Dynamic fluctuations in ascending heart–to–brain modulations under mental stress elicitation

Diego Candia-Rivera [1*], Kian Norouzi [2,3], Thomas Zoëga Ramsøy [2,4] and Gaetano Valenza [1].

[1] Bioengineering and Robotics Research Center E. Piaggio & Department of Information Engineering, School of Engineering, University of Pisa, 56122, Pisa, Italy

[2] Neurons Inc., Department of Applied Neuroscience, Taastrup, Denmark.

[3] Faculty of Management, University of Tehran, Tehran, Iran.

[4] Faculty of Neuroscience, Singularity University, Santa Clara, CA, United States

* Corresponding author: diego.candia.r@ug.uchile.cl

Keywords

Brain–heart interplay, mental stress, physiological modeling, sympathovagal control

Highlights

- We tested a model to assess brain–heart interplay under mental stress elicitation.

- The model revealed that stress levels are reflected in heart–to–brain variability.

- Interplay from sympathetic to delta and beta waves are the best markers for stress.

Abstract

Previous endeavors have revealed how the dynamical information exchange between central and autonomous nervous systems, as measured from brain–heart interplay, can explain emotional arousal and physical stress. In this study, we test our recently proposed computational framework for a functional brain–heart interplay assessment; the Sympatho-Vagal Synthetic Data Generation Model. The model estimates the causal and bidirectional neural modulations between EEG oscillations and sympathetic/parasympathetic activity. Here, mental stress is elicited by increasing the cognitive demand and quantified on 37 human volunteers. The increase on mental stress induced an increased variability on heart–to–brain functional interplay, primarily from sympathetic activity on EEG oscillations in the delta and beta bands. Existing theoretical and experimental evidence has shown that stress involves top-down neural dynamics in the brain. Therefore, our results show that mental stress involves dynamic and bidirectional neural interactions at a brain–body level as well, where bodily feedback shapes the perceived stress caused by an increased cognitive demand. We conclude that brain–heart interplay estimators are suitable biomarkers for stress measurements.

1. Introduction

Human physiology entails constant and dynamic adaptations occurring in response to cognitive demand, involving homeostatic and allostatic mechanisms. From a holistic point of view, the physiological responses to cognitive pressure are deemed as mental stress, which can be elicited by memory, arithmetic, and increased cognitive demand tasks [1]. Physical stress involves the physiological responses triggered by homeostatic regulations to bodily conditions, emerging from physical exercise or environmental changes (e.g., temperature or atmospheric pressure) [1]. Mental and physical stress encompass physiological responses from different brain structures, together with endocrine and autonomic responses [2,3]. The neurophysiology of stress sets the hypothalamus as a central component, in which the paraventricular nucleus is the main integrator of stress signals, activating stress systems such as the Sympathetic-Adreno-Medullar and Hypothalamus-Pituitary-Adrenal axes [4]. The brain structures actively participating in stress responses include the prefrontal cortex, which is actively involved in responses to overall environmental stimuli [5], and the amygdala, associated to emotional processing and stress responses [6]. The prefrontal projections to the amygdala have shown activated in stress responses [7], but also the projections from hippocampus to the amygdala and prefrontal cortex [8]. These mechanisms have been captured in EEG studies, showing a high diversity of responses, including hemispheric changes in alpha power and wide-range variability in the EEG spectrum [9,10].

There is a strong relationship between stress and autonomic activity (for a review, see Lamotte et al., 2021), in a similar direction as occurs with emotions processing [11,12]. For instance, cardiovascular dynamics are part of the steady responses to increased arousal, as elicited from mental and physical stress conditions [13,14]. Stress conditions can become emotionally challenging [15], therefore, the ability to exert control over the physiological responses to stress (i.e., stress regulation) is directly related to the physiological mechanisms of emotion regulation [16]. Recently, emotion regulation has shown to involve an active brain–heart information exchange [17]. Still, stress responses do not require the explicit mental representation of the emotional state [12], and therefore, the neurophysiology of stress may diverge in some communication pathways with respect to emotional arousal. Some studies have described and hypothesized some components of the brain–body interplay under mental stress (for a review, see Schulz and Vögele, 2015). However, the existing experimental evidence has not yet described the mutual and bidirectional interplay between central and autonomic nervous systems under stress. The existing EEG evidence is described as neural correlates, which does not imply causality between the observed parallel changes in brain and autonomic activities [19]. For instance, acute stress triggers parallel fluctuations in heart rate variability and functional connectivity between central executive and default mode networks [20]. Neural responses to heartbeats have been described as a potential indicator of stress, because of the correlations found with sympathetic

indexes [21]. Similarly with the correlations found between EEG power and autonomic indexes under mental stress [22].

To better understand the brain–heart interplay mechanisms involved in mental stress we explore the mutual modulations between EEG oscillations and cardiac sympathetic/parasympathetic activities, as proposed in the Sympathovagal Synthetic Data Generation model (SV-SDG) [23]. The evidence in physiological and cognitive conditions suggests that mental stress may encompass an active brain–heart interplay, from the bidirectional interactions observed under thermal stress [23], to the role of the central autonomic network for thermoregulatory responses to mental stress [24], and the active role of ascending vagal inputs in emotion elicitation [25]. The model provides time-varying interaction estimates for both sympathetic and parasympathetic activities as linked to a specific EEG oscillation at a given frequency band. The framework embeds a heartbeat generation model based on the estimation of sympathetic and parasympathetic activities from a Laguerre expansions of the heartbeat series [26]. We test the SV-SDG model in a dataset of 37 healthy human participants undergoing three increasing levels of mental stress, including visual stimulation and memory tasks.

