Growth mindset promotes children's working memory performance through cortico-striatal interaction and fronto-parietal activation

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Abstract

Children with growth mindset tend to believe that personal abilities and traits are

improvable through continuous efforts. This belief boosts intrinsic motivation and

benefits cognitive abilities in children, in the long term resulting in improved

academic performance. However, little is known regarding its neurocognitive

mechanisms in the processing of cognitive tasks. Using functional brain imaging and

an n-back task in school-aged children (ages 8-12), we demonstrated that growth

mindset promotes working memory performance through enhanced response in the

fronto-parietal network, salience network, and caudate nucleus. While growth mindset

predicted lower connectivity between caudate and insula irrespective of memory load,

the connectivity between caudate and posterior cingulate cortex was selectively

correlated with growth mindset in the high load condition. The cortico-striatal

connectivity together with cortical response played a key mediatory role in the

promotion effect of growth mindset towards working memory. Our findings suggest a

neurocognitive mechanism accounts for how growth mindset promotes children's

working memory in demanding tasks via modulation of motivational neural systems

and information processing.

Introduction

To meet the challenges ahead, children develop positive inner beliefs that enable them

to continue their efforts without external support. The belief that human attributes and

skills are malleable and improvable is called growth mindset (Dweck and Yeager,

2019). It benefits cognitive control performance (Moser et al. 2011; Schroder et al.

2017) and further results in better academic achievements in school-aged children

(Claro et al., 2016; Good et al., 2003; Yeager et al., 2019). This merit covers a large

range of age groups from age 7 to adulthood (Sarrasin et al., 2018) and the effect

lasted for years (Blackwell et al., 2007). Such a substantial effect of growth mindset is

proposed to root in higher intrinsic motivation (Ng 2018; Xu et al. 2020) and

modulation of working memory processing (Mangels et al. 2006). Despite decades of

application and research in education, however, little is known about the underlying

neurocognitive mechanism by which growth mindset impacts cognitive control in
children.

Growth mindset impacts positively on motivation, as implicated by both behavioral
and neural evidence. Students induced growth mindset tended to attach importance to
academics and have higher positive beliefs about effort (Aronson et al. 2002;
Blackwell et al. 2007; Yeager et al. 2016). It also led to process-related change:
growth mindset intervention caused lower perceived intrinsic and extraneous load in
students (Xu et al. 2020). Recordings of brain signals related growth mindset with
more effortful coding of task-related information, and localized the effect to brain
regions including frontal, cingulate and parietal cortex (Moser et al. 2011; Schroder et
al. 2014). Furthermore, growth mindset predicted higher activation in caudate nucleus
(Bejjani et al. 2019), a core region of motivation (Liljeholm and O'Doherty 2012). A
task-free study revealed mindset-related cortico-striatal connectivity, with dorsal
striatum coupling with prefrontal, precentral and cingulate cortex (Myers et al. 2016).
In brief, the direct effect of growth mindset on motivation is repeatedly tested from
childhood to adulthood. However, how growth mindset modulates task-related
processing through motivational neural mechanisms needs further investigation.

Striatum and cortical networks are involved in the motivational process during
cognitive control. Since high-demand task with higher engagement of control systems
is inherently more costly, it need to be specifically motivated (Botvinick and Braver
2015; Sidarus et al. 2019). The striatum, especially the caudate nucleus, is involved in
the motivational process during cognitively demanding tasks through the evaluation
between benefit and cost (Westbrook et al. 2021). Higher activation of caudate
nucleus causes a higher willingness to conduct effortful working memory tasks
(Westbrook et al. 2020). This preference for high-demand tasks affects working
memory processing: the activation of caudate itself and its interaction with cortical
regions govern the updating of task-related information (McNab and Klingberg 2008;
Baier et al. 2010; Kühn et al. 2013). Though most studies focused on the coupling of

caudate to core fronto-parietal regions including prefrontal, anterior cingulate, and

superior parietal cortex (Nee and Brown 2013; Jarbo and Verstynen 2015),

connectivity and dopamine release of caudate to PCC is also related to cognitive

demand (Braskie et al. 2011; Vatansever et al. 2018). Since cortico-striatal interaction

serves for information updating, subsequent processing of this input information in

working memory may lie on well-known task-related cortical networks, including

fronto-parietal network (FPN), salience network (SN), and default mode network

(DMN) (Owen et al. 2005; Cai et al. 2021). Thus, we examine both local response and

interactions within cortical networks and striatum, and suppose it to be a potential

neural mechanism of growth mindset.

We tested the above hypotheses using blood-oxygen-level-dependent functional

magnetic resonance imaging (BOLD-fMRI) and a numerical n-back working memory

task. The working memory task allowed us to test for neural response under different

cognitive demand levels, and is suitable for children in consideration of difficulty.

Regarding the crucial role of childhood in cognitive control development, a large

sample of children (N = 375; ages 8-12 years old; **Fig. 1A**) were recruited for the

current study. They first completed the growth mindset measurement, then conducted

the n-back task in an MRI scanner. Whole-brain multiple regression analyses

discovered that striatum, cortical network regions were associated with growth

mindset in the working memory task, especially in high demand condition. Further

connectivity analysis identified specific cortico-striatal pathways related to growth

mindset. Finally, mediation analyses revealed that growth mindset affects working

memory performance through cortico-striatal pathways and cortical network

activation.

