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Decreased ventral tegmental area CB1R signaling reduces sign-tracking and shifts cue-

outcome dynamics in rat nucleus accumbens

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Abbreviated Title: Endocannabinoids decrease US dopamine in sign-trackers

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DC, SB and JC conceived, developed and supervised the project. SB acquired the data.
SB, DM and CS analyzed the data. SB and DC designed the experiments, interpreted
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sensor, $GRAB_{DA}$, used for fiber photometry. All authors contributed to manuscript
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ABSTRACT

Sign-tracking rats show enhanced cue sensitivity before drug experience that predicts

greater discrete cue-induced drug-seeking compared to goal-tracking or intermediate-

rats. Cue-evoked dopamine in the nucleus Accumbens (NAc) is a neurobiological

signature of sign-tracking behaviors. Here, we examine a critical regulator of the

dopamine system; endocannabinoids, which bind the cannabinoid receptor-1 (CB1R) in

the Ventral Tegmental Area (VTA) to control cue-evoked striatal dopamine levels. We

use cell-type specific optogenetics, intra-VTA pharmacology and fiber photometry to test

the hypothesis that VTA CB1R receptor signaling regulates NAc dopamine levels to

control sign-tracking. We trained rats in a Pavlovian lever autoshaping task (PLA) to

determine their tracking groups before testing the effect of VTA→NAc dopamine

inhibition. We found this circuit is critical for mediating the vigor of the ST response.

Upstream of this circuit, intra-VTA infusions of rimonabant, a CB1R inverse agonist,

during PLA decrease lever and increase foodcup approach in sign-trackers. Using fiber

photometry to measure fluorescent signals from dopamine sensor, $GRAB_{DA}$, we tested

the effects of intra-VTA rimonabant on NAc dopamine dynamics during autoshaping.

We found that intra-VTA rimonabant decreased sign-tracking behaviors, which was

associated with increases NAc shell, but not core, dopamine levels during reward

delivery (US). We also observed a relationship between cue (CS)-evoked NAc

dopamine activity and rigidity of behavior between rimonabant treatment sessions. Our

results suggest that CB1R signaling in the VTA influences the balance between the CS-

and US-evoked dopamine responses in the NAc and biases behavioral responding to

cues in sign-tracking rats.

<u>SIGNIFICANCE STATEMENT</u>

Substance Use Disorder is a chronically relapsing neurobiological disorder that affects a

subset of individuals that engage in drug use. Recent research suggests that there are

individual behavioral and neurobiological differences prior to drug experience that

predict addiction and relapse vulnerabilities. Here, we investigate how midbrain

endocannabinoids regulate a brain pathway that is exclusively involved in driving cue-

motivated behaviors of sign-tracking rats. This work contributes to our mechanistic

understanding of individual vulnerabilities to cue-triggered natural reward seeking that

have relevance for drug motivated behaviors.

INTRODUCTION

Cues that reliably predict outcomes in the environment powerfully regulate

behavior across conditioning. In addition to their predictive value, some cues gain

enhanced motivational value that can lead to, or be associated with, maladaptive

behavior. Training in a Pavlovian lever autoshaping (PLA) task reveals distinct

conditioned responding phenotypes: Sign-tracking (ST) rats that engage predominantly

with an insertable lever cue show enhanced discrete-cue induced relapse after cocaine

experience. This stands in contrast to goal-tracking (GT) and intermediate (INT) rats

that engage more with the foodcup and show lower levels of discrete-cue induced

relapse after cocaine experience (Hearst & Jenkins, 1974; Tomie, 1996; Flagel et al.,

2007; Meyer et al., 2012). Prior studies establish a role for NAc dopamine and

endocannabinoid signaling in driving sign-tracking (Flagel et al., 2011; Bacharach et al.,

2018). Here we investigate the extent to which midbrain endocannabinoid signaling and

downstream Nucleus Accumbens dopamine (DA) dynamics interact to drive sign-

tracking behaviors.

Cue-evoked dopamine release in the nucleus Accumbens (NAc) core

distinguishes ST from GT phenotypes (Flagel et al., 2011). While pharmacological

studies indicate that dopamine signaling drives the acquisition and expression of both

sign- and goal-tracking behaviors (Danna & Elmer, 2010; Lopez et al., 2015; Fraser et

al., 2016), sub-second cue-evoked NAc core dopamine is strongly correlated with and

necessary for sign-tracking, but not goal-tracking behavior (Flagel et al., 2011;

Saunders & Robinson, 2012; Clark et al., 2013; Fraser & Janak, 2017). Uncovering

factors controlling this tracking-related difference in striatal dopamine signaling is crucial

to understand the neurobiological mechanisms driving individual differences in

motivation towards reward predictive cues.

Because of their role in regulating the dopamine system, we hypothesize that

midbrain endocannabinoid signaling drives differences in cue-evoked striatal dopamine

in sign- and goal-tracking phenotypes. Endocannabinoids are critical regulators of the

dopamine system and blocking CB1R decreases striatal dopamine release (Cheer et

al., 2000; Lupica et al., 2004; Cheer et al., 2004; Lupica & Riegel, 2005; Cheer et al.,

2007; Oleson et al., 2012; Wenzel et al., 2018). The Ventral Tegmental Area (VTA)

provides dense dopaminergic projections to the NAc, which are regulated by

endocannabinoids (eCBs) acting at pre-synaptic CB1R to influence dopamine neuron

firing and striatal dopamine release (Cheer et al., 2004; Lupica & Riegel, 2005).

Blocking CB1R signaling decreases natural and drug reward self-administration, cue-

induced reinstatement, and sign-tracking (McLaughlin et al., 2003; De Vries &

Schoffelmeer, 2005; McLaughlin et al., 2006; Justinova et al., 2008; de Bruin et al.,

2011; Oleson et al., 2012; Schindler et al., 2016; Bacharach et al., 2018). Blocking

CB1R signaling in the VTA decreases both cue-evoked NAc dopamine and associated

reward seeking (Oleson et al., 2012; Wenzel et al., 2018). We previously demonstrated

that systemically blocking CB1R receptors decreases the enhanced attractive and

reinforcing properties of lever cues in sign-tracking rats (Bacharach et al., 2018). We

posit the locus of CB1R effects on sign-tracking is via VTA CB1R suppression of NAc

dopamine release.

We hypothesize that CB1R receptor signaling in the VTA regulates cue-evoked

NAc dopamine levels to control sign-tracking in rats. To test this, we use an optogenetic

approach to examine the role of VTA→NAc dopamine projections in the expression of

Pavlovian conditioned approach in ST, GT, and INT rats. We use intracranial

pharmacology to examine the effect of intra-VTA infusion of the CB1R reverse agonist,

rimonabant, on Pavlovian conditioned approach. Finally, combining intracranial

pharmacology with fiber photometry we determine the extent to which VTA rimonabant

affects cue- and reward-evoked NAc core and shell dopamine dynamics during

Pavlovian conditioned approach. Altogether, we conclude from our data that CB1R

signaling in the VTA maintains the conditioning-dependent behavioral and NAc DA bias

towards cues in sign-tracking rats.

MATERIALS AND METHODS

Experimental subjects: We used female (n= 52) and male (n= 6) transgenic TH::Cre

Sprague-Dawley rats (Envigo, Boyertown, PA; run in four cohorts) for the optogenetics

experiment, female (n = 22) Long-Evans rats (Charles River Laboratories, Wilmington,

MA; run in two cohorts) for the pharmacology experiment, and female (n=32) Long-

Evans rats (Charles River Laboratories, Wilmington, MA; run in three cohorts) for the

photometry experiments. All rats weighed between 215-350g at experimental onset. All

rats were single-housed and maintained on a 12:12h light-dark cycle (ZT0 at 0730). All

rats had ad libitum access to standard laboratory chow and tap water before food

deprivation to 90% of their baseline weight, which was maintained for all experimental

phases. Chow was provided after daily behavioral sessions. All procedures were

performed in accordance with the "Guide for the care and use of laboratory animals"

(8th edition, 2011, US National Research Council) and were approved by the University

of Maryland School of Medicine Institutional Animal Care and Use Committee (IACUC).

Surgical Procedures: For all surgeries, we anesthetized rats with 3

5% isoflurane (VetOne) and gave a subcutaneous injection of the

analgesic, carprofen (5mg/kg). Prior to the first skull incision we gave rats a subdermal

injection of 10 mg/mL lidocaine at the incision site. After lowering cannula or fiber optics

into place, we secured them to the skull using jeweler's screws and dental cement

(Dentsply Caulk, Dentsply, York, PA). All coordinates given are distance from bregma

according to the Paxinos and Watson rat brain atlas (6th edition, 2007).

