1	Probing the biophysical constraints of SARS-CoV-2 spike N-terminal
2	domain using deep mutational scanning
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26 ABSTRACT

27 Increasing the expression level of the SARS-CoV-2 spike (S) protein has been critical for COVID-28 19 vaccine development. While previous efforts largely focused on engineering the receptor-29 binding domain (RBD) and the S2 subunit, the N-terminal domain (NTD) has been long 30 overlooked due to the limited understanding of its biophysical constraints. In this study, the effects 31 of thousands of NTD single mutations on S protein expression were quantified by deep mutational 32 scanning. Our results revealed that in terms of S protein expression, the mutational tolerability of 33 NTD residues was inversely correlated with their proximity to the RBD and S2. We also identified 34 NTD mutations at the interdomain interface that increased S protein expression without altering 35 its antigenicity. Overall, this study not only advances the understanding of the biophysical 36 constraints of the NTD, but also provides invaluable insights into S-based immunogen design.

37 INTRODUCTION

The emergence of severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has led to 38 the coronavirus disease 2019 (COVID-19) pandemic^{1,2}. As the major antigen of SARS-CoV-2, 39 40 spike (S) glycoprotein plays a critical role in facilitating virus entry^{3,4}. Therefore, antibodies to 41 SARS-CoV-2 S are often neutralizing^{5,6}. SARS-CoV-2 S protein consists of an N-terminal S1 42 subunit, which is responsible for engaging the host receptor angiotensin-converting enzyme 2 43 (ACE2) via the receptor-binding domain (RBD), as well as a C-terminal S2 subunit, which mediates virus-host membrane fusion^{4,7,8}. The S1 subunit also contains an N-terminal domain 44 45 (NTD) in addition to the RBD^{4,7}. While the RBD is generally considered to be immunodominant, the NTD is also a target of neutralizing antibodies⁹⁻¹¹. Structural studies revealed the presence of 46 47 an antigenic supersite on the NTD that is frequently mutated in SARS-CoV-2 variants of concern 48 (VOCs)¹²⁻¹⁷. In fact, amino acid mutations and indels rapidly accumulate within the NTD during 49 the evolution of SARS-CoV-2 in human, at least partly due to the immune selection pressure¹⁸. 50 On the other hand, antibodies to NTD epitopes that are conserved across VOCs have also been 51 identified^{16,19}. Despite the importance of NTD in immune response against SARS-CoV-2, the 52 biophysical constraints of NTD remain largely elusive.

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54 COVID-19 vaccines, including both recombinant protein-based and mRNA-based, are proven to be highly protective against SARS-CoV-2 infection^{20–23}. There is an inverse relationship between 55 56 the production yield and cost of recombinant protein-based COVID-19 vaccines, such as that from Novavax, which showed promising results in phase 3 clinical trials²², as well as others that are in 57 58 earlier phases of clinical trials²⁴. High protein expression level is also believed to be critical for the effectiveness of mRNA vaccines²⁵. As a result, identifying mutations that increase S protein 59 60 expression are crucial for optimizing COVID-19 vaccines. While most studies focused on mutating the S2 subunit as well as the RBD to increase S protein expression^{7,26-29}, little effort has been 61 62 spent on NTD due to the lack of understanding of its biophysical properties.

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Phenotypes of numerous mutations can be measured in a massively parallel manner using deep mutational scanning, which combines saturation mutagenesis and next-generation sequencing³⁰. Previous studies have applied deep mutational scanning to evaluate the effects of RBD mutations on protein expression, ACE2-binding affinity, and antibody escape^{31–36}. Although deep mutational scanning of the RBD provided important insights into immunogen design and SARS-CoV-2 evolution^{29,31,32,35,36}, similar studies on other regions of the S protein have not yet been carried out.

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71 Here, we used deep mutational scanning to quantify the effects of thousands of NTD single 72 mutations on S protein expression. One notable observation was that NTD residues, unlike RBD 73 residues, showed a weak correlation between mutational tolerability and relative solvent 74 accessibility (RSA). Instead, the mutational tolerability of NTD residues strongly correlated with 75 their distance to RBD and S2. Residues S50 and G232 were two exceptions, in which they were 76 proximal to S2 and RBD, respectively, and yet had a high mutational tolerability. Subsequently, 77 we functionally characterized two mutations that increased S protein expression, namely S50Q 78 and G232E. These results have important implications towards understanding NTD evolution and 79 S-based immunogen design.

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81 RESULTS

82 Most NTD mutations have minimal impact on S protein expression

To study how SARS-CoV-2 S protein expression is influenced by NTD mutations, we created a mutant library that contained all possible single amino acid mutations across residues 14-301 of the S protein. Each of these 288 residues was mutated with the choice of all 19 other amino acids and the stop codon, leading to a mutant library with 5,760 single amino acid mutations. The mutant library was expressed using the HEK293T landing pad cell system, such that each transfected cell stably expressed only one mutant^{37,38}. Fluorescence-activated cell sorting (FACS) was then

performed using the human anti-S2 antibody CC40.8³⁹, with PE anti-human IgG Fc as the 89 secondary antibody. Four separated gates were set up based on the PE signals, each covering 90 91 25% of the entire population (Figure S1). The frequency of each mutant among the entire 92 population was calculated (see Materials and Methods), and a cutoff of 0.0075% was set up to 93 filter out mutants with potentially noisy measurements. Among the 5,760 missense and nonsense 94 mutations, 3,999 (69%) of them satisfied the frequency cutoff for downstream analysis. Of note, 95 the design of our mutant library adopted an internal barcoding strategy that uses synonymous 96 mutations to facilitate sequencing error correction⁴⁰. As described previously⁴¹, the expression 97 score of each mutation was calculated based on their frequency in each of the four gates and 98 normalized such that the average expression score of silent mutations was 1 and that of nonsense 99 mutations was 0.

