1	PipeIT2: A tumor-only somatic variant calling workflow for Molecular
2	Diagnostic Ion Torrent sequencing data
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35 ABSTRACT

36	Precision oncology relies on the accurate identification of somatic mutations in cancer
37	patients. While the sequencing of the tumoral tissue is frequently part of routine clinical care,
38	the healthy counterparts are rarely sequenced. We previously published PipeIT, a somatic
39	variant calling workflow specific for Ion Torrent sequencing data enclosed in a Singularity
40	container. PipeIT combines user-friendly execution, reproducibility and reliable mutation
41	identification, but relies on matched germline sequencing data to exclude germline variants.
42	Expanding on the original PipeIT, here we describe PipeIT2 to address the clinical need to
43	define somatic mutations in the absence of germline control. We show that PipeIT2 achieves
44	a >95% recall for variants with variant allele fraction >10%, reliably detects driver and
45	actionable mutations and filters out most of the germline mutations and sequencing artifacts.
46	With its performance, reproducibility and ease of execution, PipeIT2 is a valuable addition to
47	molecular diagnostics laboratories.
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53 INTRODUCTION

54 Detection of genomic alterations is becoming a critical component in the standard-of-care in 55 modern oncology^{1,2}. Typically, the detection of genomic alterations is performed using 56 targeted sequencing panels to profile previously described cancer and actionable gene 57 regions. The lon Torrent sequencing platform is frequently used for targeted sequencing in 58 the diagnostic setting due to its relatively low costs, ability to profile limited genetic material 59 and rapid turnaround³. While Ion Torrent library preparation and sequencing are relatively 60 straightforward, the methods for sequencing data analysis are not very well-developed. Due 61 to the technical differences between Ion Torrent and other sequencing platforms, most of the 62 variant calling tools previously tested, validated and extensively used by the community are 63 not suited for Ion Torrent data. Ion Torrent sequencing data are typically analyzed on its own 64 analysis platform lon Reporter. We and others have reported the high false positive rate of 65 Ion Reporter analyses, especially for custom panels that lack built-in analysis workflows^{4,5}. 66 Consequently, analyses performed on the lon Reporter platform typically require extensive 67 manual review of the results.

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69 We recently published PipeIT, a pipeline to detect somatic variants in matched tumor-70 germline samples from Ion Torrent sequencing data⁵, providing a reliable and automated 71 workflow to perform variant calling analysis, outperforming a standard lon Reporter analysis. 72 We previously benchmarked the variant calling analysis of lon Reporter using both standard 73 parameters provided by the manufacturer and a set of optimized parameters. In both cases, 74 Ion Reporter was indeed able to detect genuine somatic mutations, validated by whole-75 exome sequencing and/or Sanger sequencing on two different matched tumor-germline 76 cohorts), but it also showed the presence of several false positives, notably when the 77 analysis was performed using the standard, non optimized parameters provided by the 78 machine⁵. To ensure reproducibility and ease of deployment, PipeIT was built as a

Singularity⁶ container image file that can be easily executed with a single command, without
the need of additional software other than the Singularity platform.

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The main drawback of PipeIT is the need for germline matched control data. When the goal is to identify somatic mutations, the sequencing of normal controls can be critical in order to remove germline mutations^{1,7,8}. In routine clinical care, however, the sequencing of tumoronly tissue is often preferred, for time, costs and sample availability reasons. Moreover, researchers might want to analyze old, archived samples, for which matched germline controls may not be available. These scenarios significantly limit the contexts where PipeIT can be used and, ultimately, prevent the software from fully achieving its original aim.

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Here we present PipeIT2, an extension of PipeIT to enable variant calling analyses on tumor samples without matched germline controls with a single command. PipeIT2 identifies and filters likely germline mutations by leveraging their allele frequencies in population databases and, if provided, by detecting their presence in unmatched Panel of Normal (PoN) samples. We demonstrate that PipeIT2 was able to detect clinically relevant somatic mutations, while correctly identifying and removing most of the germline genomic alterations.

