Hepatocellular carcinoma hosts immature neurons and cholinergic tumors that correlate with adverse molecular features and outcomes

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Abstract

Background & aims: The unexplained interpatient variation in hepatocellular carcinoma (HCC) remains a major challenge. We aimed at addressing the under-explored association between the disease and the hepatic autonomic nervous system (ANS).

Methods & Results: We in-depth characterized the innervation of French biobanks HCC samples by conventional biochemistry methods. We also applied bioinformatics approaches to the TCGA dataset in order to stratify samples according to neural features and molecular correlates. We highlighted the predominant parasympathetic polarity of HCC nerves, and demonstrated that a cirrhotic rat model of aggressive HCC hosts liver neurogenesis with cholinergic features. Using the TCGA dataset, we then defined an HCC neural signature, derived from adrenergic and cholinergic receptor levels, that allowed patient stratification into two classes. Cholinergic tumors correlated with *TP53* mutations ($p \leq 0.05$), shorter progression-free interval (PFI) and overall survival (OS), displayed more pathogenic molecular traits (*e.g.*, AFP-rich, proliferative tumors, mitotic functions including DNA repair, EMT, Ras, and Akt/mTOR pathways), aggressive HCC signatures and B cell accumulation. Instead, adrenergic tumors, predominant in patients aged >60 and with mutated *CTNNB1*, were correlated with better OS and PFI ($p < 0.05$), and numerous immune pathways.

Conclusions: Our results depict neural features of HCC and how the existing tumor classification may also be shaped by neural inputs. Altogether, we show that the parasympathetic branch of the ANS is implicated in the pathobiology of HCC, and advocate for the use of ANS-targeting drugs in HCC research, many of which are clinically safe and well characterized.

Key-words: HCC, autonomic nervous system, IHC, transcriptomics, cholinergic

Introduction

Primary liver cancer casualties are ranked 3rd worldwide (1) and are still on the rise despite the recent advent of adequate hepatitis B and C (HBV and HCV) therapies. Genetic diseases of the liver and hepatic comorbidities, such as alcoholic liver disease (ALD) and metabolic syndrome with non-alcoholic steato-hepatitis (NASH), are long-term cooperators or independent factors fostering the onset of HCC and enhancing disease heterogeneity. Though HCC is known to develop in 90% of cases of cirrhosis (2), its onset and clinical outcomes, in terms of phenotypes and speed of progression, are highly variable from one patient to another. Despite the identification of several potential therapeutic targets, most drugs have failed to exceed the efficacy of currently available compounds. Treatments with tyrosine kinase inhibitors (TKIs) for instance lead to short-term, unavoidable relapse (3), whereas treatment with immune check-points inhibitors or growth factors inhibitors currently provide some hope for only a minority of patients with unresectable HCC (2).

In this respect, cellular/tissular structures linking the general pathophysiology of the patient with HCC may be of interest, as they are patient-specific and may uncover novel ways of defining stratification criteria. In line with such notions, several recent original papers [4],[5],[6],[7, 8] and related commentaries (9, 10) highlighted the relevance of studying cancer neurosciences of peripheral organs. In that context, pathological innervation and ANS involvement or dysregulation have been identified in ovarian (4), prostate (5), gastric (6) and pancreatic (7, 8) cancers, nurturing tumor stroma and conferring stronger carcinogenic properties. Moreover, ANS post-synaptic receptors have been shown to be favorably actionable in some experimental conditions in cancer (5, 7, 8).

The autonomic nervous system (ANS) comprises the sympathetic (adrenergic signaling) and parasympathetic (cholinergic signaling) arms that relay signals both ways along the brain-liver neural axis in order to regulate involuntary functions of the body by adjusting its internal functions, after an external stimulus. The liver is an innervated organ that hosts autonomic afferent and efferent ANS nerves, in constant communication with the central nervous system (CNS) through the brainstem (11). Afferent and efferent nerves are made of adrenergic (relies on epinephrine or norepinephrine as its neurotransmitter, stress signal) and cholinergic (relies on acetylcholine as its neurotransmitter, resting signal) fibers that each convey mediators to regulate liver functions in real-time.

As a consequence, these signals also regulate several processes that may directly or indirectly impact HCC onset and growth. However, data on the association between HCC and neural factors are scarce and sometimes conflicting. It was reported that portal hypertension, a recognized risk factor for HCC development and recurrence (12, 13), is correlated with ANS dysfunction (14). In addition, proliferation of hepatocytic progenitors,

instrumental in HCC, is impaired by adrenergic signaling (15). Conversely, cholinergic
signaling was shown to attenuate apoptosis in the mouse liver (16, 17), and liver
angiogenesis is under positive sympathetic regulation (18). Interestingly, human liver ANS
innervation is more developed than in rodents. Indeed, it extends deeper into the lobule (11),
increasing its capacities of regulation. This latter notion suggests that ANS-related
mechanisms observed in animals may play more important roles in humans.

Here, we characterized several ANS markers of HCC samples by Western blot (WB)
and immunohistochemistry (IHC), unveiling a predominant parasympathetic signaling
compared to normal liver tissue. Considered by bioinformatics, the definition of two neural
HCC classes based on levels of adrenergic or cholinergic receptor transcripts, one
cholinergic and one adrenergic, enabled the stratification of tumors with more or less
aggressiveness, respectively. Our findings shed light on, as yet, unexplored characteristics of
the disease and thus offer a realistic outlook for considering alternative HCC investigations
and treatment strategies, given the diversity and safety of currently approved ANS-targeting
drugs.

Materials and Methods

Sections related to rat liver samples, western blotting, total RNA processing,
immunohistochemistry and statistics are provided in **Supplementary Information 1**.

