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1 **Laminar Distribution of stimulus- and task-related variables related to auditory streaming in core and**
2 **belt auditory cortex**

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4 **Abbreviated Title:** Neural correlates of streaming along the auditory pathway
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24 Number of pages: 39

25 Number of figures: 6

26 Number of tables: 0

27 Number of multimedia and 3D models: 0

28 Number of words: 211 (Abstract), 631 (Introduction), and 1488 (Discussion)
29
30

31 **Conflict of interest**

32 The authors declare no competing financial interests.
33

34 **Acknowledgements**

35 This work was supported by the National Institutes of Health (NIDCD). We thank Dr. Jaejin Lee for help
36 with behavioral training.
37
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39 **Abstract**

40 Humans and non-human animals parse the auditory scene into distinct auditory objects or “streams” by
41 grouping together stimuli with common features and segregating those with different features. This
42 process is commonly called “auditory scene analysis”. Although previous studies have identified neural
43 mechanisms in the primary (core) auditory cortex that may underlie auditory-stream segregation, we do
44 not have a good understanding of the contribution of cortical regions outside of the core auditory cortex
45 to stream segregation nor do we understand the laminar specificity of these contributions. To examine
46 these issues, we recorded translaminar multiunit activity (MUA) from the core and belt auditory cortex in
47 macaque monkeys while they participated in an auditory streaming task designed to provide an objective
48 measure of auditory-stream segregation. We found that MUA encoded both the stimulus variables and
49 the monkey’s behavioral choices related to our streaming task. Overall, core MUA was modulated more
50 by the stimulus variables and the monkey’s choices than belt MUA. However, whereas neural correlates
51 of these variables were uniformly distributed in the core auditory cortex, stimulus- and choice-related
52 information was predominantly represented in the superficial and deep layers of belt, respectively. These
53 findings support a differential representation of stimulus- and choice-related variables related to auditory
54 scene analysis along the primate auditory cortical pathway.

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56 **Significance Statement**

57 Auditory-stream segregation is a fundamental component of auditory scene analysis. To our knowledge,
58 this is the first study to examine the neural correlates of auditory-stream segregation outside of the core
59 auditory cortex in macaque monkeys and the first to examine the laminar specificity of these neural
60 correlates. We recorded neural responses while monkeys performed a new task for non-human primates
61 designed to objectively test auditory-stream segregation. We found that neural responses reflecting
62 stimulus- and behavior-related variables (i.e., the monkeys' choices) were differentially represented in
63 the core and belt regions of the auditory cortex and across different cortical layers. These findings support
64 a non-uniform representation of stimulus- and behavior-related variables related to auditory scene
65 analysis along the primate auditory cortical pathway.

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67 **Introduction**

68 A fundamental goal of the auditory system is to transform complex auditory stimuli into perceptual
69 representations of sound sources in the environment, a process which is commonly called “auditory scene
70 analysis” (Bregman, 1990). One important component of this transformation is “auditory-stream
71 segregation”, which involves the grouping of stimuli that have similar spectrotemporal features (e.g.,
72 frequency) into one perceptual representation and the concomitant segregation of stimuli that have
73 different features into different perceptual representations. For example, while listening to two
74 interleaved temporal sequences of tone bursts, listeners often report hearing one auditory stream when
75 the frequency separation between the two sequences is small. However, as the frequency separation
76 increases, listeners would be more likely to report hearing two auditory streams. This segregation of
77 multiple overlapping and interleaved sequences of tone bursts into distinct perceptual representations or
78 auditory streams underlies our ability to track a friend’s voice in a crowded restaurant or to follow the
79 melody played by a particular instrument during a concert.

80 Although behavioral aspects of auditory-stream segregation have been studied extensively (see
81 Moore and Gockel, 2012; Denham and Winkler, 2020; Oh et al., 2022 for review), the neural bases of
82 auditory-stream segregation still remain unclear. For example, because neurophysiological studies of
83 auditory streaming have been largely restricted to the analysis of neural responses in the mammalian
84 primary auditory cortex (Fishman et al., 2001a, 2004; Micheyl et al., 2005; Elhilali et al., 2009; Fishman
85 and Steinschneider, 2010; Christison-Lagay and Cohen, 2018; Selezneva et al., 2018) or its avian analogue
86 (Bee and Klump, 2004), we do not have a good understanding of the contribution of non-primary regions
87 of auditory cortex (Kaas and Hackett, 2000) to auditory streaming and whether these different brain
88 regions differentially contribute to streaming. Additionally, as most auditory streaming studies have been
89 conducted in passively listening animals (Fishman et al., 2001a; Bee and Klump, 2004; Fishman et al., 2004;
90 Micheyl et al., 2005; Elhilali et al., 2009; Lu et al., 2017; but see Christison-Lagay et al., 2018 and Selezneva

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91 et al., 2018), the relationship between streaming behavior and simultaneous measures of neural activity
92 has yet to be fully elucidated. Finally, except for important studies of auditory attention (Lakatos et al.,
93 2005, 2008, 2009; Francis et al., 2018), the contributions of different cortical laminae to auditory behavior,
94 especially in non-human primate models of hearing and in regions downstream from primary auditory
95 cortex, have not been thoroughly characterized.

96 To address these outstanding issues, we examined the neural correlates of auditory streaming in
97 rhesus macaque monkeys at two scales of analysis: cortical area and cortical lamina. We recorded
98 simultaneously, via a multi-channel probe, from different cortical layers in the core or belt regions of the
99 auditory cortex while monkeys performed a target detection task that provided an objective measure of
100 auditory streaming (Sussman and Steinschneider, 2009). In this streaming task, monkeys listened to a
101 temporal sequence of interleaved low- and high-frequency tone bursts and detected a deviantly loud
102 “target” tone burst that was embedded in the low-frequency sequence (Fig. 1a). We found that multiunit
103 activity (MUA) was sensitive to the stimulus variables of the task (i.e., the frequency values of the low-
104 and high-frequency tone bursts). We also found that MUA was modulated by the monkeys’ behavioral
105 choices (i.e., hits versus misses). Although MUA was modulated strongly in both the core and belt regions
106 of the auditory cortex, the stimulus variables and the monkeys’ choices modulated MUA more in the core
107 auditory cortex than in the belt. Further, the laminar distribution of these effects differed between the
108 core and belt regions of the auditory cortex: whereas stimulus- and behavior-related modulations were
109 widely distributed across cortical layers in the core, MUA in superficial layers of the belt was modulated
110 more by stimulus variables, whereas MUA in deeper layers was modulated more by choice.

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112 **Materials and Methods**

113 We conducted multilaminar extracellular recordings in two adult male macaque monkeys (*Macaca*
114 *mulatta*; monkey D and monkey C) while they performed a task that objectively tested auditory streaming.
115 The Institutional Animal Care and Use Committee of the University of Pennsylvania reviewed and
116 approved all the procedures and protocols. We conducted all the surgeries under general anesthesia with
117 aseptic techniques.

118

119 *Identification of core and belt auditory cortex*

120 We initially identified the locations of the core and belt regions of the auditory cortex through MRI scans
121 of each monkey's brain and the stereotactic locations of these brain regions (Frey et al., 2004; Saleem and
122 Logothetis, 2012). We functionally verified the anatomical location of each electrode penetration by
123 generating and examining the cortical laminar profiles (as characterized by a current source density [CSD]
124 analysis), calculating the spectrotemporal receptive field (STRF) at each recording site, and constructing
125 tonotopic gradients.

126

127 *Experimental chamber*

128 We conducted behavioral and recording sessions in a darkened room with echo- and sound-attenuating
129 walls. We seated a monkey in a primate chair with a touch-sensitive lever (monkey D) or joystick (monkey
130 C); the monkey released a lever or moved a joystick to indicate their behavioral report. We stabilized the
131 monkey's head position with a non-invasive head restraint (Drucker et al., 2015). We presented auditory
132 stimuli (RX6 or RX8; Tucker-Davis Technologies) through a calibrated speaker (TR-3 [Anthony Gallo
133 Acoustics] or MSP5 [Yamaha]), which was positioned at eye level ~1 m in front of the monkeys.

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135 *Auditory-streaming task*

136 In the auditory-streaming task, a monkey listened to two interleaved sequences of tone bursts (50-ms
137 duration; 25-ms inter-burst interval). One sequence contained “low frequency” (L) tone bursts, and the
138 other sequence contained “high frequency” (H) tone bursts. We presented these tone bursts as a
139 repeating sequence of L-H-H triplets (Fig. 1a), analogous to “ABB” triplets in other streaming studies (van
140 Noorden, 1975; Cusack, 2005; Gutschalk et al., 2005; Micheyl et al., 2005; Sussman and Steinschneider,
141 2009). The monkey reported (via lever release or joystick movement) a deviantly loud “target” tone burst
142 that was part of the low-frequency tone-burst sequence. The frequency value of the low-frequency tone-
143 burst sequence was held constant across trials, whereas the frequency value of the high-frequency tone-
144 burst sequence varied trial by trial and ranged from 1-24 semitones above the low-frequency value (Fig.
145 1A). This task is a modified version of a task used to evaluate auditory-stream segregation in human
146 listeners (Sussman and Steinschneider, 2009).

147 We presented the low-frequency tone bursts at two sound levels: 52 or 68 dB SPL. In each trial, one
148 low-frequency tone burst was presented at 68 dB, whereas all the other low-frequency tone bursts were
149 presented at 52 dB. This 68-dB tone burst was, by definition, the deviant target. In contrast, we presented
150 each of the high-frequency tone bursts at one of four different sound levels, which spanned a range above
151 and below the levels of the low-frequency bursts: 47, 57, 62, and 72 dB SPL. The sound level of each high-
152 frequency tone burst was chosen randomly from these 4 values.

