Title: High-risk microbial signatures are associated with severe parasitemia in controlled *Plasmodium*

infections of both humans and rhesus macaques

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Abstract

While functions of the gastrointestinal (GI) microbiome include maintenance of immune homeostasis and

protection against infectious disease, its role in determining disease severity during *Plasmodium* infection

has been limited to mouse models and observational human cohorts. Here, we performed controlled

Plasmodium infection in both humans and rhesus macaques (RMs) to experimentally determine the

impact of GI microbiome composition on disease progression. Through analysis of serially collected

microbiome samples, we identified a high-risk microbial signature that strongly associated with increased

risk of developing severe parasitemia in human participants. Importantly, we identified a parallel

phenomenon in RMs. The combined weight of this evidence demonstrates that pre-infection GI

microbiome composition is highly indicative of *P. falciparum* disease risk. Moreover, our observation that

P. fragile-microbiome dynamics in RMs closely mirrors *P. falciparum*-microbiome interactions in humans

strongly supports the use of this model in pre-clinical investigations of novel microbiome-targeting

approaches to reduce malaria burden.

Introduction

Despite effective prevention and treatment measures, infection with *Plasmodium*, the causative agent of

malaria, remains a significant global public health burden. Current World Health Organization estimates

indicate over 241 million cases of malaria worldwide in 2020, with over 627,000 deaths due to malaria

infection[1]. Notably, in addition to the classic clinical features of fever and anemia, *P. falciparum* malaria

has been shown to induce gastrointestinal manifestations, including abdominal pain, diarrhea, and

vomiting[2]. Moreover, previous work has demonstrated that elevated parasite sequestration occurs in the

intestine during *Plasmodium* infection in both mice and humans[3-6], and that damage to the blood vessels

due to parasite sequestration could contribute to disruptions in gastrointestinal morphology and function,

including altered villi formation[7], increased gastrointestinal permeability[7,8], and increased malabsorption[9-

11]. These alterations may contribute to the higher levels of microbial translocation that have been

observed during *Plasmodium* infection[8,12-15], including dissemination of nontyphoidal salmonella

(NTS)[16,17]. However, the precise biological mechanisms underlying *Plasmodium*-induced gastrointestinal

disruptions remain poorly defined.

GI microbes play an essential role preserving epithelial barrier integrity and maintaining appropriate

immune surveillance, and suboptimal microbial consortiums in the GI tract have been shown to influence

acquisition of several enteric pathogens[18]. Interestingly, several lines of evidence indicate that GI

microbiome community structure may be linked with protection against severe *Plasmodium* infection. For

example, recent work conducted among Ugandan children demonstrated significant differences in alpha-

diversity measures of fecal bacterial composition, including Shannon index and community evenness

(Pielou) between participants with severe malaria anemia and participants with asymptomatic *P.*

falciparum infection or healthy controls, which suggests a link between intestinal microbial community

structure and the severity of *Plasmodium* infection[19]. Additionally, a study conducted among children in

Mali demonstrated that participants with higher stool abundances of *Bifidobacterium* and *Streptococcus*

species had a statistically significant delay in time to *P. falciparum* infection, as compared to participants

with greater abundances in *Ruminococcaceae* and *Lachnospiraceae*, suggesting an association between

specific GI microbiome composition and decreased prospective risk for *Plasmodium* acquisition[20]. Murine

studies have also provided evidence that higher intestinal abundances of *Lactobacillus* and

Bifidobacterium can be associated with reduced parasite burden following *P. yoelii* challenge[21].

Moreover, decreased abundance of *Lactobacillaceae* was inversely correlated with *P. berghei* ANKA

parasite burden, while increased abundances of *Enterobacteriaceae* and *Verrucomicrobicaeae* were

positively corelated with parasitemia[22]. Finally, differences in intestinal microbial communities between

mice that were resistant or susceptible to *P. yoelii* infection were associated with differences in the

magnitude of spleen germinal center responses, particularly the quality and specificity of anti-

Plasmodium antibodies, thereby providing a potential mechanism by which the intestinal microbiome

could influence the severity of *Plasmodium spp.* infection[23]. Taken together, these findings support the

theory that the pre-existing intestinal microbiota composition may influence both the prospective risk for

and severity of *Plasmodium* infection.

While observational studies have suggested a link between microbiota composition and the prospective

risk for and severity of *Plasmodium* infection, there remains a critical need for controlled human malaria

infection (CHMI) studies to determine the role of the microbiome in shaping the outcome of *Plasmodium*

infection. Such studies will be important for determining whether the previous cross-sectional and

prospective observational findings in human children and infants are broadly applicable across age

ranges. Additionally, a representative animal model is required to assess mechanistic relationships

between *Plasmodium* infection and GI microbiota, intestinal inflammation, and mucosal epithelial barrier

integrity. While existing mouse models have provided useful insights, intervention-based studies will likely

require a non-human primate (NHP) model to assess the translational potential of microbiota

manipulation on malaria severity. Here, we present evidence from controlled malaria infection studies in

both humans and NHPs that (1) confirms a strong relationship between the pre-infection GI microbiota

composition and the potential for severe malaria; and (2) demonstrates that NHP are an ideal animal

model for basic and translational investigations of microbiome-*Plasmodium* interactions.