Clinical liver samples

Hepatocellular carcinoma samples used in this study were from the French Liver Biobanks
network (INCa, BB-0033-00085, under IRB agreement of Inserm Ethics Committee (CEEI,
#12-063) and the TCGA Research Network (https://www.cancer.gov/tcga) HCC-LIHC cohort
(19). The table presenting data expression was downloaded with the tool TCGA biolinks
http://bioconductor.org/packages/release/bioc/html/TCGAbiolinks.html Data were crossed
with previously reported metadata to obtain a cohort of 193 patients. Normal liver samples
(French South-East region IRB agreement #A16-207) obtained from safety margins of
hepatic resections of colorectal cancer metastasis, were histologically normal and devoid of
HBV or HCV infection.

Neuronal receptor score calculation and cohort classification

ssGSEA scores were computed through clusterProfiler R package. Gene set scores were
calculated with the ssgsea method (20) from the GSVA package (21). ssGSEA is a variation
of Gene Set Enrichment Analysis (GSEA) that calculates enrichment scores for each pairing
of gene set and sample. ssGSEA transforms data to a higher space level, from individual
genes to genes sets (a list of functionally related genes) or pathways. As other methods, this
analysis identifies enriched or overrepresented gene sets among a list of ranked genes
providing the advantage that ssGSEA enrichment scores reflect the activity level of the
biological process in which the genes of a particular gene set are coordinately up- or down-
regulated within a sample. This transformation allows the interpretation of cell status through
activity levels of biological processes and pathways rather than through the expression levels
of individual genes. Here, two ssGSEA scores were calculated from both signatures, one
including all adrenergic receptor genes, and the other including all cholinergic receptor genes
in order to obtain an adrenergic score (AEs) and a cholinergic score (ChEs), respectively. To
obtain a global neurotransmitter receptor score (NRs), the difference based on the
adrenergic score minus the cholinergic score was calculated for each sample. Samples were
split in two classes defined by receptor expression: those with higher NRs than median were
named adrenergic while those with lower NRs than median were named cholinergic.
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Differential Gene Expression:

Differential gene expression was performed with DESeq2 Bioconductor R package (22) using as contrast previous clustering (classes by synaptic receptor, adrenergic-cholinergic signature). padj was set to 0.01 and Log2 Fold Change abs > 0.58. "High" (adrenergic) class was considered as reference.

Pathway enrichment analysis

All pathway-enrichment analyses were conducted using MSigDB gene sets H, C2, and C5 from msigdbr R package (23). Overrepresentation analysis of pathways was performed with enricher from clusterProfiler (24) using as input over-expressed and down-expressed genes previously obtained by differential gene expression analysis.

Survival analysis

The survival data (OS, PFI) were extracted from Liu et al. (25). Survival analyses were conducted with survival R packages (26, 27) and survminer (28). The survival analysis was performed at 2, 3, 5 and 10 years. $p < 0.05$ was considered significant.

Microenvironmental analysis

Estimation of immune and non-immune cell fractions from the tumor microenvironment were determined through gene expression analysis using Immunedeconv R package (29) together with xCell enrichment method (30). This method allows sample to sample comparisons and to determine stroma, immune and microenvironment scores.

Results

Neurogenesis of parasympathetic orientation in a cirrhosis-associated HCC rat model

Emerging evidence suggests a potential dysregulation of ANS signaling in several

cancers in humans and in animal models (4-8). To our knowledge, neuroregulation in HCC

has never been investigated *in vivo*. HCC occurs in a cirrhotic background in 90% of cases.

A rat model that allows HCC growth on genuine cirrhosis has been extensively characterized

(31) (also in **Suppl. Figure 1** herein) and shows documented clinical relevance in particular

with respect to the proliferative class of HCC (32). In this context, we herein evaluated the

ability of this diethylnitrosamine (DEN)-treated HCC rat model to recapitulate such processes

(4-8). The methodology used for the experimental induction of HCC is shown in **Figure 1A.**

To characterize HCC innervation, the following classically validated neuron markers were

considered: NeuN (phospho- and total RBFOX3) as a mature neuron marker, DCX

(phospho- and total forms) and INA as immature neurons-specific markers, TH (TYR3H) and

VAChT (SLC18A3) as specific ANS neuron markers for adrenergic and cholinergic signals,

respectively. Total NeuN signals increased throughout disease progression. Interestingly,

signals related to the DCX progenitor marker increased transiently, yet sharply, in samples

harboring cirrhosis and HCC small nodules (shown in **Suppl. Fig. 1**). In the case of ANS-

specific markers, though levels of the TH marker (adrenergic) remained unaltered throughout

disease progression, an increase in the cholinergic VAChT marker was observed in rats

suffering from HCC. Degradation of β-tubulin was correlated with DEN-treatment and was

likely derived from hepatic cytolysis and release of cytosolic contents, due to DEN-related,

neoantigen-targeting, immune activation (**Figure 1B**). Intriguingly, along this line, a tight

correlation was observed between DCX expression and β-tubulin degradation throughout

progression of HCC-predisposing chronic liver disease (CLD) (**Figure 1C**). This suggests

that HCC neural remodeling occurs as a consequence of cytolysis or parenchymal

remodeling, as recently demonstrated in NASH (33). This prompted us to analyze the

quantitative evolution of neural markers during CLD and HCC progression, which confirmed

neo-neurogenesis, and the parasympathetic neural features of HCC in the rat (**Figure 1D-H**),

features pertinent to the human phenotype described herein. Of note, consistently, this

model was recently shown to recapitulate features of clinical lesions of poorer outcomes (32).

Altogether, such data indicate that alteration hepatic neural features correlate with

progression towards HCC *in vivo*.

Infiltration of neural progenitors of parasympathetic orientation in human HCC samples

Given that the human liver ANS innervation extends deeper into the lobule (11) than in rodents, the extent of involvement of neural processes in human HCC may be substantial. We then investigated by immunoblotting the presence of ANS neural markers in paired peritumoral (minimum distance of 2 cm) and tumoral clinical HCC samples. These samples, obtained from the French National HCC biobank, were evenly distributed across the main four HCC etiologies (HBV, HCV, ALD, NASH; 24-26% each). The main characteristics of the patients are provided in the **Suppl. Table 1**. For optimal comparability between samples of each etiology, the HBV RNA and HCV RNA intrahepatic viral loads were limited to a maximum of 2 $\log_{10}$ difference.