Optogenetics: We infused 500n*L of* the Cre-dependent inhibitory chloride pump,

halorhodopsin, AAV5-ef1a-DIO-eNphr3.0-eYFP (UNC vector Core, Chapel Hill, NC), or

the control virus AAV5-ef1a-DIO-eYFP (UNC vector Core, Chapel Hill, NC) bilaterally in

to the VTA (coordinates from bregma: -5.4 mm AP, ±2.15 mm ML, and −8.2 mm DV) at

a rate of 100 nL/min using a microinfusion pump (UltraMicroPump III, World Precision

Instruments, Sarasota, FL, USA) and a 10µL Hamilton syringe (Hamilton, Reno, NV,

USA). The needle tip was left in place for 5 minutes after infusion, raised 0.1mm and left

another 5 minutes before the final raising. We then implanted two 200 µm core, 0.67 NA

fiber optics with ceramic zirconia ferrules (Prizmatix, Holon, Israel) targeting the NAc

core, bilaterally (coordinates from bregma: +1.8 mm AP, +2.15 mm ML, -6.6 mm DV).

Fiber optics were secured with Denmat (Denmat, Lompoc, CA) then a thin layer of

dental cement. For two of four cohorts we trained and tested rats in Pavlovian lever

autoshaping six to eight weeks after surgery. In two of four cohorts, we gave rats (n =

14 Halo, n = 19 eYFP) three days of training before surgically injecting virus and

implanting fiber optics, followed by a 6-week recovery/viral expression period, then a

fourth training session before testing.

Pharmacology. We implanted guide cannulae (23G; Plastics One INC., Roanoke,

VA) bilaterally into the VTA at a 10-degree angle (coordinates from bregma: -5.4 mm

AP, ±2.2 mm ML, and −7.33 mm DV). Cannula were secured with jewelers screws and

dental cement. At the end of surgery, we inserted dummy cannula into the guide

cannula, which were kept in the guide cannula and were only removed during infusion

habituation and infusion test procedures. Animals were given 2-3 weeks recovery

before testing.

Fiber photometry: Guide cannulae were implanted in the VTA identical to

Pharmacology experiments. In addition, we infused 1μL of AAV9-hSyn-DA2m (GRAB$_{DA}$)

into the Nucleus Accumbens unilaterally at a 6-degree angle (coordinates from bregma:

+1.8 mm AP, +2.15 mm ML, -6.6 mm DV). Following virus infusion, we implanted one

400 μm core, 0.67 NA fiber optic (Thor labs) in the NAc, 0.1mm dorsal to the virus

injection coordinates. Fiber optics were first cemented in place with Metabond (Parkell

Inc., New York, New York), then with Denmat. The entire headcap was covered in a thin

layer of dental cement. Rats were given 4 weeks for recovery before testing.

Histology: At the end of experiments, we deeply anesthetized rats with isoflurane and

transcardially perfused them with 100 ml of 0.1M sodium phosphate buffer (PBS),

followed by 400 ml of 4% paraformaldehyde (PFA) in PBS. We removed brains and

postfixed them in 4% PFA for two hours before we transferred them to 30% sucrose in

PBS for 48 -72h at 4 °C. We subsequently froze brains and stored them at −20 °C until

sectioning. Coronal sections (50 μm) containing NAc and VTA were collected using

a cryostat (Leica Microsystems).

To verify cannula placements, we stained brain sections with cresyl violet and

coverslipped with Permount (Fischer Scientific, Waltham, MA). To verify viral expression

and fiber placements we mounted and coverslipped all brain sections with Vectashield

DAPI (Vector Laboratories, Burlingame, CA) and the mounting medium, Mowiol (Sigma-

Aldrich, St. Louis, MO). We used a spinning disk confocal microscope (Leica SP8) to

verify viral expression and fiber optic/cannula placement. For optogenetic experiments,

rats were excluded from analysis if cell body labeling of Halo or eYFP was observed

outside of the VTA (in SNc), if there was no terminal expression in the NAc core, and/or

fiber optics were not targeting the NAc core. For pharmacology experiments rats were

excluded if cannula were not targeting the VTA. For photometry experiments rats were

excluded if there was no virus expression below the fiber optic in the NAc, or if the CS-

evoked dopamine signal was less than 2 Zs above baseline during the Vehicle testing

day.

Behavioral apparatus: Experiments were conducted in individual sound-isolated

standard experimental chambers (25 × 27× 30cm; Med Associates). For Pavlovian lever

autoshaping each chamber had one red house light (6 W) located at the top of a wall

that was illuminated for the duration of each session. During PLA, the opposite wall in

the chamber had a recessed food cup (with photo beam detectors) located 2 cm above

the floor grid. The food cup was attached to a programmed pellet dispenser that

delivered 45 mg food pellets (catalog#1811155; Test Diet 5TUL; protein 20.6%, fat

12.7%, carbohydrate 66.7%). One retractable lever was positioned on either side of the

food cup, counterbalanced, 6cm above the floor.

Optogenetics: Each Med Associates chamber was equipped with a green LED

(525 nm, max 300mW, Prizmatix) to deliver light to the NAc core. A TTL pulse was

generated by MedPC 1s prior to lever insertion and was sent to an Arduino

minicontroller (Somerville, MA) which drove an LED for 11s total, (terminating with the

retraction of the lever). The complete light path is MED TTL→Arduino→LED→Patch

cord (1000μm core, Prizmatix)→commutator (Prizmatix)→ bifurcated (2x500μm core,

Prizmatix) fiber optic patch cord → 2 implanted fiber optics ferrules targeting the NAc

core. A ceramic sleeve covered in black heat shrink tightly joined the patch cord and

fiber optic and prevented light loss. We used a light meter (PM100D, Thorlabs) to

calibrate light output in each box before and after each session.

Fiber photometry: We used LEDs (ThorLabs) to deliver 465 nm light to measure

$GRAB_{DA}$ fluorescence signals and 405 nm light as an isosbestic control. The two

wavelengths of light were sinusoidally modulated at 210 and 337Hz respectively. The

LEDs connected to a fluorescence mini cube (Doric Lenses). The combined LED output

passed through a fiber optic cable (1 m long; 400 μm core; 0.48 NA; Doric) which was

connected to implanted fiber optics (400 μm core, Thor Labs). We maintained the light

intensity at the tip of the fiber optic cable at 10-15 μW across behavioral sessions. LED

light collected from the $GRAB_{DA}$ and isosbestic channels was focused onto a femtowatt

photoreceiver (Newport). We low-pass filtered and digitized the emission light at 3Hz

and 5 KHz respectively by a digital processor controlled by Synapse software suite

(RZ5P, Tucker Davis Technologies (TDT)). We time-stamped the behavioral events

including lever insertion, pellet delivery, lever press, and food cup entry through TTL

pulses in Synapse software.

Training in Pavlovian lever autoshaping: Across all experiments, we gave rats a

single 38-minute magazine training session during which one food pellet was delivered

into the food cup on a variable interval (VI) 90 s schedule (60-120 s) for 25 trials. We

trained rats in four or five daily Pavlovian lever autoshaping (PLA) sessions, which

consisted of 25 reinforced lever conditioned stimulus (CS+) presentations occurring on

a VI 90 s schedule (60-120 s). CS+ trials consisted of the insertion of a retractable lever

for 10 s, after which the lever was retracted and two food pellets were delivered to the

food cup regardless of whether a lever or food cup response was made. For two-lever

PLA experiments, on the opposite side of the wall from the CS+, we included a CS-

lever for which the extension and retraction had no programmed consequences.

Sessions consisted of 25 CS+ pairings and 25 CS- trials with a VI90s schedule between

rewarded trials. For experiments in the unpaired condition, lever extension/retraction did

not produce food pellets. Instead, two food pellets were delivered pseudorandomly

during the ITI (at least 20s after/ 20s before lever retraction/extension, respectively).

Measurements and difference scores: Behavioral measurements were collected

during the 20s pre-CS period (ITI), 10s CS period, and the 5s post-CS reward delivery

period. An automated measurement of the latency to first contact the lever and/or food

cup during the cue for each trial was recorded. On trials in which a contact did not

occur, a latency of 10 s was recorded. For each session the lever or food cup

probabilities were calculated by determining the number of trials that the lever or food

cup response was made, divided by total number of trials in the session.

We used a Pavlovian Conditioned Approach (PavCA) analysis (Meyer et al.,

2012) to determine sign- goal- and intermediate tracking groups. The PavCA score

quantifies the difference between lever-directed and food cup-directed behaviors, and

ranges from -1.0 to +1.0. An individual rat's PavCA score is the average of three

difference score measures (each ranging from -1.0 to 1.0) including: (1) preference

score, (2) latency score and (3) probability score. The preference score is the total

number of lever presses during the CS, minus the total number of food cup responses

during the CS, divided by the sum of these two measures. The latency score is the

session averaged latency to make a food cup response during the CS, minus the

session averaged latency to lever press during the CS, divided by the duration of the

CS (10s). The probability score is the probability of lever press minus the probability of

food cup response observed across the session. ST PavCA scores range from +0.33 to

+1.00, INT PavCA scores range from +0.32 to -0.32, and GT PavCA scores range from

-0.33 to -1.00.

