Characterization of a KDM5 Small Molecule Inhibitor with Antiviral Activity against Hepatitis B Virus

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Abstract

Chronic hepatitis B (CHB) is a global health care challenge and a major cause of liver disease.

To find new therapeutic avenues with a potential to functionally cure chronic Hepatitis B virus

(HBV) infection, we performed a focused screen of epigenetic modifiers to identify replication

inhibitors. From this work we identified isonicotinic acid inhibitors of the histone lysine

demethylase 5 (KDM5) with potent anti-HBV activity. To enhance the cellular permeability and

liver accumulation of the most potent KDM5 inhibitor identified (GS-080) an ester prodrug was

developed (GS-5801) that resulted in improved bioavailability and liver exposure as well as an

increased H3K4me3:H3 ratio on chromatin. GS-5801 treatment of HBV-infected primary human

hepatocytes inhibited HBV replication and antigen levels. Evaluation of GS-5801 antiviral

activity in a humanized mouse model of HBV infection, however, did not result in antiviral

efficacy, despite achieving pharmacodynamic levels of H3K4me3:H3 predicted to be efficacious

from the in vitro model. Here we discuss potential reasons for the disconnect between in vitro

and in vivo efficacy, which highlight the translational difficulties of epigenetic targets for viral

diseases.

Introduction

Chronic hepatitis B (CHB) is a major global health care challenge and one of the main causes of

liver diseases including cirrhosis and hepatocellular carcinoma (HCC). Of the estimated 2 billion

people acutely infected with Hepatitis B virus (HBV), approximately 240 million people develop

CHB and 880,000 people die annually of complications from CHB [1-3]. Nucleos(t)ide

analogues and interferon-α (IFN-α) are approved treatments for CHB and result in suppression

of viral replication; however, current treatment regimens rarely result in a functional cure [4].

Thus, novel antiviral therapies that can cure CHB patients are needed.

HBV is a small 3.2 kb DNA virus that infects hepatocytes in the human liver. Upon infection of

hepatocytes, the HBV genome enters the nucleus and is converted into covalently closed circular

DNA (cccDNA). cccDNA is a stable, chromatinized episome that serves as the template from

which all viral RNA is transcribed [5, 6]. Nucleos(t)ide and IFN-α therapies do not directly

target cccDNA and existing long-term therapy fails to significantly impact cccDNA reservoirs in

the majority of patients [4, 7]. Therefore, strategies that eliminate cccDNA or effectively silence

the transcription of viral antigens are needed.

Emerging evidence suggests that the transcription of cccDNA is governed by the accessibility of

its chromatin structure. Further, posttranslational modification of histones dynamically regulates

cccDNA structure [8-11], akin to the well-studied epigenetic regulation of eukaryotic genomes

[12]. Agents that interfere with the epigenetic control of cccDNA may disrupt transcription and

subsequently prevent the production of viral antigens leading to a functional cure of CHB. To

this end, we embarked on a screen in a primary human hepatocyte (PHH) model of HBV

infection for compounds that repressed cccDNA transcription using a focused library of known

epigenetic modulators [13]. From this screen we identified two classes of small molecules with

antiviral activity against HBV, retinoids (ref) and inhibitors of lysine demethylase 5 (KDM5).

The KDM5 family (KDM5A – D or JARID1A – D) is a member of the Jumonji C (JmjC)

domain containing demethylases, which catalyze the demethylation of histones in an iron (II) and

α-ketoglutarate dependent manner [14, 15]. KDM5 specifically demethylates the mono-, di-, and

trimethylated lysine 4 residue of histone 3 in nucleosomes (H3K4me, H3K4me2, and H3K4me3)

(see **Fig 1A**) [16, 17]. In eukaryotic genomes, H3K4me3 is predominantly localized at the

transcription start sites (TSS) of highly-expressed genes, where it plays a role in RNA

polymerase II binding and target gene activation [18-22]. KDM5 family members are predicted

to act as transcriptional repressors based on the presence of H3K4me3 at the promoters of most

actively transcribed genes [23]. However, KDM5 may serve a broader function to promote

appropriate transcription by demethylating H3K4 in gene bodies thereby focusing H3K4

methylation at TSS [23, 24]. Accordingly, KDM5 has become an important oncology target as is

evident from several dozen of inhibitor patents and other publications [25, 26].

Fig 1. GS-5801 is a prodrug of KDM5 inhibitor GS-080 (A) KDM5 demethylates the lysine 4

of the histone 3 (H3K4) subunit of nucleosomes. Inhibition of KDM5 activity by compounds

such as GS-5801 results in accumulation of methylated H3K4 on chromatin through the activity

of cellular histone methyltransferases (HMT) that catalyze the mono-, di-, and tri- methylation of

H3K4. Chemical structures of prodrug GS-5801 and parent GS-080 (B) as well as prodrug GS-

420 and parent GS-444 (C) are shown.

In this work we describe the discovery that a potent small molecule inhibitor of KDM5, termed

GS-080 [27-30], has antiviral activity against HBV in a PHH infection model. An ethyl ester

prodrug of GS-080 (Fig. 1), termed GS-5801, was utilized to increase the cellular permeability,

oral bioavailability, and liver-loading of the parent GS-080 molecule. Treatment of HBV-

infected PHH with GS-5801 causes accumulation of H3K4me3 relative to total H3

(H3K4me3:H3 ratio) on cellular DNA that correlates with a reduction in HBV RNA, DNA, and

antigens. In vivo studies in rats and cynomolgus monkeys show that GS-5801 is well-tolerated

and selectively promotes the increase of the H3K4me3:H3 ratio in the liver to a greater extent

than other tissues. Evaluation of GS-5801 antiviral activity in a humanized mouse model of

HBV-infection, however, did not result in efficacy at doses predicted to be efficacious based on

GS-5801 in vitro antiviral activity and pharmacodynamics (H3K4me3:H3). Together these data

highlight discordance between the antiviral effects of GS-5801 observed in HBV-infected

primary human hepatocytes and a humanized mouse model of HBV infection. Understanding the

translatability of therapeutic agents in HBV infection models to chronic hepatitis B infection is

valuable, especially given the limited number of host targets that have been evaluated clinically

in CHB patients. Despite a high risk of the in vivo study predicting lack of clinical efficacy, we

pursued the evaluation ofGS-5801 in Phase 1a and Phase 1b clinical trials for chronic hepatitis B

. As GS-5801 is still the first and only KDM5 inhibitor to have reached the clinic, our experience

underscores the translational challenges with epigenetic targets.

Results

GS-5801 inhibits HBV RNA, DNA, and antigens in primary human hepatocytes

To identify small molecule compounds capable of inhibiting HBV transcription, a targeted

library of epigenetic modifiers was evaluated in HBV-infected PHH to identify compounds that

reduced HBV intracellular RNA and secreted antigens: hepatitis B virus e antigen (HBeAg) and

hepatitis B virus s antigen (HBsAg). From this screen we identified nicotinic acid derivative

GS-080, and its more cell-permeable prodrug GS-5801 (see **Fig 1B**) [27-30], as inhibitors of

HBV RNA and antigen production in HBV-infected PHH. Importantly, neither GS-5801 nor

GS-080 had measurable cytotoxicity in PHH or in a panel of human cells at concentrations up to

57 µM (see **S1 Table**).

To further characterize the antiviral activity of GS-5801, PHH were infected with HBV

(genotype D; GTD) for three days prior to initiation of GS-5801 dosing. HBV-infected PHH

received a dose of GS-5801 every three to four days for a total of four doses over 14 days. HBV

intracellular RNA, extracellular DNA, and secreted HBsAg and HBeAg were measured on Day

14 (time post-initiation of dosing). GS-5801 EC_{50} values ranged between 0.034 – 1.1 µM

(median EC_{50} = 0.16 µM) for intracellular RNA, 0.0071 – 1.3 µM (median EC_{50} = 0.14 µM) for

extracellular DNA, 0.015 – 1.7 µM (median EC_{50} = 0.24 µM) for secreted HBsAg, and 0.014 –

1.1 µM (median EC_{50} = 0.15 µM) for secreted HBeAg in PHH with no cytotoxicity (CC_{50} > 10

µM) in the seven PHH donors tested (**Table 1**). As a negative control, GS-444, a bromo-

derivative of GS-080 with vastly reduced KDM5 inhibitory activity (GS-444 IC_{50} for KDM5B =

2760 nM; 7000-fold reduced over GS-080), was administered to PHH as its cell-permeable pro-

drug GS-420 (**Fig 1C**). GS-420 did not exhibit HBV antiviral activity in PHH at concentrations

up to 10 µM (**S2 Table**).

Amounts of cccDNA, the DNA template for transcription of HBV antigens and pre-genomic

RNA, were measured to determine whether the inhibition of intracellular HBV RNA,

extracellular DNA, and secreted antigens by GS-5801 in PHH was due to a reduction in cccDNA

levels. PHH were infected with HBV (genotype D; GTD) and cccDNA was established for three

days prior to initiation of GS-5801 dosing with compound replenishment every three to four days

for 14 days. Examination of cccDNA levels by Southern blot in PHH treated with GS-5801

indicated that GS-5801 did not alter levels of cccDNA out to 14 days of compound treatment (**SI**

Fig 1). Thus GS-5801-mediated inhibition of intracellular HBV RNA and antigen secretion is

not due to a reduction in levels of cccDNA.

GS-5801 exhibits antiviral activity across HBV genotypes

HBV has been classified phylogenetically into nine major genotypes, A – J, that exhibit between

4 – 8 % nucleotide divergence as well as distinct geographical distributions [31]. To examine

whether GS-5801 exhibited antiviral activity against HBV genotypes in addition to GTD, PHH

were infected with patient sera from individuals infected with GTA, GTC, or GTE HBV

genotypes that had previously been established as infectious in PHH. Following 14 days of

dosing, GS-5801 reduced intracellular HBV RNA (median EC_{50} = 1.1 μM, range across

genotypes = 0.051 – 2.7 μM), extracellular HBV DNA (median EC_{50} = 0.20 μM, range across

genotypes = 0.079 – 0.25 μM), and secreted HBV antigens HBsAg (EC_{50} = 0.17 μM, range

across genotypes = 0.036 – 3.1 μM) and HBeAg (median EC_{50} = 1.1 μM, range across genotypes

= 0.30 – 1.4 μM; **Table 2**) in all genotypes examined.

GS-080 is a potent and selective inhibitor of KDM5

The inhibitory activity of GS-080, the active parent of the prodrug GS-5801, was examined for

all four members of the KDM5 family (KDM5A – D) by in vitro biochemical characterization.

As summarized in **Table 1**, GS-080 had the highest inhibitory activity against KDM5A and

KDM5B enzymes, with IC_{50} values of 0.36 nM against KDM5A and 0.38 nM against KDM5B.

To assess off-target effects of GS-080, the inhibitory activity of GS-080 was examined against

other KDM enzymes including: KDM1, 2, 3, 4, 6, and 7 as well as a panel of HMT and HDAC

enzymes (see **S3 Table**). Measured GS-080 IC_{50} values for all KDM enzymes were compared to

the IC_{50} value of GS-080 against KDM5A to calculate the fold selectivity for each KDM enzyme

assayed (**Table 1**). GS-080 showed at least a 13-fold selectivity for KDM5A and KDM5B over

members of the KDM4 family of enzymes, and a selectivity from > 1,100- to > 278,000-fold

over members of the other KDM enzyme families tested. GS-080 showed no measurable

inhibitory activity against any of the HMT or HDAC enzymes tested (**S3 Table**; IC_{50} values >

100 μM). Previously characterized KDM, HDAC, or HMT inhibitors were used as positive

controls for the biochemical assay and included S2101, 2,4-Pyridinedicarboxylic acid (PDCA),

8-hydroxy-5-quinolinecarboxylic acid (IOX1), and 8-hydroxyquinoline (8-OH Quinoline), which

yielded IC_{50} values consistent with literature [32, 33] (**Table 1**; **S3 Table**).

Table 1. Biochemical potency and selectivity of GS-080 against KDM enzymes.