We first considered by immunoblotting the presence of ANS neural markers in normal livers (both uninfected and F0, see Materials & Methods) versus HCC samples. Western blotting highlighted specific DCX and INA positivity in HCC samples, with a slight decrease in mature neural marker NeuN expression in HCC, indicating the presence of immature neurons. In addition, HCC samples displayed a profound depletion of the adrenergic marker TH at the benefit of the cholinergic neural marker VAChT (**Figure 2A**), prompting further analysis. We then compared the expression levels of such markers after thorough technical validation of the antibodies of interest (**Suppl. Fig. 2**) at the peritumoral (cirrhotic/F4 stage) and tumoral levels in samples of the main HCC etiologies ((HBV (n = 14), HCV (n = 9), ALD (n = 14) and NASH (n = 14), total of 51 patients), which revealed no marked difference between peritumoral and tumoral samples (**Suppl. Fig. 3-7**). The intensity of neuron markers was heterogeneous between etiologies. Only two clinico-biological features were significantly different between peritumoral and tumoral tissue: DCX enrichment in HBV+ samples and TH depletion in NASH samples (p < 0.05) (**Figure 2B-C**). When focusing on tumor differentiation, we then observed that well differentiated HCC samples were inversely correlated with mature innervation (NeuN+) in HBV-related tumors (**Figure 2D**). Overall, the important heterogeneity in neural markers observed across all tumors (significance is summarized in **Suppl. Table 2**), reflects, and maybe even affects, the well-known heterogeneity of HCC. Our data indicate that neo-neurogenesis occurs in all HCC etiologies, and that NASH is the primary etiology able to drive associations with neural markers. Importantly, such data also indicate that neurogenesis and its related alterations precedes HCC *per se* since no significant difference in the expression of markers could be observed in several instances between F4 and HCC.

Next, we sought to gain insight into the localization of neural signals in human samples. A set of 24 HCC patient samples derived from the four main etiologies ((HBV (n =

7), HCV (n = 4), ALD (n = 9), NASH (n = 4)) were subjected to Masson's trichrome staining
to expose tissue architecture, and to NeuN, DCX, TH and VAChT immunostaining coupled
with DAPI staining. INA signals could not be validated by IHC. The technical validations for
NeuN, DCX, TH and VAChT staining are provided in the **Suppl. Fig. 8.** Whereas normal liver
samples exhibited no signal, capsule-bearing or fibrosis septa-lined tumors were positive for
DCX, NeuN and VAChT (**Suppl. Fig. 8)**. TH staining was negligible in both frequency and
intensity throughout samples (**Figure 3A-F**). Importantly, neuronal markers DCX and VAChT
could be found in the tumor bulk where they co-stained (**Figure 3G-J**), NeuN was never
detected in the tumor bulk, suggesting that intra-tumoral neurogenesis is more immature and
dynamic than its capsular counterpart. As observed by WB, the predominant ANS co-labeling
was specific for immature DCX+ fibers and parasympathetic neurons, suggesting that HCC
neo-neurogenesis is largely parasympathetic, a notion in line with previous data on NASH
(33). Such observations were unrelated to any specific HCC etiology, and confirmed findings
on other solid malignancies (4-8) that these tumors host nerves with migrating potential,
likely enabling their interaction with post-synaptic receptors.
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Modulation of ANS receptor transcripts from cirrhosis to HCC
Transduction of neural signals in the diseased tissue implicate postsynaptic ANS
receptors. As a consequence, we compared the expression of several transcripts encoding
ANS receptors in paired cirrhosis/F4 and HCC samples in the same cohort (n = 161 patients)
from the French National HCC biobank. Neuronal (presynaptic) mRNA markers such as
RBFOX3, *DCX*, *TYR3H*, and *SLC18A3* could not be amplified by RT-qPCR using ad hoc
neuronal control cells (not shown), likely due to limited amounts of mRNAs in the distal,
hepatic extremity of the axon, prompting for the consideration of TH over VAChT ratios at the
presynaptic level. Post-synaptic neural markers belonging to detectable and well-
characterized factors from the adrenergic group (*ADRA1A*, *ADRA1B*, *ADRA1D*, *ADRB1*,
ADRB2, *ADRB3*) and from the cholinergic group (*CHRNA4*, *CHRNA7*, *CHRM3*) were also
quantified. *ADRA1A*, *ADRB2* and *CHRNA4* were the most highly expressed. Transcript
abundance is shown for both groups in **Suppl. Figure 9A**. Data indicate the regulation of
several receptor transcripts in tumors, using peritumoral (F4) areas as our reference. Within
the adrenergic group, *ADRA1A* and *ADRB1* displayed a down-regulation of more than 2-fold,
whereas *ADRA1D* and *ADRB2* were up-regulated by 3.2-fold and 2-fold, respectively. Within
the cholinergic group of transcripts, the nicotinic receptor mRNA *CHRNA4* was profoundly
depleted (> 10-fold) in HCC versus F4 samples, while the muscarinic receptor *CHRM3* was
up-regulated by 3-fold. Modulated transcripts *ADRA1A*, *ADRB2* and *CHRNA4* were also the
most highly expressed (> 1,000-fold in comparison with the others) and therefore also likely
participated in ANS-regulated phenotypes concomitant to the F4-to-HCC transition.