Testing in Pavlovian lever autoshaping:

Optogenetics: We habituated rats to the optogenetic patch cable after D4 of

training. On subsequent sessions, rats were tethered to the patch cable during the

session. During the OFF test, rats were tethered but no light was delivered. For the ON

Test, light was delivered to the NAc at 4-6mW from the fiber tip. During the ON test,

LED light delivery began 1s prior to lever extension and remained on for the duration of

the 10 s lever cue (11s total). Lever retraction, termination of the LED light, and delivery

of food occurred simultaneously at the end of each of the 25 trials.

Pharmacology: We habituated rats to handling and infusion procedures

throughout training. After the last training day, we inserted injectors in to the cannulae

and the infusion pump was turned on, but nothing was infused. Before test sessions in

PLA, we gave each rat an infusion of rimonabant or vehicle in two separate

counterbalanced test sessions that occurred 48 hours apart. We removed dummy

cannulae and inserted 30G injector cannulae (Plastics One) extending 1.0 mm beyond

the end of the guide cannulae. We connected each injector cannula using polyethylene-

50 tubing, which was attached to a 5 µL Hamilton syringe (Hamilton, Reno, NV) that

was placed in an infusion pump (CMA syringe pump 4004; Harvard apparatus). We

infused rimonabant (2 µg/µL) or vehicle bilaterally into the VTA at a rate of 0.25 µL/min

for a total of 2 minutes, or 0.5 µL total volume per hemisphere. We kept the injectors in

place for an additional minute before slowly removing them and replacing dummy

cannulae for behavioral testing. We tested rats in PLA 10 minutes after the completion

of the infusion.

Drug solutions were prepared immediately before each test session.

Rimonabant (SR141716A, 5-(4-Chlorophenyl)-1-(2,4-dichloro-phenyl)-4-methyl-N-

(piperidin-1-yl)-1*H*-pyrazole-3-carboxamide, NIDA Drug Supply Program) was dissolved

in a 1:1:18 solution of ethyl alcohol (Sigma), emulphor (Alkamuls EL-620, Solvay

Chemicals, Princeton, NJ), and saline (Hospira) and sonicated for 15 min. The vehicle

solution consisted of the 1:1:18 solution of ethyl alcohol, emulphor, and saline.

Photometry: We habituated rats to patch cables during magazine training and

performed recordings during all of training and testing phases. Testing and drug

infusions procedures were identical to pharmacology experiments.

Data and Statistical analyses: Behavioral data was analyzed using SPSS statistical

software (IBM) with mixed-design repeated-measures ANOVA. Significant main and

interaction effects ($p < 0.05$) were followed by post-hoc within-subject, repeated-

measures ANOVA or t-tests. For significant post-hoc t-tests we report Cohen's d effect

size.

337   *Optogenetics*: For training data, we used mixed repeated measures ANOVA

including within-subject factor of Session (4) and between-subject factors of Tracking

(GT, INT, ST) and Virus (Halo, eYFP). For Test data, we used mixed repeated

measures ANOVA including within-subject factor of Light (OFF, ON) and between-

subject factors of Tracking (GT, INT, ST) and Virus (Halo, eYFP). In cases with a

significant Treatment x Tracking interaction, we then probed individually Response x

Treatment interactions within each tracking group.

344   *Pharmacology*: For PLA training data, we used mixed repeated measures

ANOVA of lever and food cup measures (contact, latency and probability), using

between-subject factors of Tracking group (ST, INT) and within-subject factor of

Session to analyze lever- and food cup-directed behaviors. For Test data, we used

mixed repeated measures ANOVA including within-subject factor of Treatment (Veh,

Rimo) and between-subject factors of Tracking (INT, ST). In cases with a significant

Treatment x Tracking interaction, we then probed individually Response x Treatment

interactions within each tracking group.

352   *Photometry*: For training data, we used mixed repeated measures ANOVA

including within-subject factor of Session (5). For Test data, we used mixed repeated

measures ANOVA including within-subject factor of Treatment (Veh, Rimo) and Epoch

(Cue, Reward).

Photometry signal analysis: To calculate ∆F/F, a least-squares linear fit was

applied to the 405 nm signal. ∆F/F = (490 nm signal − fitted 405 nm signal)/fitted

405 nm signal. To calculate Z-scores on each trial, we took the average of the ∆F/F

signal over a 10s baseline period before the CS insertion and divided the total trial

measurements by that average. All 25 trials per session for each animal were averaged

in to one average per animal, which was used for subsequent analysis. To screen for

reliable photometry signal, we defined significant transients in a behavioral window (5s

post lever insertion) as having a maximum CS-evoked peak amplitude $\geq$ 2 Z-score

(p=0.05) above baseline. We excluded rats whose vehicle day CS-evoked signals did

not meet this criterion. Peak height was calculated using the "findpeaks" function in

Matlab. We took the maximum peak height above Z = 0 in the 2.5s following the event

of interest (either CS (lever extension) or US (lever retraction and pellet delivery)). In the

case there were no peaks above Z=0, the peak height measure was recorded as 0 for

that subject. The latency at which the maximum peak occurred was used for the peak

latency calculation. We used the "trapz" function in Matlab to examine area under the

curve for 5s post event. For training data in Fig. 4, of n=22 rats, four were only recorded

on D1 and D5. Thus, In **Fig. 4D inset** and **4F** data are from n=18 animals, while data in

4D main figure, 4E, and **4G** are from n=22 animals. When examining differences in

signal between NAc Core and Shell in figures **4H-J**, we analyze data from 20 of 22 rats

because 2 fiber placements were exactly on core/shell border.

RESULTS

Individual differences in the acquisition of conditioned approach in a Pavlovian

lever autoshaping task

We first examined the role of the VTA→NAc dopamine projection in regulating

individual differences in Pavlovian approach. We infused a Cre-dependent control virus

(AAV5-ef1a-DIO-eYFP) or a Cre-dependent Halorhodopsin (Halo) (AAV5-ef1a-DIO-

eNphr3.0-eYFP) in to the VTA of TH::Cre rats and implanted bilateral optical fibers

targeting the NAc core (**Fig. 1A, B**). After a recovery period, we trained rats (Halo, n =

31, eYFP, n = 27) in four Pavlovian lever autoshaping (PLA) sessions where the

extension and retraction of a lever for 10s predicted the delivery of food (**Fig. 2A**). We

classified rats as Sign-Trackers (ST), Goal-Trackers (GT), or Intermediates (INT) based

on the Pavlovian conditioned approach (PavCA) score, which reflects an animals'

tendency to approach the lever relative to the food cup. Over acquisition we observed

main effects of Session ($F_{(3,156)} = 34.921$, $p < 0.001$) and Tracking ($F_{(2,52)} = 67.568$,

$p < 0.001$), and a Session x Tracking interaction ($F_{(6,156)} = 24.923$, $p < 0.001$),

confirming individual differences in conditioned approach (**Fig. 2B**). Main effects and

interactions of all behavioral measures collected in PLA are presented in **Table 1**. There

were no main effects or interactions with Virus (Max F = 3.061, min $p = 0.086$),

demonstrating that the virus infused had no effect on the acquisition of behaviors that

characterize sign, goal- and intermediate rats.

VTA-NAc dopamine terminal inhibition selectively reduces approach in sign-

trackers

Prior pharmacology studies establish a role for NAc core dopamine in specifically

driving sign-tracking (Clark et al., 2013; Fraser et al., 2016; Fraser & Janak, 2017;

Saunders & Robinson, 2012). Here we test the role of VTA-NAc core projections by

inhibiting VTA dopaminergic axons projecting to the NAc core during the 10s lever cue

in sign-, goal- and intermediate tracking groups. We gave two test sessions; one in

which the rat were tethered to the patch cable but light was not delivered (OFF

condition) and a second session in which rats were tethered and LED light was

delivered to the NAc core (ON condition) (**Fig. 2A**).

We examined the effect of dopamine inhibition on the number of lever contacts in

the ST group (**Fig. 2C**) and found a main effect of Light ($F(1,14) = 8.735$, $p = 0.010$) and

a Light x Virus interaction ($F(1,14) = 67.602$, $p = 0.015$). This interaction was driven by a

decrease in pressing by the Halo group ($t_{(9)} = 3.884$, $p = 0.004$, Cohen's d = 0.71) but

not the eYFP group ($t_{(5)} = 0.277$, $p = 0.793$). We additionally examined foodcup

contacts and found no significant main effects or interactions (Max F = 3.35, Min p =

0.089 (ME light)) (**Fig. 2D**). In addition to lever and foodcup contacts, we analyzed the

latency and probability to lever and food cup contact and found no significant

interactions with virus (Max F = 2.07, Min p = 0.172). Collectively, these results

demonstrate that dopamine inhibition in ST rats doesn't affect the latency or probability

to make a response, but selectively reduces the vigor with which the animals engage

with and press the lever. Consistent with pharmacological manipulations in the NAc

core (Saunders & Robinson, 2012; Clark et al., 2013; Fraser & Janak, 2017), inhibition

of the VTA→NAc dopamine projection is necessary for the vigor of lever responding in

the sign-tracking rats.

