Coevolution-based prediction of key allosteric residues for protein function regulation

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Abstract Allostery is fundamental to many biological processes. Due to the distant regulation nature, how allosteric mutations, modifications and effector binding impact protein function is difficult to forecast. In protein engineering, remote mutations cannot be rationally designed without large scale experimental screen. Allosteric drugs have raised much attention due to their high specificity and possibility of overcoming existing drug-resistant mutations. However, optimization of allosteric compounds remains challenging. Here, we developed a novel computational method KeyAlloSite to predict allosteric site and to identify key allosteric residues (allo-residues) based on the evolutionary coupling model. We found that protein allosteric sites are strongly coupled to orthosteric site compared to non-functional sites. We further inferred key allo-residues by pairwise comparing the difference of evolutionary coupling scores of each residue in the allosteric pocket with the functional site. Our predicted key allo-residues are in accordance with previous experimental studies for typical allosteric proteins like BCR-ABL1, Tar

and PDZ3, as well as key cancer mutations. We also showed that KeyAlloSite can be used to predict key allosteric residues distant from the catalytic site that are important for enzyme catalysis. Our study demonstrates that weak coevolutionary couplings contain important information of protein allosteric regulation function. KeyAlloSite can be applied in studying the evolution of protein allosteric regulation, designing and optimizing allosteric drugs, performing functional protein design and enzyme engineering.

Introduction

Allostery commonly refers to one type of distant regulation, that is, a perturbation at one site of a macromolecule can affect the function of another site(*Dokholyan, 2016*), which plays important roles in many biological processes, such as enzyme catalysis(*Tsai et al., 2009*) and signal transduction(*Hilser et al., 2012*). Compared to traditional orthosteric drugs, allosteric drugs have unique advantages, including higher specificity, fewer side effects, etc(*Wenthur et al., 2014*; *Changeux and Christopoulos, 2016*; *Thal et al., 2018*). However, optimization of allosteric molecules faces great challenges as allosteric molecules usually have flat structure-activity relationship (SAR) and higher binding affinity does not always correspond to better activity(*Lindsley, 2014*; *Nussinov and Tsai, 2012*; *Christopoulos, 2002*; *Jimenez et al., 2012*; *Lewis et al., 2008*). In an allosteric pocket, the contribution of each residue to the allosteric effect is different. Bi *et al*. found that the interactions between allosteric molecules and the target protein can be divided into two types, interactions that only contribute to binding, and interactions that contribute to both binding and signaling(*Bi et al., 2013*). Nussinov *et al*. proposed that the atoms of allosteric effectors could be divided into anchor atoms and driver atoms. The anchors docked into the allosteric pockets, which allowed the drivers to perform a "pull" or "push" action(*Nussinov and Tsai, 2014*). Both drivers and anchors showed specific interactions with their host proteins, with the former mainly responsible for the allosteric efficacy and the latter for binding affinity (potency)(*Nussinov et al., 2014*). Therefore, it is important to identify residues in the host protein that form the signaling interactions with allosteric molecules, which we refer as key allo-residues, so that allosteric molecules can be optimized and designed based on these key allo-residues. Unfortunately, identifying key allo-residues remains challenging. Currently available computational methods mainly focused on the prediction of al-

losteric sites(*Ma et al., 2015*; *Song et al., 2017*), allosteric pathways(*Botello-Smith and Luo, 2019*; *Lake et al., 2020*) and key residues in allosteric pathways(*Wang et al., 2020*). Kalescky *et al*. developed the rigid residue scan method to identify key residues for protein allostery, in which multiple molecular dynamics (MD) simulations need to be performed for unbound and bound proteins. As only one residue was regarded as a single rigid body in each simulation, many simulations were necessary, which is computationally expensive and time-consuming(*Kalescky et al., 2015*). Therefore, methods for systematically and rapidly identifying key allo-residues in protein allosteric pockets need to be developed.

During evolution, unrelated residues may evolve independently, while functionally coupled residues coevolve. Coevolution means that when a residue changes, the residues that are structurally or functionally coupled with it will also change accordingly to maintain the overall spatial structure and biological function(*De Juan et al., 2013*). In principle, since homologous sequences record the long-term evolution of a protein family, the coupling pattern between residues can be estimated from multiple sequence alignment(*Reynolds et al., 2011*).

Various methods have been developed to analyze residue-residue coupling during evolution, which greatly expedite the recent progress of protein structure prediction(*Morcos et al., 2011*; *Marks et al., 2011*; *Ekeberg et al., 2013*). Direct coupling analysis (DCA) is one of such approaches that can remove the indirect correlation between residues and reflect the direct coevolution between residues(*Cocco et al., 2013*). DCA mainly uses methods in statistical physics to infer the pairwise coupling J_{ij} between positions, which can explain the observed correlation between residues in a multiple sequence alignment. In structure predictions, only the top couplings in J_{ij} were used(*Morcos et al., 2011*; *Weigt et al., 2009*). Recent studies showed that the weak, non-contact couplings in J_{ij} are significantly important for the prediction of protein function, although they play as noise in predicting structural contacts. Salinas *et al*. proposed that the information of allosteric energy interactions is included in the statistics of multiple sequence alignments, and therefore is part of the entire evolutionary constraints(*Salinas and Ranganathan, 2018*). Russ *et al*. found that the top coupling items in J_{ij} alone cannot effectively reproduce the alignment statistics in the AroQ family or the functional effects of mutations. This implies that protein functions may depend on many weak, non-contact items in J_{ij}. Although there is no simple physical explanation for these weak items at present, they seem to represent the collective global evolution of residues, and further research is needed to reveal the significance of these items(*Russ et al., 2020*). Similar findings

have been reported in the DCA-based prediction of protein-protein interaction, where the quality of prediction depends on many weak couplings(*Bitbol, 2018*).