Altogether, such data suggest ANS-driven changes to parenchymal signaling during the F4
to HCC transition. Regulation of these receptors confirms at the post-synaptic level the
remodeling of upstream ANS neurons, as identified in other types of cancers (4-8).
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295   **Tumor-specific uncoupling between neuronal and post-synaptic orientations**
296   In order to more closely monitor the potential sensitivity of neural processes to
tumorigenesis, we next sought to identify concordant or conflicting neural orientations at the
pre- and post-synaptic levels in non-tumoral versus tumoral tissues. Again, neural TH and
VAChT were quantified by WB. At the post-synaptic level, the three most highly expressed
transcripts were used (*ADRA1A*, *ADRB1*, *ADRB2* for the adrenergic polarity and *CHRNA4*,
CHRNA7, *CHRM3* for the cholinergic polarity). In F4 peritumoral samples, shifts of ratios
defined by adrenergic over cholinergic signals remained homogenous across paired
specimens when comparing neural markers and post-synaptic markers (p < 0.0001). In stark
contrast, in HCC, shifts were unpredictable across samples, and even contradictory with
respect to F4 features (**Suppl. Figures 9B and 10**). Altogether, such data indicate that
hepatic tumorigenesis is correlated with the empowerment of the tumor, ignoring neural
polarities observed in the non-tumoral, cirrhotic, tissue.
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310   **Bioinformatics illuminates the pathogenic implication of the parasympathetic**
311   **orientation in HCC evolution**
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313   *General sample characterization*
314   We then sought to decipher the potential implication of the ANS in HCC phenotypes
315   and stratification, through bioinformatics. In order to charter the interplay between autonomic
316   functions and HCC in the least possibly biased manner, we performed a multisectional
317   bioinformatics study on the previously published HCC TCGA dataset (19).
318   The adrenergic/cholinergic signal balance defines a unified ANS output in each
innervated organ. We first defined a signature encompassing adrenergic and cholinergic
receptor transcripts considering all mRNAs belonging to both categories. All these receptors
(n = 24) are listed in the **Suppl. Table 3.** Adrenergic and cholinergic gene set normalized
scores were established and the difference between adrenergic and cholinergic scores was
then calculated. Based on the resulting score, samples were split into two classes: those with
a higher difference than median were named adrenergic and those with a lower difference
than median were named cholinergic (see the Materials and methods section). The
mathematical formula used, distribution diagram of obtained values and PCA representation
of those two classes, thereafter named adrenergic or cholinergic classes, define two clearly

separated populations and are shown in **Figure 4A-C.** We then generated data describing

normalized expression levels of all adrenergic and cholinergic receptors in HCC, in all TCGA

samples, at the level of specific etiologies and tumor differentiation statuses. Differential

expression analysis revealed that *ADRA1A, ADRA1B, ADRA1D, ADRB1, ADRB2, CHRM1,*

CHRM4, CHRNA1, CHRNA3, CHRNA5, CHRNA6, CHRNA7, CHRNA9, CHRNB2, CHRNB4

were expressed at various levels in both neural classes. In general, as expected, ADRAx and

ADRBx receptors were better expressed by the adrenergic class, while CHRNAx and

CHRNBx receptors were better expressed in the cholinergic class. (**Figure 4D** and **Suppl.**

Table 3).

Association between neural orientations and TP53 and CTNNB1 mutations

In order to identify a potential association between a given ANS polarity (sympathetic

or parasympathetic) and classically considered clinico-biological parameters in the HCC field,

we implemented two-dimensional PCA on these data with respect to gender, ethnicity,

etiology, obesity and mutational profile (*hTERT, TP53, CTNNB1*) (**Suppl. Fig. 11**).

Importantly, no association could be seen between either neural class and gender, ethnicity,

obesity, family history, or any of the four main HCC etiologies (HBV, HCV, NASH, ALD).

CTNNB1 mutations and age >60 emerged as positively associated with the adrenergic class.

TP53 mutations emerged as positively associated with the cholinergic class (padj $\leq$ 0.05). Of

note, mutated *CTNNB1* samples positively correlated with the adrenergic class, yet at the

significance threshold of 0.05 (**Figure 4E**). A Fisher's exact test comparing each class

signature to each variable was constructed (**Suppl. Table 4**). This suggests that the

adrenergic and cholinergic classes defined by this ANS-based signature may enrich the

stratification of HCC heterogeneity, this time based on mutual influences or selective events

occurring between intrahepatic neural inputs and canonical HCC genetics.

Differential Gene Expression and Pathway Enrichment Analysis

To identify which HCC phenotypes clustered with the ANS features of the tumor, we

performed a differential gene expression analysis (padj < 0.01 and absolute Log2 fold-

change > 0.58), the results of which are illustrated in a Volcano plot (**Figure 5**). Data related

to the 100 most significantly up- and down-regulated genes for the adrenergic and

cholinergic signatures are shown in the **Suppl. Tables 5-6,** respectively. Several mRNAs up-

regulated in the cholinergic signature are of pathological significance: (i) *LGALS14* transcript

(8-fold Log$_2$), which encodes a protein fostering apoptosis of T cells, (ii) *CT55* (cancer testis

antigen, ranked 3rd; +6-fold Log$_2$) (iii) *BMP7*, ranked 5th (+6-fold Log$_2$), is a growth factor of

the TGF-β family, *CEACAM7* (carcinoembryonic antigen-related cell adhesion molecule 7,

ranked 7th; +5-fold Log$_2$) and *MAGEA4* as well as *MAGEA10* (ranked 10th and 30th; +4-fold

Log$_2$ each ; both related to MAGEA3, a novel HCC progression driver), XAGE2 (ranked 44th;

+4-fold Log$_2$), a fetal / reproductive tissue tumor-related antigen, and GAGE2A, (76th; +3-fold

Log$_2$) a germ-cell and tumor antigen. CEA, MAGE, XAGE and GAGE antigens are related to

colorectal cancer, melanoma and fetal/reproductive tissue tumors, respectively, suggesting a

link between cholinergic orientation and general cancer markers. Likewise, *AFP* (+4-fold),

ranked 33rd in terms of positive association with the cholinergic signature, encodes a widely

used protein for HCC diagnosis, as well as tumor size and differentiation evaluation (**Suppl.**

Table 5). In contrast, one alcohol dehydrogenase (ALDH3A1; +3-fold) and three major

CYP450 isoforms (1A1, 3A4, 1A2), as well as CYP2A13, 2A7P1 and 3F36P, several of

which known to be xenobiotic-inducible hepatocytic differentiation markers, were enriched (2

to 3-fold Log$_2$) within the transcripts significantly associated with the adrenergic class (**Suppl.**

Table 6). The β-catenin control target *GLUL*, but not *LGR5*, was also positively associated

with this adrenergic class (+1.52 Log$_2$; padj = 4.6E-8, rank 241). In contrast, no CYP450

mRNA was found in the cholinergic class. Altogether, such data support that the cholinergic

signature may be correlated with less differentiated HCC tumors.

