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1 2	Large scale interrogation of retinal cell functions by 1-photon light-sheet microscopy
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13 14 15	Abstract
16	Visual processing in the retina depends on the collective activity of large ensembles of
17	neurons organized in different layers. Current techniques for measuring activity of layer-specific
18	neural ensembles rely on expensive pulsed infrared lasers to drive 2-photon activation of calcium-
19	dependent fluorescent reporters. Here, we present a 1-photon light-sheet imaging system that can
20	measure the activity in hundreds of ex vivo retinal neurons over a large field of view while
21	simultaneously presenting visual stimuli. This allowed for a reliable functional classification of
22	different retinal ganglion cell types. We also demonstrate that the system has sufficient resolution
23	to image calcium entry at individual synaptic release sites across the axon terminals of dozens of
24	simultaneously imaged bipolar cells. The simple design, a large field of view, and fast image
25	acquisition, make this a powerful system for high-throughput and high-resolution measurements
26	of retinal processing at a fraction of the cost of alternative approaches.
27 28	Introduction

28 In 29

Imaging fluorescence activity of reporters targeted to genetically defined cell types has greatly expanded the kinds of measurements available in neuroscience. For example, calcium imaging allows measuring neural activity across hundreds to thousands of neurons simultaneously^{1,2}. It also allows measuring signals at individual synapses and within sub-cellular

34 compartments such as dendrites³ without rupturing the cell membrane. However, fluorescence 35 imaging relies on delivering large amounts of light to excite the fluorescent reporter. In the retina, 36 this presents a problem because the excitation light will also drive phototransduction in rod and cone photoreceptors. To overcome this challenge, previous studies have relied on infrared 2-37 photon excitation of fluorescent reporters^{1,4,5}. This greatly reduces photoreceptor activation and 38 39 allows imaging changes in fluorescence of downstream neurons, while stimulating the 40 photoreceptors in the visible spectrum^{6,7}. A drawback of this approach is that it requires 41 femtosecond pulsed infrared lasers, which are expensive to acquire and maintain.

42 We have developed a 1-photon light-sheet imaging system for ex vivo retinal 43 measurements, that has a simple setup and uses a much less expensive laser. The excitation light 44 is restricted to a relatively large (2.25 mm²) and thin plane, thus allowing hundreds of retinal 45 neurons to be imaged simultaneously and calcium signals to be resolved at the level of individual 46 synapses. The system leverages the laminar organization of the retina: photoreceptors are in one 47 cellular lamina, retinal interneurons are in a central lamina (containing horizontal, bipolar and 48 amacrine cells), and the retinal ganglion cell (RGC) layer forms a third cellular lamina (containing 49 amacrine and ganglion cells). Between these layers of cell bodies, there are two synaptic laminae: 50 the outer plexiform layer between photoreceptors and interneurons, and the inner plexiform layer 51 (IPL) between interneurons and RGCs⁸. The light-sheet can be directed to a lamina not containing 52 photoreceptors, thereby reducing photoreceptor activation. While a small fraction of the 53 fluorescence excitation light does reach the photoreceptors, it is not efficiently absorbed by the 54 mouse short-wavelength sensitive (S-) opsin⁹. This opsin is expressed at high levels by all cones 55 in the ventral mouse retina. Thus, calcium-dependent changes in fluorescence can be measured in 56 retinal interneurons or RGCs in ventral mouse retina while stimulating the cone photoreceptors 57 with near-ultraviolet light. The mouse has become a major model of visual processing because of 58 its tractable genetics, making this system well-suited to a wide variety of retinal studies¹⁰.

We demonstrate and validate the utility of the system in two ways. First, we used mice that express Cre recombinase under the control of parvalbumin promoter (PVCre) to express GCaMP6f in a subset of RGCs. We presented a battery of visual stimuli including a full-field amplitude and frequency modulated stimulus (a.k.a. 'chirp' stimulus)¹, moving bars, checkerboard noise, fullfield light steps and local bright/dark spots, while imaging calcium-dependent changes in fluorescence. We were able to functionally classify the GCaMP6f expressing RGCs into eight 65 distinct types, consistent with anatomical studies of PV-expressing RGCs^{11,12}. Second, we used mice that express Cre recombinase under the control of PCP2 promoter (PCP2Cre) to express 66 67 GCaMP6f in a subset of bipolar cells (BCs). We were able to resolve hundreds of individual 68 synaptic release sites in BC axons terminals, measure calcium responses to visual stimuli, and 69 functionally classify bipolar cells into an ON (type-6) and an OFF (type-2) type, consistent with 70 previous studies¹³. These results demonstrate that 1-photon light-sheet imaging system is a 71 relatively affordable and viable platform for monitoring and functionally characterizing neural 72 activity across large populations of retinal neurons.

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75

74 Results

76 *1-photon light-sheet microscope for stimulus delivery and retinal imaging*

77 The microscope system features three main units: (1) a light-sheet fluorescence excitation 78 system, (2) a fluorescence emission detection system, and (3) a visual stimulus delivery system 79 (Fig. 1a). The collimated beam from the laser is transformed by a set of relay lenses and a 80 cylindrical lens to generate a Gaussian light-sheet that is focused by the illumination objective at 81 the position of the sample. The lateral and longitudinal extent of the light-sheet is controlled by a 82 set of apertures. The thickness of the light-sheet is controlled by a horizontal slit and the numerical 83 aperture of the illumination objective. The center of the excitation plane is aligned with the 84 detection axis by adjusting the position of the illumination objective (Fig. 1a).

