# Title: Carbon isotope fractionation by an ancestral rubisco suggests biological proxies for CO<sub>2</sub> through geologic time should be re-evaluated

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- 35

# 36 Abstract

- 37 247 words
- 38
- 39 The history of Earth's carbon cycle reflects trends in atmospheric composition
- 40 convolved with the evolution of photosynthesis. Fortunately, key parts of the carbon
- 41 cycle have been recorded in the carbon isotope ratios of sedimentary rocks. The
- 42 dominant model used to interpret this record as a proxy for ancient atmospheric CO<sub>2</sub> is
- 43 based on carbon isotope fractionations of modern photoautotrophs, and longstanding
- 44 questions remain about how their evolution might have impacted the record. We tested
- the intersection of environment and evolution by measuring both biomass ( $\epsilon_p$ ) and
- 46 enzymatic ( $\epsilon_{Rubisco}$ ) carbon isotope fractionations of a cyanobacterial strain
- 47 (Synechococcus elongatus PCC 7942) solely expressing a putative ancestral Form 1B
- 48 rubisco dating to  $\gg$ 1 Ga. This strain, nicknamed ANC, grows in ambient pCO<sub>2</sub> and
- 49 displays larger  $\varepsilon_p$  values than WT, despite having a much smaller  $\varepsilon_{\text{Rubisco}}$  (17.23 ±
- 50 0.61% vs. 25.18  $\pm$  0.31% respectively). Measuring both enzymatic and biomass
- 51 fractionation revealed a surprising result—ANC  $\varepsilon_p$  exceeded ANC  $\varepsilon_{Rubisco}$  in all
- 52 conditions tested, contradicting prevailing models of cyanobacterial carbon isotope
- 53 fractionation. However, these models were corrected by accounting for cyanobacterial
- 54 physiology, notably the CO<sub>2</sub> concentrating mechanism (CCM). Our model suggested
- that additional fractionating processes like powered inorganic carbon uptake systems
- contribute to  $\varepsilon_p$ , and this effect is exacerbated in ANC. Understanding the evolution of
- 57 rubisco and the CCM is therefore critical for interpreting the carbon isotope record.
- 58 Large fluctuations in that record may reflect the evolving efficiency of carbon fixing
- 59 metabolisms in addition to changes in atmospheric  $CO_2$ .

# 60 Significance Statement

- 61 **116 words**
- 62
- 63 Earth scientists rely on chemical fossils like the carbon isotope record to derive ancient
- 64 atmospheric CO<sub>2</sub> concentrations, but interpretation of this record is calibrated using
- 65 modern organisms. We tested this assumption by measuring the carbon isotope
- 66 fractionation of a reconstructed ancestral rubisco enzyme (>1 billion years old) in vivo
- 67 and *in vitro*. Our results contradicted prevailing models of carbon flow in Cyanobacteria,
- 68 but our data could be rationalized if light-driven uptake of CO<sub>2</sub> is taken into account. Our
- 69 study showed that the carbon isotope record tracks both the evolution of photosynthesis
- 70 physiology as well as changes in atmospheric CO<sub>2</sub>, highlighting the value of considering

# both evolution and physiology for comparative biological approaches to understanding Earth's history.

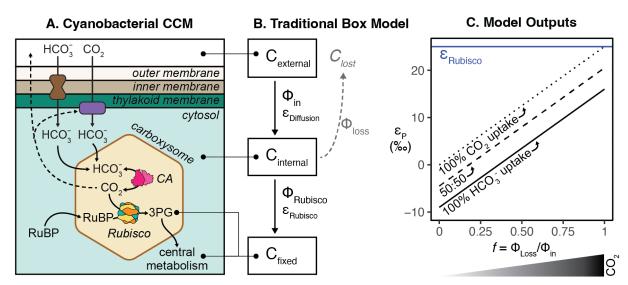
# 73 Main Text

#### 74 Introduction

75 Photoautotrophs have evolved over geologic time to harness energy from the sun in 76 order to 'fix' external, inorganic carbon ( $C_i$ ) into reduced, organic carbon ( $C_0$ ), thereby creating 77 biomass for growth. Today, and likely for much of Earth's history (1), the most widespread 78 strategy for carbon fixation is the Calvin-Benson-Bassham (CBB) Cycle, where the key carbon 79 fixation step is catalyzed by ribulose-1,5-bisphosphate (RuBP) carboxylase/oxygenase (rubisco) 80 (2, 3). But rubisco's central role in the CBB cycle and oxygenic photosynthesis poses a 81 conundrum because it is usually considered to be a non-specific and slow enzyme. The first 82 issue concerns rubisco's dual carboxylase and oxygenase activities; the RuBP intermediate 83 (enediolate) is susceptible to both  $O_2$  and  $CO_2$  attack (4). Consequently, instead of fixing a  $CO_2$ 84 molecule during photosynthesis, rubisco can instead assimilate O<sub>2</sub> to yield 2-phosphoglycolate (2-PG), which is not part of the CBB cycle and therefore must be salvaged through 85 86 photorespiratory pathways that consume ATP, reducing power, and carbon (5). The second issue concerns rubisco's maximum carboxylation rate ( $V_c$ ), which is  $\approx$ 7-10 times slower than 87 88 other central metabolic enzymes (6), and displays very limited variation across large 89 phylogenetic distances (7). 90 Both issues—its dual carboxylase / oxygenase activity and limited maximum 91 carboxylation rate—are typically rationalized by considering its evolutionary history in the 92 context of long-term changes in environmental CO<sub>2</sub> and O<sub>2</sub> concentrations. Rubisco is thought 93 to have evolved at a time when there was trace O<sub>2</sub> and much higher CO<sub>2</sub> concentrations in the 94 atmosphere, in contrast to the modern atmosphere where  $O_2$  is roughly 20% while  $CO_2$  is only 95 about 0.04% by partial pressure (1). In addition, rubisco is thought to have been the primary 96 carboxylating enzyme of global photosynthesis since the Great Oxygenation Event, and 97 potentially far prior (1). 98 Likely in response to these changing environmental concentrations, many aquatic 99 photoautotrophs have evolved CO<sub>2</sub> concentrating mechanisms (CCMs) that concentrate CO<sub>2</sub> 100 around rubisco in order to enhance carboxylation and suppress oxygenation. Even with CCMs, 101 the effective *in vivo* rates of extant rubiscos are estimated to be much lower (≈1% for terrestrial 102 and ≈15% for marine rubiscos) than their maximal catalytic rates observed in lab at 25°C, likely 103 due to rubisco not working at night and the lower temperature of marine environments (2). 104 However, all known Cyanobacteria today have CCMs, as do many bacterial 105 chemolithoautotrophs, many aquatic algae, and some plants (8). The bacterial CCM has two 106 main components: i)  $C_i$  pumps producing high cytosolic HCO<sub>3</sub><sup>-</sup> concentrations, and ii) co-107 encapsulation of carbonic anhydrase (CA) and rubisco inside proteinaceous organelles known 108 as carboxysomes (Figure 1A) (9–11). These powered C<sub>i</sub> pumps include BCT1 (ATP-dependent

109	powered HCO <sub>3</sub> transporter), SbtA (Na <sup>,</sup> /HCO <sub>3</sub> symporters), BicA (Na-dependent HCO <sub>3</sub>
110	transporter), NDH-1MS and NDH-1MS' (NADPH-dependent powered CO₂ uptake; see (12) for
111	review). There are competing arguments in the literature for when the CCM evolved, ranging
112	from the Proterozoic to the Phanerozoic Eon (8, 13). Therefore, for up to half of Earth's history,
113	bacterial rubiscos have functioned in concert with a system that pumps C <sub>i</sub> into and around the
114	cell.
115	However, rationalization of rubisco's evolutionary history is highly dependent on our
116	understanding of past environments, e.g. atmospheric $CO_2$ and $O_2$ concentrations. For the vast
117	majority of Earth's history, we must rely on chemical fossils like the carbon isotope record for
118	this purpose. The carbon isotope record is composed of measurements of the relative ratios of
119	<sup>13</sup> C to <sup>12</sup> C isotopes in C-bearing phases of sedimentary rocks over time. Contemporaneous C <sub>i</sub>
120	pools are preserved as carbonate salts (like limestones and dolomites), while contemporaneous
121	biomass and $C_{\circ}$ pools are preserved in the organic-rich components (typically kerogen) of many
122	different lithologies and are measured as rock total organic carbon (TOC) (14). The difference in
123	C-isotope ratios between organic samples and an inorganic reference is typically reported using
124	delta notation ( $\delta^{13}$ C) and expressed in per mil (‰, see Methods). Currently, carbon isotope data
125	has been assembled globally from myriad environments to cover ≈3.8 billion years (Ga) of
126	Earth's 4.5 Ga history; this data is viewed as a record of both inorganic and organic carbon
127	cycle processes over geologic time (15).
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130 Figure 1: Comparing the Cyanobacterial CO<sub>2</sub> Concentrating Mechanism (CCM) to the traditional

131 box model of photosynthetic C isotope discrimination. A) Cyanobacterial CCMs rely on i) active C<sub>i</sub>

132 uptake into the cell, and ii) co-encapsulation of carbonic anhydrase (CA) and rubisco within the

133 carboxysome. Independent, powered transporters for HCO<sub>3</sub><sup>-</sup> and CO<sub>2</sub> are shown in brown and purple;

134 both work to increase cytosolic concentrations of HCO<sub>3</sub><sup>-</sup> (see (12) for review). All CCM components work