We next examined the effect of dopamine terminal inhibition in INT rats. Even

though the INT rats had substantial levels of lever pressing by the end of training, there

was no Light x Virus interaction ($F_{(1,23)} = 0.208$, $p = 0.653$) (**Fig. 2E**). Poking behavior

remained unaffected by dopamine inhibition as well. Although there was a main effect of

Light ($F_{(1,23)} = 19.504$, $p < 0.001$), there was no Light x Virus interaction ($F_{(1,14)} =$

0.848, $p = 0.367$) (**Fig. 2F**). Intermediate rats display similar amount of both lever and

foodcup directed behaviors, and our results demonstrate that NAc dopamine inhibition

does not affect the levels of either response. The preferred response of GT rats is

foodcup directed behavior. There was no significant interaction with Light x Virus

($F_{(1,15)} = 0.125$, $p = 0.729$) (**Fig. 2G**). We found a main effect of Light when examining

pressing behavior ($F_{(1,15)} = 5.547$, $p = 0.033$) (**Fig. 2H**), but no Light x Virus interaction

($F_{(1,15)} = 0.922$, $p = 0.352$).

We performed an analysis of continuous data showing the relationship between

PavCA score and the difference in lever contacts between treatments (ΔLever contacts

= contacts light ON - contacts light OFF). We found a significant negative correlation (R^2

$= 0.3452$, $p = 0.0005$ **Fig. 2I**), indicating the greater the PavCA score (ST) the larger the

decrement in lever presses induced by dopamine inhibition. We did not see a significant

correlation in the eYFP control group ($R^2 = 0.03746$, $p = 0.33$, **Fig. 2J**), nor did we

observe any relationship between PavCA score and difference in food cup responses

between treatments ($R^2 = 0.0012$, $p = 0.8554$; eYFP $R^2 = 0.0014$, $p = 0.853$) (data not

shown). To further confirm that inhibiting VTA dopaminergic axons in the NAc was

specific to lever pressing of the ST, we analyzed data only from the rats expressing

Halorhodopsin using within between-subjects factor of Tracking (ST, INT, GT) and

within subjects factors of Light (OFF, ON) and Response (press, poke). We found a 3-

way interaction (F(2,28) = 7.98, *p* = 0.002) that was driven by a decrease in pressing by

the sign-tracking rats. This analysis further demonstrates that VTA dopamine axon

inhibition specifically reduces lever pressing in sign-tracking rats.

In conclusion, inhibiting dopaminergic terminals in the NAc specifically reduced

the amount of lever pressing in ST animals and had no effect on the responding in INT

or GT rats. Here we have demonstrated this VTA→NAc pathway is important for

regulating sign-tracking behavior, we next manipulate CB1R in the VTA where we

predict CB1R regulates cue-evoked NAc DA levels during sign-tracking.

Intra-VTA CB1R inhibition reduces sign-tracking

Given the specific effects of VTA→NAc core dopamine inhibition in sign-tracking

rats, we next examine how VTA CB1R regulate cue attraction in sign-trackers. As a

comparison group, we include INT rats who also display lever directed behaviors, but do

not require NAc dopamine to execute these behaviors (**Fig. 2E,F)**. All rats were

implanted with cannulae targeting the VTA (**Fig. 3A).** First, we trained 19 rats (11 ST, 8

INT) for 5 PLA sessions to determine tracking groups. For the PavCA score we observe

main effects of Session (F(4,68) = 21.98, *p* < 0.001) and Tracking (F(1,17) = 22.77, *p* <

0.001) and a Session x Tracking interaction (F(4,68) = 3.45, *p* = 0.013) (**Fig. 3B**). On

the fifth training session INT rats showed similar levels of lever directed and food cup

directed behaviors (PavCA = 0.13) whereas ST rats showed predominantly lever-

directed behaviors (PavCA = 0.62) further confirming these two groups of rats show

different patterns of conditioned responding in response to Pavlovian reward-predictive

cues by the end of training. We present main effects and interactions of all PLA training

measures in **Table 2**.

Prior to the 6th and 7th reinforced PLA sessions, we gave rats counterbalanced

intra-VTA infusions of rimonabant or vehicle. Based on previous findings (Bacharach,

2018), we predicted that intra-VTA rimonabant would reduce measures of sign-tracking

behavior. Indeed, we found that blocking VTA CB1R decreased the PavCA score

selectively for ST, but not INT rats (**Fig. 3C**). We found a main effect of Tracking

($F(1,17) = 16.94$, $p = 0.001$) and a Treatment x Tracking interaction ($F(1,17) = 10.67$, p

$= 0.005$). This interaction was driven by a significant decrease in PavCA in the sign-

trackers ($t_{(10)} = 3.272$, $p = 0.008$, Cohen's d = 0.78) and a non-significant increase in

PavCA in INT rats ($t_{(7)} = -1.544$, $p = 0.167$, Cohen's d = 0.43).

Out of the three scores that comprise the PavCA index, the probability score

was most affected by intra-VTA rimonabant injections (**Fig. 3D**). We found a main

effect of Tracking ($F(1,17) = 19.63$, $p < 0.001$) and a Treatment x Tracking interaction

($F(1,17) = 24.40$, $p < 0.001$). Compared to vehicle, ST rats showed a significant

decrease ($t_{(10)} = 4.509$, $p = 0.001$, Cohen's d = 0.76) whereas INT showed a significant

increase ($t_{(7)} = -2.646$, $p = 0.033$, Cohen's d = 0.61) in the probability score when VTA

CB1R signaling was decreased. To further understand the change in probability score,

we compared the probability to poke vs. the probability to press across treatment

conditions within each tracking group (**Fig. 3E**). Sign-trackers showed a main effect of

Response ($F(1,10) = 48.31$, $p < 0.001$) and a Treatment x Response interaction ($F(1,10)$

$= 20.332$ $p = 0.001$), suggesting that rimonabant differentially affected pressing vs.

poking behavior. Sign-trackers showed a significant reduction in the probability to lever

press ($t_{(10)}$ = 4.734, p < 0.001, Cohen's d = 0.58) and an increase in the probability to

poke, though the latter wasn't significant ($t_{(10)}$ = -1.573, p = 0.147, Cohen's d = 0.44).

Intermediates showed a main effect of Treatment (F(1,7) = 15.16, p = 0.006) and

Treatment x Response interaction (F(1,7) = 7.00, p = 0.033). Intermediates showed a

reduction in the probability to press ($t_{(7)}$ = 2.950, p = 0.021, Cohen's d = 0.91) and a

strong reduction in the probability to poke ($t_{(7)}$ = 4.194, p = 0.004, Cohen's d = 1.49). At

first pass, these data suggest that CB1R blockade reduces all behavior in intermediate

rats, this treatment instead shifts sign-tracking rats away from lever directed and

towards food cup directed behaviors.

As a measure of general task engagement and motivation to consume a reward

we measured the latency to collect the pellet once it was delivered for each trial (**Fig.**

3F). We found a main effect of Treatment (F(1,17) = 12.65, p = 0.002) and a Treatment

x Tracking interaction (F(1,17) = 8.73, p = 0.009). Rimonabant had no effect on the

latency to collect the pellet in ST rats ($t_{(10)}$ = -0.686, p = 0.51, Cohen's d = 0.13),

suggesting that the changes in ST rat's lever and food cup approach reported above

were not due to decreased task engagement. In contrast, intra-VTA rimonabant

increased the latency to collect the pellet in INT rats ($t_{(7)}$ = -3.212, p = 0.015, Cohen's d

= 1.21), suggesting that their motivation to consume the pellets may have In part

contributed to overall reduced lever and food cup approach during the cue. Regardless

of latency to collect the pellet, all rats tested still ate 100% of the pellets delivered during

the task, confirming there were no deficits in consummatory behavior arising from VTA

CB1R manipulation.

To further examine this possibility, we next determined whether rimonabant

decreased overall task engagement or motivation to consume food reward. We

examined total behavior (lever contacts + foodcup contacts) during testing and found a

main effect of Treatment ($F_{(1,17)} = 19.16$, $p < 0.001$) and a Treatment x Tracking

interaction ($F_{(1,17)} = 6.82$, $p = 0.018$) (**Fig. 3G**). This interaction was driven by a

significant decrease in contacts in INT rats ($t_{(7)} = 4.741$, $p = 0.002$, Cohen's d = 1.22).

These data indicate that intra-VTA rimonabant injections did not affect overall levels of

conditioned responding in ST rats, but this treatment blunted behavior overall in INT

rats**.** We further probed this interaction by investigating lever vs. foodcup contacts in

each tracking group (**Fig. 3H**). Similar to the probability data, ST rats showed a main

effect of Response ($F_{(1,10)} = 24.732$, $p = 0.001$) and a Treatment x Response

interaction ($F_{(1,10)} = 10.661$, $p = 0.0009$). This interaction was driven by a decrease in

lever contacts ($t_{(10)} = 3.167$, $p = 0.01$, Cohen's d = 0.50) and an increase in foodcup

pokes ($t_{(10)} = -1.273$, $p = 0.116$, Cohen's d = 0.44). In contrast, INT rats showed a

reduction in both responses; main effect of Treatment ($F_{(1,7)} = 22.473$, $p < 0.002$). In

summary, ST had similar amounts of total approach behavior in which a reduction in

lever-directed behavior was compensated for by an increase in foodcup-directed

behavior. INT rats still engaged in both types of responding, but both responses were

decreased.

