Estimating Speed-Accuracy Trade-offs to Evaluate and Understand 1 **Closed-Loop Prosthesis Interfaces** 2 Pranav Mamidanna¹, Jakob L Dideriksen¹ and Strahinja Dosen¹ 3 ¹ Department of Health Science and Technology, Aalborg University, 9220 Denmark 4 5 6 **Abstract** 7 Objective: Closed-loop prosthesis interfaces, combining electromyography (EMG)-based control with non-8 invasive supplementary feedback, represent a promising direction to develop the next generation of user 9 prosthesis interfaces. However, we still lack an understanding of how users make use of these interfaces, and 10 how to evaluate competing interfaces. In this study we use the framework of speed accuracy trade-off functions 11 (SAF) to understand, evaluate and compare the performance afforded by two closed-loop user-prosthesis 12 interfaces. Approach: Ten able-bodied participants and one amputee performed a force matching task in a functional 13 14 box-and-blocks setup at 3 different speeds. All participants were subject to both interfaces in a crossover 15 fashion with a one-week washout period. Importantly, both interfaces used (identical) direct proportional 16 control but differed in the feedback provided to the participant – EMG feedback vs force feedback. We thereby 17 estimated the SAFs afforded by the two interfaces, and additionally sought to understand how participants 18 planned and executed the task in the various conditions. 19 Main results: We found that execution speed significantly influenced the performance, and that EMG 20 feedback afforded better performance overall. Notably, we found that there was a difference in SAF between the two interfaces, with EMG feedback enabling participants to attain higher accuracies faster than Force 21 22 feedback. Further, both interfaces enabled participants to develop flexible control policies, while EMG 23 feedback also afforded participants to generate smoother more repeatable EMG commands. 24 **Significance:** Overall, the results indicate that closed-loop prosthesis interfaces afford subjects to exhibit a 25 wide range of performance, which is affected both by the interface and the execution speed. Thereby, we argue 26 that it is important to consider the speed accuracy trade-offs to rigorously evaluate and compare (closed-loop) 27 user-prosthesis interfaces. 28 Keywords: Speed-accuracy trade-off, Myoelectric Prosthesis Control, EMG Biofeedback, Force Feedback, 29 Motor Skill, Closed-loop Interfaces

Introduction

31

32 Myoelectric interfaces that leverage electromyographic (EMG) signals recorded non-invasively from the 33 residual muscles of amputees enable control of advanced upper limb prosthetic devices. These interfaces have been combined with supplementary feedback using non-invasive vibrotactile or electrotactile stimulation and 34 principles of sensory substitution, to provide users with useful information regarding the state of the prosthesis. 35 36 Together, these approaches promise to address a key challenge of closing the user-prosthesis loop to create the next generation of non-invasive interfaces aimed at improving the reliability and intuitiveness of controlling 37 38 prostheses [1], [2]. 39 A key limitation in the development of such closed-loop interfaces is a lack of more basic understanding of 40 the role of supplementary feedback in the user-prosthesis interaction [3]. Researchers in the field have used 41 tools and concepts from human motor learning and control to better understand how subjects integrate 42 supplementary feedback to plan and control their devices. Consequently, supplementary feedback has been 43 shown to aid learning internal models of the prosthesis [4], [5], improve state estimation [6] and psychosocial 44 aspects of subjective experience [7], [8]. This knowledge was successfully applied to design better interfaces 45 and to evaluate existing interfaces [9]. Despite these promising recent developments, the understanding of 46 motor control in the context of prosthesis use is still in its infancy. 47 In a recent study, we showed how subjects could take advantage of supplementary feedback to develop flexible 48 prosthesis control policies and exhibit a speed-accuracy trade-off [10]. Speed-accuracy trade-off is a ubiquitous 49 behavioral phenomenon, observed in several species and across several tasks from foraging to tool use [11]. 50 The speed-accuracy trade-off function (SAF) has been used as an instrument to understand both perceptual 51 and motor ability and has a wide reception in the field of human-machine interfaces, building on seminal work 52 by Fitts [12]. A variety of tasks inspired by this experimental paradigm have been applied in the context of 53 myoelectric control [13]–[20]. In classical Fitts' style pointing tasks, participants are required to move a cursor 54 to a target location, specified by a target width and distance, and their movement time is recorded. Thereby, 55 these experiments determine speed (movement time) as a function of task difficulty, while accuracy in such 56 tasks is a given and correspond to "asymptotic" performance. Alternatively, one could hold task difficulty 57 constant, and measure how the accuracy changes when the same task is performed at different speeds, a 58 framework that has been successfully used in understanding motor skill [21]–[23]. 59 A SAF so measured can be characterized by its intercept, rate, and asymptote, without making any assumptions 60 on the functional form of the trade-off, barring monotonicity [24] (see Figure 1). The intercept characterizes 61 the minimum time required to have any chance of success, the rate provides information about how rapidly the 62 trade-off between speed and accuracy can be achieved, and the asymptote characterizes a performance ceiling 63 when one performs a task slowly and carefully. Therefore, SAF has been proposed as a preferred metric to

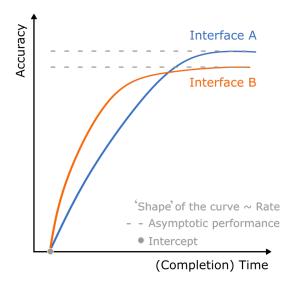


Figure 1: **Speed-Accuracy Trade-off.** A cartoon depicting the concept of speed-accuracy trade-offs as characterized by (1) intercept, (2) rate and (3) asymptotic performance, for two different interfaces.

measure and understand a participant's overall performance and motor ability [22], [24]. However, no current user-prosthesis interface has been analyzed using this methodology.