2. Materials and methods

2.1 Dataset description

This study comprised 37 healthy participants undergoing a mental stress task (age median 30 years, age range 22–45 years, 20 males, 17 females). Participants were asked to sit comfortably and follow instructions on screen. The recordings include EEG (9-channel, Biopac B-Alert) and one lead ECG, sampled at 256 Hz.

This study was performed in accordance with the Declaration of Helsinki. Neurons Inc. follows the rules and laws of the Danish Data Protection Agency. Neurons Inc's data protection policy also follows the European Union law of the General Data Protection Regulation, as well as the ethical regulations imposed by the Neuromarketing Science and Business Association, Article 6. Each person's biometric data, survey responses, and other types of data were anonymized and only contained the log number as the unique identifier. Personal information cannot be identified from the log number.

2.2 Experimental protocol

The protocol included four stress load conditions presented in a parametric modulation setup: 1-minute rest and three different stress load conditions, with a total duration of 15 minutes approximately. The stressors were presented in the same order to all participants. The first stress load condition consisted in watching a documentary. The second stress load condition consisted in watching a documentary in parallel to a digit span task. The third stress load condition consisted in watching a documentary, performing the digit span task and the red box task in parallel. Participants were asked to report the level of stress of the three stressors on a discrete scale between 1 to 7.

The documentary "The Reality of Van Life", Different Media © 2018, first five minutes are projected in screen as first stressor (Figure 1A). The digit span task starts with a fixation cross for 1.5 s. Then three digits are presented for 5 s, followed by a blank screen for 4 s. Then the participant is asked to verbally say the three digits in up to 5 s (Figure 1B). The red box task, run in parallel to the digit span task (Figure 1C), starts with a fixation cross for 1.5 s. Then a red box (4x4 red and white box pattern) is presented for 3 s. Next, the three digits are presented for 5 s, followed by a blank screen for 4 s. Then the participant is asked to verbally say the three digits in up to 5 s. Consecutively, a red box is presented, and the participant is asked if the pattern matches to the previously presented one (yes or no answer).

Figure 1. Experimental protocol. (A) Sample image from stress load condition 1, The Reality of Van Life, Different Media © 2018. (B) Sample image from stress load condition 2: digit span task. (C) Sample figure from stress load condition 3: digit span task + red box task.

2.3 EEG pre-processing

EEG data were pre-processed using MATLAB R2022a and Fieldtrip Toolbox [27]. EEG data were bandpass filtered with a Butterworth filter of order 4, between 0.5 and 45 Hz. Large movement artifacts were visually identified and removed manually from independent component space using wavelet filtering. Consecutively, the Independent Component Analysis (ICA) was computed to visually recognize and reject the eye movements and cardiac-field artifacts from the EEG data. One lead ECG was included as an additional input to the ICA to enhance the process of finding cardiac artifacts. Once the ICA components with eye movements and cardiac artifacts were visually identified, they were removed to reconstruct the EEG series. Channels were re-referenced using a common average, which is the most appropriate for a brain–heart interplay estimations [28].

The EEG spectrogram was computed using the short-time Fourier transform with a Hanning taper. Calculations were performed through a sliding time window of 2 seconds with a 50% overlap, resulting in a spectrogram resolution of 1 second and 0.5 Hz. Then, time series were

integrated within five frequency bands (delta: 1-4 Hz, theta: 4-8 Hz, alpha: 8-12 Hz, beta: 12-30 Hz, gamma: 30-45 Hz).

2.4 ECG data processing

ECG time series were bandpass filtered using a Butterworth filter of order 4, between 0.5 and 45 Hz. The R-peaks from the QRS waves are detected in a procedure based on template-matching method [28]. All the detected peaks were visually inspected over the original ECG, along with the inter-beat intervals histogram. Manual corrections were performed where needed and guided from the automatic detection of ectopic beats [29].

2.5 Functional brain–heart interplay assessment

The Sympathovagal Synthetic Data Generation model (SV-SDG) provides time-variant estimates of the bidirectional functional coupling between heartbeat and brain components. The model uses the estimation of sympathetic and parasympathetic activities proposed in [26,30].

2.5.1 Functional Interplay from the brain to the heart

The descending interplay is quantified through a model of synthetic heartbeat generation based on Laguerre expansions of RR series (see Candia-Rivera et al., 2021a for further details). Briefly, heartbeats generation is based on the modulation function $m(t)$, which contains the fluctuations with respect to the baseline heart rate. Such fluctuations are modeled including the sympathetic and parasympathetic interplay. In Eq. (1), the modulation function is expressed as a linear combination of sympathetic (SAI) and parasympathetic activity index (PAI), and their respective control coefficients C_{SAI} and C_{PAI} representing the proportional central nervous system contribution:

$$m(t) = C_{SAI}(t) \cdot SAI(t) + C_{PAI}(t) \cdot PAI(t) \qquad (1)$$

The modulation function is then taken as input to an integrate-and-fire model [26]. The model is fitted on the RR interval series using a 15-seconds sliding time window and a linear regression model with no constant term. Then, the interaction between heartbeat dynamics and the cortical activity is defined as:

$$SDG_{EEG\ F \to X}(t) = C_X(t)\ /\ EEG_F(t\text{-}1) \qquad (2)$$

where $X \in \{SAI, PAI\}$, and EEG_F indicates the time-varying EEG power with $F \in \{\delta, \theta, \alpha, \beta, \gamma\}$.

