A fully phased interspecific grapevine rootstock genome sequence representing *V. riparia* and *V. cinerea* and allele-aware annotation of the phylloxera resistance locus *Rdv1*

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Abstract

The phylloxera resistant rootstock cultivar 'Börner' is an interspecies hybrid derived from *Vitis riparia* and *V. cinerea* and a valuable resource for *Vitis* disease resistances. We created a fully phased, high-quality 'Börner' genome sequence named BoeRC using long PacBio reads. Comprehensive gene annotation of both 'Börner' haplotypes, designated BoeRip and BoeCin, was applied to describe the phylloxera resistance locus *Rdv1*. Using a mapping population derived from a sensitive *V. vinifera* cultivar and 'Börner', the *Rdv1* locus was further delimited. *Rdv1*, which is derived from *V. cinerea* and included in the haplotype BoeCin, was compared with sequences of phylloxera susceptible and phylloxera tolerant cultivars. Between flanking regions that display high synteny, we detected and precisely characterized a diverse sequence region that covers between 202 to 403 kbp in different haplotypes. In BoeCin, five putative disease resistance genes were identified that represent likely candidates for conferring resistance to phylloxera.

Keywords

Assembly, PacBio, SMRT sequencing, grapevine, rootstock, genome, Canu, annotation, resistance, resistance gene analogs, phylloxera, *Rdv1*

Background

Vitis vinifera Linné subspecies vinifera is the most cultivated Vitis species worldwide. It is economically highly important and appreciated for wine production, table grapes, dry fruits like raisins, and other products. The species is endemic to Europe, and domestication and development of cultivars and has a very long history [1]. Vitis vinifera is highly susceptible to many diseases and pests including downy and powdery mildew as well as to the root aphid phylloxera (Daktulosphaira vitifoliae Fitch) [2]. In the 19th century, phylloxera caused a crisis of unprecedented dimension for viticulture and questioned the survival of viticulture in general. V. vinifera plants died quickly after phylloxera attack of the roots and huge cultivation areas were destroyed. Only the grafting of susceptible V. vinifera cultivars as scions to phylloxera-tolerant rootstocks derived from American wild Vitis species or their interspecific hybrids rescued viticulture [3, 4]. The phylloxera plague remains as a serious case even if it is controlled at the moment. The selection of a good rootstock that provides resistances or tolerances to the almost ubiquitous phylloxera is crucial for nowadays viticulture. One newly developed rootstock variety is the cultivar 'Börner', an interspecific F1 hybrid derived from a cross of the American Vitis genotypes Vitis riparia GM183 and Vitis cinerea 'Arnold'. 'Börner' inherited full resistance to phylloxera on roots from V. cinerea and develops no nodosities or tuberosities [5] upon infestation with phylloxera (Fig. 1). V. cinerea is an American wild species known to provide full resistance at the root and very high resistance at the leaf against phylloxera [6]. A quantitative trait locus (QTL), located on chromosome (chr) 13, was identified to provide phylloxera resistance [6] and is referred to as Rdv1 (resistance to Daktulosphaira vitifoliae) [7]. In addition, 'Börner' inherited positive viticultural traits including tolerance to frost, resistance to black rot caused by the fungus Guignardia bidwellii [8], and resistance against downy mildew caused by Plasmopara viticola [9, 10].

Fig. 1: Root balls of sensitive and resistant grapevine plants attacked by phylloxera. Some phylloxera-induced nodosities are marked on the roots with red arrows. The pictures show roots of the grapevines indicated, the two F1 plants selected with the phenotypes as indicated are derived from the mapping population V3125 x 'Börner' [6].

Disease resistance, usually conferred through resistance genes, makes plants capable of surviving attacks from a broad variety of pathogens and pests [11]. So called resistance gene analogues (RGAs) are identified by their protein domains and structural features which are similar to validated resistance genes [12]. Based on their domain structure that also indicates their localisation and/or site of action, they are broadly classified as nucleotide-binding site and leucine rich repeat domain receptor (NLRs) or pattern-recog-

nition receptors (PRRs) [11]. Usually, PRRs act at the cell surface because of a transmem-

brane (TM) domain, while NLRs cover a nucleotide-binding site (NBS) domain and

usually act intracellularly.

Vitis genomes cover a haploid set of 19 chromosomes, and the haploid genome size

varies around 500 Mbp [13, 14]. The inbreed cultivar 'PN40024' has been sequenced [15, 16]

and the resulting assembly serves as a reference genome sequence (referred to as

PN40024). However, since *Vitis* species and cultivars like almost all perennial plants

are highly heterozygous and genetically divers [10, 17, 18], there is a need for more *Vitis*

genome sequences. Several additional *Vitis* genome sequences have become available

which were generated from long reads, including those of Cabernet Sauvignon [19, 20],

Carménère [21], Chardonnay [14, 22], *Muscadinia rotundifolia* cultivar Trayshed [23] and *V.*

riparia Gloire de Montpellier (referred to here as VitRGM) [24]. These assemblies each

cover a primary and an alternate pseudo-haplotype of which the alternate pseudo-hap-

lotype is usually of reduced contiguity. The cultivar *V. riparia* Gloire de Montpellier is

tolerant against root infection by phylloxera [25], but to the best of our knowledge it is

unknown if this tolerance is linked to the *Rdv1* locus or to another region of the genome.

Long read DNA sequencing technology, like "Single Molecule, Real Time" (SMRT)

sequencing [26] provided by Pacific Biosciences (PacBio), is one option to generate high

quality genome sequence assemblies. The long reads more likely span problematic ge-

nomic regions and thus pave the way for more contiguous assemblies [27]. Also, infor-

mation of the haplotype and phase differences are more completely contained in a single

read. This fact, together with the transmission of exactly one haplotype from two par-

ents to a single offspring according to Mendel's laws, is exploited by the "trio binning"

approach for generating fully phased genome sequence assemblies [28].

To access and resolve the *Rdv1* locus, we set out to generate a haplotype-resolved ge-

nome sequence of 'Börner' with the goal to overcome complications caused by high

heterozygosity and the complexity of resistance gene clusters. Using SMRT sequencing

to generate long reads and additional Illumina short read data from both parents of

'Börner', we assembled both haplotype sequences of 'Börner' at chromosome level.

The two truly phased haplotypes of the diploid interspecific hybrid 'Börner' represent

the genome sequences of the two species *V. riparia* and *V. cinerea*. Structural and func-

tional gene annotation was performed for both haplotype sequences, and resistance gene

analogues (RGAs) were studied. The new sequence and annotation data as well as ad-

ditional mapping results were used to dissect the *Rdv1* locus of 'Börner' at the gene

level.

Material and Methods

Reference data sets

In this study, the 'PN40024' genome sequence [16] assembly 12X.v2 (urgi.versailles.inra.fr/Species/Vitis/Data-Sequences/Genome-sequences) and its annotation, the VCost.v3 gene annotation (https://urgi.versailles.inra.fr/Species/Vitis/Annotations) [29] as well as the *V. riparia* Gloire de Montpellier genome sequence assembly VitRGM and its annotation (https://ncbi.nlm.nih.gov/assembly/GCF_004353265.1) [24] were used for comparisons.

Plant material and DNA and RNA extraction

'Börner' is listed in the *Vitis* International Variety Catalogue with the variety number *V*IVC 1499, its parents *V. riparia* GM183 and *V. cinerea* Arnold with variety numbers *V*IVC 4686 and *V*IVC 13645, respectively. All three cultivars do not belong to an endangered species and were obtained and are grown at the Institute for Grapevine Breeding Geilweilerhof at Siebeldingen in accordance with German legislation.

Cuttings of the interspecific rootstock variety 'Börner' were raised in a growth chamber on soil at 18°-24°C (64°F-75°F), 70% relative humidity and 16h light and 8h darkness. Prior to harvest the plants were cultivated in the dark for 72 hours. Small, young leaves were harvested and stored on ice until use. Extraction of high molecular DNA for SMRT sequencing was performed starting with 1.5 g fresh weight and was carried out with the NucleoSpin Plant II Maxi kit for DNA (Macherey and Nagel, Düren; Germany) according to the recommendations of the manufacturer for plants. DNA integrity, quality and quantity was checked using a TapeStation (Agilent) and was found to peak at a fragment size higher than 48.5 kbp.

Leaf material of 'Börner's parents was harvested from cuttings grown in the green house, frozen in liquid nitrogen and stored at -80°C. The DNA extraction was carried out with the DNeasy Plant Maxi Kit (Qiagen, Hilden; Germany) according to the instructions of the manufacturer. Tissue samples of leaves and tendrils of 'Börner' were collected from outdoor grown plants. Total RNA was isolated using the Spectrum Plant RNA-Kit (Sigma, Taufkirchen; Germany).

