1	Pseudomonas aeruginosa strains from both clinical and environmental origins readily
2	adopt a stable small colony variant (SCV) phenotype resulting from single mutations in c-
3	di-GMP pathways
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21 ABSTRACT

22 A subpopulation of Small Colony Variants (SCVs) is a frequently observed feature of 23 Pseudomonas aeruginosa isolated from colonized cystic fibrosis lungs. Since most SCVs have 24 until now been isolated from clinical samples, it remains unclear how widespread is the ability of 25 P. aeruginosa to develop this phenotype and the genetic mechanism(s) behind SCVs emergence 26 according to the origin of the isolate. In the present work, we investigated the ability of 22 P. 27 aeruginosa isolates from various environmental origins to, under laboratory culture conditions, 28 spontaneously adopt a SCV-like smaller alternative morphotype distinguishable from the 29 ancestral parent strain. We found that all the *P. aeruginosa* strains tested could adopt a SCV 30 phenotype, regardless of their origin. Whole genome sequencing of SCVs obtained from clinical 31 and environmental sources revealed single mutations exclusively in two distinct c-di-GMP 32 signaling pathways, Wsp and YfiBNR. We conclude that the ability to switch to a SCV 33 phenotype is a conserved feature of *P. aeruginosa* and results from the acquisition of a stable 34 genetic mutation, regardless of the origin of the strain.

35

37 IMPORTANCE

38 P. aeruginosa is an opportunistic pathogen that thrives in many environments. It poses a 39 significant health concern, notably because this bacterium is the most prevalent pathogen found 40 in the lungs of people with cystic fibrosis. In infected hosts, its persistence is considered related 41 to the emergence of an alternative small colony variant (SCV) phenotype. By reporting the 42 distribution of P. aeruginosa SCVs in various non-clinical environments and the involvement of 43 c-di-GMP in SCV emergence from both clinical and environmental strains, this work contributes 44 to understanding a conserved adaptation mechanism used by P. aeruginosa to adapt readily in all 45 environments. Hindering this adaptation strategy could help control P. aeruginosa persistent 46 infection.

48 INTRODUCTION

The high genomic and metabolic diversity of *Pseudomonas aeruginosa* allows this bacterium to thrive in diverse environments, such as aquatic habitats, soil, food, and even built environments, such as hospital premise plumbing systems (1-3). This opportunistic pathogen, frequently identified as a causative agent of nosocomial infections, is a major cause of infections in immunocompromised individuals. Notably, *P. aeruginosa* is the most prevalent pathogen found in the lungs of people with cystic fibrosis (CF) (4-6).

P. aeruginosa expresses a broad range of virulence determinants that counteract the host immunity and promote survival (7). One of these factors is the ability to form biofilms. These organized communities largely contribute to evade host immunity and antimicrobial treatments. For instance, the biofilm matrix delays penetration of antibiotics and host defense effectors (8-10). *P. aeruginosa* typically persists in the lungs of CF individuals as a biofilm (11, 12).

60 The emergence of a subpopulation of Small Colony Variants (SCVs) is a frequently 61 observed feature of P. aeruginosa isolates from CF lungs biofilms (13, 14). SCVs are 62 characterized by circular opaque dwarf colonies with a diameter about three-time smaller than 63 wild-type (WT) colonies (14-17). Shortly after their first report, we proposed that SCVs are 64 phenotypic variants (18). Several studies suggest that phenotypic switching could be regulated 65 by a reversible adaptation mechanism: phase variation (18, 19), traditionally defined as a high-66 frequency ON/OFF switch between phenotypes in a heritable and reversible manner (20-22). 67 Indeed, SCVs spontaneously revert to the WT-like morphotype (15, 16, 18, 23). Yet, recent 68 studies have reported stable genetic mutations in *P. aeruginosa* leading to SCV phenotype in *in* 69 vitro grown biofilms and animal model of PA14 infection (14, 24, 25). The SCV phenotype is 70 typically caused by mutations in genes involved in the metabolism of the intracellular second

messenger c-di-GMP (14, 26). Among them, mutations in the Wsp (Wrinkly Spreader) pathway are the most frequently reported (14, 24, 27). The Wsp pathway is a chemosensory system resulting in activation of the diguanylate cyclase (DGC) WspR in response to surface sensing, which regulates the c-di-GMP pool, along with other DGCs (synthesis of c-di-GMP) and phosphodiesterases (PDE, degradation of c-di-GMP) in *P. aeruginosa* (28-30).

76 C-di-GMP is largely involved in regulation of the phenotypic properties associated with 77 SCVs, though binding to specific receptors. For instance, while an overproduction of 78 exopolysaccharides (EPS) (Pel and Psl) (14, 31) and a motility deficiency, notably flagellar, has 79 been described for SCVs (16, 18, 32), high c-di-GMP levels activate the expression of the *pel* 80 operon, leading to production of the EPS Pel, and repress flagellar motility (33-35). P. 81 aeruginosa SCVs exhibit several other specific properties such as cell surface hyperpiliation and 82 adherence to abiotic surfaces (16, 18, 36). These properties promote biofilm formation (37). 83 Additionally, SCVs exhibit autoaggregative properties (16, 36).

84 It is striking that SCVs have been mostly isolated from infected hosts, essentially CF 85 individuals; or by extension, from laboratory cultivation of strains sampled from infected hosts 86 (13). For instance, several studies have recovered SCVs from lung, sputum or deep throat swabs 87 of CF individuals (12, 16, 17, 38). CF is not the only pathology associated with the emergence of 88 P. aeruginosa SCVs. These variants have also been isolated from urine, feces, endotracheal 89 secretion and pleural effusion of patients suffering from meningioma, anoxic encephalopathy, 90 hepatocellular carcinoma, lung carcinoma or grave asphyxia neonatorum (39). In addition to having 91 been isolated from infected hosts, SCVs have also been generated under in vivo laboratory 92 conditions. For instance, SCVs have been obtained in vivo from P. aeruginosa strains during 93 infections in burn wound porcine models and murine models (24, 40). In the latter study, the authors

have clearly showed that SCVs arisen in infection context are due stable genetic mutation in theirgenomes (24).

