Evolution and spread of multidrug resistant pathogens in a spatially heterogeneous environment

Quentin Griette[1], Matthieu Alfaro[2], Gaël Raoul[3*], and Sylvain Gandon[4*@]

[1]Univ. Bordeaux, IMB, CNRS, UMR 5251, 33400 Talence, France
[2]Université de Rouen Normandie, CNRS, Laboratoire de Mathématiques Raphaël Salem, Saint-Etienne-du-Rouvray, France
[3]CMAP, CNRS, Ecole polytechnique, I.P. Paris, 91128 Palaiseau, France
[4]CEFE, CNRS, Univ Montpellier, EPHE, IRD, Montpellier, France
[*]Contributed equally

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Abstract

The acquisition of multiple resistance to drugs is often viewed as a slow process resulting from the incremental accumulation of resistance to single drugs. We challenge this view with the analysis of the evolution of drug resistance in a pathogen spreading in a heterogeneous host population where drug use varies periodically in space. We study both the transient evolution of drug resistance at the front of the epidemic and the long-term evolution of drug resistance far behind the epidemic front. We show that multiple drug resistant pathogens can drive epidemic spread, while the evolution of single resistance may only occur in a second phase, when the pathogen evolves local adaptation to single drugs. Indeed, a pathogen resistant to multiple drugs can outpace the spread of a coalition of pathogens resistant to single drugs when drug use varies frequently in space. This result is amplified in finite host populations because demographic stochasticty can lead to the local extinction of maladapted pathogens specialised to a single drug. Our work has important implications for the optimal management of multiple drugs against pathogens but also for the optimal deployment of host resistance in agriculture.

Keywords: multiresistance, drugs, spatial heterogeneity, epidemic spread

1   Introduction

The rise of antimicrobial resistance is a major public-health issue [1]. In particular, the emergence and the spread of bacteria carrying resistance to multiple antibiotics erode our ability to cure these infections [2, 3]. The evolution of multiple resistant pathogens is often viewed as the result of the slow accumulation of resistance genes after the persistent use of different drugs in the host population. Under this scenario, the evolution of a broader range of resistance to drugs is viewed as an unavoidable long term consequence of the use of multiple drugs. However, the long-term coexistence of bacteria that differ in the range of drug resistance challenges this view [4, 5]. Several theoretical studies highlighted the importance of different forms of heterogeneities in the structure of the host population to maintain the coexistence between sensitive and resistant bacteria [6, 7, 8, 9, 10, 11]. For instance, spatial variation in drug treatment can readily maintain this long-term coexistence when migration is limited among host populations [6, 7, 9]. Spatial variation in selection may also emerge in spatially spreading epidemics where selection acting at the frontline of the epidemic may differ from selection behind the front [12, 13, 14]. These earlier studies focused on the evolution of pathogen life-history traits like virulence and transmission in epidemics spreading in a homogeneous environment. Here we explore the interplay between pathogen epidemiology and the evolution of drug resistance in a spatially heterogeneous environment.

The spread of epidemics in homogeneous environments can be described by a *travelling front* where the pathogen propagates through space at a constant speed [13, 14, 15]. In a spatially heterogeneous environment, the variation of the quality of the habitat affects the speed of epidemic spread. Yet, if the spatial variation is periodic, the natural extension of the travelling front is the so-called pulsating front characterized by its average speed [15, 16, 17]. In the following we take advantage of the theoretical framework of pulsating fronts to examine the spatial dynamics of different pathogens spreading in a one-dimensional environment. We further assume that the host is constantly exposed to a single drug but the type of the drug varies periodically in space. In a second step, we allow mutations between different pathogen genotypes and we analyse the evolution of a coalition of different pathogen genotypes. Finally, we examine the effect of demographic stochasticity on the speed of spreading epidemics when host population are assumed to be finite.