153 We titrated task difficulty by varying the frequency separation (ΔF) between the low- and high-
154 frequency tone-burst sequences (Fig. 1a). Because the frequency value of the low-frequency tone-burst
155 sequence was held constant across trials, whereas the frequency value of the high-frequency tone-burst
156 sequence varied trial by trial and ranged from 1-24 semitones above the low-frequency value.

157 Because previous work suggests that monkeys report streaming stimuli in a manner comparable to
158 human listeners (Izumi, 2002; Selezneva et al., 2012; Christison-Lagay and Cohen, 2014), we hypothesized

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159 that changes in ΔF would affect the monkeys' performance in a manner similar to human performance
160 (Sussman and Steinschneider, 2009). Specifically, when the ΔF between the two sequences was small, the
161 low- and high-frequency tone-burst sequences would be perceptually integrated into a single auditory
162 stream. As a result, the sound level of the target would be within the variability of the sound levels of the
163 low- and high-frequency tone bursts and would be difficult to detect as being "deviantly loud". In contrast,
164 when the ΔF between the sequences was large, the sequences would perceptually segregate into two
165 auditory streams and the target in the low-frequency tone-burst sequence would be more readily
166 detectable: that is, the louder sound level of the 68-dB target would be more salient relative to the
167 "background" of the softer 52-dB tone bursts.

168 To minimize the possibility that monkeys could guess and anticipate target onset without actually
169 detecting it, we randomized the time of target onset between 675 and 2025 ms, relative to sequence
170 onset. In other words, the target tone burst could appear in any position between the 4th and the 10th L-
171 H-H triplet. The target was never presented prior to the onset of the 4th L-H-H triplet.

172 If the monkey responded within a specified temporal window following target onset (monkey D:
173 window = 650 ms; median response time between target onset and movement: 431 ± 81 ms; monkey C:
174 window = 800 ms; median response time: 517 ± 110 ms), we considered the trial to be a "hit". If the
175 monkey responded after this response window or did not respond at all, we considered the trial to be a
176 "miss". A "false alarm" occurred when the monkey responded before target onset. Conservatively, we
177 also considered very rapid responses (<200 ms for monkey D and <250 ms for monkey C) as false alarms.
178 The target appeared only once in a trial; we did not include catch trials (i.e., trials without a target). We
179 rewarded monkeys only on hit trials. For both miss and false-alarm trials, we added a timeout (1500-2500
180 ms) to the inter-trial interval following these incorrect trials.

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182 *Neurophysiological Recordings and Recording Strategy*

183 Because we were interested in examining lamina-specific modulations of neural activity related to
184 auditory streaming, we oriented each monkey's recording chamber so that a linear multi-channel
185 electrode (16-channel v-probe, 150- μm spacing between channels; or a 24-channel s-probe, 100- μm
186 spacing between channels; Plexon Inc.) would penetrate the auditory cortex perpendicular to its lamina.
187 This orthogonal orientation further ensured that the spatial sampling of neural activity fulfilled the basic
188 theoretical criteria required for the proper interpretation of one-dimensional CSD analysis (Müller-Preuss
189 and Mitzdorf, 1984; Steinschneider et al., 1992).

190 At the beginning of each recording session, the electrode was inserted into the brain through a
191 stainless-steel guide tube. The electrode was then advanced gradually with a microdrive (NAN
192 Instruments). While advancing the electrode, we presented an auditory "search" stimulus (100-ms
193 Gaussian noise burst; 10-ms \cos^2 rise and fall times; 900 ms inter-burst-interval; sampling rate: 50 kHz) to
194 identify auditory responsive sites. These neural signals were amplified (PZ2 and PZ5, Tucker Davis
195 Technologies), digitized, and stored (RZ2, Tucker Davis Technologies; sampling rate: 24.4 kHz) for online
196 and offline analyses.

197 Once we encountered sites responsive to the search stimulus, we finely adjusted the electrode's
198 depth so that the largest stimulus-evoked MUA, which typically coincided with a prominent initial current
199 sink in the CSD profile, was positioned in the electrode's middle channels (Fig. 2). MUA measures the
200 summed spiking activity of local neurons within $\sim 50\text{-}100\ \mu\text{m}$ of an electrode channel (Legatt et al., 1980;
201 Brosch et al., 1997; Supèr and Roelfsema, 2005). We identified the initial current sink's location through
202 both online and offline CSD analyses; see below. To minimize electrode drift during a recording session
203 and artifactual components in the CSD profile (e.g., due to mechanical compression or distortion of the
204 cortical tissue by the electrode), we retracted the electrode by $\sim 200\text{-}450\ \mu\text{m}$ and allowed the tissue to
205 stabilize for ≥ 30 minutes before continuing with data collection. Each recording session lasted about >90

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206 minutes. We confirmed that the electrode position remained relatively stable over the duration of each
207 recording session by comparing the CSD profiles obtained before and after the task.

208 Next, while the monkey was passively listening, we presented a dynamic moving ripple (DMR)
209 auditory stimulus and simultaneously collected neural activity. We presented this stimulus in order to
210 generate each electrode channel's spectrotemporal receptive field (STRF); see below for more details.
211 From the STRF, we calculated a site's "best frequency" (BF), which was used to determine the frequencies
212 of the tone bursts presented in the auditory-streaming task: we set the value of the low-frequency tone-
213 burst sequence to the BF of the recording site. In rare cases, when the BF was >3 kHz, we set one of the
214 high-frequency values (usually at the largest frequency separation $[\Delta F]$) to this BF value. The monkey then
215 performed the auditory-streaming task. On a trial-by-trial basis, we randomly varied the target onset and
216 the ΔF .

217

218 Extraction of local-field potentials (LFPs) and calculation of the CSD

219 We extracted LFPs by low-pass filtering neural activity with a 4th-order bidirectional Butterworth filter
220 (cutoff frequency: 0.3 kHz). From the LFPs, we derived the one-dimensional laminar CSD profile, as
221 approximated by the second spatial derivative (relative to electrode-channel separation) of the
222 simultaneously recorded LFPs across the electrode channels (Freeman and Nicholson, 1975; Nicholson
223 and Freeman, 1975; Müller-Preuss and Mitzdorf, 1984; Fishman et al., 2001a; Fishman and
224 Steinschneider, 2006). CSD characterizes the laminar pattern of net transmembrane current flow at each
225 electrode channel and indicates whether a channel is near an extracellular current source or sink. A
226 current sink reflects a region of net inward current and is usually generated by net excitatory synaptic
227 activity or passive return from hyperpolarizing currents at adjacent sites. Conversely, a current source
228 indicates net hyperpolarizing current or circuit-completing currents from regions of net depolarization.
229 We also computed the average rectified CSD (AVREC; Mehta et al., 2000a, 2000b; Fishman and

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230 Steinschneider, 2012) by full wave rectifying the CSD waveform at each electrode channel and then
231 averaging these rectified waveforms across electrode channels.

232

233 DMR stimulus and STRF analysis

234 The DMR stimulus is a continuous time-varying broadband noise stimulus that covers the frequency range
235 between 0.1 and 35 kHz (5-min duration; 65 dB spectrum level per $\frac{1}{3}$ octave; 96-kHz sampling rate; 24-bit
236 resolution) (Escabí and Schreiner, 2002; Miller et al., 2002). At any instant of time, the stimulus had a
237 sinusoidal spectrum; the spectral modulation frequency (0-4 cycles/octave) determined the density of the
238 spectral peaks. The peak-to-peak amplitude of the ripple was 30 dB. The temporal modulation frequency
239 (0-50 Hz) controlled the stimulus' temporal modulations. Both the spectral and temporal parameters
240 varied randomly and dynamically; the maximum rates of change for these parameters were 0.25 Hz and
241 1.2 Hz, respectively.

242 From the multiunit spiking activity, we derived each site's STRF by averaging the spectrotemporal
243 envelope of the DMR relative to time of each spike recorded at each electrode channel. We considered
244 the frequency value corresponding to the STRF peak as the BF of the electrode channel.

245

246 *Behavioral-data analysis*

247 In each recording session, we calculated the hit, miss, and false-alarm rates. Behavioral d' was defined as
248 the difference between the z-transform of the hit and false-alarm rates. We calculated d' as a function of
249 the frequency separation between the tone-burst sequences.

250

251 *Neural-data analysis*

252 Our neural-data analyses focused on the time-varying MUA to facilitate comparison between our findings
253 and those of previous studies that examined MUA correlates of auditory streaming (Fishman et al., 2001a,

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254 2004, 2017). MUA and single-unit techniques have been shown to yield similar response properties,
255 whereas MUA is more stable than single-unit activity (Nelken et al., 1994; Supèr and Roelfsema, 2005;
256 Stark and Abeles, 2007).

257 Extraction of MUA envelope and identification of stimulus-evoked MUA

258 For each trial and for each electrode channel, we extracted the envelope of the MUA by first bandpass
259 (passband: 0.5-3.0 kHz) filtering the neural signal, full-wave rectifying the filtered signal, and then low-
260 pass (0.6-kHz cutoff frequency) filtering it (Legatt et al., 1980; Steinschneider et al., 1992; Fishman et al.,
261 2001a; Supèr and Roelfsema, 2005; Fishman and Steinschneider, 2006). We then averaged together these
262 trial-by-trial MUA envelopes as a function of the frequency separation between the low- and high-
263 frequency tone bursts and behavioral choice (hits versus misses) and electrode channel. Next, we summed
264 the averaged MUA envelope over a 75-ms window that included each tone burst's 50-ms duration and
265 the 25-ms silent gap that followed the offset of each tone burst. This procedure reduced the time-varying
266 averaged MUA envelope to a single value for each tone burst in the low- and high-frequency tone-burst
267 sequences.