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98 MATERIALS AND METHODS

99 Building the PipelT2 Singularity Container Image

The original PipeIT Singularity container has been updated to include the PipeIT2 tumor-only workflow. The file is a read-only squashfs file system Singularity image built on a CentOS7 Docker image as a base, as previously described⁵. PipeIT2 provides the entry points to perform both the matched tumor-germline and the new tumor-only workflow. Similar to PipeIT, the new PipeIT2 Singularity image provides most of the data needed to perform the complete analysis, except the population datasets due to file size. The population datasets can be downloaded with PipeIT2 using a utility provided in the Singularity image.

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108 The PipelT2 tumor-only analysis workflow

109 The PipelT2 tumor-only analysis workflow comprises the following steps: 1) variant calling, 110 2) variant post-processing, 3) variant annotation, 4) read count and quality-based variant 111 filtering, 5) annotation-based variant filtering and, 6) optionally, PoN-based variant filtering 112 (Figure 1). Due to their likely role in cancer development, hotspot variants are annotated and whitelisted from all filtering steps^{9,10}. This workflow requires a Binary Alignment Map 113 114 (BAM)¹¹ file for the tumor sample from the Ion Torrent Server aligned using the Torrent Mapping Alignment Program (TMAP) aligner, a Browser Extensible Data (BED)¹² file 115 defining the target sequenced regions, Annovar¹³ annotation files comprising of population 116 117 minor allele frequencies, and optionally blacklist BED file and/or a Variant Call Format 118 (VCF)¹⁴ file containing the mutations found in the PoN. In contrast to the original PipeIT 119 tumor-germline analysis workflow, PipeIT2 does not use sequencing data from matched 120 germline controls.

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Variant calling (step 1) is performed using the Torrent Variant Caller (TVC, v5.12-27 with
 tvcutils 5.0-3, Thermo Fisher Scientific) using the same low stringency parameters used in

the original PipelT tumor-germline analysis workflow⁵, packaged in a JSON file within 124 125 PipelT2. Specifically, we use a quality threshold of 6.5, a variant score equal or higher than 126 10, a minimum coverage of 8 reads for single nucleotide variants (SNVs) and 15 reads for 127 small insertion/deletions (INDELs) and a variant allele frequency (VAF) of 2% for both SNVs 128 and INDELs. It is possible to customize the parameters by providing PipeIT2 a JSON file 129 following the format required by TVC. Some commercially available gene panels come with 130 a blacklist, consisting of recurrent artifacts identified through the sequencing of normal 131 samples. The blacklist is typically included in the hotspot BED file and these variants are 132 tagged with "BSTRAND=F" (on the forward strand), "BSTRAND=R" (on the reverse strand), 133 or "BSTRAND=B" (on both strands). If a blacklist BED file is provided, it will be used by TVC. 134 Normalization of the raw variants (step 2, splitting multiallelic into biallelic variants and left-135 aligning) is then performed as in PipeIT to facilitate downstream processing.

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In the next step, normalized variants are annotated using snpEff¹⁵ and Annovar¹³ (step 3). 137 138 Aside from the transcript and protein effects of the variants, PipelT2 also annotates the 139 variants with their homopolymer lengths and their minor allele frequencies observed in populations using data from the 1000 Genomes Project (1KG)¹⁶, the Exome Aggregation 140 Consortium (ExAC)¹⁷, the NHLBI Exome Sequencing Project (ESP)¹⁸ and the Genome 141 142 Aggregation Database (GnomAD)¹⁹. Additionally, variants in mutation hotspot regions^{9,10} 143 [https://github.com/charlottekyng/cancer hotspots, last accessed March 9, 2022] are 144 annotated.