2   Model

We model the dynamics of a directly transmitted pathogen in a one-dimensional habitat. At time t and position x, the host population is divided into uninfected individuals, $S(t, x)$, and infected individuals, $I(t, x)$. We assume that dead hosts are immediately replaced by new susceptible hosts so that the total density of hosts is assumed to remain constant over space and time: $K = S(t, x) + I(t, x)$. We focus on a scenario where the environment is divided into two different habitats where all the hosts are treated

by either drug A or drug B. We consider a simple spatial pattern where treatment varies periodically and we use L to denote the period of the spatial fluctuation of the treatment. Because all the hosts are treated by a drug we expect that the pathogens sensitive to both drugs will be rapidly outcompeted by resistant genotypes. We thus focus our analysis on the dynamics of three resistant pathogen genotypes circulating in the host population: (i) the density of hosts infected with the genotype only resistant to drug A is noted $I_a(t, x)$, (ii) the density of hosts infected with the genotype only resistant to drug B is noted $I_b(t, x)$ and (iii) the density of hosts infected with the genotype resistant to both drugs is noted $I_m(t, x)$ (m for multiresistance). Coinfection by different genotypes is not allowed and each genotype i is characterized by $\beta_i(x)$, the rate at which transmission occurs between infected and susceptible hosts after a contact at position x. The rate of transmission of the multiresistant genotype β_m is independent of space because multiresistance implies that the rate of transmission is not affected by the treatment. In contrast, the rates of transmission $\beta_a(x)$ and $\beta_b(x)$ vary in space because we assume that treatment reduces transmission (without affecting the other life history traits). All the infections are assumed to end (because of clearance and/or increased mortality due to pathogen virulence) at a rate α. More precisely, we assume that β_a (resp. β_b) takes values $\alpha + r$ in locations treated by drug A only (resp. B only), and value $\alpha - r$ in locations treated by drug B only (resp. A only), see **Fig. 1**. Mutations may occur between these three genotypes and μ_{ij} stands for the rate of mutation from genotype i to genotype j.

The transmission of the pathogen is assumed to be local (infected hosts can only infect susceptible hosts at the same spatial location) but both susceptible and infected hosts are allowed to diffuse in one dimension with a fixed rate σ. In other words, we neglect the influence the pathogen may have on the mobility of its host. Our model can thus be written as the following set of reaction–diffusion equations (for readability, we drop the time and space dependence notation on host densities):

$$\begin{cases} \dfrac{\partial I_a}{\partial t} = I_a \left[r_a(x) - \beta_a(x) \dfrac{I}{K} \right] + \sigma \dfrac{\partial^2 I_a}{\partial x^2} + \mu_{ba} I_b + \mu_{ma} I_m - (\mu_{ab} + \mu_{am}) I_a \\[2ex] \dfrac{\partial I_b}{\partial t} = I_b \left[r_b(x) - \beta_b(x) \dfrac{I}{K} \right] + \sigma \dfrac{\partial^2 I_b}{\partial x^2} + \mu_{ab} I_a + \mu_{mb} I_m - (\mu_{ba} + \mu_{bm}) I_b \\[2ex] \dfrac{\partial I_m}{\partial t} = I_m \left[r_m - \beta_m \dfrac{I}{K} \right] + \sigma \dfrac{\partial^2 I_m}{\partial x^2} + \mu_{am} I_a + \mu_{bm} I_b - (\mu_{ma} + \mu_{mb}) I_m \end{cases} \quad (1)$$

where $I = I_a + I_b + I_m$ and $r_i(x) = \beta_i(x) - \alpha$ is the malthusian growth rate of the single-resistance genotype i (with $i \in \{a, b\}$). Similarly, $r_m = \beta_m - \alpha$ is the malthusian growth rate of the multiresistant genotype m.

In the following we study the speed of spreading epidemics in a spatially heterogeneous environment as a function of (i) the period of the spatial fluctuation in drug use, (ii) the transmission rates of the different genotypes in the different habitats. We first consider the spread of single genotypes before analysing the effect of mutations among genotypes on the speed of a polymorphic pathogen population. Finally, we explore

the effect of demographic stochasticity on the speed of monomorphic and polymorphic epidemics spreading in heterogeneous environments.