Methods and materials

Participants

A total number of 375 children (8-12 years old, M±SD = 9.833±1.039 years, 207 males and 168 females) **(Fig. 1D)** were recruited in the current study. The written informed consent form was obtained from each participant and their caregivers or legal guardians. The study procedures were approved by local ethics following the standards of the Declaration of Helsinki. Participants had no obstacle in vision and reported no history of neurological or psychiatric disorders and no current use of any medication or recreational drugs. Participants with excessive head motions (more than 1/3 frames with standardized DVARS >1.5 or frame displacement > 0.5), incomplete scales or scanning were excluded from further analyses **(Fig. 1A)**. A final sample of 306 children (8-12 years old, M±SD = 9.869±1.024 years,163 males and 143 females) were sent for imaging analysis.

The measure of growth mindset

The mindset was assessed using the Growth Mindset Scale (GMS) (Dweck 2006). It consists of 20 items (e.g., No matter who you are, you always can change your intelligence a lot), with 14 items about the individuals' theory of ability and 6 items about the individuals' theory of personality (Chinese version used in Wang et al. 2020, 2021). Participants were asked to rate their agreement with each statement using a 4-point Likert-type scale (0 = Strongly Disagree, 1 = Disagree, 2 = Agree, 3 = Strongly agree). The final score from 0 to 60 with higher scores represents higher growth mindset level.

N-back Task procedure

A classic numerical n-back task was used to assess participants' working memory performance. This task consisted of three conditions with different workloads (0-back, 1-back, and 2-back) and each condition consisted of 4 blocks. In each block, the participants first viewed a 2-second cue that indicated the workload of this block

(0-back, 1-back, and 2-back). Followed by a sequence of 15 pseudorandom digits in

which each digit was presented for 400 milliseconds. In the 0-back condition,

participants were instructed to respond to the digit "1". While in the 1-back condition,

participants were asked to respond to the digit which was just the same as the previous

one. In the 2-back condition, participants needed to respond to the digit which was the

same as the previous two. Stimuli were presented via E-Prime 2.0

(http://www.pstnet.com; Psychology Software Tools, Inc., Pittsburgh, PA). Both

participants' response and reaction time (RT) were recorded, then we calculated

participants' accuracy based on their responses. The accuracy and RT were used as

indicators of individual working memory performance.

Behavioral data analysis

The accuracy was assessed by d' based on the signal detection theory (Green and Swets

1966). All trials for each participant were assigned into the following categories: (1)

hits: responses to targets; (2) misses: no response to targets; (3) false alarms: responses

to non-targets; (4) correct rejections: no response to non-targets. The hit rate and false

alarm rate were defined as follows:

$$hit\ rate = \frac{hits}{hits + misses}$$

$$false\ alarm\ rate = \frac{false\ alarms}{false\ alarms + correct\ rejections}$$

157   The aforementioned hit rate and false alarm rate were Z transformed with inversed

158   cumulative Gaussian distribution to calculate d' (Finc et al. 2020):

$$d' = Z(hit\ rate) - Z(false\ alarm\ rate)$$

To get finite d' values in case either hit rate or false alarm rate was equal to 0 or 1,

modified values 0.01 or 0.99 was used instead. This d' measurement was defined as

accuracy and used to assess participants' working memory performance together with

RT in all analyses.

Behavioral measurements were analyzed with R (version 4.0.2,

https://www.r-project.org, Platform: x86_64-apple-darwin17.0 (64-bit)). Restricted by

participants' schedules as students, some participants finished their scales after the

fMRI scanning (M = 63.360 days, SD = 58.603 days), we used the scanning date to

calculate each participant's age.

Image data acquisition

Data were acquired using the same type of 3.0T scanner (Magnetom Prisma syngo MR

D13D, Erlangen, Germany) with a 64-channel head coil. High-resolution anatomical

images were acquired by a three-dimensional sagittal T1-weighted

magnetization-prepared rapid gradient echo (MPRAGE) sequence (TR = 2530 ms, TE

= 2.98 ms, TI = 1100ms, flip angle = 7°, voxel size 0.5 x 0.5 x 1.0 mm^3, matrix size =

256×224, FOV = 256 x 224 mm^2, brand width = 240 Hz/Px, 192 slices with 1 mm

thickness). Functional images with 33 axial slices (3.5 mm thick, 0.7 mm skip) parallel

to the anterior and posterior commissural line (AC-PC) were acquired using a

T2*-sensitive echo-planar imaging (EPI) sequence (TR = 2000ms, TE = 30ms, flip

angle = 90°, voxel size = 3.5 x 3.5 x 3.5 mm^3, FOV = 224 x 224 mm^2). The whole

n-back task consisted of 232 volumes and lasted for 464s.

