FSTest: an efficient tool for estimation and visualization of cross-population fixation index

on variant call format files

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Summary

Fixation index (Fst) statistics provide critical insights into the evolutionary processes affecting

the structure of genetic variation within and among populations. The Fst statistics have been

widely applied in population and evolutionary genetics to identify regions of the genome

targeted by selection pressures. The FSTest software was developed to estimate and visualize

four Fst statistics of Hudson, Weir and Cockerham, Nei, and Wright using high-throughput

genotyping or sequencing data. This package provides Z-transformation of results and can

estimate FST using a sliding window approach in which the window size is determined by the

number of markers. Therefore, overestimation and underestimation of FST values are minimized.

Publication-quality visualization of the results using Manhattan plots was also included in this

software. FSTest is freely available at https://github.com/similab/FSTest.

Keywords: fixation index, signatures of selection, genetic variation

1. Introduction

Fixation index (Fst) includes a group of statistics that quantify levels of genetic differentiation between populations based on allele frequencies (Biswas and Akey, 2006). Moreover, they can be used to identify loci at which a beneficial allele has recently gone to fixation (Holsinger and Weir, 2009). The Fst has been conceptually defined in many ways (Hudson et al., 1992; Wright, 1949), among which the Weir and Cockerham (1984) and Nei (1973) estimations are the most popular statistics. Two widely used software, including VCFtools (Danecek et al., 2011) and PLINK (Purcell et al., 2007) have provided the functions to compute the Weir and Cockerham and Wright Fst, respectively. However, the Hudson et al.'s (1992) is preferable statistic among other Fst estimators since it shows lower sensitivity to sample sizes and does not systematically overestimate (Bhatia et al., 2013). Additionally, except for VCFtools, a limited number of tools are currently available to produce Fst statistics from variant call format (VCF) data. Given that data transformation between different softwares is a time-consuming process, a single package that completely covers well-known estimates of Fst and visualizes the results would be an asset.

2. Description

The FSTest can generate cross-population Fst values either per single nucleotide polymorphism (SNP) or as an average per genomic windows harboring multiple SNPs. In a sliding window analysis, Fst statistics are calculated for a small window of the data; however, windows can be determined based on a fixed number of base pairs (bp) or a fixed number of SNPs. While VCFtools provides sliding window modes only based on base pairs, PLINK lacks this feature. However, sliding window analysis on a fixed number of base pairs, particularly when low coverage genotypic data is utilized, leads to overestimation or underestimation of statistics since the number of SNPs inside windows would be inconsistent (Gusnanto et al., 2014).

Implementation of sliding window analysis using a fixed number of SNPs is available using

FSTest.

FSTest is implemented in Python and accepts VCF files as input, which can be generated by

PLINK software. The FSTest automatically manages missing genotypes and supports phased and

unphased genotypic data. Inputs include two files: (i) a genotypic file and (ii) a grouping file in

which individuals belonging to each of two populations are determined.

Between-population Fst estimators of Hudson et al. (1992), Weir and Cockerham (1984), Nei

(1973), and Wright (1949) can be calculated as follows:

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$$Fst_{Hudson} = \frac{(\tilde{p}_1 - \tilde{p}_2)^2 - \frac{\tilde{p}_1(1-\tilde{p}_1)}{n_1 - 1} - \frac{\tilde{p}_2(1-\tilde{p}_2)}{n_2 - 1}}{\tilde{p}_1(1-\tilde{p}_2) + \tilde{p}_2(1-\tilde{p}_1)},$$

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$$Fst_{Weir\ and\ Cockerham} = 1 - \frac{2\frac{n_1 n_2}{n_1 + n_2}(\frac{1}{n_1 + n_2 - 2})[n_1 \tilde{p}_1(1-\tilde{p}_1) + n_2 \tilde{p}_2(1-\tilde{p}_2)]}{\frac{n_1 n_2}{n_1 + n_2}(\tilde{p}_1 - \tilde{p}_2)^2 + (2\frac{n_1 n_2}{n_1 + n_2} - 1)\frac{1}{n_1 + n_2 - 2}[n_1 \tilde{p}_1(1-\tilde{p}_1) + n_2 \tilde{p}_2(1-\tilde{p}_2)]},$$

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$$Fst_{Nei} = \frac{(\tilde{p}_1 - \tilde{p}_2)^2}{(\tilde{p}_1 + \tilde{p}_2)\left(1 - \frac{\tilde{p}_1 + \tilde{p}_2}{2}\right)},$$

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$$Fst_{Wright} = \frac{(\tilde{p}_1 + \tilde{p}_2)\left(1 - \frac{\tilde{p}_1 + \tilde{p}_2}{2}\right) - [\left(\frac{n_1}{n_1 + n_2}\right)2\tilde{p}_1(1-\tilde{p}_1) + \left(\frac{n_2}{n_1 + n_2}\right)2\tilde{p}_2(1-\tilde{p}_2)]}{(\tilde{p}_1 + \tilde{p}_2)\left(1 - \frac{\tilde{p}_1 + \tilde{p}_2}{2}\right)},$$

where n_i is the sample size, and $\tilde{p}_i$ is the sample allele frequency in population i for $i \in \{1, 2\}$.

All Fst estimations range between 0 and 1, and values less than zero are denoted zero by the

software as they do not have a biological interpretation.

For sliding window analysis, the average Fst values across SNPs will be taken for each window.

Users can also implement Z-transformation on all Fst estimates for SNP- or window-based

approaches to get unbiased Z_{Fst} values as given:

$$Z_{FST_i} = \frac{Fst_i - \overline{Fst}}{S},$$

where Fst_i is the Fst value of ith SNP or ith window, $\overline{FST}$ and S represent the average value and standard deviation of Fst between two populations estimated from all SNPs or windows. We have also added the functionality of creating publication-quality Manhattan plots for the results of SNP and sliding window analyses.

In the software documentation, we demonstrated an example case by analyzing 46,819 SNP markers from 133 genotyped samples (50K SNP array). The analysis, including Fst estimation per SNP and using a 20 SNP sliding window with a step size of 5 SNP, along with Manhattan plots visualization (Fig. 1), could be completed within minutes on a standard desktop computer.

<<Figure 1 around here>>

3. Conclusion

FSTest software contains extra pairwise Fst estimation and visualization methods that do not present in the VCFtools and PLINK software, allowing direct analysis of VCF files with a small amount of code. All functions in FSTest were designed to be user-friendly with attention to the quality of visualizations.

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Data availability

83 The data underlying this article are available at FSTest GitHub repository

84 (https://github.com/similab/FSTest).

Author contributions

87 SSA and SMV developed the original FSTest software. SMV wrote the manuscript. All authors

88 read and approved the final manuscript.

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116 **Figures**

118 **Figure 1.** Example figures generated by the FSTest package. Manhattan plots (a) and (b) depict

119 Z-transformed Hudson's Fst values estimated per SNP-based and using sliding window (sliding

120 window size of 20 SNP and step size of 5 SNP) approach, respectively. The significance

121 threshold is set at the top 1% of the Z(Fst) values distribution tail.