Title: Dynamic refinement of behavioral structure mediates dopamine-dependent credit assignment

- 3 Dopamine initially reinforces spatially similar and temporally proximal actions to actions that
- 4 trigger dopamine release, and drives a gradual refinement of the entire behavioral repertoire to
- 5 home-in on reward-producing actions.
- 6
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24 Abstract

25 Animals exhibit a diverse behavioral repertoire when exploring new environments and can learn 26 which actions or action sequences produce positive outcomes. Dopamine release upon 27 encountering reward is critical for reinforcing reward-producing actions^{1–3}. However, it has been 28 challenging to understand how credit is assigned to the exact action that produced dopamine 29 release during continuous behavior. We investigated this problem with a novel self-stimulation 30 paradigm in which specific spontaneous movements triggered optogenetic stimulation of 31 dopaminergic neurons. We uncovered that dopamine self-stimulation rapidly and dynamically 32 changes the structure of the entire behavioral repertoire. Initial stimulations reinforced not only 33 the stimulation-producing target action, but also actions similar to the target and actions that 34 occurred a few seconds before stimulation. Repeated pairings led to gradual refinement of the 35 behavioral repertoire leading animals to home in on the target action. Reinforcement of action 36 sequences revealed further temporal dependencies of behavioral refinement. Action pairs that tend 37 to be spontaneously separated by long time intervals promoted a stepwise credit assignment, with 38 early refinement of actions most proximal to stimulation and subsequent refinement of more distal 39 actions. Thus, a retrospective reinforcement mechanism promotes gradual refinement of the entire 40 behavioral repertoire to assign credit to specific actions and action sequences that lead to dopamine 41 release.

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47 Main Text

48 Background

49 Animals spontaneously transition amongst a repertoire of movements when exploring new

50 environments. Movements or movement sequences that produce positive outcomes are

51 reinforced and increase in frequency to maximize the obtainment of those outcomes^{4,5}.

52 However, it is still not completely clear how animals assign credit to the exact action that

53 produce reward in the context of a continuous behavioral space. This credit assignment

54 problem^{2,6–9} during spontaneous behavior poses at least two main challenges. First, it is unclear

55 how animals come to preferentially perform a specific reward-producing action or action

56 sequence above other possibilities in the behavioral repertoire. Second, it is unclear how animals

57 derive contingency between a reward-producing action and reward if there can be variable delays

58 between action performance and reward delivery.

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Dopamine (DA) has been proposed to mediate credit assignment^{6,10}. At the cellular level, DA can facilitate synaptic plasticity in corticostriatal synapses¹¹ within a critical time window that is behaviorally relevant^{12–14}. Still, it is unknown how DA changes the dynamics of spontaneous behavior to mediate credit assignment. We therefore developed a paradigm to investigate how DA shapes the evolution of continuous behavior during action learning to gain insights into the process of credit assignment.

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67 Conventional operant conditioning paradigms^{5,15–19} have helped derive principles of behavioral
68 reinforcement, but they are not ideal for studying action credit assignment. In general, such
69 paradigms do not permit the clean isolation of actions as the trigger for reward versus particular

70 locations or objects. In such paradigms, animals are also required to perform a series of 71 consummatory actions, such as approaching and interacting with reward-delivering devices to 72 retrieve reward. These requirements make it difficult to investigate how credit is assigned to a 73 specific action or action sequence in the behavioral repertoire during continuous behavior. 74 75 Until recently, technological and conceptual limits have made it difficult to study how the entire 76 structure of continuous behavior evolves as naive animals come to associate specific action or 77 action sequences with reward. To address previous limitations, we developed a new approach to 78 study action credit assignment. This approach directly reinforces specific spontaneous action(s) 79 by triggering dopaminergic neuron (DA neuron) excitation and DA release upon action 80 performance. It combines wireless inertial sensors, unsupervised clustering of continuous behavior^{20,21} and optogenetics²² into a closed-loop system linking specific action performance to 81 82 immediate phasic DA release (Methods; Fig. 1a-f). This paradigm permits action detection and 83 reinforcement without requiring an animal to approach or interact with a place/object/cue, or to

84 perform consummatory behavior. These combined features overcome the aforementioned

85 caveats associated with conventional paradigms.

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87 Rapid reinforcement of actions via closed-loop dopamine stimulation

To implement the action detection component of the closed loop system, we first classified the entire behavioral repertoire of individual mice²³ mice in a grey-walled open field using inertial sensors and unsupervised affinity propagation clustering^{20,21} (Fig. 1d). Self-paced behavior was monitored using a novel, wireless inertial sensor system (WEAR; Methods) that allows minimal movement restraints, high resolution behavior monitoring and fast data transmission to open-

93 source hardware and software for online experimentation (Fig. 1b, Extended Data Fig. 1a). 94 Affinity propagation clustering is particularly well suited to cluster an unknown number of clusters²⁰, is computationally efficient²⁴, and easily outputs similarity between clusters. 95 96 Clustering begins by processing accelerometer and gyroscope data to extract 4 features 97 discriminating postural changes, movement momentum, head and head-body rotations, and total 98 body accelerations. Feature values from 300 ms long segments of behavior were discretized into 99 histograms, upon which pairwise similarity comparisons could be made using a Earth-Mover's Distance (EMD)²⁵ metric. The similarity matrix of all possible pairwise comparisons were fed 100 101 into an unsupervised affinity propagation clustering algorithm²⁰ (Methods), identifying naturally 102 occurring repertoire of 300 ms long behavioral clusters²¹, or "actions" (Fig. 1c,Extended Data 103 Fig. 1b). The choice of 300 ms long movements was informed by previous studies^{21,26}. Using 104 these parameters, we identified over 30 clusters of spontaneous behavior per individual (34.3 +/-105 2.1 and 35.6 +/- 2.5 total actions per ChR2-YFP and YFP mice, respectively; mean +/- standard 106 deviation,15 ChR2-YFP and 10 YFP mice). We chose particular clusters of actions to be 107 reinforced (hereby named target action A).

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To implement closed-loop reinforcement, we used Cre-dependent AAV viruses (EF1a-DIOexpression cassette) to express channelrhodopsin ChR2-YFP²² or the control protein YFP
bilaterally in DA neurons of the ventral tegmental area (VTA) ^{27,28} of DAT-Cre mice (Fig.
1a,Extended Data Fig. 2a-c). Using the wireless inertial sensor, we tracked behavior
continuously in a white open field and used the similarity metric to match ongoing 300 ms
behavioral segments to exemplars representing each mouse's repertoire of actions (Fig. 1d-e).
Upon a match to a defined target action (target action A), a 25 hz, 600 ms long train of

116 optogenetic stimulation was delivered to DA neurons of the VTA parabrachial pigmented area 117 (PBP) (30-60 ms delay, Fig. 1e). These target action As were different for different animals, and 118 were dispersed across a behavioral space (Fig. 1g). To evaluate whether stimulation parameters 119 triggered DA release similar in magnitude to that triggered by sucrose reward in food restricted 120 mice, we delivered random optogenetic stimulations to ChR2-YFP- or YFP-expressing VTA DA 121 neurons while monitoring DA release with the GRAB rDA1m sensor ²⁹ in both ventral and 122 dorsal striatum (Fig. 1f). We also measured DA release in the same animals upon delivery of 123 sucrose while they were food deprived. Sucrose presentation led to a sharp increase in DA 124 release in both ventral and dorsal striatum (Fig. 1f). Interestingly, optogenetic stimulation of DA 125 neurons in VTA with the parameters described above, resulted in a similar phasic increase in DA 126 not only in ventral striatum but also in dorsal striatum (Fig. 1f). This is consistent with emerging evidence showing the existence of dorsal striatum-projecting VTA neurons^{30,31}. Thus, our 127 128 optogenetic stimulation triggered DA release similar in decay and spatial localization to that 129 triggered by sucrose reward in food restricted mice (Fig. 1f), offering us a suitable approach to 130 interrogate how pairing DA release with specific action performance leads to credit assignment. 131 132 Closed loop reinforcement for a specific action occurred over a 3-day, 60-90 minute/session 133 protocol designed to probe both intra- and inter-session changes in behavior (Fig. 1h-m, 134 Extended Data Fig. 3). Optogenetic stimulation of VTA DA neurons upon execution of a 135 particular target action (action A) resulted in significant increase in the frequency of action A for 136 ChR2-YFP, but not YFP mice (Fig. 1h, Extended Data Fig.3b). Increased action A in ChR2-YFP

137 animals depends on optogenetic stimulation, as removal of closed-loop stimulations resulted in

138 progressive extinction of action A (Fig.3h, Extended Data Fig.3d). Resuming paired stimulation

led to rapid re-instatement of action A (Fig. 1h, Extended Data Fig.3c,e). Interestingly, during
extinction, ChR2-YFP animals kept performing exploratory unrewarded bursts of action A,
which could explain rapid reinstatement (Extended Data Fig. 3e,f). This paradigm revealed that
just a few pairings with DA leads to rapid reinforcement, as changes in multiple parameters
including decreased trigger latency, increased action A frequency and increased average
behavioral similarity towards action A become significant following 10-15 stimulations (Fig. 1i,
Extended Data Fig. 4a-b).

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147 We next examined if only action A changed in frequency or if other non-stimulated actions also 148 changed with closed-loop reinforcement of action A. We calculated baseline-normalized 149 frequency of all actions in the repertoire and ordered them as a function of similarity to the target action (Fig. 1j). Earth-Mover's Distance $(EMD)^{21,25}$ was used to measure each action exemplar's 150 151 similarity to the target exemplar (Methods), with lower EMD value indicating increased 152 similarity. Surprisingly, we observed that optogenetic stimulation resulted in a dramatic change 153 in the entire behavioral repertoire. We observed that early in training actions most similar to 154 target tended to also increase in frequency (Fig. 1j-l, Extended Data Fig. 4c) whereas actions 155 most dissimilar to target tended to decrease in frequency. Repeated pairing led to refinement of 156 the actions that were performed at high frequency, and by late stages action A became the 157 predominant action being performed, with a sharp drop-off of non-target action frequencies as 158 similarity to target decreased (Fig. 1k-l). Such effects were not observed in YFP controls 159 (Extended Data Fig. 4d-e). These data suggested that early reinforcement results in rapid 160 reshaping of the entire behavioral repertoire, biasing animals towards actions similar to the target action, and continued pairing resulted in gradual refinement and assignment of credit to thespecific target action.

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164 Dynamics of behavioral refinement during reinforcement

165 To better describe individual action dynamics during reinforcement, we categorized actions (511 166 actions, n=15 ChR2-YFP animals) by the trajectories of their changes in frequency throughout 167 learning (Methods). Three meaningful types of trajectories were categorized, comprising over 168 94% of all actions. These types were characterized by either initial increase that remained stable 169 (Sustained Increase), initial increases that decreased over time (Transient Increase) and initial 170 decreases that remained stable (Decreased) (Fig 1m, Extended Data Fig. 5-6). We again 171 confirmed that the frequency dynamics type of each particular action was related to its similarity 172 to target, regardless of whether actions were sorted based on their raw or percentile similarity 173 scores (Extended Data Fig. 6b-c). Actions most similar to target were predominately Sustained 174 Increase types, while moderately similar actions mostly comprised of Sustained Increase or 175 Transient Increase types and more dissimilar actions are more of the Decreased type (Extended 176 Data Fig. 6b-c). Taken together, these finer resolution analyses indicate again that the dynamics 177 of action frequency are related in great part to the similarity to target action.

