Title
The evolutionary constraints on chloroplast adaptation

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Abstract
The chloroplast (plastid) arose via the endosymbiosis of a photosynthetic cyanobacterium by a

non-photosynthetic eukaryotic cell approximately 1.5 billion years ago. Although the plastid

underwent rapid evolution by genome reduction, its genome organisation is highly conserved and

the rate of molecular evolution of its genes is low. Here, we investigate the factors that have

constrained the rate of molecular evolution of protein coding genes in the plastid genome. Through

phylogenomic analysis of 773 angiosperm plastid genomes we show that there is substantial

variation in the rate of molecular evolution between genes. We demonstrate that the distance of a

plastid gene from the origin of replication influences the rate at which it has evolved, consistent

with time and distance-dependent nucleotide mutation gradients. We further show that the amino

acid composition of a gene product constraints its substitution tolerance, limiting its mutation

landscape and its corresponding rate of molecular evolution. Finally, we demonstrate that the

mRNA abundance of a gene is a critical factor in determining its rate of molecular evolution,

suggesting the presence of transcription mediated DNA repair in the plastid. Collectively, we show

that the location, composition, and expression of a gene account for ~50% of the variation in its

rate of molecular evolution. Thus, these three factors are a major limitation on the capacity for

adaptive evolution of plastid genes, and ultimately constrain the evolvability of the chloroplast.

Introduction

The chloroplast (plastid) is a membrane bound organelle that arose from the endosymbiosis of a

photosynthetic cyanobacterium by a non-photosynthetic eukaryotic cell approximately 1.5 billion

years ago (Schwartz and Dayhoff 1978; Martin and Kowallik 1999; Hedges, et al. 2004). Early in

the transition from cyanobacterium to organelle, the plastid underwent a substantial genome

reduction whereby thousands of genes were either lost or transferred to the nucleus (Blanchard

and Schmidt 1995; Martin, et al. 2002; Kelly 2021). As a consequence, modern-day plastid

genomes harbour fewer than 5% of the genes found in their free-living bacterial relatives, with the

majority of plant plastids containing only ~80 protein-coding genes (Palmer 1985; Timmis, et al.

2004). As a result, despite harbouring over 80% of the protein (Makino and Osmond 1991; Li, et al.

2017) and 80% of the mRNA (Forsythe, et al. 2022) in a plant cell, the combined forces of gene

loss and endosymbiotic gene transfer mean that plastids typically contain fewer than 0.5% of the

genes found in a typical plant cell.

Although the plastid underwent rapid evolution by genome reduction, the rate of molecular

evolution of the plastid encoded genes and their organisation in the plastid chromosome has been

markedly slow and highly conserved. Comparisons between plants species has revealed that the

plastid genome has a highly-conserved structure with a mutation rate that is an order of magnitude

lower than that of the nuclear genome (Wolfe, et al. 1987; Smith 2015). The finding that the

mutation rate of the plastid was low in comparison to the nuclear genome was initially unexpected

given that the plastid genome is predominantly uniparentally inherited (Birky 1995; Mogensen

1996), where an absence of sexual recombination is thought to lead to an accumulation of

deleterious mutations in a phenomenon known as Muller's ratchet (Muller 1964). Accordingly, it

was proposed that gene conversion in the presence of a high genome copy number per plastid

(~900 per plastid (Bendich 1987)) acts to counteract Muller's ratchet to maintain the low rates of

mutation (Birky and Walsh 1992; Khakhlova and Bock 2006). However, it is unclear whether other

factors, if any, also act to limit the rate of molecular evolution of plastid genes.

One factor that could differentially impact the rate of molecular evolution of plastid encoded genes

is their position in the chromosome relative to the origins of replication. Most plant species contain

a plastid genome that has a circular quadripartite structure (Palmer 1985; Daniell, et al. 2016) that

is composed of two single-copy regions (the large single-copy region and the small-single copy

region) separated by two inverted repeat regions. Although multiple replicative mechanisms have

been proposed (Kolodner and Tewari 1975; Takeda, et al. 1992; Kunnimalaiyaan and Nielsen

1997; Oldenburg and Bendich 2004; Oldenburg and Bendich 2016), the origins of replication are

thought to be located in the inverted repeat regions, and both the replication mechanism and

position relative to these origins are thought to impact the evolution of the nucleotide sequence

along the plastid genome (Wolfe, et al. 1987; Smith 2015). For example, adenine to guanine

deamination gradients disseminate away from the inverted repeat regions, and mutation rates in

the single-copy regions are much higher than in the inverted repeats (Wolfe, et al. 1987; Krishnan

and Rao 2009). The formation of the deamination gradients is thought to be attributable to the

differential length of time in which the regions of the plastid genome are single-stranded during

DNA replication, and the difference in mutation rate between the single-copy and inverted repeat

regions is thought to be due to enhanced homology-mediated elimination of mutations facilitated

by the inverted repeat (Khakhlova and Bock 2006). Other context and location dependent effects

on mutation in the plastid genome have been described which also alter the rate and type of

mutations that occur along the plastid chromosome (Wolfe, et al. 1992; Kelchner 2000; Jansen, et

al. 2007; Zhu, et al. 2016; Niu, et al. 2017). However, it is unknown whether these position-

dependent effects have influenced the rate of molecular evolution of the plastid gene sequences,

or how these phenomena interact with other factors to modulate the rate of molecular evolution of

plastid encoded genes.