As a control, we determined whether locomotor activity changed with intra-VTA

rimonabant injections. We gave an open-field test to a subset of ST and INT rats and

found no differences in locomotor activity when rats were given intra-VTA vehicle or

rimonabant infusions (distance travelled in meters: Veh mean ± SEM = 19.65, 1.44;

Rimo mean ± SEM = 18.48, 0.86) . There were no main effects of Tracking, Treatment,

nor a Tracking x Treatment interaction (Max F = 1.147, Min p = 0.307).

Taken together, CB1R inhibition in the VTA causes divergent behavioral

response profiles across ST and INT rats. Rimonabant in the VTA caused a decrease in

all appetitive motivated behaviors measured in the INT rats. In contrast, rimonabant only

decreased behavior directed towards the lever cue in ST rats, while increasing the

amount of cue-induced food cup behaviors. Thus, CB1R receptor blockade decreases

sign-tracking behavior leading to more balanced levels of lever- and foodcup-directed

behaviors.

CS-evoked dopamine increases with sign-tracking behavior across Pavlovian

training

In order to understand rimonabant's effect on cue-evoked dopamine in the sign-

trackers, we measured GRAB$_{DA}$ signals in the NAc core and shell while manipulating

CB1R in the VTA. We infused the fluorescent dopamine sensor, GRAB$_{DA}$, and

implanted fiber optic cannula targeting the NAc in separate group of 22 sign-tracking

rats (**Fig. 4A, B**). Rats acquired a sign-tracking response as previously observed

(PavCA: main effect of Session $(F_{(4,84)} = 33.630, p < 0.001)$ (**Fig. 4C**). Further, when

examining pressing and poking contacts, ST rats showed main of effects of Session

$(F_{(4,84)} = 5.229, p < 0.001)$ and Response $(F_{(1,84)} = 48.689, p = 0.003)$, and a

Session x Response interaction $(F_{(4,84)} = 19.172, p < 0.001)$ where pressing behavior

was significantly greater than poking behavior on D2-D5 of training ($p's < .0001$) (**Fig.**

4C inset).

Voltammetry studies establish that ST, but not GT, show a transfer of DA

transients from the US to CS over Pavlovian training (Clark et al., 2013; Flagel et al.,

2011; Saddoris et al., 2016) which is interpreted as signal supporting enhanced

motivational value of the CS in ST rats. We examined the NAc $GRAB_{DA}$ signal across

training (**Fig. 4D, inset**) and found main effects of Session ($F(4,68) = 5.044$, $p = 0.001$)

and Epoch (CS,US; $F(1,17) = 36.414$, $p < 0.001$) and a Session x Epoch interaction

($F(4,68) = 19.022$, $p < 0.001$). CS evoked NAc $GRAB_{DA}$ signal was greater than US

evoked $GRAB_{DA}$ signal on D2-D5 of training ($p's < 0.0007$). This data reflect the classic

signature of a dopamine response to transfer from the US to the CS across conditioning

(Day et al., 2007; Schultz et al., 1997).

We next compared the relationship of CS- and US-evoked on $GRAB_{DA}$ signals for

each rat across D1 and D5. We calculated the peak height difference score (peak

height: CS-US/CS) on D1 versus D5 (**Fig. 4E**). We found that there was a significant

increase in this score on D5 compared to D1 of training ($t_{(21)} = -5.771$, $p < 0.001$,

Cohen's d = 1.53), demonstrating that on $GRAB_{DA}$ signals to the US were transferred to

the CS in ST over the course of training.

On a session-by-session basis we observe the magnitude of sign-tracking (lever

contact) positively correlates with CS-evoked NAc DA peak heights across training

(sessions 1-5, n is rat average per session; $R^2 = 0.155$, $p = 0.0001$, **Fig. 4F**).

Furthermore, the increase in sign-tracking across training (D5 minus D1 lever contacts)

correlates positively with the change in peak height difference scores (CS-US/CS; D1-

D5) ($R^2 = 0.2061$, $p = 0.0338$) (**Fig. 4G**). Together these data suggest that greater

escalation of sign-tracking is associated with greater CS relative to US DA signals

across conditioning.

Due to known differences in dopamine release and dynamics in NAc core vs.

shell (Cacciapaglia et al., 2012; Saddoris et al., 2013; West & Carelli, 2016; Zahm,

1999), we examine region-specific NAc GRAB$_{DA}$ signals across conditioning (**Fig 4H, I**).

We examined the area under the curve between two time epochs (Cue (CS): 5s post

lever insertion, Reward (US): 5s post lever retraction), which reveals main effect of

Epoch (CS > US, $F_{(1,18)} = 12.97$, $p = 0.002$) and an Epoch x Region interaction

($F_{(1,18)} = 12.76$, $p = 0.002$, **Fig. 4J**), which is driven by greater NAc core CS vs US

responses ($t_{(11)} = 5.220$, $p < 0.001$, Cohen's d = 1.26) and greater US GRAB$_{DA}$

responses in NAc shell than NAc core ($t_{(18)} = 2.184$, $p = 0.042$, Cohen's d = 0.94).

These results confirm that cue-evoked NAc GRAB$_{DA}$ signal increases to and correlated

lever directed behavior in sign-tracking rats, consistent with prior reports (Day et al.,

2007; Flagel et al., 2011; Clark et al., 2013; Saddoris et al., 2016). We also provide

evidence that dopamine is released differentially in the core versus shell during training.

Intra-VTA rimonabant primarily affects dopamine encoding of outcomes rather

than cues

We used thirteen ST rats in our analysis of test data. Nine rats were excluded

from test analysis due to off target cannula placement in the VTA infusion site (n=4),

excessive damage at VTA infusion site (n=2), or a loss of signal during testing (n=3).

Similar to our prior behavioral findings, we found intra-VTA rimonabant significantly

decreased the PavCA score of ST rats ($t_{(12)} = 3.476$, $p = 0.005$, Cohen's d =0.82) (**Fig.**

5A). The decrease in PavCA was accompanied by similar reductions in the preference,

latency, and probability scores (vehicle vs. rimonabant; *p's* = 0.019, 0.005, 0.002,

respectively).

Due to known differences in dopamine release and dynamics in NAc core vs.

shell in training and consistent with prior reports (Cacciapaglia et al., 2012; Saddoris et

al., 2013; West & Carelli, 2016; Zahm, 1999), we include NAc Subregion as a statistical

factor in addition to Epoch and Treatment (Rimonabant, Vehicle). We first examined the

AUC during the two epochs and found a significant NAc Subregion x Epoch x Treatment

interaction ($F(1,11)$ = 5.311, *p* = 0.042) (**Fig. 5B**). To follow up, we analyzed the total

GRAB$_{DA}$ signal during the US period and found a main effect of Treatment ($F(1,11)$ =

7.919, *p* = 0.017), and a Treatment x Subregion interaction ($F(1,11)$ = 9.996, *p* = 0.009).

This interaction was driven by increases in GRAB$_{DA}$ signals specifically in the NAc shell

during the US reward period ($t_{(5)}$ = -3.257, *p* = 0.023, Cohen's d = 0.66). We present

NAc subregion specific traces and heatmaps in **Fig. 5C and 5D**.

We next probed if the rimonabant-induced NAc DA signal changes were

associated with behavioral changes in Pavlovian lever autoshaping. We correlated

changes in dopamine signals during the CS and US periods (AUC^{Rimo} - AUC^{Veh}) with

changes in PavCA score (PavCA^{Rimo} -PavCA^{Veh}). We found that treatment-induced

changes in NAc GRAB$_{DA}$ signals during the CS period did not correlate with changes in

PavCA score (R^2 = 0.01, *p* = 0.734) (**Fig. 5E**). However, treatment-induced changes in

NAc GRAB$_{DA}$ signals during the US period were negatively correlated with changes in

the PavCA score (R^2 = 0.433, *p* = 0.015) (**Fig. 5F**). This suggests that the more intra-

VTA rimonabant shifted behavior towards goal-tracking PavCAs (more negative

scores), the greater the rimonabant-induced NAc DA signaling during the US period

relative to vehicle. We found similar negative correlations between rimonabant-induced

changes in US-AUC and the Preference, Latency, and Probability scores ($p's$ = 0.006,

0.100, 0.059, respectively).

