1 A universal influenza mRNA vaccine candidate boosts T-cell responses and reduces 2 zoonotic influenza virus disease in ferrets

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Koen van de Ven¹, Josien Lanfermeijer^{1,2}, Harry van Dijken¹, Hiromi Muramatsu³, Caroline Vilas Boas
de Melo¹, Stefanie Lenz¹, Florence Peters¹, Mitchell B Beattie⁴, Paulo J C Lin⁴, José A. Ferreira⁵, Judith

- 6 van den Brand⁶, Debbie van Baarle^{1,7}, Norbert Pardi^{3*}, Jørgen de Jonge^{1*}
- 7
- ¹Centre for Infectious Disease Control, National Institute for Public Health and the Environment
 (RIVM), Bilthoven, the Netherlands
- ² Center for Translational Immunology, University Medical Center Utrecht, Utrecht, Netherlands
- ³ Department of Microbiology, University of Pennsylvania, Philadelphia, PA, USA
- ⁴ Acuitas Therapeutics, Vancouver, BC V6T 1Z3, Canada.
- ⁵ Department of Statistics, Informatics and Modelling, National Institute for Public Health and the
 Environment (RIVM), Bilthoven, The Netherlands.
- ⁶ Division of Pathology, Faculty of Veterinary Medicine, Utrecht University, Utrecht, Netherlands.
- ⁷ Department of Medical Microbiology and Infection prevention, Virology and Immunology research
- 17 Group, University Medical Center Groningen, Groningen, Netherlands

18 *correspondence should be sent to pnorbert@pennmedicine.upenn.edu and

- 19 jorgen.de.jonge@rivm.nl
- 20
- 21

22 Abstract

- 23 Universal influenza vaccines have the potential to protect against continuously evolving and newly
- 24 emerging influenza viruses. T cells may be an essential target of such vaccines as they can clear
- 25 infected cells through recognition of conserved influenza virus epitopes. We evaluated a novel T cell-
- 26 inducing nucleoside-modified mRNA vaccine that encodes the conserved nucleoprotein, matrix
- 27 protein 1 and polymerase basic protein 1 of an H1N1 influenza virus. To mimic the human situation,
- 28 we applied the mRNA vaccine as a prime-boost regimen in naïve ferrets (mimicking young children)
- and as a booster in influenza-experienced ferrets (mimicking adults). The vaccine induced and
- 30 boosted broadly-reactive T cells in the circulation, bone marrow and respiratory tract. Booster
- 31 vaccination enhanced protection against heterosubtypic infection with potential pandemic H7N9
- 32 influenza virus in influenza-experienced ferrets. Our findings show that mRNA vaccines encoding
- 33 internal influenza virus proteins are a promising strategy to induce broadly-protective T-cell
- 34 immunity against influenza viruses.

35 Introduction

36

37 Influenza viruses infect 5-15% of the world population annually, resulting in approximately 290-650 38 thousands of deaths worldwide [1, 2]. While vaccines mitigate influenza virus-induced morbidity and 39 mortality, the effectiveness of inactivated influenza virus vaccines is insufficient [3-5]. These vaccines 40 mainly induce strain-specific immunity and are therefore limited in their ability to protect against 41 mutated or newly introduced influenza virus strains. Animal-to-human transmissions of influenza A 42 viruses pose a particular risk, as seasonal influenza vaccination does not offer protection against 43 these strains. There are ample examples of influenza viruses crossing the species barrier and causing 44 a pandemic, with the Spanish flu of 1918 as the most dramatic known example [6, 7]. Recent 45 zoonotic transmissions of highly pathogenic avian influenza virus – like H5N1 and H7N9 – have 46 occurred frequently and are associated with high mortality rates [8, 9]. Especially alarming is the 47 recent rise in outbreaks of these viruses on poultry farms and among migrating birds in Europe and 48 other parts of the world [10]. Although human-to-human transmission of these viruses has been 49 limited so far, experimental work indicates that only a few mutations are required to enhance 50 transmission among humans, highlighting their pandemic potential [11-13]. This emphasizes the 51 ongoing threat posed by influenza viruses and the requirement for a broadly-reactive influenza 52 vaccine that protects against all influenza subtypes.

53

54 The narrow protection of inactivated influenza virus vaccines is mainly due to the induction of strain-

55 specific antibodies against the highly variable globular head domain of influenza virus hemagglutinin

56 (HA) [14]. New vaccine concepts strive to provide a wider range of protection by inducing responses

against more conserved protein domains [15]. One way to achieve this is by inducing T-cell

responses, as they can recognize epitopes derived from conserved influenza proteins such as
 nucleoprotein (NP), matrix protein 1 (M1) and polymerase basic protein 1 (PB1) [16-18]. T-cells can

60 clear infected cells and T-cell immunity is associated with improved influenza disease outcome in

61 humans [19-23]. In addition, animal models have confirmed that T cells can protect against

62 heterosubtypic influenza virus infections [24-30]. For these reasons, various new influenza vaccine

63 concepts focus on inducing protective T-cell immunity [14].

64

65 In recent years, lipid nanoparticle (LNP)-encapsulated nucleoside-modified mRNA (mRNA-LNP) has

shown to be a potent novel vaccine format against influenza and other infectious diseases [31, 32].

The potency of the mRNA-LNP platform has been demonstrated by the rapid development and

68 successful world-wide use of mRNA-LNP-based SARS-CoV-2 vaccines [33]. mRNA-LNP induces both T-

69 cell and antibody responses [34-38] and is therefore an interesting platform for novel influenza

vaccines. Additionally, mRNA-LNP vaccines can be rapidly produced and are easily adjusted to new

emerging viral variants [39]. Multiple influenza vaccines based on mRNA-LNP are currently in

development, with promising early results [40-43]. These vaccines, however, primarily focus on

73 inducing humoral responses against HA, without utilizing the potential of T-cell immunity against

74 conserved internal influenza proteins.

75 There is still very limited information about the potential of mRNA-LNP vaccines for inducing 76 broadly-protective T-cell responses against influenza virus infections. We set out to remedy this 77 knowledge-gap by evaluating the immunogenicity and protective efficacy of a novel mRNA-LNP 78 influenza vaccine in a highly relevant ferret model. We have previously shown in ferrets that 79 circulating and respiratory T cells recognize conserved influenza virus epitopes and can protect 80 against heterosubtypic influenza virus infection [25]. Here, we investigated if we could induce and 81 enhance this protective immunity by vaccination with nucleoside-modified mRNA-LNP encoding for 82 three conserved internal proteins of H1N1 influenza virus, NP, M1 and PB1 (mRNA-Flu). To mimic the

83 human situation – which consists of both naïve young children and influenza-experienced individuals

84 – we evaluated mRNA-Flu as a prime-boost regimen in naïve ferrets (a model for naïve children) and

- as a booster in influenza-experienced ferrets (a model for influenza-experienced individuals). Both
- 86 strategies successfully induced and boosted systemic and respiratory T-cell responses, but mRNA-Flu
- 87 vaccination in influenza-experienced ferrets resulted in higher and broader responses. Moreover,
- 88 mRNA-Flu booster immunization reduced disease severity in influenza-experienced ferrets after
- challenge with a potential pandemic avian H7N9 influenza virus, whereas mock-boosted influenza-
- 90 experienced ferrets were not protected. Our results demonstrate that broadly-reactive T-cell
- 91 immunity is boosted by a nucleoside-modified mRNA-LNP vaccine that encodes several internal
- 92 influenza virus proteins. This mRNA-LNP vaccine enhanced protection against heterosubtypic
- 93 influenza infection and is a promising strategy for the development of a universal influenza vaccine.

94 Results

95

96 Study set-up 97 We designed the mRNA vaccine based on the NP, M1, and PB1 proteins of H1N1 influenza virus 98 (mRNA-Flu) since these proteins are highly conserved (Supplemental Table 1) and immunogenic in 99 humans [25, 44]. To model mRNA-Flu vaccination in both naïve and influenza-experienced humans, we followed a prime-boost strategy with different regimens (Fig. 1a). Naïve ferrets were prime-100 101 boosted by intramuscular (i.m.) mRNA-Flu vaccination on days 0 and 42, modelling naïve individuals 102 (group mRNA/mRNA). Another group of ferrets was primed on day 0 by intranasal (i.n.) infection 103 with 10⁶ TCID₅₀ A/California/07/2009 (H1N1) influenza virus followed by booster vaccination with 104 mRNA-Flu on day 42 to mimic vaccination of influenza virus-experienced individuals (group 105 H1N1/mRNA). As a control for this treatment, another group of ferrets received the same priming 106 (H1N1 infection), but a mock booster with mRNA-LNP encoding for firefly luciferase (group 107 H1N1/mock) on day 42. A placebo group that received only phosphate-buffered saline (PBS) as a 108 prime-boost served as a negative control. The positive control consisted of ferrets that were primed 109 by H1N1 infection and boosted with 10⁶ TCID₅₀ A/Uruguay/217/2007 (H3N2) influenza virus, as a 110 secondary heterosubtypic influenza infection is a very potent booster of T-cell responses (group 111 H1N1/H3N2) [25]. Blood was collected at 0, 14, 42, 56 and 70 days post priming (dpp). Four weeks 112 after the booster (70 dpp), ferrets were euthanized to study systemic and local T-cell responses. 113

114 An mRNA-based T-cell vaccine induces and boosts systemic cellular responses against conserved 115 influenza virus proteins