Here, we present a holistic analysis of the molecular evolution of the single-copy plastid encoded

protein-coding genes from 773 species of angiosperms. We show that there is substantial variation

in the rate of molecular evolution between genes, with genes whose products function in energy

production having evolved more slowly than those whose products function in information

processing (transcription and translation). We then perform a holistic analysis of multiple factors

that could contribute to the variation in the rate of molecular evolution of plastid encoded genes

including gene position, amino acid composition, nucleotide composition, levels of gene

expression, transcript biosynthetic cost and transcript translational efficiency. In doing so, we

reveal that ~50% of the variation in the rate of molecular evolution of protein-coding plastid genes

can be explained by just three factors: the level of mRNA abundance, gene position relative to the

origin, and amino acid composition. Thus, the combined action of compositional factors and

production requirements impose a severe constraint on the molecular adaptation of the

chloroplast.

Results

There is a large variation in rate of molecular evolution between plastid located genes

To understand the factors that have influenced the rate of molecular evolution of plastid encoded

genes, a dataset of fully sequenced plastid genomes was compiled. To do this, the full set of

sequenced plastid genomes was downloaded from NCBI (Wolfsberg, et al. 2001) and subjected to

filtration to remove genomes with missing genes or incomplete/incorrect genome annotations. This

resulted in a dataset comprising complete plastid genomes from 773 species of angiosperms, each

containing 69 protein-coding genes that are ubiquitously present in all species. Gene trees were

inferred separately from nucleotide and protein multiple sequence alignments for all ubiquitously

conserved genes (see methods) and the phylogenetic trees were used to evaluate and compare

the rates of molecular evolution for each gene at both the nucleotide and amino acid level,

respectively.

The total length of each gene tree was used as the estimate for the rate of molecular evolution for

each gene. This measure accounts for differences in alignment length between genes because the

branch lengths in the tree are estimates of the number of substitutions per aligned sequence site

(Supplementary Figure 1). This measure is also not affected by species sampling, variation in

model of sequence evolution, or variation in topology of the underlying tree as each of these

factors was held constant in all analyses that were conducted. Specifically, all 773 plant species

are in each gene tree and each gene is inferred from a strictly single-copy gene in each species.

Furthermore, the topology of each gene tree was constrained to the consensus topology inferred

from a concatenation of all genes (see methods), and the models of sequence evolution inferred

from these concatenated alignments were held constant across all individual tree inferences using

parameters that allow for comparison of branch lengths between trees. For ease of interpretation,

the rate of molecular evolution of the nucleotide sequences and the rate of molecular evolution of

the protein sequences are henceforth referred to as the rate of nucleotide evolution (k_N) and rate of

protein evolution (k_P), respectively. Additionally, the k_P/k_N ratio is used as a proxy for the non-

synonymous to synonymous mutation rate, and is therefore used as an estimate of the extent of

protein sequence constraint.

At the nucleotide level, there was an 8-fold difference between the fastest and slowest evolving

genes, *rpl22* and *psbL*, respectively (Figure 1A, Supplemental Table S1). In contrast, rate of

protein evolution varied much more substantially with a 76-fold difference between the fastest and

slowest evolving proteins, *rpl22* and *atpH*, respectively (Figure 1B, Supplemental Table S1).

Estimates of protein sequence constraint exhibited a 15-fold difference between the least and most

conserved genes, corresponding to *rpl22* and *atpH*, respectively (Figure 1C). Thus, even though

the plastid genome has evolved slowly in comparison to the nuclear genome (Wolfe, et al. 1987;

Smith 2015), there is still substantial variation in the rate of molecular evolution between plastid

encoded genes.

Plastid genes with functions in energy production have evolved slower and are more
constrained than genes that function in information processing
Inspection of the rank order of the rates molecular evolution (Figure 2A and B) suggested that

genes involved in energy production (e.g., genes whose products function found in photosystem I,

II, cytochrome b_6f complex, ATP-synthase and the NAD(P)H dehydrogenase-like complex) had a

lower rate of molecular evolution than those involved in information processing (i.e., genes whose

proteins comprise the plastid encoded RNA polymerase and 70S ribosome). Comparison of these

two groups revealed that genes with functions in energy production evolved slower than those with

functions in information processing, with mean fold differences of 1.7 and 2.9 for the rate of

nucleotide and protein evolution, respectively (Welch's t-test, $P < 0.001$) (Figure 2C and D).