3 Results

3.1 The speed of a monomorphic pathogen population

The multiresistant genotype does not *feel* the spatial heterogeneity of the drug treatment. When such a genotype is introduced in the host population and if we assume no mutation ($\mu_{ma} = \mu_{mb} = 0$) the above system reduces to the spread of a single pathogen in a uniform environment. The pathogen population spreads as a traveling wave with a speed equal to [13, 14, 15]:

$$c_m = 2\sqrt{\sigma r_m}. \tag{2}$$

The analysis of the speed of a single-resistance genotype i is more challenging because the growth rate of the pathogen varies periodically in space between $r_i(x) = r$ (when the genotype is resistant to the drug applied in x) and $r_i(x) = -r$ (when the genotype is not resistant to the drug applied in x). It is possible to derive good approximations for the speed of the epidemic in two limit cases [18, 19]: when L is small and when L is large. When the period of the fluctuation of the environment is very small (i.e. $L \to 0$) the *grain* of the environment is so small that the growth rate of the pathogen is equal to the average growth rate in the two habitats: $\bar{r} = \frac{r+(-r)}{2} = 0$. In contrast, when the period of the fluctuation is large the pathogen will move very fast when it is resistant to drug and it will slow down when the drug reduces its transmission rate. In the limit when $L \to \infty$ the speed reaches an asymptote that can be described explicitly. We then get, for $i \in \{a, b\}$,

$$c_i \sim 0 \;\; \text{when } 0 < L \ll 1, \quad c_i \sim \left(\frac{2}{\sqrt{3}}\right)^{3/2} \sqrt{r} \;\; \text{when } 1 \ll L. \tag{3}$$

Moreover the speed of the single-resistance genotype epidemic increases with L, the period of the spatial fluctuation of the environment (**Fig. 2**).

3.2 The speed of a polymorphic pathogen population

Before considering the full system (with the 3 resistant genotypes) we examine the dynamics of a coalition of two single-resistance genotypes that resist two different drugs. When the mutation rates are very low (i.e. $\mu_{aj} = \mu_{bj} \approx 0$) we recover the result of a monomorphic population (red line in **Fig. 2**). However, numerical simulations with a fixed mutation rate μ between single-resistant genotypes indicate that increasing the mutation rate has a complex effect on the speed of the polymorphic population (**Fig. 2**). When L is small, increasing the mutation rate has only a weak effect on epidemic speed. For intermediate values of L, the size of the area treated homogeneously with a single drug allows the resistant genotype to outcompete the other genotype and to take up

some speed. A higher mutation rate speeds up the emergence of this locally adapted genotype and increases the propagation speed. For larger values of L, however, this effect is dominated by the detrimental emergence of ill-adapted mutants (*mutation load*) that slows down the propagation within the area treated by a single drug. This has an impact on the composition of the front and we show in the **Supplementary Information** that there is a threshold value L_c below which the specialists spread independently:

$$L_c \sim \frac{4}{1 - 3^{-3/4}\sqrt{2}}\sqrt{\frac{\sigma}{r}} \ln\left(\frac{r}{\mu}\sqrt{\frac{r}{\sigma}}\right).$$

(4)

When $L < L_c$ a slight asymmetry between the specialists (e.g., if the maximal growth rate of the two specialists differ) implies that the epidemic will be driven by the most competitive specialist. In contrast, when $L > L_c$ the composition of the pathogen population at the front of the epidemic fluctuates between the two specialist genotypes. Note that even when $L > L_c$ mutations generate a *mutation load* via the recurrent introduction of a drug sensitive genotype in the pathogen population. This is why the maximal speed of the coalition of single-resistance genotypes can never reach the speed of a universally adapted pathogen ($c_{a+b} < 2\sqrt{\sigma r}$ in **Fig. 2**).

When we assume a fixed mutation rate μ among the three resistant genotypes, the epidemic spreads faster than epidemics where only the coalition of two specialists is present, provided the period of the fluctuation is small (**Fig. 3**). Indeed, when L is small the multiresistant genotype outpaces the single-resistant genotypes at the front of the epidemic (**Fig. 3**). In contrast, when L is large, the multiresistant genotype is outcompeted by the coalition of the two specialists (in particular when the mutation rate between single-resistant genotypes is large enough). Increasing the mutation rate tends to lower the speed of the epidemic when L is small or very large, because mutations reintroduce maladapted genotypes and build up the mutation load (**Fig. 4**). For intermediate values of L, however, increasing the mutation rate can increase the speed of the pathogen spread, by speeding up the propagation of a the coalition of specialists a and b (**Fig. 4**). This is due to the beneficial effects of mutations on the speed of the coalition of two single-resistant genotypes that we discussed above (**Fig. 2**).

