Effects of neural monitoring and control of visceral signals on heart rate, respiration rate, and frontal hemodynamics

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Abstract

Recent studies have established that cardiac and respiratory phases can modulate perception and related neural dynamics. While heart rate and respiratory sinus arrhythmia are possibly affecting interoception biomarkers, such as heartbeat-evoked potentials, the relative changes in heart rate and cardiorespiratory dynamics in interoceptive processes have not yet been investigated. In this study, we investigated the variation in heart and respiration rates, as well as higher functional dynamics including cardiorespiratory correlation and frontal hemodynamics, during cardiac awareness tasks. To further investigate the functional physiology linked to changes in vagal activity caused by specific respiratory rates, we studied cardiac awareness and controlled respiration rate. The results demonstrate that focusing on heartbeats decreases respiration and heart rates in comparison, which may be part of the physiological mechanisms related to "listening" to the heart, the focus of attention, and self-awareness. Focusing on heartbeats was also observed to increase frontal connectivity, supporting the role of frontal structures in the neural monitoring of visceral inputs. However, cardiorespiratory correlation is affected by both interoceptive and controlled respiration. Based on these results, we concluded that variations in heart and respiratory rates are confounding factors in the assessment of interoceptive abilities and relative fluctuations in respiration and heart rates should be considered to be a mode of covariate measurement of interoceptive processes.

Keywords

fNIRS, heart rate variability, respiration control. heartbeat counting task, interoception

Introduction

In recent years, there has been a substantial increase in the number of studies on interoception that aim to describe the mechanisms involved in the sensing of inner bodily signals and their influence on brain dynamics [1,2]. Interoception includes the sensing of cardiac, respiratory, and gut signaling [3], as well as the physiological conditions of the entire body [4], including thermosensation, pain, and affective touch. The functions associated with interoceptive processes include homeostatic allostatic regulation as well as the integration of information that enables bodily awareness and consciousness [1,5–8]. Evidence has demonstrated that neural responses to heartbeat contribute to visual [9], somatosensory [10], auditory [11,12], and self-perception [13–15]. Further, recent evidence has suggested that respiration is aligned with the perception of sensory inputs [16,17], suggesting that it is involved in perceptual sensitivity modulation and, possibly, shaping neural dynamics [18].

Heartbeat-evoked potential has been repeatedly reported as a metric for interoceptive awareness, for both comparing good heartbeat-sensing performances with bad ones and correlating it with the estimated interoceptive accuracy (early evidence provided by Pollatos and Schandry, 2004 [19], a recent validation in heart-transplanted patients reported by Salamone et al., 2020 [20], and a review by Coll et al., 2021 and Park and Blanke, 2019 [21,22]). Recent evidence has demonstrated that fluctuations in heart rate induce changes in stroke volume, which affects the estimation of heartbeat-evoked responses [23]. These biases have been previously demonstrated in certain studies, revealing a relationship between the measured interoceptive accuracy and heart rate (for a review on biases, see Coll et al., 2021 [21]). Some studies have reported that observed effects in heartbeat-evoked potential are uncorrelated to changes in the mean heart rate and considered it as a control measure—however, to the best of our knowledge, no previous study has considered relative changes in heart rate or investigated cardiorespiratory variation during cardiac awareness tasks. Cardiorespiratory dynamics refer to the acknowledged influence of respiration on heart rate and blood pressure, and vice versa [24]. This influence is most readily apparent in the case of the effect of respiration on heart rate—the increase of heart rate during inspiration and its

decrease during expiration is termed respiratory sinus arrhythmia [25]. Cardiorespiratory coupling

has been acknowledged as a mutual, nonlinear, and bidirectional influence between respiration

and heart rate [24,26,27].

In this study, we comprehensively investigated variations in heart rate, cardiorespiratory

correlation, and frontal hemodynamics during the performance of various tasks involving

interoceptive communication. Moreover, we investigated the aforementioned physiological

dynamics at paced respiratory frequencies to distinguish between functional changes induced by

interoceptive processes and those caused by mechanical respiration processes [28]. However, our

results did not conclusively establish whether or not cardiorespiratory dynamics are affected by

the central nervous system when focusing on either the heartbeat or respiration or when the focus

of the participant is on either monitoring or controlling visceral activity. We also explored

variations in frontal hemodynamics, as measured using functional near-infrared spectroscopy

(fNIRS), to verify the dependence of the effects on heart rate and cardiorespiratory correlation at

different respiratory rhythms on brain-body states.