Moreover, genes with functions in energy production had greater protein sequence constraint

compared to those associated with functions in information processing, with a mean fold difference

of 1.8 between the two functional categories (Welch's t-test, $P < 0.001$) (Figure 2E). Within each

category there was little to no difference in the evolutionary rate of genes (Supplemental Figure 2).

Thus, plastid encoded genes with functions in energy production have evolved more slowly and

have more constrained protein sequences than plastid encoded genes with functions in information

processing.

The location of a gene in the plastid genome has influenced its rate of molecular evolution
As noted in the introduction, the rate and type of mutation along the plastid genome is non-uniform

with respect to the position of the origin of replication. Therefore, it is likely that the position of a

gene in the plastid genome could influence its rate of molecular evolution. To investigate this, the

average distance from each gene's midpoint to the closest border of an inverted repeat region (the

presumed location of the origin of replication (Chiu and Sears 1992; Kunnimalaiyaan and Nielsen

1997; Oldenburg and Bendich 2004)) was calculated for each gene in all 773 species, and this

position was compared with the rate of molecular evolution of each gene. This revealed that the

further away that a gene is located from the inverted repeat, the lower its rate of nucleotide

evolution (Figure 3A). In total, distance to the inverted repeat border could explain ~20% of the

variance the rate of nucleotide evolution between genes ($R^2 = 0.20$, $P < 0.001$). A similar effect

was observed for the rate of protein evolution ($R^2 = 0.11$, $P < 0.01$) (Figure 3B). In contrast, a

significant association was not observed between average distance to the inverted repeat and

protein sequence constraint (Figure 3C). Thus, while the position of a gene in the plastid genome

has impacted its rate of molecular evolution, it is independent of the factors that have influenced

protein sequence constraint. Importantly, there was no difference between the average distance

from the inverted repeat border between information processing and energy production genes

(Welch's t-test, $P > 0.05$) (Figure 3D), and hence the position dependent effects observed here are

not due to any differences in the location of these two categories of genes in the plastid genome,

or *vice versa*. Thus, the rate at which a plastid gene has evolved during the radiation of the

angiosperms is dependent on how distant that gene is positioned from the origin of replication in

the plastid genome.

Physicochemical constraints on amino acid substitution have limited the rate of molecular
evolution of plastid encoded genes
Given that protein sequence constraint was independent of any genome position effects, we

sought to determine whether any component of this constraint was attributable to compositional

differences between the encoded protein sequences. Such a compositional effect may arise from

the biochemical differences and similarities between amino acid monomers. Specifically, amino

acids are not all equally dissimilar to each other, and many share similarities in their size, charge,

and reactivity of their side chains. The extent of similarity between amino acids varies such that

each different amino acid monomer has a different tolerance to substitution in protein sequences

(Sneath 1966; Epstein 1967; Grantham 1974; Henikoff and Henikoff 1992). This substitution

tolerance can be effectively described using substitution frequency data summarised in the

BLOSUM62 amino acid substitution matrix (Henikoff and Henikoff 1992). We hypothesised that

proteins containing a higher proportion of amino acids that were less tolerant to substitution would

have lower rates of molecular evolution because mutations would be more likely to be deleterious

to the encoded protein sequence. To investigate this, a substitution tolerance score was calculated

for each gene (see methods) and compared to the rate of molecular evolution of that gene. This

revealed variation in substitution tolerance between genes could explain significant components of

variation in the rate of molecular evolution of the nucleotide (R^2 = 0.16, *P* < 0.001, Figure 4A) and

protein sequence (R^2 = 0.24, *P* < 0.001, Figure 4B). Here, genes with lower substitution tolerance

scores had lower rates of molecular evolution. In addition, variation in substitution tolerance

between genes could also explain 24% of the variance in protein sequence constraint (R^2 = 0.24, *P*

< 0.001, Figure 4C). The observation that substitution tolerance imposes a stronger constraint on

the rate of molecular evolution of the protein sequences than the nucleotide sequences is likely to

be due to redundancy in the genetic code permitting mutation in the nucleotide sequence without

altering the identity of the encoded amino acid. Thus, the rate at which a plastid gene has evolved

during the radiation of the angiosperms is dependent on the amino acid composition of that gene.

Interestingly, proteins that function in energy production are comprised of amino acids that are less

tolerant to substitution than proteins that function in information processing (Welch's t-test, *P* <

0.001) (Figure 4D). Thus, one of the reasons that genes involved in energy production have

evolved more slowly than genes involved in information processing is simply because they are

composed of amino acids that are less tolerant of substitution. In part, this is a result of the high

proportion of hydrophobic residues in the transmembrane regions present in many of the proteins

involved in energy production, which are absent from the genes encoding information processing

proteins (Figure 4E and F) (Oberai, et al. 2009). Consequently, the requirement to localise to

membranes has further constrained the rate of molecular evolution of plastid encoded energy

production genes.