For imaging, the dissected retina was placed inside a chamber with RGC side facing down.
The excitation light-sheet was directed parallel to the plane of the retina containing the GCaMP
expressing cells. Images were acquired at 10-50 Hz, with a field of view of 700 - 1500 μm along
each dimension. Spatial binning was used to improve the signal to noise ratio of the acquired
images. An operating laser diode power of 0.1-15 mW, corresponding to 0.01-1.5 mW power at
the sample, was found to be adequate for detecting spontaneous and stimulus evoked activity in
RGCs.

The visual stimulus for targeting photoreceptors was displayed by a digital light projector (DLP) with a 385 nm LED. The stimulus was delivered to the retina through the objective used for imaging fluorescent emission. To focus the stimulus on the photoreceptor plane without changing the plane of imaging, the position of the DLP relative to the microscope tube lens was adjusted

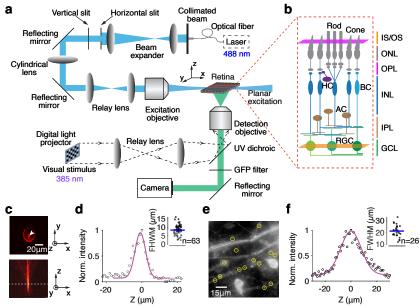


Figure 1: Design and characterization of a 1-photon light-sheet microscope for *ex vivo* retinal imaging. (a) Optical arrangement producing a Gaussian beam light-sheet from a 488 nm continuous wave laser source. The light-sheet is coplanar with the retinal imaging plane. The visual stimulus is projected onto the photoreceptors through a detection objective. A high-speed CMOS camera captured the fluorescence images. (b) Schematized retinal circuit with stimulus plane (magenta) and imaging plane (orange). IS/OS: inner segment/outer segment of photoreceptors, ONL: outer nuclear layer, OPL: outer plexiform layer, INL: inner nuclear layer, IPL: inner plexiform layer, GCL: ganglion cell layer, HC: horizontal cell, BC: bipolar cell, AC: amacrine cell, RGC: retinal ganglion cell. (c) Light-sheet imaging of fluorescent microspheres (500 nm diameter) using a 20x objective. Top: lateral image, bottom: axial image. (d) Axial intensity profile of an imaged microsphere. Magenta curve: Gaussian fit. Inset: full-width half max (FWHM) of Gaussian fits for n=63 microspheres. Depth of field = $8.5 \pm 2.8 \ \mu m$. (e) GCaMP6f expression in varicosities (yellow circles) along RGC axons. (f) Axial intensity profile of a representative varicosite (magenta curve) and depth of field, $22.2 \pm 8.1 \ \mu m$, estimated from n=26 varicosities.

- 96 (Fig. 1a, see also Methods). This allowed moving the visual stimulus focal plane by $\sim 250 \mu m$,
- 97 sufficient to span the entire thickness of the mouse retina¹⁴ (Fig. 1b).
- 98
- 99 Axial spread of light-sheet from scattering

A key aspect that controls the quality of images of biological tissues is scattering. The more the excitation light is scattered, the higher the background illumination and the worse the image quality. In 1-photon light-sheet imaging, scattering of excitation light can increase the effective thickness of the light-sheet and thus reduce the resolution and image contrast¹⁵.

104 To determine the impact of scattering on the thickness of the light-sheet, we first measured 105 the true thickness. This was achieved by imaging 500 nm fluorescent beads embedded in agarose¹⁶ 106 (Fig. 1c). Agarose has a scattering coefficient of $\sim 1 \text{ cm}^{-1}$ ¹⁷, and therefore minimally scatters light. 107 The depth of field estimated by fitting the measured axial intensity profile of individual beads with a Gaussian function was $8.5\pm2.8 \ \mu m$ (Fig. 1d). Next, we modeled the Airy disk profile as a Gaussian with standard deviation equal to the theoretical depth of field, and then deconvolved it from the measured intensity profile to obtain the true thickness of the light-sheet. With a theoretical depth of field 3.48 μm (Eq. 5 in Methods), the true thickness of the light-sheet was 7.75 μm .

The retina has a scattering coefficient of 56.8 cm⁻¹ ¹⁸, meaning that it scatters 1-photon 112 113 excitation light more than agarose. To determine the true thickness of the 488 nm light-sheet in 114 the retina, we imaged individual axon varicosities of RGCs (Fig. 1e). The varicosities are ~1-4 μ m¹⁹ and exhibit robust calcium fluorescence from action potential induced calcium influx²⁰, thus 115 acting as proxies for fluorescent beads. With a measured depth of field $\sim 22.2\pm8.1 \,\mu\text{m}$, averaged 116 117 over n=26 varicosities (Fig. 1f), and using the above deconvolution procedure, the true thickness 118 of the light-sheet was obtained as 21.72 µm. This indicates that the light-sheet, when directed at 119 the ganglion cell layer, and/or the inner plexiform layer, remains well-confined to these laminae¹⁴ 120 and does not strongly intersect with the photoreceptor layer.