2.5.2 Functional Interplay from the heart to the brain

The functional interplay from heart to brain is quantified through a model based on the generation of synthetic EEG series using an adaptative Markov process [31]. The model is fitted using a least-square auto-regressive process to estimate cardiac sympathovagal contributions to the ongoing fluctuations in EEG power as:

$$EEG_F(t) = \kappa_F \cdot EEG_F(t-1) + \Psi_F(t-1) + \varepsilon_F \qquad (3)$$

where F is the EEG frequency band, κ_F is a fitting constant, ε_F is the adjusted error, and Ψ_F indicates the fluctuations of EEG *power in the F*. Then, the heart–to–brain functional coupling coefficients are calculated as follows:

$$SDG_{X \to EEG\ F}(t) = \Psi_F(t) / X(t) \qquad (4)$$

where X ∈ {SAI, PAI}. For further details, please see Candia-Rivera et al., 2022a.

The software for computation of SAI and PAI is available at *www.saipai-hrv.com*. The source code implementing the SV-SDG model is available at www.*github.com/diegocandiar/brain_heart_svsdg*.

2.6   Multivariate analysis

The multivariate analysis included a prior selection of features from SV-SDG coefficients. The selection is based on the ranking provided by the computation of Minimum Redundancy Maximum Relevance (MRMR) scores [32].

The MRMR score computation algorithm is as follows:

1. The relevance V_x of all features x is computed. The feature with the largest relevance $\max\limits_{x \in \Omega} V_x$ is selected. The selected feature is added to an empty set of features S.

   V_x is defined as:

   $$V_x = \frac{1}{S \vee \sum_{x \in S} I(x,y)} \qquad (5)$$

   Where $S \vee$ is the number of features in S and $I(x,y)$ is the mutual information between the feature x and the output y:

   $$I(x,y) = \sum_{ij} p(x_i, y_j) log \frac{p(x_i, y_j)}{p(x_i)p(y_j)} \qquad (6)$$

2. Next, the features with non-zero relevance V_x and zero redundancy W_x in S^c (complement of S) are identified. Then, select the feature with the largest relevance, $\max\limits_{x \in S^c, W_x=0} V_x$. The selected feature is added to the set S.

   W_x is defined as:

$$W_x = \frac{1}{|S|^2} \sum_{x,z \,\in S} I(x,z) \qquad (7)$$

If S^c does not include a feature with non-zero relevance and zero redundancy, skip step number 3

1. Repeat step number 2 until the redundancy W_x is not zero for all features in S^c.
2. Select the feature with the largest MIQ, with non-zero relevance and non-zero redundancy in S^c, and add the selected feature to the set S.

   MIQ is defined as:

$$\max_{x \,\in\, S^c} MIQ = \max_{x \,\in\, S^c} \frac{V_x}{W_x} = \max_{x \,\in\, S^c} \frac{I(x,y)}{\frac{1}{|S|}\sum_{z \in S} I(x,z)} \qquad (8)$$

3. Repeat Step 4 until the relevance is zero for all features in S^c.
4. Add the features with zero relevance to S in random order.

The multivariate analyses are performed in a 5-fold cross-validation. Linear regressions to the stress level are performed using least squares kernel regression, using MATLAB 2022a default parameters. The stress level was defined at rest = 0, and the three experimental conditions as the group median reported level of stress (stressor 1 = 1, stressor 2 = 4, stressor 3 = 5). The regression performance is measured with Root Mean Squared Error (RMSE) in the estimation of group median level of stress. Binary classifications are performed using kernel naïve Bayes classifier, using MATLAB 2022a default parameters. To perform binary classifications, the four experimental conditions were divided in either low or high stress, where low stress encompass rest and stressor 1, and high stress the stressors 2 and 3. The classification performance is measured through the accuracy on identifying high or low stress.

2.7 Statistical analysis

Group-wise statistical analysis between resting state and the three stressors studied through non-parametric Friedman test and two-conditions comparisons through Wilcoxon signed-rank test. The statistical tests were performed over individual EEG channels, in which the inputs correspond to SV-SDG coupling coefficient computed at different experimental conditions. The

significance level of the *p*-values was corrected in accordance with the Bonferroni rule for 9 channels, with an uncorrected statistical significance set to alpha = 0.05. The samples were described group-wise using the median, and related dispersion (variability) measures were expressed as the median absolute deviation (MAD).

3 Results

The experimental protocol consisted in a resting state period and consequent three levels of stress. The participants' reports on the perceived level of stress are displayed in Figure 2, where the group median reported stress levels are 1, 4 and 5, for stress load conditions 1, 2 and 3, respectively. All three stress load conditions reports are significantly different from each other.

*Figure 2. Reported stress level for the three stressors: i) stress load condition 1: documentary, ii) stress load condition 2: documentary + digit span task, iii) stress load condition 3: documentary + digit span task + red box task. **** < 0.00005*

The autonomic dynamics are assessed with estimators of sympathetic and parasympathetic activity (SAI and PAI, respectively). Figure 3 depicts the group distributions of SAI and PAI median and variability values. Significant differences are observed between experimental conditions, in which the three stress load conditions cause an increase in the autonomic variability, as compared to rest.