KDM Enzyme	Enzyme Concentration (nM)	Positive Controls IC_{50} (nM)[a]	IC_{50} (nM)[b]	Fold Selectivity Compared to KDM5A[c]
KDM5A	2.5	PDCA (410)	0.36	1
KDM5B	1.2	PDCA (410)	0.38	1
KDM5C	1	IOX1 (990)	3.7	10
KDM5D	5.5	PCDA (75)	66	183
KDM4A	0.2	PDCA (870)	7.2	20
KDM4B	1	PDCA (860)	4.7	13
KDM4C	1	PDCA (510)	4.8	13
KDM1A	0.25	S2101 (2000)	> 100,000	> 278,000
KDM2B	2	PDCA (19000)	620	> 1,720
KDM3A	0.3	IOX1 (140)	1,700	> 4,720
KDM3B	0.1	PDCA (7900)	> 10,000	> 27,800
KDM6A	2	PDCA (99000)	6,200	> 17,200
KDM6B	1	8-OH Quinoline (6400)	6,200	> 17,200
KDM7B	2.5	8-OH Quinoline (13000)	400	> 1,110

Depletion of *KDM5* by siRNA restricts HBV replication in PHH

To confirm that the antiviral activity we observed with the small molecule GS-5801 was due to

inhibition of KDM5 in PHH, we examined the effect of depleting *KDM5* transcripts by RNA

interference on HBV RNA and antigen production. Three days post HBV infection, *KDM5*

transcripts were depleted with small interfering RNA (siRNA) two times during the infection

time course to maintain transcript knockdown: once on Day 0 (three days post-infection) and

again on Day 6 (nine days post-infection). *KDM5* transcripts were depleted with siRNA either

individually to reduce levels of a single *KDM5* (*KDM5A, B, C,* or *D*) transcript or pooled to

reduce levels of *KDM5A – D* transcripts in the cell. Thirteen days after initiation of siRNA

treatment, amounts of *KDM5* transcripts as well as HBV RNA along with secreted antigens were

measured by qRT-PCR or immunoassay, respectively. siRNA knockdown of *KDM5* transcripts

individually (*KDM5A*, *B*, *C*, or *D*) or simultaneously (*KDM5A – D*) resulted in 55 – 78 %

inhibition of *KDM5* transcript levels in PHH (**SI Fig. 2A, B**) with no effect on cell viability as

assessed by alamarBlue staining (**SI Fig. 2D**). Knockdown of *KDM5A*, *KDM5B*, *KDM5C*, or

KDM5D transcripts individually in PHH resulted in mild repression of HBV RNA (26 – 43%),

HBeAg (32 – 39%), and HBsAg (34 – 41%) by Day 13 after initiation of siRNA treatment (**Fig**

2). In contrast, simultaneous knockdown of *KDM5A*, *KDM5B*, *KDM5C*, and *KDM5D* transcripts

in PHH resulted in much greater repression of HBV RNA (88 %), HBeAg (95 %), and HBsAg

(84 %) by Day 13 (**Fig 2**). Knockdown of *KDM5A – D* transcripts in PHH resulted in similar

inhibition of HBV replication as knockdown of the well-characterized host restriction factor

DDB1; knockdown of *DDB1* in PHH yielded a reduction in HBV RNA (88 %), HBeAg (91 %),

and HBsAg (86 %) by Day 13 as expected [34-37] (**Fig 2A – C**). The kinetics of antiviral

activity in *KDM5*-depleted PHH, as measured by inhibition of HBeAg levels over time,

suggested that antiviral activity was delayed after siRNA treatment with near maximal antiviral

activity achieved by Day 10 – 13 post-initiation of siRNA treatment (**Fig 2D**). Together these

data suggest that GS-5801 targets KDM5 to cause antiviral activity and that inhibition of all

KDM5 gene products (*KDM5A – D*) is necessary for restricting HBV replication.

Fig 2. Knockdown of *KDM5* confers antiviral activity in PHH. *KDM4* and *KDM5* transcripts

were depleted by siRNA either individually (*KDM4A, B, C, D*, or *E*; *KDM5A, B, C*, or *D*) or

simultaneously (*KDM4A – E* pool; *KDM5A – D* pool) in HBV-infected PHH. *DDB1*, the well-

characterized HBV host restriction factor, was also depleted by siRNA for comparison. Fourteen

days after initiation of siRNA transfection, levels of (A) intracellular HBV RNA, (B) secreted

HBeAg, and (C) secreted HBsAg were measured by qRT-PCR or immunoassay, respectively, for

each siRNA condition. (D) The kinetics of HBeAg inhibition is shown for *KDM4A – E* pool,

KDM5A – D pool, and *DDB1* siRNA conditions. Data shown are the average of two biological

replicate experiments and error bars represent the standard deviation.

Biochemical characterization of GS-080 indicates that it exhibits some inhibitory activity against

the KDM4 family of histone lysine demethylases ($\geq$ 13-fold selectivity for KDM5A over

KDM4A – C). To examine whether inhibition of KDM4 could also contribute to the antiviral

effect observed with GS-5801 in PHH, levels of *KDM4* transcripts were knocked down

individually (*KDM4*, *B*, *C*, *D*, or *E*) or simultaneously (*KDM4A – E*) in PHH. siRNA

knockdown of *KDM4* transcripts individually or simultaneously (*KDM4A – E*) resulted in 47 –

89 % inhibition of *KDM4* transcript levels in PHH (**SI Fig. 2A, C**) with no effect on cell viability

as measured by alamarBlue staining (**SI Fig. 2D**). Knockdown of *KDM4A – E* transcripts

simultaneously in HBV-infected PHH resulted in mild inhibition of HBV RNA (57 %), HBeAg

(61 %), and HBsAg (47 %) by Day 13 after initiation of siRNA treatment (**Fig 2**); however,

KDM4 depletion did not have as great of an effect on HBV replication compared to *DDB1* or

KDM5A – D depletion.

Antiviral activity of GS-5801 exhibits delayed kinetics

To characterize the kinetics as well as duration of GS-5801 antiviral activity in PHH, we

examined the inhibition of secreted HBsAg and HBeAg by GS-5801 in HBV-infected PHH over

time. PHH from three different donors were treated with GS-5801 by replacing drug-containing

cell culture medium every three to four days for 30 – 32 days. Prior to re-treating cells with

GS-5801, levels of HBsAg and HBeAg were measured by immunoassay from PHH supernatant.

As shown in **Fig 3**, maximal HBsAg and HBeAg inhibition by GS-5801 was achieved by 12 – 17

days after initiation of treatment; similar to the antiviral kinetics observed with *KDM5A – D*

siRNA treatment (**Fig 2D**). GS-5801 antiviral activity was maintained throughout the

experimental time course of 30 – 32 days (**Fig 3**), indicating that treatment of PHH with

GS-5801 maintains HBV antigen reduction in vitro.

Fig. 3. Antiviral activity of GS-5801 exhibits delayed kinetics. PHH from three donors (BCD,

VUZ, and 8181) were infected with HBV for three days prior to initiation of GS-5801 treatment.

PHH were dosed with vehicle or 10 µM GS-5801 every three to four days for a total of 30 – 32

days. At the timepoints shown, levels of secreted (A) HBeAg and (B) HBsAg were measured by

immunoassay. Data are plotted as the percentage inhibition of HBeAg or HBsAg in GS-5801

treated PHH relative to vehicle treated PHH.

GS-5801 causes sustained HBV antigen suppression in PHH

To investigate whether continuous treatment with GS-5801 is necessary to achieve a sustained

antiviral response in HBV-infected PHH, we treated PHH with a single, two-hour pulse dose of

GS-5801 before removing compound. Antiviral activity was monitored over time by measuring

the levels of secreted HBeAg and HBsAg. A pulse dose of GS-5801 reduced cell-associated

active parent GS-080 exposure in PHH compared to continuous dosing (**SI Fig 3**; a single two-

hour pulse dose of GS-5801 resulted in two-fold lower C_{max} and AUC_{last} values compared to

continuous dosing). Furthermore, a pulse dose of GS-5801 in HBV-infected PHH from three

independent donors was sufficient to confer antiviral activity with similar kinetics to continuous

dosing of GS-5801 (**Fig. 3**; **Fig 4A**). Longer time course experiments probing the antiviral

activity of GS-5801 demonstrated that inhibition of HBeAg and HBsAg was sustained up to 20

days following a pulse dose (**Fig 4B**).

Fig. 4. A single dose of GS-5801 confers sustained HBV antiviral activity in PHH. (A) PHH

from three donors (BCD, 7272, and 8181) were infected with HBV for three days prior to

initiation of GS-5801 treatment. PHH were treated once for two hours with vehicle or 10 µM

GS-5801. Compound was removed by replacing the medium with fresh medium without drug or

vehicle. At the timepoints shown, levels of secreted HBeAg and HBsAg were measured by

immunoassay for 12 days. Data are shown as the percentage inhibition of HBeAg or HBsAg in

GS-5801 treated PHH relative to vehicle treated PHH. (B) PHH from donor BCD were infected

with HBV for three days prior to initiation of GS-5801 treatment. PHH were treated once for two

hours with vehicle or 10 μM GS-5801. Compound was removed by replacing the medium with

fresh medium without drug or vehicle. At the timepoints shown, levels of secreted HBeAg and

HBsAg were measured by immunoassay for 24 days. Data are shown as the average percentage.

Global H3K4me3:H3 increases correlate with GS-5801 antiviral activity in PHH

It has been demonstrated that inhibition of KDM5 either genetically or pharmacologically

increases levels of H3K4me3 on the mammalian genome [24, 27]. Therefore, we investigated the

relationship between global H3K4me3 changes and antiviral activity in HBV-infected PHH

treated with GS-5801. HBV-infected PHH were treated either continuously with GS-5801 every

three to four days or once with a two-hour pulse dose of GS-5801and the ratio of the levels of

H3K4me3 relative to H3 (H3K4me3:H3) were measured by ELISA along with levels of secreted

HBeAg to monitor antiviral activity. Continuous dosing of HBV-infected PHH with GS-5801

resulted in increased levels of the global H3K4me3:H3 ratio throughout the experimental time

course with an apparent saturation of H3K4me3:H3 (4.8-fold increase compared to vehicle

treated PHH) occurring six days after initiation of dosing (PHH received two doses of GS-5801;

Fig 5A). In contrast, a single pulse dose of GS-5801 resulted in a 2.8-fold increase in

H3K4me3:H3 by day three that decreased to near baseline levels by day nine (**Fig 5B**).

Furthermore, increases in H3K4me3:H3 measured at day three positively correlated with the

percentage inhibition of HBeAg measured on day 12 after PHH were treated with a pulse dose of

GS-5801 at increasing concentrations (0.016 – 10 μM; **Fig 5C**). Thus, increases in H3K4me3:H3

after a pulse dose of GS-5801 precedes the maximal antiviral response. The kinetics of antiviral

activity, as assessed by inhibition of secreted HBeAg over time, was similar between continuous

and pulse dosing experiments (**Fig 5A, B**). Quantitative mass spectrometry analysis of histones

purified from GS-5801 treated PHH corroborated that GS-5801 predominantly caused an

increase in trimethylated H3K4 (H3K4me3) as opposed to dimethyl (H3K4me2) or monomethyl

(H3K4me) species in PHH (**Fig 5D**). Together these data suggest that levels of the H3K4me3:H3

ratio positively correlate with HBV antiviral activity after GS-5801 treatment and that sustained

increases in H3K4me3:H3 are not required for GS-5801 antiviral activity.

Fig. 5. GS-5801 causes global increases in H3K4me3:H3 that precede antiviral activity.

PHH were infected with HBV for three days prior to initiation of GS-5801 treatment. (A) PHH

were treated with vehicle or 10 µM GS-5801 every three to four days for a total of 14 days

(continuous dosing). Data shown are from donor 8130 and are representative of data from

continuous dosing experiments. (B) PHH were treated with vehicle or 10 µM GS-5801 once for

two hours with vehicle or 10 µM GS-5801 prior to replacing medium with fresh medium without

drug or vehicle (pulse dosing). Data shown are the average values from three PHH donors (BCD,

8181, 7272) and error bars represent the standard deviation. (A, B) At the timepoints shown,

levels of secreted HBeAg were measured by immunoassay and are plotted as the percentage

inhibition of HBeAg in GS-5801 treated PHH relative to vehicle treated PHH. In addition, levels

of H3K4me3 relative to H3 (H3K4me3:H3) were measured by ELISA in vehicle and GS-5801.