178

179 Reinforcement and refinement after reversal of action-reward contingencies

Next, we asked if animals could follow changes in contingency between action and closed-loop
DA stimulation. We therefore chose a different action, action B, which is clearly distinct from
the action A for each animal (Methods, Fig. 2a, Extended Data Fig. 1c) and started delivering
DA neuron optogenetic stimulation after action B. Chosen action A/B pairs were relatively

184 dissimilar in the context of entire action similarity distributions (Fig. 2b). Upon reinforcement, 185 previously trained ChR2-YFP, but not YFP animals showed increased action B performance 186 over time (Fig. 2c-e, Extended Data Fig. 7). In contrast, action A frequency changes clearly 187 moved in the opposite direction from that of action B over time (Fig. 2c). Maintenance of action 188 B performance depended on continual reinforcement (Fig. 2c, Extended Data Fig. 7d-e). Similar 189 to action A, action B credit assignment unfolds by initially biasing the entire repertoire, i.e., 190 increasing the frequency of similar actions and reducing the frequency of dissimilar actions. This 191 was again followed by gradually refining for action B relative to similar actions as pairing 192 progressed (Fig. 2d-e, Extended Data Fig. 7f). To confirm that action learning is contingent on 193 action B appearing before reinforcement, we subjected trained animals to a contingency 194 degradation protocol in which we delivered a similar number of random stimulations uncoupled 195 to action B performance. Action B performance decreased following contingency degradation 196 and could be re-instated upon resuming the action B-stimulation contingency (Fig. 2f, Extended 197 Data Fig. 7g). These experiments indicate that animals can follow changes in the contingency 198 between actions and DA release and assign credit to a new action through a similar process of 199 behavioral repertoire refinement.

200

Although animals show similar patterns of behavioral refinement for actions A and B, animals that previously credited an action (action A) for DA release did initially respond to reinforcement of a new action (action B) differently from naïve animals (Fig. 2g-j). Whereas naïve animals responded to initial reinforcements for target action A by significantly increasing action A performance relative to the non-target action B (Fig. 2g,i,left graph), animals with a history of reinforcement on action A animals responded to initial reinforcements of action B by increasing non-target action A performance (Fig. 2g,i,right graph). This trend reverses later such that target
action B becomes significantly increased over the non-target action A (Fig. 2g,i,right graph).
YFP control animals showed no such trends (Fig. 2h,j). Thus, DA reinforcement does not simply
reinforce the recently performed, temporally contiguous action, but trigger previously credited
actions in the face of a new action-reward contingency that is not yet learned. This suggest again
that animals learned the contingency between action performance and DA release.

213

214 Temporal constraints of DA-dependent reinforcement

215 The contingency degradation results above indicate that the temporal relation between target 216 action and DA phasic activity is important for reinforcement (Fig. 2e). Reinforcement is thought 217 to occur on behavior that precedes reward in time^{10,12,14,19}, and while temporal contiguity between action and reinforcement has long been recognized $^{32-34}$, it is not clear how the position 218 219 of an action relative to the time of DA phasic activity influences its subsequent frequency. We 220 investigated if in addition to behavioral similarity, the temporal relationship between action and 221 stimulation influenced the dynamics of behavioral repertoire evolution during reinforcement and 222 credit assignment.

223

We observed that the median inter-target action interval decreased with stimulation in ChR2-YFP mice (Fig. 3a,b). We therefore examined the distribution of the action dynamic types categorized above (Sustained Increase, Transient Increase, Decreased) according to both an action's similarity to target and the median time of that action's performance leading into target during baseline, before reinforcement protocol began (Fig. 3c-e). Action dynamic types showed distinct distribution patterns for these two dependent variables (similarity and time). Further,

230 these two dependent variables were not significantly collinear (Methods). Thus, action similarity 231 to target as well as baseline temporal proximity to target should together predict action dynamic 232 type upon reinforcement better than either factor alone. To test this idea, we performed 233 multinomial logistic regression to assess whether 1- or 2-factor models best fit the observed 234 dynamics pattern that an action would follow upon reinforcement (Fig. 3f,g). The two-factor 235 model outperformed either one-factor models, and prediction of action dynamics type with this 236 model was significantly above chance as assessed by precision-recall curves, which is suitable 237 for evaluating datasets with imbalanced categories³⁵ (Fig. 3g). The beta coefficients indicated 238 that increased similarity to target and decreased median time to target increases prediction of 239 Sustained Increase and Transient Increase dynamic types relative to Decreased types 240 (Supplementary Table). These results suggest that DA may reshape behavioral repertoire by 241 reinforcing not only actions similar to the target action but also actions that happen to be 242 performed temporally close to the reinforcer, as suggested before^{10,12,14,19}.

243

244 To more rigorously test whether DA reinforcement acts in a retrospective or prospective manner, 245 we increased the resolution of analysis by examining 1st order action transitions leading into and 246 out of stimulation (Fig. 3h-j). By focusing analysis on action transitions enriched within specific 247 1.2 second moving windows, one could distinguish more clearly behavior that occurred leading 248 up to, during, and after DA stimulation. Our analyses showed that action transitions enriched in 249 windows up to 1.2 seconds prior to stimulation onset, as well as during stimulation, are 250 reinforced early on (Fig. 3i). However, this did not occur to action transitions following 251 stimulation, suggesting an asymmetric process. Indeed, action transitions enriched in windows 252 leading into stimulation were also preferentially reinforced relative to those enriched in windows

after stimulation (Fig. 3j). Thus, DA stimulation promotes reinforcement of behaviors occurring
during stimulation and a few seconds before stimulation.

255

256 Credit assignment for action sequences

257 In the real world, when animals are spontaneously shifting between actions in their repertoire, 258 outcomes are often not the result of a single action but rather of a sequence of actions performed 259 at variable intervals. We therefore investigated the dynamics of reinforcement when the release 260 of DA is contingent upon the performance of a sequence of 2 actions (target action 1 and 2, T1 261 and T2). We applied closed loop optogenetics to ask whether naïve animals can learn a $T1 \rightarrow T2$ 262 reinforcement rule, where the delays between T1 and T2 are governed by the spontaneous 263 behavior of the animals and not experimentally controlled (n=15 ChR2-YFP and 10 YFP mice, 264 Fig. 4a, Extended Data Fig. 2a,d-e, Extended Data Fig. 8-10). Various T1/T2 pairs were 265 sampled, with focus on sequences sharing general commonalities in movement order across 266 animals (Extended Data Fig. 1d,f-g). Overall, mice learned to increase the performance of a 267 sequence of two actions to obtain DA stimulation. Some animals showed a ChR2-dependent 268 increase in reinforcement within 5 sessions, but others experienced a lag in learning (Fig. 4b). 269 We hypothesized that this could relate to the initial time distance between T2 trigger and the 270 closest distal T1 (T1 \rightarrow T2 interval). Indeed, animals reinforced for action pairs with initially long 271 interval values tended to show slower learning curves (Fig. 4c-d). To capture a learning time 272 point whereby individuals reach similar rising phase in their respective learning curves, a criterion frequency was set (Methods). 14 of 15 trained animals eventually reached criterion 273 274 (Fig. 4e; Extended Data Fig. 8a-c). Sequence performance depended on continuing DA 275 reinforcement (Fig. 4f,g). Learning was also revealed by decreases in the median T1 \rightarrow T2 time

276 intervals (Fig.4h-i) and convergence of T1-to-T2 frequency ratio towards 1 (Fig. 4j). To quantify 277 the specific credit assignment of T1 and T2 we used a refinement index that compares the 278 median frequency of actions uniquely similar to T1 with those uniquely similar to T2, with the 279 frequencies normalized by either that of T1 or T2 (Methods). Values lower than 1 indicate that 280 the target actions are being performed even more frequently than similar actions, and thus 281 indicate greater refinement (Methods). By the end of learning, T1 and T2 became credited as the 282 reward-producing actions relative to their similar counterparts (Fig. 4k). YFP controls did not 283 show any of these trends (Fig.4c-d,4g-h). Thus, closed loop reinforcement promoted learning of 284 a two-action sequence rule in freely moving mice starting from a naïve state. 285 286 Importantly, the initial median T1 \rightarrow T2 interval performed by ChR2-YFP animals was inversely 287 related to the eventual number of sessions required for each animal to reach criterion frequency 288 (Fig. 41). A sigmoidal curve was fit to the data, showing that animals with longer open field 289 $T1 \rightarrow T2$ intervals beyond the sigmoidal midpoint tended to face sudden increase in sessions to 290 reach criterion frequency (Fig. 41). ChR2-YFP animals were divided according to the half-291 maximum point of the sigmoidal curve into 'Fast Learners' and 'Slow Learners'. Fast Learners 292 quickly reached criterion frequency and low $T1 \rightarrow T2$ time intervals, whereas Slow Learners 293 experienced a time lag in reaching criterion frequency and low $T1 \rightarrow T2$ intervals. Slow Learners 294 tended to suddenly increase the frequency of sequence performance in sessions that showed a 295 drop in the median $T1 \rightarrow T2$ interval to below 2-4 seconds (Fig.4d,h). In contrast, there was no 296 stable sigmoidal relationship between T1-T2 action similarities and sessions to criterion frequency (Extended Data Fig. 8d). Thus, the initial median time distances between distal action 297

T1 and proximal action T2(which produced DA stimulation) modulated how fast animals learnedto effectively perform the reinforced action sequence.

300

301 If DA is acting retrospectively to reinforce actions performed earlier in time, we hypothesized 302 that the action most proximal to reinforcement, T2, should experience earlier refinement relative 303 to the distal action, T1. We again used the median target normalized frequencies of actions 304 uniquely related to T1 or T2 as refinement indices (Methods). Proximal T2 clearly refines 305 towards its most refined level earlier than the distal T1, at least in some animals (Fig. 5a). By 306 subtracting the area under the refinement curve for T1 from the curve for T2, one could calculate 307 differential refinement between the two actions. Positive values indicate refinement 308 preferentially favoring T2, and vice versa. A linear relationship was found between open field 309 median T1 \rightarrow T2 interval and differential refinement between T1 and T2 (Fig. 5b). This suggests 310 for longer T1 \rightarrow T2 median intervals, the proximal action T2 spends more sessions being more refined than the distal action T1. In contrast, there was no significant linear relationship between 311 312 the initial intervals between the execution of the proximal action that led to reward and the next 313 initiation of the sequence $(T2 \rightarrow T1)$ or of the similarity between T1 and T2 actions, and the 314 dynamics of differential refinement between T1 and T2 (Fig. 5b, right graph, Extended Data Fig. 315 9a).

316

We next investigated if the differential refinement between T1 and T2 was different for slow and fast learners. We analyzed changes in T1-T2 refinement curves relative to 'Starting Points' at which the refinement indices of T1 and T2 are most similar or are biased towards the distal T1 rather than the proximal T2 action (Methods). All Slow Learners showed a pattern where they

321 initially refine the repertoire of T2 from these Starting Points, and after reaching a maximum 322 Turning Point, they start showing a bias towards greater T1 refinement (Fig. 5c). Notably, by 323 these Turning Points the median intervals of T1 \rightarrow T2, but not T2 \rightarrow T1 events had decreased 324 significantly relative to initial values (Fig. 5d, Extended Data Fig. 9b). Therefore, the median 325 T1 \rightarrow T2 interval decrease occurred before a decrease in the interval to perform the next sequence 326 $(T2 \rightarrow T1)$, which started decreasing after the Turning Point (Fig. 5e). Using these learning 327 landmarks, we asked more rigorously how animals homed in on T1 vs T2 over time (Fig. 5f, 328 Extended Data Fig.10a). We found that animals initially refined the action proximal to DA 329 stimulation (T2, between Starting Point and Turning Point), whereas T1 refinement occurred 330 several sessions later, after the Turning point (Fig. 5f, Extended Data Fig. 10a). Indeed, the 331 Turning Point coincided with an increased probability of the T1 being found within 3.6 secs 332 before T2 and reinforcement (Fig. 5g-h). These results indicate that animals can assign credit to 333 sequences of actions that lead to reinforcement, following similar retrospective dynamics that 334 were observed for single actions, whereby the actions most proximal to reinforcement are refined 335 earlier and the actions more distal to reinforcement refined later, when they probabilistically start 336 to occur within a few seconds of DA release.