64

65

66 67

68 69

70

71 72

73

74

75

76

77

78

79

80

81

82

A common practice in the field to evaluate (the effectiveness of) interfaces involves measuring performance in a given task at a 'comfortable pace'. We argue that such an evaluation, which corresponds to sampling the SAF at a single point, is an insufficient indicator of the range of performance afforded by the (closed-loop) interfaces. Moreover, a comparison of competing interfaces is compromised when the comparison is based on a single point on the SAF. Such a comparison is limited in scope (a single point vs. a full SAF) and it could even entail comparing different points while assuming they are the same (a 'comfortable pace' might differ across subjects, tasks, and interfaces). Determining the SAF, on the other hand, allows a comprehensive characterization of performance and can provide unique insights that can be used to make informed choices. For example, consider two hypothetical interfaces shown in Figure 1. Sampling the two interfaces at different points of their respective SAFs leads to different conclusions about which interface affords better performance. Moreover, a user who emphasizes speed may be better off with interface A, but relaxing this requirement suggests interface B is a better bet, information which is only available through the SAF. Such a comprehensive assessment becomes even more pressing as there are several promising user-prosthesis interfaces that use different (combinations of) control (e.g., direct proportional, pattern recognition, regression etc. [25]) and feedback interfaces (e.g., force, aperture, proprioceptive feedback using different modalities [26]). Narrowing down the focus to closed-loop control of grasping force, arguably the critical function of hand prostheses, several (feedback) interfaces have been proposed in the literature [3], [26], [27]. However, comparisons of these interfaces are difficult since the performance is sampled at a single, and possibly different, point along

84 the SAF.

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

106

109

In this experiment, we empirically study the SAF in closed-loop myoelectric control, using the prosthesis force-matching paradigm in a functional task – the box and blocks test – to (1) show how SAF can be used to evaluate (closed-loop) prosthesis interfaces and (2) thereby understand how they affect users' ability to control the prosthesis. Specifically, we compare two interfaces which both use direct proportional control to modulate prosthesis velocity but differ in the feedback they provide to the subject – EMG feedback [28]–[30] vs force feedback (see Table A1 in [3]). We use a prosthesis force-matching paradigm to understand how well the two interfaces enable participants to achieve the same target force at three different speeds, ordinally defined as fast, medium, and slow (see Methods: Experimental Design). Since the difficulty of the task itself and control interface are fixed, the performance differences that arise from this experiment are a consequence of the feedback interfaces. Having sampled the SAF at the three distinct speed requirements, we investigate how the SAF differ for the two interfaces and analyze how the participants' control policies change both across interfaces and speeds. Finally, we investigated if the results extend to amputees, using a case study of a single amputee.

Methods

Participants

- Ten healthy, able-bodied participants (7 male and 3 females with a mean age of 28 ± 2 years) and one
- transradial amputee (female, 49 years old, 10 years since traumatic amputation of non-dominant hand, limited
- daily use of a single DoF myoelectric prosthesis) were recruited. All participants signed an informed consent
- form before the start of the experiment. The experimental protocol was approved by the Research Ethics
- 105 Committee of the Nordjylland Region (approval number N-20190036).

Experimental Setup

- 107 The experimental setup is shown in Figure 2A. Able-bodied participants donned an orthotic wrist
- 108 immobilization splint, to produce near-isometric wrist flexion and extension, and the prosthetic device
 - (Michelangelo hand, OttoBock, DE) was attached to the splint, with the arm placed in a neutral position. A
- custom-fit socket was made for the amputee. Two dry EMG electrodes with embedded amplifiers (13E200,
- Otto Bock, DE) were placed over the wrist flexors and extensors of the right forearm, located by palpating,
- and visually observing muscle contractions. Five vibrotactors (C-2, Engineering Acoustics Inc.) were
- positioned equidistantly around a cross-section of the upper arm and an elastic band was used to keep them in
- place. A standard Box and Blocks setup was used for the experimental task. The task instructions were shown

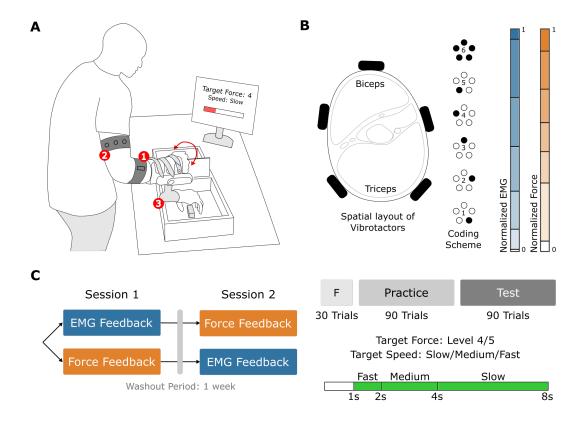


Figure 2: **Experimental setup and protocol.** (A) Sketch of the experimental setup showing 1. Two dry EMG electrodes placed on the forearm, 2. Vibrotactor array for delivering feedback placed on the upper arm and 3. The Michelangelo prothesis. (B) Vibrotactor array arrangement and coding scheme used for the feedback interfaces. Bars indicate how the normalized EMG and Force range was discretized to provide feedback. (C) Experimental protocol indicating the design (AB-BA crossover), trial structure and force and speed targets.

on a computer screen placed at a comfortable viewing angle and distance. The prosthesis was connected to a standard laptop PC through a Bluetooth link, while the vibrotactors were connected through a USB port. The control loop for the experiment was implemented in MATLAB Simulink using a toolbox for testing human-in-the-loop control systems [31] and operated on the host PC in real time at 100Hz through the Simulink Desktop Real Time toolbox.

EMG Control

Participants used near-isometric wrist flexion and proportional control to generate velocity commands to close the prosthesis. Opening the prosthesis was triggered by a strong contraction (see below) of the wrist extensors, instead of proportional control, since fine control of the opening was not relevant for the study. Two electrodes, placed on the flexors and extensors as explained above, were used to record the root mean square of the windowed (100 ms) EMG signal at 100Hz through the embedded prosthesis controller. The signals were

subsequently filtered digitally using a second order Butterworth low-pass filter with a 0.5 Hz cutoff. The EMG

envelope from each of the electrodes was normalized to 50% of the maximum voluntary contraction (MVC).

For the flexor EMG, this corresponded to the maximum closing velocity of the prosthesis. A piecewise linear

mapping between EMG amplitude and closing velocity was used to design the proportional controller, to

compensate for the higher variability in the EMG signal at higher amplitudes (stronger contractions). The

breakpoints for the mapping were defined as follows: EMG = {0.01, 0.1, 0.27, 0.47, 0.69, 0.95, 1}, velocity =

{0, 0.25, 0.42, 0.59, 0.76, 0.9, 1}. For the extensor however, participants simply needed to reach 0.4 on the

normalized range (corresponding to 20% MVC) to trigger hand opening.