GS-5801 alters the expression of viral as well as host transcripts in PHH

Given that GS-5801 increases H3K4me3:H3, which is an epigenetic modification associated

with transcriptionally active promoters [18-22], we examined the effect of GS-5801 on host and

viral transcriptomes using RNA sequencing (RNA-seq). HBV-infected PHH from three donors

were treated with GS-5801 every three to four days for 13 days and total cellular mRNA was

isolated and sequenced on days 1, 3, 10, and 13 after initiation of dosing. Corroborating our

qRT-PCR data (see **Table 2**), levels of HBV mRNA as measured by RNAseq were decreased

after GS-5801 treatment in a dose and time dependent manner (**Fig 6A**). Global analyses of host

gene expression changes upon GS-5801 treatment (defined as transcripts up or down regulated

by $\geq$ 4-fold compared to vehicle treated PHH, FDR < 0.05) revealed numerous dose and time

dependent effects on host transcripts (**Fig 6B; Supplemental Table 6**), with most GS-5801-

regulated genes sustained in their expression pattern over the time course (**Fig 6C**). The majority

of genes that changed in response to GS-5801 treatment were upregulated and included genes

that spanned pathways such as cytoskeleton remodeling and cell-cell junction organization.

However, by 10 days after treatment genes were also observed to be downregulated by GS-5801

treatment and included genes involved in pathways such as metabolism and biosynthesis (**S**

Table 6). Notably, interferon-α-stimulated genes (ISG) [38] showed mild transcriptional

regulation by GS-5801, but as a class did not exhibit a strong pattern of differential regulation in

response to GS-5801 treatment of PHH (**Fig 6D**).

Table 2. Antiviral activity of GS-5801 in HBV-infected PHH

PHH Donor[a]	Hu8181	Hu8130	Hu4167	BCD	Hu7272	Hu276	Hu349
vRNA EC_{50} (µM)	0.16 (1.9)	1.1 (3.2)	0.047 (1.1)	0.034 (3.4)	0.93 (3.7)	0.042 (1.8)	0.43 (1.2)
vDNA EC_{50} (µM)	0.14 (3.7)	1.3[b]	0.11 (3.5)	0.0071 (4.9)	1.1 (5.0)	0.070 (1.2)	0.23 (2.4)
HBsAg EC_{50} (µM)	0.061 (2.4)	1.7 (3.7)	0.24 (2.6)	0.015 (3.3)	5.1 (2.1)	0.15 (1.8)	0.97 (3.5)

HBeAg EC$_{50}$ (µM)	0.11 (2.2)	1.1 (2.3)	0.15 (2.7)	0.014 (19)	0.61 (5.5)	0.10 (2.0)	0.38 (2.4)
CC$_{50}$ (µM)	> 10	> 10	> 10	> 10	> 10	> 10	> 10

a   Data shown are the geometric mean EC$_{50}$ values and the geometric standard deviation factor from HBV-infected PHH

treated every three to four days with GS-5801 for 14 days (n = 3 donor Hu8181, n = 3 donor Hu8130, n = 2 donor Hu4167,

n = 2 donor BCD, n = 3 donor Hu7272 , n = 2 Hu276, n = 2 Hu349 experiments).

b   EC$_{50}$ value represents n = 1.

Fig 6. GS-5801 influences host and viral gene transcription. PHH from three donors (8130,

8181, and 4239) were infected with HBV for three days prior to initiation of GS-5801 treatment.

PHH were treated continuously with vehicle, 0.03, 0.3, or 10 µM GS-5801 every three to four

days for a total of 13 days. PHH were harvested at 1, 3, 10, and 13 days after initiation of GS-

5801 dosing and mRNA was quantified by RNA-seq. (A) The number of sequencing reads

mapping to the HBV genome were quantified in all samples (counts per million; cpm) and data

are plotted as the percentage inhibition of HBV RNA (vRNA) in GS-5801 treated samples

relative to vehicle treated samples at each timepoint. (B) The number of genes differentially up

or downregulated (differential by 4-fold log$_2$; FDR < 0.05) in GS-5801 treated PHH compared to

vehicle treated PHH are shown for each timepoint. (C) Shown is a Venn diagram demonstrating

the overlap of the identity of genes differentially upregulated (fold change $\geq$ 4-fold log$_2$; FDR <

0.05) in PHH treated with 10 µM GS-5801 compared to vehicle treated PHH for Day 3 (n = 414

genes), Day 10 (n = 1131 genes), and Day13 (n = 1268 genes) after initiation of GS-5801 dosing.

(D) Interferon-stimulated genes (ISG) expressed in PHH (n = 279) were examined by

hierarchical clustering using an uncentered Pearson correlation. Data are displayed as a heatmap

of log2 differential cpm values with red representing genes upregulated in GS-5801 treated PHH

and blue representing genes downregulated in GS-5801 treated PHH.

GS-5801 is liver-targeted in nonclinical species and preferentially increases H3K4me3:H3 levels in the liver

As HBV is a liver-tropic virus, our pro-drug strategy sought to not only improve the cell-permeability of GS-080 but also its enrichment in the liver. Liver enrichment of GS-080 could reduce systemic exposure to limit epigenetic alteration of host chromatin in other tissues. GS-5801 was identified as an ethyl ester pro-drug of GS-080 with high liver to plasma area under the curve (AUC) ratios for the active parent GS-080 in cynomolgus monkey (**Fig 7A**; 176-fold GS-080 liver to plasma AUC ratio) and rat (**Fig 7B**; 44-fold GS-080 liver to plasma AUC ratio). To investigate the effect of preferential liver exposure of GS-080 on the pharmacodynamic response in vivo (H3K4me3:H3), we dosed cynomolgus monkeys and rats orally once daily with GS-5801 for seven or five days, respectively, and examined global levels of the H3K4me3:H3 ratio by ELISA in liver, lung, kidney, and PBMCs 24 hours after the last dose of GS-5801. Treatment of monkeys (**Fig 7C**) or rats (**Fig 7D**) with GS-5801 resulted in increased levels of the H3K4me3:H3 ratio in the liver compared to lung, kidney, or PBMCs at most doses tested. Furthermore, no adverse effects were observed in rats or monkeys at the GS-5801 doses tested in these studies. Together these data indicate that GS-5801 is a liver-targeted pro-drug that preferentially causes accumulation of H3K4me3:H3 on total cellular DNA at doses that are well tolerated in vivo.

Fig. 7. GS-5801 increases H3K4me3:H3 levels preferentially in liver tissue. Sprague Dawley rats (A) and cynomolgus monkeys (B) were dosed once with 1 mg/kg or 2.5 mg/kg GS-5801 p.o., respectively. Amounts of pro-drug (GS-5801) and active parent (GS-080) were measured by LC-MS in liver tissue and plasma at the timepoints shown. (C) Cynomolgus monkeys were

dosed p.o. once daily for seven days with 0.03, 0.1, 0.3, 1, 3, or 10 mg/kg of GS-5801. Twenty

four hours after the last dose, amounts of H3K4me3 and H3 were measured by ELISA in liver,

kidney, PBMCs, and lung. Data are displayed as the average H3K4me3:H3 ratio of GS-5801

treated monkeys relative to vehicle treated monkeys from n = 2 – 3 animals; error bars represent

the standard deviation. (D) Sprague Dawley rats were dosed p.o. once daily for five days with

0.3, 1, 3, 10, or 30 mg/kg of GS-5801. Twenty four hours after the last dose, amounts of

H3K4me3 and H3 were measured by ELISA in liver, kidney, PBMCs, and lung. Data are

displayed as the average H3K4me3:H3 ratio of GS-5801 treated rats relative to vehicle treated

rats from n = 3 animals; error bars represent the standard deviation. (E – F) Wistar Han rats were

dosed p.o. once daily for seven days with 10, 30, or 100 mg/kg of GS-5801 and a subset of rats

from each dose group continued into a seven day off-treatment phase. (E) Twenty four hours

after the last dose or recovery day, amounts of H3K4me3 and H3 were measured by ELISA in

the liver. Data are displayed as the average H3K4me3:H3 ratio of GS-5801-treated rats relative

to vehicle treated rats from n = 3 animals during the dosing or recovery phases; error bars

represent the standard deviation. (F) Transcript levels in rat liver tissue were quantified by RNA-

seq (cpm). The number of genes differentially up or downregulated (differential by 2-fold $\log_2$;

FDR < 0.05) in GS-5801-dosed rats compared to vehicle-treated rats are shown during the

dosing phase (24 hours after once daily dosing for seven days) and recovery phase (24 hours

after seven days off drug; F).

To examine whether increases in H3K4me3:H3 ratios are reversible, similar to the recovery of

H3K4me3:H3 ratios to near baseline levels in PHH after a pulse dose of GS-5801 (see **Fig 5B**),

rats were dosed once daily for seven days with 0, 10, 30, or 100 mg/kg GS-5801 and a subset of

animals continued for seven days off-treatment (recovery phase). Levels of H3K4me3:H3 in rat

liver were evaluated by ELISA after seven days of once daily dosing (dosing phase) as well as

after seven days off treatment (recovery phase). H3K4me3 and H3 ELISA measurements

demonstrated increases in H3K4me3:H3 after seven days of GS-5801 once daily dosing

compared to vehicle-treated animals (4.6- to 5.2-fold increase; **Fig 7E**) that agreed with

H3K4me3:H3 increases previously observed when rats were dosed once daily for five days with

10 or 30 mg/kg GS-5801 (4.2- to 4.3-fold; see **Fig 7D**). When rats were taken off treatment for

seven days, H3K4me3:H3 ratio levels returned to near vehicle levels in the 10 and 30 mg/kg

dose groups (≤ 1.6-fold of vehicle animals; **Fig 7E**); however, H3K4me3:H3 ratio levels in the

100 mg/kg dose group were still elevated above vehicle (2.7-fold increase compared to vehicle

treated animals; **Fig 7E**). Next, we examined whether there were any corresponding changes in

transcript expression levels that paralleled H3K4me3:H3 increases in GS-5801 treated rats after

seven days of once daily treatment as well as after seven days off treatment. Total mRNA from

liver tissue of rats dosed for seven days with GS-5801 (0, 10, 30, or 100 mg/kg GS-5801) as well

as rats taken off drug for seven days was sequenced with RNA-seq. The number of differentially

expressed transcripts were similar after seven days of once daily GS-5801 dosing in rat liver

among the 10, 30, and 100 mg/kg dose groups with approximately 300 transcripts differentially

regulated between GS-5801 treated groups and vehicle treated (≥ 2-fold differentially regulated,

FDR < 0.05; **Fig 7F**). The recovery of H3K4me3:H3 ratios in GS-5801 treated animals to

H3K4me3:H3 ratios in vehicle treated animals after seven days off treatment (see **Fig 7E**)

corresponded to a return of transcript levels to near vehicle treated levels (**Fig 7F**).

GS-5801 is not efficacious in a humanized mouse model of HBV infection

To determine whether the antiviral activity of GS-5801 we observed in PHH translated to

antiviral efficacy in a nonclinical model, we infected urokinase-type plasminogen activator

(uPA) severe combined immunodeficiency (SCID) mice with humanized livers [39] with HBV

(genotype C, GTC). HBV infected mice were dosed with 30 or 100 mg/kg of GS-5801. These

doses were selected to cause increases in liver H3K4me3:H3 ratios that were comparable to

those seen in the PHH infection model that were associated with HBV antiviral activity. Since

GS-5801 demonstrated increased levels of H3K4me3:H3 ratios in rat and monkey liver tissue

that was well-tolerated and reversible after a seven-day recovery period (see **Fig 7**), we selected

a dosing schedule of once daily oral dosing for one week on treatment (qd x 7d) followed by one

week off treatment for a total of 56 days (4 treatment cycles). Every seven days, levels of serum

HBV DNA and HBsAg were measured to assess the antiviral activity of GS-5801 in this HBV

infection model. Treatment of HBV-infected humanized mice with GS-5801 did not result in

changes in the amounts of HBsAg or HBV DNA during any of the dosing cycles or recovery

periods (**Fig 8A, B**). At the end of the study (Day 56), we measured H3K4me3 and H3 levels in

mouse liver tissue by ELISA and observed 2.9- and 3.3-fold increases in H3K4me3:H3 ratios in

mice dosed with 30 or 100 mg/kg of GS-5801, respectively, compared to vehicle-treated animals.

The increase in H3K4me3:H3 ratios in mouse livers corresponded to an increase in

H3K4me3:H3 that was expected to result in antiviral activity for GS-5801 as was observed in the

PHH infection model (see **Fig. 5**). Furthermore, the antiviral activity of GS-5801 was assessed in

vitro using the primary human hepatocytes that reconstituted the uPA-SCID mouse livers and the

HBV GTC virus used in the murine efficacy model. Treatment of these GTC HBV-infected

hepatocytes in vitro with GS-5801 every 3 – 4 days resulted in a reduction of HBsAg and

HBeAg levels (**Fig. 8D**) similar to what was observed previously with multiple PHH donors and

HBV viruses (see **Fig. 5, Table 2, and Table 3**).