337

338 Discussion

Our results demonstrate that DA reinforcement promotes single action credit assignment from a naïve state through a dynamic process whereby the entire behavioral repertoire is restructured. During the initial stages of reinforcement both actions similar to the target action and actions that were performed in close temporal proximity of the target action increase in frequency, while very dissimilar actions decrease in frequency. With repeated reinforcement there is a process of 344 gradual refinement that homes in on the action that produces DA release. In the case of action 345 sequences, we observe a similar gradual refinement process whereby credit assignment for the 346 action sequence is accomplished by early refinement for the actions most temporally proximal to 347 reinforcement, followed by later refinement for the more temporally distal actions. 348 Previous synaptic and cellular studies^{36,37} proposed that DA reinforcement may act 349 retrospectively to reinforce behavior. By utilizing the closed loop system, we rigorously tested 350 this prediction. Since retrospective reinforcement of behavior is not confined to the target action 351 alone, it facilitates credit assignment to a stimulation-producing action even when reinforcement 352 is delayed; stimulation-producing action pairs that tend to be performed closed together in time 353 were learned much faster than pairs that tended to be performed far apart in time. Intriguingly, 354 animals eventually learned to assign credit to distal stimulation-producing actions even in the 355 latter scenario. This is characterized by a gradual process whereby early on, the median time 356 interval between distal and proximal target actions decreased and the repertoire proximal to 357 reinforcement was preferentially refined to favor the performance of the proximal target action. 358 As the distal target action became significantly more likely to occur within second timescale 359 distance prior to reinforcement, retrospective reinforcement of the correct stimulation-producing 360 sequences became increasingly likely, resulting in whole behavioral refinement for the distal 361 target as well, hence increasing sequence performance (Fig. 5g).

362

363 It has been suggested that retrospective reinforcement of behavior is mediated by DA modulation 364 of an eligibility trace left by action potential-triggered synaptic plasticity¹⁰. Studies of DA action 365 at the striatal synaptic level^{36,37} indicate that the timescale within which retrospective 366 reinforcement may occur is on the order of a few seconds, but the behavioral consequences have 367 remained elusive until now. Our behavioral findings are consistent with cellular studies in that 368 behavior occurring within a few seconds leading into DA stimulation are reinforced. It is also 369 noteworthy that distal T1 refinement in two action reinforcement occurs after the closest T1 to 370 DA stimulation has become more probable within a few seconds of stimulation. The cutoff of 371 retrospective reinforcement by phasic DA activities within a few seconds could explain the 372 sudden increase in sessions required to reach criterion frequency amongst animals that were 373 reinforced for action pairs with initially longer median time separations. Retrospective 374 behavioral reinforcement may be mediated by DA modulation of Ca2+ influx left by earlier 375 spiking activities. Ca2+ influx triggered by NMDA receptors would increase adenosine 3',5'-376 cyclic monophosphate at thin distal dendrites of medium spiny neurons, leading to transient and 377 localized protein kinase A activity specifically within the retrospective time window, as regulated by high phosphodiesterase activity¹⁴. Similar actions have more similar and 378 379 overlapping striatal neural ensemble activities²¹. Arrival of DA upon activation of action-specific 380 ensembles may reinforce not only a specific action, but also similar actions. As striatal 381 ensembles specific to actions are activated and a trial of eligibility traces is left temporally, DA 382 arrival could set the stage for retrospective reinforcement of a spatially graded repertoire of 383 actions within a few seconds, resulting in the observed behavioral learning patterns. Future 384 studies testing these ideas would clarify how synaptic plasticity and cellular ensemble activities 385 integrate to produce a dynamic refinement process, resulting in the behavioral principles for 386 credit assignment revealed here.

387

388 END OF MAIN TEXT

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390 Methods

391 Animals: All experiments were approved by the Portuguese DGAV and Champalimaud Centre 392 for the Unknown Ethical Committee and performed in accordance with European guidelines. 393 They were also performed according to National Institutes of Health (NIH) guidelines and 394 approved by the Institutional Animal Care and Use Committee of Columbia University. 3-5 395 months old DAT-Cre male mice in the C57/BL6J background²³ were used. 396 397 Sample Sizes, randomization, and blinding. For sample size, we applied a power of 0.8, 398 significance of p<0.05, and standard variation of 20% of the mean. We determined sample sizes 399 of 4-8 mice per group for different mean-based tests (matched pairs, 2 groups). No formal 400 method of randomization was used; littermates were equally divided among the groups being 401 compared. The experimenter was not blinded of the experimental groups. Optogenetic 402 manipulations were performed automatically via a computer algorithm and not manually by the 403 experimenter. 404 405 Recombinant adeno-associated viral vectors, stereotaxic injections, and implants. 750 nl of

rAAV.EF1a.DIO.hChR2(H134R).eYFP or rAAV.EF1a.DIO.eYFP (3-4 x 10¹² vg/ml, AAV5,
University of North Carolina Vector Core; 1-2 x 10¹³ vg/ml, AAV1, Addgene, 27056-AAV1
and 20298-AAV1) were injected into each hemisphere of the VTA of 3-4 month old DAT-Cre
mice. For viral injections, the coordinates are AP - 3.52 mm, ML - +/- 0.35 mm, DV - 4.3 mm.
Injections were made at 0.2 Hz pulses. Each pulse injects 4.6 nl volume. Injected needles were
kept in place in the injection site for ~15 minutes before withdrawal. For each mouse, a dual
optic fiber cannula (200/240 µm diameter, 6 mm length, 0.7 mm center-to-center FLT, 0.22 NA;

413	Doric, DFC_200/240-0.22_6mm_DF1.0_FLT) was placed 200 μm above the injection site and
414	fixed to the skull. Next, a 4-position receptacle connector (Harwin Inc., M52-5000445) was fixed
415	anteriorly to the dual optic fiber cannula, with its posterior edge set at -0.6 mm. Skull implants
416	are then fixed with dental cement. A 4-position connector (Harwin Inc., M52-040023V0445)
417	with pins removed from one end was used to cap the receptacle connector.
418	
419	For photometry experiments, 3-5 month old DAT-Cre males were used. The conditions used for
420	VTA injections and implants were as above. Additionally, 1 μ l and 500 nl of AAV9-hSyn-
421	GRAB-rDA1m (2 x 10^13 vg/ml; Addgene, 140556-AAV9) were injected into the dorsal
422	striatum (AP 0.5 mm, ML +2.1 (right), DV 2.3 (from brain surface)) and ventral striatum (AP
423	1.15mm, ML +1.65 (right), DV 4.2 (from Bregma)) , respectively. For photometry fiber
424	implants, mono fiberoptic cannula were used (400/430 μ m diameter, 4 mm length (dorsal
425	striatum) and 6 mm length (ventral striatum), 0.37 NA, 1.25 diameter ferrule, flat; Doric,
426	MFC_400/430-0.37_6mm_MF1.25_FLT (ventral striatum) and MFC_400/430-
427	0.37_4mm_MF1.25_FLT (dorsal striatum)). Implants were inserted at a 22 degrees angle. For
428	dorsal striatum implantation, the cannula entered the skull at AP 0.5 mm and ML 3.03 mm at 22-
429	degree angle. The angled implant penetrated the brain from its surface for 1.92 mm. For ventral
430	striatum implantation, the cannula entered the skull at AP 2.85 mm at 22 degrees angle, ML 1.65
431	mm. The angled implant penetrated the brain from its surface for 4.25 mm.
432	
433	WEAR motion sensor system. The WEAR motion sensor family was developed by the
434	Champalimaud Hardware platform and Costa lab as a wired or wireless solution to obtain self-

435 centered 9-axis motion data based on 3-axis accelerometer, gyroscope, and magnetometer

436 (https://www.cf-hw.org/harp/wear). The wired version is a very small and extremely lightweight 437 device (200mg) that can sample motion data up to 500 Hz and at the same time provide current 438 up to 500mA that can be used to power LEDs for optogenetic experiments or stimulating 439 electrodes. The wireless version is small and lightweight (~ 1.8 g) and can sample motion data up 440 to 200 Hz while having the ability to provide up to 50 mA that can be used to power LEDs for 441 optogenetic experiments or stimulating electrodes. The battery of the wireless WEAR allows 442 recordings up to 4 h at 200 Hz sampling rate and even more at lower sampling rates. These devices communicate with the computers through a base station based on the HARP design 443 444 developed by the Champalimaud Hardware Platform, which can be accessed through a software 445 GUI to easily change sensor parameters to best fit the experimental needs. The base stations have 446 several important hardware features such as 2 digital inputs and outputs, an analog input, 2 447 outputs for camera triggering, and a clock sync input and output that provides hardware-based 448 synchronization. The sensor can be started or stopped by software or pin. The WEAR motion 449 sensor family and base station are all open source (repository 450 at https://bitbucket.org/fchampalimaud/workspace/projects/HP). Moreover, the WEAR devices 451 are compatible with the Bonsai visual reactive programming software (https://bonsai-rx.org/), 452 also open source, and allow the integration and synchronization of the streams of data being 453 collected using the WEAR sensor with other data sources such as cameras. 454 Taking these specs and features together, the WEAR allows researchers to acquire high-455 resolution motion data wirelessly and for long periods of time, without being computationally 456 very demanding. The 9-dimensional motion data acquired through WEAR is simple to process, 457 easy to connect to analysis software, which allowed the fast online behavior classification that 458 was fundamental for the experiments described in this paper.

459

460	Open field experiment. One-month post-surgery, mice were habituated to head-mounted
461	equipment over 2 days. On day 1, an actual or mock wireless inertial sensor (~2.5 cm H x 1 cm L
462	x 0.5 cm W with ~ 2.5-3.0 cm antennae, ~1.8 g weight) glued to the 4-position connector
463	(Harwin Inc., M52-040023V0445) was attached to the implanted receptacle connector on the
464	skull cap. Individual mice roamed freely in the home cage for 1 hour. On day 2, an actual
465	wireless inertial sensor and mono fiberoptic patchcord (200/220 μ m diameter, 0.22 NA; Doric
466	DFP_200/220/900-0.22_2m_DF1.0-2FC) was attached to the skull cap via a mating sleeve.
467	Patchcords were attached to 1x2 fiber-optic rotary joint (intensity division, 0.22 NA; Doric,
468	FRJ_1x2i_FC-2FC) and mice roam freely in home cage for 1 hour. On open field recording day,
469	sensor/patchcord habituated mice were anesthetized by isoflurane, attached to equipment,
470	subjected to calibration protocol described below, and individually placed in an open field box
471	inside a sound insulated chamber. The open field box is made of 410 x 400 mm grey opaque
472	acrylic walls and a 410 x 400 mm white matte acrylic base. Individual mice were allowed to
473	behave freely inside the box for 75 minutes. The wireless inertial sensor (~1.8 g in weight,
474	WEAR wireless sensor v1.1; Champalimaud Scientific Hardware Platform) conveys motion
475	information sampled at 200 hz (set on WEAR v1.3.2 software; Champalimaud Scientific
476	Hardware Platform) to a receiver base-station (Harp basestation v1.1 or v. 1.2, Assembly v0,
477	Harp v1.4, Firmware v1.5; Champalimaud Scientific Hardware Platform), which conveys the
478	information to the experimental computer running a Bonsai script (Bonsai ³⁸ editor v2.3.1) to
479	capture and record motion data and video information. Video was captured with a camera (Flea3
480	FL3-U3-I3Y3M(17450451), Point Grey Research) coupled to a 1/2" format lens (NMV-6WA,
481	Navitar).

