- 1 Realizing the promise of biodiversity genomics with highly accurate long reads
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# 23 Abstract:

- 24 Generating the most contiguous, accurate genome assemblies given available sequencing
- 25 technologies is a long-standing challenge in genome science. With the rise of long-read
- 26 sequencing, assembly challenges have shifted from merely increasing contiguity to correctly
- 27 assembling complex, repetitive regions of interest, ideally in a phased manner. At present,
- 28 researchers largely choose between two types of long read data: longer, but less accurate

29 sequences, often generated with Oxford Nanopore (ONT) technology, or highly accurate, but 30 shorter, reads typically generated with Pacific Biosciences HiFi. To understand how both 31 technologies influence genome assembly and to clarify how scale of data (i.e., mean length and 32 sequencing depth) influence outcomes, we compared genome assemblies for a caddisfly, 33 Hesperophylax magnus, generated with ONT and HiFi data. Despite shorter reads and less 34 coverage, HiFi reads outperformed ONT reads in all assembly metrics tested and allowed for 35 accurate assembly of the repetitive ~20-Kb H-fibroin gene. Next, we quantified the influence of 36 data type on genome assemblies across 6.750 plant and animal genomes. We show that HiFi 37 reads consistently outperform all other data types for both plants and animals and may 38 represent a particularly valuable tool for assembling complex plant genomes. To realize the 39 promise of biodiversity genomics, we call for greater uptake of highly accurate long-reads in 40 future studies.

41

42 Keywords: Insecta, Oxford Nanopore, PacBio, HiFi, caddisfly, genome biology

43

#### 44 Significance statement:

45 Understanding how types of sequence data influence genome assembly is an important aspect 46 of genome science. In general, more data-i.e., longer reads, greater depth of coverage-often 47 yields better genome assemblies. However, it is unclear how highly accurate long-read 48 sequence data (e.g., PacBio HiFi) compare to noisier long-read data. We showed that HiFi 49 outperformed noisier long-read data for a caddisfly species in terms of assembly contiguity and 50 resolution of the highly repetitive ~20-Kb H-fibroin gene. We also showed that this 51 outperformance likely extends to all animals and plants via a field-wide meta-analysis. Thus, 52 long-read accuracy should be emphasized in future genome studies. 53

### 54 **Body**:

55 As genome sequencing has been revolutionized by high-throughput sequencing, a general rule

56 has emerged: more data—e.g., longer reads or greater depth of coverage—yields more

57 contiguous, accurate genome assemblies. This is particularly evident when read length is 58 considered; third-generation long reads, which are often tens or even hundreds of thousands of 59 base pairs in length, have dramatically improved genome assemblies across the Tree of Life 60 (Hotaling, et al. 2021a; Hotaling, et al. 2021b; Marks, et al. 2021; Rhie, et al. 2021). For 61 coverage, an increase can limit the impacts of erroneous read calls through more replication of 62 potential variants (Sims, et al. 2014). However, a shortcoming of second-generation, short-read 63 platforms (e.g., Illumina) for genome assembly is that no amount of data will allow for resolution 64 of repeat-driven gaps that exceed read lengths (Sims, et al. 2014). The power of long reads to 65 mitigate this issue is well-documented (e.g., Hotaling, et al. 2022) but not all long reads are 66 created equal; indeed, different platforms yield different length versus error profiles 67 (Amarasinghe, et al. 2020; De Coster, et al. 2021). This difference is particularly important since 68 some long, repeat-rich genomic regions-including many genes of phenotypic relevance-pose 69 assembly challenges even when long read data are used. Generally speaking, past evidence 70 would suggest that to resolve difficult genomic regions with long read data, longer reads at 71 greater depth of coverage will outperform shorter reads and/or less dense coverage. However, it 72 is unclear how this expectation jibes with read accuracy, particularly for two common types of 73 long-read data that are currently in use: longer but noisier long reads [e.g., Oxford Nanopore 74 (ONT)] and more accurate, but shorter, long reads (e.g., PacBio HiFi).