Fig. 8. GS-5801 does not reduce HBV DNA or HBsAg levels in a humanized mouse model

of HBV infection. uPA-SCID mice with humanized livers were infected with HBV GTC virus

and dosed p.o. with 30 or 100 mg/kg of GS-5801once daily for seven days in a one week on one

week off dosing regimen. Every seven days, levels of HBV DNA (A) and HBsAg (B) were

measured. Data is plotted as the average of n = 6 – 8 mice/timepoint/dose group, error bars

represent the standard deviation. Shaded grey areas indicate the dosing periods. (C) At study

endpoint, Day 56, levels of H3K4me3 and H3 were measured in liver tissue via ELISA to assess

GS-5801 pharmacodynamics. Data is shown as the average fold change in H3K4me3:H3 ratio in

mice (n = 6 – 8 per dose group) treated with 30 mg/kg or 100 mg/kg of GS-5801 and normalized

to vehicle-treated animals; error bars represent the standard deviation. (D) The antiviral activity

of GS-5801 was assessed in vitro with the same hepatocytes and GTC virus used in the in vivo

HBV efficacy model. Hepatocytes were plated and infected with HBV prior to GS-5801

compound treatment. At Day 21, antiviral activity was assessed by measuring extracellular levels

of HBsAg and HBeAg. Data is plotted as the average of biological duplicate samples; error bars

represent the standard deviation.

Table 3. Antiviral activity of GS-5801 across HBV genotypes

EC_{50} (µM)[a]	GTA	GTC	GTD	GTE
vRNA	1.8 (1.7)	0.051 (1.7)	0.43 (1.5)	2.7 (3.2)
vDNA	0.17 (2.2)	0.079 (2.0)	0.22 (1.6)	0.25 (7.1)
HBsAg	0.036 (1.0)	0.12 (10)	0.22 (1.0)	3.1 (17)
HBeAg	1.1 (1.5)	N/A[b]	0.30 (1.1)	1.4 (2.4)

a   Data shown are the geometric mean EC_{50} values and the geometric standard deviation factor from PHH infected with the

indicated HBV genotype and treated every three to four days with GS-5801 for 13 days (n = 2 experiments).

b   Data not available; HBeAg levels were below limit of quantitation by immunoassay.

Discussion

GS-5801 is an ethyl ester prodrug that metabolizes to GS-080, a potent and selective inhibitor of

KDM5a-d. KDM5 enzymes are epigenetic modifiers that demethylate lysine 4 of histone 3 in

nucleosomes to regulate gene transcription [14, 15]. In a PHH model of HBV infection, GS-5801

demonstrates antiviral activity across multiple HBV genotypes by reducing HBV RNA, DNA,

and antigen levels without altering amounts of cccDNA, the transcriptional template of HBV.

Knockdown of *KDM5* mRNA levels with siRNA cause a decrease in HBV RNA, DNA, and

antigen levels, which further corroborated the role of KDM5 in HBV replication. GS-5801

causes a sustained reduction in HBV replication in PHH that correlates with increases in global

cellular H3K4me3:H3 levels. Transient increases in H3K4me3:H3 are sufficient for GS-5801

antiviral activity in HBV-infected PHH, suggesting that an in vivo dosing strategy of finite

treatment periods could be efficacious.

Detailed in vivo characterization of GS-5801 pharmacokinetic and pharmacodynamic properties

(H3K4me3:H3 ratio) reveal that GS-5801 loads the liver with active drug (GS-080) in both

nonclinical species examined: rat and cynomolgus monkey (44- and 176-fold liver to plasma

AUC ratio, respectively). The enhanced liver levels of GS-080 in rats and cynomolgus monkeys

correlates with higher H3K4me3:H3 ratios in the liver compared to other cells and tissues

(PBMC, lung, or kidney). Since HBV is a liver-tropic virus that infects hepatocytes, enhancing

the distribution of GS-080 to the liver could provide a wider therapeutic index.

Utilizing knowledge gained from our rat and monkey pharmacokinetic and pharmacodynamic in

vivo models as well as our in vitro PHH infection model, we evaluated the antiviral activity of

GS-5801 in a humanized mouse model of HBV infection. To our surprise, GS-5801 did not have

antiviral activity at either dose tested (30 mg/kg and 100 mg/kg) in the humanized model of

HBV infection despite causing H3K4me3:H3 ratios similar to those seen in PHH (2.9- and 3.3-

fold increases) based on our in vitro PHH HBV infection data. The reason for the disconnect in

GS-5801 antiviral activity in vivo and in vitro is unclear but indicates that increases in

H3K4me3:H3 ratio in the liver of HBV-infected humanized mice do not predict antiviral activity

as was seen for GS-5801 in the PHH model. Thus, the simple PD marker for KDM5

engagement, the H3K4me3:H3 ratio, cannot be considered a straightforward pharamcodynamic

marker for GS-5801 antiviral efficacy.

Considering how important a new host target for HBV therapy would be, and that the HBV-

infected humanized mouse model might not completely predict GS-5801 performance in

humans, a small Phase1a/b study was carried out with healthy volunteers and HBV patients

(details to be published elsewhere). To our disappointment, GS-5801 was associated with dose

limiting, reversible liver toxicity at exposures below reaching significant H3K4me3:H3 ratio

increases in patient PBMCs, consistent with the absence of any HBV antigen level decreases (to

be published elsewhere), rendering our clinical study inconclusive. Nevertheless, any further

clinical evaluation of KMD5 inhibitors in other therapeutic areas should pay close attention to

hepatotoxic effects, which might me associated with inhibition of the biologic target even at low

levels of engagement.

Nevertheless, the mechanism by which KDM5 inhibition leads to HBV antiviral activity in PHH

remains to be elucidated but should serve to decipher the disconnect between GS-5801 antiviral

activity in PHH and the humanized mouse model of HBV infection. Two non-mutually exclusive

mechanisms of action for GS-5801 antiviral activity in PHH are hypothesized: (1) modulation of

host transcripts by GS-5801 restricts HBV replication indirectly and (2) alteration to the

epigenetic landscape of HBV cccDNA reduces HBV transcription directly. As demonstrated by

transcriptional profiling of PHH, GS-5801 causes changes in expression levels of many host

transcripts and modulation of one or more host transcripts could mediate GS-5801 antiviral

activity. Indeed, KDM5 was demonstrated to play a role in respiratory syncytial virus (RSV)

pathogenesis as chemical or genetic inhibition of KDM5 in human dendritic cells infected with

RSV led to increased transcription of pro-inflammatory cytokines that inhibited RSV replication

[40]. In the PHH HBV infection system, the many host transcriptional changes induced by

GS-5801 in PHH could make these hepatocytes generally less permissive for HBV replication in

vitro. This phenomenon has been proposed for the anti-HBV activity of the retinoid class of

compounds which displayed potent antiviral activity in PHH that, however, did not translate in

the same humanized mouse model of HBV infection we have employed for GS-5801 [13]. For

the retinoid Accutane, its lack of in vivo antiviral activity was hypothesized to be related to

differences in transcriptional regulation observed in PHH in vitro versus liver tissue in vivo.

Overall, far fewer transcripts were regulated by Accutane in vivo (humanized mouse liver)

compared to in vitro (PHH). It was further shown that the culturing process of PHH required to

establish permissibility for HBV infection leads to transcriptome changes, many of which were

reversed by retinoids [13]. Thus, in vitro transcriptional changes do not always predict in vivo

transcriptional regulation. For GS-5801 we observed a similar phenomenon in its in vitro versus

in vivo transcriptional regulation, with many fewer host transcripts modulated in liver tissue in

vivo (Fig. 7F) compared to PHH in vitro (Fig. 6B), despite achieving similar increases of

H3K4me3:H3. It is clear that similar H3K4me3:H3 changes cannot be tied to similar

transcriptional responses, thus, rendering our pharmacodynamic marker a poor predictor of

GS-5801 in vivo activity.

Our second hypothesis for GS-5801 antiviral activity in vitro is that inhibition of KDM5 by

GS-5801 directly alters the epigenetic landscape of HBV cccDNA to reduce HBV transcription.

Although as the consequences of H3K4 trimethylation on cccDNA are not well characterized,

activating PTMs (e.g. H3K4me3, H3K27ac) have been associated with HBV transcriptional start

sites suggesting that, similar to the host, chromatin modifications contribute to the transcriptional

regulation of cccDNA [8-11]. Thus GS-5801 could inhibit HBV transcription by decreasing

H3K4me3 levels or changing the spatial organization of H3K4me3 on HBV cccDNA chromatin.

This a phenomenon that has been described for some bivalent promoters in eukaryotic cells as

the reduction of gene transcription has been correlated with H3K4me3 spread into gene bodies

[24]. Alternatively, GS-5801 could also serve to modulate the epigenetic landscape of cccDNA

by altering epigenetic modifications that repress transcription of cccDNA (e.g. through

H3K27me3 or H3K9me3 that are associated with transcriptionally repressed genes on eukaryotic

chromatin) [12, 41].

In conclusion, this study details nonclinical work characterizing the antiviral activity and

pharmacodynamic effects of a small molecule inhibitor of KDM5. GS-5801 demonstrates

antiviral activity in a PHH model of HBV infection that correlates with increases in global

cellular H3K4me3:H3 ratio, but no antiviral activity is seen in the humanized mouse model of

HBV infection despite reaching the desired pharmacodynamic effects expected to be efficacious

against HBV. This work highlights the difficulty of epigenetic approaches for therapeutic

intervention, especially when the pharmacodynamic effects do not indicate the required

engagement of the actual downstream pathogen target(s).

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Materials and Methods

Ethics statement

Primary human hepatocytes (PHH) isolated from deceased donor livers were purchased from

Thermo Fisher Scientific (Waltham, MA), Lonza (Basel, Switzerland), BioreclamationIVT

(Westbury, NY), and Corning, Inc. (Corning, NY). Consent was obtained from the donor or the

donor's legal next of kin for use of the tissue and its derivatives for research purposes using IRB-

approved authorizations. Plasma from CHB patients was purchased from Proteogenex (Culver

City, CA) or BioCollections Worldwide, Inc (Miami, FL). Consent was obtained from the donor

for use of the sample for research purposes using IRB-approved authorizations. All animal work

was performed by Covance, Inc. (Princeton, NJ), Crown BioScience, Inc. (Santa Clara, CA) or

PhoenixBio Inc. (Higashi-Hiroshima, Japan). Studies in nonclinical species were conducted at

test sites fully accredited by the Association for Assessment and Accreditation of Laboratory

Animal Care (AAALAC). All procedures in the protocol were in compliance with applicable

animal welfare acts and were approved by the local Institutional Animal Care and Use

Committees (IACUC) and Animal Ethics Committee. An attending laboratory veterinarian was

responsible for providing the medical treatment necessary to prevent unacceptable pain and

suffering for the animals on study. All surgery was performed under isoflurane anesthesia, and

all efforts were made to minimize suffering.

For the clinical studies mentioned above, all patients signed an informed consent form before

screening and in accordance with local regulatory and ethics committee requirements. The

experimental protocol in these trials was approved by Gilead Sciences and all local regulatory

agencies (ANZCTR: **A Phase 1b Study Evaluating the Safety and Tolerability of GS-5801 in Patients**

with Chronic Hepatitis B (ACTRN12616001375448))

Compounds

GS-5801, GS-080, GS-420 and GS-444 were synthesized by Gilead Sciences, Inc., CanAm

Bioresearch, Inc. (Winnipeg, Canada) or by Shanghai Medicilon, Inc. (Shanghai, China). For

cell-based assays, compounds were formulated in 100 % DMSO at a concentration of 10 mM.

For in vivo studies, GS-5801 was formulated in deionized water.

GS-5801 (isolated as the *bis*-tosylate salt): ^{1}H NMR (400 MHz, Methanol-d_4) δ 8.79 (dd, J = 5.1,

0.8 Hz, 1H), 7.95 (s, 1H), 7.90 (dd, J = 5.1, 1.5 Hz, 1H), 7.68 (d, J = 8.2 Hz, 4H), 7.23 (d, J = 7.9

Hz, 4H), 4.54 (s, 2H), 4.43 (q, J = 7.1 Hz, 2H), 4.32 (s, 2H), 3.82 (t, J = 5.8 Hz, 2H), 3.46 – 3.34

(m, 4H), 2.98 (s, 6H), 2.37 (s, 6H), 1.41 (t, J = 7.1 Hz, 3H), 1.24 (t, J = 7.1 Hz, 3H). LCMS-ESI[+]

(*m/z*): [M+H][+] calcd 337.2; found 337.2.