482

483 **Calibration.** To ensure sensor stability within sessions, several approaches were employed. 484 First, a coated mating sleeve was attached to the dual optic fiber cannula that sits immediately 485 posterior to the sensor. The sleeve was thickened with black tape to a desired outer diameter such 486 that it stabilized the sensor in the anterior-posterior direction. Second, the metal pins in the 4-487 position connector glued to the sensor were thickened with solder to stabilize their fit inside the 488 receptacle connector in the skull cap. This protects against displacement in all directions. Third, 489 stretchable black tape was wound around the base of the attached sensor and sleeve-covered 490 cannula, further protecting against shifts in sensor positioning. 491 492 To control for possible variation in sensor positioning across sessions, a calibration approach was 493 developed. Wireless inertial sensor was attached to individual isoflurane-anesthetized mice and 494 the sensor was secured with the above strategies. Next, individual mice was placed in a custom-495 made calibration rig. The essential element of the rig is a vertical stainless-steel pole suspended 496 above a stably secured table. In the setup used, the vertical pole was fixed to the horizontal edge 497 of a vertically reversed "L" shape, stainless steel post assembly mounted on a breadboard 498 (Thorlabs). The space between the lower end of the vertical pole and the table is enough for an 499 individual mouse to slide underneath. The lower end of the vertical pole is fixed to a custom-500 made connector that resembles the connecting end of the fiberoptic patchcord. To perform 501 calibration, individual isoflurane-anesthetized mice was securely attached to the vertical pole via 502 a mating sleeve bridging the connection to the mouse's cannula implant. Next, replicate readings 503 of the immobilized inertial sensor were made on Bonsai. Next, mice were attached to the 504 experimental patchcord and allowed to recover in home cage for 20 minutes or until individual

505 mice are clearly recovered and behaviorally active. Individual mice were then placed in open-506 field box for experimentation.

507

508 Calibration involves rotating all accelerometer and gyroscope readings from the inertial sensor 509 by a rotation matrix such that the final gravitational field vector of the stationary sensor, when 510 mounted on the mouse and fixed to the calibration rig, is in a universal frame of reference 511 whereby there is zero vertical tilt. In other words, the only non-zero acceleration is on the 512 universal z-axis (pointing down). To accomplish this, the accelerometer pitch and roll orientation 513 angles of the fixed stationary accelerometer were determined and then applied to calculate the 514 rotation matrix. The rotation matrix is multiplied by the sensor accelerometer and gyroscope 515 readings to remove the stationary vertical tilt from the sensor. To account for possible drift in 516 gyroscope baseline over time, a daily reading of stationary gyroscope baseline was made with a 517 mock cement skull cap attached to the sensor just before the start of each experimental day. The 518 baseline gyroscope readings were subtracted from all gyroscope values before the rotation matrix 519 is applied to sensor data.

520

Action Selection. After open field run in the grey-walled box, off-line behavioral clustering was performed on calibrated sensor data. To identify the natural action repertoire of individual mice, we quantified behavior using acceleration and gyroscope time series features in a similar fashion as described previously²¹. For the ground truth analysis, we used: 1.) Gravitational acceleration (GA) along the anterior-posterior (A-P) axis for the discrimination of postural changes - GAap. 2.) Raw sensor acceleration along the dorsal-ventral (D-V) axis to quantify movement

527	momentum – ACCdv. 3.) D-'	V axis of g	gyrosco	pe to extract	head h	lead-body	[,] rotational

528 information – GYRdv. 4.) Total body acceleration to differentiate resting state from movement.

529

- 530 Total body acceleration (TotBA) was defined as:
- 531
- 532 TotBA = $sqrt(BAap^2 + BAml^2 + BAdv^2)$,
- 533

where BAap, ml and dv represent the body acceleration of the anterior-posterior, medio-lateral
and dorsal-ventral axis, respectively. We calculated each individual BA component by medianfiltering the raw acceleration signals followed by a fourth-order Butterworth high-pass (0.5Hz)
filter. For the gravitational acceleration (GA) axis, the BA components were subtracted from the
median filtered raw signal axis.

539

540 All four time series features were binned into non overlapping 300 ms long window segments²⁶. 541 The values of each bin and per feature were then discretized, using fixed thresholds, producing a 542 summary distribution of each segment. For GAap and ACCdv we used 10 equal size threshold 543 values, plus two added bins between the limits and infinity to capture an approximated 544 distribution of values within each window bin. For GYRdv we used 5 thresholds $(0, \pm 50, \pm 100)$ 545 to discriminate left and right turns. For TotBA, a single threshold was used to separate moving 546 from resting. The threshold was kept constant for all experiments and was set to the average 547 value separating the bimodal distribution of logTotBA (natural logarithm of TotBA feature). For 548 each 300-ms window segment we get four resulting histograms, one for each feature. The feature

histograms were individually normalized to obtain probability distributions and used to calculatethe pairwise similarities between segments.

551

- 552 We used the "earth mover's" (EM) distance as a measure of similarity²⁵:
- 553

554 $S = -(dEM/4)^2$

555

556 where dEM is the sum of the normalized EM distances for the 4 features (GAap, ACCdv,

557 GYRdv and TotBA) defined above. The bin normalizations constrain S values within the range

558 [-1,0], specifically, -1 and 0 define the maximum dissimilarity and identity between the two

559 probability distributions, respectively. Finally, to produce a continuous unbiased classification of

560 behavioral states, the similarity measures were clustered using affinity propagation²⁰, with the

561 preference parameter set to the minimal value of the similarity matrix; this particular value was

562 used for its stable number of behavioral clusters within its range.

563

564 Using the behavioral clusters identified by affinity propagation clustering of the grey open field 565 behavior¹³ as a ground truth for the true identity of each 300 ms histogram, we were able to 566 simulate and evaluate the precision with which the Earth Mover's Distance (EMD) metric^{21,25} 567 could be applied for cluster matching online. Notable difference between the EMD metric used here is the use of the 4 features mentioned above rather than the 3 features used previously²¹, as 568 569 well as the multiplication of the similarity score by -1 such that the range of possible scores from 570 maximal identity to dissimilarity is 0 to 1, respectively. Although the EMD cluster matching 571 outcome correlates strongly with affinity propagation clustering, some false positive and false

572 negatives may occur. Several filters were set to optimize cluster selection for reinforcement: 1.) 573 We selected for clusters that show low false positive rate (<5.5%) and below the 60th percentile 574 false positive rate amongst all clusters per animal. 2.) We selected against clusters with high 575 false negative rates (> 90th percentile of clusters per animal). 3.) We selected against clusters that 576 tend to be performed serially within a short time interval. We calculated the probability that a 577 target cluster or its top 5 most similar clusters (determined by EMD score) would reappear 3-18 578 seconds after the first occurrence of the target cluster. Clusters that tend to be repeated either by 579 itself or have a high probability of having similar clusters appear within this 15 second window (> 90th percentile for median and range of probabilities of cluster appearing in window) were 580 581 removed from selection pool. 4.) We filtered against clusters whose matching by EMD would be 582 more sensitive to anterior-posterior shifts of the inertial sensor (although we already protected against this possibility with the safeguards above) (> 90th percentile for percent deviation from 583 584 original cluster matching after shifts of accelerometer reading in the anterior or posterior 585 direction). For each cluster, percent deviation is calculated first by summing up the total absolute 586 cluster matching changes from original cluster matching data in the anterior and posterior shifted 587 datasets. Next, the sum of deviation in the two altered datasets is divided by two and then 588 divided by the total of cluster calls from the original dataset, and multiplied by 100 to get percent 589 deviation from original cluster matching result. 5.) We selected for clusters that show fully 590 accelerating movement (cluster exemplar value of less than the maximum value of 1 in the body 591 acceleration feature bin of histogram). To choose dissimilar clusters per animal, an algorithm 592 was written filtering clusters of each animal's repertoire based on the feature histogram values of 593 each cluster's representative, or exemplar. Thresholds were set along the GAap and GYRdv 594 features to divide cluster exemplars based on the distribution of values within these feature

595 histograms. For each repertoire, all histogram values from all cluster exemplars are pooled to 596 create a pooled histogram. The range of bins with non-zero values for each feature are identified. 597 The algorithm then filters cluster exemplars in the repertoire for non-zero values in the high, 598 medium, low, or high+low value bins. For example, action A identification occurs by selecting 599 for a cluster exemplar with median counts falling in the high GAap and GYRdy value bins. 600 action B would then be selected by filtering for an exemplar with median counts falling in the 601 low GAap and GYRdy value bins. This results in actions that are highly dissimilar. For example, 602 EMD similarity scores comparing action A to action B almost always, except for 1 ChR2-YFP 603 animal, fall in the more dissimilar end of a distribution of scores created by comparing action A 604 to all actions in each animal. Hereafter, clusters will be referred to as actions.

605

606 **Closed-Loop Optogenetics**. For close loop optogenetics, a computer running a Bonsai script 607 captured and recorded wireless sensor motion data and video information as described above in 608 grey-walled open-field experiment. Here, data is also streamed to a custom MATLAB code 609 which analyzes action composition changes over the course of action reinforcement, we used the 610 EMD metric²¹ to label individual 300 ms motion histograms with an action ID. For each arriving 611 300-ms segment we calculate the EMD distance between each cluster exemplar (or 612 representative) of the ground truth cluster library from the grey open field behavior recording. 613 The motion features histogram is assigned to the action for which comparison with the exemplar 614 gave the lowest EMD score (most similar to target) amongst all comparisons. Decision making 615 for stimulation has a range of 35-55 ms time gap between action performance and sent decision 616 for stimulation. To trigger optogenetics, a Multi-Pulse Width Modulation (PWM) generator 617 (Harp Multi-PWM Generator hardware v1.1, Assembly v1, Harp v1.4, Firmware v1.1; Harp

618 Multi-PWM Generator software v2.1.0; Champalimaud Scientific Platform) converts each 619 decision to trigger laser into electrical signals for 15 light pulses of 10 ms pulse duration at 25 620 Hz, with each train of pulses occurring over 600 ms and at 25% duty cycle. The multi-PWM 621 signal is passed through a 12 V, 7.2 W amplifier (Champalimaud Scientific Platform) and fixed 622 frequency driver (Opto-electronic, MODA110-D4-30 (2001.320220)) to control the activities of 623 a 473 nm, blue low noise laser (Shanghai Dream Lasers Technology, Co, Ltd. SDL-473-200T), 624 which was sent through an acousto-optic modulator (Opto-electronic, MTS110-A3-V1S (1001 / 625 330433)). The laser component that is modulated is then reflected by a mirror and funneled to a 626 mono fiberoptic patchcord, which is then coupled to a commutator. The output laser is then 627 passed through a dual-optic fiber patchord and connected to the implant cannula. Power 628 adjustment out of the tip of patchcord was made so that ~5mW was emitted from each end of the 629 dual optic fiber cannula. To ensure common time stamps from different channels, a clock 630 synchronization device (Harp Clock Sync v1.0; Champalimaud Scientific Platform) was 631 performed between the basestation and multi-PWM device. 632 633 Single action sequence selection. Mice were placed in a white open field box for closed loop

reinforcement protocol. Individual mice were subjected to a single session of protocol each day, with sessions following each other on consecutive days. The white open field box is made of 410 x 400 mm white matte acrylic walls and a 410 x 400 mm white matte acrylic base. To acquire baseline behavior, individual mice were allowed to behave freely inside the box for 30 minutes on the first action A selection session. Closed loop reinforcement by blue laser stimulation of VTA DA neurons were made available for 60 minutes. 90 minutes of closed loop reinforcement were made available for individual mice during sessions 2 and 3. For session 4, an 641 extinction protocol was carried out comprising of 20-minute maintenance of reinforced behavior 642 with laser availability, followed by 60 minutes of extinction of reinforced behavior without laser 643 availability, followed by 20-minute re-acquisition of reinforced behavior with laser availability. 644 To select for action B, a repeat of the protocol described above for action A was performed 645 starting on the day following extinction protocol of action A. Upon completion of the 646 reinforcement and extinction protocols for action B, a contingency degradation protocol was 647 performed comprising of 20-minute maintenance of action B with laser availability, followed by 648 60 minutes of contingency degradation of reinforced behavior by triggering laser randomly, 649 followed by 40-minute re-acquisition of reinforced behavior with laser availability for action B 650 performance.

