

1 Taxon cycles in Neotropical mangroves

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6 7 **Abstract**

8
9 The concept of taxon cycle involves successive range expansions and contractions over time
10 through which a species can indefinitely maintain its core distribution. Otherwise, it becomes
11 extinct. Taxon cycles have been defined mostly for tropical island faunas, examples from
12 continental areas are scarce and similar case studies for plants remain unknown. Most taxon
13 cycles have been identified on the basis of phylogeographic studies, and straightforward
14 empirical evidence from fossils is lacking. Here, empirical fossil evidence is provided for
15 recurrent Eocene to present expansion/contraction cycles in a mangrove taxon (*Pelliciera*),
16 after a Neotropical-wide study of the available pollen records. This recurrent behavior is
17 compatible with the concept of taxon cycle from biogeographical, chronological and ecological
18 perspectives. The biotic and abiotic drivers potentially involved in the initiation and
19 maintenance of the *Pelliciera* expansion/contraction cycles are analyzed, and the ecological
20 and evolutionary implications are discussed. Whether this could be a trend toward extinction
21 is considered under the predictions of the taxon cycle theory. The recurrent expansion and
22 contraction cycles identified for *Pelliciera* have strong potential for being the first empirically
23 and unequivocally documented taxon cycles and likely the only taxon cycles documented to
24 date for plants.

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26 **Keywords:** expansion/contraction cycles, taxon cycles, Neotropical mangroves, *Pelliciera*,
27 *Rhizophora*, fossil pollen, relict distribution, range shifts

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52 **1. Introduction**

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54 The concept of taxon cycle was introduced by Wilson (1961) to describe the biogeographical
55 and evolutionary dynamics of species that experience successive range expansions and
56 contractions over time linked to adaptive ecological shifts. According to this author, a taxon
57 can maintain its core distribution area, which he called the headquarters, in a given land mass
58 indefinitely by expanding and contracting its geographical range recurrently. Otherwise, it
59 becomes extinct. In the taxon cycle, expanding and contracting species populations have
60 disparate geographical patterns and adaptive features that allow subdivision of the process
61 into four main stages (Ricklefs & Cox, 1972) (Fig. 1A). In stage I, high-density expanding
62 populations rapidly colonize new environments but bear low morphological differentiation
63 across their geographical range. These taxa have high reproductive potential and broad habitat
64 tolerance, and have been called supertramps (Diamond, 1974). Expansion slows down in stage
65 II, and population differentiation significantly increases, especially near the range margins. The
66 taxa corresponding to this stage are known as great speciators (Diamond et al., 1976). Stage III
67 is characterized by geographical stasis and local extinction leading to fragmented distributions
68 and incipient speciation, which may trigger the onset of a new cycle. If this is not the case, a
69 gradual decline in range size and intraspecific diversity takes place, leading to a progressive
70 relictualization (stage IV) and eventually to extinction (Pepke et al., 2019). According to Ricklefs
71 & Bermingham (2002), the main contribution of the taxon cycle concept to biogeography is the
72 focus on the evolutionary consequences of ecological interactions among colonizing and
73 autochthonous (resident) species, which influence their extinction dynamics and shape their
74 geographical distribution patterns.

75

76 Originally, taxon cycles were defined to explain the biogeographical patterns of island biotas
77 (Wilson, 1959), and although Ricklefs & Bermingham (2002) pointed out that they may also
78 occur in continental environments, archipelagos remain the most common targets. Special
79 emphasis has been placed on animal groups (crustaceans, insects, reptiles, birds) from tropical
80 islands and archipelagos (Caribbean Antilles, Indonesia, Melanesia, New Guinea, Philippines,
81 Madagascar) (e.g., MacLean & Holt, 1979; Losos, 1992; Jones et al., 2001; Cook et al., 2008;
82 Simberloff & Collins, 2010; Economo & Sarnat, 2012; Jønsson et al., 2014; Economo et al.,
83 2015; Fuchs et al., 2016; Dalsgaard et al., 2018; Matos-Maraví et al., 2018; Oliver et al., 2018;
84 O'Connell et al., 2019; Cozzarolo et al., 2019; Liu et al., 2020; Cognato et al., 2021). Few case
85 studies are available from continental areas. For example, a meta-analysis of a widely
86 distributed bird group (Campefagidae) from tropical Asia, Africa and Australia, including insular
87 and continental areas, has been considered to be consistent with the taxon cycle concept
88 (Pepke et al., 2019). Another case is the diversification of Central American salamanders of the
89 supergenus *Bolitoglossa* (Plethodontidae) (Garcia-Paris et al., 2000). In plants, similar case
90 studies seem to be lacking. In agreement with Sheh et al. (2020), the author has been unable
91 to find examples providing empirical support for the predictions of the taxon cycle theory. A
92 single molecular phylogenetic study on the plant tribe Gaultherieae (Ericaceae) has been
93 found, suggesting that fruit color might follow a process similar to a taxon cycle (Lu et al.,
94 2019).

95

96 Initially, the occurrence of taxon cycles was attributed to changing biotic interactions, notably
97 competition and predation, rather than to shifts in environmental drivers (Wilson, 1961;
98 Ricklefs & Cox, 1972). Later, it was realized that the estimated duration of taxon cycles in
99 Caribbean birds, based on molecular phylogenetic analyses, was on the order of 10^5 - 10^7 years
100 (Ricklefs & Bermingham, 2002), which is much longer than the period of most climatic drivers
101 of cyclic nature, especially the Pleistocene glacial-interglacial cycles, which have periods of
102 0.02-1-0.1 million years (my) (Hays et al., 1976). Therefore, the idea of a biotic origin and
103 control was reinforced. Further studies using similar methods estimated taxon-cycle

104 periodicities of ~5 my for Indo-Pacific birds (Jønsson et al., 2014; Pepke et al., 2018) and
105 reaffirmed the idea that Pleistocene climatic cycles could have not been important drivers.
106 However, these authors suggested that other environmental shifts of greater periodicities,
107 such as plate collision or orogenesis, could have been involved (Pepke et al., 2019). It has also
108 been suggested that biotic drivers play a major role in the expansion phase, whereas abiotic
109 drivers are more influential in the retraction phase (Žliobaitė & Stenseth, 2017). In all these
110 works, the duration of taxon cycles was deduced from phylogenetic divergence times, which
111 are usually estimated using molecular clock assumptions or are modeled using a variety of
112 indirect methods (Ho, 2020). Therefore, according to Parenti & Ebach (2013), phylogenetic
113 divergence times are hypotheses, not empirical evidence.