We considered two tasks to perform neural monitoring and neural control of visceral

activity. To measure the neural monitoring of visceral activity, the classic heartbeat counting task

was used [29]. To measure the neural control of visceral activity, a respiration control task based on

Hernando et al., 2017 and Madhav et al., 2013 [30,31] was used. The objectives of selecting these

tasks were as follows. First, ascending communication from the viscera to the brain was

stimulated by fostering cardiac awareness in the participant [32,33]. Second, descending

communication from the brain to the viscera was stimulated by controlling respiration rate [34].

Materials and Methods

Participants

Twenty healthy young adults were recruited as participants in this study. Data from 19 subjects (age range: 21–34 years; median = 26 years; 8 males, 11 female) were used to study cardiorespiratory dynamics. A subset of 12 subjects (age range: 22–34 years; median = 26 years; 8 males, 4 females) was considered to study frontal hemodynamics, as measured using fNIRS (inclusion criteria are detailed below).

Of the 20 participants, one was excluded because of corrupted data and seven participants were not included in the fNIRS data analysis because of bad signal quality index [35].

This study was approved by the local ethics committee Area Vasta Nord-Ovest Toscana. The subjects signed an informed consent form to participate in the study, all methods were performed in accordance with the relevant guidelines and regulations as required by the Declaration of Helsinki. None of the subjects declared any history of neurological, cardiovascular, or respiratory disease.

Experimental protocol

The recordings comprised fNIRS sampled at 50 Hz using a Brite23 device (Artinis Medical Systems B.V., the Netherlands, Fig. 1A), three-lead electrocardiography (ECG), and respiratory inductive plethysmography (SleepSense, Elgin, USA). Both ECG and respiratory signals were sampled at 250 Hz using a TMSi SAGA 32+/64+ amplifier (Twente Medical Systems International B.V., Netherlands). To record fNIRS signals, 23 channels with a source-detector separation of 3.5 cm were used to monitor brain activity in the frontal cortex (see Fig. 1A).

The experimental protocol comprised a resting period lasting 3 minutes, a heartbeat counting task, a resting period of 1 minute, and a respiration control task, as depicted in Fig. 1B.

Figure 1. (A) Brite 23 (Artinis Medical Systems B.V., the Netherlands), the fNIRS system used in the experimental protocol. (B) Experimental protocol: heartbeat counting task and respiration control task. (C) Detailed transition during the respiration control task.

Heartbeat counting task

Participants were asked to count their heartbeats by focusing on their corporeal sensations while fixating on a screen [29]. The task was performed over six blocks of different durations between 30–60 seconds. The duration of each block was selected randomly for each trial and participant. No feedback was provided to the participants on their performance. After each block, the participants were explicitly asked to stop focusing on their heartbeats, report the number of heartbeats counted, and rate their perceived performance on a scale ranging from 1 (bad) to 9 (good).

The validity of some of these tasks has been questioned because of different biases [36,37]. Alternatives have been proposed that reduce these biases [38–40]. However, the objective of this

study was to elicit cardiac awareness, rather than distinguish between the subjects based on their interoceptive abilities [21]. To reduce possible bias, we selected the trial durations randomly to avoid the dependence of participants' answers on their time perception. We explicitly asked participants to count only the heartbeats that they actually felt, rather than those that they expected to occur. As an additional control measure, we ensured that the participants' interoceptive accuracy was related to their reported performance perception. The interoceptive accuracy was computed based on the percentage error of the counted heartbeats with respect to the number of heartbeats detected via ECG.

The computed markers associated with the heartbeat counting task were averaged over the six trials. In cases in which the transition between the performance and cessation of heartbeat counting was evaluated, the trials were aligned with the transitions. Statistical tests were performed 30 s before and after each transition to compare the respective physiological characteristics.