It was reported that the motions of orthosteric and allosteric sites are highly correlated(*Ma et al., 2016*; *Zhang et al., 2019*), and the regulation between orthosteric and allosteric site is bidirectional(*An et al., 2019*). Here we studied the coevolution between orthosteric and allosteric sites and found that their evolutionary coupling strength is stronger than that between orthosteric and other non-functional pockets. We further performed pairwise comparison of the differences in evolutionary coupling scores of residues in the allosteric pocket with orthosteric pockets to identify key allo-residues. Our predicted key allo-residues are supported by previously reported experimental data, such as the predicted key allo-residues in BCR-ABL1, Tar and PDZ3, as well as by cancer mutations. This coevolution-based key allosteric residue prediction method, KeyAlloSite, could also be used to predict key allosteric residues that can affect the catalytic efficiency of enzymes and are distant from the catalytic site. Taken together, we demonstrated that weak couplings in J_{ij} contain allosteric information and we developed the first systematic and efficient computational method KeyAlloSite to predict key allo-residues. Our study may contribute to the design and optimization of allosteric drugs, the understanding of allosteric regulatory mechanisms and enzyme engineering.

Results

The evolutionary coupling between orthosteric and allosteric sites is stronger

The sequence lengths of the proteins in our data set range from 166 to 788 amino acid residues (Figure 1A), and the number of homologous sequences and effective homologous sequences corresponding to each protein were shown in Figure 1B. For each protein in the data set, we used CAVITY(*Xu et al., 2018*; *Yuan et al., 2013*) to find all the potential ligand binding pockets on the protein surface and explored the evolutionary coupling strength (ECS_{cavity_m}) between the orthosteric and allosteric pocket, as well as all the other pockets. Among the 25 allosteric pockets in the data set, 23 have Z-scores greater than 0.5 (Figure 1C, Supplementary file 2). The probabilities that the known allosteric pockets were ranked in the top 1, top 2 and top 3 of Z-scores were 56.0%, 76.0% and 96.0%, respectively (Figure 1D, Supplementary file 2), indicating that orthosteric and allosteric pocket are more evolutionarily coupled to each other than the orthosteric and other pockets, which can be used to predict potential allosteric pockets. We further analyzed the two

Figure 1. Z-scores of allosteric pockets and probabilities of ranking an allosteric pocket in the top 3. (**A**) The sequence lengths of all proteins in our data set. (**B**) The number of homologous sequences. Neff represents the number of effective homologous sequences obtained under 80% reweighting. (**C**) Z-scores of allosteric pockets on proteins in the data set. Among the 25 allosteric pockets, the Z-scores of 23 allosteric pockets were greater than 0.5. (**D**) The probabilities that the known allosteric pockets were ranked in the top 1, top 2 and top 3.

Figure 1—source data 1. Raw source data for Figure 1.

Figure 1—figure supplement 1. Phylogenetic tree of the androgen receptor.

Figure 1—figure supplement 2. Comparison of evolutionary coupling strength between pockets when all residue pairs and partial residue pairs were used. (**A**) Prediction accuracy of using different numbers of residue pairs. We defined that the criterion for successful prediction is that the Z-score of the allosteric pocket is greater than 0.5. (**B**) The probabilities that the known allosteric pockets were ranked in the top 3 when using different numbers of residue pairs.

Figure 1—figure supplement 2—source data 1. Raw source data for Figure 1-figure supplement 2.

proteins with Z-scores less than 0.5, AR1 and CYP3A4. For AR1, as there were only 108 effective homologous sequences, we speculated that the number of homologous sequences is not enough for evolutionary analysis. The protein sequence based phylogenetic tree of AR1 homologous proteins showed that AR1 located near the tail of the phylogenetic tree (Figure 1-figure supplement 1). This implies that this allosteric function did not exist in the early evolutionary period and the allosteric function may have appeared in the later stage of evolution. Due to the relatively large number of sequences in the early evolutionary period and relatively few sequences in the later stage of evolution, the allosteric signal was weak. As a cytochrome P450 protein, CYP3A4 can bind and catalyze the transformation of a variety of substrates. Sequence alignments showed that several positions in its orthosteric pocket are less conserved, which may lead to the difficulty in allosteric site prediction based on evolutional coupling analysis.