Figure 3. (A) SAI and PAI median. (B) SAI and PAI variability as measured with median absolute deviation (M.A.D.), at the four experimental conditions. The time-varying autonomic indexes were z-score normalized for the whole experimental protocol duration before computing median and M.A.D values. Stress load condition 1 consists of

We further explored whether the strong differences observed in the autonomic markers variability relate to brain–heart interplay. Figure 4 illustrates the Friedman tests results, in which are assessed the group-wise changes among all experimental conditions. Ascending interactions present a stronger change on brain–heart interplay variability, as compared to descending interactions. In contrast, the cortical power variability is not significantly increased by mental stress (see Figure 4 bottom row), as compared to brain–heart interplay markers.

Figure 4. Friedman test on brain–heart interplay variability and EEG power variability at the four experimental conditions. Colormaps indicate the Friedman test statistic. White electrodes indicate p < 0.05/9.

To further explore the potential interactions and complementarity between brain–heart interplay markers, we performed multivariate analyses. The MRMR was computed over the 180 markers (180 = 2 directions x 2 autonomic markers x 5 brain oscillations x 9 channels) in two conditions: (i) linear regression to the group median reported stress level in each condition, and (ii) binary classification between low vs high stress level. The best five markers for the two cases are reported in Figure 5A and B. In both multivariate analyses, ascending markers from SAI and PAI are prevalent. The regression to the median stress level ranks SAI→beta as best marker, as measured with MRMR scores. The binary classification to low vs high stress level ranks PAI→gamma as best marker but showing a better separability in SAI→beta and SAI→delta. Using the best five selected markers (see Table 1) under the MRMR criteria to the regression to group median, the obtained RMSE is 1.6851. The regression output shows a significant difference to all pair comparisons, with exception of the stressor 2 vs stressor 3 comparison (Figure 5C). Using the best five selected markers (see Table 1) under the MRMR criteria to the binary classification obtained an accuracy of 77% (Figure 5D).

Figure 5. (A) Best five brain–heart interplay markers to regress the stress level (regression to the group median stress level: rest=0, stressor1=1, stressor2=4, stressor3=5). (B) Best five brain–heart interplay markers to classify low vs high stress level (low: rest + stressor1, high: stressor2 + stressor3). (C) Model output to the stress level linear regression using the best five markers under the MRMR criteria. (D) Model output to the stress level linear binary classification using the best five markers under the MRMR criteria. Yellow circles are low stress and orange circles are high stress conditions. **** p < 0.00005.

Table 1. Minimum redundancy maximum relevance (MRMR) scores of the best five features for regression to the level of stress (rest=0, stressor1=1, stressor2=4, stressor3=5) and for the binary classification low vs high stress (low=rest+stressor1, high=stressor2+stressor3).

	1st feature	2nd feature	3rd feature	4th feature	5th feature
Regression	SAI→β, Cz MRMR=0.2395	PAI→α, F3 MRMR=0.2247	PAI→γ, F3 MRMR=0.1771	SAI→θ, C3 MRMR=0.1669	PAI→δ, P4 MRMR=0.1521
Binary classification	PAI→γ, C3 MRMR=0.1464	SAI→β, P3 MRMR=0.1399	SAI→δ, P4 MRMR=0.1156	PAI→β, P4 MRMR=0.0473	SAI→δ, F3 MRMR=0.0453

In Figure 6 is shown one single subject example. The evolution of SAI→beta and SAI→delta modulations is presented for the whole experimental protocol. An overall increased variability of both markers can be observed in stress load conditions 2 and 3, as compared to rest and stress load condition 1, which can be clearly observed in the case of SAI→beta, Fz channel.

Figure 6. Exemplary participant during the experimental protocol in their fluctuations in (A) SAI→beta and (B) SAI→delta modulations.

4　Discussion

Building upon existing evidence on brain–heart interplay in emotional arousal [25], thermal stress [23], and thermoregulation under mental stress [24], we hypothesized a direct brain–heart interplay involvement under mental stress. The existing literature highlights the presence of parallel changes in brain dynamics and heart-rate variability. However, very little is known whether these parallel changes have a functional relationship and whether mutual modulations exist on time.

The median values of SAI and PAI are less successful on separating the stress conditions, as compared to the variability. We observed an increase of SAI variability with respect to the stress level. However, PAI values did not follow an increased variability as compared to SAI, where the highest stress condition (stress load condition 3) presented a lower group median compared to stress load condition 2, although non-significant. These results indicate a dynamical and nonlinear behavior of autonomic dynamics under mental stress.

We observed that the variability of autonomic markers gave higher separability between the experimental conditions, as compared to the median values. Therefore, we analyzed the brain–heart interplay variability under four different levels of stress. Our results showed that ascending heart–to–brain modulations better reflect the level of stress, as compared to descending brain–to–heart modulations. We observed an active and dynamic fluctuations of both branches of the autonomous nervous system, as measured by SAI and PAI markers. The measured ascending modulations from SAI and PAI are observed over all frequency bands studied. In this study. the main component involved in stress levels is SAI→beta. The involvement of beta waves in mental stress has been previously reported [33]. However, the existing evidence on EEG and stress shows high diversity and heterogeneity of results, being all bands from delta to gamma involved in stress elicitation. Some studies suggest that different dimensions of stress are associated with the interactions between different frequency bands, such as alpha-beta interactions [10,34,35], theta-beta interactions [34,36], alpha-gamma interactions [37–39], and theta-alpha interactions [38]. The high variability of the existing studies may be related to the subjectivity associated to either reported or perceived stress [37], but also to the mental strategies used when performing the different stress tasks [40]. For instance, the processing of multiple signals in parallel requires access to the working memory system, which dynamically resets to adapt to environmental demands [41]. Another source of variability may be associated with the level of cognitive demand during the tasks, which may not be directly related to the stress level [42]. In this study, the digit span task involved a verbal report, which is also associated to differentiated EEG signatures in parieto-occipital desynchronizations of lower alpha [43]. We observed an activation in both frontal and parietal regions, confirming their involvement in stress for overall stimulations in

frontal and prefrontal structures [5], and for general cognitive operations in parietal structures [44].