Consistent with this, US peak heights were increased with rimonabant treatment

(main effect of Treatment: ($F(1,11)$ = 10.228, p = 0.008) and varied by NAc subregion

(Treatment x Subregion interaction ($F(1,11)$ = 5.77, p = 0.035) **Fig. 5G**). This was driven

by increases in US peak height in the NAc shell ($t_{(5)}$ = -2.735, p = 0.041, Cohen's d =

0.78). However, treatment-induced changes in US peak height did not predict variability

in PavCA or other behavioral measures, suggesting that the sustained US NAc DA

signaling that is captured by the AUC analysis (above) relates more to behavior than the

US peak.

We next examined dopamine dynamics surround the CS. On a population level,

the CS peak height was not affected by intra-VTA rimonabant treatment and we

observed a large amount of variability in CS peaks across NAc (**Fig. 6A**). Thus, we

examined treatment-related changes in CS-evoked peak (CSpeak^{Rimo} - CSpeak^{Veh}) as a

function of changes in PavCA score (PavCA^{Rimo} -PavCA^{Veh}), which were positively

correlated (**Fig. 6B**). This suggests that the more intra-VTA rimonabant shifted behavior

towards goal-tracking PavCAs (more negative PavCA difference score), the smaller the

rimonabant-induced NAc DA CS peak relative to vehicle. Notably, rats that showed less

of a behavioral shift (PavCA difference scores near zero) tended to have greater CS-

evoked DA peaks with intra-VTA rimonabant relative to vehicle. This suggests the more

rigid the behavior was between treatment sessions, the greater the CS-evoked NAc

651 dopamine signaling when VTA CB1R signaling was disrupted. Placements of VTA

652 infusions and NAc recording sites are given in **Fig. 6C,D.** Taken together, with inhibition

653 of CB1R signaling in the VTA we find that shifts in behavior away from sign-tracking and

654 towards goal-tracking (negative PavCA shifts) are associated with smaller NAc DA CS

655 peaks (**Fig. 6B**) and greater NAc DA US processing (**Fig. 5F**).

657 **CS-evoked dopamine is specific to rewarded lever**

658 Lastly, as a control in separate groups of rats, we confirmed that the GRAB$_{DA}$

659 signals in the NAc are specific to the learned association between the CS and the US.

660 We trained a separate group of fiber implanted, NAc GRAB$_{DA}$ expressing rats (**Fig. 7A**)

661 in a 2-lever Pavlovian autoshaping procedure. Here, a CS+ lever predicted food reward

662 and a separate CS- lever predicted no reward. We examined total behavior (lever and

663 food cup contact) during the CS+ versus CS- periods across training (**Fig. 7B**) and

664 found a main effect of Cue (F(1,5) = 11.939, p = 0.018) and a Cue x Session interaction

665 (F(4,20) = 11.325, p < 0.001). Rats showed more approach during the CS+, compared

666 to the CS-presentations on D5 of training ($t_{(5)}$ = 3.598, p = 0.016, Cohen's d = 1.88).

667 This confirms that by D5 of training, the rats could behaviorally discriminate between a

668 reinforced from nonreinforced cue. On D5 of training, we found the CS evoked peak

669 height was greater for the CS+ lever than the CS ($t_{(5)}$ = 2.947, p = 0.032, Cohen's d =

670 0.79; **Fig. 7 C,D**). We also trained a sperate group of fiber implanted, NAc GRAB$_{DA}$

671 expressing rats (n=4) rats in an unpaired control condition in which we delivered the

672 same number of food pellets, but lever extension was delivered pseudorandomly during

673 the ITI period and was never explicitly paired with pellet delivery. Unpaired rats

displayed low levels of lever and foodcup approach during lever extension periods

throughout training **(Fig. 7E)**. Rats did not develop conditioned responses to either the

lever or foodcup (D1 vs. D5 ($t_{(3)}$ = 1.023, p = 0.382) **(Fig. 7E inset left).** Despite not

showing conditioned lever or foodcup approach, rats were still engaged in the task and

collected the pellet faster on D5 than D1 of training ($t_{(3)}$ = 3.696, p = 0.034, Cohen's d =

2.56) **(Fig. 7E inset right).** In this unpaired condition, we observed very low levels of

lever-associated NAc GRAB$_{DA}$ signals which diminished, though not significantly, as

training progressed (D1 vs. D5 $t_{(3)}$ = 2.425, p = 0.119) **(Fig. 7F)**. In the unpaired rats,

NAc GRAB$_{DA}$ signals to the CS on D1 likely represent a salience or novelty signal

(Horvitz et al., 1997; Mirenowicz & Schultz, 1994), which goes away over time as rats

become familiar with the lever extension and retraction. There was reliable NAc

GRAB$_{DA}$ signal to the US food delivery **(Fig. 7G),** that remained unchanged from D1 to

D5 of training ($t_{(3)}$ = -1.594, p = 0.209) **(Fig. 7G inset),** confirming the unpredictable

delivery of the US in unpaired rats. Our results indicate that the NAc GRAB$_{DA}$ signal

observed to the CS and US during the paired, single-lever condition arise due to rats

learning and maintaining the CS-US relationship.

<u>DISCUSSION</u>

Here, we demonstrate that optogenetic inhibition of VTA-NAc core dopamine

projections reduces lever approach, specifically in sign-tracking rats. While intermediate

rats also display lever approach, VTA-NAc core DA terminal inhibition did not affect this

behavior, nor did it affect the preferred food cup response in goal-tracking rats. Hence,

VTA→NAc core dopamine release during the cue period is necessary for the enhanced

cue attraction specifically seen in sign-tracking rats. Having confirmed the importance of

VTA-NAc core DA projections in ST rats, we next examined whether disrupting VTA

CB1R signaling similarly decreased lever approach in sign-tracking rats. We compared

the effects of intra-VTA rimonabant injections in ST and intermediate rats because both

display lever directed approach but differently engage VTA-NAc core. We found that

intra-VTA infusions of the CB1R inverse agonist, rimonabant, resulted in different

response profiles between ST and INT rats. In INT rats, decreasing CB1R signaling

decreased all appetitive motivated behaviors that we measured. Both lever and food

cup responses decreased and the latency to collect the reward increased. In ST rats,

intra-VTA rimonabant selectively reduced lever approach while increasing food-cup

approach, shifting the rats away from sign-tracking (lever directed) and towards goal-

tracking (food-cup behaviors). These results suggest that intact VTA CB1R signaling

biases behavior towards the lever and away from the foodcup in ST rats. We predicted

that the VTA rimonabant-induced decrease in cue attraction would be associated with

disrupted cue-triggered dopamine signals downstream in the NAc. While we did not

observe overall effects of VTA CB1R inhibition on CS evoked NAc DA signaling, VTA

rimonabant-induced behavioral shifts away from sign-tracking were positively correlated

with changes to cue-evoked NAc core GRAB$_{DA}$ signals. Rats that showed the greatest

changes in behavior with intra-VTA rimonabant (away from ST) tended to have

decreased NAc DA CS peaks during rimonabant relative to vehicle sessions. In

contrast, rats that showed less of a behavioral shift (i.e., more rigid behavior between

sessions) tended to have greater CS-evoked DA peaks during rimonabant relative to

vehicle sessions.

We also found that intra-VTA rimonabant increased NAc GRAB$_{DA}$ signals during

the reward period and that this effect was driven by increased US DA signaling in the

NAc shell. VTA rimonabant-induced behavioral shifts away from sign-tracking were

negatively correlated with changes to US-evoked NAc core GRAB$_{DA}$ signals. That is,

rats that showed the greatest changes in behavior (away from ST) with intra-VTA

rimonabant tended to have increased NAc DA US peaks during rimonabant relative to

vehicle sessions. In contrast, rats that showed less of a behavioral shift with intra-VTA

rimonabant tended to have lower US-evoked DA peaks during rimonabant relative to

vehicle sessions. Our results demonstrate decreasing VTA CB1R signaling decreases

sign-tracking and increases goal-tracking approach, potentially by suppressing cue-

evoked, and enhancing reward-evoked NAc dopamine signals.

Prior pharmacology and voltammetry tracking studies have established NAc core

DA as necessary for sign-tracking (Flagel et al., 2011; Saunders & Robinson, 2012;

Clark et al., 2013; Fraser & Janak, 2017). Consistent with manipulations of NAc

dopamine receptors, we show that optogenetic inhibition of VTA-NAc core dopamine

projections during lever presentation similarly reduces lever approach in ST rats, but not

in intermediate rats that also display lever approach. Our results using Pavlovian

conditioning are consistent with a substantial instrumental literature showing that CB1R

signaling maintains both cued food-seeking and drug-seeking behaviors (McLaughlin et

al., 2003; De Vries & Schoffelmeer, 2005; Ward & Dykstra, 2005; Economidou et al.,

2006; Salamone et al., 2007; Ward et al., 2007; Justinova et al., 2008; de Bruin et al.,

2011; Oleson et al., 2012; Schindler et al., 2016). Consistently, sign-trackers and

intermediates show decreases in lever approach when we disrupt VTA CB1R signaling.

However, in intermediate rats this attenuating effect was not specific to the lever, as

food cup responding and task engagement were generally reduced, consistent with

prior rimonabant manipulations (McLaughlin et al., 2003; Ward & Dykstra, 2005;

McLaughlin et al., 2006; Salamone et al., 2007; Ward et al., 2007; Oleson et al., 2012).