We used human Aurora A kinase that is not included in the data set as a test case to further verify whether the evolutionary coupling strength can be used to predict allosteric sites. Aurora A (AurA, PDB ID: 1OL5) is a Ser-Thr protein kinase that is essential for the cell cycle progression. Its abnormal levels can lead to inappropriate centrosome maturation, spindle formation and enhanced cancer growth(*Toji et al., 2004*). AurA is known to be regulated by two distinct allosteric mechanisms, one is specific protein-protein interaction (PPI), which binds TPX2 to its hydrophobic pocket, and the other is phosphorylation of the activation loop at T288 (pT288)(*Hadzipasic et al., 2020*). We used CAVITY to find all of the potential ligand binding pockets on the surface of AurA, and a total of 12 pockets were found; cavity_3 is the known allosteric PPI pocket, and cavity_2 is the orthosteric pocket. For consistency, we chose residues within 6 Å around the ATP molecule as the orthosteric pocket. Then we calculated the evolutionary coupling strength between the orthosteric pocket and each of the remaining 11 pockets. Cavity_3 ranked the second among the 11 pockets with a Z-score of 1.48 (Supplementary file 3), indicating that the evolutionary coupling strength between the orthosteric and allosteric pockets is indeed stronger. Since phosphorylation mainly occurs on Ser/Thr/Tyr residues, we then calculated the evolutionary coupling strength between the orthosteric pocket and each of the 26 exposed Ser/Thr/Tyr residues and normalized to Z-scores. Among the 26 Ser/Thr/Tyr residues, 10 residues have Z-scores larger than 0.5 and T288 and T287 ranked the 5*th* and the 4*th* with a Z-score of 0.83 and 1.05, respectively (Supplementary file 3). This indicates that KeyAlloSite can also be used to predict post-translational modification (PTM) sites and the predicted Ser/Thr/Tyr residues with Z-scores greater than 0.5 in addition to T288 and T287

are worth further investigation.

To exclude the possible influence of the pocket size, we further checked the dependence of

ECS_{cavity_m} on the number of residues used in the calculation. For allosteric and other pockets

in each protein, we summed the evolutionary coupling strength of the top 200, top 300 and top

400 residue-residue pairs with the highest $FN(i, j)$ corresponding to each pocket as the evolution-

ary coupling strength between each pocket (except for orthosteric pocket) and orthosteric pocket.

When different number of residue-residue pairs were used, the Z-scores corresponding to the evo-

lutionary coupling strength between allosteric and orthosteric pockets in most proteins were still

greater than 0.5, which is weakly different from that when all residue-residue pairs were used (Fig-

ure 1-figure supplement 2). This indicates that the number of residues in the m*th* pocket does

not play important role on the evolutionary coupling strength between pockets. In other words,

ECS_{cavity_m} revealed the intrinsic evolutionary coupling between allosteric and orthosteric pockets.

These results indicate that the evolutionary coupling strength between orthosteric and allosteric

pockets is stronger than that between orthosteric and other pockets, which can be used to predict

potential allosteric pockets.

Coevolution analysis revealed key allo-residues in allosteric pockets

For each protein, we calculated the evolutionary coupling values between the residues in the or-

thosteric and allosteric pockets by ECM, and compared the corresponding pairwise EC values of

the residues in the allosteric pocket. We then calculated the number of significant differences of

each residue in the allosteric pocket and normalized to Z-scores. Finally, residues were predicted

as key allo-residues if their corresponding Z-scores were greater than 0.8 (Supplementary file 4).

For the allosteric pockets, the average number of pocket residues is 43, and the average number of

identified key allo-residues is 8, accounting for 18.6% of all the residues in the allosteric site (Figure

2).

Since the number of homologous sequences is important in coevolution analysis, we selected

7 proteins with a relatively large number of homologous sequences and randomly sampled dif-

ferent numbers of homologous sequences. Since the number of homologous sequences required

might be related to the sequence length of the protein itself, the number of homologous sequences

was divided by the length of the protein to obtain a ratio. Within this ratio, different ratios of ho-

mologous sequences were randomly sampled according to different gradients, and each gradient

Figure 2. The number of predicted key allo-residues. The number of all residues and predicted key allo-residues in allosteric pockets.

Figure 2—source data 1. Raw source data for Figure 2.

Figure 2—figure supplement 1. Random sampling of homologous sequences. For each protein, we randomly sampled different numbers of homologous sequences such as 1L (L: length of protein), 2L, and so on. The ratio refers to the proportion of identical key allo-residues identified by all and different numbers of homologous sequences.

Figure 2—figure supplement 1—source data 1. Raw source data for Figure 2-figure supplement 1.

was repeated 3 times. Then we calculated how many key allo-residues determined by homolo-

gous sequences with different gradients were the same as those determined by all homologous

sequences. Taking the key allo-residues determined by all homologous sequences as references,

we calculated the proportion of the same residues (Figure 2-figure supplement 1). It can be seen

that generally speaking, 7L (± 4L) number of effective homologous sequences are enough to give

good and stable results. If the protein sequence was relatively short, the number of homologous

sequences required could be less; otherwise, more homologous sequences were needed.