Plant material for genotyping-by-sequencing (GBS) was harvested from selected gen-
otypes of the mapping population V3125 x 'Börner'. Young leaf material from each
individual progeny plant well as from the maternal plant V3125 was used for DNA
extraction. Genomic DNA was prepared using an established CTAB-based protocol [30].
Subsequently, the DNA obtained was treated with RNAse and quantified using
PicoGreen.

Library construction for 'Börner' genome and transcriptome sequencing

PacBio Sequel libraries were prepared according to the SMRTbell Template Prep Kit
1.0 and then size-selected with BluePippin for a target insert size of greater than 10 kb.
Seventeen 1Mv3 SMRT cells were run on a Sequel I sequencer using the Binding Kit
1.0 and the Sequencing Chemistry version 1.0 (all from PacBio) for six hours per cell.
The output BAM files of the sequencing step were loaded into SMRT Link 5.0.1 ac-
cording to the recommendation of the manufacturer (PacBio Reference Guide 2018)
and converted to FASTA format for downstream processing. The length distribution
over all reads was calculated with the assembler Canu [31] and is provided in Supplemen-
tary File 1 Fig. S1.

The RNA samples from leaves and tendrils of 'Börner' were paired-end sequenced us-
ing Illumina technology on a HiSeq-2000 essentially as described [32]. The new RNA-
Seq read data (ENA/SRA study accession no. PRJEB46079) were processed together
with data submitted before [32]. These already existing RNA-Seq reads of 'Börner'
(PRJEB34983) cover leaves (ERR3894001), winter leaves (ERR3895010), inflores-
cences (ERR3894002), tendrils (ERR3894003) and roots (ERR3895007). Read data
were trimmed with Trimmomatic-0.38 [33] allowing reads larger than 80 nt (new data)
and 90 nt (published data) to be kept. The trimming statistics of the RNA-Seq data is
provided in Supplementary File 2 Table S1.

Illumina sequencing of the parental genomes of 'Börner'

Library preparation for the *V. riparia* GM183 and *V. cinerea* Arnold samples was per-
formed according to the Illumina TruSeq DNA Sample Preparation v2 Guide. Genomic
DNA (1500 ng each) was fragmented by nebulisation. After end repair and A-tailing,

individual adaptors were ligated to the fragments for PE sequencing. The adaptor li-

gated fragments were purified on a 2% low melt agarose gel and size selected by excis-

ing a band ranging from 500-800 bp. After enrichment PCR of DNA fragments that

carry adaptors on both ends, the final libraries were quantified by using the Quant-iT

PicoGreen dsDNA assay on a FLUOstar Optima Platereader (BMG Labtech) and qual-

ified on a BioAnalyzer High Sensitivity DNA Chip (Agilent). The *V. riparia* GM183

library was sequenced on a MiSeq 2 x 250 nt PE run and on two lanes of a 2 x 100 PE

run on a HiSeq-1500 in high output mode. The *V. cinerea* Arnold library was sequenced

in one 2 x 150 bp PE run on a HiSeq-1500 in rapid mode. The read data were submitted

to ENA (ENA/SRA study accession no. PRJEB45595). All genomic short read data

were quality trimmed with Trimmomatic-0.36 [33] allowing reads equal or longer 80 nt

to be kept. The trimming statistics is provided in Supplementary File 2 Table S2.

Sequencing of pools of BACs from a BAC library of 'Börner'

For the creation of phased sequences of 'Börner', 8 pools of bacterial artificial chromo-

some (BAC) constructs containing a total of 440 mapped BACs from two loci (one on

chr01, the other on chr14) were selected from a 'Börner' BAC library [10]. The BACs

were selected and distributed to the pools according to the positions of BAC end se-

quences on the corresponding regions of PN40024. Mapping to the reference assembly

was carried out with the CLC Genomics Workbench v8.0 toolkit (https://www.qi-

agenbioinformatics.com/). Pools were arranged by excluding overlap of BAC inserts to

allow assembly of individual BAC insert sequences. The BAC Pools1-4 were se-

quenced on a 454 Life Sciences Genome Sequencer GS FLX and PE (2 x 250 nt) on

the Illumina MiSeq platform. The BAC Pools5-8 were sequenced on the MiSeq plat-

form only. The read data were submitted to ENA (ENA/SRA study accession no.

PRJEB46081). The MiSeq raw data were quality trimmed with Trimmomatic-0.32 us-

ing 'ILLUMINACLIP: 2:40:15 LEADING:3 TRAILING:3 SLIDINGWINDOW:4:15

MINLEN:36' [33]. The trimming statistics for the BAC pools is provided in Supplemen-

tary File 2 Table S3.

Library construction and sequencing for GBS

The barcoded libraries were prepared using Illumina library preparation kits using TrueSeq technology and sequenced on an NextSeq500. Sequencing was performed in 150 nt single-end (SE) modus and the read data were submitted to ENA. The ENA/SRA study accession no. is PRJEB53997. Information on genotypes, sequencing strategy, data volume and run IDs are summarized in Supplementary File 2 Table S4.

Phenotyping of phylloxera resistance

The test system used to assess phylloxera resistance of the was essentially described previously [34]. The phylloxera population was derived from naturally infested cultivars. The phylloxera resistance tests started at the beginning of the vegetation season when enough infested leaf material was available as inoculum. Leaf pieces with 8 to 12 galls containing mature eggs were inserted in the soil of rooted cuttings of the F1 individuals. The inoculation procedure was repeated after 3 to 4 weeks. Approximately 4 weeks after the second inoculation the plants were rated as sensitive if nodosities were visible or resistant if the roots developed normally. Each F1 genotype was tested in triplicate and the assays were repeated at least twice.

Diploid genome sequence assembly

To compute a phased genome assembly, a binning and assembly approach was used. The binning prior to assembly was performed with the tool TrioCanu of the assembler Canu v1.7 [28, 31]. TrioCanu uses short reads of the parents and long reads of the F1 as input. It bins the long reads of the F1 into parental subsets based on k-mer comparisons. The binning step was performed on a compute cluster using TrioCanu default parameters (k-mer size of 20). Binning resulted in one read subset for each parent and an additional small subset with reads that could not be assigned to either of the two parental haplotypes. In the following, the parental subsets are referred to as Vrip and Vcin read subset according to 'Börner's parents and the two different haplotypes combined into 'Börner', respectively. The read subset with unassigned reads is referred to as UAR subset and contained only 0.13% of all bases with an average read length of 1.5 kbp. After binning, the read subsets were used to compute the two haplotype assemblies BoeRip and BoeCin of 'Börner' with the assembler Canu v1.7 [31]. To compute the

BoeRip haplotype assembly, the Vrip read subset and the UAR subset were used in
order to include identical and/or homozygous regions. Likewise, the BoeCin haplotype
assembly was generated from the Vcin read subset and the UAR subset. Therefore, the
basis of the haplotype assemblies, BoeRip and BoeCin, are approximately 32 to 34 Gbp
read data with an estimated genome coverage of more than 60-fold each. For both sep-
arately computed haplotype assemblies, Canu was run on a compute cluster utilizing
the parameters 'genomeSize=550m', 'corMhapSensitivity=normal', 'correctedError-
Rate=0.065, 'canuIteration=1' and 'stopOnReadQuality=false'. During the assembly
processes around 2.5% of the corresponding input data (bp) remained unassembled. A
search with blastn of the Basic Local Alignment Search Tool (BLAST) package+ v2.8.1
[35, 36] and the grapevine chloroplast [37] and mitochondrial sequences [38] was carried out on
the haplotype assemblies and detected contigs were removed. Finally, the haplotype
assemblies were polished twice with *arrow* v2.2.2 (smrtlink-release_5.1.0.26412) and
the corresponding read subsets. Assembly statistics were computed with QUAST v4.6.3
[39].