121

122 Targeting S-cones for visual stimulation

123 A challenge with fluorescence imaging in the retina is that photoreceptors are exquisitely 124 light sensitive, and therefore can be activated by even a small amount of scattered light. The 125 excitation will depend on the overlap between the opsin action spectrum and the excitation 126 wavelength. To overcome this challenge, we targeted our experiments to the ventral mouse retina 127 because the cones in this region predominantly express a short-wavelength sensitive S-opsin that is maximally sensitive to 360 nm (Fig 2b, c)^{9,21}. Light sensitivity of this S-opsin at the fluorescent 128 129 excitation wavelength of 488 nm is ~4 orders of magnitude lower than its peak sensitivity. This 130 further reduced the effect of light-sheet scatter on cone photoreceptor excitation. Calibration 131 experiments indicated that the rate of S-opsin activations from the scattered light sheet was $\sim 10^2$ 132 photoisomerizations(P*)/cone/s (Supplementary Fig. 1). By comparison, the visual stimulus focused onto the cones was delivered at an intensity equivalent to $\sim 10^5$ P*/cone/s, at 385 nm, near 133 134 the peak S-opsin sensitivity^{9,21}. Thus, the scattered light was $\sim 0.1\%$ of the mean visual stimulus, below the contrast detection sensitivity of cones²². 135

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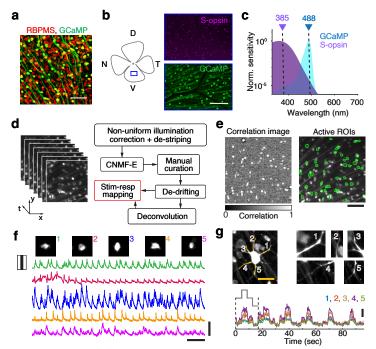


Figure 2: Imaging calcium responses of RGCs. (a) RGCs in retina from Ai148;PVCre mouse immunolabeled with pan-RGC marker RBPMS (red), and with GFP (green: GCaMP6f). Scale bar: 50 μ m. (b) Left: Ventral retina used for fluorescence imaging. Right: Immunolabeling for S-opsin in cone photoreceptors (magenta) and GFP (green: GCaMP6f). Scale bar: 100 μ m. (c) Visual stimuli delivered at 385 nm (purple arrow) near the peak spectral sensitivity of S-opsin (purple shaded area). Laser excitation at 488 nm (blue arrow) matched to the peak excitation of GCaMP (blue shaded area). (d) Image analysis pipeline for extracting spontaneous or visual stimulus evoked calcium responses and inferred spikes of RGCs. (e) Left: Spatiotemporal response correlation image. Gray bar: Correlation coefficient. Right: Outlines (green contours) of active RGCs. Scale bar: 100 μ m. (f) Fluorescent images of representative RGC somata (top) and their temporal responses (bottom) to moving bars. Vertical scale bar: 20 (units of SNR, 6dB cutoff). Horizontal scale bar: 200 s. (g) Calcium activity in dendrites of a representative RGC to repeated full field light steps (gray trace, bottom). Horizontal scale bar: 20 μ m. Dashed vertical lines indicate duration of a single trial; total n=6 trials. Temporal traces for 5 dendrites (top right) are shown in color. Vertical scale bar: 2 (units of SNR, 6dB cutoff).

Calcium fluorescence signals in active RGCs

137 138 To image calcium activity in RGCs, we used ventral retinas from Ai148; PVCre mice (Fig. 139 2b) ^{23,24}. Robust GCaMP6f fluorescence was observed in the somata, axons and dendrites of RGCs 140 (Fig. 2d-g). To extract and analyze the changes in calcium-dependent fluorescence resulting from visual stimulation of the photoreceptors, fluorescence images were first denoised, corrected for 141 142 non-uniform illumination, and then processed using a semi-automated algorithm²⁵ (see Methods, Fig. 2d). Specifically, a threshold signal to noise ratio²⁵ of 8 and a pixel intensity correlation of 0.8143 144 were used to detect and process the signals from fluorescent somata. In a typical experiment, this 145 yielded several hundred active RGCs in the field of view (Fig. 3a). We were also able to measure 146 spontaneous and stimulus driven calcium responses from primary and secondary dendrites of bioRxiv preprint doi: https://doi.org/10.1101/2022.09.26.508527; this version posted September 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