Vibrotactile Feedback Interfaces

127128

129

130

131132

133

134

136

137138

139140

141

142

143

144

145

155

135 In this study, we compared two feedback interfaces – EMG feedback and Force feedback. Both interfaces were

identical in terms of the hardware and encoding (described below) and differed only in the variable which was

provided as feedback – participants' own EMG command vs prosthesis force. Five vibrotactors were placed

circumferentially and equidistantly on the upper arm around a cross section containing the biceps. An elastic

band was used to keep the tactors in place. A spatial encoding scheme consisting of six discrete levels of the

feedback variable (EMG command or grasping force) was used for both interfaces. The first five levels were

indicated by activating one of the tactors from the array while the sixth level was conveyed by activating all

the tactors simultaneously (Figure 2B). If the vibrotactors evoked an unpleasant or poorly localized sensation,

their position was adjusted until the participants could easily distinguish all six stimulation patterns (levels).

The vibration frequency for all tactors was set to 200 Hz, and the stimulation pattern was updated at 50 Hz.

EMG Feedback

- In this interface, the participants were provided feedback about the EMG signal that they generated using their
- flexor muscles to control the closing velocity of the prosthesis. The six discrete levels were defined using the
- breakpoints of the piece-wise linear mapping described in section "Methods: EMG Control." Therefore, as
- soon as the participants started contracting their wrist flexors, they received feedback about the EMG level (1-
- 150 6) they were generating, thereby enabling them to predictively modulate to the target level. The breakpoints
- of the piecewise mapping were designed in such a way that if the participants reached a particular level of
- EMG (with the object contact established and stable), they will have applied the same level of force on the
- object. For instance, if a participant would generate and maintain EMG level 2, the prosthesis would close
- around the object and exert the level 2 of the grasping force (level boundaries defined in the next section).

Force Feedback

- 156 The force applied on the blocks was measured by a sensor embedded within the prosthesis. The measured
- force, sampled at 100Hz by the embedded controller, was normalized and divided into six discrete ranges
- (levels) with boundaries at {0.05, 0.31, 0.45, 0.58, 0.73, 0.9 and 1} on the normalized scale. With this feedback

159 interface, the participants received feedback on the level of force (1-6) applied on the object. Contrary to EMG feedback, where vibrotactile stimulation was delivered as soon as the myoelectric signal crossed the threshold 160 of the dead-zone (e.g., when the prosthesis started closing), in the case of force feedback, the stimulation was 161 162 delivered only after contact was established with the object. 163 **Experimental Design** The experiment was designed as an AB-BA crossover trial over two sessions with a one-week washout period 164 165 between the sessions (Figure 2C). Half of the participants started with EMG feedback interface in Session 1 166 and switched to Force feedback in Session 2, while the other half of the participants did the opposite. A 167 crossover design has been selected to control inter-group variability. In each session, the participants were 168 instructed to perform the box and blocks test with two additional constraints, i.e., in each trial, they were required to (1) apply a specified level of force on the object (two levels of force were chosen as target forces 169 -levels 4 and 5, see [10]), and (2) reach the target force within a specific time window. Thereby, we determined 170 171 the speed-accuracy trade-off in a prosthesis force-matching task. To adequately sample the SAF, participants were required to perform the task in three speed conditions – slow, 172 173 medium, and fast, where each condition specified the time window for task completion. During the Slow 174 condition, trials had to be completed within 4-8s, while for the Medium and Fast conditions the speed/time 175 requirements were 2-4s and 1-2s, respectively. The time windows have been defined to capture the relevant 176 domains of the SAF curve. Previous studies suggest that participants in a fast routine grasping task spend around 2s to achieve required force while they attain close to 100% accuracy at around the 6s mark [10]. In 177 178 effect, we used a time-band methodology to derive the SAF [24]. While there exist several methodologies to 179 obtain the SAF [11], [24], we believe that this approach reduced inter-subject variability in learning feedback 180 control. This would not have been the case in, e.g., a deadlines-based methodology, where participants may 181 have no incentive to perform the task at a slower speed if they were satisfied with their accuracy while using 182 faster speeds. 183 The amputee subject followed the same protocol as the able-bodied participants, starting with Force feedback 184 in Session 1 but returned 3 weeks later (as opposed to one week) to perform the task with EMG feedback. 185 **Experimental Protocol** Initially, all equipment (EMG electrodes, vibrotactors, wrist immobilization splint and the prosthesis) were 186 187 placed on the participant. Then a brief calibration and familiarization followed in both sessions. During the 188 EMG calibration phase, three 5-second-long maximum voluntary contractions (MVC) for both the flexors and 189 extensors were recorded to calibrate the control interface. The MVC measurements were recorded in the same 190 posture that the participants would use to perform the box and blocks task (similar to [32]), to address the

effect of arm posture and prosthesis weight on the recorded EMG. Next, the participants were familiarized

with the interface and were guided to explore how their flexor EMG signal affected the prosthesis closing velocity and how their extensor EMG signal triggered hand opening. Finally, they were familiarized with the vibrotactile feedback (common across both feedback interfaces) by performing a spatial discrimination task where they were presented with two sets of 18 stimulation patterns (3 repetitions x 6 levels, Figure 2B) and asked to identify the patterns. The experiment proceeded after ensuring that the participants achieved at least 95% success in the discrimination task, which normally took less than 5 mins.

After familiarization with the control and feedback, the participants performed 30 trials (10 per speed condition) of the modified box and blocks test to practice the time-constrained force-matching task. Each trial began by displaying the force and speed targets. The participants then had to modulate their muscle contraction and use the feedback interface to successfully complete the trial. Once the participant felt they successfully reached (or overshot) the target, they were instructed to extend their wrist to trigger hand opening. Immediately after the trial ended, the participants received knowledge of performance, which indicated if they achieved, overshot, or undershot the target force and target speed. During the practice trials, the participants were explained how to modulate their muscle contraction to control the closing velocity of the prosthesis. They were also clearly instructed to avoid eccentric behavior, e.g., in the slow condition they were instructed against holding their contraction at a low level until 4 s and then quickly correcting upwards, hence inadvertently making a fast/medium condition trial.

After the initial practice trials, the participants performed 90 training and 90 test trials with a break after every 30 trials. In each such block of 30 trials, the target speeds (slow, medium, and fast) remained the same for 10 trials, while the target forces (4, 5) were presented 5 times each in a random order. In addition, during the first 60 training trials, the speed targets were presented in a specific order – slow, medium, and fast – while during the remaining trials, this was also randomized.

