon, kallisto or STAR 424 aligner) and scRT-ddPCR, confirm the overexpression of *ErbB2* in BT-474 cells, although to 425 a significantly different extent, while we could not detect any difference between the log2FCs

426 from the different aligners (Fig 4c). scRT-ddPCR predicts significantly stronger

- 427 overexpression of *ErbB2* in BT-474 cells. This can potentially be explained by the biased
- 428 detection of *ErbB2* expression in MCF7 cells by scRNA-seq (Fig 4a).

429 4 Discussion

430 In this study, we present a novel, orthogonal method, scRT-ddPCR, for the validation of 431 scRNA-seq fold changes. Durst et al. found that absolute quantification is the most reliable 432 approach for single-cell analysis [39], which is the key feature of dPCR and delivers a ground 433 truth that facilitates inter-experimental comparisons as it is detached from any standard. This 434 is achieved by the inherent partitioning of dPCR, which further allows spatially separated but 435 simultaneous reverse transcription of mRNAs. This potentially improves cDNA capture 436 through enrichment. Thus, for low-abundant transcripts, which are often referred to as highly 437 interesting but difficult to reliably analyze [15–18], dPCR might therefore be of great 438 advantage.

439 First, we aimed to enhance molecular detections for SMART-Seq® by down-scaling, which is 440 frequently applied to scRNA-seq protocols to increase throughput, reduce costs, and increase 441 sensitivity, while maintaining data quality [4,59–62]. We demonstrated that our down-scaled 442 SMART-Seq® protocol using F.SIGHT[™] and I.DOT delivers high quality data (Fig 1, S1 443 and Tab S1). We validated our method by comparative UMAP-clustering against published, 444 down-scalded data [4] and found excellent conformity (Fig 2a). Compared to 3'-counting 445 methods such as the Chromium system [24], full-length protocols such as SMART-Seq® 446 have already demonstrated better coverage of low-abundant transcripts [3,23], but are still not 447 sensitive enough to detect low-abundant *ErbB2* mRNA in MCF7 cells as we show (Fig 4a). 448 We relate these dropouts to the simultaneous reverse transcription of multiple 449 poly(A)-mRNAs into cDNAs. Thus, our data support previous findings of dropouts in 450 scRNA-seq [13,14].

451 Secondly, we successfully validated our scRT-ddPCR method (Fig 3 and S2), which 452 underlines that scRT-ddPCR can serve as a ground truth. We could detect *ErbB2* expression 453 in MCF7 cells without dropouts (Fig 4a) potentially because of the inherent partitioning step 454 in dPCR, which increases the effective mRNA concentration [36]. ErbB2 expression in BT-455 474 cells and ACTB expression in MCF7 and BT-474 cells could be similarly detected (Fig 456 **3b**, **4a** and **4b**). Thus, our proposed scRT-ddPCR method can reliably and absolutely quantify 457 low- and high-abundant transcripts offering a solution for fold change validation. However, a 458 drawback of dPCRs is the degree of multiplexing, which limits the genes to analyze. At the 459 time we conducted the experiments, the highest degree of multiplexing was three colors [46]. 460 Recent developments in dPCR instrumentation allow five (QIAcuity, Qiagen) or six (Prims6, 461 Stilla Technlogies) color detection. Most of the existing approaches for scRNA-seq validation 462 use qPCR [26–30], but also these cyclers do not offer higher degree of multiplexing than six. 463 Alternatively, approaches of monochrome multiplexing, such as photo bleaching, could be 464 used to extend the degree of multiplexing beyond hardware limitations [66,67]. 465 Finally, we compared log2FCs from scRNA-seq and scRT-ddPCR and found that ACTB

466 log2FCs from scRNA-seq were not different from scRT-ddPCR log2FCs (Fig 4c). In both
467 cell lines, *ACTB* expression has a good signal distribution for scRT-ddPCR and all aligners