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101 To evaluate the quality of the deep mutational scanning results, we assessed the expression 102 score distributions of missense, nonsense, and silent mutations (Figure S2A). The difference 103 between the expression scores of silent mutations and nonsense mutations was apparent and 104 significant (P = 6×10^{-166}), which validated the selectivity of the deep mutational scanning 105 experiment. Interestingly, silent mutation and missense mutations had similar expression scores, 106 although the difference is statistically significant ($P = 2 \times 10^{-5}$), indicating that most amino acid 107 mutations in the NTD did not affect S protein expression. In addition, a Pearson correlation of 108 0.53 was obtained between the expression scores from two independent biological replicates 109 (**Figure S2B**), demonstrating the reproducibility of the deep mutational scanning experiment.

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To summarize the expression scores for individual mutations, a heatmap was generated (Figure
1). We noticed that high-expressing mutations were enriched within the five NTD loop regions
(Figure S3A)¹². High-expressing mutations were also found in residues outside of the loop

regions, such as residues S50 and G232. This observation shows that some NTD mutations can
improve the expression of S protein.

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117 Mutational tolerability has minimal correlation with solvent accessibility

118 While some residues were enriched in high-expression mutations (see above), others were 119 enriched in low-expression mutations (e.g. residues D40, L84, and N234) (Figure 1). 120 Consequently, we aimed to identify the biophysical determinants of mutational tolerability in terms 121 of S protein expression. For each residue, we defined the mutational tolerability as the mean 122 expression score of mutations. A higher mutational tolerability would indicate the enrichment of 123 high-expressing mutations at the specified residue. In contrast, a lower mutational tolerability 124 would indicate the enrichment of low-expressing mutations at the specified residue. A total of 243 125 NTD residues had six or more mutations with expression score available and were included in 126 this analysis.

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128 First, we investigated whether a correlation existed between the mutational tolerability and relative 129 solvent accessibility (RSA). Since buried residues are typically important for protein folding 130 stability, residues with a lower RSA are generally expected to have a lower mutational tolerability. 131 For example, previous deep mutational scanning studies on the RBD have shown a decent 132 correlation between RSA and mutational tolerability (Spearman correlation = 0.73, Figure 2A)^{34,42}. 133 In contrast, the mutational tolerability of NTD residues had a much weaker correlation with RSA 134 (Spearman correlation = 0.19, Figure 2B). These observations indicate that the folding stability 135 of NTD does not have a strong influence on its mutational tolerability, and hence the S expression 136 level.

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To investigate whether the mutational tolerability correlated with sequence conservation, we then
 analyzed the NTD sequences of 27 sarbecovirus strains, including SARS-CoV-2. Less conserved

residues tended to have a higher mutational tolerability, while more conserved residues tended to have a lower mutational tolerability, although the correlation was not strong (Spearman correlation = -0.30) (**Figure 2C**). In comparison, the correlation between sequence conservation and RSA was even weaker (Spearman correlation = -0.16, **Figure 2D**).

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145 Mutational tolerability correlates with distance to RBD/S2

146 We further calculated the distance from each NTD residue to RBD/S2 of the S protein. A positive 147 correlation was observed between the mutational tolerability and the distance to RBD/S2 148 (Spearman correlation = 0.56) (Figure 2E). In other words, the more distant an NTD residue was 149 from the RBD/S2, the higher the mutational tolerability was. Such correlation was apparent when 150 the mutational tolerability of each NTD residue was projected on the S protein structure (Figure 151 **2G)**. Consistently, the epitopes of two cross-neutralizing antibodies, namely C1717 and C1791, were significantly closer to RBD/S2 ($P \le 5 \times 10^{-4}$) and had lower mutational tolerability ($P \le 0.01$) 152 153 when compared to the rapidly evolving NTD antigenic supersite (Figure 2F and Figure **S3B**)^{14,16,43}. 154

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156 **Two buried NTD mutations increase S protein expression**

157 While NTD residues adjacent to RBD/S2 typically had a low mutational tolerability, S50 and G232 158 were two exceptions (Figure 2G). For example, mutations S50G and G232E had a high 159 expression score in our deep mutational scanning results. To validate this finding, we used the 160 same landing pad system to construct HEK293T cell lines that stably expressed S50Q, G232E, 161 and S50Q/G232E double mutant. As quantified by flow cytometry analysis (Figure 3A and Figure 162 S4), the expression level of S50Q and G232E increased from wild type (WT) by 1.7-fold (P = 163 0.002) and 1.5-fold (P = 9×10^{-4}), respectively, whereas that of S50Q/G232E increased by 2.5fold (P = 2×10^{-6}). 164

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166 To probe the structural impact of S50Q and G232E, we analyzed their local environments on the 167 structure of S protein and performed structural modelling using Rosetta (Figure 3C-D)^{44–46}. S50 168 forms a hydrogen bond with K304 and is proximal to the S2 subunit. Structural modelling showed 169 that S50Q not only is able to maintain the hydrogen bond with K304, but also strengthens the van 170 der Waals interaction between the NTD and S2 by pushing K304 towards S2 from the adjacent 171 protomer (Figure 3C). G232 is proximal to a positively charged region on the RBD that is featured 172 by R355 and R466 (Figure 3D). Structural modeling suggested that G232E could form favorable 173 electrostatic interactions with both R355 and R466. We further recombinantly expressed these 174 mutants and tested their thermostability using a thermal shift assay (Figure 3B). Of note, all the 175 recombinantly expressed S proteins contained K986P/V987P mutations in the S2 subunit, which 176 are known to stabilize the prefusion conformation and increase expression^{26,47}. The melting 177 temperatures of WT and NTD mutants were almost identical at a T_m of 46 °C to 46.5 °C. These 178 observations indicate that despite both S50Q and G232E improve the interaction between NTD 179 and the rest of the S protein, they have minimal impact on the global folding stability of the S 180 protein.