135 to produce a high carboxysomal CO<sub>2</sub> concentration that enhances CO<sub>2</sub> fixation by rubisco and

136 suppresses oxygenation. Limited CO<sub>2</sub> escapes from the carboxysome – some is scavenged by CO<sub>2</sub>

137 pumps while the rest leaves the cell. B) Architecture of the traditional box model based on (16–19); see

138 Supplemental for full discussion of this model. Boxes denote carbon pools of interest, and fluxes between

139 boxes are denoted by  $\Phi$ . Each flux has its own isotopic fractionation denoted by  $\epsilon$ ; no fractionation is

140 assumed for  $\Phi_{loss}$ . Model assumes an infinitely large external carbon pool, that carbon not fixed by 141 rubisco (Clost) returns to this pool, and that fluxes are at steady state. Note that this architecture does not 142 include a box for the carboxysome. C) Model solution for the traditional model is  $\epsilon_{\rm P} = a^* \epsilon_{\rm equil} + f^* \epsilon_{\rm Rubisco}$ where where  $\epsilon_P$  is defined as the difference in  $\delta^{13}C$  of C<sub>external</sub> and C<sub>fixed</sub>, f is defined as the fraction of C<sub>i</sub> 143 144 lost ( $\Phi_{loss}/\Phi_{in}$ ), and a is the fractional contribution of HCO<sub>3</sub><sup>-</sup> to total C<sub>i</sub> uptake. When a = 0, all C<sub>i</sub> uptake is 145 as CO<sub>2</sub> (dotted line); when a = 1, all C<sub>i</sub> uptake is as HCO<sub>3</sub> (solid line). This model is presented in (20), 146 which is a generalization of (21) that accounts for the fact that C<sub>i</sub> uptake ( $\Phi_{in}$  in Panel B) ranges in 147 composition between CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> based on which C<sub>i</sub> uptake system is used. Values of  $\epsilon_{Rubisco}$  = 25‰ 148 and  $\varepsilon_{equil} = -9\%$  were used for this illustration (22). Model outputs indicate that at high external CO<sub>2</sub> 149 concentrations (dark wedge under graph) there is excess CO<sub>2</sub> that rubisco cannot use, causing net C<sub>1</sub> 150 leakage (larger f values) from the cell. 151 The carbon isotope record is particularly important for constraining ancient atmospheric 152 153 pCO<sub>2</sub> (23, 24) because direct observations of the past atmosphere from ice cores only extends 154 back  $\approx$ 1 million years (25). One notable feature of the record from  $\approx$ 3.8 Ga to the present is that the  $\delta^{13}$ C of C<sub>0</sub> is depleted in <sup>13</sup>C by  $\approx$ 25‰ compared to C<sub>i</sub> (14, 15, 26), and this offset roughly 155 156 matches the carbon isotopic fractionation of known carbon-fixing metabolisms and enzymes in 157 the modern. (Note that the convention for reporting  $\varepsilon$  in this field is the opposite of other geochemistry fields – here, a negative value indicates a relative <sup>13</sup>C enrichment, in contrast to 158 other fields where negative values mean <sup>13</sup>C depletion.) Rubisco displays a kinetic isotope effect 159 160 (KIE) where it preferentially fixes  ${}^{12}CO_2$  over  ${}^{13}CO_2$  due in part to the V<sub>C</sub> being slightly faster for 161 <sup>12</sup>CO<sub>2</sub> than <sup>13</sup>CO<sub>2</sub> (27), which causes the reaction product, 3-phosphoglycerate (3-PG), to be 162 relatively depleted in <sup>13</sup>C by several percent (tens of ‰) relative to the isotopic composition of 163 the initial CO<sub>2</sub> substrate. The difference in  $\delta^{13}$ C of the CO<sub>2</sub> substrate and the 3-PG product is 164 typically reported as  $\varepsilon_{\text{Rubisco}}$  and varies between 18-30‰ for several extant rubiscos (26, 28), 165 with the exception of the coccolithophore *Emiliania huxleyi* with  $\varepsilon_{\text{Rubisco}} \approx 11\%$  (29). Because all biomass is synthesized from 3-PG in autotrophs utilizing the CBB cycle, biomass is depleted in 166 167 <sup>13</sup>C compared to external C<sub>i</sub> pools and the magnitude of this difference is called  $\epsilon_{\rm P}$ . There is an 168 additional fractionation factor associated with the preservation of biomass and C<sub>i</sub> as rocks, so 169 the magnitude of fractionation between  $C_i$  and  $C_0$  pools measured from the rock record is 170 termed  $\varepsilon_{TOC}$  and varies slightly from  $\varepsilon_P$  (30). Therefore, if one can accurately derive  $\varepsilon_P$  from the 171 rock record ( $\varepsilon_{TOC}$ ) and pair it with some model relating  $\varepsilon_{P}$  to pCO<sub>2</sub>, one could learn about both 172 the evolution of photosynthetic physiology and abiotic changes in the carbon cycle over geologic 173 time. 174 The dominant model used today for this purpose ("C Isotope Record Model," Figure S7) 175 is:  $\epsilon_p = \epsilon_f - \frac{b}{[CO_2(aq)]}$  (Equation 1) 176 177  $\epsilon_{\rm f}$  is the maximum possible isotopic fractionation of photosynthetic carbon fixation and is typically set to  $\varepsilon_{\text{Rubisco}}$ . The term b (‰ kg  $\mu$ M<sup>-1</sup>) is empirically fit from pure culture experiments of 178 179 eukaryotic and bacterial algae, and encompasses all physiologic effects that may affect isotopic 180 fractionation like the CCM, growth rate, cell size and geometry, membrane permeability, growth 181 media composition (e.g. pH, salinity, limiting nutrient), strain genetics and physiological state

182	(31–35). [CO <sub>2</sub> (aq)] is the concentration of dissolved CO <sub>2</sub> in solution around the cells, and in the
183	limit of high [CO <sub>2</sub> (aq)], the term $b/[CO_2(aq)]$ goes to zero and $\epsilon_P = \epsilon_f$ , which is assumed to be
184	$\epsilon_{Rubisco}$ . Therefore, the maximum value of $\epsilon_{P}$ is $\epsilon_{Rubisco}$ , and the term b sets how quickly $\epsilon_{P}$
185	approaches the limit of ε <sub>Rubisco</sub> .
186	The C Isotope Record model has such a limit because the laboratory studies of plants
187	and algae that it is based on ("Traditional model," Figure 1) shows such a limit. The traditional
188	model was originally based on studies of carbon isotope fractionation in plants (dotted line in
189	"Traditional model" in Figure 1C; all $C_i$ uptake is as $CO_2$ for plants) and was later adapted to
190	eukaryotic and bacterial algae. The primary architecture of this model stems from a seminal
191	study by Park and Epstein (18) who proposed a "two step model" to explain $\epsilon_P$ of tomato plants
192	grown in varied CO <sub>2</sub> concentrations and light levels. In this model, carbon can be viewed as
193	residing in one of three pools, or "boxes" (Figure 1B) - $C_i$ outside the cell ( $C_{ext}$ ), $C_i$ inside the cell
194	( $C_{internal}$ ), or $C_o$ as biomass ( $C_{fixed}$ ). A "leakiness" term, $f$ , is defined as the ratio of fluxes ( $\Phi$ ) of $C_i$
195	exiting or entering the plant, where all of the $C_i$ that entered the cell is lost when $f=1$ . In this
196	simplified model, $\varepsilon_p$ is determined by the isotopic effect of two distinct steps: i) the diffusion of
197	CO <sub>2</sub> into the plant ( $\epsilon_{\text{Diffusion}}$ ; <1‰ across a diaphragm cell in water at 25°C (36)); and ii) the
198	carbon fixation step catalyzed by rubisco (ε <sub>Rubisco</sub> ; ≈18-30‰). Notably, Park and Epstein
199	proposed that the isotopic fractionations of these two steps are not additive <i>in vivo</i> (i.e. $\epsilon_p \neq$
200	ε <sub>Diffusion</sub> + ε <sub>Rubisco</sub> ) but instead reflects the process by which photosynthesis is limited, either
201	diffusion of CO <sub>2</sub> into the cell ( $\epsilon_p = \epsilon_{Diffusion}$ ) or CO <sub>2</sub> fixation by rubisco ( $\epsilon_p = \epsilon_{Rubisco}$ ) (18).
202	This physiological interpretation results from the model solution, which is usually solved
203	by assuming steady state and results in a linear relationship between $\varepsilon_p$ and f where the
204	minimum and maximum $\epsilon_p$ values are $\epsilon_{Diffusion}$ and $\epsilon_{Rubisco}$ respectively (Figure 1C). This allows
205	experimentally measured values of $\varepsilon_p$ to then be used to solve for CO <sub>2</sub> leakage ( <i>f</i> , Figure 1C).
206	Therefore, the corresponding physiological interpretations at the minimum and maximum model
207	limits are when $\epsilon_p \approx \epsilon_{Diffusion}$ , nearly all carbon entering the cell is used and with this mass
208	balance constraint rubisco's $^{12}C$ preference is not "expressed"; conversely, when $\epsilon_{p} \approx \epsilon_{Rubisco}$ ,
209	very little of the carbon entering the cell is fixed (f $pprox$ 1, i.e. nearly all of the carbon leaks from the
210	<mark>cell</mark> ) and rubisco can "choose" between <sup>12</sup> C and <sup>13</sup> C substrates so that rubisco's KIE is fully
211	expressed. Farquhar et al. (19) later derived a relationship between $\epsilon_p$ and the ratio of external
212	vs. intracellular CO <sub>2</sub> partial pressures, allowing CO <sub>2</sub> concentrations at the site of rubisco to be
213	estimated from $\epsilon_{p.}$ Therefore, given the assumption that C <sub>i</sub> is taken up passively, it is possible to
214	derive an increasing relationship between $C_{\text{ext}}$ and $\epsilon_{\text{P}}$ from this model, where large $\epsilon_{\text{P}}$ suggests
215	that high external $CO_2$ concentrations are creating excess $CO_2$ at rubisco and ultimately causing
216	more $CO_2$ to leak out of the cell than can be fixed (see Supplemental and (17)).
217	This model was later adapted to algae to account for CCMs – mainly active uptake of C <sub>i</sub>
218	as HCO <sub>3</sub> <sup>-</sup> and/or CO <sub>2</sub> – and physiological parameters including growth rate and cell geometry
219	(21, 31, 32, 37, 38). These studies grew eukaryotic and bacterial algae in a range of $pCO_2$ and
220	culturing conditions to test if the linear relationship between $\epsilon_p$ and [CO <sub>2</sub> ] observed in plants still
221	holds. Interestingly, cyanobacterial $\epsilon_p$ was found to be roughly constant independent of
222	environmental pCO <sub>2</sub> and growth rate (31). In addition, because measured cyanobacterial $\varepsilon_p$