Materials and Methods

<u>Controlled human malaria infection (CHMI) study and approval</u>

Healthy adult participants were enrolled in a nonconfirmatory, 2-part, randomized, double-blinded, placebo-controlled, single-center study completed at the Seattle Malaria Clinical Trials Center to test the prophylactic efficacy, safety, and pharmacokinetics of KAF156, a novel imidazolopiperazine class of antimalarial drug (clinical trials registration number: NCT04072302)[24]. As reported previously, KAF156 was found to be safe, well-tolerated, and resulted in a high degree of protective efficacy from *P. falciparum* infection, which was tested through a controlled human malaria infection (CHMI) model[24]. A subset of participants that received either placebo (n=8), 20mg (n=13), or 50mg (n=14) of KAF156 post-CHMI exposure to *P. falciparum*-infected mosquitoes also provided stool samples at four distinct time points: (1) Within 1-2 days of CHMI; (2) during a three-day course of treatment with the antimalarial drug Malarone (atovaquone/proguanil); (3) at the end of Malarone treatment; and (4) during CHMI and treatment follow-up (within D28 – D42). *P. falciparum* infection in study participants was monitored in whole peripheral blood using a *Plasmodium* 18S ribosomal RNA (rRNA) qRT-PCR that quantified Pf A-type 18S rRNA[24-26]. Stool samples were frozen at -80°C within 24hours of collection. Upon thawing, DNA was extracted from human stool samples and used for 16s rRNA sequencing analysis, as detailed below.

<u>Nonhuman primate study animals, approval, and sample collection</u>

Sixteen male Indian-origin rhesus macaques (*Macaca mulatta*; RMs) were utilized in this study. All RMs were housed and cared for at the Washington National Primate Research Center (WaNPRC) under a protocol that was reviewed and approved by the University of Washington Office of Animal Welfare (OWA) Institutional Animal Care and Use Committee (IACUC; Protocol 4266-13; Animal Welfare Assurance Number D16-00292). The housing, care, and procedures performed in this study were done in an AAALAC-accredited facility, in accordance with the regulations put forth by the United States Department of Agriculture, including the Animal Welfare Act (9 CRF) and the Animal Care Policy Manual, as well as with the guidelines outlined by the National Research Council in the Guide for the Care and Use of Laboratory Animals and the Weatherall Report. All RMs were provided with a commercial primate chow (Lab Diet, PMI Nutrition International, St. Louis, MO) twice per day, supplemented with daily fruits and vegetables and water ad libitum. Novel food items, foraging opportunities and destructible and

indestructible manipulanda were provided as environmental enrichment. All RMs were housed in stainless steel cages with a 12/12 light cycle. All cage pans and animal rooms were cleaned daily and sanitized at least every two weeks. Stool was collected from each RM two weeks prior to *P. fragile* inoculation (Week -2), the day of *P. fragile* inoculation (Week 0), and during *P. fragile* infection and treatment (Weeks 1, 2, 3, 4 and 6). The evening prior to stool collection, RMs in full social contact were shifted into protected (grooming) contact only. The following morning, stool was collected from each animal's cage pan and immediately stored at -80°C. Following cage pan stool collection, RMs were returned to full social contact. Upon thawing, DNA was extracted from NHP stool samples and used for 16s rRNA sequencing analysis, as detailed below.

P. fragile inoculation, post-infection monitoring, and treatment

P. fragile-infected RM erythrocytes were prepared as previously described[27]. Briefly, frozen erythrocytes from a *P. fragile*-infected RM were thawed rapidly at 37°C and transferred into a sterile tube. Erythrocytes were resuspended in 12% NaCl while gently shaking, followed by a 5min incubation at room temperature without shaking. Next, 1.6% NaCl was added dropwise while gently shaking, followed by centrifugation at 1400RPM, room temperature, 10min. After centrifugation, the supernatant was aspirated and discarded, and the pellet resuspended in a solution of 0.9% NaCl and 2% dextrose, followed by centrifugation at 1400RPM, room temperature, 10min. After the second centrifugation, the supernatant was aspirated and discarded, and the pellet resuspended in 0.9% NaCl solution. Finally, RMs were intravenously inoculated with up to 20x10^6 *P. fragile*-infected erythrocytes in 1ml 0.9% NaCl solution.

Giemsa staining of thin blood smears was used to assess *P. fragile* parasitemia in each RM. Parasitemia checks occurred three times per week following *P. fragile* inoculation, with an additional check occurring at week 6 post-*P. fragile* infection. Parasitemia was quantified using a light microscope and 1:10 ratio Miller Disk Reticle (Microscope World, Carlsbad, CA), as previously described[28]. Briefly, the total number of all red blood cells (RBCs), including both infected RBCs (iRBCs) and non-infected RBCs, inside the minor square are enumerated, followed by counting of all iRBCs in the major square. A total of fifteen fields were counted in three separate areas of the slide, for a total of 45 fields. The % parasitemia was

calculated in each of the three areas as follows: (# of iRBC in major square / # of all RBC in minor square)

x 10. The % parasitemia in each of the three areas was then averaged together to determine the final %

parasitemia for each RM at each timepoint.

The % parasitemia at each time point was used to determine the need for anti-malarial treatment. RMs

were treated using the following guidelines: if parasitemia was between 0.5-1%, RMs were treated orally

with quinine sulfate (150mg) once per day. If parasitemia was above 1%, RMs were treated orally with

quinine sulfate twice per day. If parasitemia was above 0.5% for two consecutive weeks, RMs were

switched to oral chloroquine treatment (10-20mg/kg) once per day. Quinine sulfate and chloroquine

treatments were halted as soon as RMs were observed to be below the 0.5% parasitemia threshold.

16s rRNA sequencing

DNA was extracted from both NHP and human stool samples using the PowerFecal DNA Isolation Kit

(Qiagen, Valencia, CA). Sequencing libraries were constructed using Earth Microbiome Protocols for 16s

sequencing[29]. The following primers were used to sequence the V4 region of the 16s small subunit

ribosomal ribonucleic acid (SSU rRNA): 515F (5′-GTGYCAGCMGCCGCGGTAA-3′) – 806R (5′-

GGACTACNVGGGTWTCTAAT- 3′), as previously described[30]. PCR amplification was performed in

triplicate, after which amplicon products were normalized, pooled, cleaned, and quantified (KAPA

quantification, KAPA Biosystems, Wilmington, MA). An Illumina MiSeq (MiSeq Control Software,

including MiSeq Reporter, version 3.1) was used to sequence the library with a 2x150 bp cycle with 20%

PhiX phage as a control. All raw sequence data have been deposited in the NCBI SRA (Accession

number pending).

