

# 1        **Outbreak of Highly Pathogenic Avian Influenza H5N1 in New England Seals**

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31  
32        **Abstract:** The recent incursion of Highly Pathogenic Avian Influenza A (H5N1) virus into  
33        North America and subsequent dissemination of virus across the continent, has had significant  
34        adverse impacts on domestic poultry, and has led to widespread mortality in many wild bird  
35        species. Here we report the recent spillover of H5N1 into marine mammals in the northeastern  
36        United States, with associated mortality on a regional scale. This spillover is coincident with a  
37        second wave of H5N1 in sympatric wild birds also experiencing regional mortality events. Viral

38 sequences derived from both seal and avian hosts reveal distinct viral genetic differences  
39 between the two waves of infection. Spillover into seals was closely related to virus from the  
40 second wave, and one of eight seal-derived sequences had the mammalian adaptation PB2  
41 E627K.

42 **One-Sentence Summary:** An outbreak of H5N1 in New England seals is the first known  
43 population-scale mammalian mortality event associated with the emerging highly pathogenic  
44 avian influenza clade 2.3.4.4b.

#### 45 **Main Text:**

46 Few questions in infectious disease research are more critical to public health than identifying  
47 how and why pathogens cross species barriers and emerge in mammals. Seals are predominantly  
48 colonial marine mammals that share habitat with coastal waterbirds. Harbor (*Phoca vitulina*) and  
49 gray (*Halichoerus grypus*) seals in the North Atlantic are known to be affected by avian  
50 influenza A virus (IAV) and have experienced prior outbreaks involving seal-to-seal  
51 transmission (1-5). These seal species represent a pathway for adaptation of IAV to mammalian  
52 hosts that has proven to be a recurring event in nature with implications for human health.  
53 Harbor seals have been shown to be particularly susceptible to IAV morbidity and mortality.  
54 Gray seal populations have had milder IAV outbreaks than harbor seals, but also have  
55 measurable influenza antibodies, even during periods of no observed outbreaks or excess  
56 mortality and may represent a reservoir-like host of some influenza subtypes (6, 7).

57 Highly pathogenic avian influenza (HPAI) viruses are of major concern for their pandemic  
58 potential and the socioeconomic impact of agricultural outbreaks. Of special concern are IAV  
59 subtypes H5 and H7 due to the potential to mutate to HPAI. Specifically, the goose/Guangdong  
60 H5 HPAI viruses, which emerged in 1996, are the only HPAI viruses known to be sustained in  
61 wild waterfowl populations (8). Since October 2020, H5N1 HPAI belonging to the  
62 goose/Guangdong H5 2.3.4.4b clade has been responsible for over 70 million poultry deaths  
63 across Africa, Asia, Europe, and North America (9). As of July 2022, the World Organisation for  
64 Animal Health (WOAH) has reported more than 100 wild mammal infections with (H5) clade  
65 2.3.4.4b in many mesocarnivore species including seals and foxes (9-14). Rare human infections  
66 with H5 clade 2.3.4.4b viruses have been reported (15-17). To date, there have been no reports of  
67 onward transmission of H5 clade 2.3.4.4b in mammalian species. Here we report an outbreak of  
68 H5N1 HPAI among New England harbor and gray seals concurrent with a second wave of avian  
69 infections in the region that is of a large enough scale to be categorized as a seal Unusual  
70 Mortality Event (UME).

71 The first North American infections with HPAI clade 2.3.4.4b were from samples collected in  
72 November 2021 in Canada and late December 2021 in the US (18, 19). Phylogenetic analysis  
73 supports at least one incursion of H5 2.3.4.4b via the Atlantic flyway (20, 21). As of July 13,  
74 2022, there have been 126 federally reported wild bird detections in New England (**Fig. 1A,**  
75 **table S1**). Starting on January 1, 2022 avian oropharyngeal and/or cloacal samples were  
76 collected for viral surveillance from wild birds through four wildlife rehabilitation facilities in  
77 Massachusetts. Additionally, opportunistic samples were collected in Maine and Massachusetts  
78 in response to suspicious avian deaths observed on seabird breeding colonies (**Fig. 1A, table S2**).  
79 Materials and methods are available as supplementary materials.