On the autonomic side, sympathetic activity is associated with mental stress elicitation, as measured from systolic blood pressure, heart rate, ventricular ejection fraction, and skin conductance [21]. The measurements on heart rate variability show that mental stress induced from mental arithmetic tasks causes increase in low frequency fluctuations, and a decrease in high frequency [35,45–47], suggesting an increase in the sympathetic tone, and a decrease in the parasympathetic one. More recently, stress showed changes in heart-rate's non-linear dynamics [48], indicating more complex dynamics, beyond an increase or decrease of autonomic nervous system activities. These autonomic variations have been reported as well to be associated with fluctuations in attention [49]. High frequency activity is associated with memory retrieval, as it correlated with performance and reaction times [50,51]. The existing evidence indicates that mental stress elicitation through mental calculation and memorizing tasks involves a dynamic interaction between sympathetic and parasympathetic activities, in which sympathetic activity is involved in slow-paced stress elicitation and parasympathetic activity is involved in memory retrieval and action execution [46]. The active role of autonomic activity has been previously suggested in executive functioning as well (e.g., self-control and working memory) [52–55].

With respect to the directionality of the modulations between brain and heart, the existing evidence suggests that stress systems performs a top-down control at brain level [6,56]. However, under psychological stressors, if the activity of the amygdala and hippocampus becomes more prevalent, the stress system can switch to a bottom-up control [57]. Experimental evidence shows that brain responses precede the cardiac responses, as measured in EEG [35,39] and fMRI [58,59]. While top-down signaling may be predominant, the bidirectional interactions exists [59], as the ascending arousal system shapes brain dynamics to mediate awareness of mental states [60] and to facilitate performance at different tasks [61,62]. In fact, stress regulation encompass the processes involved in emotion regulation [16], and ascending sympathetic-vagal inputs shape physical and emotional arousal [23,25]. The role of ascending visceral inputs show that the anterior insula integrates interoceptive signals during emotional and cognitive processing, being these processes involved to the monitoring of the physiological state of the body [63]. The projection neurons from interoceptive networks in the brain target autonomic control areas, which are relevant to predict the physiological states of the body, and then send them through cortico-cortical projections to the posterior insular cortex [64]. These brain predictions and adjustments using information from bodily activity may trigger both emotional feelings and homeostatic responses [12]. The functional brain–heart interplay under stress elicitation has been shown in heartbeat-evoked potentials correlating with stress-induced changes in cardiac output [21], and correlates of functional connectivity with heart rate variability [20]. The role of cardiac inputs in

the neurophysiology of stress is also supported by the experimental evidence showing an increased information flow from heart-to-brain during increased attention [49] and disrupted abilities on detecting cardiac and respiratory signals from oneself under anxiety [65,66].

We showed that a multivariate analysis can help to better distinguish between stress levels, as compared to individual autonomic markers, given that ascending sympathetic modulations to delta and beta oscillations provide complementary information. The use of low-density EEG in this study is certainly a limitation to understand the brain mapping and cortical dynamics of stress neurophysiology, but also confirms the usability of this kind of devices to detect levels of stress with potential commercial applications. The study of mental stress elicited in other paradigms, such as mental arithmetic, could give a broader view of the physiological processes involved in brain–heart information exchange. Our study confirms the advantages of analyzing the interactions between brain and heart, instead of studying heart rate and brain dynamics separately [19,67], to understand the origin and potential pathways of the observed physiological changes. The understanding of brain–heart dynamics and the neurophysiological substrates of stress has clinical relevance. Heart rate variability markers are acknowledged to reflect autonomic dysregulation, which may lead to morbidity and mortality [68,69]. The evidence also shows differences in heart rate variability between healthy humans and different mood disorders, but also as a marker of the effects of antidepressant medications [68]. The description of stress mechanisms can enlighten the apparent relationships with cardiac death [70], cardiovascular disease [71], sudden death [72], and psychiatric disorders [73]. The evidence in other markers of brain–heart interplay shows as well that the dynamic interaction of these systems may relate to different aspects of mental health [21,74,75].

5 Conclusions

Our results demonstrate that stress neurophysiology comprises dynamic and bidirectional cortico-cortical and brain–body interactions, where bodily feedback is involved in shaping the perceived mental stress. These results are in line with the experimental evidence showing a dynamical information exchange between central and autonomous nervous systems in emotional arousal and physical stress. We conclude that stress levels can be effectively measured from brain–heart interplay estimators.

6 Acknowledgements

The research leading to these results has received funding from the European Commission - Horizon 2020 Program under grant agreement n° 813234 of the project "RHUMBO".

7 Authors' contributions

Conceptualization: D. Candia-Rivera and T. Z. Ramsøy; Data curation: K. Norouzi; Formal analysis and Investigation: D. Candia-Rivera and G. Valenza; Methodology: D. Candia-Rivera; Supervision: T. Z. Ramsøy and G. Valenza; Writing - original draft: D. Candia-Rivera; Writing - review & editing: All authors.