The predicted key allo-residues were supported by experimental results

We searched for literatures to see whether the key allo-residues we predicted were experimentally tested before. The first example is tyrosine-protein kinase ABL1 (BCR-ABL1), which is a fusion protein whose constitutive activity can cause chronic myeloid leukemia (CML). Tyrosine kinase inhibitors targeting the ABL1 ATP-binding site, such as imatinib (Gleevec) and nilotinib (Tasigna), significantly improved the overall survival of CML patients(*Kalmanti et al., 2015*; *Miura, 2015*). However, patients may develop drug resistance due to mutations in the ATP-site. The novel fourth generation ABL1 drug, asciminib (ABL001) was developed, which is an allosteric inhibitor that binds to the myristoyl pocket of BCR-ABL1 (Figure 3A). Asciminib was developed from fragment-based drug discovery approach. In the early stage of hit identification, compounds that bind BCR-ABL1 without inhibition activity were found. Among them, hit **4** binds BCR-ABL1 with a K_d of 6 μM. After changing the Cl atom at the para-position of the aniline to the CF_3O- group, hit **5** showed inhibition activity with a slightly weakened K_d of 10 μM compared to hit **4** (Figure 3C)(*Schoepfer et al., 2018*). This shows that the interaction between CF_3O- and BCR-ABL1 is essential for the allosteric signaling and inhibitory activity. This group forms favorable hydrophobic interaction with L359, one of the key allo-residues predicted by our method (Figure 3B). In contrast, there is no favorable interaction between hit **4** and L359. These experimental evidences support that the predicted allo-residue L359 plays key role in allosteric signaling.

In the allosteric pocket of the asciminib binding site which contains 44 residues, we predicted 7 key allo-residues. In addition to L359, R479, V525, Y454, E450, T453 and T364 were also identified as potential key allo-residues. T453 forms favorable hydrophobic interaction with the pyrazole ring in asciminib, and Y454 participates in the water-mediated H-bond with the oxygen atom in asciminib. Previous studies have shown that the conformational state of helix-I is important for functional activity and V525 serves as a good indicator for the conformational change. Functional antagonists binding to the myristoyl pocket can bend helix-I and make the disordered region that V525 locates become ordered(*Schoepfer et al., 2018*; *Jahnke et al., 2010*). This indicates that V525 plays key role in allosterically regulating ABL1 kinase activity. At the same time, it also shows that coevolutionary information can help to capture multiple allosteric functional conformations of proteins(*Morcos et al., 2013*).

Figure 3. Key allo-residues predicted in BCR-ABL1. (**A**) The crystal structure of the kinase domain of BCR-ABL1. The allosteric inhibitor asciminib, represented by sticks, binds to the myristoyl pocket (marine). (**B**) Predicted key allo-residues in the myristoyl pocket. The predicted key allo-residues are represented by marine sticks. One of the predicted key allo-residue L359 forms a favorable hydrophobic interaction with a fluorine atom in asciminib, represented by a red dashed line. Water is represented by a red sphere. (**C**) The structure of fragment-derived hit **4** and hit **5** and the final marketed drug asciminib.

Figure 3—source data 1. Raw source data for Figure 3.

KeyAlloSite correctly identified key allo-residues in other proteins not in the data set

We further tested KeyAlloSite on proteins not included in the data set. The first protein is the *E. coli* aspartate (Asp) chemoreceptor Tar. Tar mediates the chemotaxis of bacteria toward attractants such as Asp, and away from repellents. Tar is a homodimer during transmembrane signaling, and the signals are transmitted from the extracellular region of Tar to the cytoplasmic region through the transmembrane domain(*Mise, 2016*). Bi *et al*. discovered 6 new attractants and 2 new antagonists of Tar by computational virtual screen and experimental study. By comparing the binding patterns of attractants and antagonists, they found that the interactions between the chemoeffectors and Y149 and/or Q152 in Tar are critical for attractant chemotactic signaling(*Bi et al., 2013*).

We chose the holo structure that binds Asp (PDB ID: 4Z9H) for analysis, and used the CAVITY to

identify all potential ligand binding pockets on the surface of chain B. Among the 3 pockets found,

cavity_2 is the pocket where Asp binds, containing 21 residues, which we referred as the allosteric

pocket. Since previous studies proposed that transmembrane signaling is triggered by the relative

piston-like downward sliding of the α4 helix in the periplasmic domain(*Mise, 2016*), we chose the

16 residues (A166-T181) in the C-terminal of the α4 helix as the orthosteric site (Figure 4A). Through

the coevolutionary analysis of residues in the orthosteric and allosteric sites, KeyAlloSite identified

5 key allo-residues in cavity_2, which were I65', F150', Y149', Q152', P153' and T154' (Figure 4B). It

can be seen that our method could predict the key allo-residues Y149 and Q152.

Figure 4. The key allo-residues predicted by our method in Tar and PDZ3. (**A**) The crystal structure of holo-Tar.
Asp is represented by magenta sticks, the allosteric pocket is represented by marine surface, and the salmon
helix is selected as the orthosteric site. (**B**) The key allo-residues predicted at the Asp binding site. The
predicted key allo-residues in the allosteric cavity_2 are represented by marine sticks, among which Y149 and
Q152 are the true key allo-residues that have been confirmed by experiments. Hydrogen bonds are shown as
red dash lines. (**C**) The predicted key allo-residues in PDZ3. The peptide bound to the orthosteric site is
represented by salmon sticks, the allosteric pocket is represented by marine surface, and the predicted key
allo-residues are represented by marine sticks.

Figure 4—source data 1. Raw source data for Figure 4.