The intrinsic nucleotide composition has only weakly influenced the rate of molecular
evolution
Given that the composition of the encoded amino acid sequence has contributed significantly to

the rate at which the underlying molecular sequence of a gene has evolved, it was next determined

whether similar composition-based constraints were manifest at the level of the nucleotide

sequence. There is some precedent for hypothesising that this might occur, as genes encoded in

the inverted repeat regions have higher guanine-cytosine (GC) contents and have evolved more

slowly than genes located in the single-copy regions (Li, et al. 2016). To prevent re-detecting

differences in amino acid composition at the nucleotide level, only the GC content of the third

codon position (GC_3) was investigated, excluding amino acids that have no degeneracy at this

position. Comparison of the GC_3 content of a gene to its rate of molecular evolution revealed that

the GC_3 could only explain ~7% of the variance in the rate of nucleotide evolution ($R^2 = 0.07$, $P <$

0.05), where genes with higher GC_3 contents have evolved more slowly (Figure 5A). Meanwhile,

no significant relationship was identified between GC_3 and the rate of protein evolution or protein

sequence constraint (Figure 5B and C). Additionally, there was no significant difference observed

between the GC_3 content of genes associated with energy production versus information

processing (Figure 5D). Thus, while genes that have higher GC_3 contents had slower evolving

nucleotide sequences, this interaction is weak and GC_3 has not had an impact on the rate of

protein evolution or protein constraint.

mRNA abundance is a major determinant of plastid gene evolutionary rate
High gene expression has been previously linked to low rates of molecular evolution in multiple

systems (Pál, et al. 2001; Subramanian and Kumar 2004; Wright, et al. 2004; Seward and Kelly

2018). To investigate whether this phenomenon was also true of genes encoded in the plastid

genome, the rate of molecular evolution of a plastid gene was compared to both the mRNA and

protein abundance of that gene. In agreement with previous analysis in other systems, genes with

high mRNA abundance had low levels of molecular evolution and *vice versa* (Figure 6).

Specifically, variance in mRNA abundance could explain 32% of the variation in the rate of

nucleotide evolution (R^2 = 0.32, *P* < 0.001, Figure 6A) and 27% of variation in the rate protein

evolution (R^2 = 0.27, *P* < 0.001, Figure 6B), respectively. In contrast, there was no significant

relationship between protein abundance and rate of molecular evolution (Figure 6E and 6F),

suggesting that the constraint on the evolutionary rate is mediated via an interaction with

transcription rather than through the requirement for protein production. Furthermore, previously

detected interactions between mRNA abundance and gene evolutionary rate have been manifest

in part through selection acting on transcript biosynthesis cost and transcript translation efficiency

(Seward and Kelly 2016, 2018). However, there was no evidence for an interaction between these

two factors and the rate of molecular evolution of genes encoded in the plastid genome

(Supplemental Figures 3 and 4). Thus, the extent to which a transcript accumulates in the

chloroplast has constrained the evolutionary rate of its corresponding gene, and this is

independent of selection acting to preserve the biosynthetic cost or translational efficiency of the

coding sequence or the abundance of the encoded protein.

Location, substitution tolerance and mRNA abundance collectively explain ~50% of the
variance in the rate of molecular evolution of plastid encoded genes
Given that a number of factors were identified that could each explain a significant component of

variation in the rate of molecular evolution of plastid genes, we next sought to identify the

cumulative variance attributable to all factors while accounting for interdependencies. To do this,

regression tests were conducted for all possible subsets of the set of independent variables

described above. This revealed that mRNA abundance, gene distance to the inverted repeat

border and protein tolerance to substitution alone could explain 53% of the variance in the rate of

nucleotide evolution (Figure 7A, Supplemental Figure 5). Relative importance metrics showed

mRNA abundance is the most important co-variate, followed by average distance to the inverted

repeat and then protein substitution tolerance contributing 47%, 30% and 23% of the total

explained variance, respectively (Figure 7A). A similar result was obtained for the proportion of

variance explained in the rate of protein evolution (Figure 7B, 49% variation explained,

Supplemental Figure 6). Although protein sequence constraint is independent of gene position,

mRNA abundance and protein substitution tolerance together explained nearly half of the variation

between genes (Figure 7C, 47% variation explained, Supplemental Figure 7). In all cases, the

weak association between GC_3 content and the rate of molecular evolution (Figure 5) was

rendered insignificant when the three factors above were accounted for.