GS-080 (isolated as the *bis*-HCl salt): ^{1}H NMR (400 MHz, Methanol-d_4) δ 8.78 (dd, J = 5.0, 0.7

Hz, 1H), 7.95 (s, 1H), 7.90 (dd, J = 5.0, 1.4 Hz, 1H), 4.52 (s, 2H), 4.25 (s, 2H), 3.81 (t, J = 6.2 Hz,

2H), 3.45 – 3.34 (m, 4H), 2.98 (s, 6H), 1.25 (t, J = 7.1 Hz, 3H). LCMS-ESI[+] (*m/z*): [M+H][+] calcd

309.2; found 309.2.

GS-420 (isolated as the *bis*-HCl salt): ^{1}H NMR (400 MHz, Methanol-d_4) δ 8.92 (d, J = 0.7 Hz,

1H), 7.81 (d, J = 0.7 Hz, 1H), 4.52 (s, 2H), 4.45 (q, J = 7.1 Hz, 2H), 4.29 (s, 2H), 3.83 (t, J = 6.0

Hz, 2H), 3.47 – 3.36 (m, 4H), 2.98 (s, 6H), 1.41 (t, J = 7.1 Hz, 3H), 1.26 (t, J = 7.1 Hz, 3H).

LCMS-ESI[+] (*m/z*): [M+H][+] calcd 415.1/417.1; found 415.1/417.1.

GS-444 (isolated as the *bis*-HCl salt): ^{1}H NMR (400 MHz, Methanol-d_4) δ 8.91 (d, J = 0.6 Hz,

1H), 7.82 (d, J = 0.7 Hz, 1H), 4.51 (s, 2H), 4.29 (s, 2H), 3.83 (t, J = 6.0 Hz, 2H), 3.40 (dt, J =

13.2, 6.5 Hz, 4H), 2.98 (s, 6H), 1.25 (t, J = 7.1 Hz, 3H). LCMS-ESI[+] (*m/z*): [M+H][+] calcd

387.1/389.1; found 387.1/389.1.

PHH plating and culture conditions

Cryopreserved primary human hepatocytes (PHH) isolated from multiple donors were purchased

from Thermo Fisher Scientific (HMCPTS; Donors Hu8181, Hu8130, Hu4167, Hu1748,

Hu4239), Lonza (HEP187; Donor Hu7272, HUM4167), Bioreclamation (Donors BCD, VUZ), or

Corning (Donors Hu276, Hu349, BD195). After thawing, cells were recovered by centrifugation

at 100*g* through cryopreserved hepatocyte recovery medium (Thermo Fisher Scientific;

CM7500) and plated in collagen coated 96-well plates (Thermo Fisher Scientific; CM1096) at a

density of 65,000 – 70,000 live cells per well. Cells were plated in William's E medium (Thermo

Fisher Scientific; A1217601) supplemented with 3.6 % hepatocyte thawing and plating

supplement (Thermo Fisher Scientific, A15563), 5 % fetal bovine serum (Thermo Fisher

Scientific; 16000-036), 1 μM dexamethasone (Thermo Fisher Scientific, A15563), and 0.2 %

Torpedo antibiotic mix (Bioreclamation; Z990008). Approximately 12 – 14 hours after plating,

plating medium was removed, and cells were switched into maintenance medium: William's E

medium supplemented with 4 % hepatocyte maintenance supplement (Thermo Fisher Scientific;

AI15564), 2 % fetal bovine serum, 0.1 μM dexamethasone, 1.5 % DMSO (Sigma-Aldrich, St.

Louis, MO; D8418), and 0.2 % Torpedo antibiotic mix.

HBV viruses

HepAD38 cells express HBV genotype D (GTD) virions under the control of an inducible

tetracycline promoter [42]. For GTD virion production, HepAD38 cells were grown in DMEM-

F12 medium (Thermo Fisher Scientific; 11320033) supplemented with 10 % FBS; 1 %

Penicillin-Streptomycin-Glutamine; 1 % HEPES, and 1 % non-essential amino acids (Thermo

Fisher Scientific). Supernatant containing virions was collected every 3 – 4 days and virions

were precipitated with PEGit (Systems Biosciences, Palo Alto, CA; LV810A-1) overnight at

4°C. After precipitation, supernatant was spun at 3000 rpm at 4°C for 15 minutes. The pellet

containing the virions was resuspended in William's E medium containing 25 % FBS. Viral

titers were determined by measuring viral DNA by qPCR.

For additional HBV genotypes, sera (041FY67821P) from an HBV genotype A (GTA) infected

patient and sera (024KY12630) from an HBV genotype E (GTE) infected patient were purchased

from Proteogenex. Sera (56662-27867-39729-20130905) from an HBV genotype C (GTC)

infected patient was purchased from BioCollections Worldwide, Inc. For the humanized mouse

infection model, a GTC virus strain was used that was provided by PhoenixBio (Code No.:

PBB004, Lot: 160205).

PHH infection with HBV

Approximately 24 hours after plating, PHH were infected with HepAD38-derived GTD virus at

500 viral genome equivalents per cell in maintenance medium supplemented with 4 % PEG 8000

(Promega, Madison, WI; V3011). For patient sera infections, PHH were infected with 6 µl of

patient sera in maintenance medium supplemented with 4 % PEG 8000. Infections were allowed

to proceed for 20 – 24 hours before removing remaining extracellular virions by washing with

maintenance medium three times.

PHH compound treatment

Three days after infection with HBV virus (Day = 0), maintenance medium was replenished, and

PHH were dosed in either the continuous or pulse dose regimen with 0, 0.016, 0.037, 0.080,

0.11, 0.33, 0.40, 1.0, 2.0, or 10 µM GS-5801 supplied in 100 % DMSO using the HP Digital

Dispenser D300 (Hewlett Packard, Palo Alto, CA). For the continuous dose experiment, cells

received one dose of GS-5801 on days 0, 3, 6, and 10 and medium was not replenished until the

next dose day. For the pulse dose regimen, medium containing compound was removed after two

hours incubation and replaced with fresh maintenance medium every three to four days. Cells in

the pulse dose experiment received only one dose of compound (Day = 0).

Quantitation of extracellular HBV DNA in PHH assays

Viral DNA from PHH supernatants was purified using the Qiagen DNeasy 96 kit (Germantown,

MD; 69582) following the manufacturer's recommended protocol. Briefly, 50 μl of supernatant

was collected, lysed with an equal volume of Qiagen ATL buffer containing 10 % proteinase K,

and then incubated at 56 °C for 10 minutes. Lysates were then mixed with 100 μl of AE buffer

containing ethanol, transferred into the DNeasy 96 well plate, and placed onto a vacuum

manifold (Qiagen; 19504). The vacuum was applied to bind DNA to the DNeasy membrane

while contaminants passed through. DNA bound to the membrane was washed first with 500 μl

of AW1followed by 500 μl of AW2 buffer. After washing, plates were centrifuged (Sigma

Model 4-16S) at 6000 rpm for 2 minutes. DNA was then eluted with 100 μl of pre-warmed AE

buffer by a final centrifugation step of 6000 rpm for 2 minutes.

Quantification of vDNA by qPCR (quantitative polymerase chain reaction) amplification of the

HBVX region of the genome was performed by combining 5 μl of DNA, 900 nM of forward

primer (5'GGA CCC CTG CTC GTG TTA CA 3'), 900 nM of reverse primer (5'GAG AGA

AGT CCA CCA CGA GTC TAG A-3'), 0.2 μM TaqMan probe (5' [6FAM] TGT TGA CAA

GAA TCC TCA CCA ATA CCA C [NFQ-MGB] 3'), and 1X TaqMan Fast Advanced Master

Mix (Thermo Fisher Scientific; 4444557) for a total reaction volume of 20 μl in 96-well PCR

plates (Thermo Fisher Scientific; 4346906). qPCR was carried out on a real-time PCR system

(Thermo Fisher Scientific; QuantiStudio 7 Flex) using the following conditions: 95 °C for 20

seconds, followed by 40 cycles of 95 °C for 1 second and 60 °C for 20 second. A plasmid

containing the HBV full genome was used for the standard curve.

Quantification of intracellular HBV RNA in PHH assays

Intracellular HBV viral RNA was isolated from PHH using the RNeasy 96 kit (Qiagen, 74182)

following the manufacturer's recommended protocol. Briefly, 125 μl of Qiagen RLT lysis buffer

was added to PHH. The PHH lysate was then thoroughly mixed with 125 μl of 70 % ethanol,

transferred into a RNeasy 96 well plate, and placed onto a vacuum manifold (Qiagen; 19504).

The plate was washed using RW1 and RPE buffers, followed by centrifugation (Sigma-Aldrich,

Model 4-16S) at 6000 rpm for 2 minutes. The RNA was then eluted twice with 60 μl of nuclease

free water for a total of 120 μl RNA.

After elution, DNase digestion by Turbo DNase (Thermo Fisher Scientific; AM2239) was

performed to remove any contaminating DNA. After 30 minutes of DNase treatment at 37 °C,

Turbo DNase was inactivated by adding 15 mM of EDTA and heating the reaction to 75 °C for

10 minutes in a thermo cycler (Thermo Fisher Scientific; Veriti 96 Well Thermal Cycler).

Quantification of vRNA by qRT-PCR (quantitative reverse transcription polymerase chain

reaction) amplification of the HBVX region of the genome was performed by combining 5 μl of

RNA to 900 nM of HBVX forward primer (5' GGA CCC CTG CTC GTG TTA CA 3'), 900 nM

of HBVX reverse primer (5' GAG AGA AGT CCA CCA CGA GTC TAG A 3'), 0.2 μM

TaqMan probe (5' [6FAM] TGT TGA CAA GAA TCC TCA CCA ATA CCA C [NFQ-MGB]

3'), and 1X glyceraldehyde 3-phosphate dehydrogenase (GAPDH) or ribosomal protein large P0

(RPLP0) endogenous transcripts (Thermo Fisher Scientific; 4310884E; 4310879E) and 1X

TaqMan Fast Virus 1-Step Master Mix (Thermo Fisher Scientific; 4444434) for a total reaction

volume of 20 μl in 96-well PCR plates (Thermo Fisher Scientific; 4346906). qRT-PCR was

carried out on a real-time PCR system (Thermo Fisher Scientific; QuantiStudio 7 Flex) using the

following conditions: 50 °C for 5 minutes, then 95 °C for 20 seconds, followed by 40 cycles of

95 °C for 3 second and 60 °C for 30 second.

GAPDH or *RPLP0* mRNA expression was used to normalize target gene expression. Levels of

HBV RNA for all donors were calculated as fold change relative to no drug treated sample using

the 2-ΔΔCt method [43].

HBeAg and HBsAg quantification in PHH assays

Hepatitis B e antigen (HBeAg) and Hepatitis B surface antigen (HBsAg) were detected in culture

media at the indicated time by ELISA or electrochemiluminescence assay (MSD). The HBeAg

and HBsAg ELISAs were performed using the HBeAg ELISA kit (International Immuno-

Diagnostics, Foster City, CA) and HBsAg ETI-MAK-2 plus kit (DiaSorin, Stillwater, MN),

respectively according to the manufacturer's instructions. Concentrations were calculated by

interpolation from standard curves with purified HBeAg and HBsAg. The MSD assay was

performed according to the manufacturer's instructions (Meso Scale Diagnostics, Rockville,

MD). Briefly, cultured supernatants were inactivated with 0.5% Triton X-100 (30 minutes at

37°C) and then transferred into plates pre-spotted with both an anti-HBeAg antibody (Genway

Bio, San Diego, CA) and a custom anti-HBsAg antibody. The plates were then incubated for two

hours at room temperature with gentle shaking, followed by a wash step in PBS with 0.5%

Tween. MSD sulfate tags anti-A and anti-B (1 μg/mL each) were then added to the wells and the

plates incubated for a further two hours at room temperature with gentle shaking, followed by

another wash step in PBS with 0.5% Tween. A 2X solution of MSD T Buffer Read was then

added and the plate was read on a Sector Imager 6000 plate scanner.