114
115 The fossil record could provide straightforward evidence and more reliable chronologies, but
116 unequivocal fossil evidence for taxon cycles is still lacking. Range expansion-contraction cycles
117 have been observed in the fossil record (Foote, 2007; Foote et al., 2007; Liow & Stenseth,
118 2007; Žliobaitė & Stenseth, 2017) but have not been analyzed from a taxon-cycle perspective
119 (Pepke et al., 2019). According to Lopez-Martinez (2009), this is due to the difficulty of
120 detecting the chronological and geographical origin of a species. Some paleontologists argue
121 that the waxing and waning of fossil species follow symmetric trends (Foote, 2007) but Lopez-
122 Martinez (2009) considers the taxon-cycle model as a good example of a time-asymmetric
123 biogeographical and evolutionary process, as the initial dispersion phase (stage I) is usually
124 much faster – and, hence, much more difficult to document in the fossil record – than the
125 ensuing contraction/diversification and further extinction phases (stages II to IV). As a result,
126 fossils would be able to account mainly for phases II to IV, whereas phase I would remain
127 hidden (likely condensed) and represented only by the seemingly synchronous appearance of
128 the species in a more or less wide area (e.g., Brunet et al., 1995) (Fig. 1B). According to the
129 punctuated equilibrium model of evolution, this asymmetry should be viewed as an intrinsic
130 feature of the fossil record – and, therefore, of the evolutionary process itself – rather than an
131 imperfection of the fossil, as usually considered (Eldredge & Gould, 1972; Gould & Eldredge,
132 1977). In summary, according to the asymmetric model, the last appearance datum (LAD) of a
133 fossil reliably records its extinction but its first appearance datum (FAD) does not record its
134 actual time and place of origin but of its initial spreading (Fig. 1B).

135
136 *Pelliciera* Planch. & Triana (Triana & Planchon) – also reported as *Pelliceria* in some early
137 publications – is a genus of Neotropical mangrove trees of the family Tetrameristaceae –
138 formerly in the Theaceae or the Pellicieraceae, as reported in a number of classical papers –
139 which has traditionally been considered monotypic (*P. rhizophorae*) but has recently been split
140 into two species: *P. rhizophorae* (Planch. & Triana) N.C. Duke and *P. benthamii* Planch. & Triana
141 (Duke, 2020). These species are currently restricted to a small patch along the Caribbean and
142 Pacific coasts of Central America and northwestern South America – called here the present
143 *Pelliciera* range or PPR (Fig. 2) – which has been considered to be a relict of the larger, nearly
144 pan-Neotropical distribution attained by *Pelliciera* during Tertiary times (Wijmstra, 1968;
145 Graham, 1977, 1995; Rull, 1998, 2001).

146
147 Presently, *Pelliciera* is rare and restricted to sites with low or moderate salinity in the
148 understory of *Rhizophora*-dominated mangrove forests (Dangremond et al., 2015). Recent
149 autecological studies have shown that *Pelliciera* is highly sensitive to light intensity and salinity,
150 and the combination of high levels of these environmental stressors leads to increased
151 mortality, lower photosynthesis rates and reduced growth. When this species grows in shade
152 conditions, however, it can tolerate high salinities, which suggests that light intensity is the
153 main limiting factor. As a result, this taxon is unable to establish in sites with an open canopy
154 and grows in the understory beneath the canopy of other tree species that, in the case of
155 Central America, is provided by *Rhizophora mangle*, which is more tolerant to environmental

156 stressors (Dangremond et al., 2015). It has also been reported that the scarcity of nutrients
157 such as nitrogen and phosphorus can limit the growth of *Pelliciera*, leading to the development
158 of dwarf forms (Dangremond & Feller, 2014). In general, *Pelliciera* is considered to be a
159 stenotopic taxon bearing a relatively narrow and specialized niche.

160

161 Reconstruction of past biogeographical patterns and trends of *Pelliciera* are based on its fossil
162 representative, the morphological pollen species *Lanagiopollis crassa* (van der Hammen &
163 Wijmstra) Frederiksen, which also appears in the literature under the synonym
164 *Psilatricolporites crassus* van der Hamen & Wijmstra (Wijmstra, 1968). This pollen originated
165 locally in the Early Eocene but did not attain significant abundances until the Middle Eocene
166 (Germeraad et al., 1968), when it became the dominant tree in the Neotropical mangrove
167 communities (Rull, 2022a). By the time, *Rhizophora* (represented by the fossil pollen
168 *Zonocostites ramonae* Germeraad, Hopping & Muller), currently the most abundant mangrove-
169 forming tree in Neotropical coasts, was still absent in the region and arrived later, in the Mid-
170 Late Eocene, likely by long-distance dispersal from the Indo-Pacific region, crossing the Atlantic
171 through the Tethys seaway (Takayama et al., 2021). *Rhizophora* acquired its present dominant
172 status during the Eocene/Oligocene Transition (hereafter EOT), which represented a global
173 disruption characterized by a rapid cooling (which inaugurated the Cenozoic icehouse Earth's
174 state) and a sea-level fall that heavily influenced the Earth's biota in the form of enhanced
175 extinction and intense biotic turnover (Coxall & Pearson, 2007; Hutchinson et al., 2021).