96 Intriguingly, 20 years ago we reported one of the first identification of *P. aeruginosa* SCVs 97 that quickly emerged when a soil isolate was grown on a non-aqueous phase liquid, hexadecane, 98 as sole substrate (18). The SCV morphotype of strain 57RP predominates when biofilm growth 99 conditions are preferable and displays features shared with clinical SCVs: high adherence, 100 efficient biofilm formation, hyperpiliation and reduced motility (18). To our knowledge, this 101 study is the only one reporting SCVs for an environmental P. aeruginosa isolate. However, the 102 genetic cause leading to SCV emergence in the environmental context remains elusive. SCVs 103 generated in vitro from P. aeruginosa PAO1 and PA14 showed stable mutations, but these strains, 104 although prototypical, are still of clinical origin (25, 36).

105 Since most SCVs have until now been isolated from clinical samples, it remains unclear 106 how widespread is the ability of P. aeruginosa to develop this phenotype and the genetic 107 mechanism(s) behind SCVs emergence in regard to the origin of the isolate: are they exploiting 108 phase variation or selecting adaptive mutants? Here, we investigated the ability of *P. aeruginosa* 109 isolates from various environmental origins to spontaneously adopt, under laboratory culture 110 conditions, a SCV-like smaller colony morphotype readily distinguishable from their ancestral 111 parent. We tested 22 P. aeruginosa strains from four different categories of environments: soil, 112 food, hospital water systems and clinical; we found that all the P. aeruginosa strains have the 113 ability to adopt the SCV phenotype, regardless of their origin. Whole genome sequencing was 114 performed on SCVs from two strains isolated from distinct environments to investigate the 115 potential genetic causes responsible for the SCV phenotypes. We found that mutations affecting

116 c-di-GMP signalling pathways were responsible for SCV emergence in clinical and117 environmental strains.

118

119 **RESULTS**

120 The ability to form SCV-like morphotype colonies is a conserved feature of *Pseudomonas*

121 aeruginosa

122 Culture conditions promoting biofilm formation select for SCVs of P. aeruginosa (16, 123 18, 36). To broadly investigate the ability of *P. aeruginosa* to adopt a SCV-like morphotype, we 124 cultured 22 isolates from various origins in static liquid medium for 65 h then spread onto TSA 125 plates to obtain isolated colonies. Six strains were from food samples (meat and fish from 126 markets), six from clinical samples (five from CF patients and the clinical prototypic strain PA14 127 from a burn patient), five from petroleum oil-contaminated soil and five from hospital sinks 128 (drain, splash area and tap) (Table 1, columns 1 and 2). To cover the variety of temperatures 129 relevant to these various habitats, the cultures were incubated in a temperature range varying 130 from 30 to 40°C. At the onset, none of the strains were displaying a SCV phenotype, but after 65 131 h of incubation all isolates diversified in a range of colony morphotypes, including small 132 colonies that appeared typical of SCVs (Fig. 1, for selected strains from each origin). Small 133 colonies emerged in the cultures incubated at all tested temperatures (data not shown).

Reported SCVs have an average diameter two to four times smaller than WT colonies. Colonies correspondingly smaller than the parental strains emerged from all 22 strains (Table 1). This result strongly suggests that the ability to produce variant colonies displaying an SCV-like morphotype is a conserved feature of *P. aeruginosa*, regardless of the origin of the strains.

139 Isolated SCV-like morphotype colonies belong to two distinct clusters

140 By taking a closer look at the emerged SCV-like morphotypes, we observed that their 141 sizes (Table 1) and overall appearance (Fig. 1) differ. Some colonies were denser, with well-142 defined round edges and others were more translucent with undefined edges (Fig. 1). We then 143 asked whether these different types of SCV-like morphotypes are indeed *bona fide* SCVs, and if 144 a distinction can be made between them. We focused on five strains from different origins, 145 (Table 1, strains indicated by an asterisk) and isolated the various distinct morphotypic small 146 colonies (SMs for SCV-like morphotype) produced by each following static incubation and 147 plating. Besides their sizes, we looked at several phenotypes typically associated with SCVs: 148 swimming motility defect, biofilm formation and production of EPS, cell aggregation and 149 production of c-di-GMP. Because cell aggregation induces the production of pyoverdine, the 150 fluorescent siderophore of *P. aeruginosa*, while loss of the EPS coding genes, *pel* and *psl*, leads 151 to inhibition of pyoverdine production (41), we used the production of pyoverdine as an indirect 152 measurement of cell aggregation and EPS production. We compiled the phenotypical data for 153 each distinct SMs (Table S1) and performed a principal coordinates analysis (PCoA) based on 154 their colony size, auto-aggregation properties (pyoverdine production), their ability to perform 155 swimming motility, timing of biofilm formation and total biomass of biofilms. In a PCoA, all 156 variables are equally considered to cluster SCVs in significant groups based on their phenotypic 157 profiles and to better understand which SMs are close to each other and could be part of the same 158 clusters. We found that the various distinct SMs generated by the five parental strains clustered 159 in two separate groups (named Cluster 1 and Cluster 2) (Fig. 2). Members of both clusters for the 160 SMs of soil strain 57RP, the sink hospital strain CL-511, the food strain PB PFR11 C2, and the 161 clinical strain FC-AMT0134-9 had phenotypical features that distinguished them from their

parental strain (Fig. 2). Cluster 2 of strain PA14 contained only one isolated SM, but we believe that this is only the result of lower abundance of this form when sampling was performed. These results indicate that two distinct phenotypic types of SCV-like morphotypes emerged under our culture conditions.

166

167 SMs from Cluster 1 are typical SCVs with a reversible state

168 SMs belonging to Cluster 1 of each strain share some common features: a reduced 169 swimming motility, and/or a promoted biofilm formation, and/or enhanced auto-aggregation 170 properties (pyoverdine production) as compared with their parental strain (Table S1 and Fig. S1). 171 These features are typical of SCVs described in the literature. Since these phenotypes are 172 regulated by c-di-GMP, we assessed intracellular c-di-GMP levels in selected SMs of Cluster 1. 173 As expected, higher c-di-GMP levels were measured in Cluster 1 SMs than in their parental 174 counterparts, again indicating that Cluster 1 SMs are indeed typical SCVs (Fig. 3). In addition to 175 quantitative PCoA data, we looked at rugosity of SM colonies, a qualitative phenotype 176 traditionally associated with SCVs. While Cluster 1 SMs colonies display a very distinctive 177 rugose surface as compared with their parental counterparts, rugosity appearance was diverse 178 among the strains (Fig. 4). In conclusion, phenotypic characterisation confirms that SMs 179 belonging to Cluster 1 are typical SCVs.