Outcome Measures

During each trial, the EMG commands and force measurements were recorded and processed to obtain the primary outcome measures – reach time and trial success. from when the participant started generating the EMG input (above the dead-zone) to the time point where the maximum force was reached during the trial. A successful trial was therefore one where the reach time satisfied the speed requirement (1 - 2s for fast, 2 - 4s for medium and 4 - 8s for the slow speed), and the reached force was within the corresponding force interval (target level). The trials were aggregated per speed condition to obtain percent success rates (S). Subsequently, we computed the rate of trade-off in success rate (ΔS per second) during fast-to-medium and medium-to-slow conditions to evaluate how quickly the participants traded speed for accuracy. For each participant, we computed the rate of trade-off as the difference in success rates (ΔS) between successive speed conditions divided by the difference in the corresponding reach times. For example, the rate of trade-off for participant p

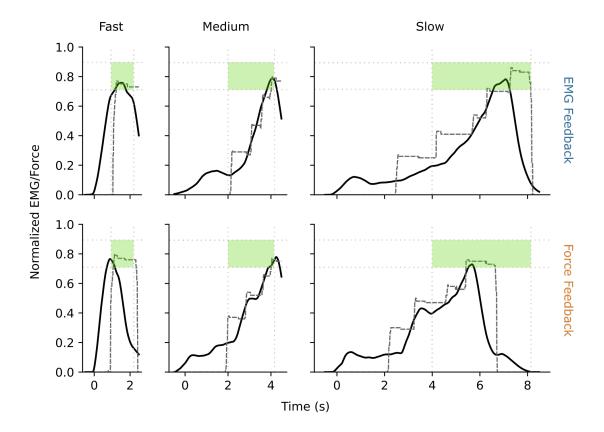


Figure 3: **Representative Trials.** Six representative trials (EMG commands in solid black, prosthesis force in dark gray) as performed by the amputee subject using the two different interfaces, at the three required speeds for target force Level 5. Faint dotted vertical and horizontal lines indicate time restrictions and force target bounds respectively. Green area depicts how trial success is determined as a combination of reaching the target force during the required time (speed).

for fast-to-medium transition was computed as $(S_{p|med} - S_{p|fast})/(T_{p|med} - T_{p|fast})$, where $S_{p|cond}$ is success rate and $T_{p|cond}$ is the average reach time in the condition cond.

Further, to understand how the participants planned and executed the task in the different speed and feedback conditions we computed three behavioral metrics. Firstly, we calculated the number of force corrections in each trial that the participants made, by counting the number of distinct plateaus (longer than 250ms) in the force trajectory [10]. For example, during the slow condition with EMG feedback the amputee subject made 4 force corrections in the trial shown in Figure 3. Then, we analyzed the generated EMG commands, to understand if one feedback type could enable the participants to generate (1) smoother and (2) more repeatable EMG commands. To evaluate smoothness, we calculated the integrated squared jerk of each trajectory, normalized to the reach time. To measure the repeatability, we computed the trial-by-trial variability of the generated EMG commands. We first normalized all EMG trajectories to 200 time points between the start of

the trial and reach time, then measured the standard deviation at each of the 200 time points. As the final measure of variability, we computed the median of the standard deviations across the time points since the distribution of the standard deviations was found to be often skewed. **Statistical Analysis** Statistical analysis was performed on outcomes obtained during the 90 test trials. 3-factor mixed ANOVAs were fitted each for success rate, rate of trade-off and the behavioral metrics as the outcome, with two withinsubjects factors - feedback interface and speed condition - and one between-subjects factor "order", which denotes the order in which the participants were exposed to the feedback interfaces. We interpreted the main effect of order as an interaction between feedback interface and session, while the interaction effect between order and feedback interface was interpreted as the main effect of session, as is common in cross-over designs [33]. The assumptions of Normality, homogeneity of variance and sphericity were verified using Shapiro-Wilk's, Levene's and Mauchly's tests, respectively. Post-hoc analyses for differences in success rates between the two feedback interfaces at a given speed condition and between speeds for a given feedback interface were performed by using pairwise t-tests, adjusted using the Holm-Bonferroni method. The threshold for statistical significance was set at p < 0.05. Mean \pm standard deviation of outcomes per group of interest are reported throughout the paper, unless noted otherwise. Results

_ . _ . .

236237

238

239

240241

242

243

244

245

246

247

248

249

250251

252

253

254

255

256

257

258

259

260

261

262

263

Representative Trials

Figure 3 shows representative trials of the amputee subject in all target speeds, with level 5 as the force target. Firstly, we can notice that both feedback types allowed the subject to flexibly control the prosthesis at different speeds and still succeed in the task, i.e., reaching the target grasping force within the given time window. Then, we can notice that the subject was slightly faster when using Force feedback than EMG feedback (especially noticeable in the slow trials), a feature that also holds across participants (see Figure 4A). Secondly, we can observe a difference in the "quality" of the generated EMG commands across the feedback conditions. While the EMG commands produced during the fast condition is largely similar between the feedback types, the EMG signal generated during both medium and slow trials is smoother during EMG feedback as opposed to Force feedback, where the EMG commands exhibit distinct "jumps".

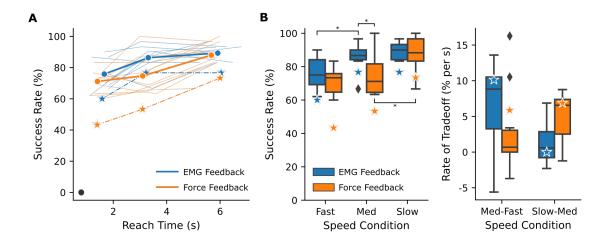


Figure 4: **Speed-Accuracy trade-offs in prosthesis force control.** (A) Individual speed-accuracy trade-off curves are plotted for each participant (faint lines), group means (bold lines) and the amputee subject (dashed lines and stars). Bold diamond indicates the time (X-intercept) when success is zero. (B) Same as A, but box plots show success rates of all participants during each of the ordinal target speeds (left). Box plots showing rate of trade-off (% per s) across the target speed transitions. Colored stars represent results of the amputee subject.