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Variant filtering is then performed in three stages. First, read count and quality-based filtering (step 4) is performed to remove variants of low confidence. By default, PipeIT2 removes variants with fewer than 20 total reads (corresponding to the INFO field FDP), fewer than 8 reads supporting the variant (FAO), less than 10% VAF (FAO/FDP), fewer than 3 forward (FSAF) and 3 reverse reads (FSAR), strand bias (FSAF/FSAR) below 0.2 in either

direction, a quality score below 15, or variants in homopolymer regions of length greaterthan 4 (**Table 1**).

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Second, PipeIT2 leverages population data to remove likely germline variants (step 5). Specifically, variants are removed if they are observed with minor allele frequencies equal to or higher than 0.5% in any of the four population-level databases 1KG, ExAC, ESP and GnomAD. Variants with VAF between 0.4 and 0.6, or greater than 0.9 are removed if they are found at any allele frequency in any of the four population-level datasets.

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160 Third, as an optional step, PipeIT2 can use a user-defined Panel of Normals (PoN) in order 161 to further reduce the number of likely false positive variants (step 6), including germline 162 variants not removed in step 5 and systematic sequencing and alignment artifacts. Accepted 163 inputs are either a pre-generated PoN VCF file or a list of unmatched germline BAM files 164 from samples sequenced on the same platform as the tumor sample. If a list of BAM files is 165 provided, PipelT2 automatically calls variants in each of these normal samples as per 166 variant calling and post-processing steps in the tumor-only workflow. These germline VCF 167 files are then merged with the GATK 'CombineVariants' function using the UNIQUIFY option 168 and retaining mutations found in at least two of the input samples.

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170 The final post-filtering output is returned as a VCF file.

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172 Evaluation of the PipelT2 tumor-only workflow

173 Sequencing data from 15 formalin-fixed paraffin-embedded colon adenomas²⁰ (COAD 174 cohort) and 10 frozen hepatocellular carcinoma samples²¹ (HCC cohort) were retrieved from 175 our previous publication⁵. The performance of the PipeIT2 tumor-only workflow and the 176 contribution of the PoN-based variant filtering step (step 6 above) was assessed using the 177 outputs from the tumor-germline workflow as the benchmark. The PoN files used in these

analyses were generated from 8 randomly selected unmatched germline samples from the corresponding cohorts. The mutations detected in PipeIT2 were classified as: true positives (TP, mutations called by both workflows), false positives (FP, mutations called by the tumoronly workflow but not the tumor-germline workflow), and false negatives (FN, mutations detected by the tumor-germline workflow but not the tumor-only workflow). Performance of PipeIT2 was evaluated as recall (TP/(TP+FN)), precision (TP/(TP+FP)) and F1 score (2*precision*recall/(precision+recall)).

185 Visualization of BAM files

186 Integrative Genomics Viewer (IGV)²² was used to visualize the BAM files and search for the 187 presence of false positive mutations across the original matched tumor-germline pairs and 188 the unmatched germline samples used to build the PoN files for these benchmarking 189 analyses.

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191 **SOFTWARE AVAILABILITY:** PipeIT2 is available at <u>https://github.com/ckynlab/PipeIT2</u>.

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193 RESULTS

194 Running the PipelT2 tumor-only workflow

To provide an effective somatic variant calling analysis on tumor data originated from Ion Torrent platform in the absence of a matched germline, we updated the original PipeIT functionality to allow the users to choose between the classic tumor-germline (PipeIT) and the new tumor-only (PipeIT2) analyses. The PipeIT2 tumor-only workflow (**Figure 1**) can be executed in a single command as follows:

200 singularity run PipeIT.img -t path/to/tumor.bam -e path/to/region.bed -c
201 path/to/annovar/humandb/folder (-d path/to/PoN/file.vcf)

Using this command, somatic variants are called with an Ion Torrent-specific variant caller (TVC), followed by a normalization step to facilitate downstream processing. Raw variant calls are filtered in a multi-step process, specifically optimized to remove likely germline and artefactual variants in the absence of a matched germline control. Specifically, low confidence variants are removed with read- and quality-based filters. Then, information from population sequencing data is leveraged to identify likely germline variants. An optional panel of unmatched normal samples (PoN) can be used to further reduce the number of germline and artefactual variants. In order to ensure the detection of known cancer hotspot variants, they are annotated and whitelisted from all filtering steps^{9,10}.