116 We evaluated the cellular responses induced by mRNA-Flu vaccination by stimulation of peripheral blood mononuclear cells (PBMCs) from immunized ferrets with overlapping peptide pools of H1N1 117 118 NP, M1 and PB1 in IFNy ELISpot assays. A single dose of mRNA-Flu induced cellular responses against 119 NP, but not to M1 and PB1 at 14 dpp (Fig. 1b and Supplemental Fig. 1a). Responses were stronger 120 and broader in H1N1 influenza virus-primed ferrets as they displayed responses against NP, M1 and 121 PB1. The cellular response against NP in mRNA-primed ferrets increased further between 14 and 42 122 dpp, while this response was already contracting in H1N1-primed ferrets. This might be due to the 123 long availability of influenza antigens produced from the mRNA-LNP vaccines after i.m. immunization 124 [45]. 125

mRNA-Flu vaccination at 42 dpp boosted existing cellular responses, irrespective of whether ferrets 126 127 were initially primed with mRNA-Flu or H1N1 influenza (Fig. 1b). At 56 and 70 dpp, NP-specific 128 responses were similar between mRNA/mRNA and H1N1/mRNA ferrets. Responses against M1 and 129 PB1 were still weaker in the mRNA/mRNA group, although they were clearly boosted as 130 approximately half of the animals developed cellular responses after the second vaccination (Fig. 1b and Supplemental Fig. 1b). Importantly, NP-specific cellular responses in mRNA/mRNA and 131 132 H1N1/mRNA ferrets were similarly robust to that measured in H1N1-experienced ferrets boosted 133 with H3N2 influenza virus infection. This finding indicates that nucleoside-modified mRNA-LNP 134 vaccination can be as effective in boosting existing T-cell responses as a heterosubtypic influenza 135 infection. 136 137 Based on the high level of protein conservation of internal influenza virus proteins (>90%;

138 Supplemental Table 1), T cells induced by mRNA-Flu or H1N1-priming should respond against a wide

139 range of influenza viruses. Indeed, cellular responses measured in PBMCs after stimulation with

140 H1N1 peptide pools correlated strongly with responses obtained with peptide pools specific for

141 H2N2 influenza virus (A/Leningrad/134/17/57; Supplemental Fig. 1c). Live virus stimulations

142 confirmed these findings as we observed substantial responses against heterosubtypic influenza

143 viruses H3N2, H5N1 (A/Vietnam/1204/2004) and H7N9 (A/Anhui/1/2013) (Fig. 1c and Supplemental

144 Fig. 1d). In conclusion, immunization with mRNA-Flu induces and boosts a cellular response that is

145 cross-reactive with a wide range of influenza viruses due to targeting conserved influenza virus146 epitopes.

147

The mRNA-based T-cell vaccine induces and boosts cellular responses in the respiratory tract and bone marrow

150 T cells located in the respiratory tract are essential for protection against heterosubtypic influenza virus infections [28, 46]. To determine if mRNA-Flu vaccination is also able to induce and boost T-cell 151 152 responses in the respiratory tract, we assessed cellular immune responses in the bronchoalveolar 153 lavage (BAL) fluid and nasal turbinates (NT) of immunized ferrets by IFNY ELISpot at 70 dpp. Despite i.m. administration, mRNA-Flu induced robust cellular responses against NP in the NT, but not in the 154 155 BAL fluid of mRNA/mRNA ferrets (Fig. 2a, supplemental Fig. 1d). The effect of mRNA-Flu vaccination was even more potent in H1N1-primed ferrets. Vaccination effectively increased NP-, M1- and PB1-156 157 specific T-cell responses in the NT of H1N1/mRNA ferrets relative to H1N1/mock and mRNA/mRNA 158 ferrets. NP-responses in the BAL fluid of H1N1/mRNA ferrets also demonstrated an increase 159 compared to H1N1/mock ferrets. Responses against homologous (H1N1) and heterosubtypic (H3N2, 160 H5N1, H7N9) influenza viruses were also higher in the NT (significant) and BAL (trend) of H1N1/mRNA ferrets compared to mRNA/mRNA and H1N1/mock ferrets. All groups that were 161 162 initially primed intranasally with H1N1 influenza virus displayed stronger cellular responses in the NT 163 than the mRNA/mRNA group, irrespective of whether they received a booster, suggesting that the

- 164 site of priming dictates the response.
- 165

To determine whether mRNA-Flu vaccination also increased absolute T-cell numbers in the
 respiratory tract, we measured cell counts in the NT and BAL by flow cytometry. Compared to
 placebo ferrets, T-cell counts (CD3⁺) in the NT were only significantly increased in H1N1/mRNA and
 H1N1/H2N2 ferrets (Fig. 2b. c and Supplemental Fig. 2a. b). This was primarily due to an increase in

- 169 H1N1/H3N2 ferrets (Fig. 2b, c and Supplemental Fig. 2a, b). This was primarily due to an increase in
- 170 CD8⁺ T cells, since CD4⁺ T-cell counts did not significantly differ from placebo animals. In BAL,
 171 mRNA/mRNA treatment enhanced both CD3⁺ and CD8⁺ T-cell counts compared to placebo ferrets.
- 172 The effect of prime-boost with mRNA-Flu vaccination on T cell numbers in the BAL was less effective
- 173 compared to a single influenza virus infection, as H1N1/mock-treated ferrets displayed higher CD3⁺
- 174 numbers compared to mRNA/mRNA ferrets. To determine if the increased T-cell counts correlated
- 175 with increased IFNγ-responses, we performed a correlation analysis between population counts and
- 176 IFN γ -ELISpot counts induced by H1N1 peptide pool stimulation. CD8⁺ T cell counts showed the
- 177 strongest correlation with IFNy-ELISpot responses, indicating that the IFNy-response in the BAL and
- 178 NT was mainly mediated by CD8⁺ T cells (Supplemental Fig. 3a, b).
- 179

180 We additionally investigated cellular responses by IFNy ELISpot in lungs that were perfused with a 181 saline solution to reduce contamination of lung-derived lymphocytes with circulating lymphocytes. Remarkably, we observed robust cellular responses against NP, but not to M1 and PB1 in the lungs 182 183 of mRNA/mRNA ferrets (Fig. 2d). Responses in the lung of mRNA/mRNA ferrets exceeded those 184 measured in the blood, indicating that it is unlikely that the increase is due to contamination with 185 circulating lymphocytes. In H1N1-primed ferrets, mRNA-Flu vaccination significantly boosted cellular 186 responses against NP and M1 in the lung (group H1N1/mRNA vs H1N1/mock), to levels similar as 187 achieved by a secondary natural infection with influenza virus (group H1N1/H3N2). Cellular 188 responses against heterosubtypic virus stimulations (H3N2, H7N9, H5N1) were however similar 189 between the H1N1/mRNA and mRNA/mRNA groups, indicating that mRNA/mRNA ferrets were not 190 severely hampered by low responses against M1 and PB1 (Fig. 2d and Supplemental Fig. 1d). 191

192 Next, we investigated the presence of T-cell responses in the bone marrow (BM) since it is a

- 193 reservoir for memory T cells [47]. mRNA/mRNA-treatment induced strong T-cell responses against
- 194 NP in the BM (Fig. 2e and Supplemental Fig. 1d). Responses were similarly robust in H1N1/mRNA
- 195 ferrets, while they were modest in H1N1/mock and H1N1/H3N2 ferrets. M1 and PB1 peptide pool

196 responses were low for all groups in the BM, even though these responses were present in other

tissues (Supplemental Fig. 4). The response to homologous (H1N1) and heterosubtypic (H3N2 [not

significant for mRNA/mRNA], H5N1, H7N9) viruses was increased in both the mRNA/mRNA and

H1N1/mRNA groups compared to H1N1/mock ferrets (Fig. 2e and Supplemental Fig. 1d). Together,
 these findings clearly demonstrate that the nucleoside-modified mRNA-LNP influenza T-cell vaccine

201 is able to boost influenza virus-specific T-cell responses in the blood, respiratory tract and BM.

202 Overall, compared to mRNA/mRNA ferrets, cellular responses were broader in H1N1/mRNA ferrets

since they displayed robust M1 and PB1 responses in addition to NP (Supplemental Fig. 4).

204

The mRNA-based T-cell vaccine induces and boosts both CD4⁺ and CD8⁺ T-cell responses in PBMC,
 spleen, lung and bone marrow.

207 To study the T-cell response in more detail, we measured IFNy production of CD4⁺ and CD8⁺ T cells at 208 70 dpp by flow cytometric analysis. We stimulated lymphocytes derived from blood, spleen, lung 209 and BM with an H1N1 peptide cocktail consisting of NP, M1 and PB1 peptide pools. mRNA/mRNA 210 and H1N1/mRNA ferrets possessed significantly more CD8⁺IFNy⁺ T cells in all tissues investigated 211 relative to the placebo and H1N1/mock animals (Fig. 3a, b and Supplemental Fig. 5a, b). In PBMC and 212 lung, H1N1/mRNA ferrets demonstrated significantly stronger CD8⁺ T-cell responses compared to 213 mRNA/mRNA ferrets. Interestingly, the opposite was observed in the BM where mRNA/mRNA 214 ferrets showed the most robust IFNy-response, although this was not significantly stronger 215 compared to H1N1/mRNA ferrets. Importantly, the H1N1 peptide cocktail-induced IFNy-responses in 216 PBMC, spleen and BM of H1N1/mRNA ferrets even exceeded those measured in ferrets boosted by a 217 secondary infection (H1N1/H3N2 ferrets), further demonstrating the potency of the mRNA-Flu 218 vaccine. In comparison to CD8⁺ T cells, CD4⁺ T-cell responses were weaker in most cases and differences between groups were slightly smaller (Fig. 3a, c and Supplemental Fig. 5a, c). Still, mRNA-219 220 Flu vaccination induced CD4⁺ T-cell responses in all investigated compartments of mRNA/mRNA 221 ferrets and significantly boosted CD4⁺ T-cell responses in the blood and BM of H1N1/mRNA ferrets 222 compared to H1N1/mock ferrets.

223

Stimulations with live H1N1 or H3N2 influenza virus yielded similar results to H1N1 peptide cocktail
 stimulations. However, there was a trend that CD8⁺ T-cell responses in PBMC and lungs of

H1N1/H3N2 ferrets were slightly stronger than in H1N1/mRNA ferrets (Fig. 3d). This is in part due to
 T cells that recognize conserved epitopes in proteins other than NP, M1 and PB1. CD4⁺ T-cell
 responses after virus stimulation were comparable to their CD8⁺ T cell counterparts, although CD4⁺
 T-cell responses in the lung could not be interpreted because of high IFNy background-responses in
 placebo animals (Supplemental Fig. 5d). Stimulations with H3N2 virus resulted in weaker CD4⁺ and
 CD8⁺ T cell responses compared to H1N1 virus stimulations (Fig. 3d and Supplemental Fig. 5d), which

- was not observed in the ELISpot assays (Fig. 2). This is likely due to a lower virus-to-cells ratio used
 for H3N2 stimulation in flow cytometry assays.
- 234

235 To investigate if mRNA-Flu vaccination leads to skewing of the T-cell response towards a CD4⁺ or 236 CD8⁺ T-cell phenotype, we calculated the CD8⁺/CD4⁺-ratio within the CD3⁺IFN γ^+ population after 237 H1N1 peptide cocktail or H1N1 virus stimulation. In the tissues investigated, H1N1/mock and 238 H1N1/H3N2 ferrets tended to have an average ratio of 1, demonstrating that IFNy responses were 239 approximately evenly distributed between CD4⁺ and CD8⁺ T cells (Fig. 3e and Supplemental Fig. 5e). 240 Interestingly, in all tissues there was a clear skewing towards a CD8⁺ T-cell response in groups that 241 received mRNA-Flu vaccination. Given the robust CD4⁺ T-cell responses in mRNA-Flu-immunized 242 ferrets, skewing towards a CD8⁺ T cell response is not caused by a low CD4⁺ T-cell response, but by a 243 very strong boosting of the CD8⁺ T-cell response. mRNA-Flu is thus a potent booster of both CD4⁺ 244 and CD8⁺ T-cell immunity.