651

652 **Photometry experiment.** One-month post-surgery, mice were habituated to head-mounted 653 equipment for 2 days. On day 1, habituation was made to wireless inertial sensor as described 654 above. On day 2, a multi-fiber bundled patch cord (3 fiber bundle, $400/440 \mu m$ diameter for a 655 maximum of inner diameter at 900 µm, 0.37 NA, 3.5 m long, 1.25 mm fiber tip diameter, low-656 autofluorescence; Doric, BBP(3) 400/440/900-0.37 3.5m FCM-3xMF1.25 LAF) was attached 657 to individual mice in addition to the wireless sensor and optogenetic patchcord. Individual mice 658 were allowed to habituate to the equipment for 1 hour in its home cage. On photometry recording 659 day, mice were subjected to 30 frames per second photometry recording (Neurophotometrics), 660 with 75-150 µW 560 nm LED illuminating rDA1m, and equivalent closed loop optogenetic 661 parameters described above were used. To test for DA release in the context of closed loop 662 optogenetic setup, an average of 30 hits of blue light were delivered randomly within the span of 663 30 minutes. To evaluate DA release in the context of food reward, mice were placed on food

664	deprivation protocol and kept within 85% of original weight. Mice were placed in an operant
665	chamber with a nosepoke linked to a lick detector (PyControl). Each lick detection triggers
666	dispensing 2 μ l 10% sucrose. Since animals tend to accidentally trigger lick detector at the
667	beginning of sessions, between 40-50 sucrose dispensing events were gathered per animal and
668	rDA1m activities associated with the last 35 rewards of the session were used for analysis.
669	
670	Two action sequence selection. Two action sequence selection occurs as follows: after
671	sensor/patchcord habituation and grey open field behavior recording, offline behavioral
672	clustering and action filtering were performed as for single action selection. For each animal,
673	median time intervals between all possible pairs of actions during open field were calculated as
674	described above. Across animals, T1/T2 pairs with median T1 \rightarrow T2 interval values varying
675	between 2 and 10 seconds, and with the feature of going from a head down(T1) to a head up(T2)
676	movement, were chosen for reinforcement.
677	
678	On the first reinforcement session, a 30-minute baseline was taken when laser stimulation was
679	not available for reinforcement. Laser became available for reinforcement in all subsequent
680	sessions until extinction experiment. During reinforcement periods, when closed-loop system
681	detects performance of the proximal action (T1) of interest, the algorithm enters a state where

laser is triggered upon performance of the distal action (T2), regardless of the amount of time
that has elapsed between the latest T1 and T2. On Session 1, 60 minutes of laser availability was
given while in all subsequent reinforcement sessions, 90 minutes of laser availability was given.

685

686	Histology and Immunohistochemistry. After behavioral sessions were completed, mice were
687	deeply anesthetized with isoflurane and perfused transcardially in PBS and then 4% PFA/PBS.
688	Dissected brains with skulls attached were perfused in 4% PFA in PBS at 4 degrees Celsius
689	overnight. The next day, brains were rinsed 3 times in PBS. Next, brain regions including VTA
690	and implants were sectioned by vibratome into 50 or 100 μ m slices. Slices are then subjected to
691	immunohistochemistry using the reagents below. Standard immunohistochemistry protocols
692	were applied to stain for the following reagents - Rabbit anti-GFP 488 conjugate (1:1000;
693	Molecular Probes A21311). Mouse Anti-TH (1:5000; Immunostar Th 22941) with Goat Anti-
694	Mouse - IgG (H+L) Highly cross-adsorbed secondary antibody - Alexa Fluor647 (1:1000;
695	ThermoFisher, A-21236), DAPI (1:1000 of 20 mg/mL stock; Sigma, D9542).
696	
697	Imaging. Zeiss Axio Imager M2 microscope was used to acquire brain section pictures. 10x tiled
698	images were taken through the relevant fluorescent channels. The M2 is equipped with a fast
699	Colibri.7 LED illumination for excitation of fluorophores. Images are captured with a high-
700	sensitivity monochromatic sCMOS camera (Hamamatsu Orca Flash 4.0 v2). The objective used
701	for the images is a ZEISS Plan-ApoChromat 10x/0.45, which allows to resolve up to 577 nm
702	when using a wavelength of observation of 520nm and it is fully corrected for chromatic and
703	spherical aberrations. Implant locations were determined using standard mouse atlas ³⁹ .
704	
705	Single action selection analyses. For target action frequency analysis, we analyzed frequencies
706	within 25-minute windows at 4 time points: Baseline (before first reinforcement trigger), Early
707	(after first reinforcement trigger in Session 1 (action A) or 5 (action B)), Mid (after 2-minute
708	mark in Session 2 (action A) or 6 (action B)), Late (after 2-minute mark in Session 3 (action A)

or 7 (action B)). For 3D action repertoire plots, baseline normalized frequencies were plotted and
actions whose time series include NaN or Infinity values were discarded from the plot. (Plotted
actions: 509 of 514 actions, 15 ChR2YFP animals (action A); 427 of 443 actions, 13 ChR2YFP
animals (action B); 355 of 356 actions, 10 YFP animals (action A); 341 of 356 actions, 10 YFP
animals (action B)).

714

715 Three parameters were assessed for rapid behavioral adaptation following cumulative closed 716 loop reinforcements: latency between Target A triggered reinforcements, Target A frequency and 717 average behavioral similarity to Target A. To calculate the latency parameter, the average 718 latency between 10 consecutive Target A triggered reinforcements following a specified number 719 of cumulated reinforcements were taken and then normalized by the average latency taken over 720 the final 10 baseline Target A instances that in simulations would have triggered reinforcement. 721 To calculate the frequency parameter, the frequency of Target A triggered reinforcements over 722 the course of 1 minute following a specified number of cumulated reinforcements were taken and 723 then normalized by frequency of the final 10 baseline Target A instances that in simulations 724 would have triggered reinforcement. To calculate the behavioral similarity parameter, the 725 average behavioral similarity (EMD score) to Target A between 10 consecutive Target A 726 triggered reinforcement events following a specified number of cumulated reinforcements were 727 taken and then normalized by the corresponding value taken over the final 10 baseline Target A 728 instances that in simulations would have triggered reinforcement.

729

rDA1m Fiber Photometry Analyses. To evaluate DA release in the context of food reward, the
delta F/Fo signal was plotted for rDA1m signal aligned to lick detection/reward trigger. The

732 baseline Fo value was taken as the median rDA1m raw fluorescence signal of the 10 time points 733 (333.33 milliseconds) preceding the trigger event. To test whether DA release is triggered in the 734 context of the closed loop system, the activity of the rDA1m sensor was quantified. Delta F/Fo 735 was calculated by subtracting baseline value from each fluorescent rDA1m value of a 736 smoothened time series (smooth function, default moving average filter, MATLAB), and then 737 dividing the outcome by the baseline value. To account for control ChR2-independent effects, 738 the average delta F/Fo trace of ChR2-YFP animals were subtracted from the corresponding 739 average trace of YFP animals, giving the differential delta F/Fo used for the plots. The standard 740 deviation of ChR2-YFP minus YFP curves were obtained by taking the square root of the sum of 741 squared variances of ChR2-YFP and YFP delta F/Fo curves. 742 743 **Categorizing behavioral actions by temporal dynamics.** To categorize behavioral actions by 744 temporal dynamics, moving mean of action counts was used as input. Various window sizes 745 were examined; 2.5-minute windows moving at 300 ms steps were found suitable for analyses. 746 The baseline frequency (f0) was the average of 5 minutes of moving mean data preceding the

748 immediately following the first reinforcement event. Mid- and Late frequency rates were taken

first reinforcement event. Early frequency rate (f1) was the average of 30 minutes moving means

747

from Day 2 (f2) and Day3 (f3), respectively. f2 and f3 rates were calculated from the beginning

750 30 minutes period after moving windows has accumulated enough bins (2.5 minutes) following

the start of the session. Significant positive modulation above baseline was judged if in 500

752 consecutive moving windows (2.5 minutes period) in Early/Mid or Late stages the frequency rate

of all bins were greater than the 99th percentile bin of baseline frequency. Significant negative

754 modulation below baseline was judged if in 500 consecutive moving windows (2.5-minute

755 period) in Early/Mid or Late stages the frequency rate of all bins were less than or equal to the 5th 756 percentile bin of baseline frequency. Actions that showed both significantly positive and 757 negative modulation at Early/Mid or Late stages when compared to baseline were delegated to 758 positive modulation group. For figure plotting, time-course median frequencies of action 759 dynamic types were downsampled 10-fold. To investigate the relationship between target 760 similarity and frequency, two approaches were taken. To perform multiple comparison statistics, 761 actions were binned by their percentile ranking in terms of similarity to target (EMD). This is 762 because action distribution based on raw EMD binning was not even. Percentile binning allowed 763 for even distribution of actions amongst the groups. To examine the distribution of action 764 dynamic type frequencies in terms of target similarity, a binning by raw EMD score (0.5 score 765 binwidth) was used because this allowed for clear visualization of the relationship between target 766 similarity and frequency. Alternatively, percentile binning of EMD score was also used and gave 767 similar trends.

768

769 Criterion for action dynamic types. Action dynamics were grouped according as follows: 1.) 770 Increasing actions showed significant increase in f0 to f1/2 and f1 to f2/3 comparisons and 771 showed either significant increase or unchanged frequency in $f^{1/2}$ to f3 comparisons. 2.) 772 Sustained actions showed significant increase in f0 to f1/2 comparisons, and unchanged 773 frequency in f1 to f2/3 and f1/2 to f3 comparisons. 3.) Transient actions showed significant 774 increase in f0 to f1/2 comparisons, and significant decrease in f1/2 to f3 comparisons. 4.) 775 Decreasing actions showed significant decrease in f0 to f1/2 and f0 to f3 comparisons. 5.) Other 776 actions were all remaining actions that did not fall in the above groups. In the main figure only 777 dynamic subtypes with more than 10 members are shown.