176

177 In the Neotropics, the EOT signified a revolution for mangrove communities as the former
178 Eocene *Pelliciera*-dominated mangroves disappeared and this tree turned into a minor
179 subordinate element of the *Rhizophora*-dominated communities. However, this did not lead to
180 the extinction of *Pelliciera*. Rather, this taxon was much more widespread across the
181 Neotropics after losing its dominance than it was before and is today (Graham, 1977, 1995;
182 Rull, 1998, 2001), which represents a major biogeographical challenge. Several explanations
183 have been proposed to account for the Miocene-present *Pelliciera* reduction, including
184 climatic and/or salinity stress, sea-level shifts or competition with *Rhizophora*, among others
185 (Wijmstra, 1968; Fuchs, 1970; Graham, 1977, 1995; Jiménez, 1984; Rull, 1998, 2001). However,
186 there are some methodological weaknesses that should be addressed before analyzing the
187 potential causes for the *Pelliciera* biogeographical trends. First, although the idea of a post-
188 Miocene range reduction was based on the analysis of barely a dozen of fossil records, this
189 view has perpetuated until today with no further reconsideration based on an updated fossil
190 database. Second, this reduction is only part of the story about the Cenozoic range shifts of
191 *Pelliciera*, as pre-Miocene evidence has also not been analyzed under the same premises of a
192 representative spatiotemporal fossil record. Third, most pollen records on which previous
193 hypotheses were based consisted of qualitative (presence/absence) and pseudoquantitative
194 (abundant, common, scarce) records, and it has been demonstrated that quantitative data are
195 essential to properly record and understand the evolution of Neotropical mangroves (Rull,
196 2022a).

197

198 This paper uses an updated fossil pollen database of almost 80 widely distributed qualitative
199 and quantitative Neotropical pollen records to reconstruct the biogeographical trends of
200 *Pelliciera* since its Early Eocene origin to the present. This analysis revealed the occurrence of a
201 long-term expansion-contraction loop that would be compatible with the concept of taxon cycle,
202 sensu Wilson (1961). Therefore, this would be not only the first evidence for a taxon cycle in
203 plants but also a strong support for a taxon cycle, in general, as it is based on empirical,
204 straightforward and chronologically accurate evidence, rather than on phylogenetic
205 assumptions and modeling. The biogeographical cycle identified in this way is discussed in
206 ecological and evolutionary terms using the known ecological traits of the taxa involved,
207 primarily *Pelliciera* and *Rhizophora*, under the above-mentioned predictions of the conceptual

208 model of Wilson (1961) and further updates. External (environmental) drivers potentially
209 involved in the *Pelliciera* taxon cycle are also discussed. Considering the present features of
210 *Pelliciera* populations, in comparison with its previous biogeographical history, the possible
211 future developments are also evaluated.

212

213 **2. Materials and methods**

214

215 The data used in this paper are from the available literature; more information exists in the
216 databases of oil companies but it is not publicly available. Efforts to make this information
217 public, as is the case of Germeraad et al. (1968) and Lorente (1986), are worth to be made.
218 After a comprehensive literature survey, almost 80 fossil mangrove pollen records were
219 identified with data useful to reconstruct the biogeographical history of *Pelliciera* and
220 *Rhizophora* in the Neotropics from the Eocene to the present. Almost half of these sites (45%)
221 have quantitative data (percentages) and slightly more than a quarter (26%) have only
222 presence/absence records; the rest have subjective measures such as abundant, common or
223 rare. Ages are provided as geological epochs (Eocene, Oligocene, Miocene, Pliocene,
224 Pleistocene and Holocene). In some cases, there is not enough chronological precision to
225 resolve between them and longer ranges are indicated (e.g., Oligo-Miocene, Mio-Pliocene).
226 The lack of site coordinates in many original references has prevented precise estimations of
227 range sizes using statistical methods (Darroch & Saupe, 2018; Darroch et al., 2020);
228 Carotenudo et al., 2020).

229

230 **3. Results**

231

232 The results obtained are displayed in Figure 3. Raw data (Table S1) and detailed figures for
233 each time interval (Figs. S1 to S5) are provided as Supplementary Material. During the Eocene
234 (17 Sites, including the two labeled as Eocene/Oligocene), *Pelliciera* was common or abundant
235 in most localities, with maximum percentages up to 60%. The distribution area was restricted
236 to NW South America (presently Colombia and Venezuela), with one site in eastern central
237 America (Panama) and another on the Caribbean island of Jamaica. *Rhizophora* was present
238 only in six localities, attaining some relevance (<10%) in only one site from Panama. In the
239 Oligocene and Oligo-Miocene (18 Sites), a significant abundance decline is observed in
240 *Pelliciera*, which falls to values below 5%, except in two localities from Venezuela. *Rhizophora*
241 shows a reverse trend and is common or abundant in a significant number of sites, attaining
242 values between 50 and 90% in four of them, situated in Guyana, Mexico, Venezuela and
243 Puerto Rico. Regarding the distribution, *Pelliciera* shows a dramatic expansion in both
244 latitudinal and longitudinal senses, ranging from Mexico and Puerto Rico to Brazil.

245

246 During the Miocene (36 sites), the decline in the abundance of *Pelliciera* continued and
247 disappeared from 8 localities, reaching values of 3% only in five Venezuelan and one
248 Panamanian site. The geographical distribution was similar to that in the Oligocene but with a
249 slight displacement toward the SW. In contrast, *Rhizophora* became abundant in most
250 Miocene records with values up to 90%. In the Pliocene (8 localities, including some labeled as
251 Mio-Pliocene), *Pelliciera* was only present and its range was restricted to the southern
252 Caribbean margin (northern South America and Central America), whereas *Rhizophora*
253 attained values of 70-100% in three sites and was present in others. No records exist for the
254 Pleistocene and a few records are available for the Holocene (e.g., Horn, 1985; Jaramillo &
255 Bayona, 2000), which are restricted to the PPR.