Bioinformatics and statistical analysis

16S rRNA amplicon sequencing reads were demultiplexed in Illumina Basespace. Fastq files were

assessed for read quality, trimmed (trimLeft=12, truncLen=150), dereplicated, and filtered using the

dada2 pipeline in R studio. Following removal of chimeric sequences, reads were assigned a taxonomy

using greengenes (gg_13_8_train_set_97.fa.gz) and a phylogenetic tree was built using MSA and

Phangorn. The reads, metadata, and tree were then combined into a phyloseq object for additional quality

controls and filtering, which included removing ASVs mapping to mitochondria, chloroplast and

cyanobacteria reads, as well as any ASV detected in only one sample. The resulting phyloseq object was

used for all downstream analyses.

Unsupervised hierarchical clustering was conducted on center log ratio (CLR)-transformed read counts,

using a divisive approach (Cluster::diana). The same CLR-transformed read counts were used to

visualize human reads in PCA space. Alpha diversity was calculated using the microbiome package to

assess raw reads. Prevalence, proportion tests, and CLR-transformed abundances were assessed using

custom scripts that are available upon request. Differential abundance was performed using DESeq2 to

assess raw reads on genera-level objects generated using phyloseq::tax_glom. Time course data were

smoothed using default options for ggplot2::geom_smooth. PCoA of RM samples were generated by first

running phyloseq::ordinate on the phyloseq object, and the resulting objects were visualized using

phyloseq::plot_ordination. All code is available upon request. Log2 peak parasite burdens, Shannon

diversity levels, and CLR-transformed counts were compared using a pairwise Wilcoxon test. Significant

differences in prevalence were assessed by proportion test. Differential abundances were determined

using DESeq2 with a Wald test.

Results

Pre-infection composition of the human intestinal microbiome is significantly associated with parasite

burden during controlled malaria infection

To confirm previous reports from human observational and murine studies that suggested an association

between baseline microbiota and potential for severe malaria, we first assessed the stool microbiota in a

cohort of 35 healthy adults participating in a CHMI study[24]. As previously reported, of the 35 participants

inoculated via infected mosquito bite, 32 progressed to detectable parasitemia by qPCR[24]. The

antimalarial treatment Malarone (atovaquone/proguanil) was subsequently initiated according to a

predetermined criteria of two consecutive positive qRT-PCR test results, with at least one measurement

greater than 250 parasites/ml[24]. Stool samples were collected from study participants (1) prior to

challenge, (2) at peak parasitemia (prior to antimalarial treatment thresholds), (3) post-treatment, and (4) during follow-up (Fig. 1A).

We began our study with the primary hypothesis that the magnitude of parasite burden following *Plasmodium* challenge would vary according to microbiota composition. To test this hypothesis, we performed unsupervised, divisive hierarchical clustering on the 16S rRNA sequencing data to identify major community types. This analysis demonstrated that participants' baseline microbiota compositions could be segregated into three distinct clusters (Fig.1B). To determine whether the odds of developing high parasitemia levels differed amongst participants in each of the three microbiome clusters, we ranked participants according to their peak parasite burden and then categorized each participant's outcome as either mild or severe parasitemia, defined as having parasite burden above or below the median parasitemia value, respectively. Participants in human microbiome cluster 1 (HC1; pink) were more than eight times as likely to experience high parasite burden as compared to participants in HC3 (green; OR = 8.17, 95% CI = 7.11 - 9.22), while participants grouped in HC2 (brown) were more than four times as likely to develop high parasitemia as compared to HC1 (OR = 4.08, 95% CI = 3.10 – 5.06) (Fig. 1C). Given that baseline microbiota composition was associated with the odds of developing more severe parasitemia, we next directly assessed the parasite burden for each cluster. Participants in HC1 developed significantly higher levels of parasitemia as compared to participants in HC3 (p=0.043); HC1 peak parasitemia was also elevated compared to HC2, although this difference did not reach statistical significance (p=0.17; Fig. 1D). Parasite burden was also observed to be non-significantly elevated in participants in HC2 compared to HC3 (p=0.23; Fig. 1D). Taken together, these findings demonstrated that baseline microbiota composition was strongly associated with parasite burden during controlled *Plasmodium* infection in humans.

Human intestinal microbiome composition is robustly associated with malaria severity and progression

Having observed a significant difference in potential for severe infection across microbiota clusters, we next assessed the composition of the three major microbiota clusters to identify structural and compositional distinctions. To begin, we performed principal components analysis (PCA) on all collected

timepoints and found that while participants assigned to HC1 were widely dispersed in PCA space, participants from HC2 were only modestly dispersed and those from HC3 were tightly clustered (Fig. 2A and B), indicating greater variability in microbial composition in HC1 as compared to HC2 or HC3. These patterns were especially evident with information from the third principal component added in 3-dimensional space (Fig. S1). We next considered samples from baseline only and found that while samples from HC2 and HC3 had significant overlap along PC1 and minimal spread along PC2, samples from HC1 demonstrated higher variability along both PC axes (Fig. 2C and D). Taken together, these results affirmed the hierarchical cluster assignments by illustrating the degree to which HC1 differed from HC2 and HC3 in terms of both composition and variability at baseline alone, as well as throughout *P. falciparum* infection.