268 Finally, we z-scored these MUA values relative to an analogous distribution of "baseline" values. This
269 distribution was generated from a random sampling of 75-ms windows during the -1000 ms to -500 ms
270 period that preceded the onset of a tone-burst sequence. If at least one of these z-scored MUA (zMUA)
271 values from the first L-H-H triplet was >1.96 (95% confidence level of z-score value), we considered the
272 zMUA for the channel to be "stimulus evoked". We only report data from recordings with such "stimulus-
273 evoked" zMUAs.

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275 Quantification of stimulus- and task-related neural correlates of auditory streaming

276 We calculated three indices to test how zMUA was modulated by the frequency values of the low- and
277 high-frequency tone bursts and by the monkeys' choices. These indices were calculated as a function of
278 tone-burst position in a trial and for each electrode channel.

279 The first index, the “context” index (CI), quantified how zMUA was modulated by a low-frequency
280 tone burst during (1) trials in which the value of the low-frequency tone burst was close to the high-
281 frequency value (i.e., a small ΔF) versus (2) those trials in which the value of the low-frequency tone burst
282 was much lower than the high-frequency value (i.e., a large ΔF). As a reminder, the frequency of the low-
283 frequency tone bursts was held constant across trials, whereas the high-frequency value changed trial-
284 by-trial. Thus, the CI quantified how zMUA elicited by the same low-frequency tone bursts was modulated
285 by different values of the interleaved high-frequency tone bursts. The index was calculated as follows:

286
$$CI = \text{abs}(z\text{MUA}^L_{\text{sdF, hit}} - z\text{MUA}^L_{\text{ldF, hit}}) + \text{abs}(z\text{MUA}^L_{\text{sdF, miss}} - z\text{MUA}^L_{\text{ldF, miss}}).$$

287 The superscript L indicates that the zMUA was elicited by a low-frequency tone burst. The subscripts
288 sdF, ldF, hit, and miss indicate the zMUA that was calculated from smallest ΔF trials, from largest ΔF
289 trials, on hit trials, and on miss trials, respectively. We then log-transformed each value to normalize the
290 skewed distribution. Large negative CI values would indicate that the zMUA elicited by the low-frequency
291 tone bursts was relatively invariant to the frequency value of the high-frequency tone bursts. Positive CI
292 values would indicate that the zMUA elicited by the low-frequency tone bursts was modulated by the
293 frequency value of the high-frequency tone bursts. The smallest and largest ΔF values varied across
294 recording sessions (typically 1-8 semitones for monkey D and 4-24 semitones for monkey C).

295 The second index, the “frequency selectivity” index (FSI), is a more typical assessment of frequency
296 selectivity. The FSI quantified how zMUA was modulated by the smallest and largest frequency values of
297 the high-frequency tone bursts. So, unlike the CI index, the FSI compared zMUA selectivity for different
298 frequency values. The index was calculated as follows:

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299
$$\text{FSI} = \text{abs}(z\text{MUA}^{\text{H}}_{\text{sdF,hit}} - z\text{MUA}^{\text{H}}_{\text{ldF,hit}}) + \text{abs}(z\text{MUA}^{\text{H}}_{\text{sdF,miss}} - z\text{MUA}^{\text{H}}_{\text{ldF,miss}}).$$

300 The superscript H indicates that the zMUA was elicited by a high-frequency tone burst. The subscripts
301 sdF, ldF, hit, and miss indicate the zMUA that was calculated from smallest ΔF trials, from largest ΔF
302 trials, on hit trials, and on miss trials, respectively. We took the absolute values to eliminate the best-
303 frequency-dependent response difference in FSI. The FSI was then log-transformed each value to
304 normalize the skewed distribution. Large negative FSI values would indicate that the zMUA was not
305 frequency selective, whereas larger positive values would indicate greater frequency selectivity.

306 Finally, to assess the sensitivity of MUA to the behavioral outcome of the trial (i.e., choice), we
307 calculated a “behavioral modulation” index (BMI) in which we compared zMUA selectivity on hit and miss
308 trials with identical ΔF values:

309
$$\text{BMI} = (z\text{MUA}_{\text{sdF,hit}} - z\text{MUA}_{\text{sdF,miss}}) + (z\text{MUA}_{\text{ldF,hit}} - z\text{MUA}_{\text{ldF,miss}}).$$

310 The subscripts are the same as those described above. The BMI values were calculated from zMUA elicited
311 by both low-frequency and high-frequency tone bursts. A BMI value of 0 would indicate that the zMUA
312 was not modulated by choice, whereas higher values would indicate the MUA responses were higher on
313 hit trials than on miss trials.

314 In a second analogous set of analyses, instead of calculating the mean zMUA for each tone burst, we
315 calculated the mean zMUA either over a (225-ms) L-H-H tone-burst triplet or a (150-ms) H-H tone-burst
316 doublet. From these values, we calculated BMI and FSI values, respectively, in order to examine the
317 laminar distribution of response modulations associated with these stimulus- and choice-related
318 variables.

319

320 Statistical analyses of CI, FSI and BMI

321 We used non-parametric statistics and post-hoc comparisons to evaluate null hypotheses. In all statistical
322 tests, we rejected the null hypothesis at $p < 0.05$, false-discovery rate corrected.

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323 **Results**

324 While two rhesus macaques (monkey D and monkey C) performed a behavioral task designed to provide
325 an objective measure of auditory streaming (Sussman and Steinschneider, 2009), we recorded laminar
326 profiles of multiunit activity (MUA) and local field potentials (LFPs) in the core and belt regions of the
327 auditory cortex. We collected neural and behavioral data in 38 different recording sessions (16 sessions
328 from monkey D and 22 sessions from monkey C). We report data from the 381 (140 from the core and
329 241 from the belt) recording sites that had “stimulus-evoked” MUA. We considered MUA to be “stimulus
330 evoked” if there was a significant increase in MUA after the onset of the tone-burst sequence in the
331 streaming task, relative to a “baseline” period that occurred prior to sequence onset; see **Materials and**

332 **Methods.**

333

334 *Target detection improved as the frequency separation (ΔF) between the two tone-burst sequences*
335 *increased*

336 During the streaming task, monkeys detected a “deviantly” loud auditory target. This target stimulus was
337 embedded in a temporal sequence of low-frequency tone bursts (L) that was interleaved with a sequence
338 of high-frequency (H) tone bursts as a repeating L-H-H triplet (Fig. 1a). The sound levels of the low-
339 frequency tone bursts were the same except for the target, which had a higher sound level than the other
340 low-frequency tone bursts. In contrast, the sound level of the high-frequency tone bursts was variable
341 and had sound levels above and below those in the low-frequency tone-burst sequence.

342 Figure 1b-e plots the monkeys’ hit rate and behavioral sensitivity (d'). We found that both hit rate
343 and d' increased as we increased the frequency separation (ΔF) between the tone-burst sequences (Fig.
344 1b; $\chi^2(4) = 27.53$, $p = 1.56 \times 10^{-5}$, Fig. 1c; $\chi^2(4) = 27.15$, $p = 1.86 \times 10^{-5}$, Kruskal-Wallis test, H_0 : medians are
345 the same across all ΔF s; Fig. 1d; $Z = -4.97$, $p = 6.80 \times 10^{-7}$, Fig. 1e; $Z = -4.79$, $p = 1.64 \times 10^{-6}$, two-tailed
346 Wilcoxon signed-rank test, H_0 : medians are the same for the smallest and the largest ΔF s). These

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347 behavioral results are consistent with the hypothesis that, like human listeners (Sussman and
348 Steinschneider, 2009), target detection is more reliable when the low-frequency tone-burst sequence
349 (which contained the target stimulus) is perceptually segregated from the high-frequency tone-burst
350 sequence, which occurs at larger values of ΔF .

351

352 *Core and belt auditory cortex are differentiated by their current source density (CSD) profiles and*
353 *spectrotemporal receptive fields (STRFs)*

354 We used both CSD profiles and STRFs to differentiate between the core and belt regions of auditory
355 cortex; to date, functional means to differentiate between these cortical regions have not been fully
356 characterized. Whereas CSD profiles in the core auditory cortex have been extensively characterized (e.g.,
357 Steinschneider et al., 1992; Fishman et al., 2001b; Lakatos et al., 2005; Szymanski et al., 2009; Fishman
358 and Steinschneider, 2010), less is known regarding the laminar distribution of current flow in non-primary
359 auditory cortex (Fu et al., 2004; Kajikawa et al., 2015), especially in non-human primate models. Similarly,
360 STRFs in the core and belt auditory cortex have not been well characterized in non-human primates.

361 Using standard analytical techniques, we derived the one-dimensional CSD profiles from the LFPs
362 to compare the laminar distribution of net transmembrane extracellular current flow associated with
363 synaptic activity of neural ensembles in the core and belt auditory cortex (Fig. 2a-f) (Freeman and
364 Nicholson, 1975; Nicholson and Freeman, 1975; Müller-Preuss and Mitzdorf, 1984; Mitzdorf, 1985;
365 Steinschneider et al., 1992). In the core region, the CSD profile displayed a characteristic dipole pattern
366 indicating net current influx (a putative current sink) and efflux (a putative current source) (Fig. 2b). In the
367 middle channels of the electrode array, the CSD showed a sharp negative deflection (current sink) soon
368 after stimulus onset (indicated by an arrow in Fig. 2b). In the same channel, we also observed large
369 increases in MUA (Fig. 2c). This large initial current sink, which typically coincides with the largest increases
370 in MUA, is a characteristic feature of stimulus-evoked laminar response profiles in the core auditory cortex

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371 (Steinschneider et al., 1992; Fishman et al., 2001b; Lakatos et al., 2005; Fishman and Steinschneider, 2010)
372 and is consistent with post-synaptic depolarization of neural populations within putative input (granular)
373 layers of the core auditory cortex (lamina 4 and lower lamina 3).