Scaffolding with 'Börner' BAC end sequences

To validate the quality of the two genome sequence assemblies, and also to scaffold a
few smaller contigs, high-quality paired BAC end sequences from the 'Börner' genome
(ENA/GenBank accession numbers KG622866 - KG692309 [10]) were used. The 69,444
BAC end sequences cover 39,360,203 bp, have an average sequence length of 566 bp,
and represent an eight-fold coverage of the 'Börner' genome based on average BAC
insert length. First, the 62,498 BAC end sequences that represented pairs from one BAC
were mapped to BoeRip and BoeCin with HISAT2 v2.1.0 [40] using the parameters '--
maxins 2000000000', '--secondary', '--no-softclip' and '--no-spliced-alignment'.
Alignments with a mapping quality >10 were filtered out and alignment statistics were
collected with SAMtools v1.8 [41]. After mapping and quality filtering, the BAC end
sequences were used to scaffold the haplotype assemblies with SSPACE (standard)
v3.0 [42]. SSPACE was run with the parameters '-x 0', '-m 50', '-k 3', '-n 500' and '-T
10' and by using BWA-SW [43] for mapping. Contig extension was disabled ('-x 0') as

the BAC end sequences are not phased and thus would eventually contaminate the hap-
lotypes with a sequence from the other haplotype. However, a test run with enabled
extension showed that the BAC end sequences were anyway not suitable for gap filling.
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Scaffold to pseudochromosome assignment

To build pseudochromosomes (the nucleotide sequence representation of chromo-
somes), an *ad hoc* gene prediction was generated with AUGUSTUS v3.3 [44]. Prior to
gene prediction, the RNA-Seq reads from 'Börner' (see above) were processed to create
a *de novo* transcriptome assembly with Trinity v2.8.5 [45] with default settings. Hint files
with information about exon and intron positions for the haplotype assemblies were
created through mapping all trimmed RNA-Seq reads and the transcriptome assembly
on the haplotype assemblies. The RNA-Seq reads were mapped with HISAT2 v2.1.0 [46]
and soft-clipping disabled ('--no-softclip'). The transcriptome sequences were mapped
with BLAT v36x2 [47] and the parameters '-stepSize=5' and '-minIdentity=93'. Hints
were generated according to the AUGUSTUS documentation. Additionally, AUGUS-
TUS was trained with the CRIBI v2.1 gene annotation of PN40024 [17] to retrieve pa-
rameter sets for grapevine. Finally, genes were predicted on both haplotype assemblies
BoeRip and BoeCin with the generated *Vitis* parameter set and the hint files.
The protein sequence of the primary transcript variant was extracted from the PN40024
CRIBI v2.1 annotation and from the *ad hoc* annotation of BoeRip and BoeCin. Recip-
rocal best BLAST hits (RBHs) between the protein sets of each of both haplotypes and
the filtered proteins of PN40024 were computed with blastp utilizing an e-value thresh-
old of 0.0001. For RBHs, two rounds of BLAST searches were performed. Here, one
round was run with the proteins of one haplotype as input query and one with the
PN40024 proteins as input query. Only RBHs with a percentage of identical matches
and a query coverage per subject ≥80% for at least one direction (e.g. PN40024 protein
sequences as input query) were kept. Based on RBHs encoded, the scaffolds were as-
signed to the pseudochromosomes. Scaffolds with less than 10 RBHs in total and scaf-
folds that contain a significant number of RBHs linking a different pseudochromosome
(30% distance to the 2nd rank in terms of RBH numbers required) were filtered out for
later assignment or moved to the chromosomally unassigned part of the respective as-
sembly.

Additionally, reciprocal hits between the scaffold sequences and the pseudochromo-
some sequences of PN40024 were computed with blastn. The e-value, identity and
query coverage filters remained the same as above. The nucleotide RBHs were itera-
tively computed and scaffolds assigned to pseudochromosomal positions. If the classi-
fications based on protein and nucleotide level contradicted, the protein RBH classifi-
cation was preferred.

To further refine the pseudochromosomes of the haplotypes, an additional assignment
based on VitRGM was performed. Also, reciprocal hits between the scaffold sequences
of one haplotype and the pseudochromosomes of the other haplotype and *vice versa*
were calculated as described above. After manual construction of pseudochromosomes
for the BoeRip and BoeCin phases, the refinement with the corresponding other haplo-
type was repeated until no further scaffolds could be assigned. The pseudochromo-
somes were constructed with gaps of 100 bp length between the scaffolds and with 10
kbp as minimal scaffold length. Due to the length filter, 61 (BoeRip) and 22 (BoeCin)
scaffolds were discarded.

The orientation and order of the scaffolds on the pseudochromosomes was verified with
dotplots and manually adapted if necessary. Thus, DNAdiff v1.3 of the MUMmer pack-
age v4.0.0beta2 [48] was run pairwise on homologues pseudochromosomes of BoeRip or
BoeCin and PN40024 with default settings and the resulting 1-to-1 alignments were
visualized with mummerplot v3.5.

The pseudochromosomes were validated with 340 simple sequence repeat (SSR) ge-
netic markers from [49] and the 4 SSR markers ATP3 [50], Gf13_11a, VMC8E6 [6] and Gf14-
42 [8] (Supplementary File 2 Table S5). Primer sequences were mapped to the pseudo-
chromosomes with primersearch of the EMBOSS v6.6.0.0 package [51] and with a blastn
search. A total of 314 markers were assigned to unequivocal sequence positions, the
remaining markers do not map, show non-evaluable multi-mappings or map with too
many mismatches (Supplementary File 2 Table S6). Several markers did map only to
one of the haplotypes (16 to only BoeRip and 30 to only BoeCin, see Supplementary
File 2 Table S7). Emerging disagreements between the marker position on PN40024
and the BoeRip and BoeCin phases were further investigated through all-versus-all dot-
plots computed with the webtool D-Genies v1.2.0 [52] and by using long read mapping
to detect sequence positions that are not or only very weakly supported by continuously
mapping reads. The haplotype specific read subsets together with the unassigned read

subset were mapped with minimap2 [53] v2.17 ('-ax map-pb --secondary=no') to the cor-

responding haplotype assembly and the coverage values per base were calculated with

SAMtools. The haplotype assemblies are both covered around 54x (Supplementary File

1 Fig. S2). The back-mapping results of the read subsets were consulted to reveal miss-

assemblies if indicated by a genetic marker. A sequence location was further investi-

gated if five reads end in a 10 bp region and if the read coverage five bp around the

region drops to $\leq$ five.

Conflicting marker VVMD28 maps on chr03 of PN40024 and BoeCin, yet on chr13 of

BoeRip. However, eight markers allocate the sequence to chr13 of BoeRip and neither

a significant breakpoint was found in the read mappings nor in the all-versus-all dotplot

(Supplementary File 1 Fig. S3). VCHR16B maps on chr16 of PN40024 and BoeCin,

but on chr03 of BoeRip. Here, one SSR marker assigns the sequence to chr03 and no

significant breakpoint was found in the read mapping or in the all-versus-all dot plot.

The three SSR marker VVS4, VMC5G6.1 and UDV-126 map on chr08 of PN40024

and BoeRip, but on chr04 of BoeCin. The corresponding sequence was assigned to

chr04 according to 376 protein RBHs, because only 141 protein RBHs assign it to

chr08. Moreover, three SSR markers allocate the sequence to chr04, too. Through in-

vestigating the all-versus-all dot plot, an approximately four Mbp large alignment be-

tween chr04 and chr08 was found. However, since no position for a split was detected

in the read mappings, the sequence remained on chr04 (Supplementary File 1 Fig. S4).

GF10-06, VMC3D7 and UDV-073 mapped wrongly on chr02 of BoeRip instead of

chr10; in this case the investigation of the respective contig resulted in a split of the

sequence and an corrected assignment of the contig fragment in question. Due to 348

protein RBHs the sequence was initially assigned to chr02. The sequence showed the

second most protein RBHs (171) with chr10. On marker side, 13 markers assign the

sequence to chr02 and only three to chr10. However, the all-versus-all dotplot showed

a large alignment between chr02 of BoeRip and chr10 of PN40024 (Supplementary File

1 Fig. S5), and the read mappings indicated a breakpoint position with a coverage drop

to $\leq$ 5. Thus, the 12.3 Mbp sequence was split into a 7.7 Mbp large sequence that was

assigned to chr10 and a 4.6 Mbp large sequence that remained at chr02. Another case

was detected in the all-versus-all dot plots between chr13 of BoeCin and chr03 of

PN40024 (Supplementary File 1 Fig. S4). The corresponding sequence was assigned to

chr13 through 485 protein RBHs. Only 36 protein RBHs would allocate this sequence

to chr03. Furthermore, 10 SSR marker support the assignment to chr13 and none to

chr03. No coverage drop was found in the read mappings, the sequence remained on

chr13 of BoeCin. Finally, only five genetic markers remained unresolved (VVMD28,

VCHR16B, VVS4, VMC5G6.1, UDV-126).

To estimate the completeness of the assemblies, the plant core genes were localized

with the program Benchmarking Universal Single-Copy Orthologs (BUSCO) v5.1.2

utilizing the database 'eudicots_odb10' (2,326 genes) [54, 55]. For comparison, the BUS-

COs of PN40024 and of VitRGM were also determined with identical parameters. The

pseudochromosome lengths were visualized with cvit v1.2.1 [56].