256

257 Shifts in the geographical range of *Pelliciera* represented in Fig. 3 can be subdivided into four
258 main phases (Fig. 4). During the first phase (Eocene to Oligocene), the range expanded to most
259 of the Neotropics but the populations experienced a significant reduction, which resulted in a

260 more diluted distribution. This phase is called thinning expansion here, as the term dilution has
261 already been used in biogeography for other processes (Keesing et al., 2006, 2010). The second
262 phase (Oligocene to Miocene) was a displacement phase, where the range slightly migrated to
263 the NW, with no significant differences with respect to the Oligocene situation. During the
264 third phase (Miocene to Pliocene), the range underwent a major contraction toward the
265 southern Caribbean margin. Finally, in the fourth phase (Pliocene to present) the range did not
266 experience further reductions but showed a longitudinal contraction around Central America
267 and a southward migration toward the Equator, which is considered a spatial reorganization.
268 In summary, the range of *Pelliciera* significantly expanded from its original NW South American
269 cradle until a Miocene maximum encompassing most of the Neotropics to initiate a retraction
270 that ended in the present PPR, which is very similar in location and extent to the Eocene range.
271 This biogeographical loop was accompanied by a dramatic decline in the *Pelliciera* populations,
272 which was abrupt in the EOT and gradual between the Oligocene and the present (Fig. 5). If we
273 consider that the Eocene *Pelliciera* mangroves attained their maximum extent in the Lutetian
274 (Middle Eocene) (Rull, 1998, 2022a), the minimum duration of the overall *Pelliciera*
275 biogeographical loop was approximately 45 my.

276

277 4. Discussion

278

279 The *Pelliciera* loop, as documented in the fossil record, is straightforward empirical evidence
280 for an expansion-contraction cycle, whose duration ($\sim 4 \cdot 10^7$ y) and biogeographical expression
281 are consistent with the concept of taxon cycle, sensu Wilson (1961). Whether this cycle truly
282 corresponds to a taxon cycle is discussed in more depth, with special consideration of the
283 potential ecological and evolutionary implications.

284

285 First, it should be noted that the expansion phase (Eocene to Miocene) was much longer than
286 expected under the asymmetric model, which postulates that phase I is too fast to be
287 detectable in the fossil record (Fig. 1B). According to the asymmetric predictions, phase I
288 should be condensed in the Eocene, when *Pelliciera* was already expanded across NW South
289 America, Central America and the Greater Antilles (Fig. 3). To verify this possibility, the Eocene
290 records (Fig. 2) have been subdivided into Early Eocene, Middle Eocene and Late Eocene (Table
291 1), revealing the occurrence of an intra-Eocene contraction-expansion loop (Fig. 6). According
292 to this reconstruction, *Pelliciera* would have originated in the Early Eocene in western
293 Venezuela and expanded relatively fast, attaining a maximum in the Middle Eocene, to further
294 retreat (Late Eocene) to an area similar but not identical to its original range (Fig. 6). Therefore,
295 the true stage I would have occurred between the Early and Middle Eocene. The exact
296 duration of this loop is difficult to establish but a maximum estimate could be the total
297 duration of the Eocene epoch, which is 22 my ($\sim 2 \cdot 10^7$ y). Using the same reasoning, stage I
298 would have lasted a maximum of 10 my (10^7 y).

299

300 Evidence for population differentiation and eventual speciation should be sought on pollen
301 morphology, which has demonstrated to be useful to differentiate extant *Pelliciera* species and
302 subspecific taxa (Castillo-Cárdenas et al., 2014, 2015; Duke, 2020). The main diagnostic
303 characters are size and exine (the outer pollen wall) sculpture. Fossil *Pelliciera* pollen (*L. crassa*
304 or *P. crassus*) also exhibits significant morphological variability in these diagnostic characters
305 from the Eocene to the Pliocene (Germeraad et al., 1968; Frederiksen, 1985; Lorente, 1986;
306 Muller et al., 1987; Jaramillo & Dilcher, 2001). Unfortunately, no systematic records of this
307 variability exist in the fossil record that enable to distinguish among possible taxonomic
308 categories. This should be addressed in future studies, but with the available fossil evidence,
309 the occurrence of different species and/or subspecies cannot be dismissed.

310

311 Regarding ecological preferences, most paleoecological studies using pollen rely on a
312 reasonable degree of niche constancy over time (niche conservatism), especially at the genus
313 level, in long-lasting communities (Wiens & Graham, 2005; Hadly et al., 2009; Wiens et al.,
314 2010), which is the case of mangroves. Therefore, it is likely that fossil *Pelliciera* species were
315 also stenotopic, as extant species are. This would be especially true in the Eocene, as the
316 maximum recorded expansion of the *Pelliciera* range did not progress beyond tropical warm
317 and wet climates, and is very similar to the present range, characterized by average
318 temperatures of ~27 °C and total annual precipitation values up to ~3000 mm (Castillo-
319 Cárdenas et al. 2015; Dangremond et al., 2015). It is especially noteworthy that extant
320 *Pelliciera* grows around one of the most humid areas of the world, the Chocó region, (Fig. 2),
321 with precipitation values reaching 13,000 mm y⁻¹ (Yepes et al., 2019). During the Eocene,
322 climates were significantly warmer than at present and global average temperatures were ~8-
323 14 °C above present temperatures (Westerhold et al., 2020), which would indicate
324 macrothermal conditions for fossil *Pelliciera* species.

325

326 The first records of *Rhizophora* dated from the Middle Eocene and extended up to the Late
327 Eocene in the form of scattered appearances, always around the initial and final Eocene ranges
328 of *Pelliciera* (Table 1). The only exception is a Panamanian site (67), where *Rhizophora* reached
329 10% of the pollen assemblage during the Late Eocene. These occurrences represent the first
330 stages of colonization of the Neotropics by *Rhizophora*, which coincides with the results of
331 recent molecular phylogeographical studies that situate the origin of this genus in the Indo-
332 Pacific region and its worldwide spreading in the Mid-Late Eocene (Takayama et al., 2021).
333 According to the same authors, *Rhizophora* could have reached the Neotropics by the Atlantic
334 Ocean, via the Tethys seaway. The use of this pathway cannot be supported or dismissed by
335 paleogeographic reconstructions and fossil pollen records, as both Atlantic and Pacific seaways
336 to the Caribbean were open for dispersal during the whole Eocene (Romito & Mann, 2020;
337 Mann, 2021), and the fossil records do not show a clear Atlantic or Pacific pattern (Fig. 6).
338 Once more, the only exception is site 67, which was situated in the Pacific island arc of the
339 western Caribbean plate margin during the Eocene, which opens the door to an eventual
340 Pacific dispersal pathway, but more studies are needed for a sound assessment.