Pathways associated with ANS polarities by overrepresentation analysis

Next, to accurately document how ANS features of the tumor are integrated within the

current landscape of signatures in HCC, we performed an overrepresentation analysis (21)

for both adrenergic and cholinergic classes using ClusterProfiler (24) and the msigdbr R

package (23) to access Molecular Signature Databases for gene sets H, C2, and C5. The

lists of genes obtained from differential gene expression were used as inputs. A total of 956

and 604 pathways were correlated with adrenergic and cholinergic signaling, respectively

(padj < 0.01, **Suppl. Tables 7-8**). We first sought to validate the approach by probing the

presence of known recognized adrenergic and cholinergic processes in both cases.

Overrepresentation analysis of the adrenergic class identified 24 pathways related to cardiac

or heart processes, mainly sympathetically regulated, and none related to nausea or

vomiting, hallmarks of parasympathetic activation. Within the analysis pertaining to the

cholinergic orientation, two signatures were related to nausea and vomiting, triggered by

parasympathetic activation, while none were associated with cardiac pathophysiology.

We then deciphered the most relevant differences between overrepresentation

pathways of adrenergic and cholinergic tumors. Pathways shared by all cancers (chosen

keywords were 'cancer' and 'tumor') were more associated with the adrenergic class (82

(57%) pathways for adrenergic tumors vs 63 (43%) for cholinergic tumors), (**Figure 6A-B**). In

contrast, HCC-specific pathways were enriched in the cholinergic class of tumors (70% of the

total number of HCC pathways identified herein versus 30% in the adrenergic group).

Significance of the pathways was also higher in the cholinergic class, as illustrated by the

frequency diagram (**Figure 6C-D**). In the case of adrenergic signaling in HCC, a stronger
association was also found with immunological functions (72% vs 28%, **Figure 6E-F**).
Strikingly again, 97% of energetic pathways, representing functions fostering general
proliferation, were correlated to cholinergic tumors (**Figure 6G-H**), whereas, as seen in
overrepresentation pathways data, specific pathways linked to *CTNNB1* mutations were
associated with the adrenergic tumor class.

We finally sought to identify within both neural classes the recognized HCC-specific
signatures known to be related to good or poor prognosis pathways, including those
identified by Chiang (34), Hoshida (35), Lee (36) and Boyault (37) that are regularly
considered for classification (2). The adrenergic class was associated with 18 known
signatures, 17 of which were in functional agreement with the transcriptomics of this class,
i.e. related to better prognosis. The cholinergic class was linked to 8 signatures, of which 7
were in agreement with the transcriptomics of this class, that is, related to poor prognosis
(**Suppl. Table 9**). In addition, the adrenergic class was correlated with longer overall survival
(OS) and progression-free interval (PFI) than its cholinergic counterpart within a timeframe of
two years post-diagnosis when performing a Cox model (**Figure 6I-J** and **Suppl. Table 10**),
corroborating our findings that the cholinergic class is associated with more aggressive
tumors.

To interpret these data at the level of multigenic functions, we performed a single
sample non-parametric, unsupervised gene set variation analysis (GSVA method ssGSEA)
for each sample, using the *Hallmarks of Cancer* signatures (38). As shown in **Figure 7A**, the
adrenergic class was correlated with *CTNNB1* mutation-associated metabolic functions, such
as oxidative phosphorylation, adipogenesis, peroxisomal activity, xenobiotic detoxication, bile
acid functions, and fatty acid metabolism (padj < 0.05). In contrast, the cholinergic class
showed a clear association with mitogenic processes, E2F, MYC, TGF-β signaling, EMT,
angiogenesis, NOTCH, Hedgehog, KRAS, TNF-α/NF-KB, IL6/STAT3, P53, hypoxia and
PI3K/Akt/mTOR signaling pathways (padj < 0.05) (**Suppl. Table 11**). Hence, adrenergic
signaling was correlated with several metabolic functions defining the non-proliferative class,
whereas cholinergic signaling was correlated with features defining the proliferative class of
HCC tumors (3). Again, these findings argue in favor of a poorer evolution for HCC patients
with higher cholinergic signaling.

Correlation between ANS orientation and immune response

Having observed that the number of immune pathways linked to adrenergic tumors
was higher than to cholinergic tumors, and given the known impact of HCC immunology on
clinical outcome, we then considered potential associations between ANS features and intra-
tumoral immune markers, deciphered using the xCell enrichment method (30). Interestingly,

neither global immune infiltrates nor T CD8+ leucocytic populations were correlated with
either class. However, NK cells (+1.3-fold) were enriched in adrenergic tumors. Instead,
CD4+ T cells (naïve, +2.5-fold), gamma/delta T cells (+1.5-fold) and several types of B cells
(composed of total (+2.7-fold), naive (+29-fold) and class-switched (+2.3-fold)
subpopulations) as well as myeloid dendritic cells (+1.7-fold) were significantly enriched in
the cholinergic class. Total and M2 macrophages were somewhat unexpectedly enriched
(+1.2 and +1.4-fold respectively) in the adrenergic class (padj < 0.05) (**Figure 7B and Suppl.**
Table 12). Overall, such data suggest that a B cell orientation, known to foster
immunotolerance, is associated with the cholinergic polarity of the tumor. Vagal cholinergic
signaling conveys systemic anti-inflammatory signaling (39) as well as in the gastrointestinal
tract (40, 41). These findings identify cholinergic signaling as a potential contributor or
intrinsic signature of specific pro-tumoral or exhausted immune subsets, likely implicating B
cells, which are newly identified and debated players in HCC (42-45). Accordingly, we
investigated the association between each class and current immunotherapeutic targets of
HCC. A hit was found linking one altered PD1 levels-associated pathway and the adrenergic
class (GSE26495_PD1HIGH_VS_PD1LOW_CD8_TCELL_UP, padj = 3.5E-3, see **Suppl.**
Table 7). This indicates that the transcriptome of adrenergic tumors is enriched in specific
genes that are themselves correlating with variations of PD1 levels. Overall, these data
suggest that ANS polarities are correlated with immune processes that are known to
influence pathology progression.
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Discussion