231 The second protein is the PDZ3 domain, and its allosteric mechanism has been extensively

232 studied. We selected the crystal structure of PDZ3 binding with a peptide in its orthosteric site

233 for analysis (PDB ID: 1BE9)(*Doyle et al., 1996*). Since the allosteric site of this structure does not

234 bind an allosteric ligand, we used CAVITY to find the potential ligand binding pockets on its surface.

235 Among the three pockets identified, cavity_1 is the orthosteric pocket and cavity_2 contains the

known allosteric sites, which were used for further analysis. KeyAlloSite predicted D306, S409, L302, A347 and L353 as key allo-residues (Figure 4C). Kalescky *et al*. used rigid residue scan to identify residues that are important for the allosteric effect of the PDZ3 domain. In the rigid residue scan, only one residue was regarded as a single rigid body in each molecular dynamics simulation. They proposed that A347 is a "switch residue", which is needed to turn on the allosteric effect(*Kalescky et al., 2015*). Lockless *et al*. used evolutionary data of protein families to measure the statistical coupling between amino acid positions. For the PDZ protein family, they found that there is strong statistical couplings between A347 and L353 and the key residue H372 of the orthosteric site, and verified using thermodynamic mutational studies(*Lockless and Ranganathan, 1999*). Moreover, McLaughlin *et al*. developed a high-throughput quantitative method that can individually replace a residue at each position with every other residue for comprehensive single-mutation studies. Their results showed that mutations of A347 and L353 caused significant functional loss(*McLaughlin et al., 2012*). These evidences all indicate that the key allo-residues A347 and L353 we predicted are important for the protein function by allosteric regulation.

KeyAlloSite identified pathogenetic mutations in human proteins

Previous studies have shown that allosteric mutation, that is, abnormal protein allosteric regulation caused by mutation, is related to pathological processes such as cancer(*Kurochkin et al., 2017*). Shen *et al*. analyzed the dysfunction of allosteric proteins caused by somatic mutations in about 7000 cancer genomes across 33 cancer types, mapped these mutations to allosteric sites, orthosteric sites and other sites in the Allosteric Database, and established the Allo-Mutation dataset(*Shen et al., 2017*). We searched for the somatic mutation data corresponding to the human proteins in our data set from the Allo-Mutation dataset, and found that some of the predicted key allo-residues in 7 of the 15 human proteins were mutated in a variety of cancers (Table 1). Among them, cancers that contain a large number of mutations in key allo-residues are uterine corpus endometrial carcinoma (UCEC) and skin cutaneous melanoma (SKCM). This indicates that the abnormal allosteric regulation caused by the mutation of key allo-residues plays key role in the occurrence and development of cancer. These key allo-residues can affect allosteric signal transduction and thus affect protein functions, suggesting that KeyAlloSite can be used to predict key pathogenetic mutations in proteins.

Table 1. Predicted key allo-residues that were mutated in cancers.

Protein	Gene	Predicted key allo-residues	Mutation	Cancer type
AR1	AR	D732	D732N	SKCM
AR2	AR	M832	M832I	SKCM
PTP-1B	PTPN1	M282	M282T	COAD
CDK2	CDK2	P155	P155H	UCEC
CK2alpha	CSNK2A1	F54; A110	F54C; A110T	UCEC; UCEC, GBM
MAPK14	MAPK14	P191; E192	P191S; P191H; E192Q	SKCM; KIRC; BLCA
MAPK8	MAPK8	E195; M200	E195K; M200I	UCEC; SKCM
CYP3A4	CYP3A4	F219	F219L	UCEC

Mutation: confirmed disease mutations among the predicted key allo-residues; Cancer type: COAD: Colon adenocarcinoma; SKCM: Skin cutaneous melanoma; UCEC: Uterine corpus endometrial carcinoma; GBM: Glioblastoma multiforme; KIRC: Kidney renal clear cell carcinoma; BLCA: Bladder urothelial carcinoma.

KeyAlloSite can also identify key allosteric functional residues of enzymes

Enzyme evolution studies mainly focus on mutations in the substrate binding pocket(*Yi et al., 2011*; *Wu et al., 2013*). However, covariant residues far from the substrate binding site may also play important role in regulating catalysis, which are difficult to identify. Since KeyAlloSite can calculate the functional coupling between residues outside and inside the orthosteric pocket, we wonder whether it can also be used to identify key allo-residues for enzyme activity regulation. We used *Candida antarctica* lipase B (CALB, PDB ID: 1TCA), which has many annotated key functional residues(*Wu et al., 2020*), as a test case. CALB is one of the most widely used biocatalysts in academia and industry that is often applied in acylating kinetic resolution of racemic alcohols and amines and desymmetrization of diols and diacetates, and is robust and easy to express(*Wu et al., 2013*). We selected the 6 angstrom-cutoff orthosteric pocket, and calculated the EC values between each residue outside the orthosteric pocket and the residues in the orthosteric pocket. Then we compared the difference in EC values corresponding to each residue outside the orthosteric pocket, and calculated the significant difference number d_i corresponding to each residue. Finally, the significant difference number d_i was normalized to Z-score. Due to the large number of

Figure 5. KeyAlloSite predicted key allo-residues for enzymes. (**A**) KeyAlloSite predicted key allo-residues for *Candida antarctica* lipase B. Among the predicted residues, the residues that have been annotated by the literature are shown as marine spheres, and the orthosteric pocket is represented by salmon surface. (**B**) KeyAlloSite predicted key allo-residues for *E. coli* chorismate mutase. Experimentally discovered key functional residues of CM are shown as marine spheres, the labels of key allo-residues predicted by KeyAlloSite are shown in marine, and the orthosteric pocket and ligand are represented by salmon surface and sticks.