80 We screened samples from 869 individual wild birds representing 73 avian species of concern  
81 for H5 influenza and identified 105 infected birds from 19 species (**Fig. 1B, data S1**). Birds  
82 screened through the rehabilitation centers had no symptom-specific inclusion criteria, enabling  
83 detection of asymptomatic infection and an overview of regional trends. These data show that  
84 New England has experienced two waves of infections in wild birds during 2022. The first wave  
85 peaked in March and was largely represented by raptor mortalities (37% of positives). A second  
86 wave began in June with gulls and eiders being most frequently reported (35.1% and 31.6% of  
87 positives, respectively). Additionally, mortality events affecting seabird breeding colonies  
88 throughout the coastal region were reported during the second wave, with eight islands having at  
89 least one bird test positive for H5.

90 Concurrent with the second wave of avian infections, increased seal strandings and carcasses  
91 found on shore were observed in Maine starting in mid-June. These seal mortalities were  
92 declared by NOAA to be an Unusual Mortality Event retrospective to June 1, 2022 (22).  
93 Through routine surveillance we screened 121 pinnipeds (67 harbor seals, 34 gray seals, 20 harp  
94 seals) between January 20 and July 13, 2022 (**data S1**). Nasal, oral, conjunctival and/or rectal  
95 samples were collected along the North Atlantic coast from Maine to Virginia with no symptom-  
96 specific inclusion criteria. From January through June 14<sup>th</sup>, there were no detections of HPAI in  
97 any of the 92 stranded seals that were tested.

98 On June 21<sup>st</sup>, a juvenile harbor seal that stranded in Wells, Maine was the first case determined  
99 to be positive for HPAI. A juvenile gray seal that stranded in Phippsburg, ME on July 1<sup>st</sup> was the  
100 first of that species positive for HPAI. From June 21<sup>st</sup> through July 13<sup>th</sup>, a total of 15 of 25 harbor  
101 seals and 2 of 4 gray seals with HPAI were detected along the coast of Maine. The HPAI  
102 positive seals were within coastal regions of known and suspected HPAI outbreaks among terns,  
103 eiders, cormorants and gulls (**Fig. 1C,D**). The majority of stranded seals were deceased. Of those  
104 that stranded live, symptoms included respiratory signs with a subset of neurologic cases. The  
105 respiratory tract was the most consistent source of RT-PCR positive sample type from affected  
106 animals (17/18 nasal, 15/17 oral, 7/17 conjunctiva, 6/18 rectal).

107 Influenza A viruses were sequenced directly from samples resulting in 55 avian (53 complete, 2  
108 partial), and 8 seal (all complete) viral genomes from New England (Accession: XXXXXX-  
109 XXXXXX). Sequences were analyzed using the vSNP pipeline ([https://github.com/USDA-  
110 VS/vSNP](https://github.com/USDA-VS/vSNP)) and RAxML to generate a phylogenetic tree and table of single nucleotide  
111 polymorphisms (SNPs). All samples from the second wave of avian infections were genetically  
112 distinct from first wave viruses, and seal-derived viruses clustered with the second avian wave  
113 with strong support (**Fig. 2A**). During the second avian infection wave, the seal derived viruses  
114 clustered closest to eiders, cormorant, gulls and some raptors, all of which had observed  
115 mortality in the New England region during this period. Further resolution of the sub-clades  
116 associated with each wave were not well supported, as viruses in these groups were highly  
117 similar. Therefore, the precise ancestry of the seal-origin viruses should not be overinterpreted.  
118 One highly divergent branch composed of virus from first wave raptors was excluded from the  
119 vSNP analysis but is shown in **figure S1**. Notably, this group includes three second wave terns,  
120 which were part of a mortality event on the breeding grounds on Pond Island, Phippsburg,  
121 Maine.