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223	values were less than known cyanobacterial <i>ɛ<sub>Rubisco</sub></i> values, additional isotopic fractionation
224	factors were not needed to explain $\varepsilon_p$ , even though some active C <sub>i</sub> transport processes, which
225	may fractionate carbon isotopes, were known in Cyanobacteria at the time (39–41). Therefore,
226	though different versions of this model exist, all variations essentially modified the plant model
227	by shifting the y-intercept of Fig. 1C to account for uptake of HCO <sub>3</sub> <sup>-</sup> in addition to CO <sub>2</sub> . If C <sub>1</sub>
228	entering the cell is primarily CO <sub>2</sub> , the model effectively represents plants (dotted line in Fig. 1C).
229	If C <sub>i</sub> entering the cell is primarily HCO <sub>3</sub> <sup>-</sup> through active uptake, as in many algae, all values are
230	<mark>shifted to lower ε<sub>p</sub> values (solid line in Fig. 1C) because of the equilibrium isotopic effect (ε<sub>equil</sub>)</mark>
231	between CO₂ and HCO₃⁻ (≈ -9‰ (22)). In Figure 1C, we plot the traditional model as derived in
232	Eichner et al. (20), which is an adaptation of (21) :
233	$\epsilon_P = f * \epsilon_{Rubisco} + a * \epsilon_{equil}$ (Equation 2)
234	Here $\epsilon_{equil}$ is the equilibrium isotope effect, and <i>a</i> is the fraction of C <sub>i</sub> entering the cell as
235	CO <sub>2</sub> ( $a=0$ ) or HCO <sub>3</sub> <sup>-</sup> ( $a=1$ ); the diffusion isotope effect ( $\epsilon_{\text{Diffusion}}$ ) is considered negligible. See
236	Supplementary Information for further discussion of the "traditional" model.
237	Overall, current models relating pCO <sub>2</sub> and autotrophic carbon isotope fractionation have
238	a limit where $\epsilon_p$ cannot exceed $\epsilon_{Rubisco}$ (Figure 1C). Yet, the largest $\epsilon_P$ values observed in the
239	Archaean Eon exceed 30‰ (14, 15) and also exceed all current measurements of $\epsilon_{Rubsico}$ (for
240	recent compilation see (26)). In addition, recent studies in dinoflagellates have shown that $\epsilon_p$
241	can regularly exceed $\epsilon_{Rubsico}$ under certain growth conditions (for review see (28)), and detailed
242	studies of Cyanobacteria imply that leakage estimates derived from $\epsilon_p$ are not physiologically
243	possible (20). These studies have therefore motivated updated models of carbon isotope
244	fractionation in algae that account for the isotopic fractionations associated with different Ci
245	uptake mechanisms (20, 28).
246	In addition to taking modern physiology into account, it is also important to understand
247	how the evolution of rubisco and the CCM may have affected the carbon isotope composition of
248	biomass and therefore $\delta^{13}$ C values of C $_{ m o}$ preserved in the rock record. Recent studies have
249	addressed this issue directly by testing model organisms that may better resemble an ancestral
250	counterpart, including a cyanobacterial strain lacking a CCM (42), a cyanobacterial strain that
251	overexpresses rubisco (43), and a cyanobacterial strain expressing an inferred ancestral
252	rubisco dating from ≈1-3 Ga (44, 45).
253	Here, we measured the $\epsilon_p$ of a control strain of <i>Synechococcus elongatus</i> PCC 7942
254	expressing the wild-type rubisco (NS2-KanR, referred to as 'WT', see Methods), as well as a
255	strain, 'ANC', engineered to express an inferred ancestral Form 1B enzyme (dating to >1 Ga) as
256	its sole rubisco (46) in varied CO <sub>2</sub> and light conditions. This putative ancestral rubisco was
257	previously purified and its kinetics were characterized in vitro. Its sequence was then inserted
258	into the genome of a modern cyanobacterium, though the genome of the strain in that study
259	contained both extant and ancestral rubisco sequences (46). Here we study a strain where the
260	extant sequence has been fully deleted and replaced with the reconstructed ancestral
261	sequence. In addition, we measured $\epsilon_{Rubsico}$ of the present-day and ancestral rubiscos <i>in vitro</i> .
262	We observed that: i) biomass $\epsilon_p$ is greater for ANC than for its WT counterpart for all conditions
263	tested, even though ANC $\epsilon_{Rubsico}$ (17.23 ± 0.61‰) is considerably less than WT $\epsilon_{Rubsico}$ (25.18 ±
264	0.31‰); ii) ANC $\epsilon_p$ exceeds $\epsilon_{Rubsico}$ in all tested conditions even though the traditional model sets
265	the maximum possible $\epsilon_p = \epsilon_{Rubsico}$ ; iii) ANC $\epsilon_p$ increases with light levels while WT $\epsilon_p$ increases

with CO<sub>2</sub>; iv) ANC displays a growth defect at ambient  $pCO_2$  that is rescued at high  $pCO_2$ ; and

v) ANC growth is severely inhibited in high light. Consistent with recent studies of eukaryotic

algae (20, 28), ANC  $\varepsilon_p$  exceeding  $\varepsilon_{Rubsico}$  implies that the traditional box model is incomplete and

269 additional isotopic fractionations are needed. In addition, modulation of  $\varepsilon_p$  with light suggests 270 that aspects of cyanobacterial physiology beyond the CBB cycle must be taken into account to

271 explain how  $\varepsilon_{\rm p}$  can vary independently of CO<sub>2</sub>. We posit additional factors related to C<sub>i</sub> uptake

- 272 that might explain fractionation measurements that deviate from box model predictions in both
- 272 that might explain fractionation measurements that deviate from bo 273 extant and ancient organisms.

# 274 Results & Discussion

#### 275 Ancestral rubisco enzyme fractionates less than WT rubisco enzyme

276 We measured the carbon isotope fractionations of WT and ANC rubiscos in vitro using 277 the substrate depletion method ((47–50); see Methods). The kinetics of this putative ancestral 278 rubisco were previously characterized in vitro and are summarized in Table 1 (46). Previous 279 work on rubisco isotope discrimination predicted that  $\varepsilon_{\text{Rubsico}}$  should correlate positively with 280 specificity ( $S_{C/O}$ ), a unitless measure of the relative preference for CO<sub>2</sub> over O<sub>2</sub> (51). We 281 therefore expected ANC and WT ERUbsico values to be the same within uncertainty because of 282 their similar S<sub>C/O</sub> values, but found that ANC  $\varepsilon_{Rubsico}$  (17.23 ± 0.61‰) was about 8‰ less than 283 WT  $\epsilon_{\text{Rubsico}}$  (25.18 ± 0.31‰, Table 1).

284

Rubisco	ε <sub>Rubisco</sub> (‰)	V <sub>c</sub> (s <sup>-1</sup> )	$K_{c}^{Air}$ ( $\mu M$ )	V <sub>C</sub> /K <sub>C</sub> <sup>Air</sup> (s <sup>-1</sup> mM <sup>-1</sup> )	S <sub>c/o</sub>
Ancestral Form 1B	17.23 ± 0.61	4.72 ± 0.14	168.7	28	49.6 ± 1.8
Modern Form 1B	25.18 ± 0.31*	9.78 ± 0.48*	184.1*	53.1*	50.3 ± 2.0*

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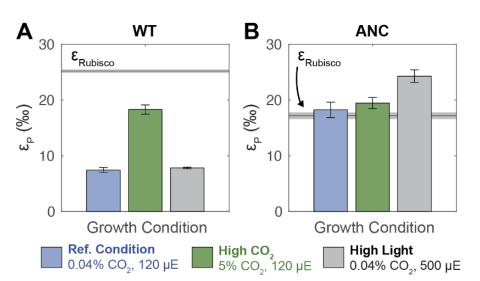
**Table 1: Rubisco characteristics.** Starred values (\*) for the modern Form 1B were measured in rubiscos purified from *Synechococcus* sp. PCC 6301, which has the same small and large subunit (*RbcS*, *RbcL*) sequences as our working WT strain, *Synechococcus* sp. PCC 7942 (46). Kinetic isotope effect ( $\varepsilon_{Rubisco}$ , avg. ± s.e.) was measured in this study using the substrate depletion method (47–50). Carboxylation turnover rate under substrate-saturated conditions (Vc); Michaelis constant for CO<sub>2</sub> in ambient levels of O<sub>2</sub> (Kc<sup>Air</sup>); the catalytic efficiency towards CO<sub>2</sub> in ambient air (Vc/Kc<sup>Air</sup>); and specificity, a unitless measure of the relative preference for CO<sub>2</sub> over O<sub>2</sub>; (Sc/o) are from (46).