Image data preprocessing

Brain images were preprocessed with the fMRIPrep 1.4.1 (Esteban et al. 2019) pipeline

implemented in Nipype 1.2.0 (Gorgolewski et al. 2011). The first 4 volumes of n-back

task were discarded for signal stability and adaptation of participants. For each

participant, the following preprocessing procedures were conducted. First, each T1w

volume was skull-stripped and a BOLD reference was estimated. Slice time correction

was then performed and all slices were realigned in time to the middle of each TR using

3dTshift from AFNI. Motion correction was done using mcflirt (FSL) and 6

head-motion parameters (three rotations, three translations) were estimated. The EPI

data was corrected for susceptibility distortions based on a field map and co-registered

to the anatomical reference using boundary-based registration with nine degrees of

freedom. Finally, these preprocessed BOLD functional images in the original space were resampled into the well-known 'MNI152NLin6Asym' space. Head-motion transformation, susceptibility distortion correction, BOLD-to-T1w transformation and T1w-to-template (MNI) warp were concatenated and applied in a single step using antsApplyTransforms (ANTs) using Lanczos interpolation.

ICA-based Automatic Removal of Motion Artifacts (ICA-AROMA) was used to automatically remove motion artifacts non-aggressively after removal of non-steady volumes and spatial smoothing with an isotropic, Gaussian kernel of 6 mm full-width half-maximum (FWHM). Physiological noise regressors were extracted applying CompCor and two CompCor variants were estimated: temporal (tCompCor) and anatomical (aCompCor). Framewise displacement (FD) and DVARS were calculated using Nipype. In addition to 6 head-motion parameters and global signals, their temporal derivatives and quadratic terms were also estimated. Outliers were defined as frames that exceeded a threshold of 0.5 mm FD and 1.5 standardized DVARS and were annotated. All these parameters were taken as aggressive noise regressors and were placed in the corresponding confounds file. For credible results, individuals with more than 1/3 frames as outliers were excluded in the following analyses (n = 22).

General linear model (GLM) analysis

To identify working memory-related brain systems and their relations to growth mindset, we constructed GLMs on both individual and group levels using SPM12 (https://www.fil.ion.ucl.ac.uk/spm/software/spm12/). To assess task-invoked neural response to different workloads, the 0-, 1-, and 2-back conditions were modeled as separate boxcar regressors and convolved with the canonical hemodynamic response function (HRF) built in SPM12. To regress out effects related to noise, signals within cerebrospinal fluid (CSF) and white matter (WM) from each participant were included as a nuisance in the model (Parkes et al., 2018). A high-pass filter of 1/128Hz was

applied and temporal autocorrelations in fMRI were corrected using a first-order

autoregressive model (AR(1)).

Relevant contrast parameter estimate images were initially generated at the

individual-subject level, then submitted to group-level analyses by treating participants

as a random variable. Contrast images of 2-back > 0-back, 2-back > 1-back, and 1-back >

0-back were submitted to separate multiple regression analyses with participants'

growth mindset as a covariate of interest, gender and age as nuisances. Coefficients of

the multiple regression were tested using one-sample t-test. Significant clusters were

determined at a voxel level false discovery rate (FDR) correction (pFDR < 0.05) on the

whole brain. For visualization of results, significant clusters were displayed using Surf

Ice   (https://www.nitrc.org/projects/surfice/)   and   MRIcroGL

(https://www.nitrc.org/projects/mricrogl/).

Regions of interest (ROIs) analysis

ROIs of three networks were generated from meta-analysis images using the

Neurosynth database (http://neurosynth.org). The whole-brain result and meta-analysis

results were overlapped for comparison. Mask of multiple comparison only included

continuous clusters with more than 10 voxels passed the threshold of p < 0.05

(corrected for multiple comparison using FDR) in the 2-back > 0-back contrast. And

the meta-analysis masks of the term "working memory" and "default mode" included

clusters with more than 10 voxels satisfied Z > 3 (p<0.001).

Based on this criterion, we then picked dorsal lateral prefrontal cortex (dlPFC), frontal

eye field (FEF), and inferior parietal sulcus (IPS) for FPN; anterior Insula (aInsula) and

anterior cingulate cortex (ACC) for SN from the meta-analysis map of the term

"working memory". Similarly, clusters of angular gyrus (AG), posterior cingulate

cortex (PCC), and ventral medial prefrontal cortex (vmPFC) were selected from the

meta-analysis   map   of   the   term   "default   mode".   Besides,   bilateral

hippocampus/parahippocampus (HCP/PHC) was also included based on the result of

whole-brain multiple regression. Finally, ROIs generated from the original

meta-analysis maps include: dlPFC, FEF, and IPS nucleus for FPN; aInsula and ACC

for SN; vmPFC, AG, PCC, and HCP/PHC for DMN (Fig. S1A & B). Parameter

estimates from each ROI and each participant were extracted from the individual-level

2-back > 0-back contrast using MarsBaR (http://marsbar.sourceforge.net/) to

characterize activation during the task in each ROI.

Functional connectivity analysis

To assess the effect of cortico-striatal interaction, connectivity between bilateral

caudate and whole cortical regions was calculated using the generalized

psychophysiological interaction (gPPI) method (McLaren et al. 2012). The bilateral

caudate seed was defined as the group-level significant clusters after FDR correction

in the 2-back > 0-back contrast, which is located in the dorsolateral caudate. The gPPI

approach assessed task-dependent functional connectivity of the seed region with all

voxels within global gray matter. Specifically, the mean time series of voxels within

the seed region were extracted and subsequently deconvolved to uncover neural

activity (physiological variable). The estimated neural activities were then multiplied

with task design vectors (0-back, 1-back, 2-back; psychological variable) and

convolved with a canonical HRF to form the PPI regressors of interest. Besides,

physiological/psychological variables, as well as signals within CSF and WM were

also included in individual GLM to account for potential confounds and remove the

effects of common driving inputs on brain connectivity. Individual-level contrast

images of gPPI effects were then submitted to second-level multiple regression

analyses with participants' growth mindset as a covariate of interest while gender and

age as nuisances. The significance threshold was determined by Monte Carlo

Simulation using 3dClustSim in AFNI (Cox et al. 2017) at a height threshold of $p <$

0.001, and an extent threshold of $p < 0.05$. Parameter estimates were extracted from

significant clusters using the same method noted above.