The involvement of the ANS in the pathophysiology of many diseases is now recognized and links the CNS, which is unique to each patient, to disease-specific peripheral processes. HCC, a highly heterogeneous cancer at the genetic and pathological levels, remained undocumented with respect to the participation of the ANS. Local neo-neurogenesis and the involvement of the ANS has been identified in ovarian (4), prostate (5), gastric (6) and pancreatic (7, 8) cancers. In prostate cancer, a study revealed cancer-associated neo-neurogenesis with DCX marker expression, and predominant parasympathetic (cholinergic) features of *de novo* grown intra-tumoral nerves (46). In gastric and pancreatic cancers, vagal nerves regulate cholinergic receptors in favor of oncogenesis initiation and progression. In breast cancers, in contrast, a study showed that parasympathetic nerves reduced cancer growth and progression (47). In the case of HCC, DCX/VAChT staining immune localization, as well as Western blots advocate for an association between the parasympathetic features of the liver ANS and the disease. As was shown recently in NASH (33), sympathetic innervation of HCC seems to be weaker if existent. Drastic enrichment of neurogenetic pathways in the adrenergic class identified in our work could thus be interpreted as compensatory with the aim of reestablishing sympathetic neural control in the neuro-emancipated diseased tissue. Indeed, it would be interesting to know whether HCC-correlating neurogenic netrin-1 (48) as well as NGF and neurotrophin 5 (increased in NASH (49)) are associated with the adrenergic class.

Herein, we identify parasympathetic innervation as dominant over sympathetic innervation in human, as well as in cirrhotic rat HCC. In addition, we demonstrate that cholinergic tumors are associated with higher *AFP* transcript levels and many poor prognosis-related pathways. Immune tumor infiltrates are correlated with better prognosis in HCC (50, 51). The cholinergic class also seems to be partly depleted of cytotoxic immune or immunotherapy-related markers, suggesting their partially weakened or exhausted immune status. The liver is innervated by vagal outputs, which bear anti-inflammatory properties in several instances including the gastrointestinal tract (52). This could provide an explanation for higher aggressiveness of cholinergic tumors, as cholinergic/vagal mitigation of local immunity, as suggested by the data herein, could obstruct proper mounting of anti-tumoral responses. In average, *CTNNB1*-mutated specimens that correlate with adrenergic polarity herein are in fact known to be rather immune-excluded (2). This is suggesting that a somewhat heterogenous group of adrenergic tumor subtypes, not yet stratified, may account for the observed association between *CTNNB1*[mut] and adrenergic tumors. Indeed, this association only reaches the null hypothesis threshold of 0.05 and implicates the *GLUL* control transcripts enrichment but not the *LGR5* control transcript enrichment, suggesting its

moderate significance. Most importantly, our data both further validate and enrich the current landscape of predictive transcriptomic signatures, showing, once again the intensified association between adrenergic features and a series of transcriptomic signatures linked to better prognosis.

The novelty of our findings resides in the implication that the systemic regulation of each patient influences the features of their tumor. In the liver, the *fight-or-flight* model, that is generally accepted for describing ANS functions (53), would predict that adrenergic signaling would mobilize intracellular hepatocytic energy pools for peripheral energetic needs, whereas cholinergic signaling would foster intrahepatic storage of nutrients and related processes, such as liver expansion. This model seems pertinent to the HCC context. Liver expansion implicates liver cell size/mass increase as a condition for organ growth, both being dependent on mTOR functions (54, 55), a pathway that is correlated with cholinergic tumors in our study. Frequent comorbidities associated with liver carcinogenesis are excessive BMI and alcohol intake (an underestimated but important energetic source), suggesting that parasympathetic signals aiming at fostering liver expansion could be highjacked by the tumor in a context of excessive nutrient availability. The likely protective and adverse roles of coffee (56) and tobacco (56, 57), respectively, as adrenergic and cholinergic agonists, are also in support of our findings.

This study provides the first body of evidence with respect to neural implication in human HCCs and in their stratification, using ANS functions unique to each patient's pathophysiology.

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Figure legends

Figure 1.

Evolution towards HCC and hepatic remodeling are correlated with neurogenesis and

parasympathetic orientation in the cirrhotic rat.

A. Experimental outline. 6-week-old Fischer 334 rats were given DEN at 50 mg/kg weekly

from day 0 to week 14, allowing progression of chronic liver disease to fibrosis, cirrhosis,

decompensated cirrhosis and HCC. **B.** Immunoblotting of total NeuN (mature neurons), total

DCX (immature neurons), TH (adrenergic) and VAChT (cholinergic) neural markers. **C.** DCX

induction is correlated with parenchymal remodeling. DCX levels were plotted against ratios

of full-length versus degraded tubulin signals shown in panel B. Spearman test (*** $p <$

0.001). **C-H.** Signal quantification was done using the Fiji software on non-saturated images

(as detailed in the *Materials and Methods* section, also in all subsequent data), prior to

statistical plotting using the Mann-Whitney test (* $p < 0.05$, ** $p < 0.01$). Five to eight rats

were used per time point.

Figure 2.

Expression of mature and progenitor neural markers in human HCC.

A. Immunoblotting of NeuN (mature neurons), DCX and INA (immature neurons), TH

(adrenergic) and VAChT (cholinergic) neural markers carried out on normal liver and HCC

samples. **B-D.** Signal quantification of data presented in **Suppl. Fig. 3-7** was done using the

Fiji software on non-saturated images, prior to statistical plotting using the Mann-Whitney test

(* $p < 0.05$). Quantifications conducted prior to (B-C) and after (D-G) patient segregation

according to the differentiation grade of the disease. HCC: Hepatocellular carcinoma; HCV:

Hepatitis C Virus; HBV: Hepatitis B Virus; ALD: Alcoholic Liver Disease; NAFLD: non-

alcoholic fatty liver disease. Peritumoral: cirrhotic; Tumoral: HCC; HCC differentiation grade:

Low/moderate or High.