## 293 The ANC strain fractionates more than WT

Working in *S.elongatus* PCC 7942, we produced a mutant strain lacking the native Form 1B rubisco and expressing instead an ancestral Form 1B rubisco produced by computational ancestral sequence reconstruction (46) as its sole rubisco enzyme. We then grew this strain, termed ANC, and a control strain, termed wild-type or 'WT' (see Methods), in a variety of light and CO<sub>2</sub> levels: i) A reference condition (ambient pCO<sub>2</sub> of 0.04% v/v, standard light flux (120  $\mu$ E)); ii) High CO<sub>2</sub> (5% pCO<sub>2</sub>, 120  $\mu$ E); iii) High light (0.04% pCO<sub>2</sub>, 500  $\mu$ E). The CO<sub>2</sub> gas at ambient and high CO<sub>2</sub> conditions had  $\delta^{13}$ C values of -12.46‰ and -36.84‰ respectively. 301 Counter to expectations based on  $\varepsilon_{Rubisco}$  (Table 1), ANC  $\varepsilon_p$  was as large or larger than 302 WT  $\varepsilon_{p}$  in all conditions tested (Figure 2). This was consistent with recent results from a similar 303 ancestral analog, where the ancestral analog's  $\varepsilon_p$  values exceeded WT in ambient and elevated 304  $CO_2$  levels (44). In this study, the highest ANC  $\varepsilon_p$  values were observed for cultures grown in 305 high light, where growth was comparatively slow (doubling time  $\approx$  50 hours, Figure 3 and Table 306 S3). ANC  $\varepsilon_p$  values were also modulated by light and CO<sub>2</sub> differently than WT. Compared to the 307 reference condition, WT  $\varepsilon_P$  values were indifferent to high light and only increased in high CO<sub>2</sub> (Figure 2A). In contrast, ANC  $\varepsilon_P$  values did not increase in high CO<sub>2</sub> and only increased in high 308 309 light (Figure 2B). This result contrasted with the ancestral analogue in (44) where  $\varepsilon_{P}$  values 310 increased by  $\approx 10\%$  at 2% CO<sub>2</sub>.

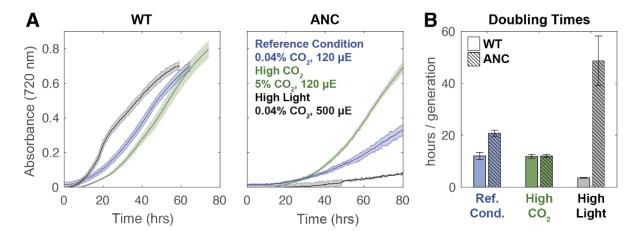
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**Figure 2: Whole cell carbon isotope fractionation by WT and ANC strains.**  $\epsilon_P$  (‰) values (avg. ± s.e.) for A) WT and B) ANC strains across growth conditions.. For each strain, the maximum  $\epsilon_P$  possible based on the traditional model ( $\epsilon_P = \epsilon_{Rubisco}$ ) is shown as a gray line (avg. ± s.e.). Most measured ANC  $\epsilon_P$  values exceed the theoretical limit ( $\epsilon_P > \epsilon_{Rubisco} + s.e.$ ), while WT  $\epsilon_P$  values do not ( $\epsilon_P < \epsilon_{Rubisco}$ ). WT  $\epsilon_P$  values increase in response to elevated CO<sub>2</sub> concentrations, while ANC  $\epsilon_P$  values increase in response to elevated light flux. See Table S3 for full results.

- 319 320 In addition, the traditional box model described above cannot accommodate  $\varepsilon_p$  values in 321 excess of  $\varepsilon_{Rubsico}$  (Figure 1C). However, average ANC  $\varepsilon_P$  values exceeded ANC  $\varepsilon_{Rubsico}$  in all 322 growth conditions (Figure 2), particularly under high light conditions where the largest difference 323 was seen ( $\varepsilon_p = 24.30 \pm 0.12\%$  vs  $\varepsilon_{Rubsico} = 17.23 \pm 0.61\%$ ). The traditional box model also states 324 that  $\varepsilon_P$  values are solely modulated by changing external pCO<sub>2</sub> concentrations, which cannot 325
- 325 accommodate the ANC  $\epsilon_P$  observations.



#### 326 Ancestral rubisco strain grows at ambient CO<sub>2</sub> concentrations

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Figure 3: Growth curves for WT and ANC strains across experimental conditions. A) Averaged growth curves shown for WT and ANC strains to 80 hours, colored by growth condition as indicated in figure. Data was smoothed with a rolling median (Methods); see full ANC growth curves in Supplemental Fig. S12. B) Average doubling times with standard deviations. See Supplemental for details of doubling time calculation. ANC displayed a growth defect relative to the WT at the reference condition, which was rescued by high CO<sub>2</sub>. ANC grew slowest in high light, while WT grew fastest in that condition.

335 Remarkably, the ANC strain managed to grow in ambient pCO<sub>2</sub> and standard light 336 conditions (Figure 3A), even though the ancestral rubisco has a  $V_{\rm C}$  roughly half that of WT 337 (Table 1). This implies that its rubisco enzyme is properly encapsulated in the carboxysome. 338 since it is well established that improper carboxysome formation greatly inhibits growth in 339 ambient  $pCO_2$  (52, 53). Mutant strains that are unable to form carboxysomes cannot grow in 340 ambient air (53). Indeed, electron micrographs of WT and ANC cells grown in ambient  $CO_2$  and 341 light conditions (Methods) show multiple carboxysomes per cell in both strains (Figure 4 and 342 Figure S13). Rubisco density can be seen within some of the carboxysomes (Figure 4C). In 343 addition, the rubisco residues necessary for protein interactions mediating  $\beta$ -carboxysome 344 encapsulation were recently identified (54). We aligned WT and ANC rubisco sequences and 345 found that fourteen of the sixteen residues are conserved in the ancestral sequence (Tables S8-346 9. Figure S14). In addition, WT and ANC strains harvested during exponential growth in the 347 reference condition exhibit similar photosystem stoichiometry, as indicated by absorbance 348 spectra (Figure S15). Taken together, these data indicated that carboxysomes form in ANC and 349 the ancestral rubisco is encapsulated within these structures. Further strengthening our 350 inference that the ancestral sequence is compatible with  $\beta$ -carboxysome formation, a similar 351 ancestral analogue was also found to grow in ambient air (44). 352

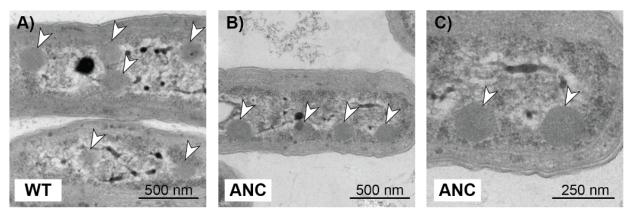




Figure 4: WT and ANC strains both produce carboxysomes at ambient pCO<sub>2</sub>. Transmission electron
micrographs of WT (A) and ANC (B,C) strains that were harvested during exponential growth in the
reference condition (ambient pCO<sub>2</sub>, standard light flux). Both strains show multiple carboxysomes per cell,
as indicated by white arrows, and carboxysomes exhibit the typical hexagonal shape (53). C) is the same
image as in B) but magnified to show that rubisco density seen can be within the carboxysomes of ANC.
The dark internal body in A) is likely a polyphosphate body (55). See Figure S13 for additional images.

361 In addition, the difference in  $V_c$  between the ancestral and modern rubiscos was 362 mirrored in the doubling times of WT and ANC strains (Figure 3B, Table S3), where ANC 363 doubling times were roughly twice that of WT in the reference condition (20.8  $\pm$  1.2 vs. 12.0  $\pm$ 364 1.4 hours respectively). In addition, the carboxylation efficiency in ambient air (V<sub>C</sub>/K<sub>C</sub><sup>Air</sup>) for the 365 ancestral Form 1B rubisco, which measures the enzyme's ability to carboxylate in conditions 366 with low CO<sub>2</sub> and relatively high O<sub>2</sub>, is roughly half that of the modern Form 1B rubisco as well 367 (Table 1). This suggested that ANC's growth was limited by its ability to fix CO<sub>2</sub> from ambient 368 air. This growth defect was ameliorated by high pCO<sub>2</sub>, where doubling times for both strains 369 were the same within uncertainty (WT  $11.8 \pm 0.8$  hours; ANC  $12.0 \pm 0.6$  hours), though we 370 observed a longer lag phase for ANC. WT doubling times were the same within uncertainty for 371 the reference and high CO<sub>2</sub> conditions (12.0  $\pm$  1.4 vs. 11.8  $\pm$  0.8 hours respectively), consistent 372 with previous studies where increased pCO<sub>2</sub> did not affect growth rate (56). In contrast, elevated 373 CO<sub>2</sub> greatly accelerated the growth of ANC, reducing its doubling time from  $\approx$ 21 to  $\approx$ 12 hrs, 374 supporting our inference that CO<sub>2</sub> availability is limiting the growth of ANC and implicating the 375 CCM in its growth defect. Consistent with our results, a similar ancestral Form 1B analogue

displayed total carboxylase activity roughly half that of the modern Form 1B (57).
We observed the greatest differences in doubling times between ANC and WT when the

378 strains were grown at high light (Figure 3, Table S3). In these conditions, WT cultures were a

379 dark, blue-green color typical of healthy cyanobacterial cells while ANC cultures were yellow-

380 green (Fig. S11), suggesting degradation of phycobilisomes via a known starvation pathway to

reduce the cell's capacity for light harvesting and photochemical electron transport (58). We
 therefore infer that ANC could not fix CO<sub>2</sub> at a rate matching its light harvesting capability, and

hence invoked this regulatory pathway to decrease light harvesting capacity. WT, in contrast,

384 grew rapidly in the high light condition.