Validation of phasing

To validate the phasing of the 'Börner' haplotypes, the BAC sequence data of pool1-4

were *de novo* assembled with Newbler v2.6. The data of pool5-8 were assembled with

CLC Genomics Workbench 8.0 using a word size of 30. Remaining vector sequences

were removed with vectorstrip of the EMBOSS package v6.2. Assembled sequences

shorter than 500 bp were discarded. The short reads of the parents *V. riparia* GM183

and *V. cinerea* Arnold were mapped with CLCs' map reads to reference algorithm to

the assembled BAC sequences (linear gap cost, length & similarity fraction 1.0). Based

on these mapping results regarding read coverage and percent of covered bases, the

BAC sequences were assigned to either *V. riparia* GM183 or *V. cinerea* Arnold. BAC

sequences with no read coverage were discarded. The assembled and phase-separated

BAC contig ssequences are available at https://doi.org/10.4119/unibi/2962639.

The 'Börner' BAC sequences with known haplotype allocation were mapped against

the BoeRip and BoeCin haplotype assemblies with minimap2 v2.17 [53], sorted with

SAMtools and the mappings visualized with the Integrative Genomics Viewer (IGV)

v2.5.2 [57, 58]. The number of base calls of the aligned BAC sequences on each haplotype

were determined with SAMtools' mpileup. Continuously and correct aligned BAC se-

quences show high base calls and low numbers of SNP/InDels (small nucleotide poly-

morphism, insertion-deletion polymorphism) to one of the two haplotypes and the op-

posite result with the alternative haplotype.

k-mer based reference-free assembly evaluation with Mercury

k-mer based reference-free assembly evaluation with Mercury

Merqury v1.3 [59] was used to evaluate the diploid BoeRC assembly. First, the best k-

mer size was determined with Merqurys best_k.sh script for the expected 500 Mbp hap-

loid genome size resulting in 19-mers. Consequently, 19-mer databases were computed

for the *V. riparia* GM183 and *V. cinerea* Arnold Illumina reads as well as for existing

Illumina reads from 'Börner' [10]. With these, hap-mer (haplotype-specific k-mers as de-

fined by Mercury [59]) databases were generated and the haplotype assemblies evaluated

using the scaffolds of both haplotypes. For building of phased blocks, the parameter

'num_switch 10' and 'short_range 20,000' were applied. For comparison, Merqury was

also run on PN40024, on VitRGM, on the Cabernet Sauvignon haplotype assemblies

v1.1 and on the *Muscadinia rotundifolia* cultivar 'Trayshed' haplotype assemblies v2.0

[23]. The 19-mer profiles were computed from PN40024 WGS reads SRR5627797, from

VitRGM WGS reads SRR8379638, from Cabernet Sauvignon WGS reads

SRR3346861 and SRR3346862 and from *Muscadinia rotundifolia* WGS reads

SRR6729333. Prior to analyses, the reads were trimmed with Trimmomatic-v0.39 al-

lowing a minimum read length of 80 or 90 (PN40024 reads).

Gene annotation

The 'Börner' haplotypes were annotated *ab initio* with MAKER v3.01.03 following the

MAKER-P pipeline [60, 61]. As input data, haplotype specific repeat libraries were created

according to the MAKER advanced repeat library protocol. The monocotyledons repeat

library of RepBase (RepeatMaskerEdition-20181026, model_org=monocotyledons) [62],

the transposable element (TE) sequences from MAKER, the 'Börner' *de novo* transcrip-

tome assembly described above, *Vitis* protein sequences (NCBI, Protein DB "Vitis"[Or-

ganism]), plant protein sequences (UniProt, release 2020_02, "Viridiplantae [33090]"

AND reviewed:yes) and *Vitis* full-length cDNAs (NCBI, Nucleotide DB "Vitis" [Or-

ganism] AND complete cds[Title]) were used. The gene predictors SNAP v2006-07-28

(three rounds) [63] and GeneMark v3.60 were trained on both, BoeRip and BoeCin. AU-

GUSTUS v3.2.3, tRNAscan-SE v1.3.1 and EvidenceModeler v1.1.1 [64], SNAP and

GeneMark together were ran through MAKER to compute the final gene annotation.

The option 'split_hit' was set to 20,000 and the previously generated *Vitis* parameter

set was adjusted for AUGUSTUS.

The gene models from BoeRip and BoeCin were refined with PASA v2.4.1 [65]. As evi-
dence data, the *de novo* and reference-guided 'Börner' transcriptome assemblies, the
Vitis full-length cDNAs and *Vitis* EST data (NCBI, txid3603[Organism:exp] AND
is_est[filter]) were used. The parameter file is attached as Supplementary File 3 Data
S1. The refinement with PASA was iteratively applied three times on the gene models.
The reference-guided assembly was computed with Trinity v2.10.0 using the 'Börner'
RNA-Seq data aligned with HISAT2 v2.2.0. Only alignments with MQ >10 were given
to Trinity and '--genome_guided_max_intron' was set to 20,000.
After structural gene annotation, a functional gene annotation was added through a
BLAST search (package+ v2.10.0) against the UniProt/Swiss-Prot database (release
2020_02), through domain identification with InterProScan5 v5.42-78.0 [66] and the
PFAM database v32.0. Gene models with Annotation Edit Distance (AED) > 0.5 were
filtered from the final gene model set. Also, predicted genes expected to encode a pol-
ypeptide with less than 50 amino acids and no functional annotation were discarded.
The annotation data for BoeRC are available at
(https://doi.org/10.4119/unibi/2962793). For comparison, functional annotation was
also carried out for the PN40024 VCost.v3 structural gene annotation with identical
resources.
453

Prediction of resistance genes
Resistance gene analogs (RGAs) were predicted for both haplotypes with the pipeline
RGAugury v2.1.7 [67]. RGAugury employed ncoil [68], PfamScan v1.6 [69], InterProScan5
v5.45-80.0 [66] and Phobius-1.01 [70] on the protein sequences to predict known resistance
domains. The initial filtering against the RGAdb was disabled as the database was not
updated since 2016, unknown RGAs not included in the database were of interest and
the identification of (resistance) domains for none RGAs was enabled. For Inter-
ProScan5 domain search through RGAugury, the databases Gene3D-4.2.0 [71], Pfam-
33.1 [72], SMART-7.1 [73] and SUPERFAMILY-1.75 [74] were utilized.
The RGA annotations of the two haplotypes were correlated through determination of
RBHs based on protein sequences. Only RBHs with an identity and coverage ≥ 90 were
considered.
466

Assembly wide variant detection

The SNP and InDel positions of the SNP output from the DNAdiff analysis (see above) were compared with the positions of the coding and non-coding gene regions and classified accordingly.

Genetic mapping

The annotated genes and nucleotide sequences of the phylloxera resistance QTL on chr13, referred to as *Rdv1*, of BoeRip, BoeCin, PN40024 and VitRGM were compared. Before this study, the QTL *Rdv1* was delimited with the associated genetic markers GF13-01 and GF13-12 [6]; the marker positions were identified based on the respective marker assay primer sequences with primersearch (EMBOSS package [51]). To narrow down the location of *Rdv1*, additional genetic fine mapping was carried out with the mapping population of 188 individuals that resulted from a cross of *V. vinifera* V3125 ('Schiava Grossa' x 'Riesling') x 'Börner' [6] and newly designed sequence tagged SSR (STS) marker. The additional markers were derived from PN40024 [16] (Supplementary File 2 Table S5) and used to screen the F1 genotypes from the mapping population.

Five relevant genotypes with marker data indicating recombination events within the initial *Rdv1* QTL were subjected to GBS to locate the recombination points between the two haplotypes of 'Börner'. V3125 was included as a control for comparative read mapping. The SE reads were quality trimmed using Trimmomatic-0.39[33] with the following parameters: ILLUMINACLIP: 4:30:15 LEADING:30 TRAILING:30 SLIDINGWINDOW:4:15 MINLEN:60. Trimmed reads of each of the six studied genotypes as well as reads from 'Börner' [10] were separately mapped to BoeCin and BoeRip using the HISAT2 version 2.2.0 [46] with the following parameters: --no-softclip –no-spliced-alignment. The obtained SAM files were converted to sorted BAM files as well as indexed using SAMtools. Variant calling against BoeRC and estimation of genomic recombination sites was supported by QIAGEN CLC Genomics Workbench 22.0 (QIAGEN, Aarhus, Denmark). SNPs detected in the *Rdv1* region on chr13 for each genotype were filtered with respect to read coverage and frequency. Homozygous variants were counted if > 90% of the reads support the variation, for heterozygous variants a frequency between 25% and 75% was required. An example case (GBS-04) for the

499   assessment of GBS markers is shown in Supplementary File 1 Fig. S6. Recombination

500   sites were determined by monitoring the switch from either the BoeCin hapotype to the

501   BoeRip hapotype or vice-versa between a set of at least two variant positions.

Allele sequence comparison and TE detection

The genes (alleles, orthologs) of the *Rdv1* region of BoeRip, BoeCin, PN40024 and