Respiration control task

The respiration control task was based on the works of Hernando et al. (2017) and Madhav et al. (2013) [30,31]. The participants were guided to inhale and exhale at a constant pace and at specific rates. The protocol consisted of two blocks separated by a 30-second resting period, followed by a 60-second resting period. Subsequently, both blocks were repeated (see Fig. 1C). Each block comprised five steps in which the respiration rate was constant over a period of 50 s. The step transitions of the two blocks can be expressed as follows: 0.1 – 0.2 – 0.4 – 0.2 – 0.1 Hz, and 0.15 – 0.3 – 0.4 – 0.3 – 0.15 Hz.

Physiological data analysis was performed between transitions from slower to faster rates during the respiration control task: 0.1 – 0.2, 0.15 – 0.3, 0.2 – 0.4, and 0.3 – 0.4 Hz, and between transitions from faster to slower rates: 0.2 – 0.1, 0.3 – 0.15, 0.4 – 0.2, and 0.4 – 0.3 Hz.

During the entire protocol, each respiratory rate occurred four times and each respiratory transition occurred twice. The computed markers were averaged over all trials (i.e., individual

154 rates were averaged over four trials and respiratory transitions were evaluated by averaging over

155 two trials).

Data processing

157   Physiological data were preprocessed using MATLAB R2018a and the Fieldtrip Toolbox

158 [41].

ECG processing

The ECG series were processed using an automated procedure and then visually inspected

to verify their quality. The ECG were bandpass filtered with a Butterworth filter of order 4

between 0.5 and 45 Hz. On each ECG series, R-peak detection was performed using a peak

template-matching method [42] to derive heart rate variability series. All detected peaks were

visually inspected over the original ECG series along with the inter-beat interval histogram.

Ectopic detection was automatically performed by identifying the local maxima of the derivatives

of the inter-beat interval series. Manual corrections through interpolation were performed as

required.

The power spectrum density (PSDs) of heart rate variability series was computed using

Burg's method [43], with order 16 as recommended in Task Force of the European Society of

Cardiology the North American Society of Pacing [44]. The primary frequency of an inter-beat

interval series was identified as the maximum peak in the 0–0.5 Hz interval of the PSD to

characterize the dominant frequency.

Respiratory signal processing

The respiration series were processed using an automated procedure and then visually

inspected to verify their quality. The respiratory series were bandpass filtered with a Butterworth

filter of order 4 between 0.5 and 45 Hz. They were smoothed using a 2-second-mean sliding time

window based on inhalation peak detection and a peak template-matching method. All detected

peaks were visually inspected over the original respiration series along with the inter-peak interval

histogram. Ectopic detections were automatically identified by detecting the local maxima of the

derivatives of the inter-beat intervals. Manual corrections were performed as required.

The respiration rate PSD were computed using Burg's method of order 16. The primary

frequency of an inter-beat interval series was identified as the maximum peak in the 0–0.5 Hz

interval of the PSD.

Cardiorespiratory correlation

Cardiorespiratory correlation was measured using the Spearman cross-correlation

coefficient between the respiration signals and the heart rate time series. The heart rate series were

smoothed using a 2-second-mean sliding time window to remove high-frequency components (>

0.5 Hz). Cross-correlation was computed with respect to lags between -30 and +30 s. The

maximum absolute value of the correlation coefficient within the lag interval was taken to be the

cardiorespiratory correlation coefficient. The lag corresponding to the maximum correlation

coefficient was recorded for further analysis.

fNIRS processing

Light intensities measured at 760 and 840 nm and digitized using 16-bit resolution were

converted to optical densities, which, in turn, were converted into changes in concentration of

oxygenated hemoglobin by applying the modified Beer-Lambert law [45]. Oxygenation hemoglobin

series were utilized because of their superior predictive performance compared to deoxygenation

[46]. The fNIRS data were bandpass filtered with a Butterworth filter of order 3 between 0.05 and

0.6 Hz to eliminate most of the systemic artifacts associated with pulses and very slow

oscillations. Any systemic artifacts still remaining in the data were assumed to have minor impact

on the results. The functional connectivity between channels was analyzed by comparing

Spearman correlation coefficients [47]. As only frontal hemodynamic activity was measured,

connectivity analyses were performed for all possible combinations. For each channel, the median

correlation coefficient computed with respect to all channels was considered.