341

342 The Oligocene to Miocene *Pelliciera* expansion (thinning expansion) started from a small area
343 in NW South America, at the intersection between the Eocene and the present ranges (Figs. 4
344 and 6). Since the beginning, this expansion has followed the expansion of *Rhizophora*, the
345 dominant mangrove tree. This change in dominance would have been greatly influenced by
346 the EOT environmental disruption, in combination with biotic interactions. The EOT cooling
347 would have affected a stenotypic macrothermic taxon such as *Pelliciera* and favored the
348 development of the more eurytopic and climatically tolerant *Rhizophora*. In addition to its
349 wider environmental tolerance, *Rhizophora* is an aggressive colonizer with a high dispersal
350 potential, as its propagules are able to remain floating and viable for a year or more in
351 saltwater. In contrast, the *Pelliciera* propagules have a maximum flotation period of barely a
352 week and a maximum viability of roughly a couple of months, and their dispersal occurs
353 primarily over short distances transported by coastal currents (Rabinowitz, 1978; Van de
354 Stocken et al., 2019). The whole picture may suggest that the competitive superiority of
355 *Rhizophora* could have led to the extinction of *Pelliciera*, but in contrast, this taxon not only
356 survived but also expanded its range at the same pace of *Rhizophora* (Fig. 4). This could be
357 explained by a combination of two types of biotic interactions known as facilitation and niche
358 segregation.

359

360 Facilitation occurs when a species provides refuge to another in the face of environmental
361 stress, predation or competition, thus allowing its survival (Boucher et al., 1982; Callaway,
362 1995; Stachowicz, 2001; Bruno et al., 2003). Niche segregation refers to the spatial, temporal

363 or functional divergence of the niches of two competing species that allows the survival of
364 both (MacArthur & Levins, 1967; Violle et al., 2011; Kosicki, 2022). As quoted above for extant
365 mangroves, *Pelliciera* is highly sensitive to environmental stressors, and its growth is facilitated
366 by *R. mangle*, which provides a favorable microhabitat in the mangrove understory
367 (Dangremond et al., 2015). The maintenance of this specialized microhabitat could be
368 explained by the fact that, although eurytopic generalists are apt to live in a wide range of
369 environmental conditions – and, therefore, able to invade the specialist’s microhabitat –
370 stenotopic specialists are more efficient within the restricted set of conditions in which they
371 can develop (Futuyma & Moreno, 1988).

372

373 Using these ecological relationships as modern analogs for EOT mangroves, the *Pelliciera* to
374 *Rhizophora* dominance shift without competitive exclusion could be viewed as a tradeoff in
375 which the newly arrived eurytopic generalist (*Rhizophora*) outcompeted the resident
376 stenotopic specialist (*Pelliciera*) in terms of dominance and, in exchange, facilitated a
377 microhabitat to the loser, which “accepted” to play a secondary role in order to survive. In
378 addition to survival, *Pelliciera* acquired the opportunity to expand its range across the whole
379 Neotropics beyond its macroenvironmental niche boundaries, during the Oligocene and the
380 Miocene. This would have been hardly possible without the facilitation of *Rhizophora*, as
381 suggested by the permanent restriction of *Pelliciera* within its comfort zone (or the
382 headquarters, in the words of Wilson, 1961) during the whole Eocene, when *Rhizophora* was
383 absent. Given the dispersal mode of *Pelliciera*, typically over short distances through coastal
384 currents, its expansion should have been by diffusion, that is, gradual migration across
385 hospitable terrains, rather than by long-distance dispersal, which implies crossing inhospitable
386 lands (Pielou, 1977). This suggests that *Rhizophora* must have been the pioneering colonizer,
387 and once the mangrove community was developed and the microhabitat suitable for *Pelliciera*
388 was created, this taxon would have been able to establish. Therefore, during its maximum
389 Oligo-Miocene expansion, *Pelliciera* likely survived as a diffuse network of small populations
390 restricted to favorable microhabitats, which is supported by mangrove fossil pollen records of
391 this age, which are widespread but show very low pollen percentages, when present. This
392 spatial arrangement and ecological dynamics fit with the concept of microrefugia, specifically
393 the diffuse type, which promotes genetic differentiation among populations of the same
394 species (Rull, 2009). Paraphrasing Fernández-Palacios et al. (2021), the ecological loser could
395 have had unprecedented opportunities for diversification and become an evolutionary winner.

396

397 From an environmental point of view, the expansion of *Pelliciera* occurred in a phase of
398 extended climatic stability spiked only by minor shifts (Westerhold et al., 2019), which
399 suggests that biotic interactions were the main drivers in this part of the cycle. It is important
400 to emphasize that the Oligo-Miocene spreading – whose duration is difficult to estimate due to
401 the lack of enough temporal resolution in the fossil record – was the second expansion of
402 *Pelliciera*, after the first occurred during the Early-Mid Eocene around the original range and its
403 further Late Eocene contraction. Therefore, *Pelliciera* experienced at least two
404 expansion/contraction cycles since the Eocene. The causes for the occurrence of the first cycle
405 remain unknown but the second was likely triggered by the Late Eocene arrival of *Rhizophora*,
406 which not only provided new spreading and diversification opportunities but also physically
407 mediated the process, as a niche builder and an indirect dispersal agent (actually an ecological
408 nurse). It is not possible to know whether *Pelliciera* would have become extinct without the
409 arrival of *Rhizophora*, as its range was receding but, if so, *Rhizophora* could have provided the
410 conditions for *Pelliciera* to overcome this bottleneck. Therefore, the ecological winner would
411 have been not only the ecological nurse for the ecological loser but also its evolutionary
412 rescuer. Considering the evolutionary dimension of these ecological interactions, *Rhizophora*,
413 which likely began as a competitor, would have been much more than a lifesaver for *Pelliciera*,
414 becoming with time a real sponsor/benefactor.