Finally, we observed the emergence of spontaneous reversion to a larger, parental-like phenotype, a property typically associated with phase variation. As stated above, on agar plates, reversion to the parental-like morphotype was observed after a 48h incubation at 30°C for SMs belonging to Cluster 1 (Fig. 5). Reversion was revealed as an outgrowth from the original colony, but sometimes only by a change in appearance of the colony surface, as seen for instance

185 with isolate PB PFR11 C2 (Fig. 5). This reversibility suggested that SCVs could arise from a186 phase variation process.

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188 Cluster 1 SCVs harbor mutation in c-di-GMP pathways

189 To investigate if SCVs could arise from phase variation, we performed whole genome 190 sequencing of PA14 and 57RP SCVs obtained from independent experiments of 65h static 191 cultivation with the parental strains. The genomes of the parental strains were used as reference 192 for the search for potential mutations in SCVs genomes. Mutations were found in all SCVs 193 (Table 2). Interestingly, they were exclusively detected in genes involved in c-di-GMP 194 metabolism. SCVs randomly selected from the first experiment with the parental strain PA14 195 carry missense single nucleotide polymorphism (SNP) mutations in the yfiN gene, while SCVs 196 obtained from the second and third experiment have mutations in the *wsp* cluster, specifically in 197 the wspA and wspF genes (Table 2). Mutations in the wsp cluster are SNPs, resulting in a 198 missense or a stop codon; except for one variant showing a single base deletion leading to a 199 frameshift. SCVs obtained from 57RP carry mutations exclusively in the wspA gene; 200 specifically, an in-frame 42-bp deletion ($\Delta 285-298$ aa) is present in 12 sequenced SCVs over 13 201 total (Table 2). The other sequenced 57RP SCV also carry a mutation in wspA but it is a 202 missense SNP leading to the replacement of a proline residue by a serine residue, potentially also 203 resulting in modulation of WspA activity (Table 2). These mutations in PA14 and 57RP SCVs 204 genomes are likely to be responsible for the increased c-di-GMP levels we measured (Fig. 3). 205 These results indicate that SCV emergence is largely due to mutations resulting in increased c-di-206 GMP levels. On the other hand, transposon mutants of wspR or yfiN, resulting in the inactivation 207 of DGCs WspR or YfiN in PA14 do not affect the rate of SCV emergence, suggesting this

208 phenomenon is regulated by interchangeable DGCs (Fig. S2). Yet, the genetic cause of the SCV 209 phenotype remains elusive: is it always arising from stable mutations or is it a consequence of 210 reversible mutations accounting for the reversion stated above?

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Cluster 1 SCV emergence is due to stable mutations and a second mutation is 214 responsible for reversions

215 Despite the presence of mutations in the SCVs, reversion is still systematically observed 216 on agar plates; this keeps suggesting that their emergence could be regulated by a phase variation 217 mechanism. For each strain tested, reversions of SCVs are obtained directly within the colony by 218 extending the incubation time of the plate (Fig. 5). However, the inoculation of SCVs under non-219 favorable conditions, e.g. cultivation with agitation, did not enable to detect the emergence of 220 revertants, regardless of the strain (data not shown). This result suggests that the mutations that 221 occurred in PA14 and 57RP SCVs were rather stable. To determine if the SCV phenotype was 222 due to a stable or a reversible genetic mutation, whole genome sequencing was performed on 223 reversion outgrowths of SCV PA14 (SM2) and 57RP (SM2) colony (Fig. 5 and Table 2). In the 224 PA14 SCV (SM2) outgrowth, a second SNP mutation was detected downstream of the first 225 mutation in the same gene, yfiN, which resulted again in a missense codon. We suppose that this 226 second mutation counterbalances the effect of the first mutation and is responsible for the switch 227 from SCV to another morphotype, probably by inactivating YfiN. In the 57RP SCV (SM2) 228 outgrowth, a second mutation was also detected. However, this mutation is in a different gene, 229 wspR, located functionally downstream of the mutated WspA. Thus, regardless of the origin of 230 the strain, reversion was due to a second mutation, indicating that the SCV phenotype is due to

the acquisition of a stable genetic mutation and reversion was not the result of phase variation(Fig. 5 and Table 2).

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SMs from Cluster 2 display phenotypical heterogeneity

235 Unlike Cluster 1 SMs, SMs included in Cluster 2 display inter-strain diversity when 236 considering the phenotypes used for the PCoA (Table S1 and Fig. S1). For instance, among 237 Cluster 2 SMs, swimming motility was intermediate between the parental strain and Cluster 1 238 SMs for strains 57RP and PB PFR11 C2 (Table S1 and Fig. S1, A). However, for strains CL-511 239 and FC-AMT0134-9 the swimming motility was increased compared to both Cluster 1 SMs and 240 the parental strains (Table S1 and Fig. S1, A). In addition to PCoA data, c-di-GMP production in 241 Cluster 2 SMs was also variable depending on the parental strain: 57RP Cluster 2 SMs showed 242 higher levels of c-di-GMP compared with both parental strain and Cluster 1 SMs but CL-511 243 Cluster 2 SMs showed higher production of c-di-GMP only compared to the parental strain (Fig. 244 3). Also, Cluster 2 SMs in the food strain PB PFR11 C2 showed similar production of c-di-GMP 245 and Cluster 2 SMs in the clinical strain FC-AMT0134-9 even lower production of c-di-GMP as 246 compared to their parental strain (Fig. 3). Thus, c-di-GMP levels are not a consistent driving 247 feature for SMs belonging to Cluster 2. The appearance of the colony surface of Cluster 2 SMs is 248 also distinct on Congo Red plates, once again depending on the parental strain. Colonies of SM3 249 and SM4 from 57RP display a rugose surface, however less pronounced than for Cluster 1 250 morphotypes (SM1, SM2, SM5 and SM6), in agreement with their reduced autoaggregative 251 properties (Fig. 4 and Fig. S1, D). For the other strains (PA14, PB PFR11 C2, CL-511 and FC-252 AMT0134-9), SMs from Cluster 2 display a smoother surface on Congo Red agar, closer to the 253 parental strain (Fig. 4). While Cluster 2 SMs show rapid emergence to reproducible phenotypes,

reversion to a larger colonial morphotype akin to WT was only observed for 57RP Cluster 2 SMs
and not for the other strains, after 96 h (Fig. 5). All together, these results indicate that, apart
from strain 57RP, SMs from Cluster 2 do not exhibit most of the typically described features of
SCVs.