#### 385 Proposed influence of a light-powered, vectoral carbonic-anhydrase

386 As discussed above, recent studies in extant bacterial and eukaryotic algae have shown 387 that  $\varepsilon_{p}$  can regularly exceed  $\varepsilon_{\text{Rubsice}}$  under certain growth conditions (for review see (28)), 388 motivating updated models of carbon isotope fractionation in both eukaryotic and bacterial algae 389 (20, 28, 59). These models suggested that  $\varepsilon_{\rm P}$  values could only be explained if another enzyme 390 acting as a CA catalyzing an energy-coupled vectoral hydration of intracellular  $CO_2$  to  $HCO_3^-$ 391 was taken into account, since this reaction is calculated to have a large isotopic effect and would therefore allow  $\varepsilon_{D}$  to exceed  $\varepsilon_{Rubisco}$  (20, 28, 59). Though the cell does not "know" where 392 393  $CO_2$  in the cytosol came from, these models primarily invoke such an enzyme for internal  $C_i$ 394 recycling, where CO<sub>2</sub> lost from the carboxysome could be converted to  $HCO_3$  so that it could 395 remain in the cell (20, 28, 59). However, energy-coupled CAs can also serve as  $CO_2$  uptake 396 systems by converting extracellular CO<sub>2</sub> that passively translocates the membrane to 397 intracellular HCO<sub>3</sub><sup>-</sup> (Figure 1A), which is advantageous in conditions (e.g. acidic pH) where CO<sub>2</sub> 398 is the dominant form of extracellular C<sub>i</sub> (10, 60, 61). 399 In general, Cyanobacteria have been shown to have two modes of active  $C_i$  uptake: 400 uptake of hydrated C<sub>i</sub> (predominantly  $H_2CO_3$  and  $HCO_3$ ) and uptake of  $CO_2$  (61). In order for the 401 CCM to function, either mode would need to produce a high, non-equilibrium concentration of 402  $HCO_3$  in the cytoplasm (8, 10). This is thought to be achieved by coupling CA to an energy 403 source (e.g. light or an ion gradient) that drives the vectoral hydration of  $CO_2$  to  $HCO_3^-$  in the 404 cytoplasm (62). There is now excellent data supporting this hypothesis in Cyanobacteria, where 405 accessory proteins that bind to the NDH complex, the cyanobacterial homolog of the respiratory 406 Complex I NADH-dehydrogenase, are known to mediate  $CO_2$  uptake specifically (63–65). 407 Additionally, one of these accessory proteins, CupA/B, is reminiscent of a CA and contains a 408 telltale zinc active site situated near a proton channel in a membrane subunit (66). The 409 prevailing understanding of these data is, therefore, that these complexes couple inorganic 410 carbon uptake to energy supplied by photochemical electron transport. Moreover, a similar 411 protein complex has been described in proteobacterial chemoautotrophs, suggesting that 412 energy-coupled  $CO_2$  hydration is widespread (60). 413 A vectoral CA would affect  $\epsilon_p$  for two reasons. First, CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> are isotopically 414 distinct. At equilibrium in standard conditions,  $HCO_3^-$  is  $\approx 8\%$  more enriched in <sup>13</sup>C than  $CO_2$  (67, 68). Therefore, if a cyanobacterium is predominantly taking up CO<sub>2</sub>, the internal C<sub>i</sub> pool from 415 which biomass is formed would be isotopically lighter (<sup>13</sup>C-depleted) than if HCO<sub>3</sub><sup>-</sup> is the 416 417 dominant source of C<sub>i</sub>. Second, unidirectional CO<sub>2</sub> hydration is expected to impart a substantial 418 isotope effect, with calculated values ranging from ≈19 to 32‰ (67, 69–72). Therefore, there are 419 two mechanistic reasons that  $\varepsilon_{P}$  could exceed  $\varepsilon_{Rubisco}$  in conditions where energized CO<sub>2</sub> uptake 420 and hydration is active. Indeed, a recent model of C-isotope fractionation in Cyanobacteria 421 specifically invoked the NDH complex to rationalize  $\varepsilon_{0}$  values that exceed  $\varepsilon_{\text{Rubisco}}$  (20). 422 Because this energy-coupled  $CO_2$  uptake and hydration by the NDH complex is driven 423 by light energy, e.g. via cyclic electron flow around photosystem I (66), and because the 424 vectoral hydration of  $CO_2$  to  $HCO_3^{-1}$  is thought to have a large carbon isotope fractionation, we 425 hypothesized that  $\varepsilon_p$  would increase with light intensity. Indeed, we observed the largest ANC 426  $\epsilon_P$  values, far exceeding ANC  $\epsilon_{Rubisco}$ , in the high light condition and found that ANC  $\epsilon_P$  varies

427 primarily with light and not CO<sub>2</sub> (Figure 3). This observation is counter to the traditional model

428 which proposes  $\varepsilon_P$  as a direct correlate of external pCO<sub>2</sub> (16, 17). Furthermore, on short

429 timescales (≈minutes) cyanobacterial C<sub>i</sub> uptake can be modulated by light intensity alone, fully

430 independent of external C<sub>i</sub> concentrations (73), and CO<sub>2</sub> uptake can occur in the absence of

431 carbon fixation (74, 75). Based on these physiological and isotopic observations, our study also

432 supports the hypothesis that a powered, vectoral CA like the NDH complex is likely active in

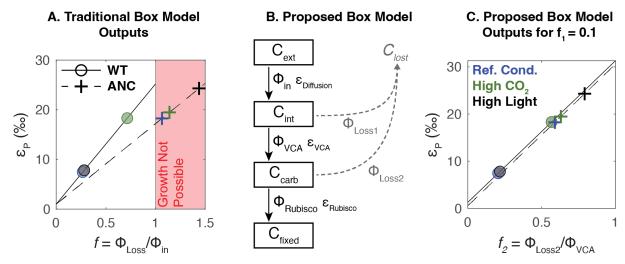
433 Cyanobacteria, and is likely responsible for  $\varepsilon_p > \varepsilon_{Rubisco}$  in ANC.

434 Proposed model for carbon isotope fractionation in Cyanobacteria

435 As discussed above, the traditional box model cannot produce  $\varepsilon_p > \varepsilon_{rubisco}$  (Figure 1C). In 436 this model, the C<sub>i</sub> leakage term (f) is fit from measured  $\varepsilon_p$  values and f = 1 implies that all carbon 437 uptake leaks out of the cell. Though the traditional box model can accommodate both CO<sub>2</sub> and 438 HCO<sub>3</sub><sup>-</sup> uptake, which differ in their equilibrium isotopic composition, even modeling 100% CO<sub>2</sub> 439 uptake gave f > 1 for ANC in all conditions (Figure 5A and S8). Yet, ANC grew reproducibly in 440 all conditions tested (Figure 3). We also encountered challenges using the traditional model to 441 rationalize WT data: fitting the model gave f < 1 in ambient pCO<sub>2</sub> conditions, but high CO<sub>2</sub> 442 conditions yielded f > 1 unless all C<sub>i</sub> uptake was assumed to be as HCO<sub>3</sub><sup>-</sup> (see Figure S8 and 443 Supplemental Information for discussion). Therefore, to rationalize our results for both WT and 444 ANC, we developed a box model that represents a small modification of the traditional model.

- 445 We modified the traditional model (Figure 1B,C) by adding an additional isotopic 446 fractionation so that  $\varepsilon_p$  can exceed  $\varepsilon_{rubisco}$ . As discussed above, we hypothesize that this 447 additional fractionation is due to a vectoral, powered CA like the NDH complex. In the modified 448 model, we distinguish between carbon in the cytosol (C<sub>int</sub>) and carbon in the carboxysome 449 ( $C_{carb}$ ), and allow a flux for carbon to be lost from the carboxysome ( $\Phi_{Loss2}$ , Figure 5B). 450 Therefore, external C<sub>i</sub> enters the cell (flux  $\Phi_{in}$ ) where it can either leak out (flux  $\Phi_{Loss1}$ ) or 451 undergo active hydration (flux  $\Phi_{VCA}$ , where VCA denotes Vectoral Carbonic Anhydrase). 452 Intracellular C<sub>i</sub> can then enter the carboxysome, where it is either fixed (flux  $\Phi_{Rubisco}$ ) or 453 ultimately leaks out of the cell (flux  $\Phi_{Loss2}$ ).
- 454 We made similar simplifying assumptions as the traditional box model: i) an infinite 455 supply of external carbon, ii) no isotopic fractionation for carbon lost from the cell, iii)  $\Phi_{in}$  has the 456 isotopic fractionation associated with  $\varepsilon_{\text{Diffusion}}$ , and iv) the system is at steady state. We did not 457 add an explicit term for light energy used to power C<sub>i</sub> uptake. Instead, the model included an 458 energized CA (denoted VCA) and its associated isotopic fractionation as free parameters. In 459 modeling each strain, we used the appropriate  $\varepsilon_{\text{Rubisco}}$  measurements (Table 1). We do not know 460 the true value for  $\varepsilon_{VCA}$ , but used a value of 30% similar to a recent model that explicitly invoked 461 the NDH complex in Cyanobacteria (20). For comparison with the traditional model, we plotted 462 Figure 5C with  $f_1 = 0.1$  so that it could be represented in two dimensions; see Figure S10 for full 463 model outputs. In this updated model, each value of  $\varepsilon_p$  corresponds to a set of feasible  $f_1$  and  $f_2$ 464 values that fall along a line (Figure S10). Therefore, our model constrains but does not uniquely 465 determine  $f_1$  and  $f_2$ , nor does it allow for estimation of external C<sub>i</sub> levels because many pairs of  $f_1$ 466 and  $f_2$  values can produce the same  $\varepsilon_p$ . In addition, we focused only on C<sub>i</sub> uptake as CO<sub>2</sub> 467 because we are interested in a model that could achieve large  $\varepsilon_{\rm p}$  values (indicating <sup>13</sup>C-depleted 468 biomass) to account for at least an additional ~8‰ of fractionation in  $\varepsilon_{0}$  (maximum of ~25‰ in