 Respiration response in fNIRS

We estimated the influence of respiration on fNIRS oxygenation signals, i.e., the

respiration response and its variability under the studied conditions. The lag of the extracted

respiration response corresponding to each signal was compared to the respiratory signal recorded

using the chest belt.

The respiration response was estimated using individual fNIRS channels [48]. Because

respiration components cannot be removed by filtering the signals [49], we separated them using

principal component analysis (PCA). PCA was computed using 23 oxygenated hemoglobin

channels and respiration signals. Individual components were analyzed by computing Spearman

cross-correlation over a lag range of -30–30 s with respect to the respiration signal. Posterior

component selection was performed based on the absolute maximum correlation coefficient over

the aforementioned lag range. The resulting principal components with Spearman correlation

coefficients higher than 0.6 were combined to reconstruct the respiration response from the fNIRS

signals.

Statistical analysis

Statistical analysis methods used in this paper included the Spearman correlation

coefficient, Wilcoxon's signed-rank test for paired samples, and Friedman's variance test for

paired samples. All statistical tests were performed for individual channels.

The *p*-values of the Spearman correlation coefficient were derived using a t-Student

distribution approximation. The initial resting state was compared with the five rates of the

respiration control task in the Friedman test. The significance levels of Spearman's and

Friedman's *p*-values were corrected in accordance with the Bonferroni rule considering the

number of fNIRS channels, with a corrected statistical significance of $\alpha = 0.05/23$.

The Wilcoxon signed-rank test was performed using the normal approximation method.

The significance levels of the *p*-values were corrected using a Monte Carlo permutation test with

an initial critical α of 0.05. Samples were randomized over 10.000 permutations, and the Monte

Carlo p was computed as the proportion of p with lower values. The significance of the Monte

Carlo p was taken to be $\alpha = 0.05$.

Results

Average respiration and heart rate in each condition

We quantified the heart and respiration rates during the heartbeat counting and respiration

control tasks. The heart rate and respiration rate group medians under all conditions are presented

in Table I. Fig. 2 depicts the individual values of the heart and respiration rates. The heartbeat

counting task did not cause significant modulation of the baseline heart and respiration rates. In

contrast, the respiration control task induced a group-wise increase in heart rate with respect to

the resting period corresponding to all the controlled respiration rates considered.

Table I. Group median + MAD of the average heart and respiration rates in each experimental
condition. P-values were computed using the paired Wilcoxon test during each session with
respect the resting condition.

	Rest	Heartbeat counting task	p	Respiration control task									
				0.1	p	0.15	p	0.2	p	0.3	P	0.4	p
Heart rate (Hz)	1.18 ± 0.11	1.17 ± 0.11	0.4939	1.21 ± 0.10	**0.0015**	1.27 ± 0.09	**0.0003**	1.29 ± 0.11	**0.0002**	1.27 ± 0.11	**0.0003**	1.30 ± 0.13	**0.0002**
Respiration rate (Hz)	0.24 ± 0.03	0.25 ± 0.04	0.6292	0.11 ± 0.00	-	0.16 ± 0.00	-	0.20 ± 0.00	-	0.30 ± 0.00		0.39 ± 0.00	-

246 Figure 2. Average heart rate and respiratory rate

Relative changes in respiration and heart rates during transitions

Subsequently, we quantified the relative changes in heart and respiration rates. Each comparison during the heartbeat counting task was performed with respect to the subsequent resting period. In the respiration control task, the participants transitioned from slower to faster and from faster to slower respiration rates. The respiration and heart rate series were aligned during each transition, and z-score normalization was applied over the interval, -30–30 s, in the heartbeat counting task, and -50–50 s in the respiration control task.