VitRGM between the markers GF13-03 and GF13-07 were placed into groups with

OrthoFinder v2.3.11 [75] using the longest protein sequence annotated for the splicing

variants of each gene. Missing alleles (or, alternatively phrased, missing predictions of

orthologous genes at syntenic positions) were investigated and re-annotated by aligning

the protein sequences of the other cultivars against the genome sequences with exoner-

ate v2.4.0 [76]. Only alignments with at least 70% coverage of the protein sequence were

considered. To obtain gene annotations for remaining poorly annotated sequences de-

spite existing RNA-Seq support in the genomic region studied, BRAKER was run with

strictly filtered RNA-Seq mappings (only uniquely mapped PE reads on both 'Börner'

haplotypes offered as combined target, both reads of a pair must map); adding UTR

regions and prediction of alternative transcripts from RNA-Seq data was enabled. Since

the focus here is on phylloxera resistance at the root, an additional AUGUSTUS species

model was trained on BoeRC with the uniquely mapped root RNA-Seq reads, the above

described *Vitis* protein and plant protein sequences and eudicot protein sequences from

OrthoDB v10.1 [77]. The results were manually evaluated and restored gene models of

BoeRip and BoeCin were refined two times with PASA. Through investigation of the

RNA-Seq mappings from all available tissues to BoeCin, the gene predictions in the

Rdv1 region of all four sequence regions studied were manually screened for low sup-

ported gene models. Transcripts encoding for protein sequences with less than 50 amino

acids, tagged as 'protein of unknown function' (PUF) and with no ortholog were re-

moved. RGA classes were determined for the manually curated genes/alleles with

RGAugury as described above. TEs were identified with the Extensive *de novo* TE

Annotator (EDTA) [78]. Finally, the results were manually collected in allelic represen-

tations of the genes of the *Rdv1* region of BoeRip, BoeCin, PN40024 and VitRGM. An

extended version of the gene overview at the *Rdv1* locus that includes TEs has also been

prepared (Supplementary File 1 Fig. S7).

Results

Sequencing data of 'Börner' and its parents

To assemble the 'Börner' genome sequence, ~66 Gbp of raw SMRT sequencing data comprising 7,328,737 subreads with an average read length of 9,016 bp and an N50 length of 12,963 bp were generated. The expected diploid genome size (2n) of 'Börner' is 2x500 Mbp; thus the calculated coverage with long reads for a genome sequence with merged haplotypes would be 132 times, or more than 60-fold coverage for each individual haplotype. To allow k-mer based binning of the long reads according to the two haplotypes that 'Börner' inherited from its parents, the parental genotypes were sequenced with Illumina technology to about 140-fold coverage.

A 'Börner' genome sequence assembly in two phases

To generate a 'Börner' genome sequence with two separated haplotypes, the trio-binning approach was applied [28]. The two haplotype-specific 'Börner' read subsets or bins, designated Vrip and Vcin, contain approximately 50% of all reads and bases. These were assembled with Canu (see Methods). Statistics of the two resulting haplotype assemblies are shown in Table 1. Both assemblies reached the expected genome size of ~500 Mbp. The BoeRip and BoeCin haplotype assemblies represent "1n double haploid" genome sequences of the two species *V. riparia* and *V. cinerea*, respectively.

552 **Table 1** Assembly statistics for the raw and scaffolded haplotype assemblies BoeRip

553 and BoeCin of 'Börner'.

	Scaffold Level[a]		Total	
	BoeRip	BoeCin	Contigs[b]	Scaffolds
Sequences	971	767	1,843	1,738
Size (bp)	495,882,484	501,563,116	997,019,452	997,445,600
Largest seq. (bp)	14,614,105	16,784,012	16,784,012	16,784,012
N50 (Mbp)	5.29	5.34	4.79[c]	5.29
N90 (Mbp)	0.72	0.51	0.49[c]	0.55

554 [a]scaffolded haplotype assemblies
555 [b]raw haplotype assemblies, numbers include contigs shorter than 10 kbp
556 [c]see Supplementary File 1 Fig. S8 for details
557

Pseudochromosome construction and validation by genetic map

The assignment of scaffolds to pseudochromosomes was mainly based on the PN40024

reference sequence and was achieved by using RBHs (see Methods). For BoeRip, 446

scaffolds accounting for 95.21% of all bases were assigned to pseudochromosomes. For

BoeCin, 320 scaffolds holding 93.89 % of all bases were assigned. Since the reference

contains a pseudochromosome chr00 for unassigned sequences, this has been created

as well and is referred to as "chrUn". In addition, some scaffolds find no clear corre-

sponding sequence in the reference and result in a pseudochromosome "chrNh" (for no

hit, see Fig. 2A). As a result, the haplotype assemblies each consist of 21 pseudochro-

mosome sequences representing the 19 true chromosomes and two artificial sequences.

To validate the pseudochromosomes, 314 SSR markers that show unequivocal chromo-

some mappings on the haplotype assemblies were evaluated relative to their position

on PN40024. A total of 309 markers confirm the pseudochromosome assignment. Con-

flicting markers were checked manually and corrected if possible (see Methods).

The completeness of the assemblies was evaluated by detection of plant core genes with

BUSCO (Fig. 2B). For both BoeRip and BoeCin, about 96.5% of the conserved single

copy gene set were detected. This indicated that both haplotype assemblies are more

complete than the assemblies PN40024 and VitRGM.

Fig. 2 Comparison of the 'Börner' genome sequence assembly with other *Vitis* assemblies. (A) Pseudochromosome lengths comparison of both 'Börner' haplotypes, PN40024 and VitRGM. The bars represent the pseudochromosomes of different assemblies. Red, BoeRip; dark red, VitRGM; grey, PN40024; blue, BoeCin. ChrUn holds sequences that were assigned to chr00 of PN40024 or VitRGM, and chrNh collects sequences with no assignment (Supplementary File 2 Table S8). **(B)** Plant core gene content (2,326 eudicots genes in reference set) of the assemblies in comparison to the PN40024 and VitRGM genome assemblies. Note that the bar graph is truncated at the left and focusses on only the duplicated, fragmented and missing BUSCO genes. The track labelled BoeRC represents the whole 'Börner' assembly (combined BoeRip and BoeCin sequences; results were summed up).

Evaluation of assembly quality and phasing

For validation of the phasing, BAC sequences (Supplementary File 2 Table S9) with known haplotype/parental origin were mapped on BoeRip and BoeCin (Supplementary File 2 Table S10). The BACs were selected to cover regions on chr01 and chr14 and were sequenced with a read coverage of about 1,000-fold (Supplementary File 2 Table

S3). BAC contigs of the same haplotype map with almost no sequence difference, while

BAC contigs derived from the other haplotype display a wide range of mismatches and

InDels (Supplementary File 1 Fig. S9). On both haplotypes, ~3.6 Mbp (chr01) and ~5.5

Mbp (chr14) were covered by BAC contigs of both phases and support a correct phasing

over 9 Mbp.

In addition, the assembly quality evaluation tool Merqury (phasing assessment for ge-

nome sequence assemblies) was used to assess the quality of both haplotype assemblies.

The overall base quality value (QV, consensus quality value, representing the log-

scaled probability of error for consensus base calls) of 37.42 found for BoeRC indicates

a very high level of correctness (see Supplementary File 2 Table S11 for comparisons

to other assemblies). It should be noted that Merqury requires Illumina data for the

complete trio (both parents and F1 as available for 'Börner' and its ancestors) to calcu-

late the complete set of quality values.

Also the phasing accuracy was evaluated with Merqury (Supplementary File 1 Fig.

S10). Based on haplotype-specific k-mers (referred to as hap-mers in Mercury), 2,759

phase blocks with an N50 of 2.25 Mbp were calculated for BoeRip and 1,852 blocks

with an N50 of 2.42 Mbp for BoeCin. Almost all bases were covered by a block and

the switch error rate was estimated to be less than 0.05% (Supplementary File 2 Table

S12; Supplementary File 1 Fig. S11). Overall, the quality of the 'Börner' genome se-

quence as well as its separation into haplotypes derived from *V. riparia* GM183

(BoeRip) and *V. cinerea* Arnold (BoeCin) is considered to be high.

Sequence similarities and variations between BoeRip, BoeCin, PN40024 and

VitRGM

All-versus-all alignments between the pseudochromosomes of the two 'Börner' haplo-

types, and between each haplotype and PN40024 as well as VitRGM, were computed

and visualised as dot plots (Fig. 3, Supplementary File 1 Fig. S3, S4, S12, S13). All

homologous pseudochromosomes show strong synteny, still, some rearrangements and

smaller and larger gaps indicating insertions, deletions and/or missing sequence were

detected.