We next asked if within-sample alpha diversity was a distinguishing aspect of cluster identities. To do so, we first assessed the cumulative number of unique amplicon sequence variants (ASVs) that were detected at any time in each microbiota cluster. We observed that the number of unique ASVs in HC1 (n=762) were almost two-fold greater than the number of unique ASVs in HC3 (n=488; Fig. 2E), indicating that a greater range of taxa were detected in HC1 and explaining the wide dispersion of samples from this cluster in PCA space. Given this large separation in taxonomic diversity, we next calculated Shannon diversity scores for all samples, which assesses both sample richness, characterized as the total number of observed ASVs, and evenness, which indicates the balance of reads amongst ASVs. Shannon diversity scores for HC1 samples were elevated as compared to those in HC2, a finding that remained stable across all measured time points and was statistically significant at baseline, post-treatment, and follow-up (p=0.0011, 0.047, and 0.026, respectively; Fig. 2F). Likewise, measured Shannon diversity for samples from HC1 were consistently elevated compared to HC3 and were significantly higher at the baseline and follow-up time points (p=0.0021 and 0.00097, respectively; Fig. 2F). Shannon diversity scores for participants in HC2 and HC3 were similar over time, with the exception of the follow-up time point, at which HC2 showed significantly higher scores than HC3 (p=0.025; Fig. 2F). Given our observation that participants in HC1 had significantly higher parasite burden as compared to HC2 and HC3 participants, these findings suggested a link between increased microbiota diversity and

development of higher parasite burdens; additionally, the consistency of these results over time also

suggest pre-existing community structure is reestablished following transient changes in community

structure induced by *Plasmodium* infection.

To further validate the potential relationship between microbiota diversity and parasite burden throughout

infection, we assessed Shannon diversity profiles of participants that were split based on severe versus

mild parasitemia. Our results demonstrated that participants who experienced the highest levels of

parasitemia had consistently higher Shannon diversity as compared to participants in with only mild

parasitemia (Fig. 2G). This difference was statistically significant pre-challenge ($p=0.027$) and trended

towards significance at the follow-up time point ($p=0.054$; Fig. 2G). Additional linear regression analysis

further suggested a link between measured diversity and peak parasite loads, though these findings did

not reach significance (Fig. S2; $p=0.0875$). Taken together, the strong relationship between increased

microbiota diversity and higher parasite loads indicated that elevated diversity in the GI microbiota of

participants prior to *Plasmodium* challenge could contribute to the development of high parasitemia

during *P. falciparum* infection.

Genus-level distinctions in human intestinal microbial composition are linked with malaria disease

severity and progression

We next hypothesized that the increased microbial richness detected in HC1 participants would result in

reduced detection and measured abundance of core ASVs as compared to participants in HC3. To test

this hypothesis, we measured the prevalence of all ASVs detected in at least one third of all participant

samples from all time points and subsequently performed proportionality tests for HC1 versus HC3. We

observed that highly prevalent ASVs, defined as ASVs detected in more than 75% of all samples, were

consistently detected at lower frequencies in participants from HC1 as compared with HC3 (Fig. 3A).

Conversely, ASVs with lower overall prevalence were more likely to be detected in HC1 than HC3.

Amongst the ASVs that were observed to be differentially prevalent between HC1 and HC3, we identified

several key GI microbiota that were significantly less prevalent in the microbiomes of HC1 participants,

including ASVs for *Bifidobacterium longum* ($p<0.0005$), *Bifidobacterium adolescentis* ($p<0.005$), and

Akkermansia muciniphila (p<0.005; Fig. 3A). Thus, our data indicate that core GI microbiota ASVs are less prevalent in HC1 participants, who are also more likely to develop severe parasitemia, and that their absence is accompanied by the increased detection of low prevalence taxa.

Given our observation that ASVs that were frequently detected in HC3 participants with mild parasitemia were less likely to be detected in HC1 participants who developed severe parasitemia, we next assessed whether the abundances of core taxa, when detected, were different between participant groups. To evaluate this question, we compared center log ratio (CLR)-transformed count data for the 20 most prevalent taxa between HC1, HC2 and HC3 participants. We observed that the majority of highly prevalent ASVs were significantly less abundant in participants from HC1 as compared to HC2 and HC3 (Fig. 3B). The application of strict Bonferroni corrections demonstrated the strong significance within these findings and highlighted reduced levels of *Bifidobacterium adolescentis* (p=0.00069; Fig. 3B), among others. Taken together, our data demonstrate that participants who developed high parasite burdens harbored lower abundances of core GI microbiota, and that these findings were robust even when accounting for decreased prevalence of these same taxa.

To determine whether the risk for progression to severe malaria after challenge was driven by high-level differences in community composition at baseline, we performed differential abundance analysis on all pre-challenge samples at the genus level. Our analysis demonstrated that participants in HC1 likely to develop severe parasitemia had reduced levels of *Megamonas*, *Streptococcus, and Bifidobacterium* relative to participants in HC2 and HC3 (p=0.0037, 0.01, and 0.0067, respectively) and that these reductions were balanced by increased levels of several genera that included *Escherichia, Catenibacterium,* and *Peptoniphilu*s (p=0.0016, 0.0000, and 0.0031, respectively; Fig. 3C). Closer examination of these results again highlighted that HC1 participants had significantly lower abundances of widely detected genera like *Bifidobacterium* and *Streptococcus*, which were amongst the most abundant taxa in participants from HC2 and HC3 (Fig. 3D and E). Conversely, several rarely detected genera, such as *Megamonas*, *Escherichia*, and *Catenibacterium,* were observed at high abundances (Fig. 3F - H). This pattern was far more common in HC1, a finding that explains the wide dispersion of

HC1 samples in PCA space (Fig.2A) and highlights the degree to which participants within HC1 differed

from one another.

To place these pre-challenge findings in context, we concluded our analyses of the human cohort by

examining the stability of differentially abundant genera throughout infection. We observed that levels of

both *Megamonas* and *Bifidobacterium* remained consistently elevated in HC3 throughout the study, while

Blautia and *Streptococcus* remained consistently highest in HC2, with HC3 approaching similar levels

(Fig. 3I). These findings demonstrate the stability of these genera in participants who developed only mild

parasitemia. Conversely, levels of *Catenibacterium*, *Megasphaera*, *Peptoniphilius*, and *WAL_1855D*

were consistently elevated in HC1 (Fig. 3I), indicating a potential association with risk for severe malaria.