Mediation analysis

The mediation models were constructed and statistics were tested by the 'mediation'

package (https://cran.r-project.org/web/packages/mediation/) in R. A number of 5000

Monte Carlo draws were done for nonparametric bootstrap and 95% bias-corrected and

accelerated (BCa) confidence intervals were estimated. If the confidence interval did

not include zero, the effect was considered significant. Age was included in the models

as a covariate of no interest.

Results

Growth mindset predicts higher working memory performance in young children

We first verified the effectiveness of our workload manipulation. We conducted

repeated-measures analysis of variance (ANOVA) on accuracy and RT respectively

with workloads as within-subject factors. Both accuracy and RT showed robust main

effects (Accuracy: $F(1.94, 724.95) = 471.913$, $p < 0.001$, $\eta^2 = 0.308$; RT: $F(1.71,$

$624.61) = 325.855$, $p < 0.001$, $\eta^2 = 0.228$). Following separate pairwise comparisons

revealed lower accuracy in higher workload condition (paired t-test, two-sided: 1- and

0-back: $t(374) = -7.946$; 2- and 1-back: $t(374) = -23.075$, both $p < 0.001$,

Bonferroni-corrected; **Fig. 1B**). And participants responded slower while workload

increasing (paired t-test, two-sided: 1- and 0-back: $t(370) = 15.712$; 2- and 1-back:

$t(367) = 11.415$, both $p < 0.001$, Bonferroni-corrected; **Fig. 1C**). Furthermore, we

performed Pearson correlation between working memory performance and age, which

revealed improvement in both accuracy and RT in children from 8 to 12 years old (**Fig.**

S2). Improvements of RTs in all three workloads were roughly the same (0-back: r =

-0.289, p < 0.001; 1-back: r = -0.281, p < 0.001; 2-back: r = -0.203, p < 0.001), while

larger improvement in higher workload was observed in accuracy (0-back: r = 0.078, p

= 0.131; 1-back: r = 0.159, p = 0.002 < 0.005; 2-back: r = 0.258, p < 0.001).

Next, we examined the behavioral relationship between mindset and working memory

performance using partial correlation with age and gender as covariates of no interest

(**Fig. 1E**). These analyses revealed that mindset score positively correlated with

accuracy under all three workloads (0-back: $r = 0.194, p < 0.001$; 1-back: $r = 0.134, p =$

$0.009 < 0.01$; 2-back: $r = 0.213, p < 0.001$), while RT also showed the same pattern but

no significance was found (0-back: $r = -0.007, p = 0.892$; 1-back: $r = -0.042, p = 0.419$;

2-back: $r = 0.010, p = 0.846$) (**Fig. S3**). Age and mindset score showed no interaction

effect in all workloads. Moreover, we conducted correlation analyses to test whether

mindset also developed as children grew up. This analysis revealed no significant

correlation ($r = 0.018, p = 0.730$), indicating that mindset is a relatively stable trait from

8 to 12 and its effect towards working memory is independent of development.

Fig. 1. A. Participant selection procedure for fMRI analysis. A total number of 375

children were included in the behavioral analysis. Children with incomplete data (n =

51) or excessive head motion during functional imaging (n = 18) were excluded from

the fMRI analysis. The final sample size for fMRI analysis was 306. **B.** ANOVA and

paired t-test results for accuracy. Participants' responses to 1-back condition were

significantly less accurate than 0-back condition, and the difference was even larger

between 2-back and 1-back conditions. **C.** ANOVA and paired t-test results for RT. RT

decreased with task workload increased. The thick black line in each box represents the

median. The upper and lower edges of each box correspond to the 25th and 75th

percentiles, respectively. The upper and lower error bars each represent the largest and

smallest values within 1.5 times IQR (inter-quartile range, the distance between the

25th and 75th percentiles) above 75th percentile and below 25th percentile. **D.** Age

distribution of the final sample (n = 306). Participants' ages ranged from 8 to 12 years

old. **E.** Correlation between mindset and accuracy with age and gender as covariates (n

= 375). Accuracy was positively correlated with mindset score under all three

workloads. Shading represents 95% CI (confidence interval). Largest correlation was

observed under 2-back condition. $^{***}p < 0.001$, $^{**}p < 0.01$, Bonferroni-corrected.

Growth mindset predicts higher WM-related FPN, SN and striatal activity

To investigate the neural bases of how growth mindset benefits working memory

performance, we applied whole-brain multiple regression analysis. Multiple regression

has been applied for 2-back > 0-back, 2-back > 1-back and 1-back > 0-back contrasts

(derived from first-level analysis) to extract brain response specific to the working

memory process and emphasize the difference between workloads.