245

246 H7N9 disease is reduced in influenza-experienced ferrets after booster vaccination

Next, we investigated whether mRNA-Flu vaccination could protect against severe disease caused by
a heterosubtypic avian influenza virus infection. To this end, we immunized ferrets as described
above with the exception of H1N1/H3N2 ferrets and challenged these animals intratracheally (i.t.)
with a lethal dose of 10⁶ TCID₅₀ H7N9 influenza virus four weeks after the booster vaccination (Fig.
At this time, the boosted T-cell response is expected to be in its memory phase, similar to when
(vaccinated) individuals are infected with influenza virus. Ferrets were euthanized five days post

- 253 infection (dpi) to study viral replication and pathology.
- 254

255 Importantly, mRNA-Flu vaccination enhanced protection against H7N9 disease in H1N1-primed 256 ferrets. Weight loss of H1N1/mRNA ferrets was limited to 7% and stabilized 5 dpi, while placebo 257 animals lost more than 17% of bodyweight on average and were still losing weight at 5 dpi (Fig. 4b). 258 mRNA/mRNA ferrets showed mixed results, with weight loss in isolator 1 being similar to placebo 259 (~15%) but less severe in isolator 2 (~11%). Of note, one (out of six) placebo ferrets and three (out of 260 six) mRNA/mRNA ferrets displayed inactivity and severe impaired breathing at 4 dpi and needed to 261 be euthanized due to reaching the human end-points. The mRNA/mRNA group was clearly affected 262 by a cage-effect of unknown origin as all ferrets that reached the humane endpoints were housed in 263 one of the two isolators. The cage effect could not be explained by pre-existing immunity or 264 infection history with other viruses (e.g. influenza virus, Aleutian disease, ferret corona viruses), as 265 these were similar between groups (Supplemental Table 2). The two mRNA/mRNA groups are 266 therefore analyzed together but visualized separately. No cage-effect was present in other 267 treatment groups.

268

269 Weight data were in line with clinical symptoms as H1N1/mRNA-treated ferrets had less difficulty with breathing and were more active compared to other groups at 4 and 5 dpi (Fig. 4c). The height 270 271 and duration of fever was not influenced by prior treatment as all groups displayed similar increases 272 in body temperature (Fig. 4d, Supplemental Fig. 6a). Three animals in the mRNA/mRNA group 273 showed hypothermia starting from 2 dpi and were euthanized at 4 dpi. Viral titers in nose and throat 274 swabs were similar between groups at 2 and 3 dpi (Fig. 4e). By 5 dpi however, viral titers were lower 275 in both H1N1/mRNA and H1N1/mock ferrets when compared to placebo. mRNA/mRNA ferrets gave 276 mixed results. While viral titers in the nose were similar to placebo at all time-points investigated, 277 viral titers in the throat at 5 dpi were significantly lower in surviving mRNA/mRNA ferrets compared 278 to all other groups. We additionally measured viral titers in lung tissue. Differences were small, but 279 H1N1/mRNA ferrets displayed significantly lower viral titers compared to all other groups (Fig. 4f). 280 Viral titers in the trachea were low for all groups, except for the placebo group, indicating that all 281 strategies limited viral replication to some extent.

282

283 Despite the reduced disease severity in H1N1/mRNA ferrets, the lungs showed moderate to severe broncho-interstitial pneumonia, often related to the bronchioles and bronchi which extended to the 284 285 alveoli, irrespective of treatment (Fig. 4g, Supplemental Fig. 6b). However, alveolar edema, 286 hyperplasia of Type II pneumocytes and alveolar damage was somewhat reduced in H1N1/mRNA-287 vaccinated ferrets. When we measured lung weight at 5 dpi as an independent measurement of lung 288 pathology, H1N1/mRNA ferrets had significantly lower lung-weights (Fig. 4h). This indicates that 289 inflammation and the resulting edema was less severe, which is in line with the less impaired 290 breathing we observed in H1N1/mRNA ferrets. From these results, we conclude that nucleoside-291 modified mRNA-LNP influenza booster vaccination in H1N1-experienced ferrets was able to reduce 292 H7N9 disease severity and virus replication.

293

294 Protection against H7N9 influenza virus is likely mediated by cellular responses

- 295 To assess whether enhanced cellular responses during H7N9 influenza virus infection are related to
- the observed disease outcomes, we collected PBMCs at 4 or 5 dpi (depending on when ferrets were
- 297 euthanized) and stimulated cells with H1N1 peptide pools in an IFNy ELISpot assay. Although cellular

298 responses against M1 and PB1 were low before infection (Figure 1b), they became more substantial 299 after infection (Supplemental Fig. 7), suggesting that M1- and PB1-specific T cells may play a role in 300 the observed reduction in H7N9 disease parameters. Differences between groups were difficult to 301 quantify due to the strong responses observed, which reached the upper limit of detection of the 302 IFNy ELISpot assay.

303

304 To exclude the possibility that antibodies against H7N9 influenza virus played a role in the protection 305 against H7N9 infection we measured the level of antibodies in ferret sera before H7N9 infection (70 306 dpp). We did not detect H7N9-specific antibodies by hemagglutination inhibition (HI) and virus 307 neutralization (VN) assays (Fig. 5a, b). We additionally measured antibodies against H7N9 HA (H7), 308 NP and M1 proteins by ELISA as not all influenza virus-specific antibodies can be detected by HI and 309 VN assays. We did not find significant responses against H7, but we measured high antibody titers 310 against NP and M1 (Fig. 5c). We could not investigate PB1-specific antibodies as the recombinant 311 H7N9 PB1 protein was not commercially available. These findings indicate that HA-specific 312 antibodies did not play a role in the disease reduction we observed, but the role of NP-, M1- and 313 possibly PB1-specific antibodies remains to be investigated.

314 Discussion

315

316 The COVID-19 pandemic has shown the enormous potential of the nucleoside-modified mRNA-LNP 317 vaccine platform for inducing protective immune responses against SARS-CoV-2 infection in humans. 318 This success is driving the development of mRNA-LNP vaccines against other infectious diseases, 319 with influenza virus as a prime example. In fact, there are currently multiple mRNA-based influenza 320 vaccines in the clinical phase of development [48]. Most of these vaccines are primarily focused on 321 inducing neutralizing antibodies against the globular head domain of HA, which does not solve the 322 problem of strain-specific immunity mediated by such antibodies. T cells could target a wider range 323 of influenza viruses, but not much is known about the potential of mRNA-LNP vaccines to induce 324 protective influenza-specific T-cell immunity. Here, we utilized a unique ferret model in which we 325 could measure systemic and respiratory T-cell responses to evaluate the protective capacity of a 326 nucleoside-modified mRNA-LNP vaccine encoding three conserved influenza proteins (mRNA-Flu). To 327 our knowledge, this is the first study that provides a detailed evaluation of an mRNA-based influenza 328 vaccine in a relevant animal model of influenza virus infection.

329

To mimic the human situation, we tested a combined nucleoside-modified mRNA-LNP vaccine (mRNA-Flu) encoding the internal influenza proteins NP, M1 and PB1 as a prime-boost strategy in

(mRNA-Flu) encoding the internal influenza proteins NP, M1 and PB1 as a prime-boost strategy in
 naïve ferrets or as a booster in influenza-experienced ferrets. Prime-boost vaccination with mRNA-

Flu resulted in robust, broadly-reactive cellular responses in blood, spleen, lung, NT and BM,

although responses were primarily targeted against NP. mRNA-Flu was even more effective as a

booster vaccination in influenza-experienced ferrets as it enhanced T-cell responses in all tissues

investigated – including the BAL – and also boosted responses against M1 and PB1. To test the

337 protective effect of the induced immune response, we challenged ferrets with avian H7N9 influenza

virus as this strain has repeatedly transmitted from birds to humans and is considered as potentially

pandemic [49]. After challenge, influenza-experienced ferrets that were boosted with mRNA-Flu lost

340 less weight, showed fewer clinical symptoms and their lungs contained less edema compared to

ferrets that did not receive an mRNA-Flu booster vaccination. We did not observe a similar
 protection for ferrets prime-boosted with mRNA-Flu only, which might be due to less robust and

broad T-cell responses in the respiratory tract. Still, these results show that our nucleoside-modified

344 mRNA-LNP T-cell vaccine is a promising candidate to boost broadly-reactive cellular responses and

345 can be used to enhance protection against heterosubtypic influenza viruses.

346

347 To induce a broadly-reactive T-cell response, we developed a vaccine targeting three immunogenic 348 conserved influenza proteins. We have previously shown that both ferrets and healthy human blood 349 donors possess clearly detectable NP-, M1- and PB1-reactive T-cells [25]. In our current experimental 350 model, both a single mRNA-Flu vaccination and H1N1 influenza virus infection elicited NP-specific responses. Responses against M1 and PB1 were weaker, especially in mRNA-Flu-primed animals. 351 352 However, booster vaccination increased M1- and PB1-specific responses in all H1N1-primed ferrets 353 and approximately half of the mRNA/mRNA ferrets developed detectable M1 and PB1-specific 354 responses. Although it is unclear why M1- and PB1-responses were weak initially, these responses 355 substantially increased shortly after H7N9 influenza virus challenge, suggesting that M1- and PB1-356 specific T cells played a role in reducing H7N9 influenza disease. This indicates that it could be 357 beneficial if future mRNA-based influenza vaccines targeted multiple relatively well-conserved 358 internal proteins. This would also safeguard against influenza virus mutations as the virus is less 359 likely to escape from a broad immune response.