Figure 3.

Human HCCs harbor DCX+ neurogenesis with predominant parasympathetic features.

A panel of 24 HCC samples derived from all four main etiologies (HBV: n = 7; HCV: n = 4;

ALD: n = 9; NASH: n = 4) was probed for immunolocalization of NeuN, DCX, TH and VAChT

by multiplex IHC. **A-F**. Masson's trichrome and IHC staining of a representative capsule-

bearing tumor. **G-J.** Staining of a representative tumoral bulk. Nuclei appear as grey. Cap:

capsule; Tu: tumoral bulk. Scale bars = 50 µm.

Figure 4.

Neurosignature based on adrenergic and cholinergic receptors in HCC.

A. Neuronal receptor score (NRs) calculation: adrenergic and cholinergic enrichment scores

(AEs and ChEs, respectively) were calculated based on corresponding receptors gene set

signatures for each sample, then cholinergic scores were subtracted from adrenergic scores.

Samples were grouped in cholinergic and adrenergic classes depending on whether their

ssGSEA score values (NRs) were below or above the calculated median, respectively. **B.**

Histogram of NRs calculated by ssGSEA for HCC tumors. **C.** Sample distribution after

dimensional reduction (PCA) based on the 10 per cent most variable genes (normalized

values). Cholinergic class in blue and adrenergic class in red are contrasted. **D.** Heatmap of

relative expression levels of all adrenergic and cholinergic receptors in HCC tumor samples.

Once samples were classified in cholinergic and adrenergic receptor expression classes

based on previously obtained signature scores, differential expression analysis was

performed and expression values normalized (variance stabilization transformation) and

shown in the heatmap. Older60: patients older than 60. HBV: Hepatitis B Virus; HCV:

Hepatitis C Virus; ALD: Alcoholic Liver Disease; NAFLD: non-alcoholic fatty liver disease.

Mutations: TP53, CTNNB1, TERT; wt: wild type. na: no information available. **E**. Associations

between classes and main HCC clinico-biological features. Fisher test's adjusted *p*-values

per variable (** p < 0.01, *** p < 0.001).

Figure 5.

Transcriptomic features of adrenergic and cholinergic signatures analyzed by DGE.

Volcano plot showing differentially-expressed genes in both tumor classes. Genes with

lowest adjusted p-value are indicated. The horizontal red bar represents the adjusted p-value

threshold at 0.01. The higher the location of a gene, the stronger its association with a low

adjusted p-value (significance). The more a gene is located to the right or left, the stronger its

association with a high absolute Log2 fold-change. Modulated genes were considered if

absolute Log2 fold-change value was higher than 0.58 and adjusted p-value < than 0.01.

Figure 6.

Cholinergic orientation correlates with adverse HCC pathways and outcomes as

identified by Gene Set Enrichment Analysis (GSEA).

From up-regulated (derived from adrenergic tumors) and down-regulated (derived from

cholinergic tumors) transcripts obtained by differential gene expression analysis, enriched

pathways were identified. Pathways with adjusted p-value < 0.01 were selected. **A,C,E,G**.

Pathway allocation to each neuroclass. **B,D,F,H**. Statistical significance of both groups of

pathways. The higher the pathway on the plot (low *p*-value, then (-Log)-transformed), the

stronger its association with the neuroclass of interest. Key-words used for the search are:

Cancer terms: CANCER|TUMOR. HCC terms: HEPATOCELLULAR_CARCINOMA|HCC

Immune   terms:

IMMUN|MACROPHAGE|PHAGO|IGM|ANTIGEN|LEUKO|CYTO|TREG|LYMPHOCYTE|CHE

MOKINE|INTERLEUKIN|MONOCYTE|T_CELL|NEUTROPHIL|MACROPHAGE|CD8|CD4|RE

GULATORY_T|DENDRITIC|B_CELL|NK_CELL|NATURAL_KILLER|INFECTION|INFLAMATI

ON. **I-J.** Kaplan-Meier representation of the predictive value of both classes with respect to

overall survival (OS) and progression-free interval (PFI) in HCC.

Figure 7.

Adrenergic- and cholinergic-associated hallmark pathways determined by single

sample Gene Set Enrichment Analysis (ssGSEA), and comparative immune

infiltrations determined by the xCell method.

A. ssGSEA scores were calculated for each sample. Pathways comparison between neural

classes was performed with the Wilcoxon Test and significant pathways (padj < 0.05)

selected. Older60: patients older than 60. HBV: Hepatitis B Virus; HCV: Hepatitis C Virus;

ALD: Alcoholic Liver Disease; NAFLD: non-alcoholic fatty liver disease. Mutations: TP53,

CTNNB1, TERT; wt wild type. na: no information available. To determine statistical

differences for each cell type between HCC neural classes, a Wilcoxon Test was performed

on 36 immune subpopulations using the immune Xcell score. Significant data obtained on 9

of them are shown (panels **B-J**, padj < 0.05).

Supplementary Figures

Supplementary Figure 1.

Histological assessment of disease progression in the DEN HCC rat model.

Hematoxylin/Eosin and Sirius Red staining were used.

Supplementary Figure 2.

Validation of anti-NeuN, DCX, INA, TH and VAChT antibodies by Western Blot.

A. Extracts from neonate mouse brain, SKNSH, PHH, and human HCC lines belonging to

transcriptomic classes 1, 2 and 3 (58) were processed for detection of the indicated targets.

B. The same strategy was used for the validation of an anti-DCX antibody specifically

suitable for rat epitopes.

Supplementary Figure 3.

Quantification of mature and progenitor neural markers in human HCC of all

etiologies.