Finally, levels of *Escherichia* and *Roseburia* were found to be disrupted following challenge, and although

Escherichia did return to pre-challenge levels in each of the three community clusters, *Roseburia* was

observed to be consistently diminished in HC3 following infection. Taken together, these findings suggest

that high-level distinctions between microbiota clusters contribute to risk for malaria severity, and that GI

microbial communities are generally stable subsequent to *P. falciparum* infection.

<u>Distinct microbial cluster identified within the RM intestinal microbiome is significantly associated with</u>

<u>parasite burden during P. fragile infection</u>

To further test our hypothesis that the GI microbiome plays a direct role in *Plasmodium* progression, we

conducted experimental infection of rhesus macaques (RMs) with *P. fragile*, a macaque *Plasmodium*

previously shown to induce clinical signs in RMs comparable to those caused by *P. falciparum* in

humans[31-33]. To assess the microbiota, we characterized stool microbial communities of male RMs (n=16)

over a time course that spanned two weeks prior to *P. fragile* challenge through six weeks post-infection

(Fig. 4A). We first stratified the animals according to their pre-challenge microbiota, using the same

unsupervised hierarchical clustering approach employed with the human microbiome data (Fig. 4B). In

RMs, microbiome compositions were split into two major branches, hereafter referred to as macaque

cluster 1 and 2 (MaC1 and MaC2, respectively). As with the human participants, we performed a median

split based on peak parasite levels of all RMs and categorized RMs as either progressing to mild or

severe parasitemia. When malaria severity data were viewed in the context of microbiome clusters, we

identified that RMs in MaC1 were nine times as likely to develop high parasite loads as RMs in MaC2

(OR = 8.75, 95%CI = 7.59-9.91). Moreover, RMs in MaC1 reached peak parasite loads nearly three times

greater than RMs in MaC2 (p=0.003; Fig. 4C). Thus, the pre-infection microbiota composition of RMs

was associated with the likelihood of developing severe malaria, similarly to human CHMI participants.

<u>RMs with distinct intestinal microbial composition have greater risk of developing severe malaria</u>

We next assessed RM microbiota clusters using alpha and beta diversity approaches. To define

differences between clusters, we first analyzed all RM samples from all timepoints in PCA space. As with

CHMI data, PCA analyses reiterated the clear linkage between microbiota composition and malaria

progression that was established during hierarchical analysis (Figs. S3A and B). However, unlike human

participants, these distinctions could not be explained by differences in alpha diversity. Indeed,

measurements of Shannon diversity indicated no significant differences between microbiome clusters at

any timepoint, nor were differences observed when assessing Shannon diversity according to parasite

burden (Fig. S3C and D). These data suggested that the distinctions between RM microbiota clusters

may not be driven by the same differences in microbial community organization that were observed

across human clusters. Therefore, we sought to expand our analysis by using a canonical microbiome

distance metric that accounted for compositional differences by determining the proportion of each

sample that was unique relative to other samples and weighting these results according to abundance

data. This weighted-UniFrac metric captured over 60% of the variance in the first two axes and

demonstrated not only that MaC1 clustered separately from MaC2 (Fig. 5A), but that these hierarchically

assigned microbial clusters were associated with distinct differences in parasite burden (Fig. 5B).

Faceting data according to timepoint that the microbial communities of RMs assigned to either MaC1 or

MaC2 based on pre-challenge microbial profiles remained distinct following recovery from *P. fragile*

infection (Fig. 5C). Notably, despite this overall stability, samples from week 3 post-challenge were not

distinct in PCoA space, suggesting that while microbial communities may be relatively resistant to

influence from *P. fragile* infection, they may be transiently disrupted during the course of the infection

(Fig. 5C). Taken together, our alpha and beta diversity assessments suggested that the separation of the

two microbiota clusters was not driven primarily by differences in richness or evenness, but rather, that

these clusters were stratified by compositional differences.

<u>Genus-level distinctions in RM intestinal microbial composition are linked with malaria disease severity</u>

<u>and progression</u>

We next proceeded to assess differences in prevalence and abundance between RMs in MaC1 and

MaC2. As with CHMI data, we measured the abundance of core ASVs present in at least one third of all

samples for each microbiota cluster and performed proportionality tests for MaC1 versus MaC2 (Fig.6A).

We found that ASVs from the *Prevotella* genus and *Ruminococcaea* family were more likely to be present

in MaC2, where RMs were more likely to experience only mild parasitemia (Fig. 6A). Conversely, RMs

assigned to MaC1, which were more likely to develop severe parasitemia, more frequently carried ASVs

from the *Lachnospiraceae* family, and the *Lactobacillus* and *Treponema* genera (Fig. 6A). We next

assessed whether these highly prevalent ASVs differed in abundance in samples where they were

detected and found that the majority of core ASVs had abundances that were relatively similar between

MaC1 and MaC2. Two important exceptions were the elevated abundance of several *Prevotella* ASVs in

MaC2 RMs, which were countered by significant elevations of *Lactobacillus* ASVs in MaC1 RMs (Fig.

6B). To assess for cumulative differences in ASVs, we combined all genus level data and tested for

differentially abundant genera on the day of inoculation. We identified 16 genera whose abundances

differed significantly between MaC1 and MaC2 (Fig.6C). Interestingly, the *Prevotella* genus was found to

be significantly elevated prior to challenge in MaC2 RMs (Fig.6D), whereas MaC1 RMs, which were more

likely to develop severe parasitemia, had elevated baseline levels of *Ruminococcus*, *Treponema*, and

Sphaerochaeta, amongst others (Fig. 6E). Finally, we concluded our RM assessment by examining the

stability of these differentially abundant communities over the course of the study (Fig. 6F). We observed

that the pre-challenge elevation in *Prevotella* abundance in MaC2 was maintained over time (Fig. 6F).