EC$_{50}$ determination

Antiviral activity of test compounds was determined from vRNA, vDNA, HBeAg, and HBsAg

data by comparing compound-treated PHH to DMSO (vehicle)-treated PHH to generate a

percent of DMSO control value (% DMSO control). The % DMSO control was calculated by the

following equation:

% DMSO Control = 100 x (Xc / X$_D$)

where Xc is the signal from the compound-treated PHH and X$_D$ is the signal from the DMSO-

treated PHH. The % DMSO control for vRNA, vDNA, HBeAg, HBsAg, *GAPDH*, or *RPLP0* was

plotted versus the log of each compound concentration in GraphPad Prism (version 6; Graphpad

Software, LaJolla, CA) to generate dose-response curves. EC$_{50}$ values were defined as the test

compound concentration that caused a 50% decrease in vRNA, vDNA, HBeAg, or HBsAg. CC$_{50}$

values were defined as the test compound concentration that caused a 50% decrease in *GAPDH*

or *RPLP0*. Dose-response curves were fitted using the nonlinear regression equation

"log(agonist) versus response – variable slope (four parameters)" in GraphPad Prism to

determine EC$_{50}$ values.

KDM4/KDM5 **siRNA knockdown**

PHH from donor HUM4167 were plated in 96-well collagen coated plates at a cell density of

65,000 live cells per well as described above and PHH were infected with HepAD38-derived

GTD HBV virions at 500 GE per cell. Three days after infection (Day 0) and nine days after

infection (Day 6), PHH were transfected with siRNAs targeting *KDM5* or *KDM4* transcripts

using Lipofectamine RNAiMAX (Thermo Fisher; 13778150) at a ratio of 6 pmol of siRNA to 1

µl RNAiMAX in OptiMEM media (Life Technology; 31985070). 30 µl of siRNA/RNAiMAX

complexes were added to appropriate wells containing 150 µl of maintenance medium such that

the final concentration of each individual siRNA was 2.5 nM. Three to four siRNAs were used

per *KDM5* or *KDM4* gene to insure knockdown of all isoforms. A pool of 14 siRNAs was used

to target transcripts of the *KDM5* family (Thermo Fisher 4392420; siRNA: s11834, s11835,

s11836, s21144, s21145, s21146, s15748, s15749, s15750, s15775, s15776, s224895, s224896

and a custom *KDM5B* siRNA with sense sequence of 5'- ACUUAUUCCUGUCCGGAGAtt -3'

and anti-sense sequence of 5'- UCUCCGGACAGGAAUAAGUtg -3'). A pool of 16 siRNAs

was used to target the transcripts of the *KDM4* family (Thermo Fisher 4392420; siRNA: s18635,

s18636, s18637, s229325, s229326, s22867, s225929, s229931, s225930, s22990, s31266,

s31267, s31268, s52751, s52752, s52753). Media was replenished with PHH maintenance

media on days 3, 6, 7, and 10 post-initiation of siRNA transfection. On Day 0, 3, 6, 10, and 13

post-initiation of siRNA transfection, amounts of secreted HBeAg and HBsAg were measured to

assess antiviral activity as described. In addition, on Day 13 alamarBlue (Thermo Fisher;

DAL1100) was used as per the manufacturer's protocol to assess toxicity. Intracellular HBV

RNA and *KDM* transcripts levels were measured by qRT-PCR to assess antiviral activity and

siRNA knockdown. *KDM* primer/probe sets used for qRT-PCR were from Thermo Fisher

(4392420): Hs00231908_m1, Hs00981910_m1, Hs01011846_m1, Hs00190491_m1,

Hs00206360_m1, Hs00392119_m1, Hs00323906_m1, Hs00250616_s1, Hs00988859_s1, and

Hs01096550_m1.

cccDNA enrichment and Southern blotting

PHH were plated in 24-well plates at 350,000 – 400,000 live cells per well and infected with

HBV genotype D as described above. PHH were treated with GS-5801 every three to four days

for 14 days prior to DNA isolation. DNA was isolated from PHH using a MasterPure Complete

DNA Purification kit (Epicentre, Madison, WI; MC85200) according to the manufacturer's

instructions, but omitting ProteinaseK or RNaseA treatment. After isolation, DNA was treated

with T5 exonuclease (New England Biolabs, Ipswich, MA; M0363S) according to the

manufacturer's instructions.

cccDNA and host mitochondrial DNA (ND4) levels were examined by Southern blot using

branched DNA signal amplification (bDNA) method as previously described [44]. All probes

and reagents were purchased from Thermo Fisher Scientific.

Rat and cynomolgus monkey in vivo studies

Liver to plasma GS-5801 and GS-080 AUC ratios

The in vivo portion of the study for determination of liver to plasma drug loading ratios was

performed in male Sprague Dawley rats and male cynomolgus monkeys at Covance (Madison,

WI). Rats and monkeys were dosed by oral gavage once with 1 mg/kg or 2.5 mg/kg,

respectively. The rat study included a vehicle control group (water). Serial venous blood

samples were taken at 0, 0.25, 0.5, 1, 2, 4, 6, 8, 12, and 24 hours post dose from each animal and

collected into vacutainer tubes containing potassium oxalate/sodium fluoride (Thermo Fisher;

BD367925) as the anti-coagulant and blood was centrifuged for plasma isolation. For rat, livers

were perfused with heparinized saline sodium nitrite solution immediately prior to harvest and

liver samples were excised from vehicle and GS-5801 treated rats at sacrifice at 1, 8, and 24

hours post dose (n = 3 per time point). For monkey, liver samples were taken by biopsy at pre-

dose, 1, 4, 24, 48, and 72 hours post dose (n = 2 per time point). Rat and monkey livers were

weighed and immediately flash frozen in liquid nitrogen.

500 μL of cold HPLC grade water was added to each monkey liver biopsy sample and rat liver

samples were diluted 3-fold with cold HPLC grade water. Diluted liver samples were

homogenized between 1 and 3 minutes. 50 μL of plasma samples and homogenized liver samples

were quenched with 200 μL of 0.05% formic acid in acetonitrile. Samples were then vortexed

and centrifuged at 1500 – 3000 RPM for 15 minutes at RT and diluted as needed with HPLC

grade water prior to quantification of GS-5801 and GS-080 by LC-MS/MS. An Agilent 1200

series binary pump (Santa Clara, CA; G1312A) was used for elution and separation of

compounds with a Hypersil Gold C18 HPLC column (Thermo Fisher; 50 x 3.0 mm, 5 μm) over

6.75 minutes with 99 – 3 % gradient of Mobile Phase A (1 % acetonitrile in 2.5 mM ammonium

formate aqueous solution pH 2.6) and 1 – 97 % gradient Mobile Phase B (90% acetonitrile in

aqueous 10 mM ammonium formate pH 6.8). GS-5801 and GS-080 were detected with a TSQ

Quantum Ultra triple quadrupole mass spectrometer in selective reaction monitoring operation

mode.

Rat and monkey tissue PD (H3K4me3:H3)

The in vivo portion of the study for measurement of tissue PD (H3K4me3:H3 levels) was

performed in male Sprague Dawley rats and male cynomolgus monkeys at Crown Bioscience

(Taicang, China) and Covance (Madison, WI). Male Sprague-Dawley rats (n = 3 animals per

dose group) were dosed p.o. with GS-5801 once daily for five days at 0.3, 1, 3, 10, or 30 mg/kg.

Male cynomolgus monkeys (n = 2 or 3 animals per dose group) were dosed p.o. with GS-5801

once daily for seven days at 0.03, 0.1, 0.3, 1, 3, or 10 mg/kg. Animals were sacrificed 24 hours

post final dose and approximately 100 mg of liver (left lateral lobe), lung, and kidney tissue was

collected. Additionally, one mL of venous blood for PBMC isolation was collected into

K$_2$EDTA vacutainer tubes (Thermo Fisher; BD367835). PBMCs were isolated from whole blood

that was diluted with an equal volume of Dulbecco's Phosphate Buffered Saline without calcium

or magnesium (DPBS; Lonza; 17-512F). Diluted whole blood was layered onto an equal volume

of HISTOPAQUE-1077 (Sigma; 10771) gradient medium and centrifuged at 400 x g for 30

minutes with the centrifuge brake switch off. PBMCs were collected at the plasma-

HISTOPAQUE-1077 interface and washed three times with DPBS by centrifugation at 500 x g

for 10 minutes. Tissue samples and PBMCs were snap frozen using liquid nitrogen and stored at

-80 °C for subsequent H3K4me3:H3 analysis by ELISA.

Evaluation of Tissue Specific PK/PD Response in Cynomolgus Monkeys Dosed with GS-

5801

Test Site: Crown Biosciences, Taicang, China

0.03, 0.1, 0.3 and 1.0 mg/kg/day: 2 males per group

Housing Conditions: The enrolled monkeys are housed and maintained in accordance with the

guidelines approved by the Association for Assessment and Accreditation of Laboratory Animal

Care (AAALAC). The targeted conditions for animal living environment and photoperiod are as

follows: Temperature: 23 ± 3°C Humidity: 50 ± 20% Light cycle: 12 hours light on and 12 hours

light off.

Diet and Enrichment: All animals have free access to water and are fed twice daily with a

complete, nutritionally balanced diet (Beijing Keao Xieli Feed Co., LTD, Beijing, China)

enriched with seasonal fruits or vegetables.

Steps to Alleviate Suffering and Clinical Observations: Non-human primate care and use are

conducted in accordance with all applicable assessment and accreditation of laboratory animal

care (AAALAC) regulations and guidelines. Crown bioscience institutional animal care and use

committee (IACUC) will approve all animal procedures used in this study. All the procedures

related to handling, care and treatment of the animals in this study will be performed according

to the guidelines approved by the association for AAALAC. After each treatment (weighing,

bleeding or dosing), the animals will be observed until the animals are able to stand up and alert

if they are anesthetized. At the time of routine monitoring, the animals will be checked for any

effects of the compound on their behaviors such as mobility, body weight gain/loss, and any

other abnormal activities. Clinical abnormalities observed and animal death will be recorded and

reported timely to the veterinarian, study director, and sponsor. Animals will be closely

monitored for any abnormal behavior, particularly vomiting, yawning, gaping, and signs of

malaise or discomfort.

Anesthesia and Euthanasia: Animals were humanely euthanized at the end of the study. Those

animals were injected with ketamine (15 mg/kg) plus xylazine (2 mg/kg) intramuscularly for

anesthesia and operation at the times being sacrificed for tissue collection.

Rat liver RNAseq study

The in vivo portion of the study for measurement of rat liver transcript levels by RNAseq was

performed at Covance (Madison, WI). Male Wistar Han rats (n = 3 per dose group) were dosed

p.o. once daily for seven days with GS-5801 at 10, 30, or 100 mg/kg and sacrificed 24 hours

after the last dose. A second group of male Wistar Han rats (n = 3 per dose group) were dosed

p.o. once daily for seven days with GS-5801 at 10, 30, or 100 mg/kg and taken off drug for seven

days before animals were sacrificed. At sacrifice, two approximately 100 mg samples of liver

tissue (left lateral lobe) were collected, snap frozen using liquid nitrogen, and stored at -80 °C for

subsequent H3K4me3:H3 analysis by ELISA and transcriptome analysis by RNAseq.

HBV mouse efficacy model

The in vivo and in vitro hepatocyte infection model portions of the study were performed by

PhoenixBio (Higashi-Hiroshima, Japan). Mice used in this efficacy model (cDNA-

uPAwild/+/SCID [cDNA-uPAwild/+: B6;129SvEv-Plau, SCID: C.B-17/Icr-*scid* /*scid* Jcl]) had

an estimated human hepatocyte replacement index of 70% or more (PHH# BD195, Corning),

which was calculated based on the blood concentration of human albumin prior to the

inoculation as previously described [45]. Mice were infected with HBV GTC virus (PhoenixBio;

PBB004, Lot #160205) and had serum HBV titer levels greater than 1.0E+08 copies/mL seven

days prior to study initiation. HBV-infected mice were treated once daily (p.o.) for seven days

(Day 0 – 6) with deionized water for acclimation. Mice (n = 8 per treatment group) received

vehicle (deionized water), 30 mg/kg, or 100 mg/kg of GS-5801 p.o. once daily on Days 7 to 13,

Days 21 to 27, Days 35 to 41, and Days 49 to 55. Fifty µL of blood was collected from animals

under isoflurane anesthesia via the retro-orbital plexus/sinus and centrifuged for serum collection

for HBsAg and HBV DNA measurements described below. At termination of study (Day 56),

100 – 200 mg of tissue was harvested from the left lateral liver lobe of all animals. Liver tissue

samples were snap frozen using liquid nitrogen and stored at -80 °C for subsequent

H3K4me3:H3 analysis by ELISA.