For 2-back > 0-back contrast, main results were found within FPN. Besides, growth

mindset was also found to be related to striatum, together with core SN regions

including cingulate cortex and insula (**Table S1** and **Fig. 2A**). Regions in the FPN

were positively correlated with growth mindset, including the bilateral IPS, bilateral

superior parietal lobe, right inferior frontal gyrus (IFG), right dlPFC, bilateral FEF, left

precentral region and left supplementary motor area (SMA). As expected, we identified

significant clusters in the striatum (right putamen and bilateral caudate nucleus), with

higher growth mindset score predictive of higher striatal activation. Moreover, growth

mindset also predicted higher activations in SN (right anterior cingulate cortex, right

insula) and other regions within visual area (bilateral precuneus, right lingual gyrus and

left calcarine sulcus). Interestingly, activation in PCC and bilateral parahippocampus,

which belong to DMN, is linked to lower growth mindset (**Table S2**). A similar pattern

was also observed in the 2-back > 1-back contrast: higher activation in bilateral IPS,

left SMA, right FEF and part of the visual cortex predicted higher growth mindset

(**Table S1**). Whereas contrast between 1-back and 0-back did not reveal any significant

cluster.

We then compared our whole-brain multiple regression results mentioned above with

the meta-analysis of working memory from Neurosynth database

(http://neurosynth.org). Our multiple regression results were highly overlapped with

the meta-analysis map, with conjunct clusters mainly distributed in FPN, including

bilateral IPS, bilateral FEF, right dlPFC, and left SMA (**Table S3A** and **Fig. 2B**). Other

regions were also identified, including left caudate nucleus, right insula and bilateral

precuneus. Considering the aforementioned negative correlation found in

parahippocampus and regions around PCC, we also compared the negative map of

multiple regression analysis with meta-analysis of DMN. The result showed conjunct

clusters in right parahippocampus, left calcarine and bilateral precuneus (**Table S3B**).

Together, these results indicate that working memory-related activation in FPN, SN

and DMN regions were associated with individual differences in growth mindset.

Fig. 2. A. Multiple regression result of mindset score for 2-back > 0-back with age

controlled (n = 306). Clusters passed the threshold of uncorrected $p < 0.005$ were

presented for visualization purpose. Fronto-parietal area and striatum showed the

strongest positive correlation with growth mindset. **B**. Conjunction map of whole-brain

multiple comparison and meta-analysis result. Regions that linked to higher growth

mindset were highly overlapped with areas crucial for working memory task, especially

in fronto-parietal area. Blue: meta-analysis map of working memory with threshold of

Z >3. Red: Whole-brain multiple comparison results of current study with $p < 0.05$

(corrected for multiple comparison using FDR). Yellow: overlap of meta-analysis

result and multiple regression results. Only clusters larger than 10 voxels were

presented. dlPFC: dorsal lateral prefrontal cortex, IPS: inferior parietal sulcus, SMA:

supplementary motor area.

Growth mindset affects cortico-striatal connectivity

We then tested how growth mindset modulates intrinsic cortico-striatal connectivity.

Bilateral caudate regions identified above were used as seed for functional

connectivity analysis (**Fig. 3A**; see Methods for details). Whole brain multiple

regression analysis of growth mindset was conducted for connectivity targets in

2->0-back and 1- > 0-back contrasts, with age and gender as covariates. Higher

growth mindset caused decoupling between caudate and cortical regions in 2->0-back

contrast. Interestingly, connectivity between caudate and PCC was predicted by

interaction between growth mindset and workload ($t(304) = 2.75$, $p = 0.006$) (**Fig. 3C**).

Only under high workload condition, growth mindset predicts lower intrinsic

connectivity (2->0-back: $r = -0.266$, $p < 0.001$; 1->0-back: $r = -0.093$, $p = 0.106$).

Compared with PCC, connectivity to precuneus and insula was not influenced by

workload, but only predicted by growth mindset (precuneus: 2-back>0-back: $r =$

-0.244, $p < 0.001$; 1->0-back: $r = -0.166$, $p = 0.004$; insula: 2->0-back: $r = -0.257$, $p <$

0.001; 1->0-back: $r = -0.204$, $p < 0.001$) (**Fig. 3B, D**). Connectivity between caudate

and PCC was also distinctive in its association with behavioral performance:

caudate-PCC connectivity negatively predicted accuracy in high workload condition

(2->0-back: $r = -0.152$, $p = 0.008$; 1->0-back: $r = -0.084$, $p = 0.146$) (**Fig. 3C**). By

comparison, caudate-precuneus connectivity showed weak correlation and

caudate-insula connectivity did not have such effect (precuneus: 2-back>0-back: $r =$

-0.120, $p = 0.036$; 1->0-back: $r = -0.069$, $p = 0.229$; insula: 2->0-back: $r = -0.049$, $p =$

0.398; 1->0-back: *r* = -0.003, *p* = 0.953) (**Fig. 3B, D**). We then tested mediation effect

of caudate-PCC connectivity in the association between growth mindset and

behavioral performance. Analysis showed that growth mindset affects working

memory performance via caudate-PCC connectivity in high load condition (indirect

Est. = 0.004, 95% CI = [0.0004, 0.009]) (**Fig. 3E**). These results revealed that growth

mindset modulates cortico-striatal connectivity. Specially, caudate-PCC connectivity

mediates the association between growth mindset and behavioral performance in high

workload.