A-L. Signal quantification was done using the Fiji software on non-saturated images prior to

statistical plotting using the Mann-Whitney test (n = 51 patients, n.s). Quantification before

(A-F) and after (G-L) patient stratification based on the 'low/moderate' or 'well' level of

differentiation.

Supplementary Figure 4.

Expression of mature and progenitor neural markers in human HCC of HBV etiology.

A. Immunoblotting on neural markers using antibodies against NeuN, DCX, TH and VAChT

neural markers and β-tubulin as an internal control. **B-G.** Signal quantification was done

using the Fiji software on non-saturated images prior to statistical plotting using the Mann-

Whitney test (n = 14 patients, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

Supplementary Figure 5.

Expression of mature and progenitor neural markers in human HCC of HCV etiology.

A. Immunoblotting on neural markers using the above-mentioned antibodies. **B-G.** Signal

quantification was done using the Fiji software on non-saturated images, prior to statistical

plotting using the Mann-Whitney test (n = 9 patients, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

Supplementary Figure 6.

Expression of mature and progenitor neural markers in human HCC of NASH etiology.

A. Immunoblotting on neural markers using the above-mentioned antibodies. **B-G.** Signal
quantification was done using the Fiji software on non-saturated images, prior to statistical
plotting using the Mann-Whitney test (n = 14 patients, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).
656

657 **Supplementary Figure 7.**
658 **Expression of mature and progenitor neural markers in human HCC of ALD etiology.**
659 **A.** Immunoblotting on neural markers using the above-mentioned antibodies. **B-G.** Signal
660 quantification was done using the Fiji software on non-saturated images, prior to statistical
661 plotting using the Mann-Whitney test (n = 14 patients, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).
662

Supplementary Figure 8.
Validation of antibodies used by IHC. Anti-NeuN, DCX, TH and VAChT antibodies were
validated by immunofluorescence on ad hoc human tissue samples prior to their use on HCC
specimens. **A.** Cerebellum. **C.** Parotid gland lesion. **E.** Surrenal gland. **G.** Cerebral cortex.
B,D,F,H. Normal liver (hepatocytic areas). Nuclei were stained with DAPI (grey signal).
Antigens of interest are shown in green. Scale bar = 100 μm.
669

Supplementary Figure 9.
Tumor-specific uncoupling between neuron marker and post-synaptic receptors upon
comparison with non-tumoral paired tissues.
A. Adrenergic and cholinergic receptors abundance and expression in peritumoral tissue (F4)
and HCC. 166 pairs of liver tissues were analyzed. Mann-Whitney test (* $p < 0.05$, ** $p <$
0.01, *** $p < 0.001$). **B**. Relationship between neuronal pre-synaptic marker and post-
synaptic marker expression. TH and VAChT expression levels in peri-tumoral and tumoral
samples (n = 51 HCC cases) were evaluated. Gene expression levels of adrenergic and
cholinergic receptors was evaluated. Orthosympathetic/Parasympathetic ratios were
calculated for each patient taking into consideration TH over VAChT signals and
(*ADRA1A+ADRB2+ADRA1B*) over (*CHRNA4+CHRNA7+CHRM3*) signals, where those six
targets correspond to the most expressed of both categories in patient samples. Mann-
Whitney test (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).
683

Supplementary Figure 10.
Relationship between neuron marker and post-synaptic receptors expression after
etiology-based stratification.
TH and VAChT expression levels in peri-tumoral and tumoral samples (n = 51 HCC cases)
were evaluated by immunoblotting with specific antibodies and normalized against whole
lane signal. Gene expression levels of adrenergic and cholinergic receptors were evaluated

by qPCR and normalized against *GUS* expression. Adrenergic/cholinergic ratio was

calculated   for   each   patient   as   follows: (ADRA1A+ADRB2+ADRA1B)   /

(CHRNA4+CHRNA7+CHRM3), where those six targets are the most expressed of both

categories in patient samples. Mann-Whitney test (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

Supplementary Figure 11.

HCC neural classes are unrelated to many HCC clinico-biological parameters except

age>60, *CTNNB1* and *TP53* mutational status. PCAs comparing signature classes with

gender, ethnicity, obesity, family history, or any of the four main HCC etiologies (HBV, HCV,

NASH, ALD), or main mutational profiles *IDH, TP53, TERT, CTNNB1* mutations.

Supplementary Tables

Supplementary Table 1.

Characteristics of paired F4/HCC samples used in the study.

Supplementary Table 2.

Significance assessment of neural features in HCC.

Supplementary Table 3.

Differential gene expression (by DESeq2) of adrenergic and cholinergic receptors in TCGA samples grouped by neural class.

Supplementary Table 4.

Association levels between neural classes and major HCC-related clinico-biological variables. Fisher test. p<0.01.

Supplementary Table 5.

List of the 100 genes most correlated with the cholinergic class.

Supplementary Table 6.

List of the 100 genes most correlated with the adrenergic class.

Supplementary Table 7.

Pathways enriched in the adrenergic class. Over Representation Analysis with hypergeometric test. p < 0.01.

Supplementary Table 8.

Pathways enriched in the cholinergic class. Over Representation Analysis with hypergeometric test. p < 0.01.

Supplementary Table 9.

ssGSEA HCC signatures correlated with adrenergic (left columns) and cholinergic (right columns) classes of tumors. Pathways are listed by decreasing order of significance. Besides signature names listed in this table (p < 0.01 Wilcoxon Test), attention needs to be paid to their expanded biological significance extracted from the ssGSEA database, listed **in Suppl. Table 9**, before drawing conclusions with respect to pathology.

Supplementary Table 10.

Biological descriptions of prognosis pathways associated with both HCC neural classes.

Supplementary Table 11.

Significance of hallmark pathways. Wilcoxon Test p < 0.05.

Supplementary Table 12.

Significance of immune alterations. Wilcoxon Test p < 0.05.

Supplementary Table 13.

Antibodies used in this study.

Supplementary Table 14.

Human qPCR primers.

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Figure 1

Figure 2

Figure 4

Figure 7