Speed-Accuracy Trade-offs

The participants' speed-accuracy trade-off curves showed a general tendency to be monotonic (14 out of 22, Figure 4A), and when they were not monotonic, they were only off due to a few trials (1 - 3 trials), while the mean SAF across participants were monotonic. This was true for both feedback interfaces. Next, we fit a 3-factor ANOVA by treating the speed condition as categorical to analyze the effect of feedback interface and speed condition on success rate. We observed a significant effect of feedback interface (p=0.006), and speed condition $(p=2.3\times10^{-6})$ on success rate as well as a significant effect of session (feedback interface x feedback order interaction effect, p=0.003).

We then analyzed if feedback interface affected performance at each of the speed conditions. In the Fast condition, we did not observe a significant effect of feedback interface (EMG: $75.8 \pm 9.4\%$, Force: $71.1 \pm 7.4\%$ see Figure 4B), while in the Medium condition, we observed that participants performed significantly better using EMG feedback than Force feedback (EMG: $86.3 \pm 8\%$, Force: $74.6 \pm 12.2\%$, p-adj=0.022). In the Slow condition (asymptotic performance), as expected, we observed that the interface had no significant effect on performance (EMG: $89.2 \pm 4.8\%$, Force $88 \pm 10.2\%$). Taken together, we see that while the feedback interface had a significant effect on success rate overall, it was in the Medium speed condition that this difference originated from. Further, EMG feedback enabled participants to reach asymptotic performance sooner, with participants significantly improving their performance between Fast and Medium conditions (p-

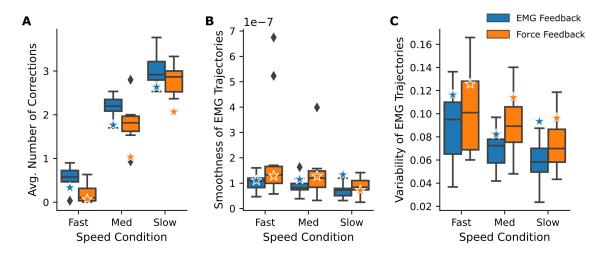


Figure 5: **Behavioral metrics for both interfaces, across participants.** (A) Average number of force corrections (distinct force plateaus) per trial. (B) Smoothness of EMG trajectories (commands) generated by the participants, computed as integrated squared jerk of the normalized EMG amplitude. (C) Trial-bytrial variability of EMG commands generated by the participants. Stars represent results of the amputee subject.

adj=0.03) but not between Medium and Slow conditions. On the contrary, participants exhibited significant improvement between Medium and Slow conditions (p-adj=0.004) while using Force feedback. The two feedback types are therefore characterized by SAFs that are qualitatively different, while still allowing similar asymptotic performance.

Therefore, we analyzed if the observed rate of trade-off in success rate (% per s) for the Fast to Medium, and Medium to Slow transitions were significantly different between EMG and Force feedback (see Figure 4B, right). Visually, there appears to be a difference in both cases, with the higher rate of trade-off for EMG feedback during Fast to Medium ($6.6 \pm 6.3\%$ vs $2.7 \pm 6.1\%$ per second) and opposite for Medium to Slow transition ($1.3 \pm 2.8\%$ vs $5.1 \pm 3.5\%$ per second). However, the difference was not statistically significant.

Performance of the amputee subject followed the trends of the able-bodied participants (Figure 4, stars). While the asymptotic performance was nearly identical (EMG: 76.6%, Force: 73.3%), the amputee participant reached higher success rates with EMG feedback in both Fast and Medium conditions, with the largest difference in the latter (Medium condition: EMG: 76.6%, Force: 53.3%).

Behavioral Analyses

We sought to understand the behavioral differences between the feedback types, i.e., how the different interfaces allowed participants to plan and execute movements (Figure 5). First, we investigated if participants developed different strategies during the different speed targets. We found that both feedback interface

298 (p=0.005) and speed condition (p<1e-15) had a significant effect on the number of corrections made by the

participants (Figure 5A), and the feedback and session exhibited significant interaction (p=0.02). Therefore,

the participants were able to flexibly modify their control policies by using the available feedback, especially

during the Medium (2.2 \pm 0.3, 1.8 \pm 0.5 corrections per trial) and Slow conditions (3 \pm 0.4, 2.8 \pm 0.3 corr.

- p/trial) compared to the Fast condition $(0.5 \pm 0.3, 0.2 \pm 0.2 \text{ corr. p/trial})$.
- Next, we analyzed the generated EMG commands by measuring the smoothness and trial-by-trial variability
- 304 (Figure 5B, C). We found that the feedback interface had a significant effect on both metrics (p=0.03 for
- smoothness, p=0.002 for trial-by-trial variability). That is, EMG feedback enabled the participants to make
- smoother and more repeatable commands compared to Force feedback. Additionally, the speed condition had
- a significant effect on both metrics (p=0.01 for smoothness, p=0.0006 for variability), while the session
- significantly influenced trial-by-trial variability (p=0.001).
- The behavior of the amputee subject followed the results of able-bodied participants. However, the smoothness
- of EMG commands with EMG feedback was worse than with Force feedback in the Slow condition.

Discussion

299

300

301

302

311

312

314

325

- 313 Speed and accuracy are critical factors in the context of human-machine interfaces. Investigating speed
 - accuracy trade-off functions provides a thorough understanding of task performance and motor ability but has
- not been applied to study user-prosthesis interfaces. Here, we empirically derived the SAF using a prosthesis
- force-matching paradigm in a functional box-and-blocks task for two different closed-loop interfaces, which
- only differed in the feedback provided to the participants EMG feedback vs Force feedback. Expectedly, the
- speed at which participants performed the force-matching task imposed a trade-off with accuracy regardless
- of the feedback type. However, the SAF was different for the two interfaces, as EMG feedback substantially
- 320 outperformed Force feedback in the Medium speed condition and thereby enabled participants to reach
- 321 asymptotic performance sooner. In addition, we found that EMG feedback enabled smoother and more
- 322 repeatable EMG commands. Therefore, the results demonstrate that the SAF methodology can provide crucial
- 323 insights regarding both evaluating and understanding of closed-loop interfaces for prostheses control even in
- 324 functionally relevant task settings.