258

259 **DISCUSSION**

260 The ability to switch to the SCV phenotype is a conserved feature among *P*. 261 *aeruginosa* strains, regardless of their origin

262 SCVs have been mostly reported in the context of human infections, notably from CF 263 individuals. A correlation between the emergence of P. aeruginosa SCVs and infection 264 persistence in animal models was established, supporting the idea that the SCV phenotype 265 confers a fitness advantage under chronic infection conditions (42-44). Switch towards the SCV 266 morphotype may represent an adaptation strategy to the hostile environment of the host by 267 increasing resistance to host immunity and antimicrobial treatments (43, 45). However, the 268 emergence of SCVs is not exclusively related to a clinical context. For instance, in 2001 Déziel 269 et al. (18) reported the emergence of SCVs in laboratory cultures of a soil P. aeruginosa isolate. 270 However, since then, apart from laboratory-grown prototypical strains P. aeruginosa PAO1 and 271 PA14, both of clinical origins, no SCVs have been reported from a non-clinical context. 272 Therefore, the question of prevalence remained open: is the ability to adopt a SCV phenotype 273 mostly restricted to clinical isolates or clinical context, from chronic infections - or not?

Here, we investigated the distribution of a SCV-based adaptative strategy in *P. aeruginosa* by screening 22 strains from diverse origins. Selective conditions were achieved by static cultivation, a culture condition that generates different microenvironments, as seen by the

277 formation of a pellicle biofilm at the air-liquid interface. Plating of bacteria from static cultures 278 of all 22 strains resulted in the formation of small colonies with sizes similar to SCVs described 279 in other studies (16, 18). However, SCVs are not exclusively defined by the smaller size of their 280 colonies. SCVs are also often identified based on the rugosity of the colony formed on Congo 281 Red agar plates, hence the alternate name RSCVs for Rugose Small Colony Variants (14, 24, 282 43). Nevertheless, rugosity is a subjective feature, and its description may vary according to the 283 observer and culture conditions. Indeed, we have observed that the rugosity level varies between 284 strains. This might be especially true for strains originating from diverse environments, as in the 285 present study. Thus, we decided to take advantage of the various additional phenotypes described 286 for SCVs to ascertain their identity (Fig. 6). To this end, we focused on five strains representing 287 diverse environmental origins. Based on their phenotypic features, the small colonies obtained 288 from each parental strain were clustered into two distinct groups. Small colonies classified in 289 Cluster 1 shared several inter-strain phenotypic features, including reversion visible after 48h. 290 Based on known features, these small colonies can be defined as typical SCVs, validating that 291 SCVs emerge from P. aeruginosa isolated from any origins. Thus, the ability to switch to the 292 SCV phenotype appears an intrinsic feature of the species.

SCVs have always been isolated from biofilm-promoting conditions or from environments where biofilms thrive (16, 39, 40). SCVs are especially prone to adherence and biofilm formation (18, 36, 39). The attached mode of growth (biofilm) is a widespread lifestyle in all types of environments (46-48). Biofilms are protective barriers for their bacterial components and increase tolerance to antimicrobials as compared to free-living bacterial cells, and enhance the ability to survive in extreme conditions, such as desiccation (49-51). Thus, one can easily conceive that the switch to the SCV phenotype confers a significant advantage for

300 colonization of various ecological niches, accounting for the apparently conserved rapid301 switching to the SCV phenotype.

302

303 The SCV phenotype results from a rapidly acquired stable genetic mutation in c-di-

304 GMP systems

305 Intracellular c-di-GMP levels regulate all phenotypes associated with SCVs: EPS 306 production, motility, adherence, etc. (33-35). It is obvious that c-di-GMP thus plays a major role 307 in the regulation of the SCV phenotype, but the role of c-di-GMP remains elusive in the 308 mechanism of emergence. Several studies have reported that SCVs display mutations in genes 309 involved in regulation of c-di-GMP level, particularly genes included in the YfiBNR and Wsp 310 pathways (14, 24, 43). These mutations lead to the activation of the DGC and subsequent 311 increase in c-di-GMP levels, either due to inactivation of the DGC repressor or constitutive 312 activity of the chemosensory protein at the top of the signal transduction pathway. However, 313 none of these studies were based on environmental strains, which is relevant since *P. aeruginosa* 314 is naturally a saprophyte. Thus, involvement of c-di-GMP in the regulation and/or emergence of 315 the SCV phenotype from an environmental parental strain remains elusive. By sequencing the 316 whole genome of cluster 1 SCVs obtained from the prototypical clinical strain P. aeruginosa 317 PA14 and the environmental strain P. aeruginosa 57RP, we wanted to determine if 318 environmental strains can also switch to the SCV phenotype by using the same mechanism as 319 PA14. We detected stable acquired mutations in all the PA14 SCVs genomes we sequenced (14, 320 24). However, the previous reports have concluded on the stability of the mutation in PA14 SCV 321 by using genetic complementation and observing reversion to parental-like morphotype (14, 24). 322 Here, we present the first study that conclude on the stability of mutations based on the whole

323 genome sequencing of revertants, highlighting a second spontaneous mutation responsible for the324 switch of morphotype observed.