3.3 The speed of stochastic epidemics

The above results rely on the assumption that the deterministic model we are using provides a good description of the spread of a pathogen epidemics. Yet, the front of the epidemic is necessarily driven by a small number of infections. The finite nature of the pathogen population at the edge of the epidemics yields demographic stochasticity and is expected to slow down its spread [14, 20, 21, 22]. In the following we explore the effect of stochasticity using an individual-based model that takes into account the finite number N of hosts at each spatial location. The individual transitions between the different states of the hosts are described by a list of random events (transmission, mutation, death; see the **Supplementary Information** for a detailed description of

the individual-based model). This stochastic model converges to the above deterministic model when N is assumed to be very large. To study the effect of demographic stochasticity on epidemic spread we performed simulations with our individual-based model and measured the average speed on a long time interval after the influence of the initial condition is lost.

First, we discuss the speed of monomorphic epidemics in the absence of mutations. The speed of the multiresistant genotype is decreased by the effect of stochasticity but remains very close to the deterministic approximation (see [14, 20]). The magnitude of this drop is expected to be proportional to $\left(\ln\left(\frac{N}{\delta x}\right)\right)^{-2}$, where $\frac{N}{\delta x}$ represents the number of hosts per unit of space. In contrast, the speed of the single-resistant mutant is dramatically altered by stochasticity (**Fig. 3**). This speed is always lower than the speed of the deterministic approximation but, when L is large the speed can drop abruptly to zero which indicates that the pathogen cannot spread any more. Indeed, when the period of the fluctuation of the environment reaches a threshold value $L_e \sim \frac{4}{3}\sqrt{\frac{\sigma}{r}}\ln\left(\frac{N}{\delta x}\right)$ the pathogen cannot cross the unfavourable habitat (see **Supplementary Information**). In particular, the pathogen is very likely to go extinct in the unfavourable habitat when the population size is small, the diffusion rate is limited and its growth rate is very negative (remember that we assume the growth rate to be $-r$ in the unfavourable habitat). Note that this critical period L_e only increases logarithmically with the population size N, so that this blocking effect can be observed even with relatively large population sizes. This explains why the propagation speed of a single-resistance genotype is maximised for intermediate values of L. In the deterministic approximation, in contrast, the pathogen can always cross unfavourable habitats because extinctions do not occur and the speed of epidemic spread increases monotonically with L.

Second, if we allow some mutation between the two single-resistant genotypes, the epidemic can cross those unfavorable environments because mutations will rescue pathogen populations when $L > L_e$. Consequently, increasing mutation rates can have a dramatic impact on the speed of epidemics when L is large (**Fig. 4**). Finally, when we allow the mutation between the three different genotypes, the speed of the epidemics is close to but lower than the deterministic approximation, and this speed can decrease for intermediate values of L (**Fig. 3**) when the mutation rates are small enough. As pointed out above, the magnitude of this effect on the reduction of the epidemic speed is of the order $(\ln(N))^{-2}$ when N is large enough.

3.4 Pathogen diversity far behind the epidemic front

In the previous sections we focused on the composition of the pathogen population at the edge of the epidemic. Next, we characterise the composition of the pathogen population far behind the front, when it reaches an endemic equilibrium. Note that the composition of the pathogen population behind the front is much less sensitive to the effect of demographic stochastity because at the endemic equilibrium, the number of pathogen present is much larger than at the front of the epidemics, diminishing greatly

the risk of genotype extinctions. Hence, we do not need to distinguish the deterministic and stochastic models in this section. Three cases can be observed (**Fig. 5**):

(i) The multiresistant genotype dominates: If both the cost of being multiresistant (i.e. $r - r_m$) and L are low, the generalist strategy outcompetes the specialists and goes to fixation.

(ii) The coalition of specialist genotypes dominates: When both the cost of being multiresistant (i.e. $r - r_m$) and L are large, the coalition of specialists outcompetes the generalist strategy.

(iii) The three genotypes coexist: The coexistence between the three different genotypes is also possible for a range of parameter values when both r_m and L are relatively large. As pointed by [23], a generalist strategy can outcompete specialits at the interface between habitats.

3.5 Five epidemic profiles

Combining the different modes of propagation and the different types of pathogen populations behind the front, we can distinguish five different profiles of epidemics (**Fig. 5**). Interestingly, we identify an epidemic type (marked by **III** in **Fig. 5**, see also **Fig. 6**) where the multiresistant genotype m drives the spread of the epidemic but is outcompeted later on by the coalition of the two specialists (single-resistance genotypes a and b). In other words, the analysis of the transitory dynamics reveals conditions where the multiresistant genotype is able to emerge, taking advantage of the presence of numerous uninfected host populations, even though specialized strategies are better competitors once the epidemics has developed and many hosts have been infected.