To ensure model space was effectively explored, random forests were also run on the complete

set of factors (Figure 7D) to determine if there were alternative models that could explain the

variance in the rate of molecular evolution and protein sequence constraint (Supplementary File 1).

None of the random forest models outperformed the linear regression analyses shown above,

despite including more parameters (Supplemental File 1). Thus, mRNA abundance, genomic

location, and protein tolerance to substitution together account for half of the variance in sequence

evolution and protein sequence constraint in the plastid genome.

Discussion

The endosymbiosis of the bacterial progenitor of the chloroplast is a landmark event in the

evolution of eukaryotes. It first enabled oxygenic photosynthesis in eukaryotes and helped direct

the Earth on a continued trend of decreasing atmospheric CO_2 and increasing O_2 over the

subsequent ~1.5 billion years. Following this endosymbiosis there was a dramatic reduction in the

gene content of the plastid genome, such that it now harbours fewer than 5% of the genes found in

its free-living bacterial relatives. These remaining genes have been trapped in the same genome

and uniparentally inherited in the absence of sexual recombination throughout their evolution.

Here, we investigate the factors that have contributed to the variation in evolutionary rate of plastid

encoded genes over the last 125 million years during the radiation of the angiosperms. We show

that the position of a gene in the plastid genome, its expression level, and the amino acid

composition of its encoded gene product each constrain the rate of molecular evolution of plastid

genes. Moreover, we show that the combination of these three factors explains half of the variation

in the rate of molecular evolution and protein sequence constraint between genes. Thus, the

capacity for molecular adaptation of the chloroplast is predominantly limited by the location,

composition, and expression of its genes.

The finding that genes which are positioned further from the origins of replication are evolving

more slowly than genes that are closer has a number of important implications. First, this finding

provides evidence in support of the bi-directional dual displacement loop model of chloroplast DNA

replication (Kolodner and Tewari 1975; Baruch-Torres and Brieba 2017). In this model, DNA that is

closer to the origin of replication spends more time in a single-stranded state than DNA that is

more distant to the origin. As the probability of an adenine to guanine deamination is proportional

to the time spent single-stranded, the probability of a spontaneous adenine to guanine mutation

occurring in the genome decreases with increasing distance from the origin of replication (Krishnan

and Rao 2009). In this model, therefore, the expectation is that genes proximal to the origin should

experience a higher rate of mutation and thus have the potential to evolve at a faster rate. Our

findings are consistent with this model and lend further support to analyses of intergenic regions

and the third codon position that indicate the wide-spread operation of this form of plastid DNA

replication (Krishnan and Rao 2009). Second, the fact that origin-proximal genes are evolving

faster than origin-distal genes means that all locations in the plastid genome are not equal in terms

of their capacity to generate genetic diversity. Thus, the position of a gene and the structure of the

plastid genome has constrained the evolvability of adaptive changes in the chloroplast.

The 20 amino acids that are used to build proteins vary in the physical and chemical properties of

their side chains: some are hydrophobic, some are charged, some are large, and some are small.

Consequently, a mutation that results in the substitution of one amino acid for another with similar

physicochemical properties is more likely to have a smaller impact on the structure and function of

a protein than substitution with an amino acid with substantially different properties. Thus, each

amino acid has a different tolerance to substitution. We estimated the substitution tolerance of

each protein sequence encoded in the plastid genome and found that the aggregate substitution

tolerance of a protein sequence is a major component of variation in its evolutionary rate. This

means that the evolvability of novel adaptive phenotypes arising from variation in plastid encoded

genes is limited by the identity of the encoded amino acids. To our knowledge, this is the first time

that the effect of a protein's tolerance to substitution on the rate of molecular evolution has been

evaluated, and it would be interesting to see if this relationship holds true in non-plastid genomes.

In agreement with previous analyses in other systems, we observed that plastid genes with high

expression levels had lower rates of molecular evolution. However, in contrast to previous studies,

this phenomenon was not attributable to selection acting to maintain a low transcript biosynthesis

cost or a high translational efficiency, nor was it attributable to the requirement for high protein

abundance. Instead, we demonstrated that the interaction between gene expression and gene

evolutionary rate in the plastid manifests directly at the level of the transcript abundance. One

mechanism that could explain this dependency is the presence of transcription mediated DNA

repair, whereby increased transcriptional activity directly enhances DNA repair resulting in reduced

rates of mutation and molecular evolution. Thus, the more highly transcribed a gene, the more

likely DNA damage is repaired and the lower the mutation rate. This is particularly important in the

plastid where the pool of active RNA polymerase is distributed over tens to hundreds of copies of

the plastid genome. This hypothesis is supported by the presence in plants of a nuclear-encoded,

chloroplast-targeted ortholog of mutation frequency decline protein (Mfd), alternatively named

transcription-repair coupling factor (TRCF) (Hays 2002). In bacteria, Mfd facilitates DNA repair at

stalled RNA polymerase complexes by recruiting the nucleotide excision repair machinery (Selby

and Sancar 1993). Additionally, it is proposed to play a role in promoting homologous

recombination mediated repair (Ayora, et al. 1996). While plants lack orthologs of other

nucleotide excision repair genes (Hays 2002), they contain orthologs of the recombination

mediated repair factors (Maréchal and Brisson 2010) and recombination-based repair is a primary