374 We found a somewhat different CSD profile in belt auditory cortex (Fig. 2d-f). The CSD profile
375 again showed dipoles evoked by the noise bursts, and we could identify a clear negative deflection
376 immediately after stimulus onset (indicated by the arrow in a middle channel of the electrode array; Fig.
377 2e). As in core, we observed increases in MUA in the same electrode channel as the initial current sink
378 (Fig. 2f). We operationally defined the channel exhibiting the initial current sink with a concomitant
379 increase in MUA as the input layer of the belt region of the auditory cortex. However, unlike the core, the
380 adjacent channels displayed comparatively weak MUA responses. Further, the current sources and sinks
381 were overall less sharp and temporally less precise in the belt auditory cortex than in the core auditory
382 cortex (Fig. 2e, f).

383 These differences between the core and belt CSD profiles became clearer when we calculated the
384 average rectified CSD (AVREC; Mehta et al., 2000a, 2000b; Fishman and Steinschneider, 2012). Because
385 the AVREC represents the average (absolute) net extracellular current flow across cortical layers, it is
386 useful tool to identify gross differences in the temporal dynamics of current flow between cortical areas.
387 Figure 2k plots the AVREC for these two core and belt sites. As can be seen, the AVREC in the core auditory
388 cortex has a shorter peak latency, sharper onset, and overall larger amplitude than the AVREC in the belt
389 auditory cortex.

390 In addition to differences in their CSD profiles, we found differences between the STRFs in the
391 core and belt (Fig. 2g-j). As reported in other studies (Miller et al., 2002; Atencio and Schreiner, 2010),
392 core STRFs had short latencies (typically <20 ms) with small circumscribed excitatory and inhibitory
393 response fields (Fig. 2g, h). Further, the variability of BF across electrode penetrations was larger than

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394 within electrode penetrations ($F(9,166) = 7.17$, $p = 9.37 \times 10^{-9}$; one-way ANOVA), suggesting that BF is
395 relatively constant across cortical laminae (Atencio and Schreiner, 2010).

396 Belt STRFs, like core STRFs, also had clearly structured excitatory and inhibitory response fields
397 (Fig. 2i, j). Belt STRFs, however, tended to have longer response latencies (26.7 ms and 48.3 ms in the
398 example STRFs, Fig. 2i and 2j, respectively) than core STRFs (16.5 ms and 15.2 ms in the example STRFs,
399 Fig. 2g, and 2h, respectively). Indeed, across our population, the core STRF latency (37.8 ± 21.4 ms, median
400 \pm median absolute deviation) was significantly shorter than the belt STRF latency (42.9 ± 17.9 ms) ($Z = -$
401 2.32 , $p = 0.02$; Wilcoxon rank-sum test; H_0 : median latency is the same in the core and belt). Qualitatively,
402 belt excitatory and inhibitory fields tended to be less circumscribed (i.e., they had broader spectral or
403 longer temporal profiles). But, like the core auditory cortex, our estimates of BF were relatively constant
404 across lamina (BF = 208 Hz and 169 Hz, respectively for the STRFs shown in Fig. 2i and 2j). As in the core,
405 the variability of BF across electrode penetrations was larger than within an electrode penetrations
406 ($F(19,197) = 6.81$, $p = 1.23 \times 10^{-13}$; one-way ANOVA).

407

408 *MUA encoded a mixture of stimulus and task variables*

409 In both the core and belt regions of auditory cortex, MUA in both the core and belt regions of auditory
410 cortex was modulated by both the stimulus and task variables of the streaming task. A MUA profile from
411 an example site in the core auditory cortex is shown in Figure 3a. In this example, MUA was discretely
412 phase-locked to each tone burst in the L-H-H sequence. When ΔF was small (i.e., the frequency value of
413 the high-frequency tone bursts was near that of the low-frequency tone bursts, which was set to a site's
414 BF), both the low- and high-frequency tone bursts elicited strong bursts of MUA. However, as ΔF
415 increased, the high-frequency tone bursts elicited lower amplitudes of MUA, whereas the MUA elicited
416 by the low-frequency tone bursts (which were set to the site's BF) remained relatively unaffected (Fig. 3a,
417 top). This stimulus-related modulation is further highlighted when we calculated the difference in MUA

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418 amplitude between the small and large ΔF conditions (8 and 24 semitones, respectively; Fig. 3a, bottom).
419 The MUA at this example site was not differentially modulated by the (louder) deviant target (Fig. 3a, top,
420 right) nor was it modulated by the monkey's behavioral choice (hits versus misses; Fig. 3a, right, middle
421 and bottom).

422 Figure 3b shows a MUA profile from an example site in the belt auditory cortex. Like the example
423 profile from the core, the MUA was phase-locked responses to each tone of the L-H-H sequence and for
424 both small and large values of ΔF (1 and 8 semitones, respectively; Fig. 3b, top). However, these responses
425 were temporally less precise than those seen in the core (Fig. 3a). Furthermore, unlike responses in the
426 core, as ΔF increased, the amplitude of MUA elicited by the low-frequency tone bursts tended to increase
427 (Fig. 3b, bottom). Finally, the target tone burst elicited greater MUA than the non-target tone bursts (Fig.
428 3b, right). However, the MUA was not appreciably modulated by the monkey's behavioral choices (hits vs
429 misses; Fig. 3b, right, middle and bottom).

430 Figure 3c shows a second MUA profile from the core auditory cortex. Like the examples in Fig. 3a
431 and 3b, the MUA was phase-locked response to the low-frequency tone burst, which became more
432 distinct in large ΔF trials (Fig. 3c; top). In addition, we found that the MUA was modulated by the monkey's
433 choices: the MUA response was higher on hit trials than on miss trials (Fig. 3c: middle and bottom).

434

435 *Stimulus- and task-related variables of the auditory streaming task are differentially and dynamically*
436 *encoded in core and belt auditory cortex*

437 To quantify the stimulus- and task-related variables encoded in the MUA, we calculated three different
438 indices (see **Materials and Methods**). We calculated each index for each tone burst in a triplet (i.e., the
439 low-frequency tone burst [L] and the two high-frequency tone bursts [H1 and H2]) and as a function of
440 time (relative to stimulus onset and target onset). For each of these index values, we collapsed the values
441 across electrode channels to form distributions as a function of time and brain region (core and belt).

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442 The first index, the context index (CI), quantified whether neural responses to the (frequency-
443 fixed) low-frequency tone bursts were modulated by the different values of the high-frequency tone
444 bursts. Large negative CI values would indicate that the zMUA elicited by the low-frequency tone bursts
445 was relatively invariant to the frequency value of the high-frequency tone bursts. Positive CI values would
446 indicate that the zMUA elicited by the low-frequency tone bursts was modulated by the frequency value
447 of the high-frequency tone bursts. The results of this analysis are shown in Figure 4a (left). As can be seen,
448 core and belt CI values at the beginning of the tone-burst sequence were relatively small (CI at the first
449 triplet position; -0.22 ± 0.10 in the core and 0.21 ± 0.06 in the belt, mean \pm standard error). However, as
450 the sequence unfolded, the CI increased sharply and remained relatively constant through target
451 presentation (core: $\chi^2(4) = 156.1$, $p < 1.0 \times 10^{-10}$; belt: $\chi^2(4) = 112.9$, $p < 1.0 \times 10^{-10}$; Kruskal-Wallis test, H_0 :
452 CI medians are the same across triplet positions). The CI values were consistently larger in the core
453 auditory cortex than in the belt ($\chi^2(1) = 11.57$, $p = 6.70 \times 10^{-4}$; Friedman test, H_0 : CI medians are the same
454 in the core and belt).

455 The second index, the frequency-selectivity index (FSI), quantified the degree to which the MUA
456 elicited by the high-frequency tone bursts was modulated by their different frequency values. The FSI is
457 akin to a traditional measure of frequency selectivity. Large negative FSI values would indicate that the
458 zMUA was not frequency selective, whereas larger positive values would indicate greater frequency
459 selectivity. For both the first and second high-frequency tone bursts in the L-H-H triplet (H1 and H2,
460 respectively), FSI values were generally largest for the first triplet and got smaller over time (Fig. 4a, middle
461 and right; core_{H1}: $\chi^2(4) = 5.45$, $p = 0.24$; core_{H2}: $\chi^2(4) = 12.56$, $p = 0.01$; belt_{H1}: $\chi^2(4) = 10.01$, $p = 0.04$; and
462 belt_{H2}: $\chi^2(4) = 10.30$, $p = 0.04$; Kruskal-Wallis test, H_0 : FSI medians are the same across triplet positions).
463 In other words, in both the core and belt, MUA frequency selectivity became poorer as the tone-burst
464 sequence unfolded. Although both core and belt MUA had similar temporal dynamics, core FSI values

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465 were consistently larger than those in belt across all time periods (Fig. 4a; H1: $\chi^2(1) = 43.97$, $p < 1.0 \times 10^{-10}$;
466 10 ; and H2: $\chi^2(1) = 53.92$, $p < 1.0 \times 10^{-10}$, Friedman test, H₀: FSI medians are the same in the core and belt).