As depicted in Fig. 3A, the transition between the performance and cessation of the heartbeat counting task induced a relative increase in the heart and respiration rates. Table II presents the group medians of these relative increases. The increase in heart rate was significant, whereas that in respiration rate was not significant corresponding to time windows of -30–30 s with respect to the transition. The results also revealed that the increase in respiration rate preceded the increase in heart rate, and that the decrease in the respiration rate was rapid whereas the higher heart rate was sustained for approximately 15 s. Table II also displays the change in the predominant frequency in the PSD, which was also significant only for the heart rate.

Fig. 3B depicts the relative changes while switching from one respiration rate to another. A consistent change in heart rate oscillations was observed—a fixed respiration rate triggered relative heart rate oscillations at the same respiration frequency. The modulation from respiration to heart rate oscillations was observed independently with respect to the changes corresponding to slower-to-faster or faster-to-slower respiration rates. Table III records the main group-median frequencies of the heart rate variability PSD. The main frequencies matched only the respiration rate corresponding to the 0.1-0.2 and 0.2-0.1 Hz transitions. Corresponding to respiration rates of 0.3 and 0.4 Hz, the predominant frequency remained ~0.1 Hz. These results establish that fast respiration rates (> 0.2 Hz) triggered heart rate oscillations at the same respiration frequency. However, the main frequency remained in the low-frequency range.

Figure 3. Respiratory modulations induced by respiration on heart rate. (A) Group median + MAD representing relative change in heart and respiration rates, triggered by the transition from the performance to the cessation of focusing on heartbeats. Heart rate data were smoothed using a 10-second moving mean window and a sample step of 1. Each subject data corresponded to an average over six trials. (B) Relative change in heart (group median + MAD) and respiration rates during slower-to-faster and faster-to-slower transitions. Each subject data corresponded to the average over the two trials for each transition. All signals represent the group median ± median absolute deviation. All signals used for computing the medians were the rates expressed in Hz, the z-score was normalized per subject over a window of -40–40 seconds with respect to each transition in respiration rate.

Table II. Group median + MAD of the average normalized heart and respiration rates and the main frequency in the PSD of the heart and respiration rates during the heartbeats counting task. P-values were computed using the paired Wilcoxon test over a 30-second period of counting heartbeats and a subsequent 30-second resting period.

	Mean rate (normalized, A.U.)			Main frequency (Hz) in the PSD		
	Counting heartbeats	Not counting heartbeats	*p*	Counting heartbeats	Not counting heartbeats	*p*
Heart rate	-0.35 ± 0.13	0.35 ± 0.13	**0.0001**	0.12 ± 0.05	0.04 ± 0.04	**0.0019**
Respiration rate	-0.02 ± 0.18	0.02 ± 0.18	0.2954	0.07 ± 0.01	0.07 ± 0.02	0.2388

Table III. Group median + MAD of the main frequency obtained from heart rate variability PSD during the respiration control task. P-values were derived using the paired Wilcoxon test during each session with respect the resting period.

Slower-to-faster transition in respiration rate (Hz)	Main frequency (Hz) obtained from heart rate variability PSD			Faster-to-slower transition in respiration rate (Hz)	Main frequency (Hz) obtained from heart rate variability PSD		
	at slower respiration rate	at faster respiration rate	P		at faster respiration rate	at slower respiration rate	p
0.1→0.2	0.098 ± 0.003	0.195 ± 0.004	**0.0045**	0.2→0.1	0.195 ± 0.008	0.098 ± 0.004	**0.0008**
0.15→0.3	0.148 ± 0.004	0.094 ± 0.012	0.3340	0.3→0.15	0.086 ± 0.023	0.145 ± 0.004	0.3543
0.2→0.4	0.199 ± 0.004	0.089 ± 0.012	0.4078	0.4→0.2	0.086 ± 0.043	0.195 ± 0.008	0.7626
0.3→0.4	0.086 ± 0.023	0.094 ± 0.089	0.6008	0.4→0.3	0.098 ± 0.094	0.098 ± 0.016	0.6012

Cardiorespiratory correlation

Cardiorespiratory correlation was measured in terms of the correlation coefficient between respiration and heart rate series at frequencies < 0.5 Hz. The median correlation at rest was 0.26 (on a scale ranging from 0 to 1). The heartbeat counting task induced a significant increase in the correlation, increasing the median to 0.47. During the respiration control task, the cardiorespiratory correlation was higher than that during the resting period only corresponding to the transitions between 0.2 and 0.4 Hz and 0.3 and 0.4 Hz (see Table IV). These results indicated that, under paced and controlled respiration, cardiorespiratory correlation was higher when the respiration rate was in the high-frequency band.