References

[1]   Gunnar M and Quevedo K 2007 The Neurobiology of Stress and Development *Annual Review of Psychology* **58** 145–73

[2]   Lamotte G, Shouman K and Benarroch E E 2021 Stress and central autonomic network *Autonomic Neuroscience* **235** 102870

[3]   Ulrich-Lai Y M and Herman J P 2009 Neural regulation of endocrine and autonomic stress responses *Nat Rev Neurosci* **10** 397–409

[4]   Godoy L D, Rossignoli M T, Delfino-Pereira P, Garcia-Cairasco N and de Lima Umeoka E H 2018 A Comprehensive Overview on Stress Neurobiology: Basic Concepts and Clinical Implications *Frontiers in Behavioral Neuroscience* **12**

[5]   Ridderinkhof K R, Ullsperger M, Crone E A and Nieuwenhuis S 2004 The role of the medial frontal cortex in cognitive control *Science* **306** 443–7

[6]   Janak P H and Tye K M 2015 From circuits to behaviour in the amygdala *Nature* **517** 284–92

[7]   Burgos-Robles A, Kimchi E Y, Izadmehr E M, Porzenheim M J, Ramos-Guasp W A, Nieh E H, Felix-Ortiz A C, Namburi P, Leppla C A, Presbrey K N, Anandalingam K K, Pagan-Rivera P A, Anahtar M, Beyeler A and Tye K M 2017 Amygdala inputs to prefrontal cortex guide behavior amid conflicting cues of reward and punishment *Nat Neurosci* **20** 824–35

[8]   Godsil B P, Kiss J P, Spedding M and Jay T M 2013 The hippocampal–prefrontal pathway: The weak link in psychiatric disorders? *European Neuropsychopharmacology* **23** 1165–81

[9]   Katmah R, Al-Shargie F, Tariq U, Babiloni F, Al-Mughairbi F and Al-Nashash H 2021 A Review on Mental Stress Assessment Methods Using EEG Signals *Sensors* **21** 5043

[10]   Vanhollebeke G, De Smet S, De Raedt R, Baeken C, van Mierlo P and Vanderhasselt M-A 2022 The neural correlates of psychosocial stress: A systematic review and meta-analysis of spectral analysis EEG studies *Neurobiology of Stress* **18** 100452

[11]   Kreibig S D 2010 Autonomic nervous system activity in emotion: A review *Biological Psychology* **84** 394–421

[12]   Pace-Schott E F, Amole M C, Aue T, Balconi M, Bylsma L M, Critchley H, Demaree H A, Friedman B H, Gooding A E K, Gosseries O, Jovanovic T, Kirby L A J, Kozlowska K, Laureys S, Lowe L, Magee K, Marin M-F, Merner A R, Robinson J L, Smith R C, Spangler D P, Van Overveld M and VanElzakker M B 2019 Physiological feelings *Neuroscience & Biobehavioral Reviews* **103** 267–304

[13]   Kim H-G, Cheon E-J, Bai D-S, Lee Y H and Koo B-H 2018 Stress and Heart Rate Variability: A Meta-Analysis and Review of the Literature *Psychiatry Investig* **15** 235–45

[14]   Silvani A, Calandra-Buonaura G, Dampney R A L and Cortelli P 2016 Brain-heart interactions: physiology and clinical implications *Philos Trans A Math Phys Eng Sci* **374**

[15]   McEwen B S 2007 Physiology and Neurobiology of Stress and Adaptation: Central Role of the Brain *Physiological Reviews* **87** 873–904

[16]   Thayer J F, Mather M and Koenig J 2021 Stress and aging: A neurovisceral integration perspective *Psychophysiology* **58** e13804

[17] Klein A S, Dolensek N, Weiand C and Gogolla N 2021 Fear balance is maintained by bodily feedback to the insular cortex in mice *Science* **374** 1010–5

[18] Schulz A and Vögele C 2015 Interoception and stress *Frontiers in Psychology* **6** 993

[19] Candia-Rivera D 2022 Brain-heart interactions in the neurobiology of consciousness *Current Research in Neurobiology* **3** 100050

[20] Chand T, Li M, Jamalabadi H, Wagner G, Lord A, Alizadeh S, Danyeli L V, Herrmann L, Walter M and Sen Z D 2020 Heart Rate Variability as an Index of Differential Brain Dynamics at Rest and After Acute Stress Induction *Front Neurosci* **14** 645

[21] Gray M A, Taggart P, Sutton P M, Groves D, Holdright D R, Bradbury D, Brull D and Critchley H D 2007 A cortical potential reflecting cardiac function *Proc. Natl. Acad. Sci. U.S.A.* **104** 6818–23

[22] Pernice R, Antonacci Y, Zanetti M, Busacca A, Marinazzo D, Faes L and Nollo G 2021 Multivariate Correlation Measures Reveal Structure and Strength of Brain–Body Physiological Networks at Rest and During Mental Stress *Frontiers in Neuroscience* **14** 1427

[23] Candia-Rivera D, Catrambone V, Barbieri R and Valenza G 2022 Functional assessment of bidirectional cortical and peripheral neural control on heartbeat dynamics: a brain-heart study on thermal stress *NeuroImage* **251** 119023

[24] Nakamura K and Morrison S F 2022 Central sympathetic network for thermoregulatory responses to psychological stress *Autonomic Neuroscience* **237** 102918