467 Finally, the behavioral-modulation index (BMI) quantified the degree to which MUA elicited by
468 the tone-burst sequence was modulated by the monkeys' behavioral choices (hits vs misses). A BMI value
469 of 0 would indicate that MUA was not modulated by choice, whereas larger values would indicate
470 increased sensitivity to choice. BMI significantly increased as the tone-burst sequence unfolded over time
471 for all three tone bursts in the triplet both in the core (L: $\chi^2(4) = 52.27$, $p = 1.21 \times 10^{-10}$; H1: $\chi^2(4) = 101.0$,
472 $p < 1.0 \times 10^{-10}$; H2: $\chi^2(4) = 113.3$, $p < 1.0 \times 10^{-10}$; Kruskal-Wallis test, H₀: BMI medians are the same across
473 triplet positions) and in the belt (L: $\chi^2(4) = 53.17$, $p < 1.00 \times 10^{-10}$; H1: $\chi^2(4) = 159.4$, $p < 1.0 \times 10^{-10}$; and H2:
474 $\chi^2(4) = 166.0$, $p < 1.0 \times 10^{-10}$; Kruskal-Wallis test, H₀: BMI medians are the same across triplet positions).
475 Core BMI values tended to be larger than belt BMI values (L: $\chi^2(1) = 23.45$, $p = 1.28 \times 10^{-6}$; H1: $\chi^2(1) = 3.23$,
476 $p = 0.072$; H2: $\chi^2(1) = 35.37$, $p = 2.72 \times 10^{-9}$, Friedman test, H₀: BMI medians are the same in the core and
477 belt).

478 To further investigate this build-up of BMI values over time, we realigned the BMI values relative
479 to target onset (Fig. 5a). We found that BMI values tended to increase monotonically over time,
480 independent of the frequency value of the tone bursts and whether they were a target (core: $\chi^2(11) =$
481 167.3 , $p < 1.0 \times 10^{-10}$; belt: $\chi^2(11) = 328.7$, $p < 1.0 \times 10^{-10}$; Kruskal-Wallis test, H₀: BMI medians are the same
482 across time). This contrasts with CI and FSI values (Fig. 5b): relative to target onset, CI and FSI values did
483 not change reliably over time (core CI: $\chi^2(3) = 0.53$, $p = 0.91$; belt CI: $\chi^2(3) = 2.15$, $p = 0.54$; core FSI_{H1}: $\chi^2(3)$
484 $= 1.45$, $p = 0.69$; belt FSI_{H1}: $\chi^2(3) = 0.13$, $p = 0.99$; core FSI_{H2}: $\chi^2(3) = 0.82$, $p = 0.85$; belt FSI_{H2}: $\chi^2(3) = 0.75$, p
485 $= 0.86$; Kruskal-Wallis test, H₀: CI and FSI medians are the same across triplet positions).

486 This monotonic increase in BMI was further highlighted when we collapsed MUA across the L-H-
487 H triplet and replotted the BMI temporal profile (Fig. 5c; core: $\chi^2(3) = 69.71$, $p < 1.0 \times 10^{-10}$; belt: $\chi^2(3) =$
488 140.1 , $p < 1.0 \times 10^{-10}$; Kruskal-Wallis test, H₀: BMI medians are the same across triplet positions). Even

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489 when we limited this analysis to the triplet containing the target and examined BMI values only for those
490 target triplets that appeared “early” in a tone-burst sequence (i.e., the target that appeared at the earliest
491 triplet position in the recording session; typically 4th and 7th triplet for monkey D and monkey C,
492 respectively) versus those that appeared “late” (i.e., the target that appeared at the latest triplet position
493 in the session; typically 7th and 10th triplet in monkey D and monkey C, respectively), we found that BMI
494 values significantly increased when target onset occurred later in a sequence (Fig. 5d; core: $\chi^2(3) = 14.88$,
495 $p = 0.0019$; belt: $\chi^2(3) = 8.01$, $p = 0.046$, Kruskal-Wallis test, H_0 : BMI medians are the same across target
496 positions). Finally, these analyses are consistent with our previous finding (see Fig. 4b) that BMI values
497 were significantly higher in the core auditory cortex than in the belt (Fig. 5c: $\chi^2(1) = 36.64$, $p = 1.42 \times 10^{-9}$;
498 Fig. 5d: $\chi^2(1) = 15.01$, $p = 1.07 \times 10^{-4}$, Friedman test, H_0 : BMI medians are the same for core and belt).

499

500 *Laminar specificity of neural correlates of streaming in core and belt auditory cortex*

501 We reanalyzed our three indices as a function of laminar depth (i.e., supragranular, granular, and
502 infragranular layers), which was operationally identified based on each penetration’s CSD profile (Fig. 2),
503 in order to identify the laminar distribution of stimulus- and choice-related modulations of MUA elicited
504 during the streaming task. For our analysis of the laminar distribution of the CI and FSI, we focused on
505 MUA that was elicited by the triplet immediately preceding target onset (i.e., the T-1 triplet position in
506 Fig. 4 and 5) to minimize the possibility that changes in activity were due to an increase in the sound level
507 of the target tone burst. For the BMI, we focused on MUA that was elicited by the triplet containing the
508 target (T triplet position in Fig. 4 and 5).

509 We found a non-uniform distribution of stimulus- and choice-related representations across
510 different cortical layers (Fig. 6a). A Scheirer-Ray-Hare test (non-parametric two-way [brain region x layer]
511 ANOVA) indicated a significant main effect across layers for all indices (FSI: $H(2) = 18.55$, $p = 9.39 \times 10^{-5}$;
512 CI: $H(2) = 13.83$, $p = 9.93 \times 10^{-4}$; and BMI: $H(2) = 8.52$, $p = 0.014$; H_0 : median index values are the same

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513 across layers). However, post-hoc analyses did not reveal differences in the index distributions across the
514 layers of the core auditory cortex ($p > 0.05$; Dunn test). In contrast, we found laminar differences in the
515 distribution of index values in the belt auditory cortex: median FSI and CI values were both lower in the
516 infragranular layers than in the supragranular or granular layers, whereas the median BMI value was
517 higher in the infragranular layers than in the granular layer (Fig. 6a; $p < 0.05$, Dunn test).

518 Because these indices are not bounded, comparisons based on their absolute values may fail to
519 reveal, or even exaggerate, laminar differences. To address this issue, we z-scored each index and
520 performed pairwise comparisons of the z-scored indices in each layer of each cortical area. Once again,
521 we did not find significant differences for any comparisons in the core auditory cortex (Fig. 6b; $p > 0.05$,
522 Wilcoxon signed-rank test with Bonferroni correction, H_0 : median difference is different from zero). In
523 contrast, in the belt auditory cortex, we found that MUA was modulated more by stimulus-related
524 variables (FSI and CI) than by choice (BMI) in the granular layer. In contrast, MUA was modulated more by
525 choice than by stimulus-related variables in the infragranular layer (Fig. 6b; $p < 0.05$, Wilcoxon signed-
526 rank test with Bonferroni correction).

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527 **Discussion**

528 In this study, we examined how MUA in the core and belt regions of auditory cortex of rhesus monkeys
529 was modulated during a behavioral task that provided an objective measure of auditory streaming. We
530 found that MUA was modulated by both stimulus- and choice-related variables. Further, we identified
531 two key differences between these variables' representations in the core and belt auditory cortex. First,
532 on average, stimulus- and choice-related MUA modulations were higher in the core than in the belt.
533 Second, whereas these two variables were uniformly distributed across cortical layers in core, we found
534 a layer-dependent representation of these variables in belt, with stimulus- and choice-related
535 modulations predominating in superficial and deep cortical layers, respectively.

536

537 *Streaming behavior in monkeys is comparable to humans*

538 In our previous study of auditory streaming, we trained monkeys to report whether two interleaved
539 sequences of tone bursts were heard as “one stream” or “two streams” (Christison-Lagay and Cohen,
540 2014, 2018). As expected from previous work on streaming (van Noorden, 1975; Bregman, 1990; Moore
541 and Gockel, 2002; Carlyon, 2004; Sussman and Steinschneider, 2009), the proportion of the monkeys'
542 reports of “two streams” increased as we increased the frequency separation between the two tone-burst
543 sequences. However, an alternative interpretation is that the monkeys were not reporting their streaming
544 percept per se but rather their categorical judgement of whether the tone-burst sequences had a large or
545 small frequency separation.

546 Here, we modified the task design so that instead of reports of “one stream” or “two streams”,
547 the monkeys reported a deviantly loud target stimulus (Fig. 1). This task change was critical because it
548 enabled a disassociation of the stimulus dimension that the monkeys needed to detect (sound level) from
549 the dimension that affected streaming (i.e., the frequency separation $[\Delta F]$ of the tone-burst sequences).
550 In other words, it was the hypothesized segregation of the tone-burst sequences that facilitated target

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551 detection, but ΔF only indirectly affected behavioral performance. Indeed, Sussman and Steinschneider
552 (2009) study, which was conducted in human participants, demonstrated a high correlation between
553 target detection and the participants' subjective reports of stream segregation. Similarly, our monkeys
554 showed a monotonic improvement in performance as we increased the ΔF between the tone-burst
555 sequences (Fig. 1). Together with previous reports (Izumi, 2002; Selezneva et al., 2012; Christison-Lagay
556 and Cohen, 2014), our results add further evidence that non-human primates process auditory streams in
557 a manner like human listeners.