Table IV. Group median + MAD of cardiorespiratory correlation in each experimental condition. P-values correspond to the paired Wilcoxon test of each session with respect the resting condition.

	Rest	Heartbeat counting task	p	Respiration control task							
				0.1→0.2 Hz	P	0.15→0.3 Hz	p	0.2→0.4 Hz	p	0.3→0.4 Hz	p
Cardiorespiratory correlation	0.26 ± 0.01	0.47 ± 0.08	**0.0006**	0.29 ± 0.06	0.6292	0.37 ± 0.06	0.099	0.42 ± 0.13	**0.0298**	0.37 ± 0.04	**0.0298**
				0.2→0.1 Hz	P	0.3→0.15 Hz	p	0.4→0.2 Hz	p	0.4→0.3 Hz	p
				0.28 ± 0.09	0.6874	0.31 ± 0.05	0.4445	0.44 ± 0.10	**0.0112**	0.28 ± 0.05	**0.0269**

We further explored the relationships triggered during the heartbeat-counting task. First, we quantified the lag in the maximum correlation coefficient between the heart and respiration rates, as depicted in Fig. 3A. We computed the Spearman correlation coefficient between the two signals, considering a lag range of -20–20 s. As depicted in Fig. 4A, maximum correlation was

observed corresponding to a lag of approximately 10 s. Further, we investigated the possible

dependence of cardiorespiratory correlation on different interoceptive dimensions. No significant

correlation was observed between cardiorespiratory correlation and the estimated interoceptive

accuracy (Spearman R = -0.1123, p = 0.6465, Fig. 4B). Cardiorespiratory function was also

observed to be uncorrelated to the participants' perceived performance or self-confidence

accuracy, which was reported without prior feedback (Spearman R = 0.1186, p = 0.6287, Fig. 4

C). As a control measure, we verified that the interoceptive accuracy is related to the performance,

rather than being an estimation of each trial's duration by confirming a significant correlation

between the interoceptive accuracy and self-confidence scores (Spearman R = 0.6632, p = 0.0020,

Fig. 4D).

Figure 4. (A) Cardiorespiratory correlation lag, and the relationships between (B)

cardiorespiratory coupling and heartbeats detection accuracy, (C) cardiorespiratory correlation

and self-confidence regarding heartbeat detection, and (D) heartbeat detection accuracy and self-

confidence regarding heartbeat detection.

Frontal hemodynamics

We quantified changes in frontal hemodynamics during the performance of the two

aforementioned tasks. First, during the heartbeat-counting task, hemodynamics was estimated by

comparing the changes in connectivity between different segments of heartbeat counting with

respect to consecutive segments of rest. We observed increased frontal connectivity when

participants focused on their heartbeats compared to when they did not. Changes in frontal

connectivity were observed in the bilateral frontal channels but not in the midline channels, as

illustrated in Fig. 5A. We further explored variations in connectivity during transitions in

respiratory rate. As illustrated in Fig. 5B a decrease in connectivity was observed during the

transition from a slower to a faster respiration rate, and an increase in connectivity was observed

during the transition from a faster to a slower respiration rate. Further, the scale of the effect was

lower corresponding to transitions from faster respiration rates, whether increasing or decreasing.

We then compared the differences in respiratory response latency in the fNIRS with respect to

the five different respiration rates studied. To this end, a Friedman test was performed for each

channel to compare the latencies of the respiratory responses under all conditions. As depicted in

Fig. 5C, the major changes in the respiratory response with respect to the different respiration

rates were observed in the midline channels, as well as in the far bilateral channels. As illustrative

examples, Fig. 5D presents fNIRS signals for one trial of heartbeat counting task, and respiration

control task in the cases of increasing and decreasing respiration rate (between 0.1–0.2 Hz).