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We inadvertently tested this prediction—that longer, higher coverage, and noisier reads would outperform shorter, but more accurate, reads at lower sequencing depth—in a silk-producing caddisfly, *Hesperophylax magnus*, and the highly repetitive *heavy fibroin chain* gene (*H-fibroin*). Caddisflies share an evolutionary origin of silk with butterflies and moths, including the primary protein component of silk, *H-fibroin* (Frandsen, et al. 2019). *H-fibroin* commonly spans ~20 Kb and its amino acid sequence consists of conserved termini and a highly repetitive internal region. Early efforts to assemble the complete *H-fibroin* gene with short reads were

unsuccessful due to its long repetitive region (Ashton, et al. 2013; Yonemura, et al. 2009).
However, long-read assemblies have since yielded full-length sequences (Frandsen, et al. 2019; Kawahara, et al. 2022; Luo, et al. 2018).

86

87 In this study, we compared two long-read genome assemblies for *H. magnus* produced with two 88 technologies: ONT and HiFi. For comparison, we considered genome-wide metrics as well as 89 accurate assembly of the *H*-fibroin gene. We chose to focus on *H*-fibroin as a surrogate for 90 complex but phenotypically important genes where we expected the benefits of highly accurate 91 long reads to be most obvious. To estimate whether assembly outperformance with HiFi data 92 was unique to our focal caddisfly or reflects a broader trend in genome biology, we performed a 93 meta-analysis of contig N50, assembly length, and sequencing technology for all publicly 94 available plant and animal genomes on GenBank. For our caddisfly case study and meta-95 analysis, highly accurate HiFi sequence data dramatically outperform all other types of 96 sequence data.

97

98 For our caddisfly genome comparison, we sequenced two individuals of *H. magnus* from the 99 same population. For the first individual, we generated a combination of noisy ONT sequencing 100 (R.9.4.1, LSK-109 ligation library prep kit) and Illumina sequencing (NovaSeg). For the second 101 individual, we only generated HiFi reads via CCS sequencing on the PacBio Sequel II platform. 102 We used Guppy v.5.0.11 to base-call the ONT reads and removed all reads under 5 Kb for 103 further analysis. We used SMRTlink v.10 to generate HiFi reads (reads with quality >Q20). To 104 assemble genomes for the two individuals, we tested a range of assemblers. For ONT, we 105 tested Canu v.1.8 (Koren, et al. 2017), wtdbg2 v.2.4 (Ruan and Li 2020), and a hybrid approach 106 with MaSuRCA (Zimin, et al. 2013). For HiFi, we tested Hifiasm (Cheng, et al. 2021) and 107 HiCanu (Nurk, et al. 2020). We selected the best assembly based on contiguity and 108 "Benchmarking Universal Single-Copy Orthologs" (BUSCO) scores. We ran BUSCO v.5.2.2

(Manni, et al. 2021) using the 1,367 reference genes in the OrthoDB v.10 Insecta gene set
(Kriventseva, et al. 2019). To evaluate recovery and assembly of *H-fibroin*, we used tblastn to
identify conserved terminal sequences from existing transcriptomes (Ashton, et al. 2013). We
then extracted *H-fibroin* from both assemblies with 1,000 additional bps from each terminus and
annotated it using Augustus v.3.3.2 (Stanke, et al. 2006). To visualize mismatches between
reads and the assembly, we mapped raw reads to the assembled *H-fibroin* gene using
Minimap2 (Li 2018) and visualized the results in Geneious 2022.0.2 (Kearse, et al. 2012).