558 Although qualitatively similar to those in human subjects (Sussman and Steinschneider, 2009), the
559 monkeys' overall hit rates and d' values were lower. These behavioral differences may be attributed, in
560 part, to differences in task design. For example, in the human study, the stimulus sequence was presented
561 for several minutes, whereas our stimulus duration was considerably shorter (<2 s). Because stream
562 segregation "builds up" over time (Bregman, 1978; Carlyon et al., 2001), the longer-duration listening time
563 might have given the human listeners a behavioral advantage. Moreover, during those long stimulus
564 durations, in the Sussman and Steinschneider study (2009), ΔF was held constant with multiple
565 presentations of the same deviant target, whereas, in our task, ΔF varied trial-by-trial with only one target
566 presentation per trial.

567

568 *Frequency-dependent modulation of MUA during the streaming task*

569 MUA was modulated by the frequency values of the tone-burst sequence (Figs. 3 and 4). For example, we
570 found that MUA sensitivity to differences in the values of the high-frequency tone-burst sequence
571 decreased over time (Fig. 4a). This finding suggests that MUA frequency selectivity to task irrelevant high-
572 frequency tone bursts became poorer as a task trial unfolded. This change in frequency selectivity is
573 consistent with some form of time-sensitive habituation or suppression. In studies in which streaming was
574 examined in passively listening monkeys, such time-dependent habituation or suppression has been

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575 reported as a key neural correlate of auditory streaming in the primary auditory cortex, especially
576 (Fishman et al., 2001a, 2004; Micheyl et al., 2005).

577 However, our observation that the CI values increased over time (Figs. 3 and 4a) does not readily
578 fit into such a habituation/suppression scheme. Instead, we hypothesize that the dynamics of MUA
579 sensitivity to the low- and high-frequency values of the tone-burst sequence reflect some form of
580 spectrotemporal filter that was engaged when the monkeys were actively participating in our streaming
581 task. Indeed, Lakatos et al. (2013) reported that attention recruits cortical oscillations that can enhance
582 neural responsivity when monkeys are asked to attend to tone bursts in a sequence. Because this filter
583 mechanism is frequency dependent, it could enhance activity in response to tone bursts set to a site's BF
584 (i.e., the low-frequency tone bursts), while simultaneously suppressing responses elicited by non-BF tone
585 bursts (i.e., the high-frequency tone bursts) (Lakatos et al., 2013; O'Connell et al., 2014). Because of these
586 opposing computations, as the tone-burst sequence unfolded, neural populations sensitive to the
587 frequency values of the BF and non-BF tone bursts would become differentially active and thereby provide
588 a means for downstream neurons to readout information about the number of auditory streams in the
589 environment (Fishman et al., 2001a, 2004, 2017). That is, when BF and non-BF neural populations have
590 comparable overlapping levels of activity, downstream neurons could read-out the stimulus as "one
591 stream" but when they have different, non-overlapping activity levels, the read-out would be "two
592 streams". It is worth noting that we cannot comment on whether the present data and this interpretation
593 also support the temporal-coherence model of auditory streaming (Elhilali et al., 2009; Shamma et al.,
594 2011; Rezaeizadeh and Shamma, 2021); this could only be determined if we had presented the tone bursts
595 in the sequences simultaneously and not interleaved as in the present study.

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597 *Choice-related modulations in core and belt auditory cortex*

598 MUA in the core and belt auditory cortex was modulated by the monkeys' behavioral choices (i.e., hits
599 versus misses; Figs. 4b and 5). These choice-related modulations were observed in response to both the
600 low-frequency and high-frequency tone bursts. We also found that, as a trial unfolded, choice-related
601 modulations increased, even when we controlled for target tone bursts that occurred "early" in the
602 sequence versus those that occurred "later" (Fig. 5d). This buildup of choice-related activity was also seen
603 in our previous streaming study (Christison-Lagay and Cohen, 2018).

604 Choice-related activity has been reported previously in studies that related behavior to neural
605 activity in the core auditory cortex (Niwa et al., 2012a, 2012b, 2013; Bizley et al., 2013). However, whereas
606 belt activity has been shown to be causally related to an ongoing decision (Tsunada et al., 2016), it is not
607 clear whether core activity is also part of a feedforward process that underlies the ongoing decision.
608 Indeed, our observation that choice-related activity was greater in core than in belt does not fit well into
609 a 'hierarchical' model in which early cortical areas represent sensory attributes of stimulus and later areas
610 convert these representations into a behavioral decision (Gold and Shadlen, 2007; Tsunada et al., 2016).
611 Further, the fact that the choice-related activity was seen prior to the onset of the target and was
612 modulated by the time of target onset within a sequence (Figs. 4 and 5) suggests that a more parsimonious
613 explanation: namely, choice-related activity reflects some form of attention or expectation. Indeed, target
614 anticipation can dynamically recruit top-down attentional processes that modulate neural activity (Ghose
615 and Maunsell, 2002; Nienborg and Cumming, 2009). Further work that compares the time of the actual
616 perceptual decision with changes in neural activity (Tsunada et al., 2016, 2019) as well as causal
617 manipulations would be needed to understand more fully the contribution of this type of activity to
618 behavior.

619

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620 *Laminar organization of stimulus- and choice-related MUA*

621 We could not identify a laminar parcellation in the core auditory cortex but were able to identify such
622 laminar differences in the belt (Fig. 6). It is possible, however, that such a distribution does exist in the
623 core but is less robust than in the belt, and our analyses were not sensitive enough to characterize it.

624 We found more choice-related activity in deep (infragranular) layers of the belt auditory cortex,
625 which receive feedback projections from higher-cortical areas (Galaburda and Pandya, 1983; Felleman
626 and Van Essen, 1991; Hackett et al., 2014). These feedback projections may be the source of
627 attentional/expectation signals discussed above. On the other hand, stimulus-related modulations were
628 more pronounced in superficial layers of the belt, consistent with activity transmitted via feedforward
629 projections from earlier sensory areas (Galaburda and Pandya, 1983; Felleman and Van Essen, 1991;
630 Hackett et al., 2014). Although, the laminar distribution of spectrotemporal tuning, tonotopy, and other
631 sensory features have been studied in other model systems (Atencio and Schreiner, 2010; Kanold et al.,
632 2014; O'Connell et al., 2014), this is the first identification of the differential representation of task-related
633 variables in different layers of the non-human primate auditory cortex. Overall, the concordance of these
634 previously described anatomical projection patterns and the laminar distributions of task variables
635 suggests a differential representation of stimulus- and choice-related variables along the ventral cortical
636 auditory pathway during our auditory streaming task.