These findings suggested a beneficial role of the *Prevotella* genus in the context of *Plasmodium* infection,

as reduced levels were consistently associated with the risk of severe parasitemia. Other genera shown

to be significantly different pre-challenge had abundances that varied widely throughout the course of *P.*

fragile infection, with ratios between MaC1 and Mac2 frequently inverted during weeks 1 - 3 post-infection

(Fig. 6B), the time period during which parasite levels were highest (Fig. S4). This observation agrees

with our week-by-week breakdown of unifrac distances (Fig. 5C) and further indicates that *P. fragile*

infection may disrupt the GI microbiome in RMs. Notably, although abundances of several genera were

disrupted following *P. fragile* infection, the majority returned to pre-infection levels by 6 weeks post-

challenge and thereby reestablished the distinction between MaC1 and MaC2 clusters. Taken together,

these data highlight that, like humans, RMs possess natural variation in their GI microbiota that appears

to predispose a portion of the population to more severe *P. fragile* infection when exposed.

Discussion

In this study, we leveraged controlled malaria infection of both humans and NHPs to test the hypothesis

that the composition of GI tract microbiota prior to *Plasmodium* challenge influences the severity of

malaria infection. Analysis of samples from our CHMI study indicated that baseline microbiota

composition in the human GI tract was strongly associated with the potential for severe *P. falciparum*

infection. Specifically, after using unsupervised analysis to identify three community-type clusters, we

determined that participants in HC1 were nearly nine times as likely to develop severe parasitemia as

participants in HC3, with parasite burdens that were nearly six times greater. Downstream analyses

indicated that key GI microbes, including *Bifidobacterium longum*, *Bifidobacterium adolescentis*, and

Akkermansia muciniphila were detected less frequently and at lower abundances in participants that were

more likely to develop severe parasitemia. Our findings extend previous observations made in the murine

model[21-23], as well as observational studies using incident detection in human children living in malaria

endemic areas[19,20], both of which suggested a link between GI microbiome composition and the risk of

developing severe malaria infection. Importantly, our work extends these observations to adult humans

and highlights *Bifidobacterium* as a defining microbial feature in determining risk for severe *P. falciparum*

parasitemia. The agreement between these studies is made more impressive when considering that our

CHMI study was performed on a malaria-naïve cohort of adult individuals in Seattle, Washington,

whereas the previous observational studies identifying links between microbial composition and *P.*

falciparum infection risk were conducted among infants and children located in rural West Africa, where

malaria incidence is seasonal. Taken together, these differences highlight the robust nature of our

findings and position *Bifidobacterium* as a key taxon for future studies aimed at mitigating malaria severity in endemic regions.

Our analyses demonstrated that major distinctions in GI microbiota organization at multiple taxonomic levels distinguished human participants who were more likely to develop high parasite levels following *P. falciparum* infection. In particular, we observed that the absence of *Bifidobacterium* and other core taxa in CHMI participants grouped in HC1 was balanced by an increase in the prevalence and abundance of ASVs that were less common in participants that developed only mild parasitemia. This finding was further highlighted by the significantly higher number of detected ASVs in HC1 participants as compared to HC2 and HC3 participants. This strong relationship between increased microbiota diversity and higher parasite loads suggests that an aspect of elevated diversity in the GI microbiota prior to *P. falciparum* exposure could contribute to the development of high parasitemia during infection. Mechanistically, the loss or reduction of key commensals such as *Bifidobacterium* and *Akkermansia* could result in inappropriate or inadequate priming of the host immune due to disruptions in beneficial, low-level immune stimulation[34-37]. Alternatively, their displacement by an expansion of uncommon taxa could disturb metabolic networks in the GI tract and subsequently promote pathologic changes to the GI barrier[38]. In either hypothetical, host immune disruptions could manifest both locally and systemically in such a way as to promote *Plasmodium* replication following infection. It is also important to consider that microbial composition may serve as a proxy for an indirectly related facet of overall health that ultimately plays a larger role in malaria progression, although the identification of the same phenomenon in RMs argues against this. Finally, although unlikely, it is possible that microbiome constituents directly impact the *Plasmodium* lifecycle. Taken together, future investigations to determine the precise mechanisms by which GI microbiota composition influences the severity of *P. falciparum* infection in humans are warranted.

A critical observation from our study is that similarly to humans, GI microbiota composition in RMs was significantly associated with the potential for severe *P. fragile* infection. Indeed, unsupervised clustering delineated two major RM GI microbiome community types prior to challenge. RMs that possessed higher

levels of ASVs from the *Lachnospiraceae* family*,* and the *Lactobacillus* and *Treponema* genera were more likely to have severe *P. fragile* parasitemia, while RMs with ASVs from the *Prevotella* genus and *Ruminococcaea* family were more likely to develop only mild parasitemia. Unlike the CHMI participants, the RM microbiota clusters we identified were not defined by the presence or absence of rare taxa. Instead, significant distinctions between groups were primarily detected as differences in the abundance of core taxa. Notably, in both humans and RMs, while it appeared that GI microbiome was generally resilient to transient changes that occurred during *Plasmodium* infection, our data showed that disruptions mainly occurred near peak parasitemia. Moreover, in RMs *Prevotella* was the only genera where differences in pre-challenge levels between MaC1 and MaC2 were maintained throughout the study, suggesting that ASVs within this genus have a stable niche space that is somehow diminished in RMs likely to develop severe parasitemia. These findings are consistent with previous work conducted among Kenyan infants, which revealed only minimal differences in stool community structure and composition before and after episodes of *P. falciparum* infection and artemether-lumefantrine treatmen[39]. Conversely, previous murine work has suggested that *P. yoelli* infection results in decreased abundance of Firmicutes and increased abundance of Bacteroidetes[5,40], while *P. berghei* ANKA infection results in decreased Bacteroidetes and Verrucomicrobia phyla, but substantially increased Firmicutes and Proteobacteria following infection[41,42]. Taken together, the striking degree to which these RM GI microbiome findings agreed with data from CHMI participants and prior observational studies in human children supports our hypothesis that microbiome composition is a significant determining factor in *Plasmodium* infection. Moreover, our findings further indicate the significant translational potential of the *P. fragile* RM model of *P. fragile* infection. Given the additional strong similarities between RMs and humans in terms of GI functionality and mucosal immunity, future studies utilizing this model to investigate the impact of *P. fragile*-mediated GI microbiome disruptions on intestinal mucosal barrier integrity and malaria disease progression are justified.