122 Sequences of virus from four harbor seals clustered with high confidence. These seals share two  
123 rare SNPs, PA:T1275C and NS:T731C, which have not yet been observed in any New England

124 birds (Fig. 2B). PA:T1275C is a silent mutation and is present in 17/857 (2%) of currently  
125 available comparable sequences, including 0/13 mammals. NS:T731C results in amino acid  
126 change NS:I244T. This mutation is present in 36/859 (4.2%) of currently available comparable  
127 sequences, including 2/12 foxes (**table S3, data S1**). The four seals from which these viruses  
128 were detected all originated from different towns and spanned a geographic region of  
129 approximately 30 miles. Of the eight seal-derived sequences, one had the PB2 substitution  
130 E627K that has been associated with mammalian adaptation. This sequence was obtained from  
131 the nasal swab of a deceased juvenile harbor seal in Harpswell, ME on June 28th, 2022, just one  
132 week after the first HPAI detection in seals. PB2:E627K has been observed in 2/849 (0.24%) of  
133 currently available comparable sequences, and these are 2/12 foxes (**table S4, data S1**). None of  
134 the sequences contained previously described PB2 substitution D701N that is also associated  
135 with mammalian adaptation (23). Other nucleotide differences observed in multiple seals are  
136 consistent with second wave avian viruses.

137 Since the initial incursion of HPAI H5N1 2.3.4.4b into North America during the last year, the  
138 virus has spread south and west across the continent, affecting both domestic and wild avian  
139 species, as well as several species of terrestrial mammals, and has now spilled over into marine  
140 mammals in the northeastern US. Mammals have generally been considered dead-end hosts for  
141 HPAI; however, given the extent of new species infections during the 2022 event and the high  
142 proportion of scavenging species affected, further transmission via scavenging or predation is  
143 possible. It is currently unclear if the marine mammal spillover will also be a dead-end  
144 transmission event, but given the early detection of a sequence with evidence of mammalian  
145 adaptation and the extent of mortality already associated with the species, the marine mammal  
146 spillover appears more impactful to these species than the sporadic terrestrial mammal spillovers  
147 observed to date.

148 Unlike other documented spillovers of HPAI H5N1 into terrestrial wild mammals, it is unlikely  
149 that multiple seals acquired virus through predation or scavenging of an infected source, as birds  
150 are not a typical food source for harbor or gray seals (24). Transmission is likely occurring  
151 through either environmental transmission or direct contact between seals, though current data is  
152 unable to distinguish between these two possible routes. Uniquely different from other seal  
153 outbreaks of IAV for which dabbling ducks, including Mallard (*Anas platyrhynchos*) or Blue-  
154 winged Teal (*Anas discors*) have been identified as a possible source host, this H5N1 outbreak  
155 identifies a novel transmission pathway between marine birds and mammals. The assemblage of  
156 host species in the North Atlantic, and the life-history or anthropogenic factors that underlie their  
157 susceptibility, may be critical for predicting future outbreaks in seals with implications for  
158 human health.

159 The spillover into seals in 2022 may be linked to behavior and seasonal ecology of birds and  
160 seals. Colony-associated bird mortality events have been more observed during the  
161 summer/second wave which could create a dense pool of infectious birds and carcasses that  
162 overlap with seal haul-out sites. Given the ongoing detections of HPAI in seabirds (gulls, terns)  
163 and sea ducks (eider) throughout the New England coast, it is possible that virus shed in the feces  
164 of congregated birds may serve as a source of infection for seals via oral, nasal or conjunctival  
165 routes (25). If individual bird-seal spillover events represent the primary transmission route, the  
166 associated seal Unusual Mortality Event suggests that this mode of transmission is occurring  
167 frequently and with a low species barrier for seals.

168 Alternately, seasonal seal behavior, along with extensive exposure to infected birds, may have  
169 resulted in one or more seal infections with onward seal transmission. The data herein include  
170 viruses from four seals that share two unusual mutations which have not yet been observed in  
171 any New England birds. This may be explained by (1) unobserved avian variants in the  
172 population, (2) strong host-specific pressure that selects for these mutations in an infected seal,  
173 or (3) seal-to-seal transmission following one or more spillover infections. As the seal-specific  
174 mutation pattern is only present in half of the seals (4 of 8) and within the same branch, it is  
175 unlikely that host pressure is playing a major role.