Fig. 3. Higher growth mindset predicts decoupling between caudate and cortical

regions. **A.** Caudate seed region used in connectivity analysis (n = 306). **B, C, D.**

Significant clusters in insula/precuneus/PCC that showed negative correlations

between growth mindset and connectivity with caudate. Accuracy of 1-back and 2-back

was used in calculation with 2->0-back/1->0-back contrast connectivity respectively. **E.**

Mediation model exhibited a mediatory role of caudate-PCC connectivity on the effect

of growth mindset towards accuracy in 2-back condition. Age and gender were set as

nuisances. PCC: posterior cingulate cortex. Shading represents 95% CI. $^{***}p < 0.001$,

$^{**}p < 0.01$, $^*p < 0.05$.

Growth mindset predicts working memory performance via cortical network

activation

To elucidate how cortical activation alone associates with growth mindset and

behavior in a network view, we focused our analysis on 9 core FPN, SN and DMN

regions based on meta-analysis map of working memory. As expected, each ROI from

FPN and SN showed positive activation while regions from DMN deactivated in two

contrasts (**Fig. S1D**). Overall activation of ROIs from FPN and SN was significantly

different from that of ROIs from DMN (paired t-test, two-sided: FPN-DMN: $t(305) =$

29.730, $p < 0.001$; SN-DMN: $t(305) = 27.504$, $p < 0.001$; Bonferroni-corrected), and

FPN showed highest activation compared with SN (paired t-test, two-sided: $t(305) =$

2.634, $p = 0.030$, Bonferroni-corrected; see **Table S4** for details).

Network response was also associated with both mindset and working memory

performance (**Fig. S4**): Activation strength of all FPN and SN regions was positively

correlated with mindset score and predicted higher accuracy. Among FPN regions,

strongest activation was evoked in IPS, which was associated with higher mindset score

($r = 0.253$, $p < 0.001$) and accuracy ($r = 0.210$, $p < 0.001$). Both FEF and dlPFC had

similar but weaker effect (FEF and mindset score: $r = 0.181$, $p = 0.001$; FEF and

accuracy: $r = 0.246$, $p < 0.001$; dlPFC and mindset score: $r = 0.207$, $p < 0.001$; dlPFC

and accuracy: $r = 0.115$, $p = 0.046$). Neural response in SN regions also showed

correlation with both mindset score (dACC: $r = 0.201$, $p < 0.001$; aInsula: $r = 0.167$, p

$= 0.003$) and accuracy (dACC: $r = 0.209$, $p < 0.001$; aInsula: $r = 0.221$, $p < 0.001$). On

the contrary, only activation in HPC/PHC was negatively correlated with both mindset

score (r = -0.117, p = 0.042) and accuracy (r = -0.217, p < 0.001). Activation of PCC

and vmPFC only showed negative correlation with accuracy (PCC: r = -0.143, p =

0.012; vmPFC: r = -0.227, p < 0.001), while no association was found in AG. These

results implicated that neural response in network regions may serve as neural mediator

under the direct effect from growth mindset to behavioral performance.

To validate this assumption, we then investigated whether growth mindset benefits

working memory performance via neural response in FPN, SN and DMN regions. We

used the accuracy in 2-back condition regarding its high correlation with growth

mindset compared to 1-back condition. With age and gender set as nuisances, analysis

showed that activation in FEF and IPS from FPN mediated the relationship between

growth mindset and working memory accuracy (FEF: indirect Est. = 0.005, 95% CI =

[0.002, 0.011]; IPS: indirect Est. = 0.006, 95% CI = [0.002, 0.012]) (**Fig. 4**). Similar

mediation effect was also found in SN regions (aInsula: indirect Est. = 0.004, 95% CI =

[0.001, 0.010]; dACC: indirect Est. = 0.005, 95% CI = [0.002, 0.010]). In contrast,

mediation effects of all DMN regions showed no significance. These results indicate

that neural response in both FPN and SN regions played a mediatory role in the

promoting effect of growth mindset on working memory performance.

Fig. 4. Mediation models of ROIs. Activation of regions in SN (dACC and aInsula) and regions in FPN (FEF and IPS) from 2- > 0-back contrast showed a significant indirect effect in the association between mindset score and accuracy in 2-back task (n = 306). Age and gender were set as nuisances. aInsula: anterior insula, dACC: dorsal anterior cingulate cortex, FEF: frontal eye field, IPS: inferior parietal sulcus. CI: confidence interval.

Growth mindset predicts working memory performance via cortico-striatal connectivity and cortical activation

The cortical activation and connectivity analysis identified proximal regions in PCC and insula. This may implicate cortical response to cortico-striatal interaction. Thus, we then examined the relationship between connectivity and cortical response. Analysis revealed significant positive correlation between caudate-PCC connectivity and PCC response in 2->0-back contrast ($r = 0.141$, $p = 0.014$) **(Fig. 5B)**; but negative correlation between caudate-aInsula connectivity and insula response in 2->0-back

contrast (r = -0.201, p < 0.001) **(Fig. 5D)**. This caudate-cortical information

processing may also serve in the effect of growth mindset to performance. Further

chain mediation analysis supported this assumption: for processing in PCC, higher

growth mindset level was linked to lower caudate-PCC connectivity, which then

related to lower PCC response, and caused higher accuracy in 2-back condition

(indirect Est. = 0.0005, 95% CI = [0, 0.002]) **(Fig. 5A)**; for processing in insula, higher

growth mindset level related to lower caudate-insula connectivity, but then caused

higher aInsula response, and led to higher accuracy in 2-back condition (indirect Est.