On average 90.20% of all bases with an identity of 95.52% were aligned between the pseudochromosomes of the two haplotypes exclusive of chrUn and chrNh (see Methods). The SNP and InDel frequency for the protein coding fraction was 1/1,001 bp and 1/34 bp for the non-coding fraction of the genome sequence. When aligning with PN40024, on average 88.59% and 87.51% of all bases were aligned with an identity of 94.82% (BoeRip) and 94.94% (BoeCin) over all pseudochromosomes, respectively. The alignments resulted in a SNP and InDel frequency of 1/932 bp (BoeRip) and 1/935 bp (BoeCin) for the coding regions and of 1/32 bp for the non-coding regions.

Fig. 3 All-versus-all dot plot of both 'Börner' haplotypes. The graphic shows the dot plot between concatenated pseudochromosomes of BoeRip and BoeCin.

Gene annotation

In total, ~274 Mbp (55.24%, BoeRip) and ~278 Mbp (55.46%, BoeCin) repetitive sequences were identified with the MAKER pipeline (Supplementary File 2 Table S13). The final gene annotation comprises 27,738 and 27,995 protein-coding genes and 672 and 701 tRNAs for BoeRip and BoeCin, respectively. Of the protein-coding genes, 19,500 genes of each haplotype were captured in RBHs. Based on RBHs, BoeRip shares 17,922 genes with VCost.v3 (PN40024) and 18,928 genes with VitRGM; BoeCin shares 17,837 genes with VCost.v3 (PN40024) and 18,126 genes with VitRGM.

Resistance genes

The annotated genome sequence of 'Börner' was used to identify RGAs based on their typical protein domain structure. Resistance gene annotation with RGAugury revealed 2,072 RGAs for BoeRip and 2,007 RGAs for BoeCin (Fig. 4). Of these, 1,509 were classified as RBHs between BoeRip and BoeCin.

Fig. 4 Distribution of genes to RGA classes based on characteristic protein domains. The red bars display the number of RGA genes of BoeRip and the blue bars the RGA genes of BoeCin. RGAs were classified according to the domains encoded by the predicted genes. Designations are according to [67]. NBS, Nucleotide Binding Site (abbreviated with N in other acronyms); CNL, CC-NBS-LRR with CC for Coiled-Coil and LRR for Leucine Rich Repeat; TNL, TIR-NBS-LRR with TIR for Toll/Interleukin-1 Receptor; CN, CC-NBS; TN, TIR-NBS; NL, NBS-LRR; TX, TIR-unknown domain;

661   Others, CC-TIR; RLP, Receptor Like Protein; RLK, Receptor Like Kinase; TM-CC,

662   TM for Transmembrane region.

Candidate genes for *Rdv1*

At the sequence level, the region between GF13-01 and GF13-11 that contains *Rdv1*

displays a highly diverged structure between BoeRip, BoeCin, PN40024 and VitRGM

(Fig. 5A, Supplementary File 1 Fig. S14, S15).

The interval flanked by these genetic markers includes 2,978,547 bp with 179 genes for

BoeRip and 2,538,570 bp with 173 genes for BoeCin, according to the BoeRC assembly

and its annotation, as well as 2,796,801 bp with 273 genes for PN40024 and 5,854,243

bp with 450 genes for VitRGM according to published data. The interval in VitRGM,

which has been generated with a FALCON-Unzip represents a pseudo-haplotype, be-

tween GF13-01 and GF13-11 is twice as large as in the other assemblies.

To further delimitate *Rdv1*, new genetic markers were designed and used to establish a

local map. F1 individuals with different flanking haplotypes were selected from the

mapping population V3125 x 'Börner', genotyped and tested for phylloxera resistance.

Since marker assay design tuned out to be difficult for gene-level resolution, GBS was

applied to five crucial F1 genotypes. Mapping of SSR and GBS markers as well as the

presence or absence of the resistance encoded by BoeCin led to delimitation of *Rdv1* to

a region between the markers GBS-04 and GBS-11 (Fig. 5B-D, Supplementary File 2

Table S14). The GBS markers were used to precisely locate recombination sites that

were identified between SSR markers. Since the exact positions of the GBS markers

are unknown for PN40024 and VitRGM, the markers GF13-04.2 and GF13-26, located

very close to the markers GBS-04 and GBS-11, were used to specify the interval size.

Fig. 5 Integration of genetic map and haplotype-specific physical map of the *Rdv1*
region on pseudochromosome 13. (A) Dot plot of the *Rdv1* region between BoeRip

and BoeCin. Genetic markers mapping on BoeRip are represented as red arrows and
markers mapping on BoeCin as blue arrows. The *Rdv1* locus is located between GBS-
04 and GBS-11. (**B-D**) Fine mapping of the phylloxera resistance locus *Rdv1*. (**B**)
Genomic region of the genetically mapped *Rdv1* locus on chromosome 13 of 'Börner'.
The grey bar represents the interval between the delimiting SSR markers GF13-01 and
GF13-09 [6], the numbers indicate the genetic distance between adjacent markers in cM.
(**C**) Physical position of the SSR and GBS markers used for fine mapping of this region.
The bar depicts the enlarged region taken from (B). Numbers refer to the physical
distance of adjacent markers in kbp based on BoeCin (haplotype conferring resistance).
(**D**) Local map of seven relevant F1 individuals from the V3125 x 'Börner' population
revealed after haplotype-specific genotyping, only the 'Börner' haplotypes are shown.
F1 genotypes analysed by GBS are highlighted in bold. The phenotypes are indicated
on the right side: R, resistant; S, susceptible. Red and blue bars indicate the BoeRip and
BoeCin haplotypes, respectively. Regions with unlocalised recombination sites are
shown in purple.
705
Based on the gene annotation data generated for the assemblies, the RGA studies and

intensive manual curation (see Methods), the genetically delimited *Rdv1* locus was

evaluated in detail at the gene level (Fig. 6). The locus has a size of 402,698 bp with 25

genes and 13 resistance genes in BoeRip, a size of 223,738 bp with 20 genes and eigth

resistance genes in BoeCin, a size of 202,484 bp with 15 genes and seven resistance

genes in PN40024 and 208,610 bp with 16 genes and four resistance genes (all detected

as RGAs) in VitRGM. Gene structures that belong to TEs are counted as "normal"

genes. The *Rdv1* locus is covered by a single contig in both 'Börner' haplotypes.

A resistance gene cluster that displays a very divergent structure and different gene

content among the three analysed haplotypes and the pseudo-haplotype VitRGM is pre-

sent between the dehydrogenase encoding gene (dark bue in Fig. 6, annotation ID *Boe-*

Cin13g18380, tagged by GF13-04.2/GBS-04) and the ligase encoding gene (turquoise

in Fig. 6, annotation ID *BoeCin13g18383*, tagged by GF13-26/GBS-11). The haplotype

conferring dominant resistance (BoeCin) contains eight potential resistance genes (Sup-

plementary File 2 Table S15). Three of them, located in the southern part of the *Rdv1*

locus (*BoeCin13g18393*, CNL class; *BoeCin13g18396*, TM-CC class; and *Boe-*

Cin13g18399, TM-CC class), are located in a synthenic region that contains similar

alleles of the genes detected in all four haplotypes (see Discussion). In the following,

the distiction between true haplotype sequences (PN40024, BoeRip and BoeCin) and

the pseudo-haplotype VitRGM, that may contain merged sequences from the two

phases of *V. riparia* Gloire de Montpellier, is neglected. Due to presence of these genes

in sensitive genotypes, they were considered not to be relevant for phylloxera re-

sistance. The remaining five potential resistance genes (*BoeCin13g18381*; *Boe-*

Cin13g18382, CNL/RLP; *BoeCin13g18388*; *BoeCin13g18389,* NL; *BoeCin13g18390*)

can be considered as candidate genes for causing phylloxera resistance. *Boe-*

Cin13g18381, BoeCin13g18382 and *BoeCin13g18389* are putative disease resistance

genes encoding RPP13-like proteins. The other two (*BoeCin13g18388*, *Boe-*

Cin13g18390) are similar to the *Arabidopsis thaliana* resistance gene *AtLRRAC1*.

Fig. 6 Genes of BoeCin, BoeRip, PN40024 and VitRGM at and surrounding the

***Rdv1* locus.** The genes (alleles) were clustered according to similarity and are shown in

one colour for each orthogroup. The grey box represents the *Rdv1* locus delineated by

the genetic markers GF13-04.2/GBS-04 and GF13-26/GBS-11; additional markers are

included upstream and downstream of the *Rdv1* locus. The kbp values on the axis were

adapted such that the start of the first gene is bp zero (relative coordinates). At the top,

the colour code for each group of related genes/alleles and the corresponding functional

annotation is given. Grey coloured genes are potential resistance genes according to

their functional annotation; genes with a red edging were identified as RGA (see text).