176 Migratory waterfowl are natural reservoirs for influenza A viruses, allowing long range and  
177 transcontinental movement of these viruses (26). Marine mammals are known to be susceptible  
178 to a wide range of influenza subtypes that can cause large scale mortality events, or  
179 asymptomatic circulation within the population (6). Harbor seals haul-out in population clusters  
180 for pupping season in May through early June, and perhaps missed the first wave of HPAI in  
181 New England. However, these seals haul-out in even greater numbers and in dense colonies  
182 during molting periods from July-August. During these periods, harbor seals will still travel,  
183 generally within 50km but over 100km from their haul-out sites, potentially allowing  
184 geographical spread of HPAI among the species (27, 28). In addition, harbor and gray seals share  
185 haul-out sites throughout the Gulf of Maine and prior to the gray seal pupping season in early  
186 winter, when large numbers of that species congregate together.

187 Unlike in agricultural settings, outbreaks in wild populations cannot be controlled or managed  
188 well through biosecurity measures or depopulation. This is particularly true of large, mobile  
189 marine species like seals. Colonial wildlife, avian and mammalian, may be particularly impacted  
190 by influenza A viruses and may allow for ongoing circulation between and within species. This  
191 provides opportunity for reassortments of novel strains and mammalian adaptation of virus.  
192 Migratory animals may then disseminate virus over broad geographic regions. The wild interface  
193 of coastal birds and marine mammals is therefore critical for monitoring influenza A viruses of  
194 pandemic potential, particularly in light of the impossibility of replicating such an interface in a  
195 research environment.

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280 Conceptualization: WP, KS

281 Methodology: WP, KS, AGR

282 Investigation: WP, KS, AF, LD, DW, KG, MM, EC, PP, ZM, SE, JT, RG

283 Formal analysis: WP, KS, AG, AGR, ZK, HB, MT, KL

284 Visualization: KS

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291 **Competing interests:** Authors declare that they have no competing interests.

292 **Data and materials availability:** All data are available in the main text or the supplementary  
293 materials.

294 **Supplementary Materials**

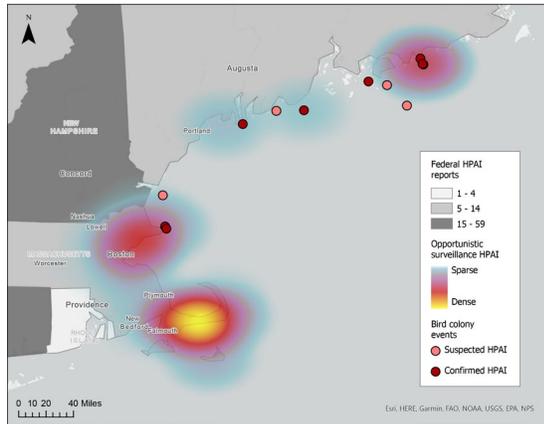
295 Materials and Methods

296 Fig. S1

297	Tables S1 to S4
298	Data S1

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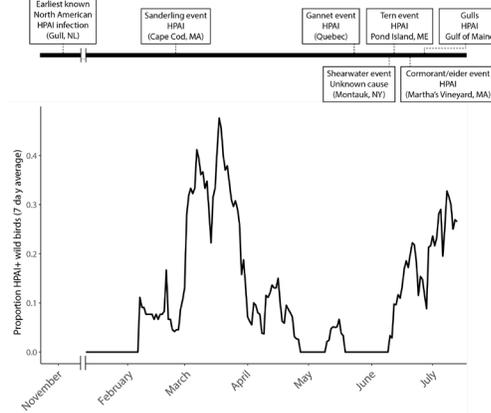