We recover the same five epidemic profiles with finite host population sizes (**Fig. 5**) but demographic stochasticity affects the genetic diversity at the front of the epidemic where the size of the pathogen population is reduced. Single-resistance genotypes are most sensitive to the influence of stochasticity because these specialized genotypes can reach very low density in unfavourable habitats. The multiresistant genotype m benefits from the influence of this demographic stochasticity (compare the size of epidemic type marked by **III** in the deterministic and stochastic cases illustrated by **Fig. 5**).

4 Discussion

Adaptation to multiple drugs is expected to result in the accumulation of drug resistance genes in pathogen populations. Ultimately, this process may lead to the emergence of pandrug-resistance and treatment failure. This major public-health concern requires a better understanding of the spread of multiresistant pathogens. Our analysis challenges the view that the evolution of multiresistance is an unavoidable consequence of the use of multiple drugs against pathogens. We show that multiresistant pathogens can drive the spread of pathogen epidemics in spatially variable environments. Indeed, a multiresistant genotype is a *generalist* strategy that better copes with the heterogeneity of the

environment during the early stage of a spreading epidemic. In the long term, however, the single-resistance genotypes are *specialist* strategies that are locally adapted to single drug treatments. Consequently, spreading epidemics may start with a multiresistant pathogen and end with a coalition of single-resistant genotypes (**Fig. 6**).

Earlier studies have either looked at the evolution of polymorphic pathogen populations spreading in homogeneous environments [12, 13, 14] or at monomorphic populations spreading in heterogeneous environments [15, 16, 17]. The originality of our work relies on the analysis of the speed of a polymorphic population in a heterogeneous environment. We show that mutation is a double edged sword: (i) it allows the pathogen to acquire new resistance mutations and thus to adapt to new drugs but (ii) it can also produce a mutation load with the recurrent introduction of poorly adapted genotypes. The balance between these two effects depends on the heterogeneity of the environment which, in turn, depends on the ratio between the period L of the fluctuation of the environment and the diffusion coefficient σ. The beneficial effect of a higher mutation rate is maximal for intermediate levels of this ratio. Indeed, it is not profitable for the pathogen population to mutate often when the environment keeps changing (i.e., $L \sim 0$) or when the environment changes very slowly (i.e., $L \to \infty$). Several earlier studies obtained similar conclusions in non-spatial models where it is possible to show that there is an optimal stochastic switching rate between specialized phenotypes that maximizes the growth rate of a population in a fluctuating environment [24, 25].

As expected from earlier theoretical studies [14, 20, 21, 22], demographic stochasticity lowers the speed of the epidemic spread. Most of the results of the deterministic model hold in finite host populations. The only notable exception occurs when large values of L can prevent the spread of single-resistance genotypes. The input of new mutations, however, may provide a way to adapt to the new drug. The resulting speed may be constrained by both the stochastic nature of the demographic process and the stochastic nature of the mutation events occuring at the edge of the epidemic.

Several experimental studies have monitored and quantified the spread and the evolution of a bacteria in laboratory conditions [26, 27]. In particular, the MEGA-plate experiment of Baym et al followed the spread of *Escherichia coli* in a spatially heterogeneous environment characterised by increasing concentrations of antibiotics. This fascinating experiment allowed to visualize pathogen spread and evolution in real time. This experimental procedure could be used to test some of our predictions. For instance, we could monitor the influence of the scale of spatial heterogeneity with a manipulation of the parameter L in the MEGA-plate. We hope that the present theoretical framework may stimulate an experimental validation of our theoretical predictions using experimental evolution of microbes in spatially heterogeneous environments.