211 Evaluation of the PipelT2 tumor-only workflow

212 To evaluate the performance of the PipeIT2 tumor-only workflow, we analyzed the 10 fresh 213 frozen hepatocellular carcinoma (HCC) samples and 15 formalin-fixed paraffin-embedded 214 colon adenomas (COAD) used in our previous publication⁵. The 10 HCCs and their matched 215 germline were sequenced using a previously published custom HCC targeted sequencing 216 panel²¹ and the 15 COADs with corresponding germline samples using the Oncomine 217 Comprehensive Panel v3²³. We ran the tumor-only workflow with default parameters (**Table** 218 1) to call somatic variants and compared the non-synonymous and TERT promoter 219 mutations to those called using the tumor-germline workflow. To investigate whether the use 220 of a PoN could improve the performance, for each of the 25 samples, a PoN VCF was 221 generated from 8 randomly chosen unmatched germline samples (i.e. excluding the 222 matched germline) of the corresponding cohort. We analyzed each of these 25 samples with 223 and without the PoN and evaluated the performance of the tumor-only workflow in terms of 224 precision, recall and F1 value.

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Across the 10 HCC samples, we identified 53 true positive, 11 false positive and 15 false negative variants (**Figure 2A**). Of the 53 true positive variants, 10 were annotated hotspot variants. All 11 false positive variants were confirmed as rare germline variants on IGV (**Supplemental Figures 1 and 2**). Nine of them are the same recurring dinucleotide variant (DNV) *chr2:21232803:TG>CA* in *APOB*, which upon closer inspection appeared to be 2

231 distinct SNPs - rs584542 (chr2:21232803:T>C) and rs1041968 (chr2:21232804:G>A) which were validated as germline by orthogonal whole-exome sequencing²¹ (Supplemental 232 233 Figure 2). This variant was also present in the PoN and therefore successfully filtered out in 234 the PoN analysis (Supplemental Figure 2). All 15 false negative variants were removed by 235 filters specific to the tumor-only workflow to limit the number of artifactual variants. In 236 particular, 14 variants were below the VAF filtering threshold of 10% and one variant was 237 located in a homopolymer region of length greater than 4. It is worth mentioning that one of the HCC samples (HPU207) was previously identified as hypermutated²¹ and 13/15 of the 238 239 false negative variants were missed in this sample. Overall, the analysis without a PoN 240 achieved recall, precision and F1 of 0.78, 0.83 and 0.80 respectively (Figure 2B). With the 241 use of a PoN, precision improved to 0.96, resulting in an F1 score of 0.86. When we only 242 considered variants >10% VAF, a threshold typically used in the molecular diagnostic 243 setting, the recall increased from 0.78 to 0.98 with an F1 score of 0.90 in the analysis 244 without a PoN and 0.97 with the additional use of a PoN (Figure 2B).

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246 In the cohort of 15 COADs, we identified 26 true positives, including 19 hotspot variants, as 247 well as 12 false positive and 12 false negative variants (Figure 2A). Most (9/12) false 248 positive variants were confirmed as rare germline variants, including one that was 249 successfully removed in the PoN analysis. Another two artifactual variants were present in 250 the respective PoNs and hence successfully filtered out in the PoN analysis. Similar to the 251 analysis of the HCC cohort, nearly all (11/12) false negative variants were filtered out due to 252 their low allele frequency (VAF <10%). The remaining false negative variant was removed 253 due to its strand-bias. Without the use of a PoN, the recall, precision and F1 score were all 254 0.68, while the precision increased to 0.74 (F1=0.71) with the use of a PoN (Figure 2B). 255 Excluding variants with VAF<10%, the recall was 0.96, increasing the F1 score to 0.80 and 256 0.84 in the analysis with and without PoN, respectively (Figure 2B).