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182 S50Q and G232E have minimal effects on the fusion activity and antigenicity

183 To understand the functional consequences of S50Q and G232E, we further tested whether S50Q, 184 G232E, and S50Q/G232E exhibited a change in fusion activity compared the WT. A fluorescence-185 based cell-cell fusion assay that relied on the split mNeonGreen2 (mNG2)⁴⁸ was performed (see 186 Materials and Methods, Figure S5). Briefly, HEK293T landing pad cells that expressed human 187 ACE2 (hACE2) and mNG2₁₋₁₀ were mixed with HEK293T landing pad cells that expressed S 188 proteins and mNG2₁₁. Green fluorescence due to mNG2 complementation was generated when 189 fusion between the two cell lines occurred. Fluorescence microscopy analysis showed that all 190 mutants facilitated hACE2-mediated fusion (Figure 4A-B). Consistently, flow cytometry analysis 191 at both 3-hour and 24-hour post-mixing indicated that none of the tested mutants diminished the

192 fusion activity when compared to WT (Figure 4C-D). At 3-hour post-mixing, both S50Q (24%, P 193 = 0.03) and G232E (25%, P = 0.01) showed mild, yet significant, increases in fusion activity compared to WT. Similarly, at 24-hour post-mixing, S50Q (19%, P = 0.01), G232E (13%, P = 194 195 0.02), and S50Q/G232E double mutant (37%, P = 0.005) all showed an increase in fusion activity 196 compared to WT. Such a mild increase in fusion activity may simply be attributed to the higher 197 expression level of the mutants. Negative control cells expressing the K986P/V987P double 198 mutant, which is known to stabilize the prefusion form of the S protein^{26,47}, did not show any fusion 199 activity (Figure 4A-D).

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We then proceeded to investigate whether S50Q, G232E, and S50Q/G232E alter the antigenicity of the S protein. The binding of three antibodies targeting different domains of the S protein were tested, namely CC12.3 (anti-RBD)⁴⁹, S2M28 (anti-NTD)¹⁴, and COVA1-07 (anti-S2)⁵⁰. Flow cytometry analysis showed that all three antibodies bound to the tested mutants at a similar level as WT (**Figure 5 and Figure S6**), indicating that S50Q, G232E, and S50Q/G232E did not alter the structural conformation and antigenicity of the S protein.

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208 **DISCUSSION**

S protein is central to the research of SARS-CoV-2 evolution and COVID-19 vaccines^{51–54}. While 209 210 both the RBD and the NTD on the S protein are targets of neutralizing antibodies and involve in 211 the antigenic drift of SARS-CoV-2^{43,55–61}, the NTD often receives less attention than the RBD. 212 Using deep mutational scanning, this study shows that many NTD mutations at buried residues 213 do not affect S protein expression. At the same time, the closer an NTD mutation is to RBD/S2, 214 the more likely it is detrimental to S protein expression. These observations imply that for optimum 215 S protein expression, the structural stability at the NTD-RBD and the NTD-S2 interfaces is more 216 critical than the folding stability of the NTD. Our results also at least partly explain why the N1 to N5 loops, which contain the NTD antigenic supersite⁶² and are far from the NTD-RBD/S2 217

interfaces, are highly diverse among SARS-CoV-2 variants and sarbecovirus strains. Overall, this
study provides crucial biophysical insights into the evolution of the NTD.

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221 NTD mutations S50Q and G232E, which locate at the interdomain interface and increase S 222 protein expression, represent another important finding of this study. Engineering high expressing 223 S protein can lower the production cost of recombinant COVID-19 vaccine and may improve the 224 effectiveness of mRNA vaccines²⁵. Similar to certain previously characterized mutations in the 225 S2^{26,27}, S50Q and G232E in the NTD increase the expression yield of the S protein without 226 changing its T_m. Consistently, a recent study showed that NTD mutations in BA.1 improve the expression of S protein without increasing its thermostability⁶³. Furthermore, S50Q and G232E 227 228 are not solvent exposed on the S protein surface and do not seem to alter the antigenicity of the 229 S protein. Of note, according to our deep mutational scanning data, S50Q and G232E are just 230 two of many mutations that enhance S protein expression. Therefore, although most studies on S-based immunogen design focus on the mutations in the RBD and S2^{7,26–29}, our results suggest 231 232 that mutations in NTD can provide a complementary strategy.

233

234 We acknowledge that S protein expression level does not necessarily correlate with virus 235 replication fitness. For example, NTD mutations that do not affect the S protein expression may 236 be detrimental to the replication fitness of SARS-CoV-2, due to negative impact on NTD 237 functionality. While the functional importance of the NTD in natural infection remains largely unclear, NTD has been proposed to facilitate virus entry by interacting with DC-SIGN, L/SIGN, 238 239 AXL, ASGR1, and KREMEN1^{64–66}. Studies have also shown that the NTD can allosterically evade antibody binding by interacting with a heme metabolite⁴⁵, as well as modulate the efficiency of 240 241 virus-host membrane fusion^{67,68}. To fully comprehend the biophysical constraints of NTD, future studies should systematically investigate how different NTD mutations affect virus replication 242 243 fitness.

244

245 MATERIALS AND METHODS

246 **Construction of the NTD mutant library**

247 SARS-CoV-2 S NTD mutant library was constructed based on the HEK293T landing pad 248 system^{37,38}. The template for constructing the NTD mutant library was a plasmid that encoded 249 (from 5' to 3') an attB site, a codon-optimized SARS-CoV-2 S (GenBank ID: NC 045512.2) with 250 the PRRA motif in the furin cleavage site deleted, an internal ribosome entry site (IRES), and a 251 puromycin-resistance marker. This plasmid was used as a PCR template to generate a linearized 252 vector and a library of mutant NTD inserts. The linearized vector was generated using 5'-TGC 253 TCG TCT CTA CAA CTC CGC CAG CTT CAG CAC C-3' and 5'-TGC TCG TCT CTT CAC TGG 254 CCG TCG TTT TAC AAC G-3' as primers. Inserts were generated by two separate batches of 255 PCRs to cover the entire NTD. The first batch of PCRs consisted of 36 reactions, each containing 256 one cassette of forward primers as well as the universal reverse primer 5'-TGC TCG TCT CGT 257 TGT ACA GCA CGG AGT AGT CGG C-3'. Each cassette contained an equal molar ratio of eight 258 forward primers that had the same 21 nt at the 5' end and 15 nt at the 3' end. Each primer within 259 a cassette were also encoded with an NNK (N: A, C, G, T; K: G, T) sequence at a specified codon 260 positions for saturation mutagenesis. In addition, each primer also carried unique silent mutations 261 (also known as synonymous mutations) to help distinguish between sequencing errors and true 262 mutations in downstream sequencing data analysis as described previously⁴⁰. The forward 263 primers, named as CassetteX N (X: cassette number, N: primer number), are listed in Table S1. 264 The second batch of PCR consisted of another 36 PCRs, each with a universal forward primer 5'-265 TGC TCG TCT CAG TGA ATT GTA ATA CGA CTC ACT A-3' and a unique reverse primer as 266 listed in **Table S2**. Subsequently, 36 overlapping PCRs were performed using the universal 267 forward and reverse primers, as well as a mixture of 10 ng each of the corresponding products 268 from the first and second batches of PCR. The 36 overlap PCR products were then mixed at equal 269 molar ratio to generate the final insert of the NTD mutant library. All PCRs were performed using