SAF to Evaluate Closed-Loop Interfaces

- 326 Evaluation and comparison of user-prosthesis interfaces is challenging and multi-faceted. Despite rapid
- development of promising control and feedback interfaces [25], [26], their comparison has received less
- attention, barring a few exceptions [29], [34]. While it is a difficult undertaking due to various reasons such as
- incomparable experimental setups and tasks, here we showed that it is additionally compounded by measuring

331332

333

334

335

336337

338

339

340

341342

343

344345

346

347

348

349

350

351

352

353

354

355

356

357358

359

360

361

362

the performance only at a single speed (sampling at a single point on the SAF). For example, if we had only measured performance in the Fast condition in this study, we would infer that both interfaces enable similar performance, while they are in fact significantly different when used at the Medium speed. We argue therefore, that it is valuable to compare interfaces at more than a single point on the SAF especially since the shape of the SAF afforded by different interfaces is unknown. Here, we used the SAF framework to rigorously compare two closed-loop interfaces in a functional forcematching task. By enforcing task execution at different speeds, we elicited a range of success rates that were significantly affected by the feedback interface used. We expected that EMG feedback would enable better success rates during the Fast condition, since it promotes predictive control [28], [29], but that was not the case. We believe that this is likely due to two reasons. First, Fast condition might have been too restrictive, with a short 2 s window, for the participants to exploit the EMG feedback effectively for online adjustment of control commands. Second, the task included only 2 force levels and the participants received training before performing the test trials. The training might have enabled participants to acquire a reliable internal model and achieve a good performance when using Force feedback despite the short time window (which basically precluded the use of force feedback to drive the corrections). However, we noticed a large difference in success rates between the two interfaces in the Medium condition. Therefore, the results demonstrate that the expected advantage of EMG feedback over Force feedback occurs in this range of movement speeds, where the former allows users to predictively modulate their contractions to reach the target level as opposed to 'reactively' jump between levels. Finally, the feedback interfaces resulted in similarly high performance in the Slow condition, as the participants had enough time to reach the goal by focusing on either of the two feedback signals. The present study therefore demonstrates that SAF allows identifying the time interval in which feedback (Force or EMG) becomes an important factor for the effectiveness of the control loop. Taken together, we found that the asymptotic performance for both interfaces was similar, while EMG feedback allowed participants to approach the asymptotic performance sooner. Note that this important characterization of the two feedback types is derived from the trade-off itself and could not be obtained if the performance was assessed in a single point. More generally, SAF provides a way to estimate the expected completion time to guarantee a given (e.g., 90%) performance in a task, and therefore can be a relevant instrument for meta-analytic comparison of interfaces across studies. Moreover, we believe that determining SAF will be advantageous for person-based approaches to designing prosthesis interfaces [35], by e.g., determining the appropriate user-prosthesis interface for the amputee based on their inherent speed preferences (see Figure 1). Thereby, in the present study, we provided a holistic comparison of the performance afforded by two established interfaces in a functional task and added to a pool of methods that have been recently developed to assess the performance as well as behavior of the users of closed loop prostheses [15], [36].

364

365

366367

368

369

370

371

372

373

374

375376

377

378

379

380

381

382

383

384

385

386

387

388

389

390

391

392

393

394

395

SAF to Understand Closed-Loop Interfaces Closed-loop user-prosthesis interfaces are a promising technology likely to translate into clinical applications, but currently still facing several conceptual and implementational barriers [1], [27], [37]. A key prerequisite for designing better closed-loop interfaces is to understand the complex interplay between feedforward and feedback control processes of the users, and how different interfaces facilitate it [3], [6], [10]. We believe that studying the SAF, as described here, is an effective instrument to approach this point as it enables us to understand how users interact and exploit different interfaces to achieve specific (time bound) goals. Here, in addition to measuring performance, we used SAF to understand how participants planned and executed movements in a functional prosthesis task. We found that both closed-loop interfaces enabled users to develop flexible control policies. That is, participants were able to incorporate feedback to varying extents to guide their behavior during the different speed conditions, as reflected in the number of force corrections they made. Then, we found that EMG commands generated when participants used EMG feedback were smoother than when they used Force feedback. Interestingly, this suggests that even though participants received discretized feedback, they could exploit EMG feedback to predictively guide their contractions to generate an overall smooth control input. Combined with the low trial-by-trial variability across speed conditions, EMG feedback effectively reduced the uncertainty in generating prosthesis commands, a central aim of implementing supplementary feedback [6], [35]. Our results therefore add to a body of evidence which underscores the promise of some form of predictive feedback, about users' own intention [5], [28], [29]. Together, the flexibility, smoothness and repeatability measures which are hallmarks of skilled behavior, help us understand how participants incorporate supplementary feedback in their control policies. And investigating the SAF provides a suitable framework for such an analysis. Finally, we also found that all outcome measures had similar trends in the amputee experiment. We believe that this is an encouraging result, albeit expected since motor planning and execution should remain comparable across able-bodied participants and amputees, especially when using a simple command interface (direct proportional control). **Limitations and Outlook** A limitation of the current study is that we always required participants to make 'strong' contractions (30-45% MVC) to reach the target forces. However, the trade-offs (SAF) may be influenced by the force users want to generate. Another limitation is that while we performed a single session study to establish the conceptual framework of SAF, the shape of the SAF may change across days, in which case the SAF for both interfaces may become identical after practice, but this remains to be investigated. Measuring the SAF can be an instrument for assessment of prosthesis control with rather general applicability. Future studies should be therefore conducted to investigate how the control interface (direct control, pattern

recognition etc.) of the user-prosthesis loop affects the SAF, relative to the effects of feedback interface as

explored here. In addition, this approach could be used to compare feedback interfaces which differ only in their encoding schemes (e.g., discrete vs continuous), while the feedback variable remains the same. The intercept (see Figure 1), which characterizes the minimum time required to have any chance of success, did not play a role in our current setup since the control interface was always the same (direct proportional control). However, in case one wishes to compare interfaces that allow different maximum velocities (e.g., due to different sensitivities for the proportional controller), or when one is required to change grips by co-contractions, it becomes crucial to understand the intercept as well. Finally, this framework can be extended to multi-dimensional task spaces, for example to characterize the trade-offs in prehension (posture matching with prostheses) combined with force-matching, to create better interfaces for current state-of-the-art commercial prostheses.