257

Overall, PipelT's recall of variants with a VAF ≥10% was nearly perfect, with only one variant missed in each cohort. Misclassification of rare germline variants as somatic was the main reason for false positive variants (20/23; 87%) and represents a known limitation of tumoronly variant calling. The additional use of a PoN has helped to reduce the overall number of false positives by 52% (12/23).

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264 Evaluation of the PipelT2 tumor-only workflow in a clinical context

265 To evaluate whether the PipeIT2 tumor-only workflow would detect clinically and biologically significant variants, we used oncoKB²⁴ to annotate the oncogenicity and clinical actionability 266 267 (levels 1-3, namely FDA-approved drugs, standard care and clinical evidence) of the 268 variants. Across both cohorts, the PipeIT2 tumor-only workflow successfully detected all 269 cancer hotspot variants. In the HCC cohort, we detected the known oncogenic TERT 270 promoter (c.-150C>T) and CTNNB1 (p.S33C; p.T41A) mutations, likely oncogenic variants in 271 CTNNB1 (p.D32A; p.S37C) and likely oncogenic truncating variants in ARID1A (p.Y128*; 272 p.S255fs), ATM (p.C117*), AXIN1 (p.Q559*), RB1 (p.E545*) and TP53 (p.C135*; Figure 273 **3A**). In the COAD cohort, PipeIT2 identified several targetable oncogenic variants such as a 274 KRAS p.G12C and BRAF p.V600E, as well as mutations linked to anti-EGFR resistance 275 such as the KRAS and NRAS p.Q61K variants (Figure 3B). In addition, oncogenic variants 276 in BRAF (p.N581I), CTNNB1 (p.T41A; p.S45A) and PIK3CA (p.C420R), a likely oncogenic 277 truncating variant in ARID1A (p.Y815fs) and a likely oncogenic variant in KDR (p.C482R) 278 were also identified.

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Among the 23 false positive variants (11 in the HCC cohort and 12 in the COAD cohort), 20 were germline variants in genes such as *APOB* and *NOTCH2*, of which 10 were removed with the PoN (**Figure 3**). Of the remaining three false positives, two were likely sequencing artifacts which were filtered out with the PoN and one was likely an artifact. All 27 false

negative variants were low VAF variants. Of those, 25 had a VAF <10% and the remaining
two had a VAF between 10% and 15%. Only five of these low-VAF variants, *ATM* (p.E281*), *HNF1A* (p.G375fs) and *KEAP1* (p.R554*) in the HCC cohort and *CDK12* (p.S133fs) and *CDKN1B* (p.R152fs) in the COAD cohort are likely oncogenic but none of them was reported
as potential resistance variant.

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290 **DISCUSSION**

Precision oncology care is increasingly reliant on the identification of somatic DNA alterations in cancer patients. DNA sequencing of tumor tissues with targeted genomic assays represents, to date, the best means to retrieve this information^{25,26}. Furthermore, the additional sequencing of a healthy tissue sample from the same cancer patient is the definitive way to determine which of the genetic alterations found in the tumor tissue are likely somatic⁸.

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298 Ion Torrent is one of the most popular sequencing platforms in the routine diagnostic setting 299 due to its low costs and low sample input requirements, but the proprietary lon Reporter 300 software requires a paid license and lacks a streamlined data analysis, particularly for 301 custom target panels. We previously developed PipelT, a somatic variant calling workflow 302 specific for Ion Torrent sequencing data enclosed in a Singularity image file⁵. The strength of 303 PipelT lies in its ease of deployment and use, reproducible results, and demonstrated 304 accuracy. On the other hand, the need for tumor-germline matched sequencing data limits 305 the use of PipeIT in the clinical setting where germline samples are frequently not 306 sequenced. The main reasons for the lack of sequencing data of a matched normal sample 307 are time, costs and sample availability. To address this shortcoming, we developed PipelT2, 308 a Singularity container which contains the original PipeIT tumor-germline workflow and an 309 additional tumor-only workflow.