Our models can be used to make very practical recommendations regarding the manipulation of the spatial structure of the environment. This spatial structure can be manipulated by the spatially heterogeneous use of therapeutic drugs to treat infections [6, 28, 29] but also the deployment of resistance genes against pathogens in plants [7, 30, 31, 32]. If the objective is to limit the speed of the epidemic spread, a lower value of L should be recommended. Lower L values imply that a spreading

epidemics is exposed to a more variable environment. This prevents the pathogen to specialize to a specific environment and, consequently, to speed up in a favourable environment. Interestingly, fine-scale environmental heterogeneity (low L values) are also expected to reduce the probability of pathogen emergence [33]. This fine-scale heterogeneity, however, may promote the spread of generalist and multiresistant pathogens. Those pathogens are likely to spread more slowly because of the fitness cost associated with multiple resistance genes. Avoiding the spread of pandrug-resistance which erodes the efficacy of therapeutic drugs may be viewed as a public-health priority. Besides, after the spread of multiresistant genotypes, additional compensatory mutations (not considered in our model) may restore the competitivity of those genotypes against single-resistance genotypes. Those compensatory mutations could promote the persistence of pandrug-resistance in the long-term. In other words, the optimal deployment of control measures in space varies with the forecast horizon. Our model helps clarify the consequences of these interventions on the short term epidemiological dynamics (the speed of the spreading epidemic) as well as the evolutionary dynamics of the pathogen population.

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Figure 1: Schematic presentation of the evolutionary epidemiology model and the spatial heterogeneity of the environment. Top figure: diagram of the compartmental model. S represents susceptible hosts, I_a (resp. I_b, I_m) represents hosts infected by the pathogen a resistant to drug A (resp. type b resistant to drug B, type m, resistant to both drugs A and B). In dashed we have represented mutations that typically happen at a much lower rate than transmissions. Bottom figure: Values of the intrinsic growth rates $x \mapsto r_a(x) = \beta_a(x) - \alpha$, $x \mapsto r_b(x) = \beta_b(x) - \alpha$, $x \mapsto r_m = \beta_m - \alpha$ as a function of the spatial variable $x \in \mathbb{R}$. The red (resp. green) area represents the locations $x \in \mathbb{R}$ treated with drug A (resp. B).

Figure 2: Propagation speed of single-resistance genotypes as a function of the period L and the mutation rate μ. We plot the speed c_a of a single specialist genotype (red line) and the speed c_{a+b} of a coalition of two specialists (orange lines) when $\mu_{ab} = \mu_{ba} = \mu$ (with $\mu_{am} = \mu_{bm} = 0$). The final values for c_a are extrapolated (from $L = 2000$ inclusive). Parameters: $\sigma = 1$.

Figure 3: Propagation speed when only one specialist type is present (c_a), both specialists are present (c_{a+b} with $\mu_{ab} = \mu_{ba} = \mu$) and when all the three resistant genotypes are present (c_{a+b+m} with $\mu_{ij} = \mu, \forall i, j \in \{a, b, m\}$). Top figure: speed of the epidemic in the *deterministic model* (1) against the period L for the coalition of specialists (orange line: c_{a+b} with $\mu_{ab} = \mu_{ba} = \mu$), the generalist alone (blue line: c_m) and the full model with both the specialists and the generalist (purple line: c_{a+b+m} with $\mu_{ij} = \mu, \forall i, j \in \{a, b, m\}$). Bottom figure: speed of the epidemic in the *stochastic model* with $N = 100$ and $\delta x = 0.1$. Parameters: $r = 1$, $r_m = \frac{1}{16}$, $\sigma = 1$, $\mu = 0.01$.

Figure 4: Effect of the mutation rate μ on the propagation speed of the epidemics when all three pathogen types are present (c_{a+b+m} **with** $\mu_{ij} = \mu, \forall\, i,j \in \{a, b, m\}$). Top figure: *deterministic model*. Bottom figure: *stochastic model* with $N = 100$ and $\delta x = 0.1$. Parameters: $\sigma = 1$, $r_m = \frac{1}{16}$, $r = 1$.

Figure 5: The five epidemic profiles. Composition of the population at the edge of the front (colors), and behind the front (hatches), as a function of r_m and L with $\mu_{ij} = \mu, \forall i, j \in \{a, b, m\}$. See also **Fig. S2**, **S3** and **S6** in the **Supplementary Information** for the description of these different epidemic profiles. Top figure: *deterministic model*. Bottom figure: *stochastic model* with $N = 100$ and $\delta x = 0.1$. Parameters: $\sigma = 1$, $\mu = 0.01$, $r = 1$.

Figure 6: Composition of the pathogen population at the front of the epidemic. Propagating epidemics for $r_m = 0.5$, $r = 1$, $\mu = 0.001$ and $L = 10$. This corresponds to the epidemic type marked by **III** in **Fig. 5**.