Conclusion

396397

398

399

400

401 402

403

404

405

406

407

414

415

420

421

426

- In this study, we empirically derived SAF in prosthesis force control using a functional box-and-blocks task.
- We demonstrated that two closed-loop myoelectric interfaces which differed only in the variable provided as
- feedback to the participants EMG feedback vs Force feedback exhibited different SAFs. EMG feedback
- afforded better performance throughout, but especially at medium speeds, and enabled participants to develop
- stronger feedback control. We argue that the methodological advancement provided here is a valuable step
- forward in evaluating and understanding (closed-loop) user-prosthesis interfaces.

Acknowledgements

- We expressly thank Shima Gholinezhad for her help in organizing and conducting the experiments with the
- amputee subject. We thank Ana Sofia Santos Cardoso for their help in making Figure 1(A). This work has
- been supported by the projects 8022-00243A (ROBIN) and 8022-00226B funded by the Independent Research
- Fund Denmark.

References

019-0227-z.

- [1] D. Farina and O. Aszmann, "Bionic Limbs: Clinical Reality and Academic Promises," *Sci. Transl. Med.*, vol. 6, no. 257, pp. 257ps12-257ps12, Oct. 2014, doi: 10.1126/scitranslmed.3010453.
- 424 [2] A. D. Roche, B. Lakey, I. Mendez, I. Vujaklija, D. Farina, and O. C. Aszmann, "Clinical Perspectives in Upper Limb Prostheses: An Update," *Curr. Surg. Rep.*, vol. 7, no. 3, p. 5, Mar. 2019, doi: 10.1007/s40137-

- 427 [3] J. W. Sensinger and S. Dosen, "A Review of Sensory Feedback in Upper-Limb Prostheses From the Perspective of Human Motor Control," *Front. Neurosci.*, vol. 14, 2020, doi: 10.3389/fnins.2020.00345.
- 429 [4] S. Dosen *et al.*, "Building an internal model of a myoelectric prosthesis via closed-loop control for consistent and routine grasping," *Exp. Brain Res.*, vol. 233, no. 6, pp. 1855–1865, Jun. 2015, doi: 10.1007/s00221-015-4257-1.
- 432 [5] A. W. Shehata, L. F. Engels, M. Controzzi, C. Cipriani, E. J. Scheme, and J. W. Sensinger, "Improving internal model strength and performance of prosthetic hands using augmented feedback," *J. NeuroEngineering Rehabil.*, vol. 15, no. 1, p. 70, Dec. 2018, doi: 10.1186/s12984-018-0417-4.
- 435 [6] I. Saunders and S. Vijayakumar, "The role of feed-forward and feedback processes for closed-loop prosthesis control," *J. NeuroEngineering Rehabil.*, vol. 8, no. 1, p. 60, 2011, doi: 10.1186/1743-0003-8-437 60.
- 438 [7] A. W. Shehata, M. Rehani, Z. E. Jassat, and J. S. Hebert, "Mechanotactile Sensory Feedback Improves Embodiment of a Prosthetic Hand During Active Use," *Front. Neurosci.*, vol. 14, p. 263, Mar. 2020, doi: 10.3389/fnins.2020.00263.
- [8] M. Markovic *et al.*, "The clinical relevance of advanced artificial feedback in the control of a multifunctional myoelectric prosthesis," *J. NeuroEngineering Rehabil.*, vol. 15, Mar. 2018, doi: 10.1186/s12984-018-0371-1.

446

452

453

454

455

456

457

458

459

460

461 462

463

464

465 466

- [9] A. W. Shehata, E. J. Scheme, and J. W. Sensinger, "Evaluating Internal Model Strength and Performance of Myoelectric Prosthesis Control Strategies," *IEEE Trans. Neural Syst. Rehabil. Eng.*, vol. 26, no. 5, pp. 1046–1055, May 2018, doi: 10.1109/TNSRE.2018.2826981.
- [10]P. Mamidanna, J. L. Dideriksen, and S. Dosen, "The impact of objective functions on control policies in closed-loop control of grasping force with a myoelectric prosthesis," *J. Neural Eng.*, vol. 18, no. 5, p. 056036, Sep. 2021, doi: 10.1088/1741-2552/ac23c1.
- [11]R. P. Heitz, "The speed-accuracy trade-off: history, physiology, methodology, and behavior," *Front.*Neurosci., vol. 8, 2014, doi: 10.3389/fnins.2014.00150.
 - [12]I. S. MacKenzie, "Fitts' Law as a Research and Design Tool in Human-Computer Interaction," *Human-Computer Interact.*, vol. 7, no. 1, pp. 91–139, Mar. 1992, doi: 10.1207/s15327051hci0701 3.
 - [13]E. J. Fimbel, M. Lemay, and M. Arguin, "Speed–accuracy trade-offs in myocontrol," *Hum. Mov. Sci.*, vol. 25, no. 2, pp. 165–180, Apr. 2006, doi: 10.1016/j.humov.2005.12.001.
 - [14] C. N. Borish, M. Bertucco, and T. D. Sanger, "Effect of target distance on controllability for myocontrol," *Int. J. Hum.-Comput. Stud.*, vol. 140, p. 102432, Aug. 2020, doi: 10.1016/j.ijhcs.2020.102432.
 - [15]Z. C. Thumser, A. B. Slifkin, D. T. Beckler, and P. D. Marasco, "Fitts' Law in the Control of Isometric Grip Force With Naturalistic Targets," *Front. Psychol.*, vol. 9, p. 560, Apr. 2018, doi: 10.3389/fpsyg.2018.00560.
 - [16]S. M. Wurth and L. J. Hargrove, "Real-time comparison of conventional direct control and pattern recognition myoelectric control in a two-dimensional Fitts' law style test," in *2013 35th Annual International Conference of the IEEE Engineering in Medicine and Biology Society (EMBC)*, Jul. 2013, pp. 3630–3633. doi: 10.1109/EMBC.2013.6610329.
 - [17]S. M. Wurth and L. J. Hargrove, "A real-time comparison between direct control, sequential pattern recognition control and simultaneous pattern recognition control using a Fitts' law style assessment procedure," *J. NeuroEngineering Rehabil.*, vol. 11, no. 1, p. 91, 2014, doi: 10.1186/1743-0003-11-91.
- 468 [18]C. N. Borish, A. Feinman, M. Bertucco, N. G. Ramsy, and T. D. Sanger, "Comparison of speed-accuracy trade-off between linear and nonlinear filtering algorithms for myocontrol," *J. Neurophysiol.*, vol. 119, no. 6, pp. 2030–2035, Jun. 2018, doi: 10.1152/jn.00188.2017.
- [19]E. J. Scheme and K. B. Englehart, "Validation of a Selective Ensemble-Based Classification Scheme for
 Myoelectric Control Using a Three-Dimensional Fitts' Law Test," *IEEE Trans. Neural Syst. Rehabil.* Eng., vol. 21, no. 4, pp. 616–623, Jul. 2013, doi: 10.1109/TNSRE.2012.2226189.
- [20] A. Ameri, M. A. Akhaee, E. Scheme, and K. Englehart, "Regression convolutional neural network for improved simultaneous EMG control," *J. Neural Eng.*, vol. 16, no. 3, p. 036015, Jun. 2019, doi: 10.1088/1741-2552/ab0e2e.
- 477 [21]J. Reis *et al.*, "Noninvasive cortical stimulation enhances motor skill acquisition over multiple days through an effect on consolidation," *Proc. Natl. Acad. Sci.*, vol. 106, no. 5, pp. 1590–1595, Feb. 2009, doi: 10.1073/pnas.0805413106.