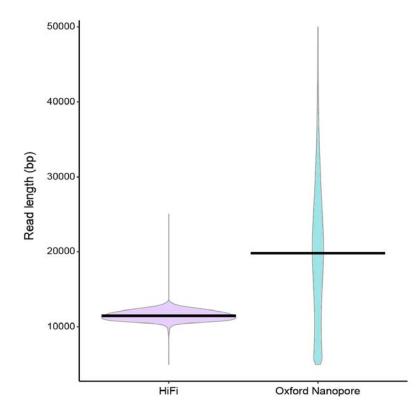
117 To assess the influence of sequencing technology on genome assembly across the Tree of Life, 118 we extracted all available genome assemblies for plants (class Embryophyta) and animals 119 (class Metazoa) from GenBank using the "summary genome" function in v.10.9.0 of the NCBI 120 Datasets command-line tool on 13 November 2021. We then used the "lineage" function of 121 TaxonKit (Shen and Xiong 2019) to retrieve taxonomic information for all entries in our genome 122 assembly list. Next, we gathered additional metadata (e.g., sequencing technology) for each 123 entry using a custom web scraper script (modified from Hotaling, et al. 2021b). Next, we 124 removed duplicate assemblies and alternative haplotypes for a given assembly that were 125 identified through keyword searching in either the BioProject information or assembly title.

126

127 We binned assemblies into four sequencing technology categories: short-reads (e.g., Illumina), 128 long-read ONT (ONT long-reads with or without short-reads), long-read PacBio (non-HiFi 129 PacBio long-reads with or without short reads), or HiFi (any assembly where HiFi long-reads 130 were used). To assist in categorization, we considered any assembly that was generated before 131 2017—when long-read assemblies began to emerge (Hotaling, et al. 2021b)—to be a short-read 132 assembly. We also used self-reported information on the genome assembly algorithms used to 133 classify assemblies. For instance, if an assembly only reported PacBio sequence data but a 134 HiFi-specific assembler (e.g., Hifiasm) was used, we classified it as a HiFi assembly. After

binning, we removed any assembly for which the sequence data type used could not be

- 136 established. We tested for differences in the distributions of assembly size and contig N50
- among plant and animals or our sequence type categories within the overarching plant or
- animal grouping using Welch Two Sample T-tests or one-way ANOVAs followed by Tukey HSD
- tests in R v3.6.3 (R Core Team 2021). While all statistical tests were performed on the
- 140 untransformed data, we visualized log-transformed comparisons using ggplot2 (Wickham 2011).
- 141



#### 142

143 Figure 1. Violin plots of read lengths for the HiFi and Oxford Nanopore data sets used to assemble

144 *Hesperophylax magnus* genomes in this study. Width of the colored areas indicate numbers of reads according

to lengths on the y-axis. Dark lines represent the medians of each distribution.

- 146
- 147 The ONT library had a wider distribution of read lengths with a median of 19.9 Kb for 33.5 Gb of
- raw data. The HiFi dataset had a median read length of 11.3 kb bp for 28 Gb of raw data (Fig.
- 149 1). The best ONT assembly (Genbank #GCA\_016648045.1) was generated with MaSuRCA and
- spanned 1.23 Gb. For HiFi, the best assembly was produced with Hifiasm (Genbank

151 #JAIUSX00000000) and was nearly identical in length at 1.22 Gb. However, we observed a 152 dramatic difference in contiguity; the ONT assembly had a contig N50 of 0.7 Mb versus 11.2 Mb 153 for the HiFi assembly. The ONT assembly also contained fewer complete BUSCOs (93%) 154 versus the HiFi assembly (95.6%). In both assemblies, the full-length H-fibroin locus was 155 present but the quality of the annotations differed greatly (Fig. 2). For ONT, Augustus annotated 156 a dozen genes in the ~30Kb region, most of which did not include the characteristic repeats 157 known from previous data. For HiFi, the annotation included a single gene with a single intron in 158 the n-terminus region. The second exon was large (25.3 Kb) and fully in-frame including a well-159 resolved repetitive structure, giving high confidence in the accuracy of the assembly. 160

a Oxford Nanopore reads mapped against the consensus Oxford Nanopore H-fibroin assembly