468 used in scRNA-seq (Fig 4b and Tab S6). Strikingly, the signal distribution obtained from 469 published data shows a much stronger skewness (Fig 4b and Tab S6), which could be an 470 indication that our down-scaled protocol using F.SIGHTTM and I.DOT performs better than 471 existing down-scaled versions. While *ErbB2* fold changes are consistent among the aligners 472 used, we found a significant difference between scRNA-seq and scRT-ddPCR (Fig 4c). We 473 hypothesize that these differences originate from the heavily skewed signal distributions 474 (skewness \approx 3) of *ErbB2* in MCF7 cells, which indicate dropouts (Fig 4a and Tab S6). 475 However, scRNA-seq and scRT-ddPCR use different priming strategies for cDNA synthesis 476 [68] and in scRNA-seq protocols more PCR steps are included (i.e. cDNA amplification, 477 tagmented library amplification, bridge amplification), which potentiate biases and promote 478 dropouts. Biases in scRNA-seq could also originate from the bioinformatics tools used as we 479 observe that some genes are predicted to be overexpressed with some aligners (Fig 2c and 2d) 480 and that overexpression is not consistent across the aligners (Tab S2).

481 In this study, we only evaluate the impact of dropouts on individual fold changes but we 482 assume that this has far-reaching implications on DE analyses and their conclusions, 483 especially since this is not an issue limited to scRNA-seq but also exists in conventional 484 RNA-seq [69–71]. However, it is pronounce in scRNA-seq because of low sample input 485 [13,14]. In concordance with this, we could show that the alignment tool has an impact on the 486 amount of DEGs and on the fold changes (Fig 2c, 2d, 4c and Tab S2). This underlines the 487 necessity for an independent validation method that allows the reliable detection of absolute 488 mRNA counts such as our scRT-ddPCR. Our here presented scRT-ddPCR method can thus 489 serve as a platform for mRNA analysis but could also be extended to the protein [31] and 490 DNA analysis [72] or different cell types [45]. On top of that, it is compatible with any plate-491 based sequencing protocol such as Smart-seq3, Smart-seq3xpress or FLASH-seq [60,61,73]. 492 In conclusion, we think this method is a valuable addition to the toolbox of researchers 493 interested in single-cell transcriptomics because of its reliability, ease of use, reduced costs, 494 and increased sensitivity.

- 496 Author contribution statement
- 497 Conceptualization: Csaba Jeney, Tobias Lange
- 498 Investigation: Tobias Lange, Tobias Groß
- 499 Methodology: Tobias Lange, Julia Scherzinger, Elly Sinkala, Ábris Jeney, Csaba Jeney,
- 500 Tobias Groß
- 501 Formal analysis: Tobias Lange, Ábris Jeney
- 502 Supervision and funding acquisition: Csaba Jeney, Stefan Zimmermann, Peter Koltay, Felix
- 503 von Stetten, Roland Zengerle
- 504 Writing original draft: Tobias Lange
- 505 Writing review and editing: Csaba Jeney, Stefan Zimmermann, Peter Koltay, Felix von
- 506 Stetten, Roland Zengerle, Christoph Niemöller, Tobias Lange, Tobias Groß, Ábris Jeney
- 507
- 508 All authors read and approved the final version of the manuscript.

510 Declaration of competing interest

- 511 J.S., E.S. and C.N. are employees of CYTENA GmbH, which produces the F.SIGHT[™]
- 512 single-cell dispenser used in this study. T.L., T.G., P.K, and C.J. are employees of Actome
- 513 GmbH, which develops the LBT lysis buffer used in this study and P.K., R.Z. and C.J. are
- 514 shareholders of Actome GmbH. The remaining authors declare no competing interest.

516 Data and code availability

517 scRNA-seq data is available from GEO under accession number: <u>GSE201443</u>. Jupyter 518 notebooks for the analysis of our scRNA-seq data are available from 519 <u>github.com/LangeTo/scRNA-seq_scripts</u>. The code for bootstrapping comparison is available

520 upon reasonable request.