- 469 the high light condition) greater than ε<sub>Rubsico</sub> (~17‰) in ANC. HCO<sub>3</sub><sup>-</sup> uptake through bicarbonate
- 470 pumps would not achieve this effect because it would shift all  $\varepsilon_p$  values to be 9‰ more negative
- 471 (<sup>13</sup>C-enriched biomass, see Figure 1C).
- 472



474 Figure 5: Proposed box model based on experimental results. A) Experimental results (circles and 475 crosses) plotted onto traditional box model outputs (dashed and solid lines) for WT and ANC respectively 476 if C<sub>i</sub> uptake is all CO<sub>2</sub>. Uncertainties are smaller than data points. Colors indicate growth conditions: blue 477 = reference condition (0.05% pCO<sub>2</sub> (v/v), 120  $\mu$ E); green = high CO<sub>2</sub> (5% pCO<sub>2</sub> (v/v), 120  $\mu$ E); black = 478 high light (0.05% pCO<sub>2</sub> (v/v), 500  $\mu$ E). *f* is as defined in Figure 1; region where f > 1 is shaded in red. B) 479 Proposed box model architecture, with main carbon pools of interest in boxes. Subscripts indicate 480 external (*ext*), internal (*int*), carboxysome (*carb*), and fixed (*fixed*) carbon pools. Fluxes are denoted by  $\Phi$ 481 where subscripts indicate fluxes into the cell (in), out of the cell (Loss1, Loss2), into the carboxysome 482 (VCA for Vectoral Carbonic Anhydrase), and into fixed biomass (Rubisco), each with a corresponding 483 isotopic fractionation denoted with  $\varepsilon$ . Loss fluxes were assumed to have no isotopic fractionation. In this 484 proposed model,  $f_1$  is defined as  $\Phi_{\text{Loss1}}/\Phi_{\text{in}}$ , and  $f_2$  is defined as  $\Phi_{\text{Loss2}}/\Phi_{\text{VCA}}$ . See text for model 485 assumptions. C) Experimental results plotted onto proposed box model outputs for  $f_1 = 0.1$ ; colors and 486 symbols are the same as Panel A.  $\epsilon_{P}$  is defined as the difference in  $\delta^{13}$ C between C<sub>ext</sub> and C<sub>fixed</sub>. See 487 Supplemental Figure S10 for full results; only results for  $f_1 = 0.1$  are shown.

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489 With the addition of a powered, vectoral CA and an additional loss term, the model was 490 able to rationalize our experimental data of  $\varepsilon_p > \varepsilon_{Rubisco}$  with leakage values compatible with cell 491 growth ( $f_2 < 1$ , Figure 5C). In addition, it helped us understand why the high light condition gave 492 such varied results between WT and ANC. Our model results implied that ANC lost more carbon 493 than WT at the branch point before rubisco ( $\Phi_{Loss2}$ ); i.e. even though carbon was present in the 494 cell, it could not be fixed by the ancestral Form 1B rubisco, perhaps because of its lower  $V_{\rm C}$ 495 (Table 1). Excess CO<sub>2</sub> allowed rubisco's KIE ( $\varepsilon_{Rubisco}$ ) to be expressed in  $\varepsilon_{P}$ . These results 496 implied that, in high light, the powered CA was delivering high amounts of CO<sub>2</sub> to both the WT 497 and ANC rubiscos. The faster WT rubisco was able to match this flux, which was reflected in its 498 fast growth rate (Figure 3) and no change in  $\varepsilon_p$  vs. the reference condition (Figure 2). However, 499 the slower ANC rubisco was not, which led to its slowest growth rate (Figure 2), and highest  $\varepsilon_{p}$ 500 values across all conditions. Conditions where  $\varepsilon_{p}$  exceeded  $\varepsilon_{Rubisco}$  in ANC suggested that, in

501 addition to  $\Phi_{Loss2}$  being large (allowing  $\varepsilon_{Rubisco}$  to be expressed),  $\Phi_{Loss1}$  was high as well, which 502 allows EVCA to be expressed. That is, the slower ANC rubisco created a "backup" where leakage 503 increased all along the carbon fixing pathway, and this effect was exaggerated at high light. 504 In addition, we fit our data to other models that are aware of active C<sub>i</sub> uptake as part of 505 general algal (21) or cyanobacterial (20, 59) CCMs (Figure S9). Cyanobacterial models that 506 incorporated an explicitly one-way, "CA-like" enzyme (59) or the NDH complex specifically (20) 507 were mostly able to rationalize our data as well. The poorest model fits for our data were when 508  $C_i$  uptake was mostly as HCO<sub>3</sub><sup>-</sup> (Figure S9). Overall, our model and theirs (20, 59) show that 509 adding an additional carbon isotope fractionation step produces a model capable of rationalizing 510 our data by enabling  $\varepsilon_{p} > \varepsilon_{Rubisco}$  with leakage values less than 1. 511 We also note that our use of the term "vectoral" CO<sub>2</sub> hydration connotes a net flux that is 512 dominantly in the direction of  $CO_2$  hydration, rather than implying that the flux of  $HCO_3^{-1}$ 513 dehydration is zero. As such, there is likely some bidirectional activity of the NDH complex. It is 514 difficult to experimentally measure the isotope effect associated with the hydration reaction (CO<sub>2</sub> 515  $\Rightarrow$  HCO<sub>3</sub>), but transition state theory and quantum chemical modeling (67, 68, 71) suggest that 516 the value is large (roughly 25‰, see (28) for review).  $HCO_3^{-}$  dehydration, and equilibration in 517 general, would tend to reduce the isotopic fractionation (67). Our results here do not require a 518 larger isotopic effect, however, Rather, a smaller value of  $\approx 10\%$  would have allowed us to 519 rationalize our measurements, as we need only account for an additional ≈8‰ of fractionation in 520  $\epsilon_{p}$  (maximum of  $\approx 25\%$ ) above  $\epsilon_{Rubsico}$  ( $\approx 17\%$ ) in ANC.

521 Overall, our measurements and analyses indicated that, in addition to rubisco, 522 processes relevant to the CCM can play an important role in setting  $\varepsilon_{\rm p}$  values. While our model 523 is highly idealized and relies on a minimum set of fractionating processes associated with 524 carbon fixation in Cyanobacteria, i.e. adding only one additional fractionation factor and one 525 additional leakage point, the results demonstrated that a simple addition to the traditional model 526 accounting for a known mode of energized  $CO_2$  uptake can explain our experimental results. 527 Moreover, one useful implication of this model is that carbon isotope values may measure the 528 efficiency of the CCM and carbon fixation in Cyanobacteria, in addition to ambient 529 environmental CO<sub>2</sub> concentrations.

530 Consequences for understanding the evolution of carbon-fixing metabolisms 531 The goal of this study was to test if prevailing models of carbon fixation and isotopic 532 fractionation held up in an ancestral analogue strain that may be more relevant to understanding 533 the carbon cycle over geologic time. We did so by measuring the isotopic fractionation of a 534 reconstructed ancestral rubisco both inside and outside a living Cyanobacterium. We 535 emphasize that ANC is not a true ancestral Cyanobacteria; rather it is a chimeric construct—a 536 modern strain saddled with a predicted Precambrian enzyme. This reconstructed ancestral 537 rubisco is characterized by slower carboxylation kinetics (46) and a much lower  $\varepsilon_{rubisco}$  than the 538 modern strain's native enzyme (Table 1). Recent studies in extant bacterial (20) and eukaryotic algae (for review see (28)) have 539 540 already motivated updated models of C isotope fractionation in cells; these models address

541 observations that: i)  $\varepsilon_p$  can exceed  $\varepsilon_{Rubisco}$  in certain conditions; ii) factors other than pCO<sub>2</sub> can 542 modulate  $\varepsilon_p$ . We observed similar phenomena in our ANC strain, where  $\varepsilon_p$  exceeded  $\varepsilon_{Rubisco}$  in 543 all conditions tested, and increased light intensity led to greater  $\varepsilon_p$  values. To date, such 544 anomalous  $\varepsilon_p$  values have been observed during relatively slow growth; in (76)  $\varepsilon_p > \varepsilon_{Rubisco}$ 