Figure 5. Frontal hemodynamics results. (A) Changes in connectivity induced by the cessation of

focusing on heartbeats. (B) Changes in connectivity induced by switching the respiration rate in

the respiration control task. (C) Changes in the respiratory response in fNIRS with respect to

changes in respiratory rhythm. Thick white channels indicate significance corrected for multiple

comparisons. (D) Exemplary fNIRS signals for one trial of the heartbeats counting and respiration

control tasks.

Discussion

To highlight the acknowledged role of cardiac and respiratory phases in different perceptual paradigms, in this study, we tested the role of heart rate variability and cardiorespiratory correlations during interoceptive processes such as heartbeat monitoring and guided respiration control. We described the heart rate, respiration rate, cardiorespiratory correlations, and frontal hemodynamics during the performance of these tasks.

Heartbeats and respiration

The periods of heartbeat counting induced a relative decrease in respiration rate, followed by a relative decrease in heart rate. This indicated that the cessation of focusing on heartbeats induced a relative increase in the autonomic tone. Possible changes in the heart rate were controlled in previous studies, with non-significant changes in heart rate during heartbeat-counting [50], as recorded in Table I and Fig. 2. However, in this study, after normalizing the trials for each subject, the relative change in the heart rate was observed to be persistent among the subjects, as depicted in Fig. 3A. The relative change in heart rate was not voluntary—as established previously, heart rate cannot be voluntarily controlled based on selective attention under different conditions [51].

Our results confirmed the presence of cardiorespiratory correlations under the two conditions studied—heartbeat counting and respiration rate control. Respiration wielded a clear influence on heart rate, instead of the other way around. Our results were in agreement with the repeated reports of the effect of respiration on heart rate, e.g., [52–56]. The influence of heart rate on respiration under the conditions studied was unclear, but if present, these influences exhibited significantly low magnitude.

Mechanisms that mediate the influence of respiration on cardiovascular function include autonomic afferents and efferents [24,57,58], as well as mechanical interactions [28]. These effects are controlled in the brainstem—specifically in the pons [59]. However, baroreceptors are hypothesized to mediate the mechanisms mediating the influence of the cardiovascular system on respiration

[24,60,61]. In this study, the influence of the respiration rate on heart rate exhibited a group-wise

latency of 10 s during the heartbeat counting task. Previous studies that reported such delayed

effects on heart rate also reported, for instance, a 16-second delay when holding respiration [48].

These differences may be related to respiratory sinus arrhythmia and the physiological differences

associated with the holding of breath and slow-paced respiration. However, such delays were not

observed during the respiration control task in this study. Instead, the observed variations in heart

rate variability during the respiration control task were instantaneous and associated with heart

rate oscillations, rather than a specific increase or decrease in heart rate.

Previous studies have reported that delayed responses to respiration vary when the

respiration rate is controlled with respect to normally paced respiration [62]. The effects on heart

rate variability oscillations have previously been described corresponding to paced respiration at

~0.1 Hz [63]. However, we observed heart rate oscillations corresponding to all respiration rates

(Fig. 3B).

Monitoring of visceral activity

We observed increased connectivity in frontal hemodynamics when subjects focused on

their heartbeats. This increased connectivity was observed in the frontal and bilateral channels,

but not in the midline channels. Instead, the respiration response exhibited higher covariation with

respect to the respiration rate in the midline channels. As previously reported, resting state

fluctuations in respiration rate covary with the default mode network midline frontal node [64]. The

midbrain periaqueductal gray also participates as an integrative structure in respiratory

interoception and has extensive projections to the ventrolateral structures [65]. Our results reinforced

the existing evidence that frontal hemodynamics are functionally involved in the monitoring of

visceral activity, with some dissociation of heartbeats and respiration.

Previous studies have established that cortical responses to heartbeats are related to

attention—both interoceptive or exteroceptive [50]. Respiration has also been reported to modulate

brain activity and map to canonical resting state networks, suggesting that respiration processing

is irrespective of the conditions involved [66]. The dissociation of interoception from cardiac and

respiratory signals also appears to be related to anxiety [67,68].