360

361 The T cells induced by mRNA-Flu vaccination responded to a wide range of influenza viruses,

362 including seasonal H3N2, pandemic H2N2 and avian H5N1 and H7N9 strains. Previous research has

already shown that T cells are crucial for protection against heterosubtypic infections, especially lung

resident memory T-cells (Trm) [46, 50]. We show that mRNA-LNP vaccination – in contrast to

365 inactivated influenza vaccines [51] - is able to induce T cells residing in the respiratory tract, even 366 when given i.m. Whether these T cells possess a Trm phenotype still remains to be elucidated due to 367 a lack of ferret-specific reagents. The T-cell responses we found in NT and lung after mRNA-Flu 368 prime-boost confirms a previous report of Lackzo et al. who found that i.m. administration of mRNA-369 LNP vaccines induced potent cellular responses in the lungs of mice [52]. The responses we found 370 were not an artefact of circulating lymphocytes as lungs were perfused and cellular responses in the 371 lung were higher than those in the blood, showing that influenza virus-specific T cells accumulated in 372 the lung tissue. Still, responses in the BAL were absent in mRNA-Flu prime-boosted ferrets, indicating 373 that local presentation of antigen and/or inflammation is required for extended tissue-residing 374 cellular immunity. Intranasal administration of mRNA vaccines could potentially enhance protection 375 by also inducing T cells in the BAL and increasing T-cell numbers in the NT, but additional research 376 needs to be performed to overcome the epithelial barrier and to prevent excessive immune 377 activation [53]. Remarkably, mRNA-Flu vaccination boosted cellular responses in the BAL, NT and 378 lungs of H1N1-primed ferrets that reacted not only to NP, but also to M1 and PB1. This is a 379 particularly relevant finding as a large part of the human population has already been naturally 380 exposed to influenza virus. For this group, a single mRNA-LNP immunization administered i.m. might 381 be sufficient to boost respiratory T-cell responses. These findings stress the importance of animal 382 models that reflect the human infection history as pre-existing immunity can clearly influence 383 vaccine responses.

384

mRNA-Flu also induced potent responses in the BM. This might be partly caused by the close
 proximity of mRNA-Flu administration (hind legs) and T-cell isolation from the BM (femur). In fact, T
 cells can be primed in the BM after local antigen presentation [54, 55]. This can be beneficial for the
 longevity of the cellular response as the BM serves as a reservoir for memory T cells [56, 57]. The
 observation that nucleoside-modified mRNA-LNP vaccination is a potent inducer of BM-residing T cell immunity warrants further investigations into the longevity and importance of this response.

391

392 In our study, vaccine-induced T-cell responses consisted of both CD4⁺ and CD8⁺ T cells. Similarly, Freyn et al. found that a single dose of H1N1 NA- or NP-encoding mRNA-LNP induced robust CD4⁺ 393 394 and CD8⁺ T-cell responses in mice [40]. In humans, SARS-CoV-2 mRNA-LNP vaccines also induced 395 both CD4⁺ and CD8⁺ T cells, although the extent to which the vaccines induced CD4⁺ and CD8⁺ T cells 396 differs between studies [34, 58, 59]. We found that the T-cell response after mRNA-Flu booster 397 vaccination was skewed towards a CD8⁺ phenotype. This skewing might be beneficial, as clearing off 398 virus-infected cells is primarily mediated by CD8⁺ T cells [20]. It should be mentioned, however, that 399 we could only measure IFNy responses and we might have missed activated CD4⁺ T cells that 400 responded by producing other typical CD4⁺ cytokines such as TNF- α and IL-2.

401 Besides T cells, the mRNA-Flu vaccine also induced humoral responses against NP, M1 and possibly 402 PB1; antibodies against PB1 could not be measured due to the lack of reagents. We did not find any 403 functional role for NP- and M1-antibodies by HI and VN assays, although these assays primarily 404 detect (neutralizing) anti-HA antibodies. Still, in mice, vaccination with recombinant NP induced 405 potent anti-NP antibodies that protected against severe disease after an influenza virus challenge, 406 but only if these mice also possessed functional T cells [60, 61]. This protection might be mediated 407 by antibody-dependent cell cytotoxicity (ADCC) activity, although it is still uncertain if NP- and M1-408 specific antibodies can facilitate ADCC [62, 63]. Whether ADCC or other effector mechanisms played 409 a role in our study remains therefore unknown. Future serum transfer experiments in ferrets could 410 help in clarifying the exact role of NP-, M1- and PB1-specific antibodies in the protection against 411 influenza virus disease.

412

To evaluate the robustness of T-cell-mediated protective immunity, we utilized a ferret challenge model in which a lethal dose of H7N9 influenza virus was deposited directly into the lungs of animals by intratracheal inoculation. In this way, a large amount of pneumocytes become directly infected and T cells are only granted a short timeframe to become activated and prevent further disease. This robust challenge model is not representative of a normal human exposure. People typically encounter a lower viral load [64] and primarily in the upper respiratory tract, which affords T cells a longer time to establish protective immunity. We thus expect a greater protective effect of the T-cell response upon natural infection doses. The challenge model we used – while not utilizing a natural inoculation route and dose – very well represents the severe pneumonia observed in humans

- 422 hospitalized with H7N9 influenza virus infection, which cannot be achieved with lower infection423 doses and other inoculation routes.
- 424

425 We could not clearly establish whether a prime-boost strategy with mRNA-Flu was protective likely 426 due to a cage effect. Ferrets prime-boosted with mRNA-Flu housed in one isolator showed 427 protection against H7N9 influenza disease similarly to mRNA-Flu-boosted influenza-experienced 428 ferrets. Ferrets in the second isolator however showed more severe symptoms after infection than 429 the placebo animals and needed to be euthanized one day prior to the scheduled termination of the 430 experiment. We did not find differences between the two cages that explain this discrepancy. Both 431 humoral and cellular immune responses were similar, ferrets tested negative for Aleutian disease 432 and showed similar previous exposure to canine distemper virus and ferret corona viruses. For 433 practical reasons, the H7N9 influenza virus challenge was performed on two consecutive days with 434 each treatment group split over both days (see Materials & Methods for details). It is unlikely that 435 differences are due to separate preparation of the inoculum, as all other groups – which were also 436 divided over two days - did not respond differently to the challenge. Additional experiments would 437 be required to clarify if the influenza-specific T-cell response induced by prime-boost vaccination 438 with mRNA-Flu is protective in naïve ferrets.

439

440 In contrast to traditional inactivated influenza virus vaccines, nucleoside-modified mRNA-LNP 441 vaccines can induce both humoral and cellular immunity [34-38]. With the induction of a broadly-442 reactive T-cell response, these vaccines should be less sensitive to antigenic drift and shift that have 443 hampered traditional HA-based vaccines. Furthermore, mRNA-LNP SARS-CoV-2 vaccines perform 444 remarkably well in elderly people [65, 66], while inactivated influenza virus vaccines often have 445 subpar performance with increasing age [67]. mRNA-based influenza vaccines might thus be 446 especially suited to protect this group that is at high risk for influenza-related mortality and 447 morbidity. For these reasons, the nucleoside-modified mRNA-LNP platform is a viable option for the 448 improvement of seasonal influenza vaccination. The inclusion of conserved internal influenza virus 449 proteins could additionally provide protection against potential pandemic influenza viruses, as 450 demonstrated in the current study. To our best knowledge, this is the first study that provides a 451 detailed evaluation of an mRNA-based combined influenza T-cell vaccine in a highly relevant ferret 452 model. We postulate that the nucleoside-modified mRNA-LNP-based influenza vaccine can boost the 453 number of broadly-reactive T-cells to a level that prevents severe disease and death, reducing the 454 impact of future influenza epidemics and pandemics on the society.

455 456

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- 465

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473 Author contributions

- 474 Conceptualization: KvdV, DvB, NP, JdJ
- 475 Methodology: KvdV, JdJ
- 476 Software: JAF
- 477 Formal analysis: KvdV, JvdB
- 478 Investigation: KvdV, JL, HvD, CVBdM, SL, FP
- 479 Resources: NP, HM, MBB, PJCL
- 480 Data curation: KvdV
- 481 Writing original draft: KvdV, JAF
- 482 Writing review & editing: JL, DvB, NP, JdJ
- 483 Visualization: KvdV
- 484 Supervision: JdJ
- 485 Project administration: JdJ
- 486 Funding acquisition: JdJ, NP
- 487
- 488

489 Conflict of interest

- 490 N.P. is named on a patent describing the use of modified mRNA in lipid nanoparticles as a vaccine
- 491 platform. Additionally, N.P. is named on a patent filed on universal influenza vaccines using
- 492 nucleoside-modified mRNA. N.P. has disclosed those interests fully to the University of Pennsylvania,
- 493 and he has in place an approved plan for managing any potential conflicts arising from licensing of
- 494 these patents. M.B.B. and P.J.C.L. are employees of Acuitas Therapeutics.

495 **Materials & Methods**

496

497 Ethics statement

498 The experiment was approved by the Animal Welfare Body of Poonawalla Science Park – Animal 499 Research Center (Bilthoven, The Netherlands) under permit number AVD3260020184765 of the 500 Dutch Central Committee for Animal experiments. All procedures were conducted according to EU 501 legislation. Ferrets were examined for general health on a daily basis. If animals showed severe 502 disease according to the defined end points prior to scheduled termination they would be 503 euthanized by cardiac bleeding under anesthesia with ketamine (5 mg/kg; Alfasan, Woerden, The 504 Netherlands) and medetomidine (0.1 mg/kg; Orion Pharma, Espoo, Finland). Endpoints were scored 505 based on clinical parameters for activity (0 = active; 1 = active when stimulated; 2 = inactive and 3 = active506 lethargic) and impaired breathing (0 = normal; 1 = fast breathing; 2 = heavy/stomach breathing). 507 Animals were euthanized when they reached score 3 on activity level (lethargic), when the combined 508 score of activity and breathing impairment reached 4 or if their body weight decreased by more than 509 20%.