- 545 occurred early in the growth curve as cells were acclimating to fresh culture media, in (28)  $\varepsilon_p > 546$ 546  $\varepsilon_{Rubisco}$  occurred during nitrogen and phosphorus limitation, and in this study  $\varepsilon_p > \varepsilon_{Rubisco}$  was 547 observed in a mutant strain growing slowly while expressing a reconstructed ancestral rubisco. 548 These observations indicated that growth physiology affects isotopic fractionation by 549 photosynthetic algae and, in all cases, motivated a rethinking of the traditional box model
- 550 (Figure 1B,C) to include more physiological detail relating to the presence of a CCM.
- 551 Here we observed  $\varepsilon_p > \varepsilon_{Rubisco}$  in all growth conditions for ANC, and especially in high 552 light (Figure 2). As high light consistently slowed growth, induced chlorosis (vellowing of 553 cultures, Figure S11) and increased  $\varepsilon_{p}$ , we were motivated to consider the effects of light-related 554 physiology on  $\varepsilon_{D}$ . The yellowing of ANC cultures in high light was consistent with the well-555 described phycobilisome degradation pathway, which is typically induced in nutrient starvation 556 conditions and taken to indicate that light levels exceeded the downstream capacity for CO<sub>2</sub> 557 fixation (58, 77). We interpreted these observations as indicating that the replacement of the 558 native rubisco with a reconstructed ancestor decreased the cellular capacity for CO<sub>2</sub> fixation, 559 potentially due to the inferior carboxylation rate of the ancestral enzyme (Table 1).
- 560 Low CO<sub>2</sub> fixation capacity would not, on its own, explain anomalously high  $\varepsilon_{\rm p}$  values, 561 however. An additional fractionating process is required to explain  $\varepsilon_{p}$  values in excess of  $\varepsilon_{Rubisco, p}$ 562 which we assumed is due to light-coupled vectoral hydration of CO<sub>2</sub>, which has a large 563 calculated isotope effect (67, 69–72). Cyanobacteria have been shown to take up  $CO_2$ 564 independently of  $HCO_3^-$  (61). In model Cyanobacteria, this activity is due to the Cup proteins 565 (CupAS/B, also known as Chp proteins), which bind to the NDH complex of Cyanobacteria (66, 566 78). The NDH complex is involved in light energy capture via photosynthetic electron transport 567 and cyclic electron flow around photosystem I (66) and, moreover,  $CO_2$  uptake is stimulated by 568 light alone and abrogated by inhibitors of photochemical electron transport (73). Not only has CupA been shown to carry a key Zn<sup>2+</sup> in a domain resembling a carbonic anhydrase (66), but 569 570 the *cupA* gene is induced under low  $CO_2$  conditions (78). In order for  $CO_2$  uptake to drive the 571 CCM and promote  $CO_2$  fixation, it would need to produce a high, non-equilibrium  $HCO_3^{-1}$ 572 concentration in the cytoplasm (8, 10). We and others therefore assumed that the complex of 573 NDH-1 and CupAS/B couples light energy to the one-way hydration of CO<sub>2</sub> to HCO<sub>3</sub><sup>-</sup> at a 574 carbonic anhydrase-like active site (66).
- It is readily apparent from our experiments that  $\varepsilon_{Rubisco}$  does not set an upper bound on 575 576  $\varepsilon_{p}$ , nor does it predict which strains will have larger  $\varepsilon_{p}$  values *in vivo* (Figure 2). This inference 577 was only possible because we measured the isotope fractionation due to the ancestral rubisco 578  $(\epsilon_{\text{Rubisco}})$  and compared it to ANC strain biomass  $(\epsilon_{\text{o}})$ , in contrast with the study of (57), which 579 measured  $\varepsilon_p$  but not  $\varepsilon_{\text{Rubisco}}$ . While our ANC  $\varepsilon_p$  values ( $\approx 18-24\%$ ) fell within the range of  $\varepsilon_p$ 580 values derived from the carbon isotope record (42), they exceeded its measured  $\varepsilon_{\text{Rubisco}}$  (Figure 581 2). As such, the relative consistency of ANC  $\varepsilon_{\rm p}$  values with extant  $\varepsilon_{\rm p}$  values does not indicate 582 that the traditional box model is applicable across geologic time as claimed in (57). Rather, a 583 model including some additional fractionating process is required to explain our observation that 584  $\epsilon_{\rm p} > \epsilon_{\rm Rubisco}$  in ANC. Attention has been paid to outliers in the carbon isotope record where  $\epsilon_{\rm p}$

585 exceeds  $\varepsilon_{\text{Rubisco}}$  precisely because they violate the assumptions underlying the dominant model 586 (Equation 1) used to interpret the record (28). In addition, ANC  $\varepsilon_{\text{Rubsico}}$  (17.23 ± 0.61‰) is 587 anomalously low; not only is it  $\approx 8\%$  less than WT  $\varepsilon_{\text{Rubsico}}$  (25.18 ± 0.31‰) but it is among the 588 lowest measured rubisco KIEs. However, only thirteen unique rubisco KIEs have been 589 measured thus far (for recent review see (26)) while  $\approx$  300 distinct rubiscos have been kinetically 590 characterized (7, 79), suggesting that measuring the isotopic effects of several well-chosen 591 rubisco variants is worthwhile. 592 Our study in an ancestral analogue strain suggests that the carbon isotopic 593 fractionations observed in both modern environments and in the geological record reflect not 594 just the environmental abundance of CO<sub>2</sub> and/or the rubisco present, but also the operation of 595 C<sub>i</sub> uptake processes, like the NDH complex, that operate as part of the CCM. Both these 596 processes can lead to a highly variable range of carbon isotope fractionations. Our study 597 supports the conclusion of prior studies that a carbon isotope model that engages more fully 598 with photosynthetic physiology, like the CCM, is required to describe  $\varepsilon_p$  values and more 599 accurately constrain environmental CO<sub>2</sub> concentrations from environmental context (e.g. light 600 and nutrient levels) and physiological parameters (e.g. *ERUDISCO*, photosynthetic capacity, growth 601 rate). The model proposed here was written to mathematically validate a hypothesis – that  $\varepsilon_0$ 602 can only exceed ε<sub>Rubisco</sub> if another fractionating process was considered. In addition, it 603 represents only a first step in this direction as it substantially simplifies the bacterial CCM (10); a 604 similar statement applies to box models of Eukaryotic algae which also express complex CCMs 605 (28, 80). Future work on carbon isotope fractionation by Cyanobacteria should grapple in more 606 detail with photosynthetic physiology, including uptake of CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> by independent 607 systems, integration of both light and dark reactions, and effects of nutrient limitation on growth 608 rate. As mechanistic biochemical understanding of cyanobacterial C<sub>i</sub> uptake improves (66), it 609 may also become feasible to directly measure or better constrain the isotopic fractionation 610 associated with these processes. Coupling such a model with experiments in natural and 611 engineered organisms will help validate these models and improve our ability to understand 612 environmental and evolutionary changes of the carbon cycle over Earth history. 613 In addition, this study and other recent work (42, 57) have raised a greater question for 614 the Earth Sciences: what is uniformitarianism for biology? Earth scientists often apply 615 uniformitarian assumptions – assuming that physical and chemical processes behave the same 616 now as they did billions of years ago – in order to reason about the past. This approach is 617 powerful, but these assumptions are challenged by biological processes that undergo 618 substantial evolution on geologic timescales. Ongoing discoveries of novel metabolisms have 619 supported some principles like 'the principle of microbial infallibility' - that microbes will always 620 find a way to take advantage of available energy sources (for recent review see 81) – but it is 621 not clear what principles apply to the details of metabolism. Take rubisco, for example – most 622 extant autotrophs use rubisco to fix carbon, but rubisco sits within a variety of broader 623 metabolisms (i.e. C3, C4, CAM in plants) that temper the effect of  $\epsilon_{\text{Rubisco}}$  on  $\epsilon_{\text{p}}$  (for recent review 624 see (26)). We are far from having a clear answer to this question, but recent work at the 625 interface of molecular biology and isotope geochemistry show that these ideas can be tested in 626 the lab. Here and in other recent papers (42, 57, 82), we used synthetic biology to construct

organisms with ancestral components so that specific aspects of ancient organisms can be
isolated and tested. These "ancestral-like" organisms helped sharpen our understanding of the
physiological and environmental factors determining growth (82) and isotopic fractionation (this
work) in both ancient and modern autotrophs, and showed that models rigidly based on modern
taxa are likely not universally applicable across geologic timescales.

Overall, carbon fixation was a fundamental challenge that autotrophs overcame early in the history of Earth's biosphere (1). These early processes were recorded in some fashion in the carbon isotope record, but robust interpretation of this record must grapple with the fact that the carbon cycle is an amalgam of both environmental changes and evolutionary processes, mediated by physiology. We now have synthetic biological approaches that offer a way to probe these long timescale co-evolutionary problems by producing ancient process analogs of carbon

638 fixation in the laboratory.