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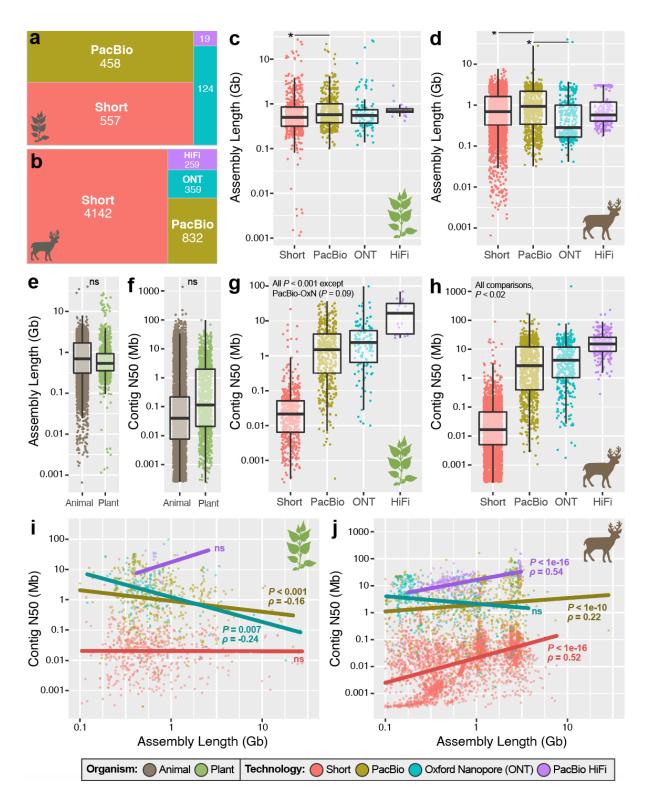
**b** PacBio HiFi reads mapped against the primary HiFi *H-fibroin* assembly

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162 Figure 2. A case study comparing the capacity for two long-read sequencing technologies to assemble the

163 complex gene underlying silk production in caddisflies, *H-fibroin.* (a) Raw Oxford Nanopore (ONT) reads

- 164 mapped to the consensus *H-fibroin* sequence from the ONT assembly. (b) Raw HiFi reads mapped to the
- 165 primary H-fibroin sequence from the phased HiFi assembly. Dark lines indicate mismatches relative to
- 166 consensus. In (b), mismatches reflect an *H-fibroin* length polymorphism that can be resolved by subsampling
- 167 reads based on their allele-specificity.
- 168



170 Figure 3. Sequencing technology representation and genome assembly quality across all animal and plant

- 171 assemblies deposited in GenBank as of November 2021. A breakdown of the sequencing technology used for
- 172 genome assemblies in (a) plants and (b) animals. Total assembly length broken down by sequencing
- 173 technology for (c) plants and (d) animals. (e) Assembly length across all plant and animal genomes, regardless
- 174 of technology. (f) Contig N50 across all plant and animal genomes and broken down by technology for (g)
- 175 plants and (h) animals. Spearman's correlations between contig N50 and assembly length for (i) plants and (j)
- 176 animals. For (i) and (j), correlation statistics were generated for the full data sets but for visualization,
- 177 assemblies less than 0.1 Gb in length or with contig N50 > 1 Gb have been excluded. For (c-h), asterisks and
- 178 thin dark lines indicate significant differences at P < 0.05.
- 179

180 After filtering, our data set contained 6,750 genome assemblies (animals = 5,592; plants = 181 1,158; Table S1). For plants, short-read assemblies (48.1%; N = 557) and long-read assemblies 182 generated with non-HiFi PacBio data were similarly common (39.6%; N = 458; Fig. 3a). ONT 183 assemblies, however, were much less common (10.7%) and HiFi assemblies were exceptionally 184 rare, comprising just 1.6% of all assemblies (N = 19; Fig. 3a). For animals, the majority of 185 assemblies were generated with short-read data (74.1%; N = 4.142). Non-HiFi long-read 186 assemblies, generated with either PacBio or ONT reads, were also common, comprising 21.4% 187 of the data set. HiFi assemblies were again the least common with just 259 assemblies (4.6%; 188 Fig. 3b).