510

511 Cell & virus culture

512 MDCK cells were grown in MEM (Gibco, Thermo Fisher Scientific, Waltham, MA) supplemented with

- 10% fetal bovine serum (FBS; HyClone, GE Healthcare, Chicago, IL), 40 μg/ml gentamicin and 0.01M 513
- 514 Tricin (both from Sigma-Aldrich, Saint Louis, MO). VERO E6 cells were cultured in DMEM (Gibco) 515 supplemented with 10% FBS and 1x penicillin-streptomycin-glutamine (Gibco). A/California/07/2009
- 516 (H1N1), A/Switzerland/97-15293/2013 (H3N2), A/Vietnam/1203/2004 WT (H5N1), A/Anhui/1/2013

517 (H7N9) and H7N9/PR8 reassortant (NIBRG-268, NIBSC code 13/250) influenza viruses were obtained

from the National Institute for Biological Standards and Control (NIBSC, Hertfordshire, England). 518

519 Influenza virus was grown on MDCK cells in MEM medium supplemented with 40 μ g/ml gentamicin,

- 520 0.01M Tricine and 2 μ g/ml TPCK treated trypsin (Sigma-Aldrich). At >90% cytopathic effect (CPE), the
- 521 suspension was collected and spun down (4000x g for 10 minutes) to remove cell debris. H1N1 and
- 522 H3N2 virus was sucrose purified on a discontinuous 10-50% sucrose gradient. Due to BSL-3
- 523 classification of H7N9 and H5N1, the virus was not purified. All virus aliquots were snap-frozen and 524 stored at -80 °C.
- 525

526 mRNA production

NP, M1 and PB1 mRNAs are based on the A/Michigan/45/2015 H1N1pdm virus, which is nearly 527

- 528 identical to A/California/07/2009 (NP = 99.2%, M1 = 98.4% and PB1 = 99.6% conserved). Production
- 529 of mRNAs was performed as described earlier [40, 68]. Briefly, codon-optimized NP, M1, and PB1
- 530 genes were synthesized (Genscript, Piscataway, NJ) and cloned into an mRNA production plasmid.
- 531 T7-driven in vitro transcription reactions (Megascript, Ambion, Thermo Fisher) using linearized
- 532 plasmid templates were performed to generate mRNAs with 101 nucleotide long poly(A) tails.
- 533 Capping of mRNAs was performed in concert with transcription through addition of a trinucleotide
- 534 cap1 analog, CleanCap (TriLink, San Diego, CA) and m1 Ψ -5'-triphosphate (TriLink) was incorporated
- 535 into the reaction instead of UTP. Cellulose-based purification of mRNAs was performed as described
- 536 [69]. mRNAs were then tested on an agarose gel before storing at -20 °C.
- 537
- 538 Lipid nanoparticle formulation of mRNA
- Purified mRNAs were formulated into lipid nanoparticle using a self-assembly process wherein an 539
- 540 ethanolic lipid mixture of an ionizable cationic lipid, phosphatidylcholine, cholesterol, and
- 541 polyethylene glycol-lipid was rapidly combined with an aqueous solution containing mRNA at acidic
- 542 pH as previously described [45]. The ionizable cationic lipid (pKa in the range of 6.0-6.5, proprietary
- 543 to Acuitas Therapeutics, Vancouver, Canada) and LNP composition are described in the patent
- 544 application WO 2017/004143. The average hydrodynamic diameter was ~80 nm with a
- 545 polydispersity index of 0.02-0.06 as measured by dynamic light scattering using a Zetasizer Nano ZS

546 (Malvern Instruments Ltd, Malvern, UK) and an encapsulation efficiency of ~95% as determined547 using a Ribogreen assay.

548

549 Animal handling

63 female ferrets (Mustela putorius furo) aged 12-13 months (Euroferret, Copenhagen, Denmark) 550 551 were delivered three weeks before commencement of the study and were semi-randomly 552 distributed by weight. Ferret throat swabs were screened for SARS-CoV-2 by RT-gPCR as described 553 before [70] and ferret sera was screened for influenza exposure by NP ELISA (Innovate Diagnostics, 554 Grabels, France) and HI. Additionally, ferret sera (ELISA) and swabs (RT-qPCR) were screened for other corona viruses, canine distemper virus and Aleutian disease by the European Veterinary 555 556 Laboratory (EVL, Woerden, the Netherlands). All ferrets tested negative for influenza and SARS-CoV-557 2; four animals displayed low antibody titers against Aleutian disease; all animals possessed titers for 558 CDV-antibodies but tested negative for active infection by RT-qPCR. Ferrets were housed per 3 or 4 559 animals in open cages and received pelleted food (Altromin 5539) and water ad libitum. Animals 560 were visually inspected daily and weighed at least once per 7 days. Light was adjusted to 9.5 hours 561 per day to prevent the ferrets from going into estrous. For influenza infections animals were moved 562 to BSL-3 level isolators. Due to a limited number of isolators, groups that did not receive an infection 563 were kept housed in regular open cages. 14 days after infection the animals were confirmed to be negative for infectious influenza and moved back to regular housing. 564

565

Ferrets that received a (mock) infection were swabbed and weighed at 0, 2, 4, 7, 9 and 14 days after the first and second infection. Vaccinated animals were only swabbed at days 0 and 14 and weighed on days 0, 7 and 14. Blood was collected from the vena cava at 0, 14, 28, 42, 56 and 71 days post priming (dpp). These handlings were performed under anesthesia with ketamine (5 mg/kg). Blood

570 was collected by heart puncture on 70 and 76 dpp. Infections, vaccinations, temperature

571 transponder implantation and euthanasia were performed after anaesthetization with ketamine and

572 medetomidine (0.1 mg/kg). Animals that received a temperature transponder (Star Oddi, Garðabær,

573 Iceland) abdominally received 0.2 ml Buprenodale (AST Farma, Oudewater, The Netherlands) as a

post-operative analgesic. Anesthesia with medetomidine was antagonized with atipamezole (0.25

575 mg/kg; Orion Pharma), but was delayed by 30 minutes in case of infection/vaccination to prevent

- 576 sneezing and coughing.
- 577578 Study outline

578 Study outline
579 The study consisted of five experimental groups: 1) placebo; 2) mRNA/mRNA; 3) H1N1/mock; 4)
580 H1N1/mRNA; and 5) H1N1/H3N2. Each experimental group consisted of 14 (group 1-4) or 7 (group

5) ferrets. For practical reasons the experiment was split into three sub experiments (A, B and CD).

582 All sub-experiments followed the same regime up to day 70 of the experiment, but were started 8

583 days after each other. Sub-experiments A and B both contained groups 1-5 with 3-4 animals/group

and were terminated 70 dpp to study the immune response. Sub-experiment CD contained groups 1-

585 4 with 7 animals/group, split over 2 cages. Sub-experiment CD was again dived into two smaller sub-

experiments (C and D) on 71 dpp, which were challenged with H7N9 on 71 and 72 dpp respectively.

587 Data from the different sub-experiments were visualized and analyzed together.

588

589 On day 0, groups 3-5 were inoculated intranasally (i.n.) with 10^{6} TCID₅₀ H1N1 in 0.1 ml inoculum.

590 Group 1 received PBS in the same manner. Group 2 was administered 250 μl of mRNA vaccine –

591 containing 50 μ g of NP, M1 and PB1 – in their left or right hindleg. On 42 dpp, animals received a

booster treatment. Group 5 was inoculated i.n. with 10⁶ TCID₅₀ H3N2 in 0.1 ml inoculum. Group 1

593 $\,$ was treated similarly but received PBS instead of H3N2 virus. Groups 2-4 were injected with 250 μl

of influenza-mRNA vaccine (groups 2, 4) or Luciferase-mRNA (group 3; 50 μg) in their left or right

- hindleg. At 70 dpp, seven ferrets of each group were euthanized to study the immune response in
- the respiratory tract. The other seven animals (excluding group 5) were challenged intratracheally

(i.t.) with 10⁶ TCID₅₀ H7N9 in 3ml inoculum at 71 or 72 dpp. Five days later, ferrets were euthanized
 to study viral titers and pathology.

599

600 Animals were euthanized by heart puncture and blood and serum was collected. For ferrets in sub-601 experiments A and B, the lungs were perfused as described before [25] and broncho-alveolar lavage 602 (BAL) was collected by flushing the lungs twice with 30ml of room temperature (RT) RMPI1640 603 (Gibco). The BAL fluid was then kept on ice till processing. Lungs, spleen, femur (right leg) and nasal turbinates (NT) were collected in cold RMPI1640 supplemented with 10% FBS and 1x penicillin-604 605 streptomycin-glutamine and stored at +4 °C until processing. For ferrets in sub-experiments C and D, 606 lungs were weighed before the left cranial and caudal lobes were inflated with and stored in 10% 607 formaldehyde for later pathological analysis. Small slices of the right cranial, middle and caudal lobes 608 were put in Lysing matrix A tubes (MP Biomedicals, Irvine, CA) and stored at -80 °C until later 609 virological analysis. The lower part of the trachea was stored in 10% formaldehyde for pathology and 610 1 cm of the middle part of the trachea was stored in Lysing matrix A tubes. 611

- 612 Tissue processing
- Blood was collected in 3.5 ml VACUETTE tubes with clot activator (Greiner, Merck, Kenilworth, NJ)
- and spun down at 4000x g for 10 minutes to isolate serum. Heparin blood was collected in 9 ml
- sodium-heparin coated VACUETTE tubes (Greiner) and diluted 1:1 with PBS (Gibco) for density
- 616 centrifugation on a 1:1 mixture of LymphoPrep (1.077 g/ml, Stemcell, Vancouver, Canada) and
- 617 Lympholyte-M (1.0875 g/ml, Cedarlane, Burlington, Canada). Cells were spun for 30 minutes at 800x
- 618 g, after which the interphase was collected and washed thrice with washing medium (RPMI1640 +
- 619 1% FCS + 1x penicillin-streptomycin-glutamine). Next, cells were resuspended in stimulation medium
- 620 (RPMI1640 + 10% FCS + 1x penicillin-streptomycin-glutamine) and counted using a hemocytometer.
- 621
- 522 Spleen, lung and NT tissue were processed as detailed before [25]. In brief, spleens were
- homogenized in a sieve using the plunger of a 10 ml syringe. The resulting suspension was collected
 while excluding the larger debris and pelleted by centrifugation for 10 minutes at 500x g. The pellet
 was resuspended in 50 ml EDTA-supplemented (2mM) washing medium and transferred over a 100
 µm SmartStrainer (Miltenyi Biotec, Bergisch Gladbach, Germany). The cell suspension was then
 diluted to 90 ml, which was divided into 3x 30 ml and layered on top of 15 ml Lympholyte-M for
- density centrifugation similar to that of blood. All washing steps were performed with EDTA-
- 629 supplemented medium to prevent agglutination of cells.
- 630

Lungs were cut into 5 mm³ cubes and digested in 12 ml of collagenase I (2.4 mg/ml, Merck) and
DNase I (1 mg/ml, Novus Biologicals, Centennial, CO) for 60 minutes at 37 °C while rotating. Samples
were homogenized in a sieve using a plunger, spun down for 10 minutes at 500x g and resuspended
in washing medium. This suspension was transferred over a 70 µm cell strainer (Greiner) and used
for density centrifugation similar to that of the spleen.