DNA repair mechanism in the plastid (Cerutti, et al. 1995; Khakhlova and Bock 2006; Maréchal

and Brisson 2010). Thus, it is plausible that Mfd in plants functions to promote recombination-

based repair in response to DNA damage-stalled RNA polymerases. This effect would likely arise

from Mfd's ability to form R-loops by distorting the DNA around the stalled polymerase (Portman,

et al. 2021). This, in turn, leads to recruitment of plastid homologous recombination machinery to

facilitate DNA repair (Wang, et al. 2021). Thus, it will be interesting to determine whether loss of

function of Mfd in plants leads to reduced transcription mediated DNA repair, and whether it plays

a role in the transcription dependent constraint on the rate of molecular evolution of plastid genes.

Our finding that the expression, location, and amino acid composition of a gene impose significant

constraints on rate of molecular evolution of plastid encoded genes is important. It means that all

other constraints, such as modulating biochemical activity, maintaining complementarity to

molecular chaperones, and preserving protein stability can only account for the missing ~50% of

variation in the rate of molecular evolution of plastid encoded genes. In this context, it is

noteworthy that the constraints identified here provide a mechanistic explanation for the strong

phylogenetic constraints that have limited the adaptation of rubisco enzyme kinetics (Bouvier, et al.

2021). Thus, evolutionary constraints intrinsic to the location, mRNA abundance, and amino acid

composition of rubisco help to explain why it has been so slow to adapt to changing atmospheric

conditions, and consequently why it is poorly suited to the O_2-rich, CO_2-poor atmosphere of the

present day. Furthermore, it is tempting to speculate that endosymbiotic gene transfer to the

nuclear genome allowed other plastid encoded genes to escape some of these evolutionary

constraints, facilitated more rapid adaptation of the chloroplast, and provided a further selective

advantage for migration of organellar genes to the nuclear genome.

In summary, the molecular adaptation of the land plant chloroplast has been constrained by the

location, composition, and expression of its genes. The presence of these evolutionary constraints

limits the evolvability of adaptive phenotypes arising from chloroplast encoded genes, and thus

motivates strategies to improve photosynthesis in synthetic biology contexts by circumventing

these evolutionary barriers to optimisation.

Methods

Dataset curation

The complete set of fully sequenced plastid genomes was downloaded from the National Center of

Biotechnology and Information (NCBI) (https://www.ncbi.nlm.nih.gov/). As annotation errors and

gene loss can lead to missing genes in a given plastid genome, these genomes were filtered to

retain those that contained a full complement of genes that are conventionally found in the plastid

of angiosperms (Supplemental File 2). The protein sequences encoded by these genes were

aligned using MAFFT L-INS-I (Katoh and Standley 2013), and visually checked in AliView (Larsson

2014). Incomplete or misannotated sequences were corrected and replaced from genome

sequence data. Where corrections could not be made, the species was removed from the analysis.

After filtering, the final dataset contained 69 genes present in each of the 773 different angiosperm

species (accession numbers are provided in Supplementary File 2).

Phylogenetic tree inference
The protein multiple sequence alignments were used to guide codon alignment using Pal2Nal

(Suyama, et al. 2006) so that there were no inconsistencies between the protein and nucleotide

alignments. Nucleotide and protein multiple sequence alignments were trimmed to remove

columns containing >90 % gaps using trimAl (Capella-Gutiérrez, et al. 2009). All 69 trimmed

nucleotide multiple sequence alignments were concatenated together and used to identify the

best-fitting model of sequence evolution using the inbuilt ModelFinder function in IQ-TREE

(Kalyaanamoorthy, et al. 2017). In total, 243 models of sequence evolution were tested and the

best-fitting model according to the Bayesian information criterion (BIC) was GTR+F+R7. The best-

fitting model of protein sequence evolution was identified in a similar manner, with 447 models

tested and JTT+F+R7 selected according to BIC. A maximum-likelihood phylogenetic tree was

then inferred from the concatenated nucleotide alignment and the best-fit model GTR+F+R7 using

IQ-TREE's ultrafast bootstrapping method with 1000 replicates (Hoang, et al. 2018). The resulting

tree was then rooted manually in Dendroscope using the Nymphaeles species as the outgroup

(Huson and Scornavacca 2012).