325 In a previous report, studying genetic evolution of PA14 upon infection in an animal 326 model, Gloag *et al.* found that all PA14 SCVs displayed driver mutations in the Wsp pathway, 327 mainly in *wspA* and few in *wspF*. Wsp is a chemosensory pathway, activated by surface sensing 328 from WspA, and ultimately leading to the activation of the diguanylate cyclase WspR which 329 catalyses the synthesis of c-di-GMP. This activation loop is regulated by the repressor WspF that 330 acts to reset the system upon phosphorylation by WspE (28, 52). Here, PA14 SCVs from our 331 standing culture conditions acquired mutations in genes of the Wsp pathway, wspA and wspF, 332 but also in yfiN. The distinct membrane-integral DGC YfiN belongs to the YfiBNR pathway. 333 YfiN activity is inversely controlled by the small periplasmic protein YfiR (repression) and the 334 outer membrane protein YfiB (43, 53). While a previous study has reported the importance of the 335 yfiBNR operon in emergence of SCVs in P. aeruginosa PAO1, our results confirm the 336 importance of yfiBNR for SCV emergence in PA14 as well (43). The interest is emphasized by 337 the phylogenetic distance between PAO1 and PA14 strains, belonging to two distinct 338 phylogenetic groups of *P. aeruginosa* (54). Importantly, unlike in this previous study, the yfiBNR 339 mutation in PA14 genome leading to SCV phenotype arose spontaneously. In PAO1, targeted 340 mutation of the gene encoding the DGC inhibitor YfiR led to SCV phenotype (43). Here we 341 present the first report of a spontaneous mutation directly in the gene coding the DGC itself, 342 *vfiN*, leading to the SCV phenotype. Surprisingly, this mutation results in an increased c-di-GMP 343 level, maybe due to a distinct steric hindrance following the replacement of a cysteine residue by 344 a serine.

345 Apart from P. aeruginosa PAO1 and PA14, sometimes considered as laboratory strains 346 rather than clinical strains, this is the first report studying the mechanism of SCV phenotype 347 emergence in *P. aeruginosa* environmental strains, such as 57RP. By sequencing the genomes of 348 several independently evolved 57RP SCVs, we found that the same mutation frequently occurred 349 in wspA. Interestingly, the exact same wspA $\Delta 285-298$ deletion was also the most common 350 mutation detected in PA14 SCVs upon infection of a murine chronic model (24). Several studies 351 have reported mutations in this particular region (14, 55, 56), probably because this region may 352 be hypermutable (24). Yet, it is striking that the deletion was the same in SCVs from PA14 and 353 57RP (24), especially since SCV selection conditions were completely very different. Since this 354 deleted sequence is flanked by repeated inverted sequences, it could be a mobile element (24). 355 However, we were not able to find this sequence at any other location in the parental strain 356 genomes, nor in revertants genomes, suggesting that this may not be a reversible deletion. More 357 so, the deletion was stable since reversion was due to a second mutation in a downstream gene of 358 the *wsp* operon. This deletion was proposed to lead to a constitutive signaling and autoinduction 359 of the Wsp pathway by alteration of methylation/demethylation of WspA, which would result in 360 an increase in c-di-GMP production (24). While this is the first report of a Wsp mutation leading 361 to SCV emergence in an environmental P. aeruginosa strain, it should be emphasized that a 362 mutation in the Wsp pathway leading to the SCV phenotype was first detected in the 363 environmental strain P. fluorescens Pf0-1 (55, 57). All together, these results indicate that c-di-364 GMP plays a central role in SCVs emergence, in strains of both clinical and environmental 365 origins.

366 Interestingly, only one mutation was identified in the genomes of *P. aeruginosa* PA14 367 and 57RP SCVs. The sole other study which has also detected mutations having appeared

368 spontaneously in *P. aeruginosa* PA14 SCV reported secondary mutations in the SCVs genome 369 (24). Also, after a unique 65h incubation of the parental strain under static culture conditions, 370 SCVs from both P. aeruginosa PA14 and 57RP represented 44.4 % and 18.7 % of the total 371 population, respectively. This indicate that, regardless of the strain origin, mutants in c-di-GMP 372 pathways are selected *in vitro* to adapt to specific conditions and switch to the SCV phenotype 373 (Fig. 6). Among all the c-di-GMP pathways known in *P. aeruginosa*, Wsp and YfiBNR seem to 374 be preferred pathways involved in SCV emergence. However, although the c-di-GMP increase 375 resulting from alteration in Wsp and YfiBNR pathways are responsible for SCVs emergence, the 376 opposite was not true. Inactivation of the DGCs WspR and YfiN, resulting in inability to produce 377 c-di-GMP through this pathway did not affect the rate of emergence of SCVs. Thus, regulation 378 of SCV emergence through c-di-GMP mechanisms could be based on interchangeable DGCs, 379 ready to take over the inactivity of one of the pathways.

380

381 SCVs could also emerge from a phase variation mechanism, undetectable under 382 laboratory conditions

Phase variation is a common phenomenon among Gram-negative bacteria and is typical of bacteria thriving in heterogeneous ecological niches (21, 22, 58), notably *P. aeruginosa* (19). Unlike acquisition of stable mutations, phase variation mechanism represents a significant advantage for the rapid adaptation to sudden changes in the environment (59, 60). Indeed, phase variation mechanisms lead to emergence of a heterogeneous population in which the best suitable phenotype will multiply until the conditions fluctuate again and the selected phenotypes revert to another phenotype.

390 Although SCVs are due to stable genetic mutations under our experimental conditions, 391 i.e. irreversible mutations, we cannot exclude that the adoption of the SCV phenotype could also 392 rise from a reversible phase variation regulated mechanism in natural habitats. Several reports 393 support this hypothesis. First, phenotypes traditionally related to SCV (motility, aggregation) are 394 often regulated by phase variation mechanisms (21). In addition, reversible adaptation 395 mechanisms are based on transitory DNA rearrangements (gene conversion, genomic inversion, 396 DNA recombination.) and lead to variation in gene expression (20). Indeed, one recent study 397 reports a large genomic inversion in *P. aeruginosa* SCVs (61). Finally, reversion of SCVs has 398 been observed several times and could be due to phase variation instead of emergence of a 399 second mutation, but no whole genome sequencing has been performed to conclude (15, 16, 18). 400 Yet, SCVs reversion occurred toward a phenotype likely different from the parental morphotype 401 (16, 23), suggesting that regulation is not necessarily an ON/OFF switch on a particular locus 402 and could be due to a secondary mutation in the genome.

403 Under our conditions, SCVs from phase variation mechanism could have arisen and were 404 undetectable in our conditions with our technique. Two elements could explain this limitation: 405 (1) the "reversible" SCVs are present but in undetectable quantities to be observed after sampling 406 and agar spreading or (2) "reversible" SCVs were present but reverted to another morphotype 407 when the samples were spread on agar plates. Indeed, various phenotypes were observed on agar 408 plates after 65h of standing incubation, likely to have emerged in the static liquid culture but they 409 could have also emerged directly on the agar plate. To verify this hypothesis and verify that 410 SCVs can also arise from a reversible mechanism, it would be interesting to follow the 411 emergence of SCVs in the static culture using a detectable marker.