778

779	Extinction analyses.10 minutes portions from different time windows along the extinction
780	protocols (Session 4 for action A and Session 8 for action B) were chosen. Early maintenance
781	(M ¹) starts from the first instance of target action performance in the session. Late maintenance
782	(M^2) is the portion preceding the first performance of target upon extinction. Early extinction
783	(E^1) begins at the first instance of target performance upon extinction. Late extinction (E^3) is the
784	portion preceding the first performance of target upon re-acquisition. Mid extinction (E ²) begins
785	at the midpoint between the starts of E^1 and E^3 . Early re-acquisition (\mathbb{R}^1) starts at the first
786	performance of target upon re-acquisition condition. Late re-acquisition (R ²) is the final portion
787	of the extinction protocol.

788

789 Action burstiness analysis. To evaluate action burstiness, or dispersion, we used Fano factor 790 (variance/mean) as a measure. A survey of moving mean frequencies of reinforced actions across 791 animals suggest that actions are more dispersed during the extinction phase, but the timescale 792 with which this may occur is variable. To identify a suitable timescale to detect dispersion across 793 reinforced actions, we screened a range of window sizes (600 ms to 5 minutes windows in 600 794 ms steps) with which to calculate moving window frequencies, and then calculate Fano factor in 795 varying time segments. We chose a moving window of 15 seconds (50 x 300 ms action units) to 796 construct moving mean frequencies. This window size consistently gave decreased Fano factor 797 in baseline vs. maintenance session across animal, a result that would be expected as 798 reinforcement led to stable target action performance.

799

800 Single action reinforcement, inter-target, and inter-action interval analyses. To quantity 801 inter-target action intervals, the median amount of time that transpired between the start of 802 successive target actions over the course of a time window was calculated. The time periods 803 analyzed were: 1.) Baseline from the start of Day 1 (Sessions 1 and 5 for action A and B, 804 respectively) until the first reinforcement event. 2-4.) Days 1 to 3 reinforcement. For 805 reinforcement periods, behavior from the start of the first reinforcement event of that session 806 until the end of session were analyzed. We considered the possibility that including the time 807 interval between consecutive repeating of target actions (resulting in an inter-target action 808 interval of 300 ms) would greatly affect the result. To test this, we removed values collected 809 from consecutively repeating target actions. However, this did not affect result interpretations. 810 Thus, we included intervals from consecutively repeating target actions in the presented 811 analyses. For single action reinforcement, the median amount of time between the closest 812 occurring action of interest and target action was calculated for both pre-target and post-target 813 intervals.

814

Multinomial logistic regression predicting action dynamic types. To test whether intrinsic
and baseline action properties are predictive of classifiable action dynamics during single action
reinforcement from naïve state, two factors were considered. The factors are Earth Mover's
Distance (EMD) similarity of action to target and median time interval of closest action of
interest prior to target appearance at baseline condition.

820

821 To perform multinomial logistic regression, data from both dependent variables were log-

822 transformed after addition of a constant value of 1. Transformed data were tested for collinearity

823 by examining scatter plots, Pearson's correlation coefficients, Variance Inflation Factors (VIF) 824 and condition indices. The two variables showed some correlation, but the coefficient value was 825 not above typical thresholds^{40,41} and direct collinearity diagnostics did not show significant 826 collinearity (Pearson's correlation: $0.67 < 0.8^{40}$, VIFs: $1.82 < 5 - 10^{42}$, condition indices: 6.6 < 10-827 30⁴³). Multinomial logistic regression was performed using MATLAB functions mnrfit and 828 mnrval. Non-Target A actions from all animals from reinforcement of action A were included 829 except those whose reinforcement dynamics were previously classified as "Other" types (n = 30) 830 actions from a total of 514 actions, 15 ChR2-YFP animals). Decreasing dynamics type actions 831 were used as the reference group. Model accuracies were assessed using a 20-repeat, 10-fold 832 cross-validation approach for a total of 200 unique models for Real data, and 10,000 unique 833 models from 50 shuffled datasets. 834 835 To evaluate multinomial logistic regression, the deviance measure was used to judge model 836 fitting. Model performances were judged by area under precision-recall curve as this criterion is 837 suitable for imbalanced categories in the data³⁵. A model containing both dependent variables 838 was found to outperform that of any single variable, even after consideration for penalties for an 839 extra factor (Akaike Information Criterion). The lack of significant collinearity between 840 dependent variables was supported by the stability of two relevant parameters, beta-coefficient 841 directions and significant p-values, across 200 cross-validation models and single- and double-

842 factor regression conditions (See Supplementary Information for tables).

843

844 Dopamine retrospective window analysis. To analyze whether DA reinforces actions proximal
845 to target, baseline rates of action transitions occurring close to reinforced action were examined.

846 First, a matrix tabulating 300 ms action counts from 2.4 seconds before to 2.4 seconds after each 847 theoretical target-triggered laser stimulation (600 ms in length) during baseline condition was 848 constructed. Next, all possible 600 ms action transitions (ex. $X \rightarrow Y$) for each animal were then 849 counted using the above matrix, resulting in an action transition type (row) vs. time bin (column) 850 matrix where the counts of each action transition type occurring in specific 600 ms transition 851 windows (ex. $X \rightarrow Y$) were recorded (sum across rows). This will be called the count matrix. 852 Next, the relative enrichment of each action transition type in a specific transition window 853 against all transition windows was calculated by dividing the action transition count matrix by 854 the total number of action transitions per type (probability across rows). Next, action transition 855 probability within a sliding 1.2 second transition window (containing a total of three action 856 transitions) relative to surrounding temporal environment (3.6 seconds) was derived by 857 subtracting the total number of action transitions per type within the surrounding 3.6 second 858 window from the total number of action transitions per type within the 1.2 second sliding 859 window of interest. This will be called the differential probability matrix. Next, action transition 860 types that showed greater than a threshold of 0.001 relative probability within sliding 1.2 second 861 windows of interest over the corresponding surrounding windows were filtered and kept for the 862 next step. Next, for each sliding 1.2 second window, the count matrix from above was analyzed 863 to select for action transition types that occurred between 2 to 6 times during the 30 minutes 864 baseline period (0.067 to 0.2 action transitions per minute). The count range was chosen to filter 865 out single events while selecting for action transitions with low initial frequencies over the baseline period and analysis time range. Since the range of probabilities of specific action 866 867 transition types could vary greatly between different sliding 1.2 second windows, filtering as 868 above also balances the distribution of action transition probabilities amongst all action transition 869 types analyzed across sliding 1.2 second transition windows. The above process results in a list 870 of action transition types enriched for each sliding 1.2 second transition window, and baseline 871 normalized frequencies of these action transition types upon reinforcement in subsequent 872 sessions were calculated. Note that baseline normalized frequencies were calculated from all 873 occurrences of specific action transition types, regardless of their time distance in relationship to 874 target occurrence. Baseline normalized frequencies of individual action transition types were 875 averaged within animals and the means between animals are averaged to produce animal-876 balanced results. Identical data trends and conclusions could be reached even if baseline 877 normalized frequencies of all action transitions were used for analyses. 878 879 Two action sequence experiment analyses. Two action sequence frequency was quantified in 880 terms of laser triggers per minutes. To assess learning across animals, the baseline frequency was 881 subtracted from frequencies of all reinforcement sessions. A criterion baseline subtracted 882 frequency of 3.2 triggers per minute was set after considering the range of baseline subtracted 883 frequencies observed in the open field and reinforcement sessions all animals. The criterion is set 884 such that it is > 20 % above the highest baseline-subtracted frequency value seen at open field 885 condition. The criterion point consistently falls above the open field frequencies of all animals 886 and marks the rising phase of all reinforcement frequency curves.

887

888 T1 \rightarrow T2 intervals were quantified as the time distance between the end of the latest distal action 889 (T1) and the end of the proximal action (T2) that triggers laser. T2 \rightarrow T1 intervals were quantified 890 as the time distance between the end of T2 that triggers laser and the end of the next closest T1.

891 To produce equivalent measures in open field and baseline conditions, laser trigger events were 892 simulated by scanning across the data as if reinforcement was available.

893

894 Significance testing was performed on 14 of 15 ChR2-YFP animals that reached criterion

895 frequency (ChR2-YFP Criterion). The lone animal that did not reach criterion frequency was

removed because the T1 \rightarrow T2 median interval was still very high after session 10. This animal

897 was subsequently subjected to single action reinforcement protocol to assess its ability to learn

898 T1 and subsequently T2. Next, the animal was again subjected to T1 \rightarrow T2 reinforcement

protocol. These results indicate that this animal was capable of action learning for both T1 and

900 T2 separately, and for T1 \rightarrow T2 sequence after learning of each individual action.

901

902 Reinforcement sessions for the 14 ChR2-YFP animals that reached beyond criterion frequency

903 continued until the T1 \rightarrow T2 interval has been decreased to below at least a median of 2 seconds.

904 As YFP animals do not decrease the T1 \rightarrow T2 median interval over sessions, we stopped

905 reinforcement at session 20.

906

907 Two action sequence extinction. Extinction session begins with a 25-minute maintenance 908 period for two action-sequence reinforcement, followed by a 40-minute extinction period when 909 laser was inactive, followed by a 25-minute re-acquisition period whereby reinforcement was 910 made available again. To quantify performance for plotting, frequency was calculated over 5 911 minutes bins and then normalized to the last 5 minutes bin of the maintenance condition. For 912 significant testing, raw frequencies were analyzed at the last 5 minutes of maintenance, 913 extinction, and re-acquisition conditions.

914

915 Two action sequence refinement. To measure refinement for T1 and T2 in the two-action 916 sequence, actions that were uniquely related to one but not the other were identified. Actions 917 performed by each animal in their open field repertoires were ranked by their EMD similarity 918 scores to T1 or T2. The top-12 actions (within action repertoires ranging between 30-40 actions) 919 most similar to either T1 or T2 were identified. Actions common to both T1 and T2 in these lists 920 were removed, leaving actions uniquely similar to T1 or T2. We required at least 3 non-target 921 actions to be uniquely related to each of T1 and T2. One of the animals did not meet this 922 requirement, because less than 3 actions were uniquely similar to each of T1 and T2 when 923 considering the top-12 actions related to T1 or T2. For this animal, we relaxed the stringency by 924 considering actions that uniquely belong as the top-9 actions most similar to either T1 or T2. We 925 took the median target-normalized frequency of these uniquely similar actions to T1 or T2 as the 926 refinement index. A refinement index of above or around 1 indicates little to no refinement of 927 uniquely related actions to target. Refinement index below 1 indicates refinement relative to 928 target; the lower the score the more refinement. Refinement curves were smoothened using the 929 Savitzky-Golay filter to improve visualization of trends. To better compare the progress of 930 refinement between T1- and T2-related actions, refinement indices were scaled such that the 931 minimum value amongst all sessions for individual animals would be zero and target-normalized 932 median frequency of 1 would remain at a scaled value of 1.

933

934 **Relationship between T1\rightarrowT2 interval and sessions to criterion frequency.** To describe the 935 trend in a T1 \rightarrow T2 interval vs. sessions to criterion frequency scatter plot, non-linear sigmoidal fit 936 was tested against a 4th order polynomial fit. A linear fit was also tested. Sigmoidal fitting gave 937 the best result. The same fitting was tested for T2 \rightarrow T1 interval vs. sessions to criterion

938 frequency, but the fit was poor and midpoint was unstable. For the T1 \rightarrow T2 sigmoidal curve,

half-maximum was 2.59 sessions to criterion frequency and midpoint was 4.69 seconds of open

940 field median interval. The half-maximum value was used to divide ChR2-YFP animals into slow

941 (above half-max) and fast (below half-max) learners.