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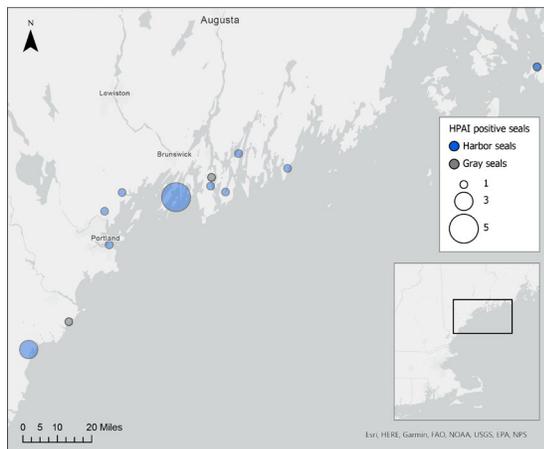
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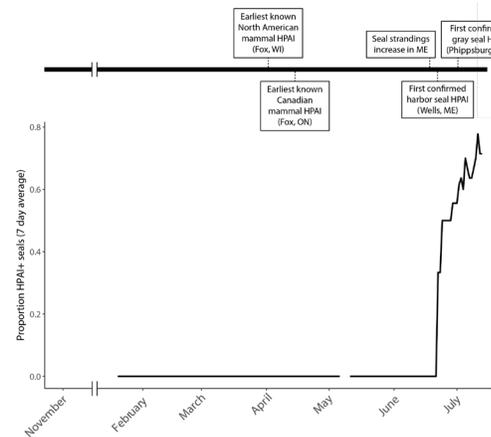
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D



**Fig. 1. Opportunistic surveillance of New England birds and seals for H5N1 HPAI.**

Widespread detection of HPAI in New England began in February 2022. (A) Geographic distribution of observed HPAI in New England birds. Federal reports of HPAI are shown at the state level, shaded in gray. Regional opportunistic surveillance is shown as a heat map where the bird or sample was collected, and bird colonies with suspected or confirmed HPAI mass mortalities are samples shown as dots. (B) Rolling 7-day average of H5 positive birds by RT-PCR from Massachusetts rehabilitation facilities and opportunistic field collection (n=869 unique birds). Two waves of HPAI have been observed in the region to date. A timeline of observed avian mortality events in New England and the Canadian Maritimes during this period illustrates an increased number of population mortality events in the second wave. (C) Location of stranded or deceased HPAI positive harbor seals (blue circles) and gray seals (gray circles). Circles are proportional to the number of animals found at that location. (D) Rolling 7-day average of H5 positive seals by RT-PCR from US Atlantic coast stranded animals (n=121 unique seals). The first detection of HPAI in wild seals was from samples collected in late June (harbor seal) and early July (gray seal).