413 Small colonies are not necessarily SCVs, nor variants

During our experiments with static cultures, we observed several small-colony morphotypes. Based on our PCoA analysis, a proportion of them were clustered in two distinct groups (Fig. 2). Except for strain 57RP, the SMs from Cluster 2 did not display clear reversion after 48 h on solid medium (data not shown). However, SMs from Cluster 2 could still be able to revert in conditions outside the ones tested in our study. Also, their rate of emergence seemed too high for mutants (Table 1). Thus, we wonder if cluster 2 SMs should be identified as variants based on our criteria.

In contrast with SMs from Cluster 1, SMs from Cluster 2 showed inter-strain heterogeneous features. We observed a large diversity of morphotypes on plates prepared from our static cultures. Among them, large colonies also displayed features similar to revertants (16). This observation indicates that reversion could have occurred in the static liquid cultures, and intermediate forms could consequently be isolated. Maybe several mechanisms are acting in parallel to induce the phenotypical diversity we observed, thus promoting the selection of the best adapted subpopulation.

428 The SCV phenotype has been linked to the persistence of *P. aeruginosa* in the context of 429 infections in a human host, notably because of its increased resistance against antimicrobials and 430 host immunity. However, we have demonstrated here that strains isolated from soil, food and 431 hospital environments can also readily adopt a SCV phenotype. This indicates that the ability of 432 P. aeruginosa to form SCVs is a conserved feature of this species, and SCVs emergence is not 433 exclusively related to the pressure of the infection-related clinical environment. This is the first 434 report of high prevalence of SCVs among P. aeruginosa strains, regardless of the origin of the 435 isolates. The SCVs identified showed specific mutations in genes related to regulation of the c-

di-GMP intracellular levels. The Wsp and YfiBNR systems were the primary pathways used to increase c-di-GMP level and switch to SCV phenotype. Emergence of SCV in the various habitats allows *P. aeruginosa* to rapidly adapt and persist under diverse environmental conditions, accounting for its versatility and persistence. A deeper comprehension of the adaptation strategy used by *P. aeruginosa* could ultimately provide innovative strategies for eradication of this opportunistic pathogen of public concern.

442

443 MATERIALS AND METHODS

444

Bacterial strains and growth conditions

445 Bacterial strains are listed in Table 1 and their specific origin are listed in Table S2. In this study, 446 the term "parental strain" designs the original strain used to evolve other morphotypes in static 447 cultures, including SCVs. Strains were grown in tryptic soy broth (TSB; BD), at 37°C in a TC-7 448 roller drum (New Brunswick Scientific) at 240 rpm for the parental strains and at 30°C in an 449 Infors incubator (Multitron Pro) at 180 rpm (angled tubes) for the isolated evolved morphotypes. 450 Static cultures were inoculated with the parental strain at an initial OD_{600} of 0.05 and incubated 451 at 30, 30.9, 32.2, 33.9, 36.3, 38, or 40°C for 65 hours. Cultures were then spread on tryptic soy 452 2% agar plates (TS-Agar; AlphaBiosciences), unless stated otherwise. Two percent agar was 453 utilized to limit expansion of colonies and improve isolation of the distinct morphotypes.

- 454
- 455

Bradford protein assay

456 Due to the highly aggregative properties of SCVs, OD_{600} measurements were not appropriate to 457 evaluate growth of some of the isolated evolved morphotypes. Instead, the Bradford protein 458 assay was used to quantify the concentration of total proteins in all our samples. Pellets from 1

ml of culture were resuspended in 1 ml 0.1 N NaOH and incubated 1 h at 70°C. Protein
concentrations were measured on samples according to the manufacturer guidelines for the
Bradford reagent (Alfa Aesar).

462

463	Phenotypic	tests

464 Overnight (O/N) cultures of parental strains and their isolated morphotypes were grown at 30°C
465 in an Infors incubator (Multitron Pro) at 180 rpm in angled tubes. Since biofilm formation
466 occurred in cultures, they were transferred to clean tubes to perform experiments or Bradford
467 protein quantifications. Statistical analyses were achieved using Ordinary one-way analysis of
468 variance (ANOVA). Each phenotypic test was performed in technical triplicates.

469

470

Morphology on Congo red plates

A 1% Congo red solution in water (Fisher SCIENTIFIC) was added to TS-Agar 2% to a final
concentration of 0.1%. Ten μL of culture were spotted on the plates. Plates were incubated at
30°C and observed after 24 h, 48 h and 96 h. Plates were observed with a binocular StemiDV4
(Zeiss) and photos were taken with the camera DMC-ZS60 (Panasomic Lumix).

475

476 Swimming motility tests

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477 Swim plates (20 mM NH<sub>4</sub>Cl, 12 mM Na<sub>2</sub>HPO<sub>4</sub>, 22 mM KH<sub>2</sub>PO<sub>4</sub>, 8.6 mM NaCl, 0.5% Casamino
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478 acids (CAA), 0.3% Bacto-Agar (BD), supplemented with 1 mM MgSO₄, 1 mM CaCl₂ and 11

- 479 mM dextrose) were prepared and dried for 15 min under the flow of a Biosafety Cabinet. A
- 480 volume of 2.5 µL of culture was inoculated in the agar. Plates were incubated for 20 hours at

- 481 30°C. Swimming ability was assessed by measuring the area (mm²) of the turbid circular zone
 482 using ImageJ. All experiments were performed in triplicates.
- 483
- 484 **Biofilm formation**

485 Microtiter (96-well) plates containing 1/10 TSB supplemented with 0.5% CAA were inoculated 486 from a transferred O/N culture in order to obtain a starting concentration of 70 mM proteins. 487 Each sample was inoculated in five different wells. Plates were incubated at 30°C without 488 agitation. After 6 and 24 h, plates were rinsed thoroughly with distilled water and 200 µL of a 489 1% crystal violet solution was added to each well. After 15 minutes of incubation at room 490 temperature, plates were rinsed thoroughly with distilled water and the dye was solubilized in 491 300 µL in 30% acetic acid. The absorbance was measured at 595 nm with a microplate reader 492 (Cytation3, Biotek). Initiation of biofilm formation was calculated as the % of biofilm formed 493 after 6 h of incubation compared with total biofilm formed after 24 h incubation. Total biomass 494 of the biofilm was calculated as the amount of biofilm formed after 24 h, measured by crystal 495 violet absorbance at 595 nm after 24 h of incubation.