270 PrimeSTAR Max polymerase (Takara Bio) per manufacturer's instruction, followed by purification 271 using Monarch Gel Extraction Kit (New England Biolabs). The final insert and the linearized vector 272 were digested by BsmBI-v2 (New England Biolabs) and ligated using T4 DNA Ligase (New 273 England Biolabs). Ligation product was purified by PureLink PCR Purification Kit (Thermo Fisher 274 Scientific) and then transformed into MegaX Dh10B T1R cells (Thermo Fisher Scientific). At least 275 half a million colonies were collected. Plasmid mutant library were purified from the bacteria 276 colonies using PureLink HiPure Plasmid Midiprep Kit (Invitrogen). All primers in this study were 277 ordered from Integrated DNA Technologies.

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279 Construction of stable cell lines using HEK293T landing pad cells

280 Human embryonic kidney 293T (HEK293T) landing pad cells^{37,38} were used to display the NTD 281 mutant library for deep mutational scanning. Landing pad cells were maintained using complete 282 growth medium consisting of Dulbecco's Modified Eagle's Medium (DMEM) (Corning), 10% v/v 283 FBS (VWR), Pen-Strep (Gibco), non-essential amino acid (Gibco), and 2 µg/mL doxycycline. 1.2 284 μg of plasmid was transfected into 6 × 10⁵ landing pad cells. For the deep mutational scanning 285 experiment, eight transfection reactions were carried out in parallel to minimize loss of mutant 286 diversity at the transfection step. Transfected cells were then incubated at 37 °C with 5% CO₂. 287 After 48 hours, 10 nM AP1903 was supplemented to carry out negative selection. At 72 hours 288 after the negative selection, positive selection antibiotic (1 µg/mL puromycin for NTD cell lines or 289 100 µg/mL hygromycin for hACE2 cell lines) was supplemented to the medium to carry out 290 positive enrichment of cells with successful recombination. Constructed cell lines would remain in 291 the complete growth medium supplemented with doxycycline and the positive selection antibiotics.

292

293 Sorting the NTD mutant library based on S protein expression level

Four T-75 flasks (Corning) that were 90% confluent with cells that carried the NTD mutant library were washed with $1 \times PBS$, harvested with warm versene and pelleted via centrifugation at $300 \times$ 296 a for 5 mins at room temperature. Cells were then resuspended in FACS buffer (2% v/v fetal 297 bovine serum, 5 mM EDTA in DMEM supplemented with glucose, L-glutamine and HEPES but 298 without phenol red (Gibco)). Subsequently, cells were incubated with 5 µg/mL of CC40.8 at 4 °C 299 with gentle shaking for 1 hour. Cells were washed once with ice-cold FACS buffer and incubated 300 with 1 µg/mL PE anti-human IgG Fc (Biolegend) at 4 °C with gentle shaking in the dark for 1 hour. 301 Cells were washed once and resuspended in ice-cold FACS buffer. Cells were then filtered using 302 a 40 µm cell strainer (VWR) before cell sorting. FACS were performed using a BD FACSAria II 303 cell sorter (BD) with a 561 nm laser and a 582/15 bandpass filter. Cells were collected into ice-304 cold D10 medium (Dulbecco's modified Eagle medium with 4.5 g/L glucose, 4 mM L-glutamine 305 and 110 mg/L sodium pyruvate (Corning), supplemented with 10% v/v fetal bovine serum (VWR), 306 1× penicillin-streptomycin (Gibco), 1× non-essential amino acids (Gibco)) and binned into no (bin 307 0), low (bin 1), medium (bin 2) and high (bin 3) expression according to PE signal, where each 308 bin contains 25% of the singlet population (Figure S1). A biological replicate of the deep 309 mutational scanning experiment was performed, starting from the transfection step.

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311 Next-generation sequencing of the NTD mutant library

312 Sorted cells from each bin were pelleted at $300 \times g$, 4 °C for 15 mins and then resuspended in 313 200 µL PBS (Corning). Genomic DNA extraction was then performed using DNA Blood and 314 Tissue Kit (QIAGEN) according to the manufacturer's instructions with a modification: cells were 315 incubated at 56 °C for 30 min instead of 10 min. The NTD mutant library was amplified from the 316 genomic DNA in two non-overlapping fragments using KOD DNA polymerase (MilliporeSigma) 317 per manufacturer's instruction with the following two primer sets, respectively (also see **Table S3**). 318 Set 1: 5'- CAC TCT TTC CCT ACA CGA CGC TCT TCC GAT CTC TGC TGC CTC TGG TGT 319 CCA GC-3' (NTD-DMS-recover-1F) and 5'-GAC TGG AGT TCA GAC GTG TGC TCT TCC GAT 320 CTG TTG GCG CTG CTG TAC ACC CG-3' (NTD-DMS-recover-1R)

321 Set 2: 5'-CAC TCT TTC CCT ACA CGA CGC TCT TCC GAT CTA GCT GGA TGG AAA GCG
322 AGT TC-3' (NTD-DMS-recover-2F) and 5'-GAC TGG AGT TCA GAC GTG TGC TCT TCC GAT
323 CTC ACG GTG AAG GAC TTC AGG GT-3' (NTD-DMS-recover-2R)

A second round of PCR was carried out to add the adapter sequence and index to the amplicons as described previously⁶⁹. The final PCR products were submitted for next-generation sequencing using Illumina MiSeg PE300.