189

190 On average, animal genome assemblies were neither longer (P, Welch T-test = 0.80, Fig. 3e) 191 nor more contiguous than those of plants (P, Welch T-test = 0.10, Fig. 3f). When broken down 192 by technology, assembly lengths did differ for plants (P, one-way ANOVA = 0.006) and animals 193 (P, one-way ANOVA < 0.001). For plants, only one length comparison was significantly 194 different-non-HiFi PacBio assemblies were longer than those generated with short-reads (P, 195 Tukey HSD = 0.005; Fig. 3c). For animals, non-HiFi PacBio assemblies were longer than both 196 short-read (P. Tukey HSD = 0.002) and ONT assemblies (P. Tukey HSD < 0.001; Fig. 3d). In 197 terms of assembly contiguity, contig N50 was significantly different for all comparisons in plants 198 (P, Tukey HSD < 0.001) and animals (P, Tukey HSD < 0.02) with one exception: in plants, non-

HiFi PacBio assemblies were not different from ONT assemblies (*P*, Tukey HSD = 0.09; Fig. 3gh). For both groups, HiFi reads dramatically outperformed all other long-read technologies
tested. In plants, the average HiFi assembly was 501% more contiguous (mean contig N50 =
20.5 Mb) than assemblies generated with other long-reads (mean contig N50 = 4.1 Mb; Fig. 3g).
For animals, HiFi assemblies were 226% more contiguous (mean contig N50 = 20.9 Mb) versus
other long-read assemblies (mean contig N50 = 9.3 Mb; Fig. 3h).

205

206 When assembly size was compared to contiguity, striking patterns emerged. For plants, when 207 non-HiFi long reads are used, contiguity declines with increasing assembly length (P < 0.008; 208 Spearman's 2, PacBio = -0.16, Spearman's 2, ONT = -0.24; Fig. 3i). The same trend isn't 209 present for short reads (*P*, Spearman's  $\mathbb{Z} = 0.18$ ) nor HiFi (*P*, Spearman's  $\mathbb{Z} = 0.37$ ). However, 210 for HiFi, this lack of significance is likely a product of small sample size (N = 19; Fig. 3i). For 211 animals, however, three of four read types (short, PacBio, HiFi) exhibit positive correlations 212 between contig N50 and assembly length (*P*. Spearman's 2 < 1e-10) with the steepest trends 213 for the two most accurate sequencing technologies: HiFi (Spearman's  $\mathbb{Z} = 0.54$ ) and short-reads 214 (Spearman's  $\square = 0.52$ ; Fig. 3j).

215

216 As high-throughput sequencing technologies have matured, so has a common strategy: to 217 generate better assemblies, more data is better. While simplistic, this "more is better" approach 218 has been supported by empirical data and echoed by genome sequencing overviews (e.g., 219 Ekblom and Wolf 2014). The practicality of this approach has also been empirically observed in 220 the long-read era. For instance, benchmarking of long-read assemblies in maize found that 221 lower sequencing depths (< 30x) with mean read lengths less than 11 Kb yielded highly 222 fragmented assemblies (Ou, et al. 2020). Stepping back, the outperformance of long-reads 223 relative to short-reads in terms of basic assembly metrics is dramatic and independent of 224 taxonomy (Hotaling, et al. 2021b; Marks, et al. 2021). Thus, support exists for the premise that

to generate the most high-quality assemblies, researchers should maximize depth of coverageand mean read length regardless of the technology being used.