415
416 The Miocene-Pliocene contraction occurred after a significant cooling known as the Middle
417 Miocene Cooling Transition (MMCT) (Westerhold et al., 2019). No evident changes were
418 observed in the fossil pollen record, and hence, this contraction could have been more
419 influenced by climatic than by biotic drivers. Obviously, the Pliocene restriction to the southern
420 Caribbean margin was due to the local extinction of all Miocene *Pelliciera* populations outside
421 this area, as predicted by the taxon cycle theory. It is possible that *Pelliciera* was unable to
422 endure a second cooling, even under the protection of *Rhizophora*, and survived only in the
423 sector of its range where temperature and precipitation remained favorable. This would be
424 supported by the fact that the observed contraction had a clear latitudinal component. The
425 reorganization that occurred between the Pliocene and the present is more enigmatic for two
426 main reasons. On the one hand, the present distribution is based on actual records of living
427 populations, while former distributions have been inferred from fossil pollen assemblages,
428 which are subjected to a variety of taphonomic processes not affecting living plants. On the
429 other hand, there is a gap between the Pliocene and the present, as no pollen records of
430 *Pelliciera* are available for the Pleistocene and only a few Holocene records have been
431 documented (e.g., Horn 1985; Jaramillo & Bayona, 2000). This prevent us from knowing how
432 Pleistocene glaciations, the coolest phases of the whole Cenozoic era, affected the range of
433 *Pelliciera*. Knowing the macrothermic nature of this taxon, it is expected that glaciations
434 significantly affected its populations, but no evidence of this possibility is available to date.
435
436 An additional factor characteristic of the last millennia, which was absent in former geological
437 epochs, is the presence of humans, whose influence on *Pelliciera* is largely unknown, except
438 for the last decades. *P. rhizophorae* has been listed as “Vulnerable” – that is, under a high risk
439 of extinction in the wild due to its small (500-2000 km²) and fragmented distribution area – in
440 the IUCN Red List of Threatened Species (Polidoro et al., 2010; Blanco et al., 2012; Bhowmik et
441 al., 2022). Urban expansion has been recognized as a major threat for *Pelliciera* populations,
442 which are being heavily fragmented and threatened by habitat loss (Blanco-Libreros &
443 Ramírez-Ruiz, 2021). From a biogeographical perspective, the available evidence suggests that,
444 rather than shrinking the distribution area of *Pelliciera* as a whole, human activities have
445 caused its severe fragmentation, which affects population viability and increases the sensitivity
446 to extreme events and global warming (Blanco-Libreros & Ramírez-Ruiz, 2021). In the context
447 of the taxon cycle, it could be asked whether the total extinction of *Pelliciera* is approaching
448 and how human activities could contribute to accelerating this end. It is possible that, if the
449 IUCN considers this view, *Pelliciera* would be transferred to the category Critically Endangered
450 and considered a conservation priority. It is difficult to envisage the emergence of another
451 evolutionary rescuer similar to *Rhizophora* that prevented the extinction of *Pelliciera* and
452 promoted its expansion thus initiating an eventual third cycle. Humans could be able to do this
453 through conservation/restoration actions, but we should seriously ask ourselves if we have the
454 right to artificially preserve a taxon that is naturally headed to extinction (Rull, 2022b).
455
456 To summarize, the *Pelliciera* expansion-contraction cycles documented in the fossil pollen
457 record since the Eocene have strong potential for being considered taxon cycles, sensu Wilson
458 (1961), from chronological, biogeographical and ecological perspectives. Evolutionary
459 predictions are more difficult to evaluate solely on the basis of the available pollen records and
460 complementary evidence is needed for a sound assessment. Two main aspects remain to be
461 analyzed in more detail in further studies, namely phase chronology and population
462 diversification. Regarding the first, more precision is needed to accurately estimate the
463 duration of the cycles and their respective phases. With reference to the second, more
464 systematic pollen morphological studies, along with the use of molecular phylogenetic
465 techniques, are required to document potential differentiation patterns among fossil
466 populations. This paper has tried to maximize the utility of the information available in the

467 literature in this sense. However, the existing studies were not aimed at demonstrating the
468 existence of taxon cycles, which hinders more robust conclusions. With this in mind, further
469 studies can be devised with the specific target of testing the evolutionary predictions of the
470 taxon cycle model. Hopefully, this paper has provided a number of testable hypotheses that
471 are worth exploring in this line. If confirmed, the *Pelliciera* cycles would be the first taxon
472 cycles supported by straightforward empirical evidence and the first known taxon cycle for
473 plants.

474

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476

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776 **Figure captions**

777

778 **Figure 1.** The taxon cycle and its fossil expression. A) Variations in geographical extent and
779 intraspecific differentiation along the stages of the taxon cycle (redrawn from Pepke et al.,
780 2019). B) Variations in the geographical area of fossils according to the asymmetric model
781 (Lopez-Martinez, 2009). The stages represented in the fossil record (gray area) and the
782 condensed phase (CP), which is apparently unrecorded, are indicated. FAD, first appearance
783 datum; LAD, last appearance datum.

784

785 **Figure 2.** Present distribution of *Pelliciera* species. A) Map of the Americas with the distribution
786 area of *Pelliciera* highlighted by a red box. B) Close up of the occurrence patterns of the two
787 *Pelliciera* species (modified from Duke, 2020). The Colombian Chocó region is highlighted
788 because it is one of the most humid regions of the world, with precipitation values up to
789 13,000 mm y⁻¹ (Yepes et al., 2019).

790

791 **Figure 3.** Graphical display of the results from Table S1 (Supplementary Material). Present
792 *Pelliciera* localities have been taken from Fig. 2. Countries: B, Brasil; Bz, Belize; C, Colombia; CR,
793 Costa Rica; Cu, E, Ecuador; ES, El Salvador; Cuba; FG, French Guiana; G, Guatemala; Gy,
794 Guyana; H, Honduras; Hp, Hispaniola (Haiti and Santo Domingo); J, Jamaica; M, Mexico; N,
795 Nicaragua; P, Panama; Pe, Peru; PR, Puerto Rico; S, Surinam; T, Trinidad & Tobago; V,
796 Venezuela.

797

798 **Figure 4.** *Pelliciera* range shifts between the Eocene and the present, subdivided into four
799 chronological phases.

800

801 **Figure 5.** Diagram of the *Pelliciera* biographical loop with indication of the ranges of
802 abundance of its fossil pollen in each geological epoch. PPR, present *Pelliciera* range.