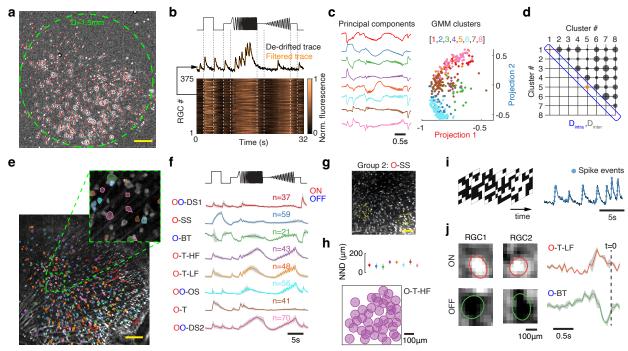


Figure 3: Classification of RGCs from population calcium responses. (a) Response correlation image over imaging field of view. Red filled circles: Active RGCs, n=375. Green dashed circle: 1.5 mm diameter. Scale bar: 200 µm. (b) Top: Chirp stimulus trace (black). Middle: Baseline corrected (black) and filtered (orange) temporal response of a representative RGC. Bottom: Heatmap of temporal fluorescent traces of n=375 RGCs. (c) Left: 8 leading principal components of the normalized temporal responses in (b). Right: Gaussian mixture model (GMM) fit to projection values in 8-D hyperspace shown in a 2-D plane. Clustered projection values (n=8 clusters) are shown by colored circles. (d) Mean pairwise Euclidean distance between projection values within each cluster (D_{intra}) and between clusters (D_{inter}) are illustrated by circles along the diagonal and the off-diagonal respectively. The radius of each circle represents the Euclidean distance. Color scheme for diagonal elements is same as in (c). (e) Median intensity projection image with overlaid patches showing soma locations of active RGCs. Patch color corresponds to the clusters in (c). Scale bar: 200 μ m. Inset: Magnified view of an image patch. (f) Mean temporal responses of RGCs from different clusters to the chirp stimulus (top trace, black). ON: O (red), OFF: O (blue); DS: direction selective; SS: slow sustained; BT: brisk transient; T-HF: transient high frequency; T-LF: transient low frequency; OS: orientation selective; T: transient. Shaded error bar: SD. (g) Image showing RGC locations (blue patches) from representative group 2. Dashed circle and box (yellow) depict nearest neighbor RGCs. Scale bar: 100 μ m. (h) Top: Median (filled circle) and MAD (error bar) of nearest neighbor distances (NND) for each group in (f). Bottom: Representative mosaic of O-T-HF RGCs, based on median NND (radius of circle). (i) Checkerboard pattern stimulus (checker size ~ 40 μ m), corresponding response trace of a representative RGC (black) and inferred spikes (light blue circles). (i) Spatial RFs of representative RGCs (left) and mean temporal RFs across all cells (right) belonging to ON transient low frequency and OFF brisk transient types. Time of spike: t=0. Shaded error bar: SD.

- 147 individual RGCs (Fig. 2g). These data confirmed the system's capability for imaging large-scale
- 148 neural activity in the retina.
- 149
- 150 Response classification of RGCs using full-field chirp and checkerboard noise stimuli
- 151 To validate measurement quality in RGC somata, we tested the reliability of functionally

152 classifying RGCs based on the changes in fluorescence produced by visual stimuli. Previous 153 studies show that parvalbumin is expressed in 8 morphologically distinct RGC types^{11,12}, 154 suggesting an equivalent number of functionally distinct RGC types. To classify the RGCs, we 155 presented a 'chirp' visual stimulus¹ (Fig. 3b). This stimulus consists of a full-field light step, 156 followed by full-field frequency and amplitude modulated sinusoidal illumination. Calcium-157 dependent fluorescence changes in 375 RGCs (n=1 retina) were acquired over a field of view ~2.25 158 mm² (Fig. 3a, b). Singular value decomposition (SVD) was applied to the temporal fluorescence 159 traces and leading principal components were used to generate a projection hyperspace (Fig. 3c, 160 left; also see Methods). Fitting a Gaussian mixture model to the projection space yielded 8 clusters 161 (Fig. 3c, right). The discriminability of the clusters was assessed by comparing the inter-cluster 162 Euclidean distance with intra-cluster Euclidean distance (Fig. 3d). Accuracy of clustering was 163 determined by cross-validating clusters from alternative clustering methods such as Hierarchical agglomerative clustering (HAC)²⁶ and Spectral clustering (SC)²⁷. Each clustering algorithm 164 165 produced the same results (Supplementary Fig. 2).

Based on the temporal response properties to different phases of the chirp stimulus, each
cluster of RGCs was further assigned to distinct functional types: ON, OFF, ON-OFF, transient
and sustained (Fig. 3e, f). The RGCs in each cluster also exhibited a mosaic-like regularity in their
spatial arrangement²⁸, with nearest neighbor spacing ranging from 70 to 120 μm for different types
(Fig. 3g, h). This suggests that each cluster represents a functionally distinct RGC type²⁹⁻³¹.

171 To examine the contrast polarity and temporal integration properties of RGCs, we 172 characterized the spatiotemporal receptive fields (RFs) of RGCs from their changes in 173 fluorescence during the presentation of checkerboard noise. Spike trains were estimated from 174 calcium signals, and calcium transients associated with at least 1 spike were used to estimate the 175 RF (see Methods, Fig. 3i). RGCs classified as ON brisk transient and OFF brisk transient types 176 from responses to the chirp stimulus exhibited ON- and OFF-center responses, respectively (Fig. 177 3). The temporal responses exhibited positive and negative contrast preferences immediately 178 preceding putative spikes (estimated from the calcium signals), with a biphasic profile consistent 179 with previous findings for these RGC types 28,32 .

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181 Direction and orientation selective responses of RGCs

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To identify direction selective (DS-) RGCs, we imaged calcium responses of RGCs from

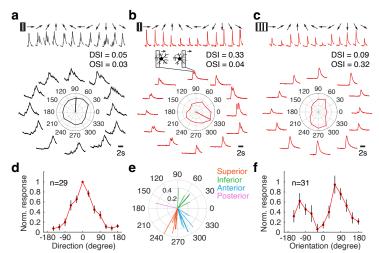


Figure 4: Direction and orientation selective responses of RGCs. (a) Response of a non-DS RGC. Top: A bright bar moving on a dark background along 12 directions. Bottom: Trial averaged calcium responses to different directions of bar movement. Gray shaded error bar is SD. The polar plot in the center shows normalized response and preferred direction of the RGC. DSI: Direction Selective Index; OSI: Orientation Selective Index. (b) Same as in (a), for an ON-OFF DS-RGC. Inset illustrates ON and OFF responses elicited by a bright bar entering and exiting the receptive field of the RGC. (c) Same as in (a), for an OS-RGC. (d) Mean tuning curve of DS-RGC population (n=29 RGCs). Black error bar is SD. (e) Preferred directions of ON-OFF DS-RGC subtypes (n=21 RGCs). (f) Mean tuning curve of OS-RGC population (n=31 RGCs). Black error bar is SD.