#### 639 Materials and Methods

#### 640 Ancestral enzyme reconstruction

641 Ancestral Rubisco enzyme sequences were previously reported and characterized by Shih et al. 642 (2016) (46). Briefly, for both the large subunit (LSU) and small subunit (SSU) of Rubisco, 643 encoded by *rbcL* and *rbcS* respectively, the most recent common ancestor (MRCA) for Form 1A 644 ( $\alpha$ ), 1B ( $\beta$ ), and 1A/B ( $\alpha$ / $\beta$ ) clades were predicted from independently derived phylogenetic 645 trees for RbcL and RbcS containing a broad diversity of Form 1A and 1B Rubisco (>100 646 sequences). Maximum-likelihood algorithms were used to reconstruct the most probable

- 647 ancestral sequence for each clade. Ancestral sequences were then expressed in *Escherichia* 648 *coli* and purified, and enzyme kinetics were measured.
- 649

## 650 ANC strain generation

651 The 'ANC' strain studied here was generated by replacing the native large and small Rubisco 652 subunits (cbbL and cbbS respectively) of the parent strain (Synechococcus elongatus PCC 653 7942) with the reconstructed  $\beta$  ancestral cbbL and cbbS sequences. The NS2-KanR ('WT' 654 strain) was generated by inserting a KanR cassette into neutral site 2 (NS2) (GenBank: 655 U44761.1). This was done as a control for having the KanR in the neutral site. Synechococcus 656 elongatus PCC 7942 were transformed from the WT strain using the approach of Golden and 657 Sherman (1984) (83). Briefly, cultures were grown to OD750nm = 0.5. Cultures were 658 centrifuged at 18,000 x g for 2 minutes. Pellets were washed with 100 mM CaCl<sub>2</sub> and spun 659 again at 18,000 x g for 2 minutes. Pellets were resuspended in BG-11 media followed by 660 addition of plasmid and grown for 16 hours in the dark at 30°C. Transformants were then plated 661 onto BG-11 + KAN100 agar plates and placed under 100 µE of light at 30°C. Single colonies 662 were then genotyped by PCR amplification of the Rubisco locus followed by sequencing. Table 663 S1 lists plasmids and primers used in this study.

664

#### 665 Growth conditions

666 For ambient CO<sub>2</sub> growth, NS2-KanR and β Ancestral Rubisco-KanR strains were grown in

- 667 quadruplicate in a photobioreactor (Photon Systems Instruments MC 1000) at the University of
- 668 California, Berkeley (UC Berkeley) for four biological replicates total. Cultures were grown in

669 buffered BG-11 media with 50mM HEPES at pH 8. Cultures were inoculated at a starting 670 OD720nm = 0.015 and cultivated at 120  $\mu$ E, 30°C, and bubbled with ambient air. High CO<sub>2</sub> 671 growth was performed using the same conditions as ambient growth with the exception of 672 placing the photobioreactor in a 5% CO<sub>2</sub> chamber (Percival AR22L) and bubbling in air from the 673 chamber. High light growth was performed using the ambient conditions above with the 674 exception of using 500  $\mu$ E for light intensity. Cells were harvested by centrifugation at 6000 x g 675 for 20 minutes at  $4^{\circ}$ C. Decanted pellets were then flash frozen with liquid N<sub>2</sub> and lyophilized 676 overnight with the Millrock Technology Model BT85A freeze dryer. Doubling time was calculated 677 by fitting the exponential phase of growth (k) using a Markov Chain Monte Carlo (MCMC) 678 approach, using the generic model y = a\*EXP(k\*x)+b. Growth curves displayed in Figure 3 were 679 smoothed with a rolling median (n = 12) to remove errant readings caused by bubbles advected 680 in front of the detector. See Supplemental for more information.

681

#### 682 Carbon isotope analysis

Carbon isotope data is reported using delta notation ( $\delta^{13}$ C) in units of per mille (‰) where  $\delta^{13}$ C 683 684 =  $\left[\frac{1^{3}C}{1^{2}C}\right]_{sa}/\frac{1^{3}C}{1^{2}C}_{ref}$ -1]\*1000, where the subscripts 'sa' and 'ref' denote sample and reference respectively. The reference used is the Vienna Pee Dee Belemnite (VPDB).  $\delta^{13}$ C 685 686 values of cyanobacterial cells were measured on an EA-IRMS (Elemental Analyzer Isotope 687 Ratio Mass Spectrometer; Costech Thermo Delta-V) at the California Institute of Technology 688 (Caltech) in Pasadena, CA. Each biological replicate was run four times with two different 689 isotope standards – urea (-27.8‰) and sucrose (-10.45‰). A suite of urea and sucrose standards were run at the beginning, middle, and end of run for sample bracketing and to 690 assess drift throughout the run. An average  $\delta^{13}$ C and standard error were calculated and 691 692 reported for each biological replicate (see Supplemental for more information). The  $\delta^{13}$ C of the 693 starting CO<sub>2</sub> gas was measured on the Thermo Mat 253 Ultra at Caltech; the CALT-2049C 694 standard was used, which has a  $\delta^{13}C_{VPDB}$  value of -3.62‰. CO<sub>2</sub> gas from high pCO<sub>2</sub> 695 experiments was sourced from a  $CO_2$  tank, while the  $CO_2$  gas in ambient p $CO_2$  experiments 696 was distilled from ambient lab air through cryogenic distillation at Caltech.  $\varepsilon_{p}$ , the carbon isotope 697 fractionation between CO<sub>2</sub> gas and bulk cyanobacterial cells, was calculated as  $(a_{CO2/bio} -$ 1)\*1000, where  $a_{CO2/bio} = {}^{13}R_{CO2}/{}^{13}R_{bio}$ , where  ${}^{13}R$  is the ratio of  ${}^{13}C$  to  ${}^{12}C$  in the analyte. We note 698 699 this in contrast to other isotope literature where  $\varepsilon_{\rm p}$  is calculated as  $a_{\rm bio/CO2}$  - 1)\*1000, which would 700 cause the positive values in this study to be negative. In this study, more positive  $\varepsilon_{p}$  values 701 indicate more <sup>13</sup>C-depleted; see Supplemental for more detail.

702

#### 703 Rubisco KIE assay

704 Syn6301 and β-MRCA Rubisco were purified according to previous methodologies (84, 85) at 705 University of California, Davis and then shipped on dry ice to Caltech. Clarified lysate from a 706 BL21 DE3 Star E. coli culture expressing Rubisco was subjected to ammonium sulfate 707 precipitation, at the 30-40% cut for Syn6301 and at the 40-50% cut for  $\beta$ -MRCA, followed by 708 anion exchange chromatography and size exclusion chromatography. We then used the 709 substrate depletion method to measure the KIE of the Syn6301 and  $\beta$ -MRCA Rubiscos ( $\epsilon_{\text{Rubisco}}$ ). 710 as used previously in similar studies (47–50). Briefly, an assay mix of HCO3<sup>-</sup>, bovine carbonic 711 anhydrase, rubisco, ribulose 1,5-bisphosphate (RuBP), MgCl<sub>2</sub>, bicine, and dithiothreitol (DTT) 712 was prepared. As the reaction progressed to completion, aliquots of that assay mix were

- 713 injected into pre-filled exetainers containing phosphoric acid that both stopped the reaction and
- converted all inorganic carbon species to gaseous  $CO_2$ . The  $\delta^{13}C$  of these  $CO_2$  aliquots was
- then measured on a Delta-V Advantage with Gas Bench and Costech elemental analyzer at
- 716 Caltech. Here, instead of RuBP being given in excess, CO<sub>2</sub> was given in excess. In addition,
- 717 instead of determining the fraction of CO<sub>2</sub> (*f*) consumed independently to create a Rayleigh plot,
- 718 we fit the curvature of the  $\delta^{13}$ C results to find *f* before converting to a Rayleigh plot to calculate
- 719  $\epsilon_{Rubisco}$ , similar to previous studies (48). See Supplemental for more information.
- 720

#### 721 Transmission Electron Microscopy (TEM) Imaging of Whole Cells

722 WT and ANC strains were grown in the reference condition as stated above (buffered BG-11 723 media, shaking at 250 rpm, with white cool fluorescent light at 120 µE, 30°C, and bubbled with 724 ambient air  $(0.04\% \text{ CO}_2 \text{ (v/v)})$ . WT and ANC cells were collected at mid-log (40 and 80 h, 725 respectively) at OD730=0.4 and pelleted by centrifugation (10,000 x q for 10 min). Pelleted cells 726 were then resuspended in 1 mL of cold solution 2.5% Glutaraldehyde in 0.1M Sodium 727 Cacodylate Buffer, pH 7.4 (Electron Microscopy Sciences) and stored in the fixative solution at 728 4°C until imaging. Sample preparation and sectioning were performed in the Electron 729 Microscope Laboratory core facility at the University of California Berkeley, Briefly, samples 730 were stabilized in 1% low melting-point agarose, cut into small cubes, and then washed at room 731 temperature with 0.1 M sodium cacodylate buffer, pH 7. Samples were then mixed with 1% 732 osmium tetroxide, 1.6% potassium ferricyanide and 0.1 M cacodylate buffer pH 7.2 for an hour 733 in the dark with rotation. These were washed again with a cacodylate buffer pH 7.2, then DI 734 water, and subjected to an 1 h incubation with uranyl acetate 0.5% solution. After a new wash 735 with DI water, samples were dehydrated by an ascending series of acetone concentration (35%, 736 50%, 75%, 80%, 90%, 100%, 100%), Later, samples were progressively infiltrated in resin 737 (Epon solution: Eponate 12, DDSA NMA and BDMA (Electron Microscopy Sciences) with 738 rotation, followed by a final step at 60°C until polymerized. Thin sections (70 nm) were cut using 739 a Reichert Ultracut E (Leica Microsystems) and collected on 100 mesh formvar coated copper 740 grids. Sections were post-stained using 2% uranyl acetate in 70% methanol and followed with 741 Reynold's lead citrate. The sections were imaged using a FEI Tecnai 12 transmission electron 742 microscope operated at 120 kV (FEI). Images were collected using UltraScan 1000 digital 743 micrograph software (Gatan Inc).

744

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- 758

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