942

943 Differential refinement analyses. The difference in area between T1 and T2 scaled refinement 944 curves over sessions was used to assess the relative refinement status between T1 and T2 over 945 sequence learning. The difference in areas were summed up using the trapezoid method across 946 sessions until the session when both T1 and T2 has or had reached minimal scaled refinement. 947 Next, the relationship between open field median interval and average difference in area under 948 T1 - T2 refinement curves per session was tested. Linear regression proved most suitable for 949 fitting (Goodness-of-fit: R2 = 0.66). The fit for $T1 \rightarrow T2$ linear line was y = 0.1893x - 0.7050. 950 Slope was significantly non-zero (p = 0.0004). The same fitting was tested for T2 \rightarrow T1 interval 951 vs. difference in area under T1 – T2 refinement curves per session (y = 0.00736x + 0.1356), but 952 the fit was poor, and goodness of fit was low (Goodness-of-fit: $R^2 = 0.07$). The slope was not 953 significantly non-zero (p = 0.7063).

954

955 Starting Point identification for evaluating progression of differential T1/T2 refinement. To
956 more precisely examine whether proximal action (T2) refinement precedes that of distal action
957 (T1) in Slow Learners, it was important to consider refinement progression of T1 relative to T2.
958 To rule out any bias towards proximal refinement because of initial bias towards proximal T2
959 refinement, a specific session was chosen as a Starting Point for analysis for each animal. This

960 Starting Point is defined by an early session in which T1 and T2 were relatively similar in 961 refinement levels or when the distal action T1 was more refined than proximal T2. To identify 962 these Starting Points, a scan was made retrospective from the session for which the T1 \rightarrow T2 time 963 interval is close to final value (less than or equal to a median of 3 seconds). Using this approach, 964 we identified earlier sessions in which distal T1 refinement was equal to or greater than proximal 965 T2 (T2 – T1 refinement curve area less than or equal to 0). The latest such session was set as the 966 Starting Point for analysis. If at no point early in learning did an animal have a session where 967 proximal (T1) action is most refined relative to distal (T2) action, an early session of closest T1 968 and T2 refinement was used as the Starting Point. The initial T2-T1 refinement curve area 969 difference calculated from the Starting Point to next session was subtracted from all T2-T1 area 970 differences calculated in subsequent sessions. This value is called the Starting Point subtracted 971 refinement difference. This made it possible to clearly track the change in relative refinement of 972 distal(T1) vs. proximal(T2) actions over time (Values above zero indicate T2>T1 refinement, 973 and values below zero indicate T1>T2 refinement). To identify the Turning Points for each 974 animal, sessions carrying the local maximum value of the Starting Point subtracted refinement 975 difference were identified for each animal. To calculate Starting Point subtracted refinement, 976 scaled refinement values from sessions of interest were subtracted from that of the Starting Point 977 session defined above.

978

979 Odds ratio analysis. For odds ratio calculation, the total amount of open field \rightarrow Turning Point 980 session (second of two consecutive sessions used to calculate the refinement difference at 981 Turning Point as mentioned above) and Turning Point \rightarrow session of criterion frequency median 982 interval changes were summed up for T1 \rightarrow T2 and T2 \rightarrow T1 intervals, respectively. Next, the

983	proportion of total interval change stemming from the open field condition \rightarrow Turning Point
984	period, and from Turning Point \rightarrow session reaching criterion frequency period, were calculated.
985	Next, the proportion of open field \rightarrow Turning Point interval change was divided by the proportion
986	of Turning Point \rightarrow session reaching criterion frequency period interval change for T1 \rightarrow T2 and
987	T2 \rightarrow T1 interval types, respectively. This gives the odds ratio.

988

989 T1 probability rank and refinement change across time bins from T2 trigger. For every 990 actual or simulated trigger for T1 \rightarrow T2 performance, the first occurrences of every action before 991 or after T2 triggers were counted at specific 300 ms time bins for up to 6 seconds before and 992 after T2 trigger. This was done for the specific conditions of baseline, Starting Point, Turning 993 Point, session passing criterion frequency, and last session. The probability of an action 994 occurring at a specific 300 ms time bin was calculated for all actions in the repertoire, and the 995 values were used to determine probability rank in terms of percentiles (100 percentile is most 996 probable action relative to all actions at a specific 300 ms time bin). To assess total T1 997 probability rank change within 0.3-1.8 or 2.1-3.6 second time bins, the area under the curve was 998 determined and values were normalized by subtraction from each animal's corresponding 999 baseline values. Refinement change was calculated by first taking the median probability rank of 1000 actions most uniquely related to T1 at varying time distances before or after T2 trigger. This 1001 value is then normalized by T1 probability rank to arrive at a refinement index. The area under 1002 the curve was determined and values were normalized by subtraction from each animal's 1003 corresponding baseline values. Decreasing values from Starting Point indicate increasing 1004 refinement.

1005

1006 Statistical Analysis:

1007 Standard statistical analyses were performed on Prism (GraphPad Software, Inc.) and 1008 permutation/bootstrap analyses were performed on MATLAB (MathWorks Inc.). To determine 1009 appropriate tests for comparisons, datasets were assessed for normality using Anderson-Darling, 1010 D'Agostino & Pearson, Shapiro-Wilk and/or Kolmogorov-Smirnov tests whenever applicable. 1011 Datasets were also visualized for normality using QQ plots and assessed for equal variance by 1012 examining the Residual plot (Residuals vs. Predicted Y). Parametric or non-parametric tests were 1013 chosen based on the combination of these analyses. Data were transformed logarithmically (with 1014 or without addition of a constant prior to transformation) whenever it was appropriate to promote 1015 normality and equal variance. Unless specified, sphericity was not assumed, and Geisser-1016 Greenhouse correction was applied in all ANOVA tests. The appropriate post hoc multiple 1017 comparisons tests were applied to compare between the means of specific conditions wherever 1018 applicable. Significance was set at alpha = 0.05. For bootstrap analysis, significance was 1019 determined by asking whether the original target action mean Fano factor was greater or less 1020 than the 95% confidence interval of the bootstrap distribution. Permutation test was applied in 1021 the comparisons between regression models because of the large sample size discrepancy 1022 between groups. Bonferroni p adjustment was used to account for multiple comparisons in this 1023 case. For detailed description of statistical procedures please refer to Supplementary Information. 1024 1025

- 1026
- 1027
- 1028

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1041

1042 Author Contributions:

- 1043 J.C.Y.T and R.M.C. designed the study, interpreted results and wrote the paper. J.C.Y.T.
- 1044 performed and analyzed experiments. J.C.Y.T, V.P., F.C. designed close loop optogenetic
- 1045 system. J.C.Y.T., F.C. and A.S. executed assembly of the closed loop optogenetic system. F.C.
- and A.S. designed and assembled software and hardware. A.S. designed and assembled wireless
- 1047 inertial sensor and hardware. A.K. contributed Earth Mover's Distance code and was involved in
- 1048 early conceptions of the closed loop system. J.A.d.S., F.C. and A.S. designed and assembled the
- 1049 WEAR system. R.M.C. supervised the project. All authors edited the paper.
- 1050
- 1051 **Competing Interests:** F.C. is the Director of Open Ephys Production Site.

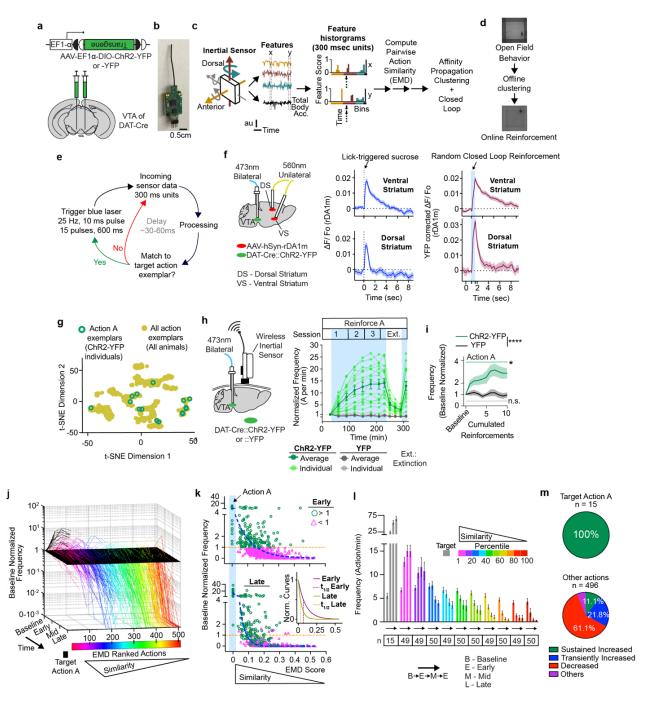
1052			
1053	Ad	ditional Information: Supplementary Information is available for this paper.	
1054			
1055	Co	de availability. MATLAB (MathWorks) codes used for data analysis are available from the	
1056	coi	responding author.	
1057			
1058	Data availability. Source Data are available from the corresponding author upon reasonable		
1059	rec	uest.	
1060			
1061	Co	rrespondence and requests for materials should be addressed to rc3031@columbia.edu	
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- 1150



Figures and Figure Legends:

Fig. 1. Learning of a single action from the naïve state as mediated by closed loop

optogenetics. a, Injection scheme. **b**, Wireless inertial sensor. **c**, Sensor data processing. **d**, Open field behavioral clustering and action reinforcement. **e**, Closed loop schematic. **f**, Dopamine release in dorsal and ventral striatum (n = 70 sucrose rewards, 2 ChR2-YFP mice; n = 66 and 65 random

stimulations, 2 ChR2-YFP and 2 YFP animals, respectively). Plots were mean, S.E.M. g. Action A exemplar locations in behavioral space. **h-m**, ChR2-dependent reinforcement of Action A (n = 15) ChR2-YFP animals (green). n = 10 YFP animals (grey)). Plots were mean, S.E.M. h, Left: Headmount setup. Right: Light green/grev lines represent individual ChR2-YFP/YFP animals, respectively. i, Rapid increase in target action performance in response to close-loop reinforcements. Significant Time x Group Interactions (Supplementary Information). Plots were mean, S.E.M. **j**, Evolution of pooled behavior repertoire (n = 509 actions, ChR2-YFP mice) across learning. **k**, Early/Late cross-sectional views of (**j**) (Early: baseline normalized frequency >1, green circles, < 1, magenta triangles). Blue dashed lines - single phase log decay fits. Bottom inset graph shows Early/Late fitted lines normalized to 1 at EMD=0. I, Raw frequencies across learning and target similarity percentile groups. Plots were mean, S.E.M. Two-way mixed effects statistics in Supplementary Information. m, Pie chart summarizing distribution of actions according to their dynamics within reinforced Action A (left) or other actions (right). Asterisks: **** p < 0.0001. *** p < 0.001. ** p < 0.01. * p < 0.05. n.s. – not significant. See Supplementary Information for statistical/sample details.