183 the same retina as in Figure 3, to bright bars (100% contrast) moving along 12 different directions. We calculated a direction selective index (DSI) using the area under the temporal fluorescence 184 trace for different movement directions (see Methods). RGCs with DSI greater than 0.3 were 185 classified as DS-RGCs^{33,34} (Fig. 4a, b, d). Prolonged exposure to excitation light can bleach 186 GCaMP, thereby reducing its sensitivity over time⁶. This can bias DSI estimates obtained from 187 188 trial averaged responses. Therefore, only trial blocks in which the DSI did not change by more than 20% of that estimated from the first trial, were included in the analysis. A total of 29 RGCs 189 190 were identified as direction selective (Fig. 4d), out of which 21 had clear ON and OFF responses (Fig. 4b inset). The ON-OFF DS-RGCs were further classified into 4 subtypes³⁵ based on 191 192 orthogonality of preferred directions (Fig. 4e). A similar fraction of DS-RGCs (~10%) were estimated from retinas of other Ai148;PVCre mice (n=4). 193

To identify orientation selective (OS-) RGCs, we measured calcium responses to drifting gratings presented at different orientations (Fig. 4c). Orientation selectivity was quantified by an orientation selective index (OSI) (see Methods). To distinguish OS-RGCs from DS-RGCs, we calculated the DSI of OS-RGCs from their responses to moving bars. A total of 31 RGCs which

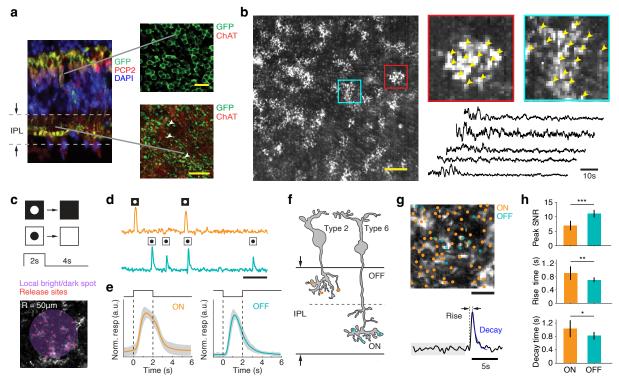


Figure 5: Calcium imaging of BC axon terminals. (a) Left: Colocalization of GFP (green) and PCP2 (red) showing soma and terminals of BCs in the retina of an Ai148;PCP2Cre mouse. DAPI: blue. Right: Flat-mount view of somata (top) and axon terminals (bottom). Scale bar: 20 μ m. (b) Left: SD projection image showing active release sites. Scale bar: 100 μ m. Right: Magnified view of regions indicated in red and cyan with spatial footprints (yellow arrows). Spontaneous responses (black traces) of 5 randomly selected release sites. (c) Top: 100 μ m diameter spots at 100% positive and negative contrasts, are presented for 2 s at n=34 locations. Bottom: Representative spot (shaded circle) and active sites (red dots). (d) Representative temporal fluorescent responses of a release site stimulated by a bright spot (top, orange), and a dark spot with 50 μ M L-AP4 (bottom, teal), appearing at these locations at random times (n=5 repeats for each location). Scale bar: 20 s. (e) Normalized calcium transients to bright (left) and dark (right) spots respectively, averaged over n=504 (ON, orange) and n=156 (OFF, teal) release sites. Dashed vertical lines indicate duration of the spot. Solid curve: mean. Shaded error bar: SD. (f) Schematized structure of a type 2 and a type 6 BC, and their axonal arbors in the inner plexiform layer (IPL). Orange and teal filled circles represent putative release sites in the OFF and the ON layers respectively. (g) Top: Release site locations on the SD projection image. Scale bar: 20 µm. Bottom: Representative trace (black) of calcium transient used for estimating rise time, baseline fluctuations (gray) and time of decay (blue). (h) Ratio of peak value of calcium transient to the SD of baseline response (top), rise time (middle) and decay time constant from exponential fit (bottom), for ON (n=504, orange) and OFF (n=156, teal) release sites. Mean, SD and Pvalues with Bonferroni correction are: 6.92+1.72 (ON), 13.15+1.52 (OFF), $P = 3.9 \times 10^{-7}$ (top); 0.93+0.22(ON), 0.71 ± 0.10 (OFF), 5.21×10^{-3} (middle); and 1.03 ± 0.25 (ON), 0.82 ± 0.11 (OFF), 3.1×10^{-2} (bottom). All OFF-responses in (d), (e), (g) and (h) were obtained with L-AP4.