= 0.001, 95% CI = [0.0003, 0.003]) **(Fig. 5C)**. Parallel analyses for low workload

condition and precuneus region were also conducted but found no effects. Other

alternative models showed null effects. These results further expanded the mediation

effect through caudate-cortical interaction, and implicated that caudate-cortical gating

affects cortical response in reaction to change in information processing.

Fig. 5. Mediation models of connectivity and activation in PCC/insula. **A.**

Caudate-PCC connectivity and activation in PCC showed a significant indirect effect in

the association between mindset score and accuracy in 2-back condition. **B.**

Representation of PCC ROI and correlation between caudate-PCC connectivity and

PCC activation. **C, D.** same as **A, B,** but in insula region. Age and gender were set as

nuisances. aInsula: anterior insula, PCC: posterior cingulate cortex. CI: confidence

interval. Shading represents 95% CI. $^{***}p < 0.001$, $^{**}p < 0.01$, $^*p < 0.05$.

Discussion

In current study, we investigated how growth mindset affects the working memory process through neural mechanisms in children. As expected, children with growth mindset performed better in working memory task. Critically, higher growth mindset was associated with enhanced response in FPN and SN core regions. These cortical responses mediated the association between growth mindset and working memory performance. Furthermore, growth mindset predicts lower caudate-insula and caudate-PCC connectivity. The cortico-striatal interaction affected cortical information processing, and together acted as a mediator in the beneficial effect of growth mindset on working memory performance. Our findings revealed that growth mindset affects working memory performance through modulating cortico-striatal interaction and cortical response in children. These neural correlates indicate latent motivational driven response and modulation in information processing of growth mindset.

Growth mindset promotes working memory performance

We examined children's working memory performance with different workloads. Our results showed that growth mindset predicted higher accuracy in working memory task irrespective of age and gender. Of note, the most prominent effect was found in the high workload condition. This is with our assumption that growth mindset motivates more effortful tasks. As an imitation of daily effort in real life, current paradigm was with no feedback. Thus, the effect of growth mindset is supposed to support by intrinsic motivation. Higher intrinsic motivation facilitates performance in high workloads and involves both cortical and striatal underlying mechanisms (Satterthwaite et al. 2012; Di Domenico and Ryan 2017). Previous intervention evidence also exhibited this effect: interventions of growth mindset caused increased challenge-seeking behavior and higher enrollment in advanced math courses (Yeager and Dweck 2012; Rege et al. 2020). At-risk students, especially, benefited more from growth mindset interventions since they faced more challenges and demanded more cognitive resources dealing with schoolwork (Yeager et al. 2016; Sarrasin et al. 2018).

The neurocognitive mechanisms underlying this phenomenon are subsequently
discussed below.

Growth mindset facilitates working memory via enhancing FPN and SN activity
Imaging analysis revealed that growth mindset was associated with higher activation
in fronto-parietal, anterior cingulate cortex and insula areas in high workload
condition. On contrary, higher deactivation was observed in parahippocampus and
PCC. These regions are commonly involved in working memory tasks as indicated by
meta-analysis. In particular, activations in FEF, IPS, dACC and aInsula mediated the
association between growth mindset and working memory performance in high
workload condition. These regions are associated with subjective estimation of efforts
(Massar et al. 2015; Arulpragasam et al. 2018), while higher activation in DMN
predicts demand avoidance (Sayalı and Badre 2019).
Similar to current results, increasing activation within FPN and SN was observed
under high cognitive demand (Dima et al. 2014), and predicted higher accuracy
(Taghia et al. 2018). The enhanced neural response in these cortical regions reflects
higher efficiency of information processing within working memory, but in distinct
ways. It has long been recognized that frontal and parietal regions are critical for
maintaining and adaptively updating information during working memory process
(Cohen et al., 1997; Roth et al., 2006). In detail, both FEF and IPS top-down control
attention towards target stimuli during cognitive demanding task (Bressler et al.,
2008), while also serving to maintain and update memory representations (Takahama
et al., 2010). Among frontal regions, FEF is considered to direct response and control
sensory systems regarding working memory content (Badre and Nee 2018). Posterior
regions such as IPS are especially involved in symbolic manipulation (Davis et al.,
2018) and number processing (Bugden et al., 2012). Higher involvement of
fronto-parietal regions in the demanding condition indicates more effortful updating
and maintenance, together with increased control over the sensory-motor system.
Distinct from FPN, anterior cingulate and insula cortex detect salience and regulate
access to attentional resources depending on task demand (Taghia et al. 2018). During