803

804 **Figure 6.** The Eocene expansion-contraction *Pelliciera* loop. A) Eocene localities (Fig. 3)
805 subdivided into Early, Middle and Late Eocene ages (Table S1 of the Supplementary Material).
806 Countries: C, Colombia; J, Jamaica; P, Panama; V, Venezuela. B) Early to Middle Eocene
807 expansion. C) Middle to Late Eocene contraction. The initial Early Eocene range (A) is indicated
808 by a broken line.

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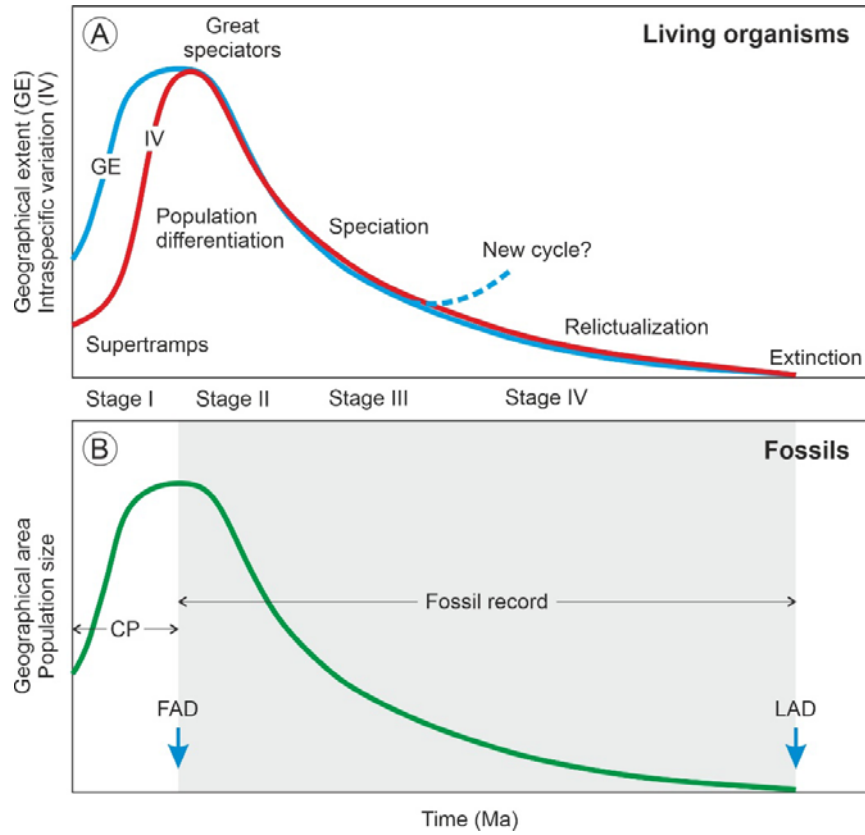
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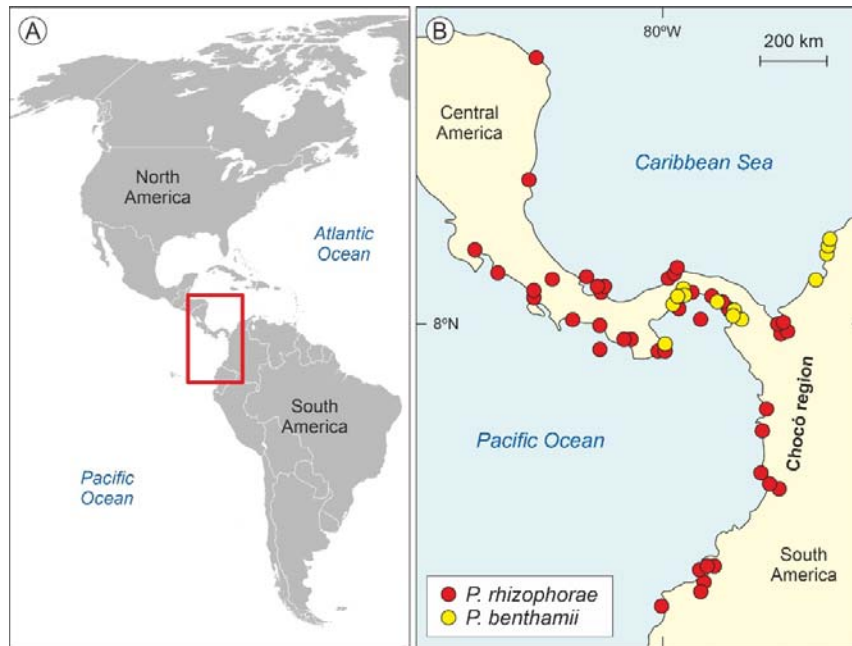
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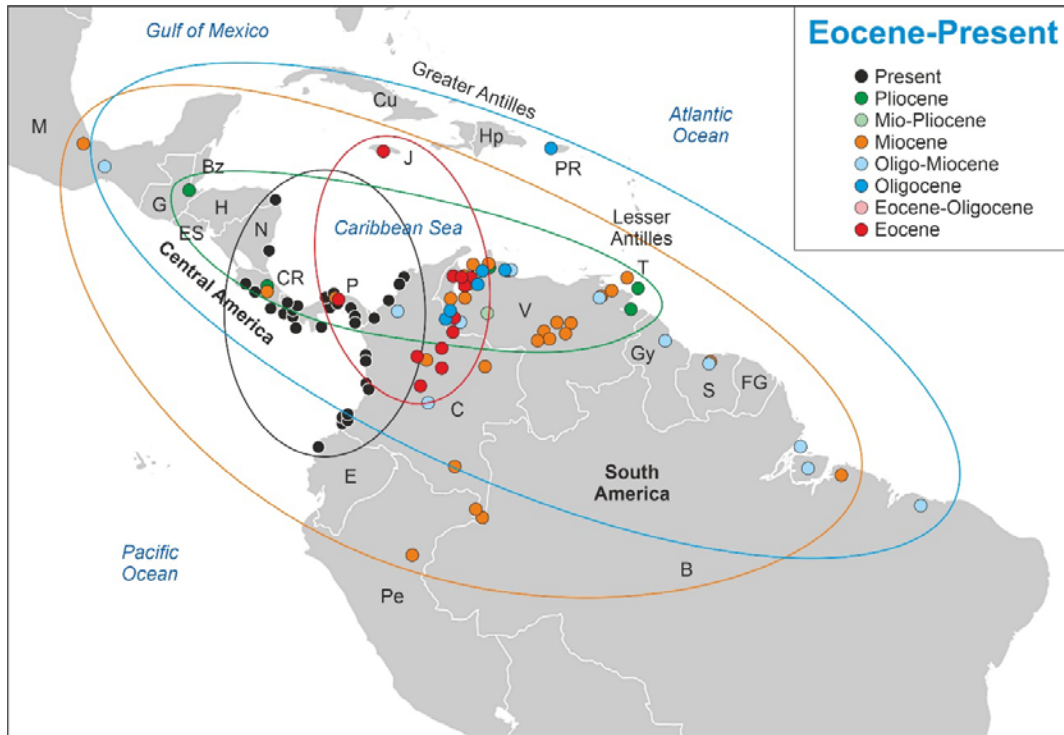
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Figure 1