- 198 had OSI>0.3 and DSI<0.3, were classified as orientation selective (Fig. 4f). Note, it is possible
- 199 some of these RGCs are bi-direction selective and not OS per se. However, OS cells have been
- 200 described previously in the retina, while bi-direction selective cells have not.
- 201

202 Calcium imaging at bipolar cell axon terminals

203 Finally, we tested whether the system's resolution allows for imaging calcium activity at 204 synaptic release sites. For this, we used retinas from Ai148;PCP2Cre transgenic mice¹³ that are known to express GCaMP6f primarily in type 6, type 2 and rod BCs^{36,37}. Depolarization of BCs 205 and subsequent glutamate release are associated with increased calcium influx at the axon terminal 206 207 release sites²⁰, making them ideal for imaging. Light-sheet excitation was targeted to the inner 208 portion of the inner plexiform layer adjacent to the ganglion cell layer. Robust GCaMP6f 209 expression was observed in the puncta, each \sim 1-4 μ m, clustered across the imaging field, consistent 210 with the size and density of release sites at BC terminals (Fig. 5a, b).

211 In the absence of visual stimuli, the calcium fluorescence was relatively weak across the 212 different release sites (Fig. 5b). To visually stimulate the BCs (via the photoreceptors), bright and 213 dark spots were presented at different locations (Fig. 5c; see also Methods). A bright spot on a 214 dark background induced strong calcium fluorescence transients across hundreds of release sites 215 within a 730 μ m × 730 μ m field of view (Fig. b, d). Following disappearance of the spot, the 216 fluorescence rapidly decayed to the baseline, indicating that these responses are likely produced 217 by type-6 ON BCs (Fig. 5e, f). The release sites that exhibited a transient increase in calcium 218 fluorescence in response to a dark spot on a bright background were sparser and had smaller 219 response amplitudes compared to the ON release sites. Therefore, to unmask these responses, we 220 blocked the ON pathway using L-AP4 – an mGluR6 agonist³⁸. This significantly improved the 221 signal to noise ratio (SNR) of the imaged responses, allowing unambiguous identification of these 222 release sites (Fig. 5d, e, f). The responses rose and decayed sharply to the appearance and 223 disappearance of the dark spot (Fig. 5d, e). In addition, moving the excitation and the imaging 224 plane up toward the photoreceptors by $\sim 10 \,\mu m$ improved the SNR of these release sites by $\sim 20\%$, 225 indicating these responses were from type-2 OFF BCs (Fig. 5f). The temporal resolution and the 226 SNR were sufficient for revealing the faster kinetics of the OFF responses in the outer layers of 227 the IPL relative to the ON responses in the inner layers of the IPL (Fig. 5h), in agreement with 228 previous studies^{37,39}

229

230 Discussion

We present a 1-photon light-sheet imaging system, that allows measurements of neural activity across large populations of retinal neurons at synaptic resolution, while simultaneously

233 presenting visual stimuli to photoreceptors. The widefield planar sheet of light is confined to a 234 layer ~20 µm thick, which allows for imaging calcium activity in large cohorts of neurons confined 235 to specific layers in the retina. An axial separation is maintained between the excitation plane for 236 fluorescence imaging and the focal plane for visual stimulation of the photoreceptors. Using this 237 system, we were able to measure spontaneous and visual stimulus dependent responses of 238 hundreds of RGCs routinely over a retinal area 1.5-2.25 mm², corresponding to 50-80 degrees of 239 visual angle in the mouse retina (Fig. 3a). The signal to noise ratio of the measured activity was 240 sufficient to functionally classify RGCs in Ai148; PVCre retinas into 8 distinct types, consistent with previous morphological classification^{11,12}. The resolution of the system also allowed 241 242 measurements of calcium activity in individual synaptic release sites at BC axon terminals from 243 Ai148;PCP2Cre retinas. We could distinguish the release sites associated with ON and OFF type 244 BCs consistent with previous studies¹³.

245 Optical imaging techniques that allow monitoring ensemble activity of neurons within 246 biological specimens, can offer new insights into how sensory signals are processed within 247 specialized neural circuits. For example, 2-photon calcium fluorescence imaging^{1,4,35} has been 248 widely used in both retinal and cortical research for several reasons: 1) It provides high spatial 249 resolution; 2) infrared light scatters less than shorter wavelength light, which facilitates imaging 250 deep inside the tissue; and 3) infrared light reduces the activation of photoreceptors when imaging 251 retinal neurons. However, this approach requires a costly and complex pulsed femtosecond laser 252 setup and provides data with either poor temporal resolution or limited scan areas due to point 253 laser scanning.

254 Light-sheet fluorescence microscopy is an alternative imaging modality that utilizes planar 255 illumination to optically section the sample, enabling rapid acquisition of images with high spatial 256 resolution while minimizing photobleaching of the fluorescence indicator⁴⁰. These advantages 257 have led to an increased adoption of this technology for biomedical imaging, ranging from cultured 258 tissues to *in vivo* imaging in small animals such as zebrafish and drosophila⁴¹. In particular, combining optical sectioning with synchronized delivery of excitation light⁴², allows capturing of 259 260 subcellular dynamics in living cells as well as 3-D reconstruction of activity in living biological specimens⁴³. However, constraints in delivering the planar excitation light orthogonal to the 261 262 detection axis often require the sample to be embedded in agarose -a condition not ideal for ex

vivo retina. This requires custom-designed systems that can accommodate the geometry of thesample for mounting and keep the sample viable for long-term imaging.