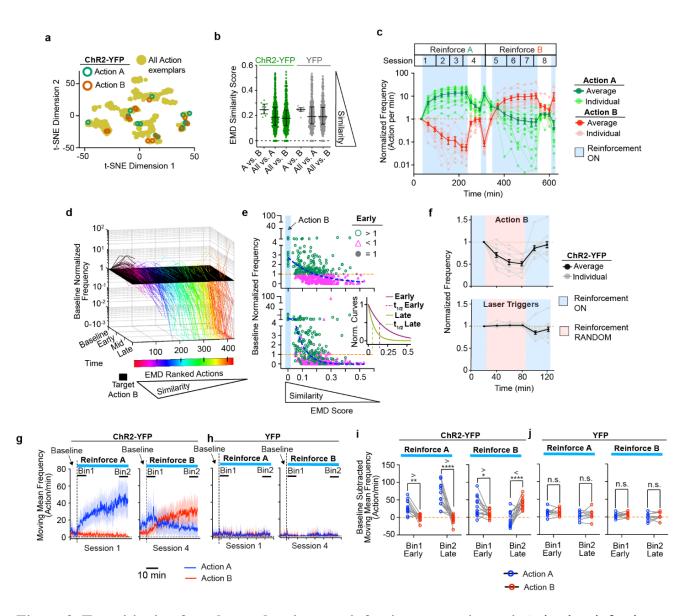


Figure 2. Transitioning from learned action to reinforcing new action. a-j, Animals reinforcing for Action A (n = 15 ChR2-YFP) to Action B (n = 13 of 15 ChR2-YFP). n = 10 YFP animals. a. Action A and B exemplar locations in behavioral space. b, Action similarity comparisons (A vs. B; n = 15/10, ChR2-YFP/YFP; All vs. A; n = 514/356, ChR2-YFP/YFP) or Action B (All vs. B; n =443/356, ChR2YFP/YFP). Plot indicates median/interquartile range. c, Reinforcement for Action A and B in ChR2-YFP animals. Plot indicates mean/S.E.M. d, Evolution of pooled action repertoire (n= 427 ChR2-YFP actions) reinforced for Action B. e, Early/Late cross-sectional views of (d). Blue dashed lines indicate fitted decay curve. Bottom inset graph shows normalized Early/Late fitted

curves. **f**, Contingency degradation of Action B. Target random laser triggers frequencies (bottom) is based on initial Action B performance prior to contingency degradation. Plots indicate mean/S.E.M. **g-j**, Action A (blue) induced by reinforcement for Action B in experienced ChR2-YFP animals. **g-h**, Moving mean frequencies over reinforcement for Action A or B. Dashed, vertical line mark first reinforcement. Plots are mean/S.E.M (colored fill). Bin1/Bin2 are time bins for (**i-j**). **i-j**, Frequency measures within time bins noted in (**g,h**). Repeated measures two-way ANOVA reveal significant difference across time and actions A/B frequencies (not shown). Šidák's post hoc comparisons. Asterisks except in (**h**): **** p < 0.0001. ** p < 0.01. * p < 0.05. n.s. – not significant. See Supplementary Information for statistical/sample details.

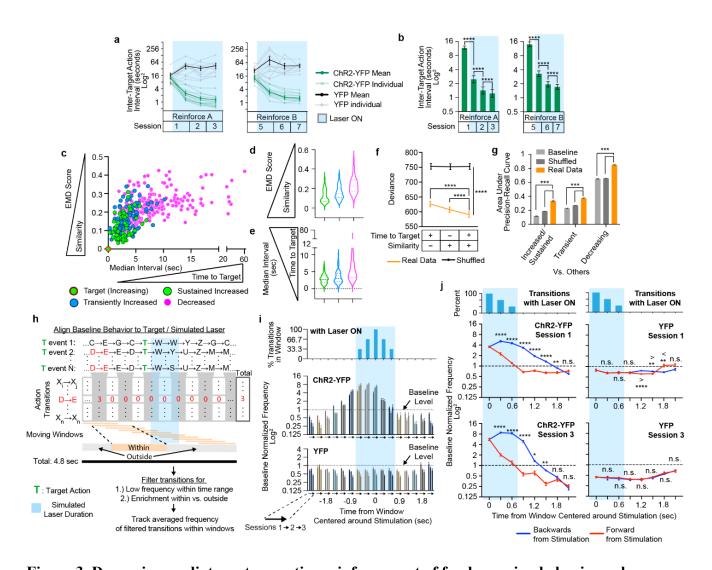


Figure 3. Dopamine mediates retrospective reinforcement of freely moving behavior. a-b, ChR2-dependent reinforcement decrease inter-action intervals for Action A (n = 15 ChR2-YFP) and B (n = 13 of 15 ChR2-YFP). n = 10 YFP animals. Plots are mean/S.E.M (a-b). Significant difference across time and ChR2-YFP/YFP (Mixed Effect Model. Action A: F(3,69) = 72.26, p < 0.0001. Action B: F(3,62) = 33.78, p < 0.0001.) b, Post-hoc Tukey's multiple comparisons of (a). cd, Distribution of action dynamic types (n = 464 actions, 15 ChR2-YFP animals) according to target similarity (c,d), median time to target (c,e). d-e, Violin plots show median/quartiles. Two-tailed permutation tests with Bonferroni-adjusted p-values. f-g, Multinomial logistic regression of all factor combinations in Real data (200 models) versus Shuffled data (10,000 models). f. Groups

differ across combinations (repeated measures, two-way ANOVA. F(2,30594) = 518.2, p <

0.0001.). Post-hoc Dunnett multiple comparisons. Plots are mean/std. **g**, Performance of double-factor regression model measured with area under the precision-recall curves (AUPRC). Two-tailed permutation test with Bonferroni-adjusted p-value. Plots are mean/S.E.M. **h**, Identifying moving window-enriched action transitions. **i.** ChR2-dependent reinforcement for Action A increases action transitions prior to and within stimulation window. Plots indicate mean/S.E.M. **j**, Quantification of (**i**). Significant difference across time and Retrospective/Forward reinforcement directions (Mixed Effect Modeling. ChR2-YFP Session1: F(6,168) = 114.8, p < 0.0001. ChR2-YFP Session 3: F(6,168) = 46.62, p < 0.0001, YFP Session1: F(6,108) = 10.52, p < 0.0001. YFP Session 3: F(6,168) = 0.8992, p = 0.4984). Post-hoc Šidák multiple comparisons. **** p < 0.0001, *** p < 0.001, *** p < 0.005, n.s. – not significant. See Supplementary Information for statistical/sample details.

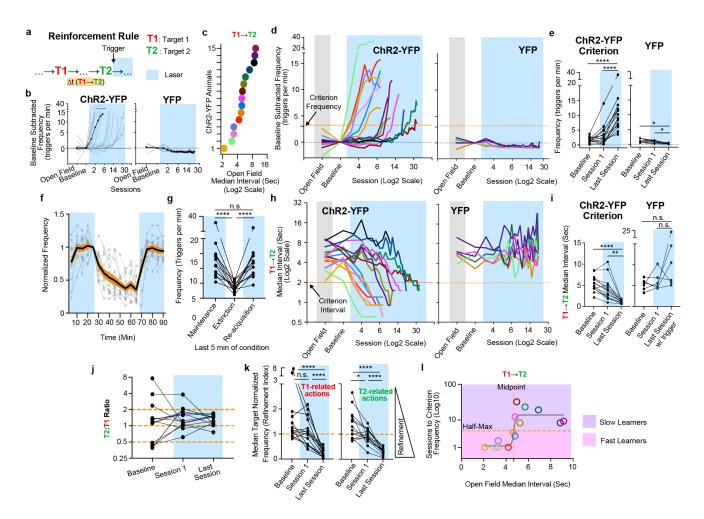


Figure 4. Relationship between pre-reinforcement inter-action intervals and learning of a twoaction sequence. a, Schema. b-l, n = 15 (b,d,h) or 14 (e-g,i-l) ChR2-YFP, 6 YFP animals. Repeated measures one-way ANOVA, post hoc Šidák tests applied in (e,g,i,k). Plots of individuals in (d-e). b, ChR2-dependent increase in T1 \rightarrow T2 triggers (no laser during open field / baseline). c, Open field inter-action intervals of T1/T2 pairs chosen. Same color codes in (d,h). d, Individual learning curves labeled by color codes in (c). e, Frequency changes over conditions (F(1.911,24.85)=51.02, p<0.0001). f-g, Extinction of T1 \rightarrow T2 sequence (ChR2-YFP). f, Plot shows mean(black)/S.E.M.(orange fill)/individuals(grey). g, Frequency changes over extinction conditions (F(1.073, 12.87) = 52.96, p<0.0001). h-i, ChR2-dependent decrease in T1 \rightarrow T2 intervals. (F(1.377, 17.90) = 35.95, p<0.0001) (i). j, T2:T1 frequency ratios (ChR2-YFP) k, Target refinement shown by median target normalized frequencies of related actions. (T1: F(1.237, 16.08) = 43.38. T2:

F(1.171, 15.22) = 48.74. Both p<0.0001). Individual color code as in (c,g). I, Sigmoidal relationship

between open field T1 \rightarrow T2 interval and sessions to criterion frequency.

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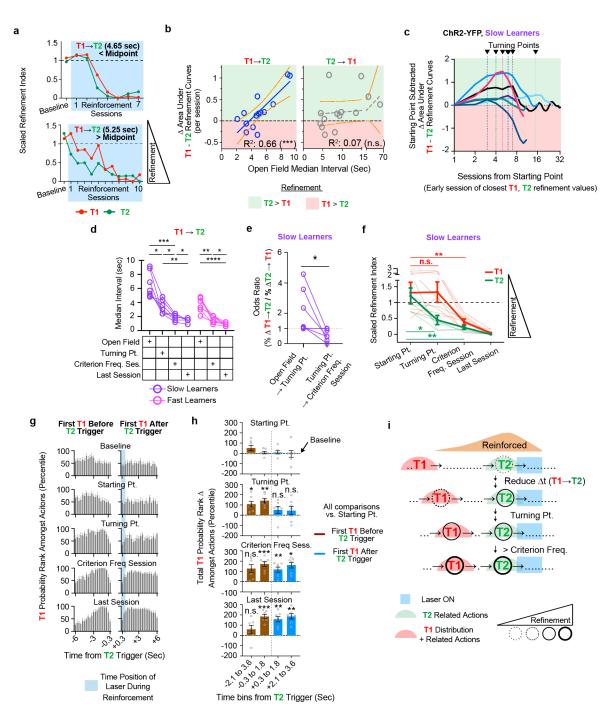


Figure 5. Behavioral process underlying learning of a two action sequence. n = 14 ChR2-YFP (7 Slow Learners). **a**, T1/T2 refinements in two ChR2-YFP individuals. **b**, Linear relationship between initial T1 \rightarrow T2 interval and differential T1-T2 refinement. Non-zero slope significance: T1 \rightarrow T2, p = 0.0004, T2 \rightarrow T1, p = 0.7063. **c**, Progression of differential T1-T2 refinement from Starting Point in Individual Slow Learners. **d**, T1 \rightarrow T2 interval significantly decreased by Turning Point in Slow

Learners. Repeated-measures 2-way ANOVA. Post hoc Tukey's test. **e**, Odds ratio of T1 \rightarrow T2 / T2 \rightarrow T1 interval changes. Paired Wilcoxon test (p = 0.0312, n = 7 animals). **f**, Preferential refinement of T2 relative to T1 by Turning Point in Slow Learners. Raw scaled refinement indices. Repeated measures, mixed effects model. Significant main effects. Time (F (2.184, 26.20) = 54.21, p < 0.0001). Post-hoc Šidák test. **g**, First occurrences of T1 before (left) and after (right) T2 triggers across learning stages. **h**, Quantification of pooled time bins from (**g**). Repeated measures, 2-way ANOVA for learning stage vs. rank change. First T1 Before and After T2 Trigger groups differ across learning stage and total T1 rank change. (Proximal bins (0.3-1.8 sec): F(3,36) = 3.126. p=0.0376. Distal bins (2.1 to 3.6 sec): F(3,36) = 7.701. p<0.001). Post-hoc Šidák relative to Starting Point values. **g**, Model for learning initially distantly separated T1 \rightarrow T2 sequences. Time not drawn to scale. **** p < 0.0001. *** p < 0.001. ** p < 0.01. * p < 0.05. n.s. – not significant. All bar plots indicate mean +/- S.E.M. See Supplementary Information for statistical/sample details.