A major strength of our study was the use of the RM model, which recapitulated important facets of human *P. falciparum* infection while allowing for a greater degree of control over critical experimental and population variables. In particular, although our CHMI study employed narrowly defined parameters for

recruitment[24], there remained a strong potential for unassessed genetic, nutritional, and social factors

which may have contributed to microbiota variability amongst human participants, even before study

enrollment and *P. falciparum* infection. This created a challenge for determining whether the differences

we observed in baseline GI microbiota composition were a proxy for some other aspect of *P. falciparum*

susceptibility, or alternatively, played a direct role in risk for more severe infection. Our use of the RM

model allowed us to overcome some of these challenges, including the reduction of social, economic,

and medical confounders that are common amongst human cohorts. Additionally, while diet is a major

contributor to GI microbiota composition in both humans and RMs[43], the RMs included in this study were

fed a standardized diet of commercial primate chow in combination with additional fruits and vegetables,

all of which were provided daily. Thus, while animal-to-animal differences in GI microbiota composition

prior to *P. fragile* challenge were expected, this variability is unlikely to indicate unappreciated

comorbidities or other factors that may have influenced the outcome of *P. fragile* infection. Moreover,

the ability to stratify RMs according to their pre-infection microbiota composition without the added

complications of needing to account for diet or other population-specific confounders, not only

strengthens our observation of a significant role of the GI microbiome in protection against severe

Plasmodium infection but verifies the value of this critical model system for the examination of

Plasmodium-microbiome interactions.

Another major strength of our study was the use of an analytical approach that leveraged divisive

hierarchal clustering, as opposed to agglomerative, which enhanced our ability to segregate microbiota

communities into meaningful clusters. The ability to then identify stepwise increases in peak parasite

burdens across these microbiota clusters allowed us to not only verify previous murine and human

children and infant observational studies suggesting an association between the microbiome and the

magnitude of *Plasmodium* infection, but to extend these findings to a human adult cohort, as well as a

physiologically relevant RM model system. In sum, the data presented here and our specific bioinformatic

approach confirms the generalizability of our observations beyond specific participant populations, as

well as to other host and *Plasmodium* species.

In summary, the data presented here demonstrates that preexisting GI microbiome composition is highly

indicative of *Plasmodium* disease progression in both humans and RMs. Our findings decisively support

the existence of a broad link between GI microbiome communities and *Plasmodium* infection severity,

and also represent a critical extension of previous murine and human children and infant studies[19-23] into

adult human populations. Our ability to recapitulate this phenomenon in a relevant RM model also

expands the ability to perform mechanistic investigations of microbiota-influenced anti-malarial immunity.

While our current study is limited in its ability to make mechanistic determinations of the means by which

the GI microbiome contributes to protection against severe malaria, the identification of high-level

taxonomic differences in both human and RM cohorts suggests that microbiome targeting therapies, such

as microbiome modulation or small molecule supplementation, could be investigated to mitigate the

severity of *Plasmodium* infection. Taken together, our data provide a strong foundation for future RM and

human studies to not only identify the functional role of distinct microbial communities in controlling

malaria severity, but to pre-clinically test preventative and interventional strategies leveraging the

microbiome to reduce the burden of malaria.

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Author contributions

JAM conceived of the study, oversaw the planning of the project, performed experiments, interpreted the data, and wrote the paper. ATG assisted with sample collection from the RM study, analyzed and interpreted the 16s rRNA sequencing data, and wrote the paper. CAB assisted with sample collection from the RM study and performed the DNA extractions and 16s rRNA sequencing for both the RM and CHMI studies. EC provided support for sample processing from the RM study. SW, NI, CYA, and WDG provided research support for the RM study. KAG provided veterinary support for the RM study. KDP provided the initial *P. fragile* stock. MG and NRK assisted with data interpretation and paper preparation. JGK led and provided samples from the KAF156 CHMI study, assisted with data interpretation and paper preparation.

Conflicts of interest

All authors report no potential conflicts of interest.

Figure legends

Figure 1. Unsupervised hierarchical clustering of 16s rRNA sequencies identifies association between GI microbiota composition and risk of severe *P. falciparum* parasitemia. (A) Representative timeline depicting CHMI sample collection schedule. CHMI participants provided stool samples near *P. falciparum* challenge (between days -2 – 2), at peak parasitemia near when treatment was initiated (days 10 – 17), post-treatment (days 12 – 19), and at a follow-up visit (days 27 – 46). (B) Divisive hierarchical clustering to identify major clusters in baseline microbiota samples. Major clusters were defined as human cluster 1 (HC1; pink), human cluster 2 (HC2; brown) and human cluster 3 (HC3; green). Branch tips indicate the parasitemia severity of each participant, which was based on detection of peak parasite levels. (C) Odds ratio assessment to determine likelihood of participants within each microbiota cluster progressing to severe parasitemia. (D) Log2-transformed parasitemia values for participants in each microbiota cluster.

Figure 2. High diversity GI microbiota is linked to likelihood of developing severe *P. falciparum* parasitemia. (A) Principal component analysis (PCA) of CLR-transformed count data. Colors indicate

hierarchically determined microbiota clusters, with HC1 shown in pink, HC2 in brown, and HC3 in green.