However, this was not the case in sign-trackers that decreased lever approach but

showed no differences in overall task engagement and tended to increase food cup

responding when VTA CB1R signaling was disrupted. Thus, blocking VTA CB1R

signaling rebalanced lever- and foodcup-directed behavior, such that a decrease in

lever approach was compensated for by an increase food cup approach to result in a

significant decrease in the PavCA score. The eCB system is also involved in

maintaining Pavlovian cue-reward associations. Systemic blockade of CB1R signaling

disrupts cue-driven approach behaviors in Pavlovian tasks (Bacharach et al., 2018;

Gheidi et al., 2020). The present findings using region specific manipulation of CB1R

provide evidence that the VTA is a site of endocannabinoid action to promote sign-

tracking and confirm our prior work showing systemic rimonabant dose-dependently

reduces sign-tracking and the attracting and reinforcing properties of the lever cue

(Bacharach et al., 2018).

We found evidence that manipulating VTA CB1R signaling influences dopamine

release during the US/reward period that varies by NAc subregion. There are

anatomical and functional differences between the core and shell (Jones et al., 1996;

Zahm, 1999). In cued-instrumental and Pavlovian settings, NAc core dopamine release

is associated with the predictive value of a cue (Roitman et al., 2004; Cacciapaglia et

al., 2012; Hart et al., 2014; Saddoris et al., 2015; Stelly et al., 2021). In addition to the

predictive value of this dopamine signal, core dopamine also carries incentive

motivational value (Berridge, 1996; Flagel et al., 2011; Saunders & Robinson, 2012;

Saunders et al., 2013). Our photometry and optogenetic data from the NAc core

corroborate these findings in that NAc core is necessary for cue-approach in sign-

trackers and we see strong cue-induced dopamine under both vehicle on rimonabant

conditions. We find both NAc core DA inhibition and VTA CB1R antagonism decrease

sign-tracking. In our photometry recordings, the relationship between VTA CB1R

signaling and cue-evoked DA signaling spans recordings from both the NAc core and

shell. We observe consistent effects of VTA CB1R antagonism on PavCA scores across

our pharmacology experiment (**Fig. 3**) when rats are untethered, and our combined

pharmacology and photometry experiment (**Fig. 5**) when rats are tethered. This

suggests this technical difference of tethering rats, which does moderately slow

responding, did not interfere with VTA treatment effects on behavior. We do not observe

NAc region-specific differences in effects of VTA CB1R antagonism on cue-evoked DA

signaling. Overall VTA CB1R antagonism does not affect CS-evoked NAc DA, but

variability in the effects of rimonabant on behavior do relate to magnitude of treatment

effects on CS evoked NAc DA. This relationship suggests VTA CB1R signaling may

influence NAc DA encoding of predictive or incentive motivational properties of the CS

and thus bias behavior towards cues.

The NAc shell DA is more heavily involved in representing contextual elements

associated with reward seeking, tracking reinforcer value, and representing reward-

guided motivation (Bossert et al., 2007, 2012; Cacciapaglia et al., 2012; Cruz et al.,

2014; West & Carelli, 2016; Valyear et al., 2020). Additionally, NAc shell dopamine

release is less temporally restricted to cue onset, and differential dopamine dynamics

are observed during cue-onset, the entire cue period, and the US period (Saddoris et

al., 2015, 2016). We find that VTA CB1R blockade *increases* NAc shell dopamine

signaling during the US period and is associated with increased food cup exploration,

which may give rise to changes in US processing and/or reinforcer value representation.

794   The present study used almost exclusively female rats, and thus was not

designed to test for sex differences. Here we find that greater shifts in sign-tracking are

associated with decreased NAc CS DA during intra-VTA rimonabant vs vehicle

conditions. Yet the effect of rimonabant was more subtle than in our prior study using

voltammetry in male rats (Oleson, Beckert et al. 2012), as group effects of VTA

rimonabant in the present study were not evident. Instead, the decrease in CS-evoked

NAc DA was greatest in female rats that shifted away from sign-tracking the most. It is

likely differences in the sex of rats and DA recording techniques are the most likely

factors influencing different observations between studies. We used female rats for

several reasons. First, we and others see evidence for increased propensity for females

to sign-track (Pitchers et al., 2015; Kochli et al., 2020; Madayag et al., 2017). Second, in

our systemic rimonabant study, we observed greater CB1R manipulation effect sizes in

females compared to males on the attracting and reinforcing properties of cues

(Bacharach et al., 2018). Consistent with these behavioral and pharmacological

findings, a recent study shows higher conditioned responding in females compared to

males (Lefner et al., 2022). Females in that study showed lower US-evoked NAc DA

responses compared to males during Pavlovian conditioning. This latter result has

relevance for the present findings, which point towards a role for VTA CB1R regulation

of behavioral and dopaminergic cue bias observed in female rats. Future studies

designed to probe sex differences would be needed to determine if the present findings

are sex-specific or not.

Here we examine effects of VTA CB1R signaling on NAc dopamine during

Pavlovian behavior, while prior work examining such effects used instrumental tasks.

Importantly, prior instrumental studies used short, fixed inter trial intervals (10-20 s),

which has implications for CB1R receptor involvement in dopamine neuron activity.

Dopamine is intimately involved in interval timing (Buhusi & Meck, 2005; Mikhael &

Gershman, 2019). Oleson et al. (2012) found that there was larger cue-induced

dopamine release in a fixed interval, which affected more by rimonabant, versus a

variable interval task. Here we used a Pavlovian lever autoshaping task with a much

longer 90s variable intertrial interval. This long and unpredictable interval between trials

may limit our ability to see group differences in CS-evoked NAc DA changes with CB1R

manipulations. Regardless, the present study revealed the greatest rimonabant-induced

decrease in CS-evoked NAc DA were associated with the largest decreases in

Pavlovian sign-tracking. This finding corresponds with our prior work indicating VTA

CB1R signaling gates cue-evoked NAc DA to regulate instrumental motivational

processes (Oleson, Beckert et al. 2012).

Together, our results suggest that both VTA CB1R signaling and NAc core DA

support the maximal expression of sign-tracking behavior. Disrupting VTA CB1R

signaling rebalances behavior away from sign-tracking and towards goal-tracking.

Further, the intra-VTA rimonabant induced shift in behavior of ST rats is related to

decreases in CS- and increases in US-evoked NAc shell dopamine signaling, indicating

835 that VTA CB1R receptors are involved in maintaining NAc representations of the CS-US

836 relationship. Our results suggest that CB1R signaling in the VTA maintains the

837 conditioning-dependent behavioral and NAc DA bias towards cues relative to outcomes

838 in sign-tracking rats, potentially by downregulating reward-related NAc shell DA

839 signaling. Future causal role studies are needed to test the necessity of NAc shell DA

840 for mediating the balance between CS and US directed behaviors in lever autoshaping.