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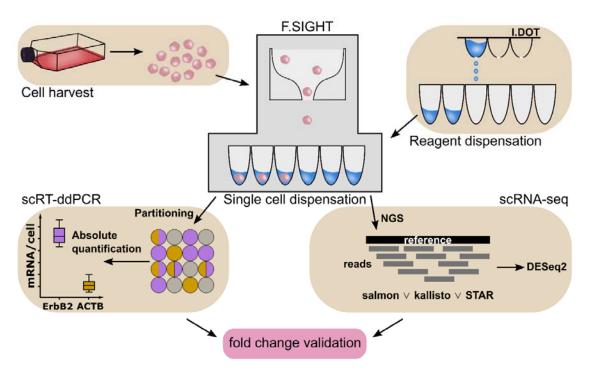
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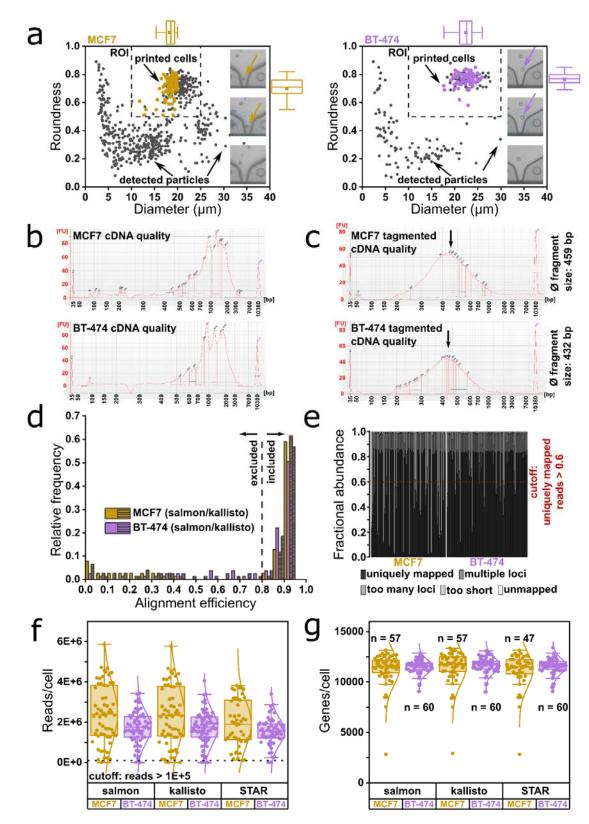
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808 Graphical abstract: Validation of scRNA-seq fold changes by scRT-ddPCR.

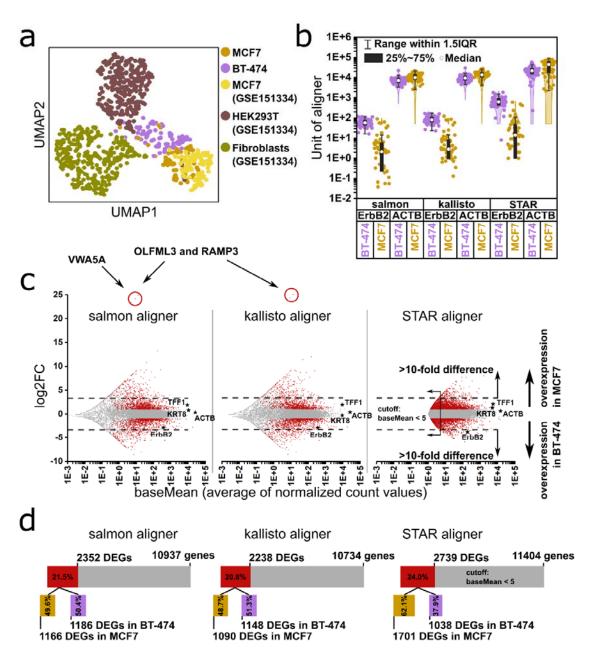
bioRxiv preprint doi: https://doi.org/10.1101/2022.05.31.494164; this version posted November 16, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.