[25] Candia-Rivera D, Catrambone V, Thayer J F, Gentili C and Valenza G 2022 Cardiac sympathetic-vagal activity initiates a functional brain-body response to emotional arousal *Proceedings of the National Academy of Sciences*

[26] Candia-Rivera D, Catrambone V, Barbieri R and Valenza G 2021 Integral pulse frequency modulation model driven by sympathovagal dynamics: Synthetic vs. real heart rate variability *Biomedical Signal Processing and Control* **68** 102736

[27] Oostenveld R, Fries P, Maris E and Schoffelen J-M 2011 FieldTrip: Open Source Software for Advanced Analysis of MEG, EEG, and Invasive Electrophysiological Data *Computational Intelligence and Neuroscience* **2011** 9 pages

[28] Candia-Rivera D, Catrambone V and Valenza G 2021 The role of electroencephalography electrical reference in the assessment of functional brain–heart interplay: From methodology to user guidelines *Journal of Neuroscience Methods* **360** 109269

[29] Citi L, Brown E N and Barbieri R 2012 A Real-Time Automated Point Process Method for Detection and Correction of Erroneous and Ectopic Heartbeats *IEEE Trans Biomed Eng* **59** 2828–37

[30] Valenza G, Citi L, Saul J P and Barbieri R 2018 Measures of sympathetic and parasympathetic autonomic outflow from heartbeat dynamics *Journal of Applied Physiology* **125** 19–39

[31] Al-Nashash H, Al-Assaf Y, Paul J and Thakor N 2004 EEG signal modeling using adaptive Markov process amplitude *IEEE Transactions on Biomedical Engineering* **51** 744–51

[32] Peng H, Long F and Ding C 2005 Feature Selection Based on Mutual Information: Criteria of Max-Dependency, Max-Relevance, and Min-Redundancy *IEEE Transactions on Pattern Analysis and Machine Intelligence* **27** 1226–38

[33] Hayashi T, Okamoto E, Nishimura H, Mizuno-Matsumoto Y, Ishii R and Ukai S 2009 Beta Activities in EEG Associated with Emotional Stress *International Journal of Intelligent Computing in Medical Sciences & Image Processing* **3** 57–68

[34] Wen T Y and Aris S A M 2020 Electroencephalogram (EEG) stress analysis on alpha/beta ratio and theta/beta ratio *Indonesian Journal of Electrical Engineering and Computer Science* **17** 175–82

[35] Yu X, Zhang J, Xie D, Wang J and Zhang C 2009 Relationship between scalp potential and autonomic nervous activity during a mental arithmetic task *Autonomic Neuroscience* **146** 81–6

[36] Putman P, Verkuil B, Arias-Garcia E, Pantazi I and van Schie C 2014 EEG theta/beta ratio as a potential biomarker for attentional control and resilience against deleterious effects of stress on attention *Cogn Affect Behav Neurosci* **14** 782–91

[37] Luijcks R, Vossen C J, Hermens H J, Os J van and Lousberg R 2015 The Influence of Perceived Stress on Cortical Reactivity: A Proof-Of-Principle Study *PLOS ONE* **10** e0129220

[38] Micheloyannis S, Sakkalis V, Vourkas M, Stam C J and Simos P G 2005 Neural networks involved in mathematical thinking: evidence from linear and non-linear analysis of electroencephalographic activity *Neuroscience Letters* **373** 212–7

[39] Umeno K, Hori E, Tabuchi E, Takakura H, Miyamoto K, Ono T and Nishijo H 2003 Gamma-band EEGs predict autonomic responses during mental arithmetic *NeuroReport* **14** 477–80

[40] Hinault T and Lemaire P 2016 What does EEG tell us about arithmetic strategies? A review *International Journal of Psychophysiology* **106** 115–26

[41] Kim C, Johnson N F and Gold B T 2014 Conflict adaptation in prefrontal cortex: Now you see it, now you don't *Cortex* **50** 76–85

[42] Fink A, Grabner R H, Neuper C and Neubauer A C 2005 EEG alpha band dissociation with increasing task demands *Cognitive Brain Research* **24** 252–9

[43] Grabner R H and De Smedt B 2011 Neurophysiological evidence for the validity of verbal strategy reports in mental arithmetic *Biological Psychology* **87** 128–36

[44] Gruber O, Indefrey P, Steinmetz H and Kleinschmidt A 2001 Dissociating Neural Correlates of Cognitive Components in Mental Calculation *Cerebral Cortex* **11** 350–9

[45] Dzhebrailova T D, Korobeinikova I I, Karatygin N A and Dudnik E N 2015 Dynamics of EEG α activity and heart rate variability in subjects performing cognitive tests *Hum Physiol* **41** 599–610

[46] Wang X, Liu B, Xie L, Yu X, Li M and Zhang J 2016 Cerebral and neural regulation of cardiovascular activity during mental stress *BioMedical Engineering OnLine* **15** 160

[47] Yu X and Zhang J 2012 Estimating the cortex and autonomic nervous activity during a mental arithmetic task *Biomedical Signal Processing and Control* **7** 303–8

[48] Delliaux S, Delaforge A, Deharo J-C and Chaumet G 2019 Mental Workload Alters Heart Rate Variability, Lowering Non-linear Dynamics *Frontiers in Physiology* **10**

[49] Kumar M, Singh D and Deepak K K 2020 Identifying heart-brain interactions during internally and externally operative attention using conditional entropy *Biomedical Signal Processing and Control* **57** 101826