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328 Analysis of next-generation sequencing data

329 Next-generation sequencing data were obtained in FASTQ format. Forward and reverse reads of each paired-end read were merged by PEAR⁷⁰. The merged reads were parsed by SeqIO module 330 331 in BioPython⁷¹. Primer sequences were trimmed from the merged reads. Trimmed reads with 332 lengths inconsistent with the expected length were discarded. The trimmed reads were then 333 translated to amino acid sequences, with sequencing error correction performed at the same time as previously described⁴⁰. Amino acid mutations were called by comparing the translated reads 334 335 to the WT amino acid sequence. Frequency (F) of a mutant i at position s within bin n of replicate 336 *k* was computed for each replicate as follows:

337
$$F_{i,s,n,k} = \frac{readcount_{i,s,n,k+1}}{\sum_{s} \sum_{i} (readcount_{i,s,n,k+1})}$$
(1)

338 A pseudocount of 1 was added to the read counts of each mutant to avoid division by zero in 339 subsequent steps. We then calculated the total frequency (F_{total}) of mutant *i* at position *s* as follows:

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$$F_{total,i,s} = \frac{\sum_{k=1}^{2} \sum_{n=0}^{3} F_{i,s,n,k}}{8}$$
(2)

Mutants with a F_{total} of equal or greater than 0.0075% were selected for downstream analysis. Subsequently, the weighted average (*W*) of each mutant among 4 bins (bin 0 to bin 3) in each replicate was computed as described previously⁴¹:

344
$$W_{i,s,k} = \frac{F_{i,s,0,k} \times 0.25 + F_{i,s,1,k} \times 0.5 + F_{i,s,2,k} \times 0.75 + F_{i,s,3,k} \times 1}{\sum_{n=0}^{3} F_{i,s,n,k}}$$
(3)

Selected mutants were then categorized based on the mutation types (missense, nonsense, and silent). The mean value of weighted average for nonsense as well as silent mutations were calculated. Expression score (*ES*) of a mutant *i* at position s of replicate *k* was calculated as described previously⁴¹:

349
$$ES_{i,s,k} = \frac{W_{i,s,k} - \overline{W_{nonsense,k}}}{\overline{W_{silent,k}} - \overline{W_{nonsense,k}}} (4)$$

Final expression score of a mutant *i* at position *s* was calculated by taking the average of the expression scores between replicates. Mutational tolerability of position *s* was then calculated by taking the average of the expression scores of all mutants at that position:

353
$$mutational tolerability_s = \frac{\sum_{i \in s} ES_{i,s}}{\sum_{i \in s}}$$
 (5)

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355 Structural analysis of deep mutational scanning results

DSSP^{72,73} was used to calculate the solvent exposure surface area (SASA) of each residue in NTD and RBD on the S trimer (PDB 6ZGE)⁴⁴. Deep mutational scanning result of RBD was extracted from a previous study⁴². Relative solvent accessibility (RSA) was computed by dividing the SASA by the theoretical maximum allowed solvent accessibility of the corresponding amino acid⁷⁴.

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Each NTD residue's distance to RBD/S2 was calculated based on the S trimer structure (PDB 6ZGE)⁴⁴ with the NTD replaced by the high resolution crystal structure (PDB 7B62)⁴⁵. For each NTD residue, the distances to all RBD and S2 residues were measured. The shortest distance was then recorded as the "distance to RBD/S2". Residue-residue distance was defined as the distance between the centroid coordinates of two residues.

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To visualize the mutational tolerability of each NTD residue, the crystal structure of SARS-CoV-2 S protein NTD (PDB 7B62) was used⁴⁵. The NTD crystal structure was then aligned with the S trimer to generate the figures (PDB 6ZGE)⁴⁴.

371

372 **NTD sequence conservation analysis**

373 The sequence conservation analysis of NTD was based on 27 sarbecovirus strains (Table S6)^{1,75–} 374 ⁷⁹. S sequences of these stains were retrieved from GenBank and Global Initiative for Sharing Avian Influenza Data (GISAID)⁸⁰. Their NTD sequences were then identified using tBlastn search 375 376 using the amino acid sequence of SARS-CoV-2 Hu-1 NTD (Gene ID: 43740568) as the query 377 sequence. The BlastXML output of the tBlastn was then parsed and used as the input for multiple 378 sequence alignment using MAFFT^{81,82}. For each residue position, sequence conservation was 379 defined as the proportion of strains that contains the same amino acid variant as SARS-CoV-2 380 Hu-1.

381

382 Rosetta-based mutagenesis

The structure of the spike protein was obtained from Protein Data Bank (PDB 6ZGE)⁴⁴. Water 383 384 molecules and N-acetyl-D-glucosamine were removed using PyMOL (Schrödinger). Then, the amino acids were renumbered using pdb-tools⁸³. Fixed backbone point-mutagenesis for S50Q 385 386 and G232E was performed using the 'fixbb' application in Rosetta (RosettaCommons). One-387 hundred poses were generated for each mutagenesis. Using the lowest-scoring structure from 388 fixed backbone mutagenesis as input, a constraint file was obtained using the minimize with cst 389 application in Rosetta. Fast relax was then performed via the 'relax' application in Rosetta⁴⁶ with 390 the corresponding constraint file. The lowest-scoring structure out of eight was then used for 391 structural analysis. Code and source files for structural modelling are available in 392 https://github.com/nicwulab/SARS-CoV-2 NTD DMS/tree/main/rosetta.

393

394 Split mNeonGreen2-based cell-cell fusion assay

395 Human ACE2 (hACE2) construct was constructed in a previous study³⁸. A split mNeonGreen2 396 (mNG2) reporter system was integrated into the S plasmid (see above) and the hACE2 plasmid⁴⁸. 397 Specifically, a gene fragment that encoded (from 5' to 3') a GCN4 leucine zipper, a GS linker, 398 mNG21-10, and a 2A self-cleaving peptide was inserted into the hACE2 plasmid between the IRES 399 and the hygromycin resistance marker. Similarly, a gene fragment that encodes (from 5' to 3') a 400 GCN4 leucine zipper, a GS linker, mNG211, and a 2A self-cleaving peptide was inserted into the 401 S plasmid between the IRES and the puromycin resistance marker. Each plasmid construct was 402 transfected and recombined into HEK293T landing pad cells per steps described above.