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311 To overcome the challenges associated with the lack of a matched gemline control, PipelT2 312 leverages three filtering steps. The first filter relies on more stringent filtering thresholds 313 compared to those used in the tumor-germline workflow, including a VAF threshold of 10%, 314 compared to the previous 5%, and additional strand-bias and homopolymer filters. The second makes use of data obtained from the 1KG¹⁶, ExAC¹⁷, ESP¹⁸ and the GnomAD¹⁹. 315 316 Mutations detected in at least 0.5% (or any other user-defined percentage) of the samples in 317 any of these databases are removed from the final output. The last filter is the optional PoN 318 filter, which consists of user-defined mutations obtained from unmatched normal samples or 319 otherwise blacklisted variants. This third step is not mandatory, to enable the use of the 320 tumor-only workflow even if there are no unmatched germline samples available.

321

322 To evaluate the performance of PipeIT2, the mutations identified by PipeIT2 from 10 HCCs 323 and 15 COADs were compared to the ones identified by the tumor-germline workflow. Using 324 panels of 8 randomly chosen unmatched normal samples for each tumor sample, a total of 325 79 non-synonymous or TERT promoter mutations, including several important clinical 326 biomarkers, were correctly detected across the two cohorts. These include targetable 327 mutations such as KRAS p.G12C and BRAF p.V600E, several mutations implicated in anti-328 EGFR resistance such as the KRAS and NRAS p.Q61K variants and various known 329 oncogenic variants in genes such as BRAF, CTNNB1, PIK3CA and TERT. Nevertheless, 27 330 mutations were mistakenly removed from the PipelT2 output. The primary reason for the 331 removal (25/27: 93%) was the low allele fraction of these mutations. This is a result of the 332 more stringent VAF-based filtering in the tumor-only workflow which is necessary to limit the 333 number of false positive calls in the absence of a matched germline sample. Given that 334 clinically important resistance mechanisms typically involve recurrent hotspots and PipelT2 335 actively whitelists such hotspot mutations, these mutations would still be identified even if 336 they are found at low VAF.

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By providing a variant calling analysis able to detect somatic mutations in tumor samples lacking a matched germline control, PipeIT2 offers an important improvement over the original PipeIT workflow. Thanks to filters based on population allele frequencies and variants found in panels of unmatched germline samples, PipeIT2 was able to detect most of the somatic mutations previously identified in the matched tumor-germline analysis, including several important clinical biomarkers. In conclusion, PipeIT2 offers a powerful, user friendly and easily reproducible tool specific for Ion Torrent targeted sequencing analyses.

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349

350 AUTHOR CONTRIBUTIONS

351 S.P. and C.K.Y.N. conceived and supervised the study. D.S., A.G., A.B., the SOCIBP 352 consortium and C.K.Y.N. developed the methodology. G.R and M.A.R. provided critical 353 review of the results. D.S., A.G. and C.K.Y.N. interpreted the results and wrote the 354 manuscript. All authors agreed to the final version of the manuscript.

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362 **TABLES**

	363	Table 1. Filtering parameters and default value	s of the tumor-only workflow.
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Parameter Description **Default value** 8 --min_supporting_reads Minimum number of reads supporting the variant -min_tumor_depth Minimum read depth at the locus 20 0.1 --min_allele_fraction Minimum allele fraction (i.e. the number of read supporting the variant divided by the read depth at the locus) --homopolymer_run Maximum homopolymer region length 4 --max_pop_af Maximum frequency of mutation in population databases 0.005 15 --quality Minimum quality score

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367 FIGURE LEGENDS

Figure 1. Overview of the PipeIT2 tumor-only workflow. Flowchart showing the steps of the workflow. The workflow takes the BAM file for the tumor sample, the BED file for the target regions, the Annovar datasets for the population databases and, optionally, a Panel of Normals. Variant calling is then performed using the Torrent Variant Caller with the packaged parameters file. Mutations are filtered based on read count and quality, population frequencies and, when provided, the Panel of Normals. The output is returned as a VCF file.