(B) Replicate PCA shown in A, with colors identifying samples associated with parasite levels. Blue points

indicate mild parasitemia, while red points indicate severe parasitemia. (C) PCA of samples at baseline

only, with points representative of HC1 (pink), HC2 (brown), and HC3 (green). (D) PCA of samples at

baseline only with points shaded to represent severe (red) and mild (blue) parasitemia. (E) Total number

of unique ASVs observed within each microbiota cluster. (F) Shannon diversity scores for participant

samples at all time points, grouped by microbiota cluster. (G) Shannon diversity scores for participants

at all time points, grouped by parasitemia severity.

Figure 3. Abundance of core taxa is reduced in participants likely to develop severe *P. falciparum*

parasitemia. (A) Prevalence of all ASVs that were detected in at least one third of samples from each

microbiota cluster. Statistical values indicate significant differences in prevalence as determined by a

proportionality test. * = p<0.05, **=p<0.005, ***=p<0.0005. (B) CLR-transformed abundance data for top

20 most prevalent ASVs, when detected. Statistical significance between HC1 and HC3 was conducted

using a Wilcoxon test, with results that remined significant following Bonferroni corrected boxed in

magenta. (C) Heatmap of CLR-transformed count data for all genera detected as differentially abundant

at the baseline time point, with hierarchical clustering of genera shown to the right. (D-H) CLR-

transformed counts for all baseline samples in select differentially abundant genera. (I) Smoothed

abundance data over time. Solid colored lines represent mean count levels. For panels A-B and D-I, HC1

is shown in pink, HC2 is shown in brown and HC3 is shown in green.

Figure 4. Unsupervised hierarchical clustering of 16s rRNA sequences identifies association

between GI microbiota composition and risk of severe *P. fragile* parasitemia. (A) Representative

timeline depicting RM sample collection schedule. RM stool samples were collected twice prior to

challenge, then each of the next four weeks, with final follow-up at six weeks post-challenge. (B) Divisive

hierarchical clustering to identify major clusters in baseline microbiota samples. Branch tips indicate the

parasitemia severity of each macaque, which was based on peak detection. (C) Log2-transformed

parasitemia values for macaques in each microbiota cluster.

Figure 5. GI microbiota composition linked to likelihood of developing severe *P. fragile* parasitemia. (A) Principal Coordinate Analysis (PCoA) weighted-unifrac distances between samples. Colors indicate hierarchically determined microbiota clusters, with MaC1 shown in pink and MaC2 shown in green. (B) Replicate PCoA shown in (A), with colors identifying samples associated with parasite levels. Blue points indicate mild parasitemia, while red points indicate severe parasitemia. (C) PCoA of samples faceted by timepoint and colored by microbiota cluster.

Figure 6. Abundance of core taxa reduced in macaques likely to develop severe *P. fragile* parasitemia. (A) Prevalence of all ASVs that were detected in at least one third of samples from each microbiota cluster. Statistical values indicate significant differences in prevalence as determined by a proportionality test. * = p<0.05, **=p<0.005, ***=p<0.0005. (B) CLR-transformed abundance data for top 28 most prevalent ASVs, when detected. Statistical significance between HC1 and HC3 was conducted using a Wilcoxon test, with results that remined significant following Bonferroni corrected boxed in magenta. (C) Heatmap of CLR-transformed count data for all genera detected as differentially abundant at the baseline time point, with hierarchical clustering of genera shown to the right. (D-E) CLR-transformed counts for all baseline samples in select differentially abundant genera. (F) Smoothed abundance data over time. Solid colored lines represent mean count levels. For panels A-B and D-F, MaC1 is shown in pink, MaC2 is shown in green.

Fig. S1. High diversity human GI microbiota linked to likelihood of developing severe *P. falciparum* parasitemia. (A) Three-dimensional principal component analysis (PCA) of CLR-transformed count data, with colors identifying samples associated with parasite levels. Blue points indicate mild parasitemia, while red points indicate severe parasitemia. (B) Replicate PCA shown in (A), with colors indicating hierarchically determined microbiota clusters. HC1 is shown in pink, HC2 is shown in brown and HC3 is shown in green.

Fig. S2. Alpha diversity relates to parasite burden. (A) Linear model of parasite burden in relation to alpha diversity.

Fig. S3. High diversity macaque GI microbiota linked to likelihood of developing severe *P. falciparum* parasitemia. (A) Principal component analysis (PCA) of CLR-transformed count data. Colors indicate hierarchically determined microbiota clusters, with MaC1 shown in pink and MaC2 shown in green. (B) Replicate PCA shown in (A), with colors identifying samples associated with parasite levels. Blue points indicate mild parasitemia, while red points indicate severe parasitemia. (C) Shannon diversity scores for RM samples at all time points, grouped by microbiota cluster. (D) Shannon diversity scores for RM samples at all time points, grouped by parasitemia severity.

Fig. S4. Peak *P. fragile* parasite burdens varied across RMs. (A) *P. fragile* parasite detection in macaques over the study time course. Colors identify samples associated with parasite levels. Blue background indicates RMs with mild parasitemia, while red points indicate RMs that experienced severe parasitemia.

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Figure 1

A Malaria infection

Baseline Treatment Post-treatment Follow-up
-2 : 2   10 : 17   12 : 19   27 : 46

B Divisive hierarchical clustering of pre-challenge 16S rRNA data

● Severe parasitemia
● Mild parasitemia

HC1   HC2   HC3

C Odds ratio vs low cluster

HC1   HC2   HC3

D Log2 Peak parasite burden

0.17
0.043
0.23

HC1   HC2   HC3

Figure 2

Figure 3

Figure 4

A

Baseline
Malaria infection
Treatment as needed

-2   0   1   2   3   4   6

Study Week

B

Divisive hierarchical clustering of pre-challenge 16S rRNA data

● Severe parasitemia
● Mild parasitemia

MaC1   MaC2

C

0.003

log2 Peak Parasite Burden

MaC1   MaC2

Figure 5

Figure 6

Fig.S1

A

B

Hierarchical Cluster

Pink
Brown
Green

● High parasite bin
● Low parasite bin

Fig.S2

A

Fig.S3

Fig.S4

A