Figure 5—source data 1. Raw source data for Figure 5.

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residues outside the orthosteric pocket, residues with Z-scores greater than 0.9 were referred as

key allo-residues here. Our method predicted a total of 52 residues from the 296 residues outside

the orthosteric pocket, of which 20 residues have been annotated as functional residues in litera-

ture according to mutagenesis experiments (Figure 5A, Supplementary file 5). For example, A225

ranked the third out of the 52 predicted residues with a Z-score of 3.04, and A225M improves the

catalytic efficiency of the enzyme by about 11 folds(*Wu et al., 2020*). Therefore, our method can

also be used to predict allosteric functional residues that are important for enzyme catalysis, pro-

viding a new computational tool for identifying mutant enzyme with improved catalytic properties.

Russ *et al*. recently used an evolution-based model to design chorismate mutase enzymes (CMs).

They used DCA to learn the constraints for specifying proteins purely from evolutionary sequence

data, and performed Monte Carlo sampling from this model to generate artificial sequences. They

were able to obtain proteins with natural-like catalytic function with sequence diversity. Eight

residues (L40, L41, R44, D50, D83, L92, Q93, H95) at the periphery of the active site were found

to be important for CM catalysis in *E. coli* specific function(*Russ et al., 2020*) (Figure 5B). We wonder

if some of these residues regulate the enzyme function by allostery. We used the 6 angstrom-cutoff

orthosteric pocket and identified 46 residues on the surface of the protein outside the orthosteric

pocket. We calculated the EC values between each of these 46 residues and the orthosteric pocket

297 and compared the difference in EC values of these 46 residues. We then calculated the significant

298 difference number d_i corresponding to each of these 46 residues and normalized to Z-scores. Us-

299 ing the criterion of Z-score > 0.9, we predicted 10 key allo-residues from the 48 residues, which

300 were L86, A9, R44, Q89, E57, E12, L40, D69, R58, and N5. Among them, two residues (R44 and

301 L40) were shown to be important for CM catalysis by Russ *et al*. Russ *et al*. used DCA to show that

302 sequence-based statistical models contain protein function information, while our study used DCA

303 to predict allosteric sites or key allosteric residues based on the significance analysis of evolution-

304 ary coupling between these sites with the orthosteric site. Their study showed that the function of

305 proteins seems to depend on many weak terms in J_{ij}, which lack simple physical interpretations.

306 Our study demonstrated that weak terms in J_{ij} contain information for protein allosteric function

307 evolution and can be used to predict allosteric sites and key allosteric residues.

Discussion

309 Identifying key allosteric residues responsible for allosteric signaling is important for the design and

310 optimization of allosteric drugs, enzyme and protein engineering studies. We developed, KeyAl-

311 loSite, a novel method for predicting allosteric sites and key allo-residues based on the evolutionary

312 coupling model. To the best of our knowledge, this is the first systematic and efficient computa-

313 tional method to predict key allo-residues. Our study demonstrated that orthosteric and allosteric

314 pockets are coupled in protein function evolution. Our predicted key allo-residues are in accor-

315 dance with previously reported experimental studies in the BCR-ABL1, Tar and PDZ3 systems, as

316 well as key cancer mutations. We further showed that KeyAlloSite can be applied to predict key al-

317 losteric residues distant from the catalytic site that are important for enzyme catalysis. Our study

318 also gives a possible physical explanation for the weak couplings in J_{ij}, that is, they may repre-

319 sent allosteric functional couplings. The predicted key allo-residues can help us to understand the

320 mechanism of allosteric regulation, to provide reference and guidance for the rational design and

321 optimization of allosteric drugs and to facilitate enzyme engineering.

322 It should be noted that although we used the three-dimensional structure of proteins and their

323 binding ligands in our analysis, KeyAlloSite can also be applied in cases where no three-dimensional

324 structures are available on condition that a certain number of homologous sequences of the pro-

325 tein under investigation and location of the functional site are known. Along with the rapid progress

326 in recent years, protein structure prediction methods such as AlphaFold(*Jumper et al., 2021*) can

327 be used to predict the protein structure first. At the same time, as our method calculates the

328 evolutionary coupling between any residue of the protein and residues of the orthosteric pocket,

329 KeyAlloSite can be used to predict not only key allosteric residues, but also post-translational mod-

330 ification sites that have functional correlation with orthosteric sites, which will be further studied

331 in the future.