811 Figure 1: Quality control of down-scaled SMART-Seq® workflow with MCF7 and BT-474 cells. a) 812 2D-scatter plots (roundness vs. diameter) of detected particles in the dispensation nozzle during the process and 813 dispensed cells (colored dots). The particles can be of various origins: cell debris, cell aggregates, corpuscular 814 materials from the cell culture medium or cells. The ROI (region of interest) depicts the desired morphological

815 criteria by which a particle is defined as a cell. The overlap in the ROI between detected particles and dispensed 816 cells is because of the fact that some cells could not be isolated. Boxplots show roundness and diameter 817 distributions of dispensed single cells (n = 84). Representative images of the printing process, which enable 818 manual image-based exclusion of droplets with multiple cells or empty droplets are shown. These images can be 819 unambiguously assigned to the addressed wells of the microplate. b, c) Representative electropherograms 820 (Agilent's Bioanalyzer) of cDNA and tagmented cDNA size distributions for both cell lines. The average cDNA 821 length after tagmentation was 459 bp for MCF7 and 432 bp for BT-474 cells. d) Alignment efficiency of salmon 822 and kallisto aligner. Cells with less than 80 % alignment efficiency were excluded from further analyses. e) 823 Alignment statistics (fraction of uniquely mapped reads, fraction of reads mapped to multiple loci, fraction of 824 reads mapped to too many loci, fraction of reads too short for mapping, fraction of unmapped reads) for MCF7 825 and BT-474 cells using STAR aligner. Cells with less than 60 % of uniquely mapped reads were excluded from 826 further analyses. f) Total read counts per cell for MCF7 and BT-474 cells using salmon, kallisto or STAR 827 aligner. Cells with less than 1E+5 transcripts were excluded from further analyses. g) Gene per cell counts for 828 MCF7 cells (median across all aligners: 11676 genes per cell) and BT-474 cells (median across all aligners: 829 11682 genes per cell) after all steps of filtering. The median of genes per cell is the same independent of aligner 830 and cell line (p > 0.05, Mann-Whitney test with Bonferroni correction). The amount of cells excluded after each 831 filtering step is shown in **Tab S1**.



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834 Figure 2: Validation of down-scaled Smart-seq2 by clustering and different bioinformatics pipelines. a) 835 UMAP clustering of MCF7 and BT-474 cells along with MCF7 cells, HEK293T cells and fibroblasts from 836 GSE151334. b) Violin plots of ErbB2 and ACTB expression values in MCF7 and BT-474 cells from salmon, 837 kallisto and STAR aligner in the respective units (salmon and kallisto: TPM: transcripts per kilobase million; 838 STAR: raw counts). c) Bland-Altman plots of gene expression in MCF7 over BT-474 cells with salmon, kallisto 839 or STAR aligner input to DESeq2. Each dot symbolizes a gene with its average expression value in both cell 840 lines (baseMean; x-axis) and the log2FC (log2 of fold change; y-axis). Dots colored in red are significantly 841 differentially expressed genes (DEGs); log 2FC > 1 and $p_{adi} < 0.05$: overexpression in MCF7 cells; log 2FC < -1842 and p_{adi} < 0.05: overexpression in BT-474 cells. ErbB2, ACTB, TFF1 and KRT8 expression values are 843 highlighted with stars and extreme values are highlighted with a red circle. The dashed line indicates 10-fold 844 overexpression in either cell line. d) Total number of genes analyzed by DESeq2 using salmon, kallisto or STAR 845 aligner input (STAR: baseMean > 5 necessary for consideration) with amount of DEGs overexpressed in either 846 cell line.