The alignment of respiration with sensory perception [16,17] is similar to those with

somatosensory [10], auditory [11,12], and visual perception [9]. The impact of respiration rate on

cognitive functioning has already been demonstrated in mice and other animals. These

relationships between respiration and cognitive processes suggest a specific role for respiration

as a timing signal for brain activity [69]. For instance, it has been shown that the respiratory rate

shapes olfactory memory [70,71], emotions [72], and reaction time [73], and coordinates the coupling of

brain oscillations during sleep [74–76] as well as during wakefulness [77–82]. These results indicate that

cardiorespiratory activity contribute to the shaping of attention and perception, and relative

changes in respiration and heart rates are part of ongoing bidirectional brain-heart interplay

processes [83], similar to emotions or stress [84,85].

Controlling visceral activity

We observed that frontal hemodynamics were actively involved during the respiration

control task, either increasing or decreasing the respiration rate. Stronger effects were observed

in slower transitions of respiration rates than in faster ones. These results indicate that frontal

hemodynamics are involved in respiration control as well, as well as in non-uniform

intensification of the presence of systemic components in the fNIRS signals. However, voluntary

changes in respiration have been reported in the primary sensory and motor cortices,

supplementary motor area, cerebellum, thalamus, caudate nucleus, and globus pallidus in the

medulla [34], not in frontal activity. Frontal activations have been known to involve other processes,

such as respiration, which has been shown to activate the insula and deactivate the default mode

network [86]. Non-voluntary changes in respiration have different cerebral origins, including the

default mode network midline frontal node [64], brain stem [87], and cerebellar vermis [88]. Changes in

frontal connectivity may also be related to the effort of respiration, given that guided respiration

over long periods can cause discomfort due to muscle fatigue and suboptimal unloading of CO_2

[89] and that the fatigue system involves the frontal cortex [90].

Clinical relevance

Our results indicated the presence of cardiorespiratory coupling under all conditions studied, with disruptions being attributed to possible pathological conditions. Indeed, previous studies have reported that the assessment of cardiorespiratory coupling can be helpful in assessing health and disease [91] as well as affective states [92–94]. Further evidence exists on the relevance of cardiorespiratory communication with the central nervous system—for instance, the reported relationship between disrupted interoception abilities related to cardiac and respiratory signals and anxiety [67,68]. In addition, relationships with other visceral organs have been demonstrated by the apparent effects of gut microbiota on cardiorespiratory homeostasis [95].

A better understanding of the physiological subtracts of a disrupted interoceptive awareness may lead to future developments with clinical relevance, given the abundant evidence linking interoception with mental health [2,38]. Future research investigating interoceptive abilities should consider the registration of multiple peripheral signals to control the confounding factors. In this study was revealed that heartbeat counting task elicits a slower respiration rate and, consequently, slower heart rate. In light of the existing evidence about the effects of heart rate on heartbeat-evoked potentials [23], the change in respiration rate should be considered as confounding factor as well. As the present study was limited to fNIRS only, it remains to further explore how these changes in frontal hemodynamics relate to cortical electrophysiology, together with the potential influence of the change in cardiorespiratory correlation on heartbeat-evoked potentials.

Conclusions

This study contributes to the understanding of physiological processes sustaining cardiorespiratory coupling that are relevant to the study of pathological conditions that disrupt both coupling and interoceptive abilities. Our results indicated that heart rate, respiration rate, and cardiorespiratory dynamics may act as confounding factors in the assessment of interoceptive abilities. Further analysis of cardiorespiratory coupling and associated brain dynamics is expected

461   to reveal additional details regarding brain viscera functioning during the performance of cardiac

462   awareness tasks.

Author contributions

465   D.C.-R. conceived the study. D.C.-R., M.S.S., and J.M.H. designed the study. D.C.-R. M. S.

466   acquired the data. D.C.-R. Processed the data and figures. D.C.-R., M.S.S., and G. V. analyzed

467   the data. D.C.-R., M.S.S. and G. V. wrote the manuscript. All authors (D.C.-R., M.S.S., J.M.H.,

468   W.N.J.M.C. and G. V.) have revised and approved the manuscript.

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Competing interests statement

472   Authors declare no competing interests.

Data availability statement

474   Data are available upon request to the corresponding author.

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