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Figure Legends

Figure 1: *Histological verification of viral and optogenetic fiber placement. **A**,* (Left)
Representative VTA transduction of Halorhodopsin (green). Staining for Tyrosine
Hydryoxylase (TH) is given in red, DAPI in blue. White arrows depict triple overlap.
(Right) Representative image Halorhodopsin terminal expression (green) and DAPI
(blue) in the Nucleus Accumbens. White dotted line indicates boundary of NAc core. ***B**,*
(Top) The extent of viral coronal transduction (in mm) of Halorhodopsin and eYFP in the
VTA. (Bottom) Bilateral optogenetic fiber optic placement in the NAc across the three
tracking groups.
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Figure 2: *VTA-NAc dopamine terminal inhibition selectively reduces approach in sign-*
*trackers. **A**,* After training in PLA, rats were given test sessions with light turned
OFF(top) or ON(bottom) where light was delivered to the NAc core during the 10s cue
period. ***B**,* PavCA score mean ± SEM. ST, GT, and INT acquire individual differences in
conditioned responding in PLA task. * Main effect of Session; % Significant Session x
Tracking interaction. ***C**,* Terminal inhibition of dopamine axons in the NAc significantly
reduces the amount of lever contacts and ***D**,* has no effect on foodcup contacts in Sign-
trackers. * With curved bracket indicates significant Light x Virus interaction. * With
straight brackets indicates significant post-hoc within-subject t-test. ***E**, **F**,* No significant
effects of terminal inhibition in INT rats. ***G**, **H**,* No significant effects of terminal inhibition
in GT rats. ***I**,* Correlation between the change in lever pressing behavior between light
conditions as a function of Halo-expressing rats PavCA scores. ***J**,* Correlation between
the change in lever pressing behavior between light conditions and eYFP-expressing
rats PavCA scores.
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Figure 3: *Intra-VTA CB1R receptor inhibition reduces sign-tracking. **A**,* Coronal sections
(in mm) depicting location of VTA injector tips for rimonabant infusion. ***B**,* PavCA score
mean ± SEM of ST and INT that acquire individual differences in conditioned
responding in PLA task. * Main effect of Session; % Significant Session x Tracking
interaction. ***C**,* Rimonabant significantly decreases PavCA in ST, but not in INT. * with
curved bracket indicates significant Tracking x Treatment interaction. * With straight
bracket indicates significant effect of treatment. ***D**,* ST show a significant reduction in the
probability score and INT show significant increase in probability score. * With curved
bracket indicates significant Tracking x Treatment interaction. * With straight bracket
indicates significant effect of Treatment. ***E**,* In ST, the probability to press is significantly
reduced while poking is increased. In INT, both pressing and poking is significantly
reduced. * Indicates significant effect of Treatment. ***F**,* Latency to collect the pellet after
each trial is not changed in ST but is significantly increased in INT. ***G**,* Total behavior
(presses + pokes) was unaffected by rimonabant in ST but decreased in INT rats. ***H**,* In
ST, the number of lever presses is significantly reduced while poking is increased. In
INT, both pressing and poking is significantly reduced.
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Figure 4: *CS-evoked dopamine increases with sign-tracking behavior across Pavlovian training.* **A**, Placements of fiber optics where the GRAB$_{DA}$ signal was recorded. Black and red fiber placements were used in the analysis of training data whereas only red fibers were used in analysis of Test data. **B**, Example image showing optic fiber placement in the NAc and GRAB$_{DA}$ expression in NAc core. **C**, PavCA mean ± SEM of sign-trackers who show significantly higher presses than pokes throughout training (inset). * Indicates significant within-subjects repeated measures t-test comparing presses vs. pokes. **D**, Mean GRAB$_{DA}$ signal of 22 rats on D1 vs D5 of PLA. **D inset,** the peak height of CS-evoked dopamine is significantly higher than US dopamine on days 2-5 of training. Data from 18 rats, see methods * Significant within-subjects repeated measures t-test comparing CS vs US peak heights. **E,** We calculated the Peak height Difference Score ((CS peak height - US peak height) / CS peak height)) for each animal. A positive score indicates the CS peak is larger than the US peak. There was a significant change in this relationship over training. * Indicates main effect of Session. *F,* Session-by-session correlation between number of lever contacts and CS-evoked peak height for each of the five days of training. Each point represents an individual rat's number of lever contacts and average CS-evoked peak height per session. *G,* Correlation between the change in NAc DA peak height difference score (D5 minus D1) and the change in lever contacts (D5 minus D1) for each rat. *H,* Mean GRAB$_{DA}$ signal of n= 12 rats recorded from the NAc core of D1 (gray) vs. D5 (red) of training. *I,* Mean GRAB$_{DA}$ signal of n=10 rats recorded from the NAc shell of D1 (gray) vs. D5 (red) of training. *J,* Area under the curve (average of D1 and D5) analysis showing differences in region (core vs. shell) and time epoch (CS vs. US) * With curved bracket indicates significant Subregion x Epoch interaction. * With straight bracket indicates significant effect of Epoch.

Figure 5: *Intra-VTA rimonabant primarily affects dopamine encoding of outcomes rather than cues.* **A**, Rimonabant significantly reduces PavCA score in ST rats, as well as preference, latency, and probability scores. * Indicates main effect of Session. *B,* Area under the curve for the 5s CS period vs. 5s US period in animals recorded in NAc core vs. shell under vehicle or rimonabant conditions. * With curved bracket indicates significant Subregion x Treatment interaction. * With straight bracket indicates significant effect of Treatment. **C left,** Mean -SEM shading of GRAB$_{DA}$ signal in the NAc core in vehicle (gray) vs. rimonabant (blue) conditions. **C right,** trial by trial heatmap of average GRAB$_{DA}$ signal in NAc core over vehicle and rimonabant conditions. **D left,** Mean -SEM shading of GRAB$_{DA}$ signal in the NAc shell in vehicle (gray) vs. rimonabant (blue) conditions **D right,** trial by trial heatmap of average GRAB$_{DA}$ signal in NAc shell over vehicle and rimonabant conditions. *E,* Correlation between the change in area under the curve for CS epoch (Rimo minus vehicle) with change in the PavCA score (rimo minus vehicle). *F,* Correlation of the change in area under the curve for US epoch (rimo minus vehicle) with change in the PavCA score (rimo minus vehicle). *G,* US peak height analysis showing differences in region (core vs. shell) and treatment (core vs. shell) * With curved bracket indicates significant Subregion x Treatment interaction. * With straight bracket indicates significant effect of Treatment.

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Figure 6. *CB1R inhibition effect on CS-evoked peak dynamics predict magnitude of*
treatment effect on behavior. **A,** Rimonabant does not change CS-induced peak height
in core or shell. **B,** Correlation of the change in CS-evoked peak height (rimo minus
vehicle) with the change in PavCA score (rimo minus vehicle). **C**, Site of injector tip
placement in the VTA for rimonabant infusion. **D,** GRAB$_{DA}$ expression and photometry
recording location in NAc. Solid lines indicate recording location for NAc Core and
dotted lines indicate location of NAc Shell fibers.

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Figure 7. *CS-evoked dopamine is specific to rewarded lever.* **A**, Histological
placements of photometry fibers in the NAc. Two separate groups of rats were used for
training in 2-lever PLA or Unpaired PLA. 6 rats were run in the 2-lever program and their
fiber placements are indicated by the solid black line. Four rats were run in the unpaired
program, placements indicated with dashed line. **B**, Rats show behavioral discrimination
(presses + pokes) between the CS+ and CS- lever. * Main effect of Session; %
Significant Session x Response interaction. **C, D** The CS-evoked GRAB$_{DA}$ signal is
significantly higher to the rewarded than the unrewarded lever by day 5 of training. *
Indicates main effect of Stimulus. **E,** Rats in the Unpaired condition display low amounts
of behavior towards both the lever or foodcup. *Left inset:* total behavior does not
increase from D1 to D5 suggesting no conditioned behavior has emerged. *Right inset:*
Latency to collect the pellet reduces over training, suggesting rats are still engaged and
food-motivated during the task. * Indicates main effect of Session. **F**, GRAB$_{DA}$
responses to the lever on D1 vs D5 of training: A CS-evoked excitatory response to the
lever is not established. **G**, GRAB$_{DA}$ signal to pellet delivery remains high across
training.

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Figure 1

A.

B.

Figure 2

Figure 3

Figure 4

Figure 5

A. Score vs V, R with PavCA, Preference, Latency, Probability

B. Area Under the Curve vs CS (V, R) and US (V, R) for core and shell

C. NAc Core DA during PLA with intra-VTA vehicle vs rimonabant

D. NAc Shell DA during PLA with intra-VTA vehicle vs rimonabant

E. CS AUC x PCA — $R^2 = 0.01$, $p = 0.737$

F. US AUC x PCA — $R^2 = 0.433$, $p = 0.015$

G. NAc DA US Peak

Figure 6

— VTA injector placement

— Fiber placement

GRAB$_{DA}$ expression

Figure 7

Table 1:

Factor	Degrees Of Freedom	Lever						Foodcup					
		Contact		Latency		Probability		Contact		Latency		Probability	
		F	p	F	p	F	p	F	p	F	p	F	p
Session	(3,156)	59.965	<0.001	33.276	<0.001	35.963	<0.001	3.962	0.009	3.185	0.026	2.427	0.068
Tracking	(2,52)	43.241	<0.001	38.632	<0.001	80.645	<0.001	12.628	<0.001	22.588	<0.001	25.657	<0.001
Virus	(1,52)	1.537	0.221	0.125	0.726	0.875	0.354	0.383	0.539	3.198	0.08	3.831	0.056
Session x Tracking	(6,156)	23.015	<0.001	10.522	<0.001	10.116	<0.001	14.473	<0.001	13.420	<0.01	13.967	<0.01
Session x Virus	(3,156)	1.163	0.326	2.009	0.115	0.762	0.517	0.805	0.493	1.399	0.245	1.343	0.263
Tracking x Virus	(2,52)	0.299	0.743	0.236	0.791	0.182	0.834	1.081	0.347	1.694	0.194	2.306	0.110
Session x Tracking x Virus	(6,156)	0.887	0.506	1.070	0.383	1.078	0.378	0.791	0.578	0.484	0.820	0.470	0.830

Table 2

Effect	Degrees Of Freedom	Lever						Foodcup					
		Contact		Latency		Probability		Contact		Latency		Probability	
		F	*p*	F	*p*	F	*p*	F	*p*	F	*p*	F	*p*
Session	(4,68)	9.649	<0.001	22.185	< 0.001	18.602	< 0.001	7.644	< 0.001	3.610	0.01	4.146	0.005
Tracking	(1,17)	0.763	0.395	0.475	0.500	2.052	0.170	17.49	<0.001	27.433	<0.001	25.23	<0.001
Session x Tracking	(4,68)	1.645	0.173	2.681	0.039	3.673	0.009	9.811	< 0.001	9.049	< 0.001	11.458	< 0.001