- [22] L. Shmuelof, J. W. Krakauer, and P. Mazzoni, "How is a motor skill learned? Change and invariance at the levels of task success and trajectory control," *J. Neurophysiol.*, vol. 108, no. 2, pp. 578–594, Jul. 2012, doi: 10.1152/jn.00856.2011.
- [23] L. Shmuelof, J. Yang, B. Caffo, P. Mazzoni, and J. W. Krakauer, "The neural correlates of learned motor acuity," *J. Neurophysiol.*, vol. 112, no. 4, pp. 971–980, Aug. 2014, doi: 10.1152/jn.00897.2013.
- 485 [24] W. A. Wickelgren, "Speed-accuracy trade-off and information processing dynamics," *Acta Psychol.* (*Amst.*), vol. 41, no. 1, pp. 67–85, Feb. 1977, doi: 10.1016/0001-6918(77)90012-9.
 - [25]D. Yang, Y. Gu, N. V. Thakor, and H. Liu, "Improving the functionality, robustness, and adaptability of myoelectric control for dexterous motion restoration," *Exp. Brain Res.*, vol. 237, no. 2, pp. 291–311, Feb. 2019, doi: 10.1007/s00221-018-5441-x.
- 490 [26] C. Antfolk, M. D'Alonzo, B. Rosén, G. Lundborg, F. Sebelius, and C. Cipriani, "Sensory feedback in upper limb prosthetics," *Expert Rev. Med. Devices*, vol. 10, no. 1, pp. 45–54, Jan. 2013, doi: 10.1586/erd.12.68.
 - [27] J. Dideriksen and S. Dosen, "Chapter 5 Supplementary feedback for upper-limb prostheses using noninvasive stimulation: methods, encoding, estimation-prediction processes, and assessment," in *Somatosensory Feedback for Neuroprosthetics*, B. Güçlü, Ed. Academic Press, 2021, pp. 179–206. doi: 10.1016/B978-0-12-822828-9.00019-8.
 - [28] S. Dosen, M. Markovic, K. Somer, B. Graimann, and D. Farina, "EMG Biofeedback for online predictive control of grasping force in a myoelectric prosthesis," *J. NeuroEngineering Rehabil.*, vol. 12, no. 1, p. 55, Dec. 2015, doi: 10.1186/s12984-015-0047-z.
 - [29] M. A. Schweisfurth, M. Markovic, S. Dosen, F. Teich, B. Graimann, and D. Farina, "Electrotactile EMG feedback improves the control of prosthesis grasping force," *J. Neural Eng.*, vol. 13, no. 5, p. 056010, Oct. 2016, doi: 10.1088/1741-2560/13/5/056010.
 - [30] J. Tchimino, M. Markovic, J. L. Dideriksen, and S. Dosen, "The effect of calibration parameters on the control of a myoelectric hand prosthesis using EMG feedback," *J. Neural Eng.*, vol. 18, no. 4, p. 046091, Aug. 2021, doi: 10.1088/1741-2552/ac07be.
 - [31] S. Dosen, M. Markovic, C. Hartmann, and D. Farina, "Sensory Feedback in Prosthetics: A Standardized Test Bench for Closed-Loop Control," *IEEE Trans. Neural Syst. Rehabil. Eng.*, vol. 23, no. 2, pp. 267–276, Mar. 2015, doi: 10.1109/TNSRE.2014.2371238.
 - [32]E. Scheme, B. Lock, L. Hargrove, W. Hill, U. Kuruganti, and K. Englehart, "Motion Normalized Proportional Control for Improved Pattern Recognition-Based Myoelectric Control," *IEEE Trans. Neural Syst. Rehabil. Eng.*, vol. 22, no. 1, pp. 149–157, Jan. 2014, doi: 10.1109/TNSRE.2013.2247421.
 - [33]B. Jones and M. G. Kenward, *Design and Analysis of Cross-Over Trials*. New York: Chapman and Hall/CRC, 1989. doi: 10.4324/9780203009277.
 - [34] L. F. Engels, A. W. Shehata, E. J. Scheme, J. W. Sensinger, and C. Cipriani, "When Less Is More Discrete Tactile Feedback Dominates Continuous Audio Biofeedback in the Integrated Percept While Controlling a Myoelectric Prosthetic Hand," *Front. Neurosci.*, vol. 13, p. 578, Jun. 2019, doi: 10.3389/fnins.2019.00578.
 - [35]L. Jabban, S. Dupan, D. Zhang, B. Ainsworth, K. Nazarpour, and B. W. Metcalfe, "Sensory Feedback for Upper-Limb Prostheses: Opportunities and Barriers," *IEEE Trans. Neural Syst. Rehabil. Eng.*, vol. 30, pp. 738–747, 2022, doi: 10.1109/TNSRE.2022.3159186.
- [36] P. D. Marasco *et al.*, "Neurorobotic fusion of prosthetic touch, kinesthesia, and movement in bionic upper limbs promotes intrinsic brain behaviors," *Sci. Robot.*, vol. 6, no. 58, p. eabf3368, Sep. 2021, doi: 10.1126/scirobotics.abf3368.
- 524 [37]D. Farina *et al.*, "Toward higher-performance bionic limbs for wider clinical use," *Nat. Biomed. Eng.*, 525 May 2021, doi: 10.1038/s41551-021-00732-x.