- 636
- 637 Nasal turbinates were mashed on a sieve using a plunger and pelleted by spinning for 5 minutes at 638 500x g. The pellet was resuspended in 3 ml collagenase/DNAse solution (similar to lung) and 639 incubated for 30 minutes at 37 °C while rotating. Next, the suspension was directly mashed over a 70 640 μm cell strainer (Greiner) with a plunger and washed twice with 10 ml washing medium. The 641 resulting pellet was resuspended in 6 ml of 40% Percoll (GE Healthcare) and layered on top of 70% 642 Percoll to isolate leukocytes. Samples were spun for 20 minutes at 500x g after which the interphase 643 was collected and washed twice with washing medium. After the final wash, cells were resuspended 644 in stimulation medium and used for ELISpot and FACS. 645
- 646 After collection, 3 ml BAL was used for ELISpot without further processing. The remaining volume 647 was spun down at 500x g for 5 minutes and resuspended in 12 ml FACS buffer (PBS [Gibco]+ 0.5%

BSA [Merck] + 2mM EDTA). The suspension was transferred over a 70 μm SmartStrainer (Miltenyi
Biotec), spun down at 500x g for 5 minutes and resuspended in FACS buffer. This suspension was
used for FACS.

651

Femurs were cleaned from residual tissues and briefly decontaminated with 70% ethanol. The femur
 was then cut on both sides so that the shaft could be flushed with 15 ml of ice-cold RPMI washing
 medium. The suspension was transferred over a 70 μm cell strainer and pelleted by centrifugation

- 655 for 7 minutes at 500xg at 4 °C. Erythrocytes were lysed with ACK lysis buffer after which the
- 656 suspension was spun down, resuspended in washing medium and again transferred over a 70 μm
- cell strainer. The resulting suspension was spun down, resuspended in stimulation medium and usedfor ELISpot and FACS.
- 659
- 660 *Peptide pools*

NP (NR-18976), M1 (NR-21541) and PB1 (NR-18981) H1N1 peptide arrays were obtained through BEI
 Resources, NIAID, NIH. Peptides were supplied as individual aliquots and were pooled in-house after
 dissolving in H₂O, 50% acetonitrile or DMSO depending on the solvability. The merged peptide suspension was then aliquoted and speed-vacced for 48 hours to reduce the volume. Vials were
 stored at -80 °C.

666

H2N2 peptide pools were based on A/Leningrad/134/17/1957 and were custom ordered from JPT
Peptide Technologies GmbH (Berlin, Germany). Each pool contained 15 amino acid long peptides
with an overlap of 11 amino acids spanning the entire protein of NP, M1 or PB1. Peptides were
synthesized as reported before [25]. HIV-1 Con B gag motif peptide pool (JPT) served as a negative

671 control for our assays and was handled in the same way as the H2N2 peptide pools.

672

Before use, H1N1 and H2N2 peptide pools were dissolved in DMSO, aliquoted and stored at -20 °C. On the day of use, peptide pool aliquots were thawed and diluted with stimulation medium. The peptide pool suspension was added to cells, such that a final peptide concentration of 1 μ g/ml per pentide with a DMSO concentration of less than 0.2% was achieved

- 676 peptide with a DMSO concentration of less than 0.2% was achieved.
- 677 678 ELISpot

679 Pre-coated Ferret IFNy ELISpot (ALP) plates (Mabtech, Nacka Strand, Sweden) were used according to the manufacturers protocol. Lymphocytes were stimulated with live virus (MOI 100 for H3N2; 680 681 MOI 1 for H5N1; MOI 0.1 for H1N1 and H7N9) or peptide pools (1 µg/ml) in ELISpot plates at 37 °C. 682 Per well, 250K cells (PBMC), 400K cells (BM), 62.5K cells (lung lymphocytes) or undiluted cell 683 suspension (BAL, nasal turbinates) was added. On day 56 – 2 weeks after booster vaccination – 125K 684 PBMCs were used for viral stimulations due to high cellular responses. After 20 hours the plates were developed according to the manufacturers protocol, with the modification that the first 685 686 antibody staining was performed overnight at 4 °C. Plates were left to dry for 2-3 days after which 687 they were packaged under BSL-3 conditions and heated to 65 °C for 3 hours to inactivate any 688 remaining infectious influenza particles. Analysis of ELISpot plates was performed using the 689 ImmunoSpot[®] S6 CORE (CTL, Cleveland, OH).

- 690
- 691 *Flow cytometry cell counts*

692 BAL and NT samples were stained in 96-wells plates using the FoxP3 / Transcription factor staining

buffer set (eBioscience, Thermo Fisher). Cells were stained with α -CD4-APC (02, Sino biological,

694 Beijing, China), α-CD8a-eFluor450 (OKT8, eBioscience), α-CD14-PE (Tük4; Thermo Fisher) and Fixable

695 Viability Stain 780 (BD, Franklin Lakes, NJ) in 100 μl for 30 minutes at 4°C. Samples were then

washed twice with 150 μl FACS buffer, followed by fixation with 100 μl fixative from the FoxP3

 $\label{eq:staining} staining kit for 20 minutes at RT. Next, samples were washed twice with 150\,\mu l\,1x\,permeabilization$

 $\,$ 698 $\,$ buffer (FoxP3 staining kit). After the second wash, samples were stained with 100 μl

permeabilization buffer containing α-CD3e-FITC (CD3-12, Bio-Rad, Hercules, CA) for 30 minutes at 4
°C. Samples were then washed twice with 150 µl 1x permeabilization buffer and once with 150 µl
FACS buffer. After the last wash, samples were resuspended in 180 µl FACS buffer after which 50 µl
precision count beads (Biolegend, San Diego, CA) were added to BAL and NT samples. Samples were
measured in plates using the high-throughput system of a Symphony A3 system (BD). Data was
analyzed using FlowJo[™] Software V10.6 (BD).

705

706 Flow cytometry – intracellular cytokine staining

707 Lymphocytes derived from blood, lung or BM were stimulated in U-bottom plates with 1-3 million 708 cells/well. Stimulations consisted of medium, H1N1 live virus (MOI 1), H3N2 live virus (MOI 10), an 709 H1N1 peptide cocktail containing peptide pools of NP, M1 and PB1 (1 μ g/peptide/ml), and a HIV 710 peptide pool (1 μ g/peptide/ml) serving as a negative control. Cells were stimulated for 20 (virus, 711 medium) or 6 hours (peptide pools) at 37 °C. During the last 5 hours of stimulation, 1x brefeldin A 712 (Biolegend) was added to each well. Plates were then stored at 4 °C until they were stained the 713 following morning. Staining and acquisition followed the same procedure as detailed above, with the 714 exception that α -CD14-PE was absent in the extracellular staining and instead, α -IFNy-RPE (CC302, 715 MyBioSource, San Diego, CA) was added to the intracellular staining.

716

717 TCID₅₀ determination

718 Nose and throat swabs were collected in 2 ml transport medium containing 15% sucrose (Merck),

719 2.5 μg/ml Amphotericin B, 100 U/ml penicillin, 100 μg/ml streptomycin and 250 μg/ml gentamicin

720 (all from Sigma) and stored at -80 °C. For analysis, swabs were thawed, vortexed, serially diluted and

tested in sextuplicate on MDCK cells. Trachea and lung samples stored in Matrix A tubes were

thawed and 750 μl of DMEM infection medium (DMEM containing 2% FBS and 1x penicillin-

streptomycin-glutamine) was added. Tissues were then dissociated in a FastPrep-24[™] by shaking

twice for 1 minute after which the samples were spun down for 5 minutes at 4000x g. To determine
 viral titers, the supernatant was serially diluted in sextuplicate on MDCK cells. Cytopathic effect

viral titers, the supernatant was serially diluted in sextuplicate on MDCK cells. Cytopathic effect
 (CPE) was scored after 6 days of culturing and TCID₅₀ values were calculated using the Reed &

727 Muench method. Viral titers in virus stocks were similarly tested, but in octuplicate.

728

729 ELISA

Immulon 2 HB 96-well plates (Thermo Fisher) were coated overnight at RT with 100 μ /well recombinant HA (0.5 μ g/ml), NP (0.5 μ g/ml) or M1 (0.25 μ g/ml) protein of A/Anhui/1/2013 (Sino biologicals). The next day, plates were washed thrice with PBS + 0.1% Tween-80 before use. Sera were diluted 1:100 in PBS + 0.1% Tween-80 and then 2-fold serially diluted. Per well, 100 μ l of

diluted sera was added and plates were incubated for 60 minutes at 37 °C. After washing thrice with

735 0.1% Tween-80, plates were incubated for 60 minutes at 37 °C with HRP-conjugated goat anti-ferret
 736 IgG (Alpha Diagnostic), diluted 1:5000 in PBS containing 0.1% Tween-80 and 0.5% Protivar (Nutricia,

Hoofddorp, The Netherlands). Plates were then washed trice with PBS + 0.1% Tween-80 and once

- 737 moordadip, the Nethenands). Frates were then washed the with PBS $\pm 0.1\%$ (ween so and once 738 with PBS, followed by development with 100 µl SureBlueTM TMB (KPL, Gaithersburg, MD) substrate.
- 739 Development was stopped after 10 minutes by addition of 100 μ l 2M H₂SO₄ and OD₄₅₀-values were

740 determined on the EL808 absorbance reader (Bio-Tek Instruments). Individual curves were visualized

via using local polynomial regression fitting with R software v4.1.1 [71]. Antibody titers were

742 determined as the dilution at which antibody responses dropped below background. This

background was calculated as the 'mean + 3 * standard deviation' of the OD₄₅₀ at a 200x (HA, M1) or

744 1600x (NP) serum-dilution of placebo animals.