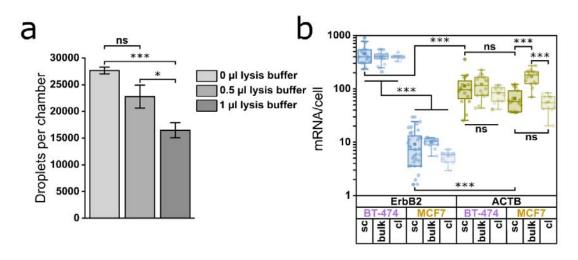
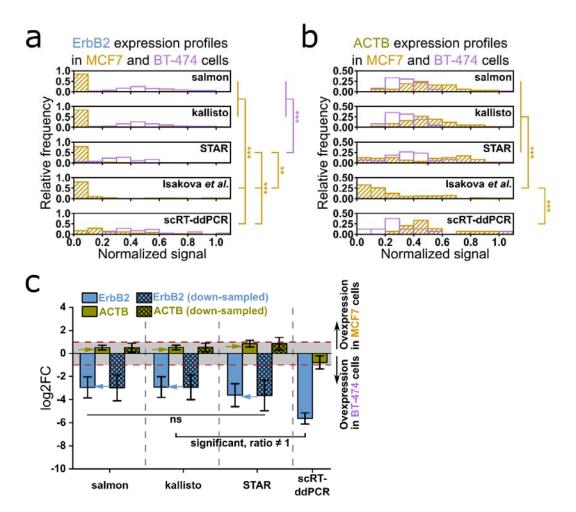


Figure 3: Validation of scRT-ddPCR workflow. a) Impact of lysis buffer volume on the number of droplets generated per reaction chamber of one Sapphire Chip. Bar plots show mean values with standard deviation as error bars. Groups were compared using student's t-test with Bonferroni correction (n = 3). b) Absolute gene mRNA per cell counts from different methods ('sc' = scRT-ddPCR; 'bulk' = quantification from bulk isolated RNA; 'cl' = quantification from a crude lysate) according to the genes *ErbB2* and *ACTB* and the cell lines BT-474 and MCF7. Groups were compared using Mann-Whitney test with Bonferroni correction (n \ge 11). Significance levels not indicated are non-relevant comparisons for this work.

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858 Figure 4: Comparison of scRT-ddPCR and scRNA-seq on the basis of signal distributions and fold 859 changes. a) Distribution of normalized ErbB2 expression signal (normalized to maximum signal) from salmon, 860 kallisto and STAR aligner used in this study, MCF7 expression data from Isakova et al. (10-fold down-scaled 861 Smart-seq2) and scRT-ddPCR in MCF7 and BT-474 cells. All distributions were compared using a 862 Kolmogorov-Smirnov test with Bonferroni correction for multiple testing. Non-significant difference are not 863 shown. b) Distribution of normalized ACTB expression signal (normalized to maximum signal) from salmon, 864 kallisto and STAR aligner used in this study, MCF7 expression data from Isakova et al. (1/10 down-scaled 865 Smart-seq2) and scRT-ddPCR in MCF7 and BT-474 cells. All distributions were compared using a 866 Kolmogorov-Smirnov test with Bonferroni correction for multiple testing. Non-significant difference are not 867 shown. c) Log2FCs (MCF7 vs. BT-474) for scRNA-seq data processed with salmon, kallisto and STAR aligner 868 and scRT-ddPCR, calculated on the basis of expression values as shown in Fig 2b. The shaded bars show 869 log2FCs of the respectives group down-sampled by bootstrapping to the same sample size of scRT-ddPCR. 870 Log2FCs were compared using a bootstrapping comparison (2.8 Bootstrapping comparison and Fig S4). Bars 871 depict mean log2FCs with bootstrapped error bars indicating 95 % CI. Log2FCs within 0 ± 1 are not considered 872 to be statistically significant. Blue and green arrows indicate log2FCs calculated by DESeq2.

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