227

228 However, since we cannot maximize perfectly accurate deep sequencing with the longest 229 possible reads, achieving the best possible genome assemblies under the current landscape of 230 sequencing technologies appears to require more nuance. Our results highlight that in at least 231 one instance, a smaller amount of shorter, but more accurate, HiFi reads outperformed ONT 232 data for genome assembly in the same taxon. Since this outperformance likely stems from base 233 pair accuracy and the potential for sequence errors to confound assembly, we expected the 234 benefit of highly accurate reads to scale with genome and/or gene-region complexity. The 235 dramatic outperformance of HiFi reads when assembling the *H-fibroin* locus supports this 236 expectation. Similar results have also been obtained for other taxonomic groups. For the leaf 237 rust fungus, Puccinia triticina, HiFi reads outperformed noisier long-reads, particularly in areas 238 of the genome that were difficult to assemble (Duan, et al. 2022). Among rice genomes, 239 however, the results were less clear: ONT ultra-long reads yielded higher overall contiguity but 240 more errors than HiFi (Lang, et al. 2020).

241

242 Moving beyond our case study to a large-scale comparison of animal and plant genome 243 assemblies, key broader themes emerged. First, HiFi assemblies were significantly more 244 contiguous than all other types of sequence data tested. And, given the benefits it affords, highly 245 accurate long-read sequencing-e.g., HiFi-remains underrepresented, particularly in plant 246 genetics. Second, all significant relationships between genome size and contiguity for 247 sequencing technologies in animals were positive (Fig. 3j) whereas no correlation was positive 248 in plants (Fig. 3i). This suggests that as genome size increases in plants, so too does 249 complexity, likely at a rate that outpaces the capacity for modern assembly algorithms to 250 assemble it. Notable, however, was the sharply positive trend for HiFi sequencing in plants

251 where contig N50 appears to rapidly increase with assembly length (Fig. 3i). While not 252 statistically significant at P < 0.05-likely due to a low sample size-this pattern suggests that HiFi 253 and similarly accurate long-read technologies may represent a valuable means to overcome 254 challenges of genome complexity in plants. Finally, we acknowledge that while we focused on 255 specific technologies in this study and found strong evidence for HiFi efficacy, our point is more 256 about long-read accuracy than specific technologies. Indeed, other technologies-including 257 ONT-will likely approach and may even surpass HiFi in terms of accuracy in the months and 258 vears to come.

259

260 With the rise of highly accurate long-read sequencing, little room remains for another revolution 261 in genome assembly quality. It is now possible to generate reference-quality assemblies for 262 virtually any species with modest resources. The only exceptions, for now, are species that are 263 very small, difficult to obtain, and/or with exceptionally large or complex genomes. What is 264 lacking now are appropriate metrics for contemporary genome assembly benchmarking. For 265 instance, contig N50-the most common metric for assessing contiguity-scales with assembly 266 length in highly accurate long-read assemblies (Fig. 3i-j) and thus, its upper limit is tied to 267 chromosome length, making comparisons among groups difficult. For gene content 268 assessment-i.e., BUSCO scores-one challenge lies in how accurately BUSCO scores reflect 269 true gene content, particularly when more repeat-rich genes are considered. For instance, the 270 median gene length in the 1,467-gene "Insecta" gene set is ~1 Kb (longest = 9.1 Kb; Hotaling, et 271 al. 2021b) yet phenotypically relevant genes like *H-fibroin* can be *much* longer (i.e., >20 Kb). 272 Thus, while BUSCO scores for the ONT and HiFi assemblies of *H. magnus* reflect marginal 273 differences in their gene completeness (93% vs. 95.6%), the true gap is likely much greater. We 274 expect differences in assembly quality to scale with genomic region complexity-a result that is 275 not captured by BUSCO scores.

276

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- 280
- 281 **Data availability:**
- Both genome assemblies generated for this study are available on Genbank
- 283 (#GCA\_016648045.1, #JAIUSX00000000) as well as the raw reads used (ONT: SRX9290148;
- 284 Illumina: SRX9290147; HiFi: SRR15840267). The full data set used for the animal and plant
- 285 genome analysis is provided in Table S1.
- 286

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