745746 Hemagglutination inhibition assay

747 Hemagglutination inhibition (HI) titers in ferret sera were determined in duplicate according to WHO

748 guidelines [72]. In brief, sera were heat-inactivated at 56 °C for 30 minutes and treated with

receptor destroying enzyme (Sigma) in a 1:4 mixture (5x dilution of sera). Sera were then two-fold

serially diluted in PBS with and mixed 1:1 with four hemagglutinating units of H1N1 or H7N9 in 96

- wells plates (starting dilution = 1:10). The serum-virus mixture was incubated for 20 minutes at RT,
 followed by the addition of 0.5% turkey red blood cells (bioTRADING) in a 1:1 mixture. Samples were
- 753 incubated for 45 minutes at RT after which agglutination was scored.
- 754

755 Virus neutralization assay

Virus neutralizing (VN) titers were determined as described previously [73] and according to WHO guidelines [72]. Sera were inactivated (30 minutes at 56 °C) and two-fold serially diluted in virus growth medium using a starting dilution of 1:8. Virus at a concentration of 100 TCID₅₀ was added and the mixture was incubated for 2 hours at 37 °C. Next, the virus-serum mixture was transferred to 96 wells plates containing confluent MDCK cells and incubated for another 2 hours at 37 °C after which the medium was refreshed. Plates were incubated until a back titration plate reached CPE at a titer of 100 TCID₅₀ (4-5 days). The 50% virus neutralization titers per ml serum was calculated by the Reed and Muench method [74].

763 764

765 Pathology

Tissues harvested for histological examination (trachea, bronchus and left lung) were fixed in 10%

- $\,767\,$ $\,$ neutral-buffered formalin, embedded in paraffin, sectioned at 4 μm and stained with hematoxylin $\,$
- 768 and eosin (HE) for examination by light microscopy. Semiquantitative assessment of influenza virus-
- associated inflammation in the lung (four slides with longitudinal section or cross-section of cranial
- or caudal lobes per animal) was performed on every slide as reported earlier [75] with few
- modifications: for the extent of alveolitis and alveolar damage we used: 0, 0%; 1, 1–25%; 2, 25–50%;
- 3, >50%. For the severity of alveolitis, bronchiolitis, bronchitis, and tracheitis we scored: 0, no
- inflammatory cells; 1, few inflammatory cells; 2, moderate numbers of inflammatory cells; 3, many
- inflammatory cells. For the presence of alveolar edema and type II pneumocyte hyperplasia we
 scored: 0, 0%, 1, <25%, 2, 25-50%, 3, >50%. The presence of alveolar hemorrhage we scored: 0, no;
- i. 1, yes. For the extent of peribronchial/perivascular edema we scored: 0, no, 1, yes. Finally, for the
- extent of peribronchial, peribronchialar, and perivascular infiltrates we scored: 0, none; 1, one to
- two cells thick; 2, three to ten cells thick; 3, more than ten cells thick. Slides were examined without
- 779 knowledge of the treatment allocation of the animals.
- 780

781 Body temperature, body weight and lung weight

782 Temperature data were retrieved from the implanted temperature loggers and consisted of

- 783 measurements taken every 30 minutes. Baseline temperature was calculated as the average
- temperature in the 5 days before infection. The change in temperature was calculated as deviation
- from baseline (ΔT). The area under the curve (AUC) was calculated as the total ΔT up till 5 dpi. Values
- smaller than 'baseline 2*standard deviation of baseline' were excluded as these often occur due to
- 787 anesthesia. Relative bodyweight and relative lung weight are expressed as a percentage of
- bodyweight or ratio on the day of infection.
- 789
- 790 Data analysis
- 791 All the statistical tests carried out aimed at detecting differences between the distributions of
- responses in two treatment groups (e.g. H1N1/mRNA and placebo), each response pertaining to a
- 793 given stimulus (or measured variable, e.g. body weight) on a given tissue on a given day. The tests
- are based on the 'sum statistic' [76] as implemented in the R package 'coin' [77], in the guise of the
- function 'independence_test', possibly with blocking in the event that some experiments were done
- on different days (in which case the data from the same experiment are collected in the same block),
- and with the (exact) p-values estimated by random permutations. The tests were grouped into
- 798 various themes based on tissue and assay (e.g. all stimulations for lung IFNγ ELISpot), and the
- Benjamini-Hochberg (BH) method [78] was used separately per theme to control the false discovery
 rate (FDR) at the level of 10%. Only the results of the tests that passed through the BH method are

- 801 reported and commented upon in the results section. The overall proportion of spurious results
- 802 (over all the themes) is expected to be at most 10% of all those reported. Tables with the complete
- 803 results of the tests and multiple testing corrections are available as Supplementary data file 1. The
- results reported are illustrated by graphs (e.g. box plots) in the main text or in the online
- 805 supplemental material.
- 806
- 807 IFNγ-ELISpot spot counts, viral titers, serum titers and cell counts were log-transformed for statistical
- testing. We excluded two datapoints of flow cytometry data from data visualization and analysis.
- 809 These datapoints (one in PBMC, one in lung) refer to the percentage IFNy⁺ within CD4⁺ T cells and
- 810 were at least two-times higher than the nearest datapoint. No other data was excluded from
- 811 analysis.

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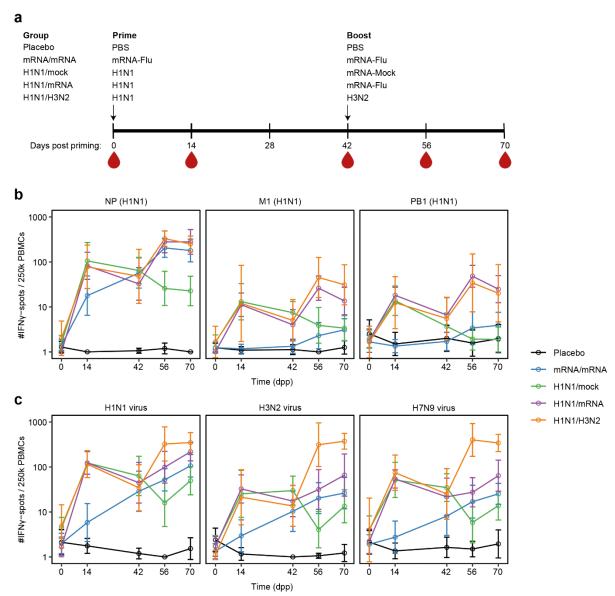
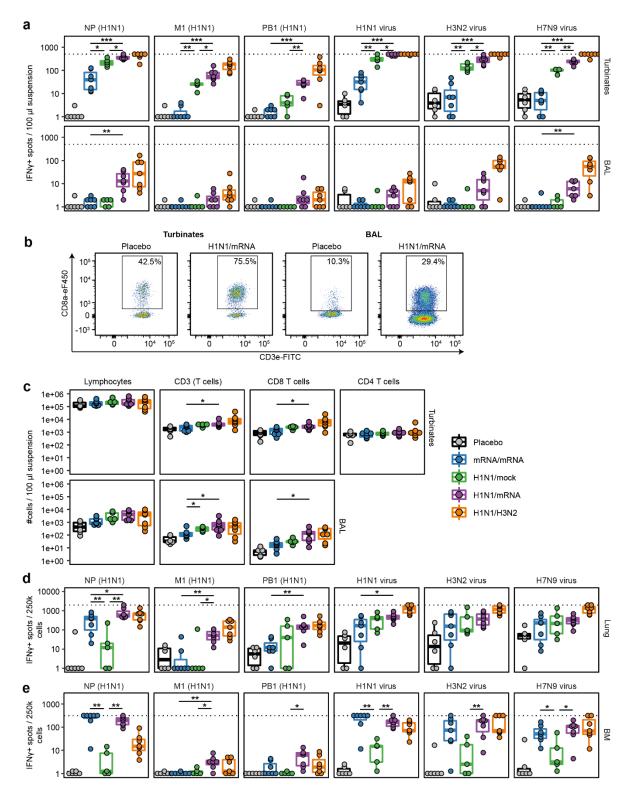




Figure 1: Cellular responses in blood after prime-boost immunization with mRNA-Flu. a) Study layout 813 814 depicting the prime-boost strategy. On day 0, ferrets were primed intranasally with PBS, 10⁶ TCID₅₀ H1N1 815 influenza virus (A/California/07/2009) or primed intramuscularly with mRNA-LNPs encoding for NP, M1 and 816 PB1 (50 µg per mRNA-LNP; mRNA-Flu). Ferrets primed with PBS (group placebo) or mRNA-Flu (group 817 mRNA/mRNA) received the same treatment as booster 42 days post priming (dpp). H1N1-primed ferrets 818 were boosted intramuscularly with mRNA-Flu (H1N1/mRNA-Flu), mRNA-LNP encoding firefly luciferase 819 (50 µg; H1N1/mock) or boosted intranasally with 10⁶ TCID₅₀ H3N2 influenza virus (H1N1/H3N2; 820 A/Uruguay/217/2007). Blood was collected on 0, 14, 42, 56 and 70 dpp. Ferrets were euthanized 70 dpp to 821 study cellular responses in tissues. b, c) Cellular responses measured by IFNy ELISpot after 20 hours 822 stimulation of PBMCs with b) H1N1 NP, M1 and PB1 overlapping peptide pools or c) live influenza viruses 823 H1N1, H3N2 or H7N9 (A/Anhui/1/2013). Data were corrected for medium background and are visualized as 824 geometric mean + geometric standard deviation. n = 7 for H1N1/H3N2 and n = 12-14 for all other groups.