Above each RGA, the domain type classification of the encoded protein is mentioned.

See legend to Fig.4 for acronyms.

Discussion

Final 'Börner' genome sequence assembly

For the fully phased genome assembly, the reads were binned into parental subsets prior to assembly. The binning process produced two main read sets, both containing approximately half of all reads. An additional small read set with relatively short reads that were probably derived from homozygous regions was also generated. The binning process provided an excellent basis for generating high quality haplotype separated genome assemblies, with sufficient sequence coverage. With this basis, two fully phased genome assemblies of the grapevine cultivar 'Börner' were computed. About 90% of all bases were included in contigs equally or larger than 530 kbp (BoeRip) and 450 kbp (BoeCin). In contrast to other published grapevine genome assemblies, most of them generated with FALCON and FALCON-Unizp, the genome assembly size was not over- or underestimated by Canu and thus reaches for both haplotypes approximately 500 Mbp (Supplementary File 2 Table S11). As noted before [14, 19, 24], FALCON-Unzip sometimes overestimates the primary contigs and underestimates the haplotigs because it orders some alternative sequences of heterozygous regions to the primary contigs. Regarding genome assembly, TrioCanu/Canu [28, 31] turned out to be a very good choice for generating the genome sequence assembly BoeRC of the interspecific grapevine cultivar 'Börner'. The N50 value of both 'Börner' haplotype assemblies outreaches most of the other *Vitis* genome assemblies. Comparing the N50, QV and overall k-mer completeness with some available chromosome level *Vitis* assemblies, BoeRC has with distance the highest N50 value, the highest quality values (estimated accuracy of 99.9998%) and the highest degree of completeness (98.97%) (Supplementary File 2 Table S11). To further demonstrate that the 'Börner' haplotype assemblies are fairly complete, the assemblies were investigated for the plant core genes. As in other high-quality genome sequence assemblies of grapevine like that of Cabernet Sauvignon [20], more than 98% of complete core genes (BUSCOs) were identified.

Phasing

The haplotype assemblies can be considered as fully and correctly phased. Through mapping of phased BAC sequences derived from parts of chr01 and chr14 of 'Börner',

it was shown that several Mbp of chr01 and chr14 are truly phased. The haplotype spe-

cific BAC sequences coincide with the correct haplotype and share plenty of mis-

matches with the other haplotype. Also, the mapping validated correctness of contiguity

and chromosome assignment in those regions.

Additionally, the phasing was analysed through k-mer analysis with Merqury. The k-

mer completeness of BoeRC over both haplotypes was rated to 99.04% (BoeRip) and

99.51% (BoeCin), while haplotype precision was estimated to 99.07% (BoeRip) and

99.92% (BoeCin) (Supplementary File 2 Table S11). Thus, the haplotype assemblies

can be considered as completely and correctly phased. The purity of the haplotypes was

also expressed through the very low switch error rate of 0.046% (BoeRip) and 0.035%

(BoeCin) and the high block N50 value of 2.25 Mbp (BoeRip) and 2.42 Mbp (BoeCin).

None of the scaffolds showed a notable amount of k-mers from the other haplotype

(Supplementary File 1 Fig. S11).

There would potentially be room for improvement of the assemblies BoeRip and Boe-

Cin by methods like chromosome conformation capture sequencing (Hi-C). Even if

there are indications that the organization of chromosomes into territories within the

nucleus of diploid organisms could allow to extract data supporting correct haplotype

separation [79], we did not follow this route and anticipate that the quality of the BoeRC

assembly is sufficient for almost any application with relevance to viticulture.

Similarity of 'Börner' haplotypes and comparison with PN40024 and VitRGM

The assemblies BoeRip and BoeCin show overall similarity to each other and to

PN40024. Even if the haplotypes display with each other almost the same SNP fre-

quency as with PN40024, more precisely 1 SNP in 33 bp compared to 1 SNP in 31 bp,

more bases were aligned between the haplotypes with a higher identity than with

PN40024. The difference in SNP frequency becomes more specific when comparing

the SNP frequency of the coding regions. Here, BoeRip and BoeCin show less SNPs

(1/1,001 bp) than with PN40024 (1/932 bp BoeRip & 1/935 bp BoeCin). However,

because of the high heterozygosity between haplotypes of grapevine [80], it is not surpris-

ing that the SNP frequency between 'Börners' haplotypes is almost the same as to an-

other *Vitis* species. Reported SNP frequencies for grapevines range from 1 SNP per 64

bp [81] to 1 SNP per 200 bp [17]. The SNP frequency between *V. riparia* and *V. vinifera*

was deterimined to 1 SNP per 78 bp [82]. Generally, it seems that SNP frequencies be-

tween and within *Vitis* species are not that different.

High sequence identities and a good chromosome assignment were revealed trough dot

plots between homologous pseudochromosomes of the haplotypes of BoeRC and

PN40024 or VitRGM. The "point clouds" often observed in the dot plots between ho-

mologous pseudochromosomes can be assumed to pinpoint the location of the centro-

mere as centromeric regions are often highly repetitive and thus hard to sequence and

assemble. The gaps detected in comparison with PN40024 may represent missing bases

in the PN40024 genome sequence assembly. Almost all identified rearrangements be-

tween the haplotypes of BoeRC and PN40024 were found between both haplotypes and

PN40024, and most of them are inversions. This calls for an improvement of the

'PN40024' reference genome sequence based on long read sequence data.

Rdv1 locus

The *Rdv1* locus has been genetically mapped to a region of 224 kbp in size in the Boe-

Cin haplotype of the 'Börner' genome. The genome regions orthologous to the *Rdv1*

locus from BoeCin and different *Vitis* species are flanked on both sides by large syn-

thenic regions. The high variability and divergence that is known for resistance gene

clusters [83] was also detected within the *Rdv1* locus.

In synthenic regions, alleles may vary significantly in lengths between haplotypes, still

they encode the same protein even among different *Vitis* species. For example, the

PN40024 gene *Vitvi13g01613* which encodes a putative glycerol-3-phosphate dehydro-

genase (dark blue gene in Fig. 6, northern part of the locus), is 3,600 bp longer than its

BoeCin ortholog. The 466 amino acid long protein sequence is identical between all

haplotypes analysed except one amino acid substitution each in PN40024 and BoeRip.

Increased gene length of *Vitvi13g01613* in PN40024 is caused by a larger third intron.

Several TE fragments and especially one larger LTR/Copia-like TE explain the differ-

ence in the length of intron three.

In addition to SNPs, quite some presence/absence variation (PAV) contributes to the

divergence of the *Rdv1* region in *Vitis*. The various PAVs were, at least in several cases,

explained by detection of TEs (either DNA transposons or retrotransposons) in the hap-

lotypes (Supplementary File 1 Fig. S7). For example, two intact LTR/Copia TEs and

two intact DNA/hAT TEs were detected in the *Rdv1* region of BoeRip but no intact

TE(s) of these classes in the *Rdv1* region of BoeCin. In the *Rdv1* region of VitRGM,

one intact DNA/DTC TE was found but no intact TE of these class in the *Rdv1* region

of in PN40024.

The resistance gene *BoeCin13g18388* encodes a LRR domain and is around 2,400 bp

longer in BoeCin than in BoeRip (*BoeRip13g18553*). The reason is again a larger intron

in the 3'UTR region of BoeCin with an LTR/Gypsy TE covering the entire leader in-

tron. This TE insertion causes a shifted translation start and the protein of the BoeCin

allele is 49 amino acids longer at the N-terminus than the protein encoded by the

BoeRip allele.

In case of the MULE transposon (orthogroup with similarity to mutator-like elements),

coding potential a transposase protein was identified in BoeCin, BoeRip and VitRGM.

There are hints from the TE annotation (Supplementary File 2 Table S13) that the

MULE transposon might contain two ORFs in opposite orientation which is character-

istic for some MULEs. However, the terminal inverted repeats were not identified. Be-

cause of the great diversity within in the same and between transposon superfamilies,

generally and also with regard to the structure and length of MULEs [84, 85], further work

is needed to precisely identify all TEs and TE fragments that contribute to PAVs at this

and other loci.

The *Rdv1* locus itself is characterized by one extended resistance gene cluster. Orthol-

ogous regions in the other studied haplotypes derived from different *Vitis* species dis-

play very divers cluster structures with respect to length in kbp and number of resistance

genes. In the BoeCin haplotype that is conferring the dominant resistance to phylloxera

and which segregates in offspring of 'Börner', the cluster has a length of about 350 kbp

and includes 10 resistance genes. The cluster extends at the southern end beyond the

genetically delimited *Rdv1* locus. In the BoeRip haplotype that confers recessive sus-

ceptibility to phylloxera, the cluster includes 14 resistance genes.