Methods and Materials

The allosteric protein data set

334 We selected allosteric proteins from the "Core Set" of ASBench(*Huang et al., 2015*) and constructed

335 our data set according to the following criteria: (1) the protein functions as a monomer; (2) the

336 corresponding three-dimensional protein structure data should contain both allosteric ligand and

337 orthosteric ligand; (3) the number of effective homologous sequences of the protein should be

338 greater than 100. Finally, 23 allosteric proteins were selected, including 25 known allosteric sites

339 (Supplementary file 1). We collected another two proteins from published literatures, including *E.*

340 *coli* aspartate chemoreceptor Tar (PDB ID: 4Z9H)(*Mise, 2016*) and PDZ3 domain (PDB ID: 1BE9)(*Doyle*

341 *et al., 1996*), as key allo-residues have been reported for these two proteins that can be used for

342 comparative analysis. We used HMMER to search for the homologous sequences of each of the

343 selected allosteric proteins from pfam(*Finn et al., 2015*). Due to the redundancy of homologous

344 sequences, they were reweighted according to the standard of 80% sequence identity to obtain

345 the effective homologous sequences.

The Evolutionary Coupling Model (ECM)

347 We used a global statistical model, the evolutionary coupling model (ECM), which can calculate the

348 direct couplings between residues and remove the indirect couplings. The evolutionary coupling

349 model we used here was mainly based on the work of Marks and her co-workers(*Weinreb et al.,*

350 *2016*; *Ekeberg et al., 2013*). From the multiple sequence alignment of a protein family, we can

351 calculate the observed frequency f_i^a and pairwise co-occurrences f_{ij}^{ab} of residues (a, b) at position

352 (i, j). From this first-order and second-order statistics, we can infer a model to account for the

353 observed statistics optimally, which mainly includes two parameters: single-site propensities $h_i(a)$

354 and direct coevolutionary couplings between residues $J_{ij}(a, b)$. This model defines a probability P

for each protein sequence $a = (a_1, \ldots, a_L)$ of length L:

$$P(a) = \frac{1}{Z} \exp(\sum_{i=1}^{L} h_i(a_i) + \sum_{i=1}^{L-1} \sum_{j=i+1}^{L} J_{ij}(a_i, a_j)) \tag{1}$$

$$Z = \sum_{a} \exp(\sum_{i=1}^{L} h_i(a_i) + \sum_{i=1}^{L-1} \sum_{j=i+1}^{L} J_{ij}(a_i, a_j)) \tag{2}$$

Once the parameters $\boldsymbol{h}$ and $\boldsymbol{J}$ are fitted, the Frobenius norm $FN(i, j)$ of J_{ij} is used to measure the evolutionary coupling strength between the two sites i and j.

$$FN(i, j) = \|J_{ij}\|_2 = \sqrt{\sum_{k} \sum_{l} J'_{ij}(k, l)^2} \tag{3}$$

J'_{ij} was obtained after J_{ij} was centralized.

Since the FN may include bias caused by phylogeny and under-sampling, it can be corrected with average product correction (APC)(*Dunn et al., 2008*). The EC value is the evolutionary coupling score of the two sites.

$$EC(i, j) = FN(i, j) - \frac{(\sum_{i' \neq i} FN(i', j))(\sum_{j' \neq j} FN(i, j'))}{\sum_{i'} \sum_{j' \neq i} FN(i', j')} \tag{4}$$

Evolutionary coupling strength between orthosteric and other pockets

We first performed multiple sequence alignment (Figure 6A) and calculated the evolutionary couplings between residues using the evolutionary coupling model (Figure 6B). We then used the CAV-ITY program(*Xu et al., 2018*; *Yuan et al., 2013*) to identify all the potential ligand binding pockets on the surface of a protein and designated the m*th* pocket as cavity_m. The evolutionary coupling strength ($ECS_{\text{cavity\_}m}$) between the orthosteric pocket and the m*th* pocket is defined as the sum of the coupling strength between the residues in the two pockets. The orthosteric pocket here is defined as all of the residues within 6 Å around the orthosteric ligand. If more than 50% of the residues in the m*th* pocket overlap with the orthosteric pocket, the pocket will be excluded. Otherwise, the overlapping residues between the m*th* pocket and orthosteric pocket will be removed from the m*th* pocket, and the remaining residues will be used to calculate the evolutionary coupling strength between the m*th* pocket and orthosteric pocket.

$$ECS_{\text{cavity\_}m} = \sum_{i \in \text{cavity\_}m} \sum_{j \in \text{orthosteric pocket}} FN(i, j) \tag{5}$$

Finally, we normalized the evolutionary coupling strength of the pockets:

$$Z - score_{\text{cavity\_}m} = \frac{ECS_{\text{cavity\_}m} - \mu_{\text{cavity}}}{\sigma_{\text{cavity}}} \tag{6}$$

μ_cavity is the mean value of the evolutionary coupling strength between the orthosteric pocket and the other pockets, and σ_cavity is the standard deviation of the evolutionary coupling strength.