265 Light-sheet imaging allows robust measurements of calcium responses with high spatial 266 resolution in ex vivo retina, and therefore offers several benefits. First, the ability to monitor neural 267 activity at the resolution of single synapses across a large area will open the possibility of 268 examining how excitatory and inhibitory synaptic inputs from genetically targeted interneurons 269 are integrated over dendritic sub-compartments. This can offer new insights into circuit-specific 270 computations⁴⁴. Second, measurements of activity simultaneously in multiple genetically targeted 271 cell types, combined with pharmacological or optogenetic manipulations⁴⁵, can help distinguish 272 the role of different presynaptic cell types in shaping the gain and nonlinearities of signal transfer. 273 Third, measurements of large-scale neural activity in specific layers will enable characterization of how signal and noise correlations⁴⁶ impact the encoding and transmission of information about 274 275 visual features by different populations of neurons.

276 A challenge in retinal fluorescence imaging using 1-photon light-sheet is scattering of the 277 excitation light. The 488 nm light scattered within the retina can potentially reach the 278 photoreceptors and active the M-opsins in cone photoreceptors. Another challenge is 279 contamination of fluorescence signals from neurites and other structures such as axon bundles 280 expressing GCaMP (Fig. 3e). But, with the development of faster, brighter and long-wavelength 281 sensitive indicators^{7,47}, as well as soma and dendrite directed calcium indicators⁴⁸, these challenges 282 can be substantially mitigated. The architecture of 2-photon light-sheet imaging with the use of infrared lasers⁴³ can be integrated into our framework to overcome limitations in spatial resolution. 283 284 Our system also allows for multiplexing excitation light of different wavelengths that can be targeted to different retinal planes, and incorporating remote focusing and a tunable lens⁴⁹ to 285 286 perform rapid multi-plane imaging in the retina. Given the versatility, flexibility and high-287 throughput measurement capabilities of our imaging platform, we envision this system to become 288 a powerful tool for large-scale interrogation of functional connectivity between cell types in the 289 retina.

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294 Methods

295 Animal and retina preparation

296 Procedures for animal care and use followed guidelines approved by the Institutional 297 Animal Care and Use Committee at Duke University. The Ai148 floxed mice carrying the 298 GCaMP6f transgene under the control of tetracycline transactivator tTA2 (TIT2L-GC6f-ICL-299 tTA2) (Jackson Laboratory, 030328), were crossed to (1) PVCre mice carrying a Cre allele in 300 parvalbumin (PV) expressing neurons (Jackson Laboratory, 008069), and (2) PCP2Cre mice carrying Cre allele in Purkinje cell protein (PCP2) expressing neurons (Jackson Laboratory, 301 302 010536), to obtain the Ai148;PVCre and Ai148;PCP2Cre mice respectively. Mice (n=10 303 Ai148;PVCre and n=6 Ai148;PCP2Cre) with age between 2-10 months of both sexes were used 304 for experiments. Retinas for experiments were obtained following previously established 305 protocols⁵⁰. Briefly, mice were kept under 12 hr light-dark cycle with *ad lib* access to food and 306 water. For experiments, animals were dark-adapted for 12 hrs, then euthanized in complete 307 darkness and under infrared illumination using infrared goggles. The eyes were enucleated, and 308 retinas were dissected out in a petri dish containing sodium bicarbonate buffered Ames' media 309 (Sigma Aldrich, A1420) bubbled with 95% oxygen and 5% carbon dioxide (pH 7.4, temperature 310 maintained at 33°C). A piece of retina ~1.5 mm × 2 mm was cut from the ventral half and 311 transferred to a custom-designed chamber containing oxygenated Ames' solution. The chamber 312 has a glass bottom for imaging and a glass side window for entry of light-sheet excitation. The 313 retina was flattened gently using a hollow cylinder with a porous membrane (Spectra/Por RC 314 dialysis membrane, 132677) that allows passage of solution and metabolites. The chamber 315 containing the retina was transferred to the light-sheet microscope for imaging. Throughout the 316 experiment, the retina was continuously superfused with oxygenated Ames' solution (described 317 above) with a gravity-fed perfusion system.

318

319 *Light sheet excitation*

The excitation light was provided by a 488 nm laser (OBIS LX continuous wave laser, Coherent, Inc.). The laser beam was collimated using a fiber collimator (Thorlabs, Inc., F240APC-A) and expanded to 1 mm diameter by a pair of relay lenses with effective focal length 225 mm (Edmunds Optics, Inc., 47-365, 47-645). The expanded Gaussian beam is compressed by a cylindrical lens with focal length 50 mm (Edmund Optics, Inc., 33-228) and the resulting light325 sheet is focused on the back aperture of the illumination objective (Mitutoyo, 5x, 0.14 NA, MY5X-

326 802) by a pair of relay lenses of effective focal length 50 mm (Thorlabs, Inc., LBF254-050). A

327 pair of orthogonal slits (Thorlabs, Inc., CP-20S, VA100C) controlled the lateral extent and the

axial thickness of the light-sheet. The laser operating power was maintained between 0.1-15 mW,
that produced 0.01-1.5 mW power at the sample. The depth of field was estimated by obtaining a

stack of 200 images in 1 µm steps, of 500 nm diameter fluorescent polystyrene beads (Spherotech,