In both 'Börner' haplotypes as well as in VitRGM, the cluster shows an insertion of a

conserved array of four to six genes compared to PN40024. The inserted genes (or the

genes deleted in PN40024) encode a tRNA ligase, a protein of unknown function, a

mutator-like element (MULE) DNA transposon, a DEAD-box ATP-dependent RNA

helicase, a ribonucleoside-diphosphate reductase small chain and for a ubiquitin-ligase.

These genes display no features of resistance genes and are found with high identity

values in the sensitive haplotype BoeRip. They are, therefore, no candidate genes for

Rdv1.

Within the southern part of the locus, RGAugury detected two RGAs with TM-CC do-

mains in the encoded proteins that are potential false positive results. The two genes

(orange and pink in Fig. 6, with red edging in BoeRip and BoeCin due to the RGAugury

output). Based on the functional annotation (Supplementary File 2 Table S15), the two

orthogroups code for homologs of SEC31A (a component of the coat protein complex

II (COPII) involved in the formation of transport vesicles) and homologs of AGL15

(agamous-like MADS-box factor potentially involved in control of development). The

genes in the syntenic block that starts at its northern end with the homolog of *SLX1*

(encoding a subunit of a structure-specific endonuclease complex involved in pro-

cessing diverse DNA damage intermediates, light ochre in Fig. 6) and which extends to

the south beyond the delimiting markers GF13-04.2/GBS-04, are also very unlikely to

be candidates for *Rdv1*.

To the north, the synteny extends for two more genes and terminates at a TX1-like non-

LTR retrotransposon (*BoeCin13g18391*) that is only detected in BoeCin. Southern to

this TX1-like TE, a syntenic orthogroup (including *BoeCin13g18393* in BoeCin) en-

codes a CC-NBS-LRR (CNL) resistance protein related to the wild potato resistance

protein RGA4. Since this gene is represented by closely related alleles in BoesCin and

the haplotypes conferring susceptiblity (amino acid sequence identity: BoeRip 99.9 %,

PN40024 97.1 %), it is not considered to be a candidate for *Rdv1*.

Based on this evaluation, the most promising genes mediating phylloxera root re-

sistance are the fife resistance genes northern and southern to the array of four to six

genes conserved in BoeCin, BoeRip and VitRGM (*BoeCin13g18381*; *Boe-*

Cin13g18382, CNL/RLP; *BoeCin13g18388*; *BoeCin13g18389,* NL; *Boe-*

Cin13g18390). Ideally, an F1 genotype from the V3125 x 'Börner' cross with a recom-

bination site between the BoeCin and BoeRip haplotypes should have been identified.

However, such an event was not detected. It is possible that the high divergence and

sequence dissimilarity throughout the *Rdv1* locus causes a suppression or at least re-

duction of recombination events at the locus, which could explain this failure. A reduc-

tion of recombination frequency was, for example, also described for the *Rpv3-1* locus

that confers resistance to *P. viticola* in grapevine [86].

The fife remaining resistance genes fall, with respect to functional annotation, into two types. The resistance genes *BoeCin13g18388* and *BoeCin13g18390* were not detected as RGAs by RGAugury, but encode proteins similar to the *A. thaliana* resistance gene *AtLRRAC1* (*At3g14460*). *AtLRRAC1* has been reported to be involved in the defense against the biotrophic fungus *Golovinomyces orontii* as well as the hemibiotrophic bacteria *Pseudomonas syringae*, and might be relevant for signaling via cAMP-dependent defense pathways [87]. The three other resistance genes, namely *BoeCin13g18381*, *BoeCin13g18382* CC-NBS-LRR/RLP and *BoeCin13g18389* NBS-LRR (NL), encode proteins similar to *RPPL1* (Recognition of *Peronospora Parasitica* 13-like 1). *AtRPPL1* (*At3g14470*) received its annotation from *AtRPP13* (*At3g46530*), a gene that confers resistance to the biotrophic oomycete *Peronospora parasitica* and which encodes an NBS-LRR protein [88, 89]. In *A. thaliana*, *AtLRRAC1* and *AtRPPL1* form a cluster of two neighbouring genes, a feature that is conserved in *Vitis* (Fig. 6).

In BoeCin and BoeRip, one of the resistance genes is classified as encoding a NBS-LRR (NL) protein. Despite an identical NBS domain with only very few substitutions, the LRR region varies greatly between the two alleles (*BoeCin13g18389* and *BoeRip13g18554*) and is shorter in BoeRip because of a premature stop codon. Also for the other four candidate resitance genes, differences between the alleles in BoeCin, BoeRip and PN40024 were detected. For example, the protein encoded by *BoeCin13g18382* was detected as RGA based on CC-NBS-LRR domains in addition to features of an RLP. However, the genes detected at synthenic positions lack these features either in part or in total.

Since the PN40024 sequence is defived from the most susceptible line in our comparison, the protein sequences of the fife *Rdv1* candidate resintance genes of BoeCin were compared with all PN40024 protein sequences. No identical PN40024 protein sequence or proteins with identical resistance domain sequences were identified. Thus, the five BoeCin resistance genes are promising candidate genes for conferring resistance to phylloxera.

Conclusions

The fully phase-separated genome sequence assembly BoeRC from the phylloxera resistant rootstock 'Börner', and it's structural and functional gene annotation, build a cornerstone for the investigation of loci from 'Börner' that are linked to it's various

valuable traits. Here, the focus was on phylloxera resistance. The sequences of the haplotypes BoeCin conferring resistance to phylloxera and BoeRip conferring sensitivity allowed to precisely map recombination sites by GBS in crucial genotypes of a mapping population of V3125 x 'Börner'. Subsequently, detailed examination and comparative genomics of the gene content of the *Rdv1* locus allowed to delimit the locus to a region of 123 kb in BoeCin haplotype with five resistance genes as potential candidates involved in mediating phylloxera resistance at the root. The resources generated will allow to study additional traits and have the potential to support resistance breeding for the benefit of viticulture.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

Sampling and phenotyping were done at the JKI (Julius Kühn-Institute, Institute for Grapevine Breeding Geilweilerhof, Siebeldingen, Germany). Sequencing and data analysis were performed at Bielefeld University, Faculty of Biology & Center for Biotechnology (CeBiTec) and MPIPZ (Cologne). The design of the experiments was set up by LH, DH and BF. Genotype selection, sampling and DNA isolations were carried out by LH and DH. PV, BH and RR accomplished library preparation and sequencing. LH performed the SSR analysis. BW supervised the work at Bielefeld University. RT supervised the work at JKI. RT and BW acquired project funding and wrote the project proposal. All bioinformatic data analyses, creation of figures, tables and writing of the manuscript were performed by BF and DH. BF and BW drafted and finalised the manuscript. All authors have read and approved the final manuscript.

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Data availability

The SMRT sequencing reads of 'Börner' (ERR6182799), the WGS reads of 'Börners' parents *V. riparia* GM18 (ERR6182967, ERR6183009) and *V. cinerea* Arnold (ERR6183041) as well as the 'Börner' haplotype assemblies (ERS6637871) were deposited in ENA/GenBank/DDJ under project no. PRJEB45595. The haplotype assemblies BoeRip and BoeCin at chromosome level with structural and functional annotation are available at (https://doi.org/10.4119/unibi/2962793). The RNA-Seq data of 'Börner' leaf (ERR6182801) and tendril (ERR6182801) were deposited in ENA/GenBank/DDJ under project no. PRJEB46079, the raw sequencing reads of 'Börner' BACs (ERR6183016-ERR6183021, ERR6183023-ERR6183025, ERR6183030-ERR6183032) under project no. PRJEB46081. The assembled and phase-separated BAC contigs are available at (https://doi.org/10.4119/unibi/2962639). DNA sequence read data from the F1 genotypes of the mapping population and the maternal parent V3125 generated for GBS were deposited in ENA/GenBank/DDJ under project no. PRJEB53997.

Supplementary information

Supplementary Fig. S1: Length distribution calculated over all subreads
Supplementary Fig. S2: Coverage plot of the 'Börner' haplotype assemblies
Supplementary Fig. S3: All-versus-all dot plot between pseudochromosomes of the *V. riparia* haplotype of 'Börner' and the *V. vinifera* reference sequence.
Supplementary Fig. S4: All-versus-all dot plot between pseudochromosomes of the *V. cinerea* haplotype of 'Börner' and the *V. vinifera* reference sequence
Supplementary Fig. S5: Display of initial assembly analysis of chr02 of BoeRip
Supplementary Fig. S6: Example for determination of allelic constitution in selected F1 genotypes

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