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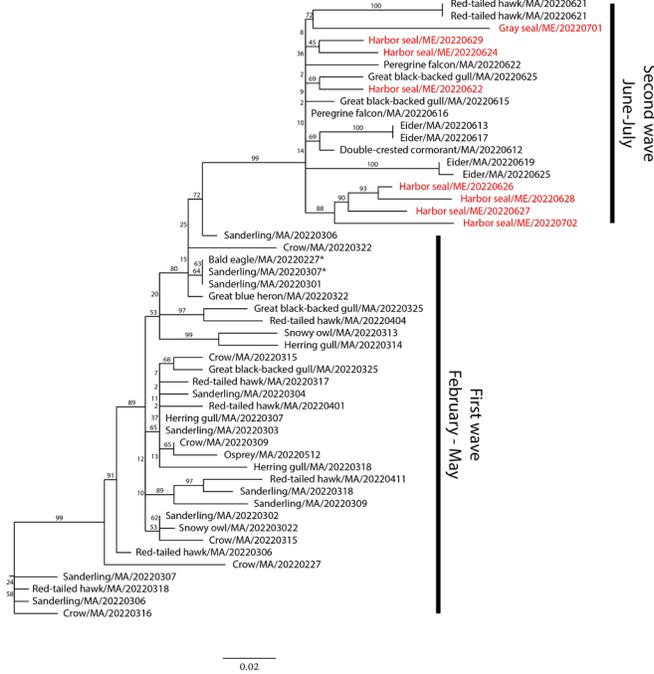
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	PB2	PB1	PA	HA	NP	NA	MP	NS
	161	766	906	454	1085	123	200	731
	1221	2189	1275	1945	2001			
Sanderling/MA/20220301	AG	GA	CTCA	CCC	TG	T	C	GT
Gray seal/ME/20220701	..	AG	T	TG	T	T	..	A
Harbor seal/ME/20220702	..	AG	T	CTG	T	T	..	AC
Harbor seal/ME/20220629	..	AG	..	TG	T	T	..	A
Harbor seal/ME/20220628	..	AG	T	CTG	T	T	..	AC
Harbor seal/ME/20220627	..	AG	T	CTG	T	T	..	AC
Harbor seal/ME/20220626	..	AG	T	CTG	T	T	..	AC
Harbor seal/ME/20220624	..	AG	T	TG	T	T	..	A
Harbor seal/ME/20220622	..	AG	T	TG	T	T	..	A
Great black-backed gull/MA/20220625	..	AG	T	TG	T	T	..	A
Elder/MA/20220625	..	AG	T	TG	T	T	..	A
Peregrine falcon/MA/20220622	..	AG	T	TG	T	T	..	A
Red-tailed hawk/MA/20220621	..	AG	T	TG	T	T	..	A
Red-tailed hawk/MA/20220621	..	AG	T	TG	T	T	..	A
Elder/MA/20220619	..	AG	T	TG	T	T	..	A
Elder/MA/20220617	..	AG	T	TG	T	T	..	A
Peregrine falcon/MA/20220616	..	AG	T	TG	T	T	..	A
Great black-backed gull/MA/20220615	..	AG	T	TG	T	T	..	A
Elder/MA/20220613	..	AG	T	TG	T	T	..	A
Double-crested cormorant/MA/20220612	..	AG	T	TG	T	T	..	A
Osprey/MA/20220512	C	A	..	..	..	..	..	C
Red-tailed hawk/MA/20220411	C	..	..	..	..	..	..	T
Red-tailed hawk/MA/20220404	C	..	..	..	..	..	..	T
Red-tailed hawk/MA/20220401	C	A	..	..	..	..	..	T
Great black-backed gull/MA/20220325	C	..	..	..	..	..	..	T
Great black-backed gull/MA/20220325	C	..	..	..	..	..	..	T
Great black-backed gull/MA/20220325	C	A	..	..	..	..	..	T
Great blue heron/MA/20220322	C	..	..	..	..	..	..	T
Snowy owl/MA/20220322	C	..	..	..	..	..	..	T
Crow/MA/20220321	C	..	..	..	..	..	..	T
Crow/MA/20220321	C	..	..	..	..	..	..	T
Sanderling/MA/20220318	C	..	..	..	..	..	..	T
Herring gull/MA/20220318	C	A	..	..	..	..	..	T
Red-tailed hawk/MA/20220318	C	..	..	..	..	..	..	T
Red-tailed hawk/MA/20220317	C	A	..	..	..	..	..	T
Crow/MA/20220316	C	..	..	..	..	..	..	T
Crow/MA/20220315	C	..	..	..	..	..	..	T
Crow/MA/20220315	C	..	..	..	..	..	..	T
Herring gull/MA/20220314	C	..	..	..	..	..	..	T
Snowy owl/MA/20220313	C	..	..	..	..	..	..	T
Sanderling/MA/20220309	C	A	..	..	..	..	..	T
Crow/MA/20220309	C	A	..	..	..	..	..	T
Herring gull/MA/20220307	C	..	..	..	..	..	..	T
Sanderling/MA/20220307	C	..	..	..	..	..	..	T
Sanderling/MA/20220306	..	T	..	..	..	..	..	T
Red-tailed hawk/MA/20220306	C	..	..	..	..	..	..	T
Sanderling/MA/20220306	C	..	..	..	..	..	..	T
Sanderling/MA/20220304	C	A	..	..	..	..	..	T
Sanderling/MA/20220303	C	A	..	..	..	..	..	T
Sanderling/MA/20220302	C	..	..	..	..	..	..	T
Crow/MA/20220227	C	..	..	..	..	..	..	T

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**Fig. 2. Genetic analysis of New England bird and seal origin H5N1 HPAI.**

Complete and partial genomes (asterisk) of H5N1 HPAI were compared using the vSNP pipeline with Sanderling/MA/20220301 as a reference. All specimens were collected in the New England region from February to July 2022. (A) RAxML was run on vSNP output with bootstrap=10000. Support values are labeled on branches and seal-derived sequences are colored red. (B) Comparative SNP output was separated into seals and birds, sorted in reverse chronological order and subset to relevant positions. Seal-derived sequences are highlighted red. Influenza segments and nucleotide position within segments labeled on top. Consensus with Sanderling/MA/20220301 at a position is symbolized with a dot.