Gene trees and evolutionary rates
To estimate the rate of evolution for each individual gene, gene trees were inferred from the

trimmed nucleotide and protein multiple sequence alignments. Here, the topology of the tree was

constrained to that identified form the concatenated alignment above. This was done as this is the

topology that is most likely to be the true topology for all genes given the uniparental inheritance of

the plastid in the absence of sexual recombination – i.e. all gene trees should have the same

topology. The model of sequence evolution was also constrained to that obtained using the

concatenated alignments as this is most likely to be correct, and holding this constant enables

comparison of inferred rates of molecular evolution between genes. Gene trees were inferred with

the above constraints using IQ-TREE (Nguyen, et al. 2015). To perform an error check and ensure

that the topology of the individual gene trees was identical to the species tree, the Robinson-

Foulds distance was calculated (Sukumaran and Holder 2010). For each gene tree, the total tree

length, which was the sum of all the branch lengths, was extracted from the IQ-TREE output and

used as a measure of the rate of sequence evolution (k).

Gene position and GC$_3$ content

Although the plastid genome is a highly conserved structure in the angiosperm lineage, its size,

and thus gene position, varies between species. Since all of the genes included in this analysis are

in the single-copy region, the average genetic distance of a gene's midpoint to the closest inverted

repeat border was used as a measure of gene position relative to the origin of replication. To do

this, the positions of the inverted repeat regions were identified for each species by searching for

large-duplicated regions using BLASTN (Altschul, et al. 1990; Camacho, et al. 2009). Then, the

distance between the gene's midpoint (obtained from the GenBank file for each species' plastid

genome) and the closest inverted repeat border was calculated. An average distance was then

determined. The average GC content of the 3rd codon position (GC$_3$) for all 773 species was

calculated using a local python script for each gene. The GC$_3$ excluded methionine and tryptophan

codons as these amino acids are encoded by a single codon, and thus have no flexibility in base

identity at the 3rd position.

mRNA abundance and protein abundance

419 mRNA abundance data were obtained from (Forsythe, et al. 2022) and values were available for

420 all 69 genes analysed in this study. Integrated protein abundance data for *Arabidopsis thaliana*

421 with a proteome coverage of 76 % was obtained from the Protein Abundance Database (PaxDb)

422 (Wang, et al. 2012). Abundance data was available for 56/69 genes included in this analysis.

Transcript biosynthetic cost and translational efficiency

424 The strength of selection acting to reduce transcript cost, increase translational efficiency and the

425 trade-off between these two forces was inferred for each species using CodonMuSe (Seward and

426 Kelly 2018). The tRNA copy numbers required by CodonMuSe were inferred for each species by

427 inputting the species plastid genome to tRNAscan-SE 2.0 (Chan, et al. 2021).

Protein tolerance to substitution and hydrophobicity

Protein tolerance to substitution scores were calculated using the BLOSUM62 matrix (Henikoff and

Henikoff 1992). Here, the odds ratios for all substitutions were back calculated from the log-odds

scores provided. Each residue was then given a substitution tolerance by calculating the geometric

mean of the odds ratios of its substitution to the remaining 19 common residues. Then, for each of

the 69 proteins analysed, the average residue substitution tolerance was calculated by taking the

arithmetic mean of the concatenated protein sequence (composed from all 773 species sequences

for that protein). Protein hydrophobicity was determined by calculating the average percentage of

protein sequence encoded by hydrophobic amino acids (glycine, alanine, valine, leucine,

isoleucine, proline, phenylalanine, methionine and tryptophan) across the 773 species.

Statistical analysis and modelling
All statistical analysis and linear regressions were calculated in R.

Identification of the best subset of variables for multiple linear regressions was determined using

the olsrr package. Multicollinearity was assessed using the variance inflation factor and relative

importance metrics were generated using the reliampo package (Groemping 2006). Random

forests were using the randomForest package (Svetnik, et al. 2003).

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Data Availability
452   All data used in this study is provided in the supplemental material, and all accession numbers are

453   provided.

Author Contributions
ER and SK conceived the study. ER conducted the analysis. ER and SK wrote the manuscript.

Figures

Figure 1

Figure 1. The rates of molecular evolution for 69 protein-coding plastid genes. Frequency

distributions of the rates of nucleotide evolution (k_N), protein evolution (k_P) and protein sequence

constraint (k_P/ k_N) from **A-C**, respectively.   Rates of evolution have the units of the total

substitutions per sequence site per tree (S_{st}). *P*-values correspond to the result of a Shapiro-Wilk

test for normality (all *P* < 0.05).