637

638

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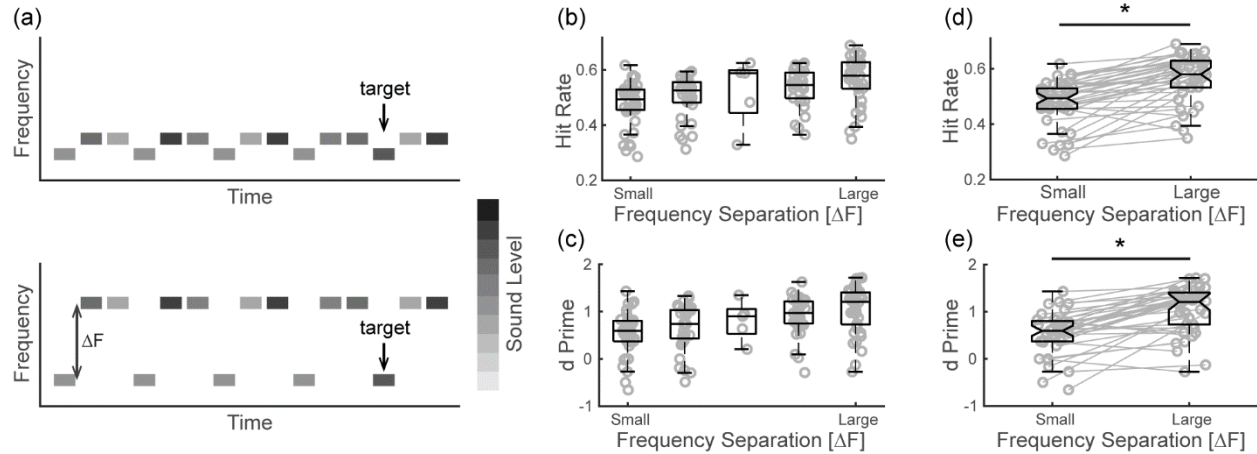
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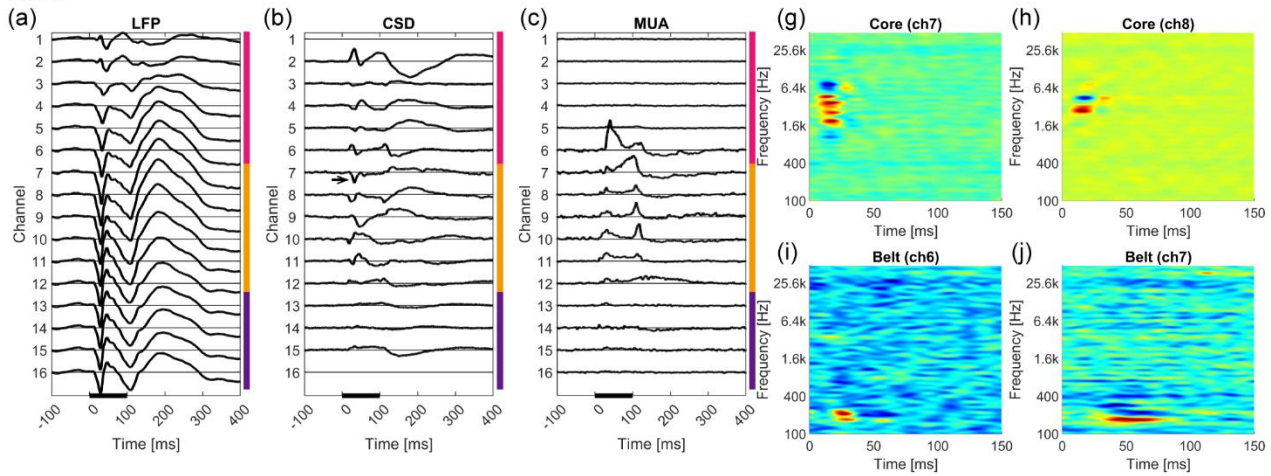
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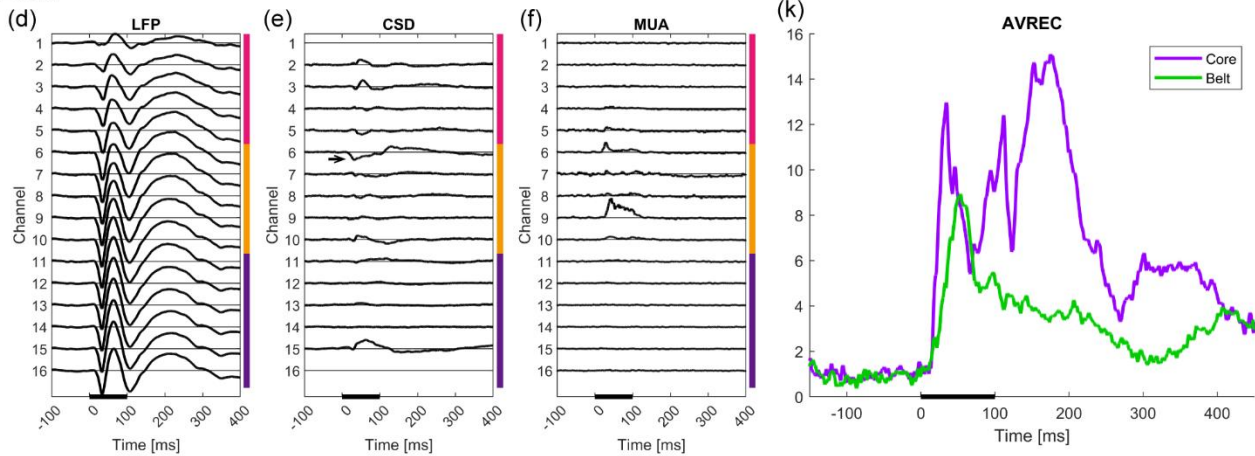
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783 **Figure 1: Auditory streaming task and behavioral performance to the task.** (a) Schematic illustration of
784 the auditory streaming task. Each rectangle indicates a low (L) and high (H) frequency tone burst
785 presented as repeating L-H-H triplets. The grey shading of each rectangle represents the relative sound
786 level of the tone bursts. The monkeys detected a relatively louder “deviant” target. We varied the
787 frequency separation (ΔF) between the L and H tone bursts to titrate the task difficulty; target detection
788 is relatively more difficult in the small ΔF trials (top) than in large ΔF trials (bottom). (b) Hit rate as a
789 function of ΔF . The gray circles indicate the hit rate from each recording session, and the box plot indicates
790 the median and upper and lower quantiles of the hit rate across sessions. (c) d' as a function of ΔF . The
791 gray circles indicate the d' from each recording session, and the box plot indicates the median and upper
792 and lower quantiles of the d' across sessions. (d) Comparison of hit rate between small (typically, 1 and 4
793 semitone difference for monkey D and C, respectively) and large ΔF (typically, 8 and 24 semitone
794 difference for monkey D and C, respectively) trials. (e) Comparison of d' between small and large ΔF trials.
795 In (d) and (e), the asterisk indicates significant difference in hit rate and d' , respectively between the small
796 and large ΔF trials ($p < 0.05$, Wilcoxon signed-rank test).
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Core



Belt

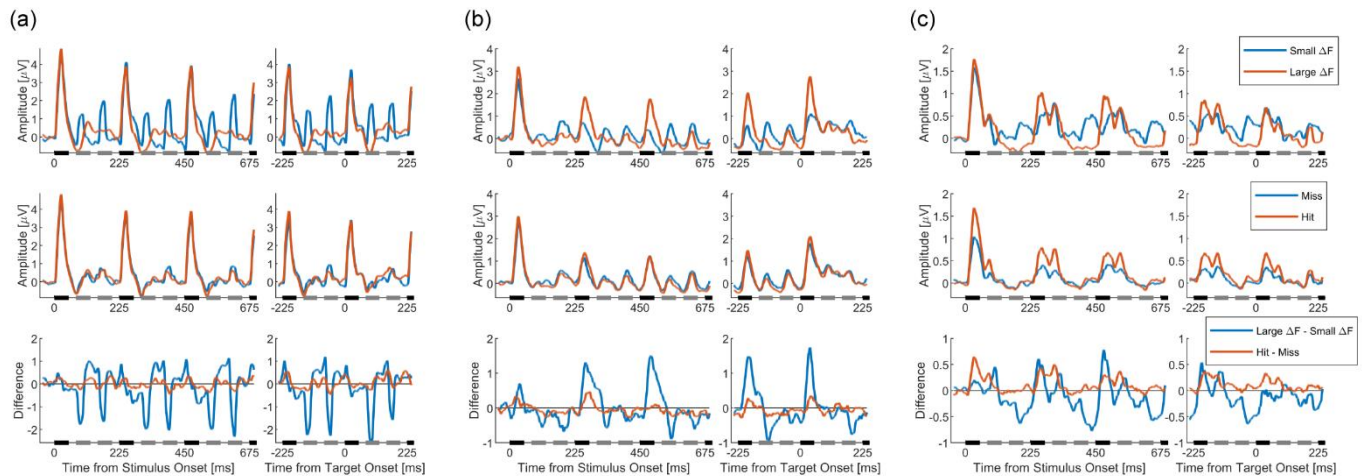


798

799 **Figure 2: Examples of laminar recording from core and belt auditory cortex. (a-c)** Representative
800 laminar response profiles evoked by a Gaussian noise burst in core auditory cortex: **(a)** local field
801 potential (LFP), **(b)** the one-dimensional current source density (CSD), and **(c)** multiunit activity (MUA)
802 are shown as a function of cortical depth from superficial (top) to deep (bottom). The spacing between
803 channels = 150 μm . The arrow in **(b)** indicates the initial current sink corresponding to the bottom of
804 layer 3. The vertical color bar indicates the approximate locations of the supragranular (pink), granular
805 (yellow), and infragranular (purple) layers that were operationally identified from the CSD profile. The
806 thick black bar at the bottom of each plot indicates the 100-ms duration of a Gaussian noise burst. **(d-f)**
807 Representative laminar response profiles from belt auditory cortex presented in the same format as in
808 **(a-c)**. **(g-j)** Representative spectrotemporal receptive fields (STRFs) obtained from the middle channels
809 of the representative electrodes shown in **(a-f)**. The x-axis is aligned relative to stimulus onset.
810 Hotter/cooler colors indicate increased/decreased firing rates, respectively. **(k)** The average rectified
811 CSD (AVREC) from the representative core and belt sites shown in **(a-f)**.

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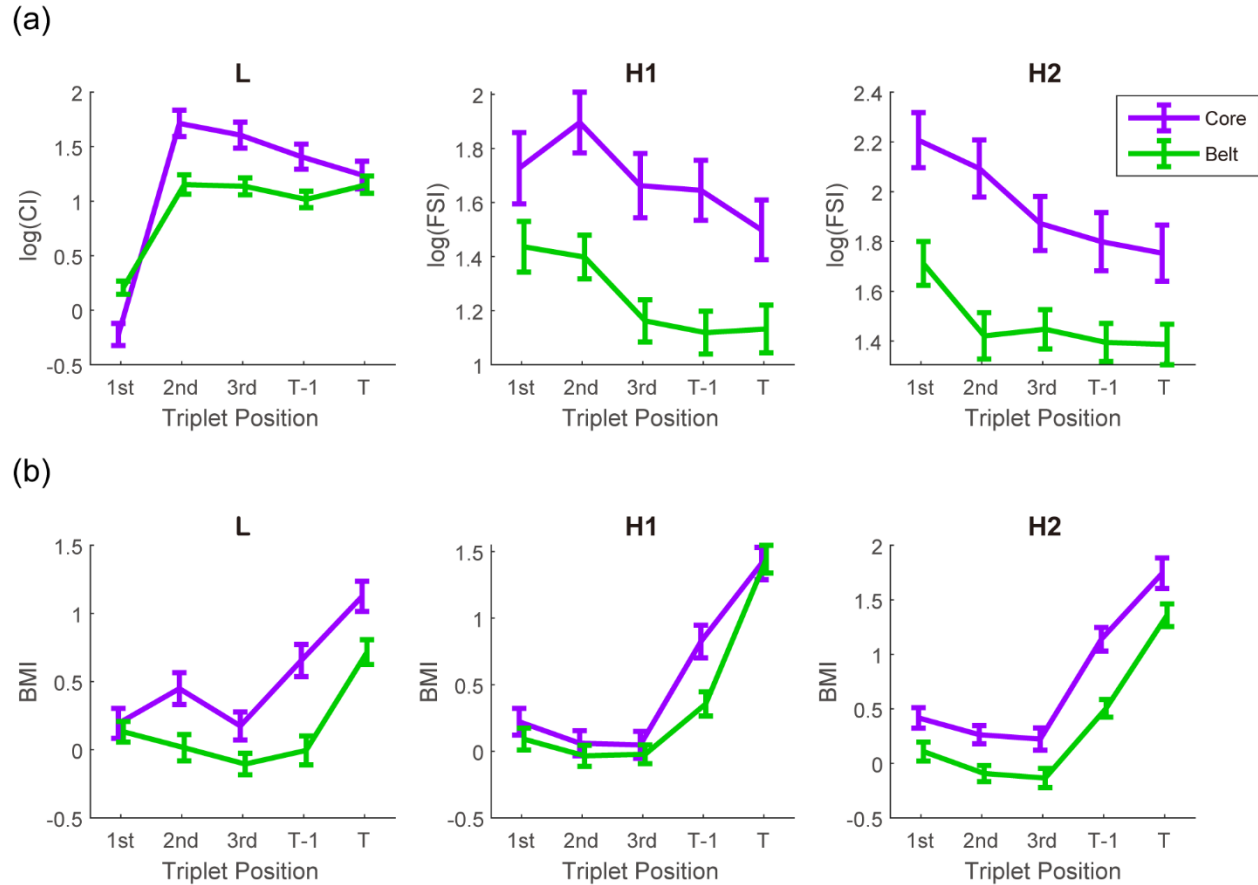