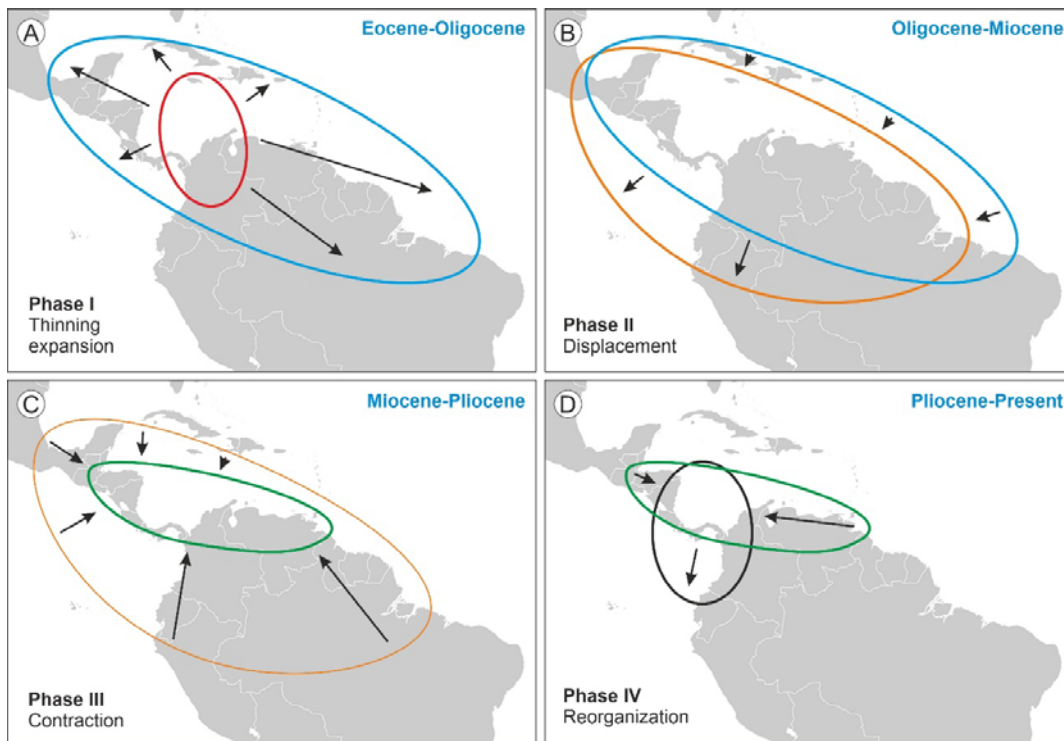
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Figure 2



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Figure 3



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Figure 4

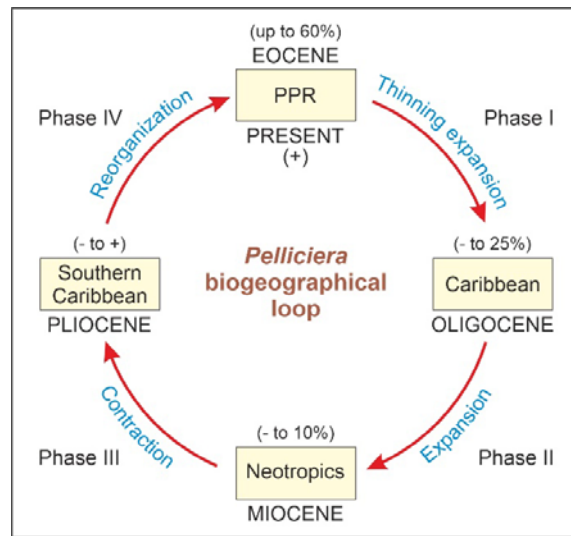
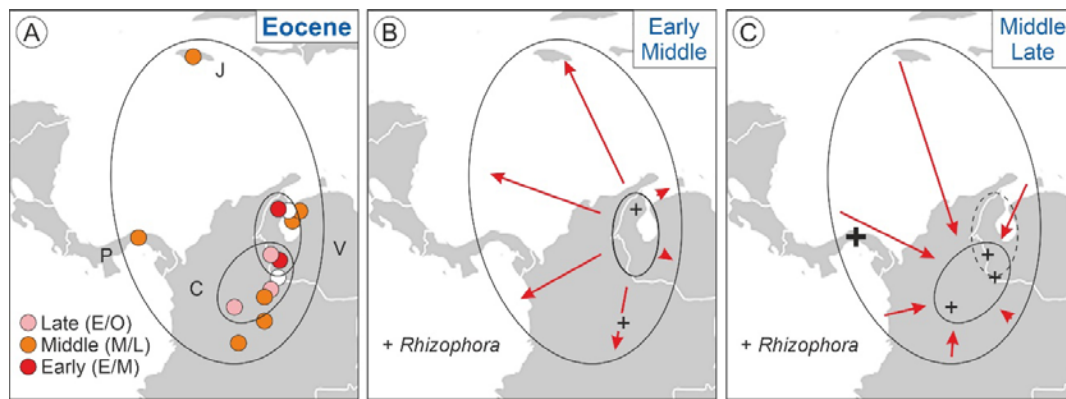


Figure 5

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Figure 6