Identification of key allo-residues

We first calculated the pairwise EC value between one residue $a_i(i = 1, 2, ..., N)$ in the allosteric pocket and one residue $b_j(j = 1, 2, ..., M)$ in the orthosteric pocket. An $N \times M$ matrix $\boldsymbol{E}$ was obtained, and each element E_{ij} in the matrix $\boldsymbol{E}$ represents the EC value between residues in the allosteric and orthosteric pockets (Figure 6C-D). The allosteric pocket here refers to the allosteric pocket found by the CAVITY. In rare cases, if the CAVITY does not find the known allosteric pocket, all of the residues within 8 Å around the allosteric ligand are used as the allosteric pocket. After that, the difference of EC values corresponding to each residue in allosteric pocket was pairwisely compared by using the student's t-test (α= 0.05), i.e. whether there was a difference between the mean values of each two rows of matrix $\boldsymbol{E}$. The result of the comparison was expressed by $C_{mi}(m = 1, 2, ..., N, i = 1, 2, ..., N)$, if there was a significant difference, C_{mi} was assigned a value of 1, if there was no significant difference, C_{mi} was assigned a value of 0. Finally, an $N \times N$ matrix $\boldsymbol{C}$ was obtained, and each element in $\boldsymbol{C}$ indicates whether there was a difference between the residues in the allosteric pocket (Figure 6E). On this basis, by adding up each column of $\boldsymbol{C}$, we could get the number of significant differences $d_i(i = 1, 2, ..., N)$ between each residue and the remaining residues in the allosteric pocket, i.e. the number of significant differences corresponding to i*th* residue (Figure 6F).

$$d_i = \sum_{m=1}^{N} C_{mi} \tag{7}$$

Finally, we normalized the number of significant differences of the residues:

$$Z - score_{\text{residue}\_i} = \frac{d_i - \mu_\text{residue}}{\sigma_\text{residue}} \tag{8}$$

μ_residue is the mean value of the number of significant differences of the residues, and σ_residue is the standard deviation of the number of significant differences.

In enzyme catalysis, in addition to residues in the active site, distant residues may also affect enzyme activity, which could not be rationally designed in enzyme engineering. We systematically studied the effect of residues outside of the active site on enzyme catalysis. We calculated the evolutionary coupling value between each residue outside the orthosteric pocket and residues in the orthosteric pocket (i.e. the rows in matrix $\boldsymbol{E}$ are all residues outside the orthosteric pocket here).

Figure 6. Steps to identify key allo-residues. (**A**) Multiple sequence alignment. (**B**) Evolutionary coupling analysis. (**C-D**) Calculation of the EC values between residues in allosteric and orthosteric pockets. (**E**) Pairwise compared the difference of EC values corresponding to residues in allosteric pocket. (**F**) The number of significant differences corresponding to each residue in allosteric pocket.

Using the same steps as above, we determined the number of significant differences d_i for each residue outside the orthosteric pocket and normalized it to Z-score.

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Competing interests

The authors declare no competing interests.

Author contributions

Juan Xie, Investigation, Conceptualization, Data curation, Methodology, Formal analysis, Visualization, Writing – original draft preparation, Writing – review editing; Weilin Zhang, Conceptualization, Methodology, Investigation, Formal analysis; Xiaolei Zhu, Methodology, Writing – review editing; Minghua Deng, Conceptualization, Formal analysis, Methodology; Luhua Lai, Conceptualization, Methodology, Writing – review editing, Supervision, Funding acquisition.

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Supplementary files

•Supplementary file 1. Information of the allosteric proteins in the data set.

•Supplementary file 2. List of the Z-scores and ranking of allosteric pockets in the data set.

•Supplementary file 3. KeyAlloSite prediction results of Aurora A kinase.

•Supplementary file 4. List of the predicted key allo-residues in allosteric pockets.

•Supplementary file 5. The key allo-residues predicted by our method on *Candida antarctica* lipase B.

Data availability

The data that support the results of this study are in the Supplementary Data including information of the allosteric proteins in the data set (Supplementary file 1); list of the Z-scores and ranking of allosteric pockets in the data set (Supplementary file 2); KeyAlloSite prediction results of Aurora A kinase (Supplementary file 3); list of the predicted key allo-residues in allosteric pockets (Supplementary file 4); the key allo-residues predicted by our method on *Candida antarctica* lipase B (Supplementary file 5); phylogenetic tree of androgen receptor (Figure 1-figure supplement 1); comparison of evolutionary coupling strength between pockets when all residue pairs and partial residue pairs were used (Figure 1-figure supplement 2); and random sampling of homologous sequences (Figure 2-figure supplement 1). The homologous sequences of the proteins in the data set

435 are available in the following GitHub repository: https://github.com/huilan1210/KeyAlloSite. The

436 raw data for all Figures(except Figure 6 and Figure 1-figure supplement 1) presented in this paper

437 are included as source data.

Code availability

439 KeyAlloSite is available at GitHub (https://github.com/huilan1210/KeyAlloSite).

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Figure 1—figure supplement 1. Phylogenetic tree of the androgen receptor.

Figure 1—figure supplement 2. Comparison of evolutionary coupling strength between pockets when all residue pairs and partial residue pairs were used. (**A**) Prediction accuracy of using different numbers of residue pairs. We defined that the criterion for successful prediction is that the Z-score of the allosteric pocket is greater than 0.5. (**B**) The probabilities that the known allosteric pockets were ranked in the top 3 when using different numbers of residue pairs.

Figure 2—figure supplement 1. Random sampling of homologous sequences. For each protein, we randomly sampled different numbers of homologous sequences such as 1L (L: length of protein), 2L, and so on. The ratio refers to the proportion of identical key allo-residues identified by all and different numbers of homologous sequences.