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814 **Figure 3: Examples of MUA during the auditory streaming task. (a)** A MUA response profile from the core
815 auditory cortex. **(top)** The mean MUA from trials with the smallest (8 semitone difference) and largest (24
816 semitone difference) frequency separation. The rectangles on the horizontal axis indicate the
817 presentation periods of low- (black) and high- (gray) frequency tone bursts. **(middle)** The mean MUA for
818 hit (red) and miss (blue) trials. **(bottom)** The difference in mean MUA between different stimulus (small
819 ΔF versus large ΔF) and choice (hit versus miss) conditions. **(b)** A MUA response profile from the belt
820 auditory cortex, organized in the same way as in **(a)**. The small and large ΔF are 1 and 8 semitone
821 differences, respectively. **(c)** Another MUA response profile from the core auditory cortex, organized in
822 the same way as in **(a)**. The small and large ΔF are 4 and 24 semitone differences, respectively. The MUA
823 is smoothed for the purpose of display.

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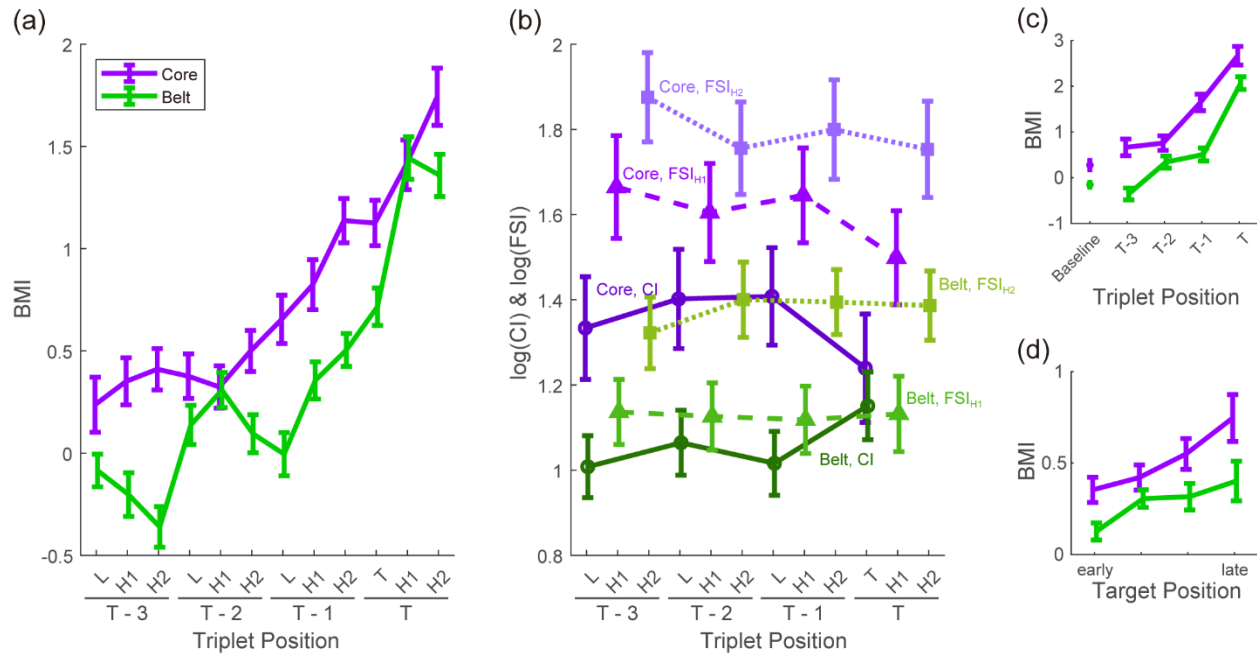


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826 **Figure 4: Population time course of stimulus- and choice-related MUA modulation, relative to the onset**
827 **of the tone-burst sequence. (a)** CI values as a function of time (left; only for the low-frequency [L] tone
828 **burst) and FSI values as a function of time (middle and right; for the two high-frequency tone bursts in the**
829 **triplet [H1 and H2, respectively]). Data points indicate the population mean and standard error for core**
830 **(purple) and belt (green) auditory cortex for the first three (“1st”, “2nd”, and “3rd”) triplet positions and for**
831 **the triplet immediately preceding the target (i.e., “T-1”) and the triplet that included the target (“T”). (b)**
832 **BMI values as a function of time for L, H1, and H2. Population data are plotted analogous to that shown**
833 **in (a).**

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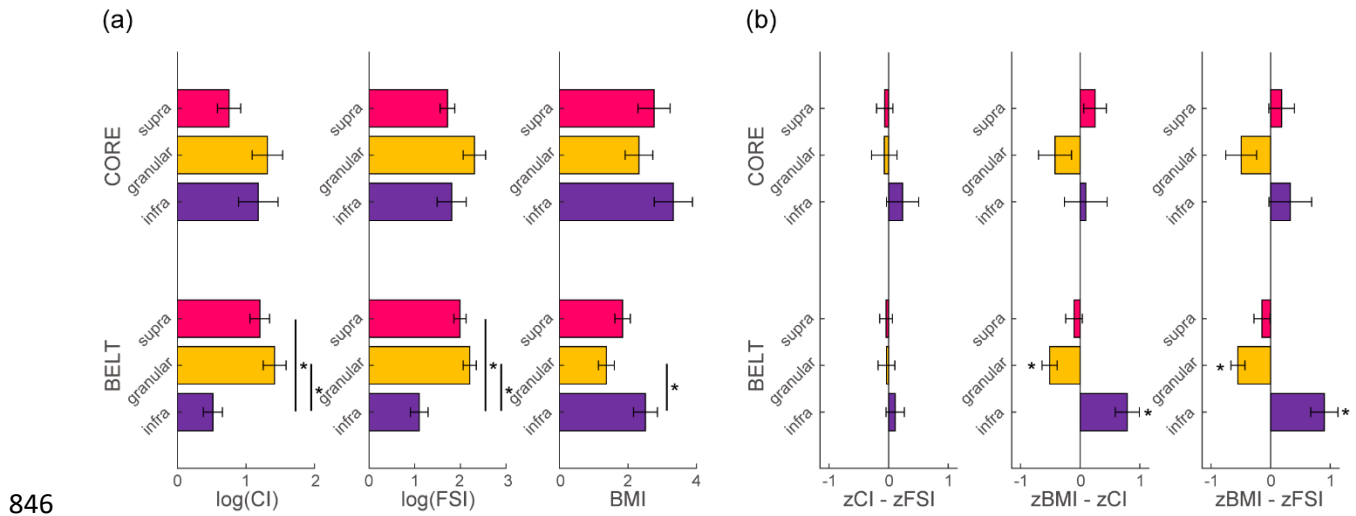
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836 **Figure 5: Stimulus- and behavior-related modulation, relative to target onset.** (a) BMI values replotted
837 as a function of target onset, starting with the three triplets preceding target onset (respectively, "T-3",
838 "T-2", and "T-1") and the target triplet ("T"). (b) CI and FSI values replotted as a function of target onset
839 in a manner analogous to that done in (a). (c) BMI values calculated across an entire 225-ms triplet window
840 and plotted as a function of target onset. For comparison, we plot the BMI values generated during a
841 baseline period (3rd triplet position) as well. (d) BMI values for target times that occurred "early" (typically
842 4th and 7th triplet for monkey D and monkey C, respectively) in a tone-burst sequence versus those that
843 occurred "late" (typically 7th and 10th triplet in monkey D and monkey C, respectively). In all panels, data
844 points indicate the population mean and standard error.

845



847 **Figure 6: Comparisons of stimulus and behavior-related modulations across layers. (a)** Laminar
848 distributions of CI, FSI, and BMI values in core and belt. The mean and standard error of these indices are
849 plotted separately for the supragranular (pink), granular (yellow) and infragranular (purple) layers.
850 Asterisks indicate the laminar differences with statistically significant ($p < 0.05$; Dunn test) median values.
851 **(b)** Laminar distributions of z-scored CI, FSI and BMI values in core and belt. Bar graphs indicate mean and
852 standard error. The asterisks indicate values that are significantly different from zero ($p < 0.05$; Wilcoxon
853 signed-rank test with Bonferroni correction). For both panels, the CI and FSI were calculated from the
854 triplet immediately preceding the target, whereas the BMI was calculated from the response in the triplet
855 that included the target.