Figure 2

Figure 2. Relationship between gene function and rate of molecular evolution. **A-B**) Bar graphs showing the rate of nucleotide evolution (**A**) and protein evolution (**B**) for individual genes in order of descending value from left to right. Genes are coloured by their high-level function: green, energy production; red, information processing and grey, miscellaneous enzymes. The energy production category includes genes encoding subunits of the photosystems I and II, cytochrome b$_6$f complex, ATP-synthase and NAD(P)H dehydrogenase-like complex. The information processing category includes genes encoding the 30S and 50S ribosomal subunits and RNA polymerase. **C-E)** The difference in the rate of nucleotide evolution, protein evolution, and protein

sequence constraint between genes involved in energy production (green, n=42) versus

information processing (red, n=20), respectively. Protein sequence constraint was inferred from the

protein to nucleotide rate of evolution ratio, which acts as a proxy for the non-synonymous to

synonymous mutation rate. Statistical significance was assessed using a Welch's t-test (all $P <$

0.001, indicated by ***).

Figure 3

Figure 3. The relationship between the average distance of a gene to the closest inverted repeat

border and the rate of molecular evolution of 69 single-copy plastid encoded genes. Scatter plots

showing the average distance of each gene's midpoint to the closest inverted repeat border

measured in base pairs (bp) versus the rate of nucleotide evolution (**A**), the rate of protein

evolution (**B**) and protein sequence constraint (**C**). Where appropriate, linear models are shown in

black with their associated R^2 and *P*-values. Genes are coloured by their high-level function: green,

energy production; red, information processing and grey, miscellaneous enzymes. **D**) Boxplot

showing the difference in the average distance to the inverted repeat between genes that function

in energy production (energy) versus information processing (information). A Welch's t-test

identified no significant difference between the two categories (*P* > 0.05).

Figure 4

Figure 4. Relationship between the substitution tolerance of a protein and the rate of molecular

evolution for plastid encoded genes. Tolerance scores are based on the BLOSUM62 matrix, where

a lower value corresponds to proteins less tolerant to substitution. **A-C**) Scatter plots showing

substitution tolerance versus the rate of nucleotide evolution, the rate of protein evolution and the

extent of protein sequence constraint, respectively. Genes are coloured by their high-level function:

green, energy production; red, information processing and grey, miscellaneous enzymes. Simple

linear regressions are shown in black with their associated R^2 and *P*-values. **D**) Boxplot showing

protein mutability grouped by gene function. The energy production category (energy) is shown in

green, and the information processing (information) category is shown in red. **E**) Difference in

substitution tolerance scores of hydrophobic versus non-hydrophobic amino acids. **F**) Boxplot

showing the percentage of protein that is hydrophobic grouped by gene function (as in D). For D-

F, statistical significance between groups was assessed using a Welch's t-test and asterisks

indicate statistical significance levels. Sample sizes (n) are displayed beneath the plots.

Figure 5

Figure 5. The relationship between gene nucleotide composition and rate of molecular evolution of

69 plastid encoded genes. Scatter plots showing GC content at the 3rd codon position (GC$_3$) versus

the rate of nucleotide evolution (**A**), the rate of protein evolution (**B**) and protein sequence

constraint (**C**). Where appropriate, linear models are shown in black with their associated R^2 and

P-values. Genes are coloured by their high-level function: green, energy production; red,

information processing and grey, miscellaneous enzymes. **D**) The difference in GC$_3$ of genes that

function in energy production (energy) versus information processing (information). A Welch's t-

test identified no significant difference between the two categories (*P* > 0.05).

Figure 6

Figure 6. Relationship between the rate of molecular evolution and gene expression for plastid

encoded protein-coding genes. **A-C**) Scatterplots of mRNA abundance (data from (Forsythe, et al.

2022)) versus the rate of nucleotide evolution, protein evolution and protein sequence constraint,

respectively. Where appropriate, simple linear regressions are shown as black lines with their

associated R^2 and *P*-values. **D**) Boxplot exploring the difference in mRNA abundance between

genes involved in energy production (green) and information processing (red). A Welch's t-test

identified a significant difference between the two categories ($P < 0.001$). **E-F**) Scatterplots of

protein abundance (data from (Wang, et al. 2012)) versus nucleotide evolution, protein evolution

and protein sequence constraint, respectively. **H**) Boxplot exploring protein abundance grouped by

genes that function in energy production and information processing. A Welch's t-test identified no

significant differences between the two categories ($P > 0.05$).

Figure 7

Figure 7. Relative importance of co-variates in the best linear models identified to explain the rates

of molecular evolution and protein sequence constraint. **A)** The relative importance (given as a

percentage) of mRNA abundance, protein tolerance to substitution and gene distance to the

inverted repeat in explaining 53% of the variance in the rate of nucleotide evolution. **B)** The relative

importance of the same co-variates as in A in explaining 49% of the variance in the rate of protein

evolution. **C)** The relative importance of mRNA abundance and protein tolerance to substitution in

explaining 47% of the variance in protein sequence constraint. **D)** A correlation heatmap of all

continuous variables used in this study. Red, Pearson correlation coefficient (r) = 1; white, r = 0;

blue, r = -1.

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