403

404 Once the stable cell lines were created, 5×10^5 landing pad cells expressing hACE2 with mNG2₁. 405 10 were seeded in 6-well plates (Fisher Scientific). The cells were then then incubated at 37 °C with 5% CO₂ for 15 mins to allow seeding. Subsequently, 5×10^5 landing pad cells expressing the 406 407 S with mNG211 were then added dropwise to the seeded hACE2 cells. Both cells were filtered 408 through 40 µm cell strainer (VWR) prior to seeding. At 3-hour and 24-hour post-mixing, fusion 409 events in each well were qualitatively assessed with an ECHO Revolve epifluorescence 410 microscope (ECHO) in inverted format. Overlayed images were captured on white light and FITC 411 filter channels using an UPIanFL N 10X/0.30NA objective (Olympus) with identical light intensity 412 and exposure settings for all conditions. Cells in each well were then collected using 0.5 mM 413 EDTA, pelleted via centrifugation at $300 \times g$ for 5 mins at room temperature, and resuspended in 414 the FACS buffer. LSRII flow cytometry (BD) was used to quantify the fusion events of each sample. 415 Negative controls were measured first to set up proper gating strategies (Figure S5). Then, the 416 flow cytometry analysis was performed on 10⁵ live cells for each sample. Data were analyzed

using FCS Express 6 software (De Novo Software). The percentage of mNG2 positive population
of each sample were used for normalization (**Table S4**).

419

420 Flow cytometry analysis for the protein expression assay and antibody binding assay

421 Approximately 1×10^6 cells that carried the selected SARS-CoV-2 S NTD mutant were washed 422 with 1 \times PBS, harvested with warm versene and pelleted via centrifugation at 300 \times g for 5 mins 423 at room temperature. The cells were resuspended in the FACS buffer. Subsequently, cells were 424 incubated with 5 µg/mL of the selected antibodies at 4 °C with gentle shaking for 1 hour. Cells 425 were then washed once with ice-cold FACS buffer and incubated with 2 µg/mL PE anti-human 426 IgG Fc (BioLegend) at 4 °C with gentle shaking in the dark for 1 hour. Cells were washed once, 427 pelleted via centrifugation at $300 \times g$ for 5 mins at room temperature, and resuspended in ice-cold 428 FACS buffer. LSRII flow cytometry (BD) was used to measure the PE signal of each sample. 429 Negative controls were measured first to set up proper gating strategies (Figure S4 and S6). Then, the flow cytometry analysis was performed on 10⁵ singlets for each sample. Data were 430 431 analyzed using FCS Express 6 software (De Novo Software).

432

433 Normalization of the expression assay results

The mean fluorescence intensity (MFI) of the entire population was recorded for each sample, followed by the normalization as previously described⁴². For a given sample *i*, the following equation was used to compute the normalized expression (*NE*):

437
$$NE = \frac{MFI_i - MFI_{negative \ control}}{MFI_{wildtype} - MFI_{negative \ control}}$$
(6)

438 Normalizations were performed for each sample within a given biological replicate (**Table S4**).

439

440 **Recombinant expression and purification of soluble S protein**

441 SARS-CoV-2 S ectodomain with the PRRA motif in the furin cleavage site deleted and mutations K986P/V987P, which are known to stabilize the prefusion conformation and increase 442 expression^{26,47}, was cloned into a phCMV3 vector. The S ectodomain construct contained a 443 444 trimerization domain and a 6×His-tag at the C-terminal. Expi293F cells (Gibco), which were 445 maintained using Expi293 expression medium (Gibco), were used to express soluble S protein. Briefly, 20 µg of the plasmid was transfected into 20 mL of Expi293F cells at 3 × 10⁶ cells mL⁻¹ 446 447 using ExpiFectamine 293 Transfection Kit (Thermo Fisher Scientific) following the manufacturer's instructions. Transfected cells were then incubated at 37 °C, 8% CO₂ and shaking at 125 rpm for 448 6 days. Cell cultures were then harvested and centrifuged at 4000 $\times q$ at 4 °C for 15 mins. The 449 450 supernatant was clarified using a 0.22 µm polyethersulfone filter (Millipore). S protein in the 451 clarified supernatant was then purified using Nickel Sepharose Excel resin (Cytiva), with 20 mM 452 imidazole in PBS as wash buffer, and 300 mM imidazole in PBS as elution buffer. Three rounds 453 of 2 mL elutions were performed. The eluted protein was then concentrated and analyzed by 454 SDS-PAGE reducing gels (Bio-Rad) (Figure S7A). Concentrated protein solution was further 455 purified using Superdex 200 XK 16/100 size exclusion column (Cytiva) in 20 mM Tris-HCl pH 8.0 456 and 150 mM NaCl (Figure S7B). Selected elution fractions were combined and concentrated. 457 Final protein concentration was measured using NanoDrop One (Thermo Fisher Scientific).

458

459 **Protein thermostability assay**

460 5 μg of purified protein was mixed with 5× SYPRO orange (Thermo Fisher Scientific) in 20 mM 461 Tris-HCl pH 8.0, 150 mM NaCl at a final volume of 25 μL. The sample mixture was then transferred 462 into an optically clear PCR tube (VWR). SYPRO orange fluorescence data in relative fluorescence 463 unit (RFU) was collected from 10 °C to 95 °C using CFX Connect Real-Time PCR Detection 464 System (Bio-Rad). The temperature corresponding to the lowest point of the first derivative,

- -d(RFU)/dT, was defined as the melting temperature (T_m). Data were analyzed using OriginPro
- 466 2020b (Origin Lab). Raw data are shown in **Table S5**.
- 467

468 **Code availability**

- 469 Custom python and R scripts for data analysis and plotting in this study have been deposited to 470 https://github.com/nicwulab/SARS-CoV-2 NTD DMS.
- 471

472 **Data availability**

Raw sequencing data have been submitted to the NIH Short Read Archive under accession
number: BioProject PRJNA792013. Biological materials including plasmids, constructed NTD
mutant library as well as individual HEK293T landing pad cell lines are available by contacting
the corresponding author (N.C.W.). Source data are provided with this paper.