496

497 **Pyoverdine production**

Overproduction of pyoverdine was previously noted as a feature of strain 57RP SCVs (18). We confirmed that a SCV from PA14 expresses high fluorescence level at the wavelength of pyoverdine emission, likely to account for cell aggregation and EPS overproduction. An SCV isolated from a PA14 *pvdD* mutant (62), which is no longer able to produce pyoverdine, showed lower fluorescence levels, similar to parental colonies, confirming that [1] pyoverdine production is responsible for the fluorescence detected and [2] measured fluorescence is correlated with

504 SCV aggregation properties (Fig. S3). To measure pyoverdine production, black 96-well plates 505 (Greiner) were filled with 200 μ L of culture. Fluorescence was measured at wavelengths 390 506 nm/530 nm excitation/emission using a multimode microplate reader (Cytation3, Biotek).

- 507
- 508

C-di-GMP quantification

509 Intracellular levels of c-di-GMP were assessed with the fluorescence-based biosensor pCdrA-510 gfpC (63, 64), acquired as addgene plasmid #111614; http://n2t.net/addgene:111614; 511 RRID:Addgene 111614. Purified plasmids were transformed by electroporation in evolved 512 morphotypes obtained from static cultures (65). Transformants were selected on TS-Agar 2% 513 supplemented with 100 µg/ml gentamycin. Three clones for each transformed morphotypes were 514 cultured in TSB supplemented with gentamycin 100 µg/ml. Cultures were washed twice in fresh 515 TSB to get rid of a potential non-specific fluorescence due to secreted fluorescent pigments as 516 pyoverdine. Fluorescence was measured using a Cytation3 microplate reader (BioTek) at 490 517 nm/515 nm (excitation/emission) in black 96-well plates (Greiner). The non-transformed strain 518 was used as a control. Fluorescence from the control was subtracted from the fluorescence signal 519 for the transformed strains.

520

521 PCoA analysis

522 Colonies identified as SMs compared with their parental isolate (see Results) were used to 523 perform a principal coordinate analysis (PCoA). Statistical analyses were performed using 524 RStudio software version 1.3.1093 (66) with normalised data showed in Table S1. A Euclidean 525 distance matrix was used to generate a clustering of the bacterial isolates according to their 526 phenotypical profile. A Similarity Profile Analysis (simprof) was performed to determine the

527	number of significant clusters produced using hclust with the assumption of no a priori groups.
528	Significant clusters were considerate when at least two evolved morphotypes constituted it.
529	

530

Sequencing and analysis

531 To analyse SCVs genomes and compare them with corresponding parental strain genomes, 532 genomic DNA was extracted using the EasyPure® Genomic DNA Kit (Transgen Biotech), 533 according to the manufacturer's protocol from 200 µL of O/N culture of colony variants in TSB. 534 Clonal DNA was sequenced on the Illumina NextSeq 2000 at the Microbial Genome Sequencing 535 center (MiGS); 2x151 bp sequencing paired end reads were trimmed and quality filtered using 536 fastp v0.23.2 (67). Filtered reads were assembled using Skesa through Shovill v1.1.0 and 537 annotated using prokka v1.14.6 to be used as reference sequences (68). The reads were then used 538 for variant calling using snippy v4.6.0 (https://github.com/tseemann/snippy) using default 539 settings.

540 Presence of a 42 bp deletion in wspA for additional 57RP colony variants DNA was confirmed 541 by amplifying a 200 bp PCR fragment with primers F-CGGAGACTTCGCTCATGGT and R-542 AGAGCTCAAGGGCCTGGT. The detection of amplified products was performed on a 2% 543 agarose gel electrophoresis.

544

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552	submit the work for publication.
553	
554	AB and ED conceived the project, contributed to experimental design and interpreted
555	results. AB, MCG and MT contributed to data acquisition. AV and AB analysed sequencing. AB
556	wrote the manuscript. MCG and ED reviewed and edited the manuscript.
557	
558	

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775 FIGURE LEGENDS

776

777	Fig. 1. Small colonies of <i>Pseudomonas aeruginosa</i> emerge in static cultures from strains
778	isolated from various origins.

- Parental strains were inoculated under static liquid conditions in TSB for 65 hours and spread
- 780 onto TS-Agar 2% plates. Black arrows indicate smaller colonies. White arrows indicate parent-
- 781 like colony. Scale bars represent 5 mm.

782

783 Fig. 2. Small colonies isolated from static cultures are clustered in two separate groups

784 according to their phenotypic features.

785 PCoA analysis were performed with a matrix composed of data obtained from the phenotypic 786 analyses (swimming, biofilm formation, and pyoverdine production) for the parental strain and 787 distinct small colonies isolated from static cultures with a diameter at least two times smaller 788 than parental strain (Table S1). All variables were equally considered to cluster colonies in 789 significant groups based on their phenotypic profiles. Each point represents a small colony isolated from the static cultures and has a name code composed of SMx standing for Small 790 791 Morphotype where x is an arbitrary number attributed during the isolation of the colonies. The 792 identification of statistically distinctive clusters was performed using simprof tests and hclust.

794	Fig. 3. c-di-GMP production is altered for SMs from Cluster 1 and 2 compared with their
795	respective parental strain. c-di-GMP production was measured with the fluorescent-based
796	biosensor pCdrA-gfp on O/N washed cultures. The values are means \pm standard deviations (error
797	bars) for selected transformed morphotypes belonging to each cluster: transformed morphotypes
798	were SM2 and SM6 (cluster 1) and SM4 (cluster 2) for strain 57RP; SM4 and SM5 (cluster 1)
799	for strain PA14; SM8 and SM9 (cluster 1) and SM10 (cluster 2) for strain CL-511; SM1 and
800	SM2 (cluster 1) and SM3 and SM6 (cluster 2) for strain PB PFRC11 2; SM9 (cluster 1) and SM5
801	and SM7 (cluster 2) for strain FC-AMT0134-9. Three transformants of each SMs were
802	considered in the calculation of mean and standard deviations. Stars represents the statistical
803	significance of the results calculated by an Ordinary one-way analysis of variance (ANOVA),
804	****, P Value ≤ 0.0001 ; **, P Value ≤ 0.01 ; ns, not significant. Data are normalized between
805	them based on their parental strain.
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807	Fig. 4. Appearance of colonies for the parental isolates and SMs from Cluster 1 and Cluster
808	2 on Congo Red plates. The SM shown for each cluster is representative of all the SMs included
809	in one cluster since they have a similar appearance. Plates were observed with a binocular
810	StemiDV4 (Zeiss) and photos were taken with a DMC-ZS60 camera (Panasonic Lumix), after 24
811	h of incubation at 30°C.