[50] Hansen A L, Johnsen B H and Thayer J F 2003 Vagal influence on working memory and attention *International Journal of Psychophysiology* **48** 263–74

[51] Salvia E, Guillot A and Collet C 2013 The Effects of Mental Arithmetic Strain on Behavioral and Physiological Responses *Journal of Psychophysiology* **27** 173–84

[52] Duschek S, Muckenthaler M, Werner N and Reyes del Paso G A 2009 Relationships between features of autonomic cardiovascular control and cognitive performance *Biological Psychology* **81** 110–7

[53] Thayer J F, Hansen A L, Saus-Rose E and Johnsen B H 2009 Heart rate variability, prefrontal neural function, and cognitive performance: the neurovisceral integration perspective on self-regulation, adaptation, and health *Ann Behav Med* **37** 141–53

[54] Williams P G, Cribbet M R, Tinajero R, Rau H K, Thayer J F and Suchy Y 2019 The association between individual differences in executive functioning and resting high-frequency heart rate variability *Biological Psychology* **148** 107772

[55] Magnon V, Vallet G T, Benson A, Mermillod M, Chausse P, Lacroix A, Bouillon-Minois J-B and Dutheil F 2022 Does heart rate variability predict better executive functioning? A systematic review and meta-analysis *Cortex* **155** 218–36

[56] Radley J, Morilak D, Viau V and Campeau S 2015 Chronic stress and brain plasticity: mechanisms underlying adaptive and maladaptive changes and implications for stress-related CNS disorders *Neurosci Biobehav Rev* **58** 79–91

[57] Arnsten A F T 2009 Stress signalling pathways that impair prefrontal cortex structure and function *Nat Rev Neurosci* **10** 410–22

[58] Iacovella V, Faes L and Hasson U 2018 Task-induced deactivation in diverse brain systems correlates with interindividual differences in distinct autonomic indices *Neuropsychologia* **113** 29–42

[59] Pfurtscheller G, Blinowska K J, Kaminski M, Schwerdtfeger A R, Rassler B, Schwarz G and Klimesch W 2021 Processing of fMRI-related anxiety and bi-directional information flow between prefrontal cortex and brain stem *Sci Rep* **11** 22348

[60] Munn B R, Müller E J, Wainstein G and Shine J M 2021 The ascending arousal system shapes neural dynamics to mediate awareness of cognitive states *Nat Commun* **12** 6016

[61] Fujimoto A, Murray E A and Rudebeck P H 2021 Interaction between decision-making and interoceptive representations of bodily arousal in frontal cortex *PNAS* **118**

[62] Skora L I, Livermore J J A and Roelofs K 2022 The functional role of cardiac activity in perception and action *Neuroscience & Biobehavioral Reviews* **137** 104655

[63] Craig A D 2009 How do you feel — now? The anterior insula and human awareness *Nature Reviews Neuroscience* **10** 59–70

[64] Barrett L F and Simmons W K 2015 Interoceptive predictions in the brain *Nat Rev Neurosci* **16** 419–29

[65] Garfinkel S N, Manassei M F, Hamilton-Fletcher G, In den Bosch Y, Critchley H D and Engels M 2016 Interoceptive dimensions across cardiac and respiratory axes *Philosophical Transactions of the Royal Society B: Biological Sciences* **371** 20160014

[66] Harrison O K, Köchli L, Marino S, Luechinger R, Hennel F, Brand K, Hess A J, Frässle S, Iglesias S, Vinckier F, Petzschner F H, Harrison S J and Stephan K E 2021 Interoception of breathing and its relationship with anxiety *Neuron* **109** 4080-4093.e8

[67] Azzalini D, Rebollo I and Tallon-Baudry C 2019 Visceral Signals Shape Brain Dynamics and Cognition *Trends in Cognitive Sciences* **23** 488–509

[68] Kemp A H and Quintana D S 2013 The relationship between mental and physical health: Insights from the study of heart rate variability *International Journal of Psychophysiology* **89** 288–96

[69] Samuels M A 2007 The Brain–Heart Connection *Circulation* **116** 77–84

[70] Critchley H D, Taggart P, Sutton P M, Holdright D R, Batchvarov V, Hnatkova K, Malik M and Dolan R J 2005 Mental stress and sudden cardiac death: asymmetric midbrain activity as a linking mechanism *Brain* **128** 75–85

[71] Hering D, Lachowska K and Schlaich M 2015 Role of the Sympathetic Nervous System in Stress-Mediated Cardiovascular Disease *Curr Hypertens Rep* **17** 80

[72] La Rovere M T, Gorini A and Schwartz P J 2022 Stress, the autonomic nervous system, and sudden death *Autonomic Neuroscience* **237** 102921

[73] Gurel N Z, Hadaya J and Ardell J L 2022 Stress-related dysautonomias and neurocardiology-based treatment approaches *Autonomic Neuroscience* **239** 102944

[74] Catrambone V, Messerotti Benvenuti S, Gentili C and Valenza G 2021 Intensification of functional neural control on heartbeat dynamics in subclinical depression *Translational Psychiatry* **11** 1–10

[75] Iseger T A, Bueren N E R van, Kenemans J L, Gevirtz R and Arns M 2020 A frontal-vagal network theory for Major Depressive Disorder: Implications for optimizing neuromodulation techniques *Brain Stimulation: Basic, Translational, and Clinical Research in Neuromodulation* **13** 1–9