477

478 **ACKNOWLEDGEMENT**

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485

486 AUTHOR CONTRIBUTIONS

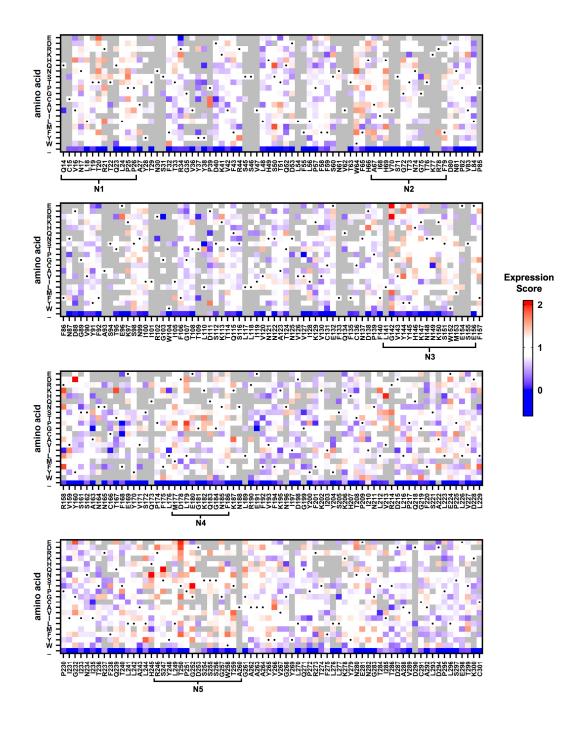
W.O.O, T.J.C.T., and N.C.W. conceived and designed the study. G.S. and R.A. provided the
CC40.8 antibody. K.A.M. provided the HEK293T landing pad cell line and assisted in experimental
design. W.O.O and T.J.C.T. performed the deep mutational scanning and flow cytometry
experiments. T.J.C.T. performed structural modelling using Rosetta. W.O.O, T.J.C.T., and R.L.

- 491 expressed and purified the recombinant proteins. W.O.O, T.J.C.T., and C.K. performed the
- 492 microscopy analysis. W.O.O, T.J.C.T., and N.C.W. performed data analysis. W.O.O., T.J.C.T.
- 493 and N.C.W. wrote the paper and all authors reviewed and/or edited the paper.
- 494

495 **COMPETING INTERESTS**

496 The authors declare no competing interests.

497 FIGURES



498

Figure 1. Effects of NTD single mutations on S protein expression. The expression scores
of individual NTD mutations are shown as a heatmap. X-axis represents the residue position. Yaxis represents different amino acids as well as the stop codon (). Amino acids corresponding

- 502 to the WT sequence are indicated by the black dots. Mutations with a total frequency of <0.0075%
- 503 were excluded from the analysis and shown in grey. Regions corresponding to the N1-N5 loops
- 504 were defined as previously described¹².

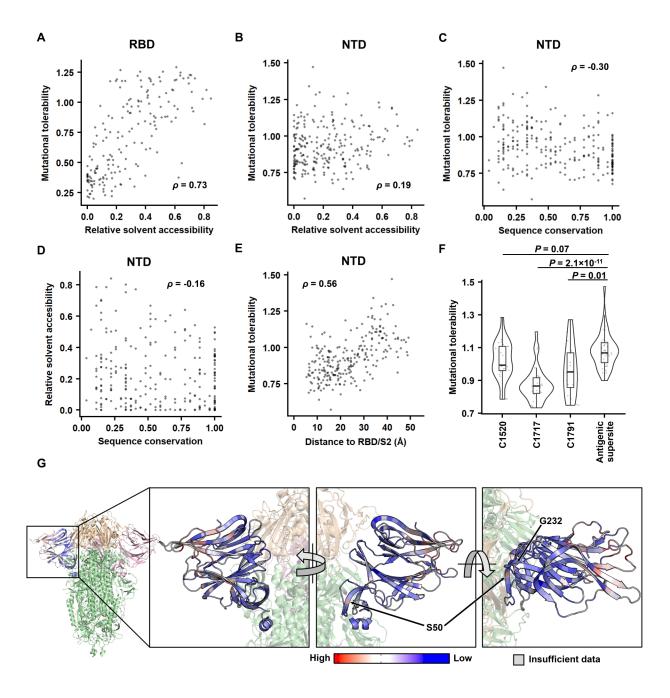
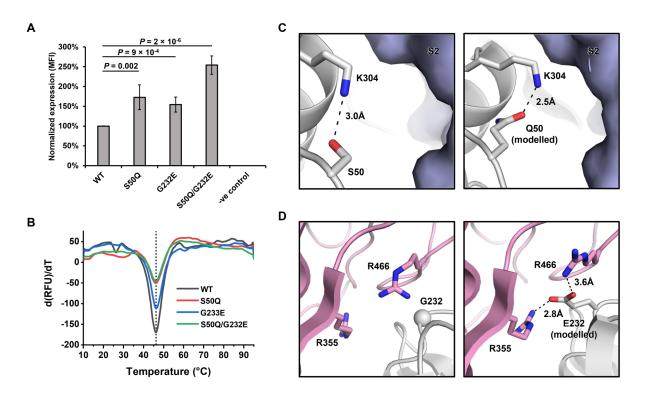