the task, aInsula acts as an afferent cortical hub for perceiving autonomic feedback,
and ACC was the efferent hub for responses (Seeley 2019). Thus, we suggest that
growth mindset contributes to efficient cortical information processing in demanding
tasks, which involves updating, manipulation, and control over the sensorimotor
system, then subsequently leads to better performance in children.
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Dorsolateral caudate activation and cortico-striatal connectivity reflect
modulation to the motivational and updating process of growth mindset
Higher activation in caudate was also observed in children with growth mindset. The
beneficial effect of dorsolateral caudate in cognitively demanding tasks has been
widely observed (Arulpragasam et al. 2018), and is proposed to be involved in the
evaluation of benefit and cost in the effortful task (Westbrook et al. 2020). Compared
with other striatum regions, the cortico-striatal connectivity of dorsal caudate is
unique in human (Liu et al. 2021) and supports cognitive demanding tasks (Hedden
and Gabrieli 2010). The high engagement of caudate thus indicates modulation of the
motivational process of growth mindset in cognitive tasks.
Further connectivity analysis yielded that growth mindset is associated with lower
cortico-striatal connectivity. Connectivity between caudate and insula/precuneus
showed consistent negative correlations in different workloads. However,
caudate-PCC connectivity only exhibited this tendency in the high workload condition
and played a mediatory role in the association between growth mindset and
performance. This is correspondent to the association between higher dopamine
synthesis capacity in dorsal caudate and greater PCC deactivation in the working
memory task (Braskie et al. 2011).
The cortico-striatal interaction serves as a basis for information transfer to support
working memory updating while protecting current content from interference. This
contradictory need is supposed to be met via the striatum gating mechanism (Frank et
al. 2001). High workload during task calls for higher efficiency of information
updating, satisfied by a higher level of gate-opening to allow for more input
information. This process is accompanied by higher caudate, insula, ACC, and

fronto-parietal activity (Nir-Cohen et al. 2020). Though this cortico-striatal interaction

is supported by evidence of coactivation and interventions (McNab and Klingberg

2008; Baier et al. 2010; Broadway et al. 2018), few studies directly tested this

coupling. One study observed increased frontostriatal coupling during high-level

context updates (Nee and Brown 2013), and another study observed little evidence of

input gating (Chatham et al. 2014). In contrast to the necessity to constrain

information from competing information in these studies, the high workload condition

in the current study calls for a lower level of gating but higher efficiency of updating.

Future studies comparing different contexts of working memory may clarify this

discrepancy. Thus, we proposed that decreased coupling predicted by growth mindset

reflects more input information and less controlled gating.

The gating process and cortical response together serve to benefit behavioral

performance in children with growth mindset

Changes in the input-gating process triggered higher involvement of insula while

higher deactivation in PCC. On one hand, rapid updating of working memory content

offers more task-related information in time and allows for a higher possibility of

accurate response. On the other hand, this expanded working memory content

includes both task stimuli and distractions, calling for more efficient processing in

cortical regions. For FPN and SN networks, higher engagement in high workload

indicates local assessing of information to guide appropriate behavior. As mentioned

above, aInusla is a major afferent cortical hub (Seeley 2019). Within the insula region,

related content is assessed to guide salience detection, attention shifting (Wager and

Barrett 2017) and update internal estimation (Namkung et al. 2017). As for PCC,

task-independent distractions and self-referential thinking are suppressed (Mason et al.

2007; Qin and Northoff 2011) to reserve cognitive resources and resist disturbance, as

reflected by deactivation in high workload. Taken together, caudate-striatal

connectivity and resultant cortical response jointly formed the neurocognitive model

by which growth mindset affects working memory performance in children.

Limitations

First, the cortico-striatal loop is not solely based on functional connectivity, cortical

response to striatal dopamine also reliably affects the working memory process.

Additional tests of dopaminergic projection are needed. Future studies should also

account for projections from different subregions of caudate and other striatum

regions of higher spatial resolution. Second, though we recruited children from

schools of the same standard, many other variables such as motivation, intelligence,

and general cognitive capabilities should be taken into account in future studies. Third,

although we have identified key regions and pathways involved in working memory

in relation to growth mindset, the neurobiological mechanisms of how growth mindset

affects the maturation of large-scale brain networks and cognitive development should

be further investigated.

Conclusions

Our study highlights the mediatory role of cortico-striatal interaction together with

fronto-parietal response in the positive association between children's growth mindset

and working memory performance. Lower caudate-insula and caudate-PCC

connectivity in children with higher growth mindset implicate efficient updating and

more input information during working memory, whereas enhanced frontoparietal

network and salience network engagement reflects more effective cognitive control

and motivational processes. Our findings suggest a neurocognitive account for how

growth mindset promotes working memory via functional organization of widely

distributed brain systems, which informs further utilization of growth mindset in

learning and education.

CRediT authorship contribution statement

Yuyao Zhao: Conceptualization, Software, Formal analysis, Investigation, Data Curation, Writing – Original Draft, Visualization. **Jiahua Xu, Menglu Chen, Lei Hao, Ying He:** Software, Investigation, Resoueces, Writing – Review & Editing. **Hui Wang, Yanpei Wang, Daoyang Wang, Zhuo Rachel Han, Shuping Tan, Weiwei Men, Jiahong Gao, Yong He, Shao Tao, Qi Dong, Shaozheng Qin:** Conceptualization, Methodology, Resources, Writing – Review & Editing, Supervision, Project administration.

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Data and code availability statement

Data availability: The data that support the findings of this study are available from the corresponding author upon reasonable request.

Code availability: The code used to run the behavioral analysis, multiple comparison, gPPI and mediation analysis is available in the following Github repository: [https://github.com/zhaoyuyao/Growth_mindset_working_memory].

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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