813 Fig. 5. Reversion occurs on solid media for specific morphotypes after 48 h incubation. Ten

- 814 µl of a culture of parental strain or a cluster representative morphotype (SMs) were dropped on
- 815 0.1% congo red TS-Agar 2% plates. Plates were observed with a binocular StemiDV4 (Zeiss)
- 816 and photos were taken with the camera DMC-ZS60 (Panasonic Lumix), after 24 h, 48 h and 96 h
- 817 of incubation at 30°C. Scale bars represent 5 mm.
- 818
- 819 Fig. 6. Schematic summary of SCV features compare to parental strain
- 820

821 Table 1. Colony diameters and prevalence of parental isolates and their static liquid culture

822 evolved small morphotypes.

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Strain	P. aeruginosa	Morphotype ^a	Colony diam. (mm) [¢]	Ref	SMs %
Clinical strains	FC-AMT 0102-8	parental isolate SCV-like morphotypes	1.57 0.65 ±0.09	(69)	8.14 ±4.47
	FC-AMT 0127-13	parental isolate SCV-like morphotypes	2.24 0.63 ±0.15	(69)	99.2 ±0.41
	FC-AMT 0134-9*	parental isolate SCV-like morphotypes	4.21 0.83 ±0.26	(69)	6.91 ±5.95
	FC-AMT 0127-2	parental isolate	2.19	(69)	
	FC-AMT 0166-22	SCV-like morphotypes parental isolate	0.73 ±0.18 2.27	(69)	19.3 ±8.66
	ED14/PA14*	SCV-like morphotypes parental isolate	0.74 ±0.22 3.16	(70)	6.41 ±3.36
Food strains	ABO VB50 C1	SCV-like morphotypes parental isolate	1.24 ±0.14 4.50	(71)	44.4 ±9.62
	BG VB5 C2	SCV-like morphotypes parental isolate	0.63 ±0.39 4.53	(71)	6.44 ±4.06
	PB PFR11 C2*	SCV-like morphotypes parental isolate	1.12 ±0.17 2.96	(71)	18.7 ±5.63
	ABO PF5 C1	SCV-like morphotypes parental isolate	1.17 ±0.24 2.38	(71)	17.4 ±7.98
	BG VB11 C1	SCV-like morphotypes parental isolate	0.84 ±0.23 2.28	(71)	5.63 ±0.20
	ADJ VB12 C1	SCV-like morphotypes parental isolate	0.93 ±0.17 2.30	(71)	4.87 ±1.87
Soil strains	19SJV	SCV-like morphotypes parental isolate	0.91 ±0.27 3.55	(71)	7.38 ±3.22
	34JR	SCV-like morphotypes	1.01 ±0.32 7.20		25.9 ±10.6
		parental isolate SCV-like morphotypes	1.78 ±1.08	(72)	4.51 ±1.33
	57RP*	parental isolate SCV-like morphotypes	2.61 1.07 ±0.24	(72)	18.7 ±8.79
	18G	parental isolate SCV-like morphotypes	10.14 1.76 ±1.45	(72)	11.1 ±7.69
	PG201	parental isolate SCV-like morphotypes	6.08 1.64 ±0.86	(73)	20.4 ±14.9
Hospital sink strains	CL-511*	parental isolate SCV-like morphotypes	7.97 1.56 ±0.45	(74)	50.5 ±29.2
	CL-542a	parental isolate SCV-like morphotypes	2.47 0.95 ±0.22	(74)	20.1 ±5.29
	CL-534a	parental isolate SCV-like morphotypes	2.52 0.72 ±0.33	(74)	7.58 ±2.29
	CL-547b	parental isolate SCV-like morphotypes	3.32 0.97 ±0.41	(74)	6.72 ±0.73
	PAO303	parental isolate SCV-like morphotypes	3.63 1.00 ±0.55	(75)	5.29 ±2.09
					5.20 22.00

824	^{<i>a</i>} colonies were considered to be SCV-like morphotype when their diameter was at least half that
825	of the parental isolate
826	^b average diameters of the small colonies
827	* strains marked with an asterisk were selected for further phenotypic characterization
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SCV sequencing (reference genome = parental)				Revertant sequencing (reference genome = parental)			
Strain	Exp. no.ª	SCV no.	Gene	Mutation ^b	Name	Gene	Mutation
57RP	1	1	wspA	del 285-298			I
		2	wspA	del 285-298	Revertant- SCV no. 2	wspA	del 285-298
		3	wspA	del 285-298		wspR	Leu71ms
		4	wspA	del 285-298		·	
		5	wspA	Pro479ms			
	2	6	wspA	del 285-298°			
		7		del 285-298 [°]			
		8		del 285-298 [°]			
	3	9	wspA	del 285-298 ^c			
		11		del 285-298 ^c			
		12		del 285-298 ^c			
	4	13	wspA	del 285-298 [°]			
		14		del 285-298°			
		15		del 285-298°			
PA14	1	1	yfiN	Cys166ms			
		2	yfiN	Cys166ms	Revertant- SCV no. 2	yfiN	Cys166ms
		3	yfiN	Cys166ms		yfiN	Asp304ms
	2	4	wspF	Val318fs			
	3	5	wspF	GIn297ns			
	4	6	wspA	Ala422ms			

844 Table 2. Mutations identified in 57RP and PA14 SCVs and their revertant

845 ^{*a*} Experiment number – each experiments are performed individually from a distinct inoculum of

846 the parental strain

847 ^b deleted amino acid residues are indicated. del, deletion; ms, missense; fs, frameshift; ns,

848 nonsense

^c deletion was detected by PCR and whole genome was not sequenced