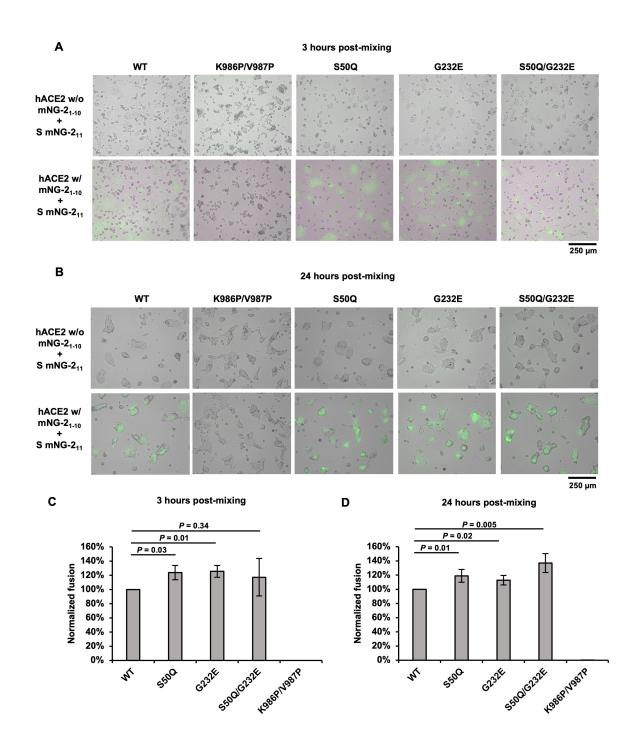
Figure 2. identifying the biophysical determinants of mutational tolerability. (A-B) The relationship between relative solvent accessibility (RSA) and the mutational tolerability is shown for **(A)** RBD and **(B)** NTD. The deep mutational scanning data on RBD expression was from a previous study⁴². **(C-D)** The relationship between sequence conservation among 27 sarbecovirus strains (**Table S6**) and **(C)** the mutational tolerability, or **(D)** RSA of each NTD residue is shown.

511 (E) The relationship between the distance to RBD/S2 and the mutational tolerability of each NTD 512 residue is shown. (A-E) Each datapoint represents one residue. The Spearman's rank correlation 513 coefficient (ρ) is indicated. (F) The mutational tolerability of residues within the cross-neutralizing 514 NTD antibody epitopes (C1520, C1717, C1791)¹⁶ is compared to that within the antigenic supersite¹⁴ using a violin plot. Each datapoint represents one residue. P-values were computed 515 516 by two-tailed t-test. (G) The mutational tolerability of each NTD residue is projected on one NTD of the S trimer structure (PDB 6ZGE⁴⁴ and PDB 7B62⁴⁵). Red indicates residues with higher 517 518 mutational tolerability, while blue indicates residues with lower mutational tolerability. Residues 519 with insufficient data to calculate mutational tolerability are colored in grey. Two residues of 520 interests, namely S50 and G232, are indicated. RBDs are colored in wheat, the two other NTDs 521 are in pink, and the rest of the S1 and S2 subunits are in green.





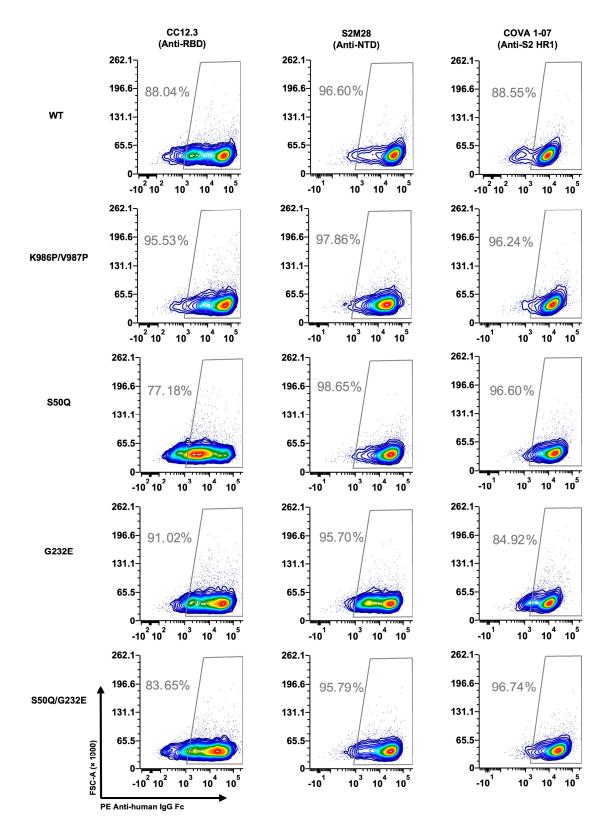
523 Figure 3. S50Q and G232E at the interdomain interface increase S protein expression. (A) 524 Cell surface S protein expression of WT and NTD mutants was quantified using flow cytometry 525 analysis with CC40.8 as the primary antibody. Untransfected HEK293T landing pad cells were 526 used as a negative control (-ve control). S protein expression level was defined as the mean 527 fluorescence intensity (MFI) of the positive gated population. S protein expression level was 528 normalized to WT. The error bar indicates the standard deviation of six independent experiments. 529 P-values were computed by two-tailed t-test. (B) Thermostability of WT S protein and selected 530 NTD mutants was measured using differential scanning fluorimetry. The black vertical dotted line 531 indicates the melting temperature of WT (T_m = 46.2 °C). (C-D) Rosetta-based structural modelling 532 of (C) S50Q and (D) G232E was performed using the structure of S protein (PDB 6ZGE)⁴⁵. The 533 three protomers of the S protein are colored in white, light blue, and pink. Potential interactions 534 are represented by black dashed lines with distance labeled.



535

Figure 4. S50Q, G232E, and S50Q/G232E do not diminish fusion activity. (A-B) Fluorescence
microscopy analysis of the fusion events at (A) 3-hour and (B) 24-hour post-mixing of cells
expressing S and mNG2₁₁ (S cells) and cells expressing hACE2 and mNG2₁₋₁₀ (hACE2 cells).
Cells with green fluorescence signals are the fused cells. Scale bars are shown at the bottom

- right corner. (C-D) Fusion activity of WT and selected NTD mutants at (C) 3-hour and (D) 24-hour
- 541 post-mixing was quantified using flow cytometry analysis. Fusion activity was normalized to WT.
- 542 The error bar indicates the standard deviation of at least four independent experiments. P-values
- 543 were computed by two-tailed t-test.



545 Figure 5. S50Q, G232E, and S50Q/G232E do not alter antibody binding. Three antibodies

544

- 546 targeting different domains on the S were tested for binding to cells expressing WT, K986P/V987P,
- 547 S50Q, G232E, or S50Q/G232E S protein. Binding was measured by flow cytometry analysis.
- 548 Gating was set up using untransfected HEK293T landing pad cells, which served as a negative
- 549 control (Figure S6).

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