7-day Oral Gavage Dose Range-Finding Toxicity and Toxicokinetic Study of GS-5801 in

Male Cynomolgus Monkeys with a 7-day Recovery Phase

Test Site: Covance Laboratories, Madison, WI

0, 1, 3, and 10 mg/kg/day: 3 males per group

3 additional males at 0 and 3 mg/kg/day for recovery

Housing Conditions: Animals were housed in stainless steel cages. When possible, animals

were socially housed by sex: up to three animals/cage. Animals may be individually housed

during acclimation, for study-related procedures, or for behavior or health reasons.

Environmental controls for the animal room were set to maintain 20 to 26°C, a relative humidity

of 30 to 70%, a minimum of 10 air changes/hour, and a 12-hour light/12-hour dark cycle. The

light/dark cycle may be interrupted for study-related activities.

Diet: Certified Primate Diet #2055C (Envigo RMS, Inc.) was provided one or two times daily

unless otherwise specified. The diet is routinely analyzed by the manufacturer for nutritional

components and environmental contaminants. Results of specified nutrient and contaminant

analyses are on file at Covance-Madison. Water was provided ad libitum. Water samples are

routinely analyzed for specified microorganisms and

environmental contaminants. The results are on file at Covance-Madison.

Environmental Enrichment: Animals were given various cage-enrichment devices; fruit,

vegetable, or dietary enrichment (that do not require analyses). Animals may or may not be

commingled as a form of environmental enrichment due to the limited time for the establishment

of compatible pairs (study duration 2 weeks or less).

Steps to Alleviate Suffering: In accordance with the Animal Welfare Act, the Guide for the

Care and Use of Laboratory Animals, and the Office of Laboratory Animal Welfare, medical

treatment necessary to prevent unacceptable pain and suffering, including euthanasia, was the

sole responsibility of the attending laboratory animal veterinarian. Palliative and prophylactic

procedures may be based upon consensus agreement between the Study Director and attending

laboratory animal veterinarian. The Study Director and Sponsor/designee (if possible) will be

included in discussions of palliative and prophylactic procedures (nonlife-threatening conditions,

including suspension of dosing and removal of animals from study) recommended by the

attending veterinarian. Final authority for decision making will be with the laboratory animal

veterinarian.

Clinical Observations: Twice daily general observations. One daily cageside observations.

Weekly detailed observations. Abnormal findings or an indication of normal was recorded.

Anesthesia and Euthanasia: Animals were humanely anesthetized at the end of the study with

sodium pentobarbital and exsanguinated. Animals may be sedated with ketamine for transport to

the necropsy laboratory or for study-related procedures (ie: ECGs).

HBsAg measurements from in vivo study

For in vivo mouse studies, serum HBsAg concentrations were determined by SRL, Inc. (Tokyo,

Japan) based on the Chemiluminescent Enzyme Immuno Assay (CLEIA) developed by Fujirebio

(Lumipulse® Presto II) [46].

HBV DNA measurements from in vivo study

HBV DNA was extracted from 5 μL of serum using the SMITEST EX-R&D Nucleic Acid

Extraction Kit (Medical & Biological Laboratories, CO. LTD, Nagoya, Japan) and dissolved in

20 μL nuclease-free water (Thermo Fisher Scientific Inc., Waltham, MA). Real-time PCR was

used to measure the serum HBV DNA concentration using the TaqMan Fast Advanced Master

Mix (Thermo Fisher Scientific) and ABI Prism 7500 sequence detector system (Applied

Biosystems). The PCR reaction mixture was added into 5 μL of the extracted DNA. The initial

activation of uracil-N-glycosylase at 50°C for 2 minutes was followed by the polymerase

activation at 95°C for 20 seconds. Subsequent PCR amplification consisted of 53 cycles of

denaturation at 95°C for 3 seconds and annealing and extension at 60°C for 32 seconds per cycle

in an ABI 7500 sequence detector. The average serum HBV DNA level was calculated from the

values of the two separate wells. Forward primer: CACATCAGGATTCCTAGGACC, Reverse

primer: AGGTTGGTGAGTGATTGGAG, Probe: CAGAGTCTAGACTCGTGGTGGACTTC

Assessment of GS-5801 antiviral activity in PXB hepatocytes in vitro

Hepatocytes were isolated by two-step collagenase perfusion from PXB mice as described [47].

Hepatocytes were resuspended in DMEM medium (Thermo Fisher Scientific) containing 2%

FBS (Biosera, Kansas City, MO), 20 mM HEPES (Thermo Fisher Scientific), 44 mN $NaHCO_3$

(Wako Chemicals, Richmond, VA), 100 IU/mL penicillin (Thermo Fisher Scientific), and 100

ug/mL streptomycin (Thermo Fisher Scientific) and plated at a density of 4 x 10^5 cells/well of a

BioCoat Collagen I 24 well plate (Corning). Hepatocytes were infected with HBV virus GTC

(PXB Strain PBB004, Lot; 20151109) at 5 genome equivalents per cell in DMEM inoculum

medium containing 2% DMSO (Sigma-Aldrich), 4% PEG-8000 (Promega), 2% FBS (Biosera),

20 mM HEPES (Thermo Fisher Scientific), 44 mN NaHCO$_3$ (Wako Chemicals), 100 IU/mL

penicillin (Thermo Fisher Scientific), and 100 ug/mL streptomycin (Thermo Fisher Scientific),

15 µg/mL L-proline (Wako Chemicals), 0.25 µg/mL insulin (Sigma-Aldrich), 50 nM

dexamethazone (Sigma-Aldrich), 5 ng/mL epidermal growth factor (Sigma-Aldrich), and 0.1

mM L-ascorbic acid 2-phosphate (Wako Chemicals). After 24 hours, infection inoculum was

removed, cells were washed once with DMEM + 2% FBS and medium was replaced with

inoculum medium without PEG. Three days after infection, cells were treated with vehicle

(DMSO) or 5-fold serial dilutions of GS-5801 (10 – 0.016 µM). Medium containing fresh

compound was replaced every 3 days for a total of six doses of compound and levels of

extracellular HBsAg and HBeAg were measured on Day 21.

HBsAg and HBeAg measurements from in vitro hepatocyte study

Quantification of HBsAg and HBeAg levels was performed by SRL Inc. (Tokyo, Japan) based

on the Chemiluminescent immunoassay (CLIA) using an ARCHITECT instrument and the

ARCHITECT HBsAgQT (Abbott, Japan) and ARCHITECT HBeAgQT (Abbott, Japan) reagents

[48].

H3K4me3:H3 ELISA

Histone extractions and H3K4me3 and H3 measurements from rat and cynomolgus monkey

tissue and PBMCs were performed at Crown Bioscience (Taicang, China).

Histone enrichment

Histones were enriched from tissue and PBMC samples using the AbCam total histone extraction

kit (Cambridge, UK; ab113476) according to the manufacturer's protocol after cell/tissue

dissociation with an IKA T10 TURRAX homogenizer (Wilmington, NC; EW-04720-50).

Histones were enriched from 500,000 to 750,000 PHH. PHH monolayers were washed once with

cold (4°C) 1X DPBS (Dulbecco's phosphate buffered saline; 21-031-CV, Corning Inc.). Cold

triton extraction buffer (TEB): 1X DPBS, 0.5 % triton X-100 (Sigma-Aldrich, T9284), 2 mM

phenylmethylsulfonyl fluoride (PMSF; Thermo Fisher Scientific, 36978), was added to the cell

monolayer at a density of 1 x 10^6 cells/mL. After 10-minute incubation in TEB at 4°C, the cell

monolayer was scraped and cells were pelleted by centrifuged at 1200 rpm at 4°C for 10

minutes. The cell pellets were washed again in TEB by centrifugation at 1200 rpm at 4°C for 10

minutes. The resulting cell pellet was re-suspended in cold (4°C) 0.2 Normal hydrochloric acid

(HCl; Sigma-Aldrich, 343102) at a density of ~ 1 x 10^7 cells/mL and incubated at 4°C for 30

minutes. After incubation, HCl extractions were pelleted by centrifugation at 1200 rpm for 5

minutes at 4°C and supernatant containing the enriched histones was collected.

Total protein concentration of all samples following histone enrichment was determined using a

bicinchoninic acid (BCA) assay (Thermo Fisher Scientific; 23225).

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H3K4me3:H3 ELISA

An indirect ELISA assay was used to measure amounts of H3K4me3 relative to total H3

(H3K4me3:H3) extracted from tissue, PBMC, or PHH samples. Amounts of H3, H3K4me3, and

non-specific background from the secondary antibody (blank absorbance) were measured for

each sample in independent ELISA wells. Briefly, each histone extraction from tissue or PBMC

lysates was diluted in 50 mM Na_2CO_3 (Sigma-Aldrich; 223484), pH 9.5 coating buffer and 50 μl

of each diluted sample was added to 384-well plates (Greiner, Kremsmünster, Austria; 781061)

in duplicate. A coating concentration for tissue and PBMC histone extracts was used such that

the H3 and H3K4me3 OD measurements were in the linear range for the H3 and H3K4me3

antibodies. After plates were incubated overnight at 4°C to allow for coating of histone samples,

plates were blocked for one hour at room temperature (RT) in 100 µl 5 % skimmed milk (Fluka;

70166)/1X DPBS (Gibco; 70011-044) % w/v solution. Plates were washed three times with

0.1% Tween-20 (Amresco; 0777)/1 X DPBS % v/v solution (DPBST) and 50 µl/well of 0.05

µg/ml H3 (Sino Biological; 11231-RP02) or 0.5 µg/ml H3K4me3 (Millipore; 07473) primary

antibodies diluted in 1X DPBS were added to the ELISA plates and incubated for one hour at

RT. After primary antibody incubation, plates were washed 3 times with 100 µl/well PBST and

50 µl/well of an AbCam secondary antibody (ab137914) diluted to 0.05 µg/ml in 1X PBS was

added to the ELISA plates and incubated for one hour at RT. Plates were washed five times with

100 µl/well DPBST and 50 µl/well 3,3′,5,5′-tetramethylbenzidine (TMB) substrate solution

(Sigma; T0440) was added to each plate. Upon color development (~ three – five minutes),

reactions were quenched by adding 50 µl/well of 1 M H_2SO_4 (Sigma; 72266). Absorbance of

each well was measured at 450 nm within five minutes using a SpectraMax Plus 384 Microplate

Reader (Molecular Devices; PLUS 384).

Histone Mass Spectrometry

Histone Purification from PHH

Approximately 25 million PHH from Donor BCD (Bioreclamation) were plated on collagen

coated (Coating Matrix kit, Life Technologies, Cat. #R-011-K) plates (coated for 30 minutes at

37°C and subsequently washed twice with PHH plating medium). The PHH plating medium was

removed after 16 hours and replaced with PHH maintenance medium (Day 0). Fresh

maintenance medium supplemented with DMSO or 10 µM GS-5801 was added 3 days later

(Day 3). The maintenance medium was refreshed again with 10 µM GS-5801 or DMSO control

on Day 6, and the histones were extracted and purified 24 hours later (Day 7) using the Active

Motif histone purification mini kit (Cat. #40026). Modifications to the manufacturer's protocol

included two PBS washes prior to adding the histone extraction buffer to the cells, precipitation

of eluted histones in 8 % perchloric acid, and a single wash in cold acetone containing 0.2 %

HCl.

Propionic Anhydride Labeling

3 µg of purified core histones from control and GS-5801 treated PHH were diluted with

deionized H_2O to a total volume of 9 µl and buffered to pH 8.5 by addition of 1 µl of 1 M

triethylammonium bicarbonate buffer. Fresh 1:100 propionic anhydride:water reagent was

prepared and 1 µl of mixture was added immediately to the histone sample with vortexing and

incubated for 5 minutes at 25°C. The reaction was quenched with 1 µl of 80 mM hydroxylamine

for 20 minutes at 25°C. Tryptic digestion was performed overnight with 0.3 µg trypsin (Promega

Sequencing Grade; Madison, WI) per sample. Samples were dried down in a speed vac and

resuspended in 20 µl 0.1 M TEAB. To label the peptide N-termini, fresh 1:100 propionic

anhydride (light) and 1:100 d10-propionic anhydride (heavy) in water were prepared and used to

label the control or GS-5801 treated digests by adding 2 µl and incubating samples for 5 minutes

at 25°C. The reaction was quenched with 1 µl of 80 mM hydroxylamine for 20 minutes at 25°C,

dried down in a speed vac, and the resulting control and treated samples were resuspended and

combined in 50 µl of 3 % ACN and 0.1 % formic acid for analysis by mass spectrometry.