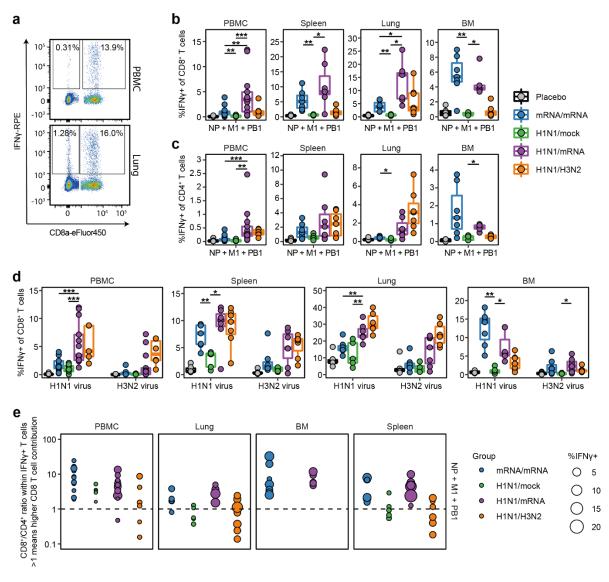
825 Statistics are detailed in Supplemental data file 1.



827 Figure 2: Cellular responses and counts in respiratory compartments and bone marrow of immunized ferrets. a) Cellular responses measured by IFNy ELISpot after 20 hours stimulation with overlapping H1N1 828 829 peptide pools or live influenza virus using cells derived from nasal turbinates and bronchoalveolar lavage 830 (BAL) fluid. b, c) Cell counts in nasal turbinates and BAL as measured by flow cytometry. b) FACS plot 831 displaying the CD8⁺ T cell population in representative turbinate and BAL samples. c) Count of different cell 832 populations per 100 μl of suspension. CD4⁺ T cell counts are not displayed for BAL as the αCD4-APC 833 staining was not consistent between BAL samples. d, e) Cellular responses measured by IFNY ELISpot 834 after 20 hours stimulation with overlapping H1N1 peptide pools or live influenza virus of cells derived from 835 d) lung or e) bone marrow (BM). ELISpot data were corrected for medium background. Boxplots depict the median, 25% and 75% percentile, where the upper and lower whiskers extend to the smallest and largest 836

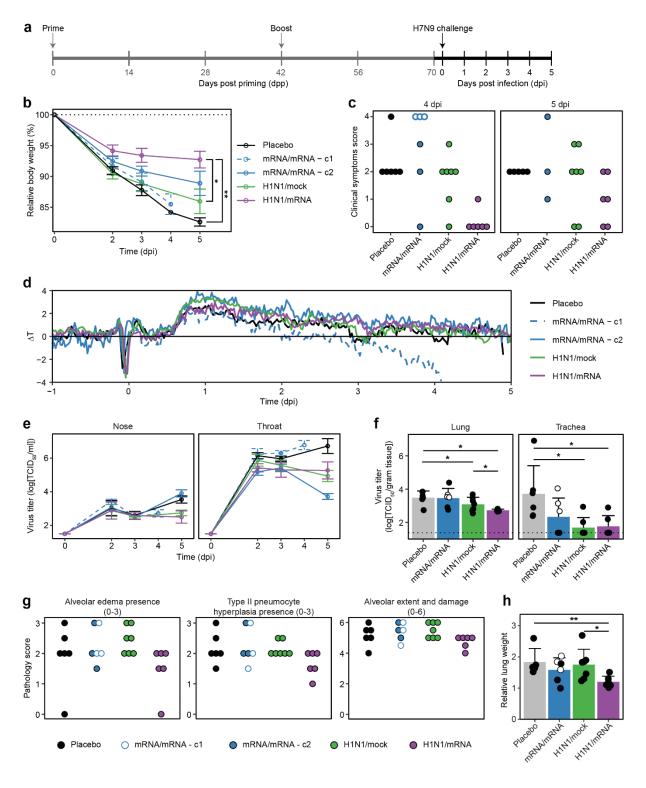
value respectively within 1.5* the inter quartile ranges. In panels a and c-e, each dot represents one animal and n = 5-7. For visualization purposes, only comparisons between groups mRNA/mRNA, H1N1/mock and H1N1/mRNA are shown. An overview of all statistical comparisons is detailed in Supplemental data file 1. * 840 = p < 0.05, ** = p < 0.01, *** = p < 0.001.



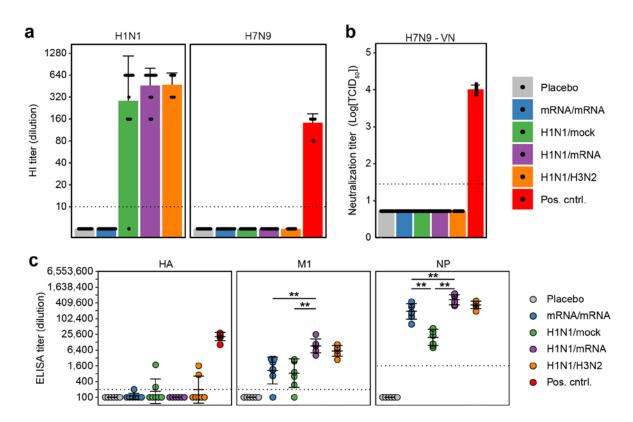


842 843

844 Figure 3: IFNy responses of CD4⁺ and CD8⁺ T cells in PBMC, spleen, lung and bone marrow of immunized 845 ferrets. Lymphocytes were stimulated with a peptide cocktail containing H1N1 NP, M1 and PB1 peptide 846 pools or live influenza virus. Cells were stained for intracellular IFNy and analyzed by flow cytometry. a) 847 FACS plots depict representative CD4⁺ and CD8⁺ T-cell responses of H1N1/mRNA treated ferrets after 848 peptide cocktail stimulation. Numbers indicate percentage of CD4⁺ or CD8⁺ T cells expressing IFNy. b, c) 849 IFNy-positive CD8⁺ (B) and CD4⁺ (C) T cells after peptide cocktail stimulation. d) Percentage IFNy-positive 850 CD8⁺ T cells after stimulation with H1N1 (A/California/07/2009) or H3N2 (A/Uruguay/217/2007) influenza viruses. e) Ratio between CD8⁺ and CD4⁺ T cells within the CD3⁺ IFNY⁺ T-cell population after peptide 851 852 cocktail stimulation. Dotted line represents a ratio of 1 and samples with less than 50 CD3⁺ IFNy⁺ cells were excluded from the analysis. Each dot represents one ferret and the dot size is relative to the total 853 854 IFNy response (%IFNy⁺ of CD4⁺ and CD8⁺ T cells). Boxplots depict the median, 25% and 75% percentile, 855 where the upper and lower whiskers extend to the smallest and largest value respectively within 1.5* the 856 inter quartile ranges. In panels b-e, each dot represents one animal. n = 4-13 for PBMC and n = 4-7 for 857 lung, spleen and BM. For visualization purposes, only comparisons between groups mRNA/mRNA, 858 H1N1/mock and H1N1/mRNA are shown. No statistics were performed for panel e. An overview of all statistical comparisons is detailed in Supplemental data file 1. * = p < 0.05, ** = p < 0.01, *** = p < 0.001. 859



863 Figure 4: Boosting of existing immunity increases protection against H7N9 influenza virus challenge. a) 864 Study layout depicting the H7N9 influenza virus challenge after different prime-boost regimens. Ferrets 865 were challenged intratracheally with 10⁶ TCID₅₀ A/Anhui/1/2013 (H7N9) influenza virus at 71 or 72 days post priming (dpp), which equals 0 days post infection (0 dpi). At 5 dpi, animals were euthanized after 866 867 which pathology and virology was assessed. b) Decrease in body weight from 0 to 5 dpi. Body weight is depicted relative to body weight (%) on the day of challenge. c) Clinical scoring for parameters activity and 868 869 breathing as detailed in the Materials & Methods. Ferrets reaching a combined score of 4 have reached the 870 human endpoints and were euthanized. d) Fever depicted as temperature deviation from baseline. 871 Baseline was determined as average body temperature from -5 to -1 dpi. e, f) Viral titers (TCID₅₀) in e) 872 nose and throat swabs and f) homogenized lung and trachea tissue as determined by endpoint titration on 873 MDCK cells. Dotted line in panel f indicates the limit of detection. g) Pathology scoring for selected 874 parameters as detailed in the Materials & Methods. h) Lung weight 5 dpi relative to body weight on the day 875 of infection. For all panels n = 6-7. In panels b, e, f, and h, data are visualized as mean ± SD. In panel d, 876 data is shown as group mean. In panels c and f-h, dots represent individual observations of ferrets. One 877 placebo ferret and three mRNA/mRNA treated ferrets needed to be euthanized 4 dpi due to reaching the 878 humane endpoints. The mRNA/mRNA ferrets euthanized 4 dpi are visualized as separate groups or 879 depicted by open symbols (instead of filled). For visualization purposes, only comparisons between groups 880 placebo, H1N1/mock and H1N1/mRNA are shown. No statistics were performed for panels c and g, as 881 these are nominal data. An overview of all statistical comparisons is detailed in Supplemental data file 1.* 882 = p < 0.05, ** = p < 0.01, *** = p < 0.001.883



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886 Figure 5: Antibody responses against H1N1 and H7N9 influenza viruses in sera obtained 70 days post 887 priming (dpp). a) Antibodies against H1N1 (A/California/07/2009) or H7N9 (H7N9/PR8 reassortant) 888 influenza virus, measured by hemagglutination inhibition (HI) assay. b) Virus neutralization titer against 889 H7N9 influenza virus c) Antibodies binding to recombinant HA, M1 or NP of A/Anhui/1/2013 (H7N9) 890 influenza virus measured by ELISA. The antibody titer is calculated as the extrapolated dilution of serum at 891 which the OD 450 drops below background (mean of placebo animals + 3x SD). Positive control samples 892 are sera from ferrets previously vaccinated twice with an H7N9 live attenuated virus [79]. Dotted line 893 represents the lower limit of detection (a, b) or the background cut-off (c). In panels a-c, each dot 894 represents one animal. For panels a and b, n = 7-14 (experimental groups) or n = 5 (positive control); for 895 panel c, n = 6-7. For visualization purposes, only comparisons between groups mRNA/mRNA, H1N1/mock 896 and H1N1/mRNA are shown in panel c. An overview of all statistical comparisons is detailed in 897 Supplemental data file 1. * = p < 0.05, ** = p < 0.01, *** = p < 0.001.

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