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Figure 2. Performance evaluation of PipeIT2. (A) Barplots showing the number of true positive (TP), false positive (FP) and false negative (FN) variants in the (left) HCC and (right) COAD cohorts. Mutation classification is indicated in the color key. (B) Heatmaps showing the recall, precision and F1 of PipeIT2 in a VAF range of (left) 1%-100% ('all variants') and (right) 10%-100% in the (top) HCC and (bottom) COAD cohorts. Boxes are colored according to the color key.

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382	Figure 3. Variants detected by PipeIT2. Oncoprints of the variants called in the (A) HCC
383	and (B) COAD cohorts. Variant types are color-coded as indicated in the color key. Multiple
384	variant types indicate multiple variants of different types. False positive mutations are
385	marked with a dot. Red dots indicate likely sequencing artifacts found in the PoN, yellow
386	dots indicate confirmed germline variants found in the PoN, gray dots indicate confirmed
387	germline variants absent in the PoN and black dots indicate other false positive mutations.
388	False negative mutations are highlighted with an empty square if their VAF is <10% and with
389	a filled square if ≥10%.
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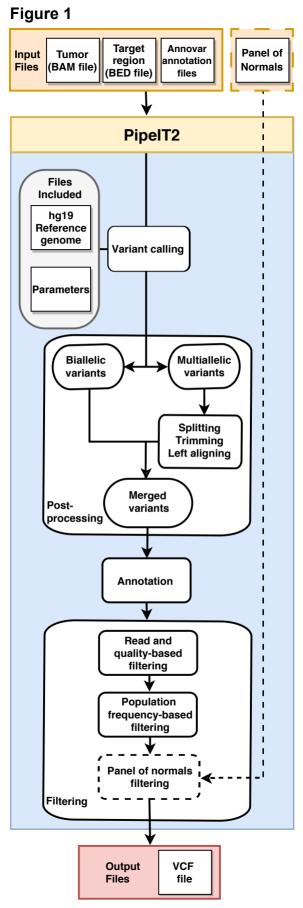
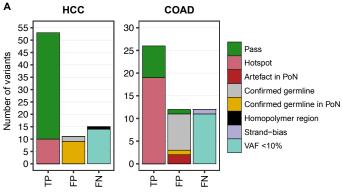


Figure 2



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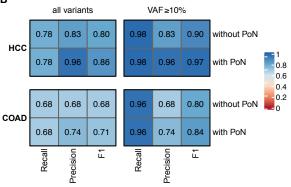
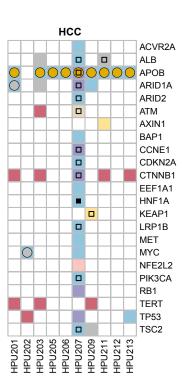
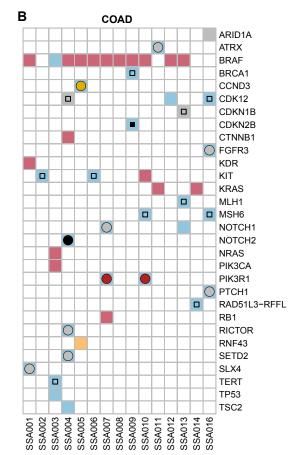
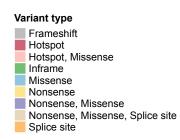


Figure 3 A







Variant info

- FP: Artefact in PoN
- FP: Confirmed germline in PoN
- FP: Confirmed germline
- FP: Others
- FN: VAF<10%</p>
- FN: VAF ≥10%