Mass Spectrometry

1 µl of sample was injected using a Thermo Fischer Scientific Ultimate 3000 Autosampler (San

Jose, CA) onto a 15 cm x 75 µm Thermo Fischer Scientific ES812 Easyspray nano column with

5 µm particle size. Separation was performed using an Thermo Fischer Scientific Ultimate nano

3000 UHPLC with a 300 nl/min flow rate (solvent A (0.1 % formic acid) and solvent B (90%

acetonitrile, 0.1% formic acid)) with a gradient from 3 % solvent B to 35 % (60 min), then 3 %

to 9 % (15 min), holding at 90 % for 5 min, and finally re-equilibrating for 20 min at 3 % solvent

B. Mass spectrometric analysis was performed using a ThermoFischer Scientific Q-Exactive HF

using a data dependent acquisition. Precursor scans from 350-1200 m/z as performed at 120 K

resolution using 50 ms maximum fill time and 3e6 AGC target. The top 15 ions were selected for

MSMS analysis at 15 K resolution using normalized collision energy of 27 with a 50 ms fill time

and 1E5 AGC target. Each sample was analyzed three times.

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Data Analysis

Data was searched using Thermo Fischer Scientific Proteome Discoverer V2.1 software. Peak

intensities corresponding to unmodified, methylated, dimethylated, and trimethylated H3K4

peptide "TKQTAR" were manually extracted using ThermoFischer Scientific Xcaliber software.

The ratio of drug treated/control was calculated for each sample and then corrected using the

average protein level ratio to account for differences in total protein between control and treated

samples.

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Biochemical characterization

Biochemical reagents

SI Table 3 contains detailed information about the sources of the recombinant enzymes. Peptide

substrates were from AnaSpec (Fremont, CA). α-Ketoglutarate (disodium salt dihydrate, 75892),

L-ascorbic acid (A0278), ammonium iron (II) sulfate hexahydrate (215406), BSA (A3803), and

β-nicotinamide adenine dinucleotide (NAD, N6522) were from Sigma-Aldrich. Tween-20 (10%,

28320) was from Thermo Fisher Scientific. 384 Alpha-plates, acceptor beads (5 mg/mL), alpha

streptavidin donor beads (5 mg/mL, 6760002), and 5× Epigenetic buffer (AL008) were from

PerkinElmer (Waltham, MA). Chicken core histones were obtained from Millipore (Billerica,

MA). HeLa cell nucleosomes were from Reaction Biology Corporation (Malvern, PA).

Nucleosome antigen was from Arotec Diagnosis (ATN02, Wellington, New Zealand). Small

molecule inhibitors used as positive controls included S2101 (CalBiochem/Millipore; 489477),

2,4-Pyridinedicarboxylic acid (AK Scientific, Union City, CA; 00473), 8-hydroxyquinoline

(Sigma-Aldrich; S018), 8-hydroxy-5-quinolinecarboxylic acid (Sigma-Aldrich; SML0057), S-

(5′-Adenosyl)-L-homocysteine (Sigma-Aldrich; A9384), Trichostatin A (Sigma-Aldrich;

T8552), and EX-527 (Sigma-Aldrich; E7024).

KDM enzymatic assays

The following assay protocol was used for all histone demethylases tested except KDM1A,

KDM5A, B, and D, which are described below. Compounds were serially diluted into a 384-well

Alpha plate as a DMSO solution and mixed with 5 µL reaction buffer (buffer A) containing 50

mM HEPES (pH 7.0), 0.1% BSA, and 0.003% Tween-20. The enzyme of interest was pre-

incubated with 15 µM of Fe^{2+} for 10 min (except 30 min for KDM2B) in reaction buffer A. 5 µL

of the enzyme mixture was added to each of the compound-containing wells and incubated for

10 min. The reaction was started by addition of a 5 µL mixture containing 300 nM peptide

substrate, 75 µM L-ascorbic acid, and 30 µM α-ketoglutarate in buffer A. This final 15 µL of

reaction mixture was incubated for 20 min for KDM5B and 1 hour for the other KDM enzymes.

The reaction was quenched with 10 µL of diluted AlphaLISA Acceptor beads (1:400) in

Epigenetics Buffer and incubated for 60 min. The Donor beads were diluted 1:400 into

Epigenetics Buffer in the dark and 10 µL of this was added to each well and incubated for

another 60 min in the dark. The assay plates were read on an Enspire plate reader (PerkinElmer)

using a standard AlphaLISA program.

KDM5A and 5B were tested using CisBio HTRF assay platform by Reaction Biology Corp

(Malvern, PA). All concentrations are final unless noted otherwise. Inhibitors were pre-incubated

with 2.5 nM KDM5A or 1.2 nM KDM5B in a 5 µL reaction mixture containing 50 mM HEPES

(pH 7.5), 50 mM NaCl, 0.01% Tween 20, 0.01% BSA for 15 min temperature. The reaction was

initiated by addition of 5 µL of 50 nM Biotin-H3K4me3 and incubated with gentle shaking for

45 min at RT. The reaction was quenched with 10 mM EDTA and 200 mM potassium fluoride.

Upon addition of Eu-Antibody and Streptavidine-XL665, the mixture was incubated for 2 hours

in the dark. The fluorescence resonance energy transfer was measured using an excitation

wavelength of 320 nm and emission wavelength of 665 nm on an Envision plate reader (Perkin

Elmer).

KDM5D was tested using a LANCE TR-FRET assay by Eurofins Cerep SA (France). All

concentrations were final unless noted otherwise. Inhibitors were added to a mixture containing

45 mM HEPES/Tris-HCl (pH 7.5), 5 µM ferrous ammonium sulfate, 100 µM ascorbic acid, 10

µM 2-oxoglutarate, 0.01% Tween 20, 0.01% BSA, and 10 ng of enzyme, followed by addition of

100 nM biotin-H3K4me3 substrate. The 10 µL reaction mixture was incubated for 10 min at

room temperature and quenched through the addition of 1 mM EDTA to reach a final

concentration of 0.33 mM EDTA. After 5 min, the Eu-labeled anti-methyl histone H3K4me1-2

antibody and the Ulight streptavidin were added, and the mixture was incubated for 60 min. The

fluorescence resonance energy transfer was measured using an excitation wavelength of 320 nm

and emission wavelengths of 620 nm and 665 nm on an Envision plate reader (Perkin Elmer).

The KDM1 assay was conducted in the presence of NAD but without Fe(II) or ascorbic acid. A

10 µL reaction mixture containing 50 mM Tris-HCl (pH 9.0), 50 mM NaCl, 0.01% Tween-20,

0.25 nM of enzyme, and 1 mM NAD was pre-incubated for 10 min. The reaction was started by

addition of 5 µL of H3(1-21)K4 me1, and the reaction mixture was incubated for 60 min. The

reaction was quenched with 10 µL AlphaLISA Acceptor beads (1:200) in Epigenetics Buffer and

the reaction mixture was incubated for 60 min. The Donor beads were diluted 1:200 into

Epigenetics Buffer in the dark, and 10 µL of this mixture was added to each well and incubated

for another 60 min in the dark. The assay plates were read on an Enspire plate reader

(PerkinElmer) using a standard AlphaLISA program.

Histone methyltransferase enzymatic assays

Histone methyltransferase assays were performed in 96-well half-area optiplates (PerkinElmer)

at room temperature. All concentrations are final unless noted otherwise. Compounds were

serially diluted in DMSO and the final DMSO concentration was 1%. A 24 µL reaction mixture

contained compound, enzyme, 200 nM of nucleosome or histone substrate, 400 nM ^{3}H-SAM

(83.1 Ci/mmol), 50 mM Tris-HCl (pH 8.0), 50 mM NaCl, 5 mM $MgCl_2$, 1 mM DTT, and 0.01%

Tween-20. The plate was sealed, mixed on a Titramax plate shaker at 1200 rpm for 30 sec, and

incubated at room temperature for 60 min. The reaction was quenched by addition of 24 µL

mixture containing 5 mg/mL PVT-PEI beads and 300 µM unlabeled SAM in water. The plate

was sealed, mixed as previously noted, incubated overnight, and read on a TopCount plate reader

(PerkinElmer).

Histone deacetylase enzymatic assays

The HDAC-1 histone deacetylase assay was tested using the FLUOR DE LYS platform (Cisbio,

Bedford, MA). Inhibition of SIRT-1 histone deacetylase was tested with the AlphaLISA

detection system. All assays were conducted at room temperature with a final DMSO

concentration of 1%. The HDAC-1 reactions were started by incubating a 5-μL mixture

containing 50 mM Tris-HCl (pH 8.0), 137 nM NaCl, 2.7 mM KCl, 1 mM MgCl$_2$, 1 mg/mL BSA,

10 nM HDAC-1, and various concentrations of inhibitors for 10 minutes prior to addition of 5

μL 100 μM fluorogenic peptide from p53 residues 379-382 [RHKK(Ac)AMC]. After a 60-120-

minute incubation at 30 ºC, the reaction was quenched with 2 μM trichostatin A (final

concentration), mixed with 10 μL of Developer and incubated at 30 ºC for 1 hr. The fluorescence

signal was measured using an excitation wavelength of 360 nm and emission wavelength of 460

nm on an EnVision Multilabel plate reader (PerkinElmer). The SIRT-1 reactions were carried out

in a 15 μL mixture containing 50 mM Tris-HCl (pH 8.0), 150 nM NaCl, 1 mM DTT, 0.01%

Tween-20, 0.01% BSA, 5 nM enzyme, 1 mM NAD, and 25 nM H3(1-21)K4(Ac) substrate. After

a 60-minute incubation, the reaction was quenched with 10 μL AlphaLISA H3K4-specific

Acceptor beads (1:200) in Epigenetics Buffer, and the reaction mixture was incubated for 60

min. The Donor beads were diluted 1:200 into Epigenetics Buffer in the dark and 10 μL of this

mixture was added to each well and incubated for another 60 min in the dark. The assay plates

were read on an Enspire plate reader (PerkinElmer) using a standard AlphaLISA program.

IC50 determination

The IC50 value was defined as the concentration of inhibitor inducing 50% decrease in product

formation. Data was analyzed using GraphPad Prism 6.0 (La Jolla, CA). Unless otherwise

mentioned, IC50 values were calculated by non-linear regression analysis using sigmoidal dose-

response (variable slope) equation (four parameter logistic equation):

Y= Bottom + (Top-Bottom)/(1+10^((LogIC50-X)*HillSlope)),

where X is log of the concentration of the inhibitor, Y is the response, the Bottom and Top

values were fixed at 0% and 100%, respectively. IC50 values were calculated as an average of at

least two independent experiments.

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RNA-Seq library preparation and analysis

Library building and sequencing for RNA-seq of PHH and rat liver was performed on all

samples at EA Genomics/Q2 Solutions (Morrisville, NC). Approximately 100 mg of rat liver

tissue from the left later lobe was flash frozen immediately after collection. PHH were lysed into

RLT buffer (Qiagen; 79216) and immediately frozen. Liver/PHH samples were homogenized in

QIAzol Lysis Reagent (79306) and after addition of chloroform the samples were separated into

aqueous and organic phases by centrifugation. Ethanol was added to the upper aqueous phase

and the sample was applied to an RNeasy Mini spin column (74104) for purification and RNA

eluted with water. Libraries were prepared using the Illumina TruSeq stranded mRNA sample

preparation kit according to the manufacturer's protocol (Illumina, Inc., Hayward, CA; RS-122-

2103). Approximately 30 million 2 x 50 bp paired-end reads were collected per sample using the

Illumina HiSeq2000 sequencing platform. Sequencing reads were aligned to the human, rat, or

HBV genomes by STAR [49]. The Bioconductor packages edgeR [50] and limma [51] were used

to normalize sequence count data (counts per million; cpm) and conduct differential gene

expression analysis. The false discovery rate (FDR) was calculated using the Benjamini-

Hochberg method [52].

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1194　**Data availability**

1195 RNASeq data generated in this study were deposited in the Gene Expression Omnibus

1196 (http://www.ncbi.nlm.nih.gov/geo) with accession numbers GSE166040 and GSE165727.

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Figure1

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