331 Inc., FICP-08-2) embedded in agarose placed inside a quartz cuvette (Thorlabs, Inc., CV10Q35F).

332

333 Visual stimulation and calcium imaging

334 Visual stimuli were rendered using an OpenGL framework using custom written scripts in 335 MATLAB (The Mathworks, Inc., Natick, MA). The stimulus patterns were streamed via an HDMI 336 cable to the LightCrafter 4500 Digital Light Projector (DLP) (EKB Technologies, Ltd., DPM-337 E4500LUVBGMKII) and controlled by a custom GUI. The stimulus was displayed at 385 nm 338 using a built-in LED, operated in the linear range. The display comprised of digital micromirrors 339 arranged in a diamond pattern, with spatial resolution of 920×1040 pixels. To minimize spherical 340 aberration of the projected stimulus image, a circular aperture (Thorlabs, Inc., SM1D12D) was 341 placed in front of the DLP. The visual stimulus was collimated by a 100 mm aspheric converging 342 lens (Thorlabs, Inc., AL50100) and the tube lens of Ti microscope (Nikon Instruments, Inc.). The 343 final image was focused on the photoreceptors by a 10x Plan Fluor (Nikon Instruments Inc., 344 MRH00101) or a 20x Super Plan Fluor (Nikon Instruments Inc., MRH08230) objective, rated to 345 operate in the UV wavelength range, through the bottom glass surface of the chamber. The 346 stimulus plane was offset from the imaging plane by controlling the distance between the DLP and 347 the focusing lens (Fig. 1a). This offset allowed us to simultaneously use the same objective for 348 visual stimulus delivery, and imaging calcium dependent fluorescence in RGCs and BCs. The 349 following set of stimuli were used in our experiments: (1) bright bar (100% Michelson contrast) 350 traveling along 12 different directions with a speed of 480 m/s, (2) grating (100% Michelson 351 contrast) moving along 12 different directions with a speed ranging between 24-100 m/s, (3) full-352 field 'chirp' sequence comprising of dark (3 s), bright (3 s), contrast frequency modulation (0.5 -353 8 Hz, 8 s period) and contrast amplitude modulation (0.5 - 2 Hz, 8 s period), repeated 7 times, (4) 354 full-field light increment and decrements, (5) binary checkerboard patterns with checker size 10 -355 50 µm, and (6) local bright/dark spots (100 µm diameter) repeated 5 times at each location 356 manually selected from a template image. L-AP4 (L-(+)-2-Amino-4-phosphonobutyric acid, 357 Tocris Bioscience, 0103) at 50 µM was used to block mGluR6 receptors. The stimulus frames 358 refreshed at 60 Hz. The stimulus brightness was calibrated using a photometer (Thorlabs, Inc., 359 PM100D) and was set to ~10⁵ P*/S-cone/s for experiments using neutral density filters (Thorlabs, Inc.).

360

361 Calcium images were captured by an ORCA Fusion camera (Hamamatsu Photonics) using 362 the HC-Image software (Hamamatsu Photonics). GCaMP6f expression in RGC somas and BC 363 terminals was reliably observed over a laminar depth of 20-30 µm. A long-pass dichroic mirror 364 (Thorlabs, Inc., DMLP425R) was used to reflect the UV stimulus and transmit the GCaMP6f 365 emission. A long-wave-pass edge filter (Semrock, FF01-430/LP-25) and a GFP filter (Semrock, 366 GFP-3035D) were placed after the dichroic to block UV light and allow emitted light, respectively, 367 to reach the camera. Images are acquired at 10-50 Hz, at 16-bit resolution, with spatial binning of 368 2 or 4. Pixel size of projected image was calibrated for each imaging objective using a glass reticle 369 with 10 µm resolution. Calcium images were registered with visual stimuli by using timestamps 370 from the camera and stored in the stimulus computer via a 6550-USB DAQ device (National 371 Instruments, Corp.).

372

373 Theoretical thickness of light-sheet

374 The thickness of the light-sheet determines the axial range over which the sample can be 375 reliably imaged. The light-sheet produced by the excitation optics (Fig. 1a) has a Gaussian profile 376 with a beam waist:

377

$$w = \frac{2\lambda_{exc}}{\pi NA} \tag{1}$$

378 Here, λ_{exc} is the wavelength of excitation light and NA is the numerical aperture of the illumination 379 objective. If θ is the half angle of the light cone generated by the objective and n is the refractive 380 index of the medium between the sample and the objective, then Eq. 1 can be reformulated as:

381
$$w = \frac{2\lambda_{exc}}{\pi (n \cdot \sin(\theta))} = \frac{2\lambda_{exc}}{\pi (n \cdot (\text{height of incident beam/focal length}))}$$
(2)

382 Given a 488 nm excitation wavelength, a ~1 mm diameter beam, a 40 mm focal length illumination 383 objective, and 1.33 refractive index of water, the beam waist is,

- $w = \frac{2 \cdot 0.488}{\pi (1.33 \cdot 1.0/40)} = 9.322 \,\mu\mathrm{m}$ 384 (3)
- 385

386 Axial resolution and depth of field

The axial resolution of a microscope depends on the optical properties of the detection system and the refractive index of the sample. Considering the elongated shape of the intensity profile along the axial direction⁵¹, the theoretical axial resolution is the distance between the central maximum to the first minimum along the Z-axis:

391

$$z_{axial(th)} = \frac{2n\lambda}{NA^2} \tag{4}$$

392 where *n* is the refractive index of the sample, λ is the wavelength of the emitted light and *NA* is 393 the numerical aperture of the imaging objective. With 510 nm peak emission wavelength of 394 GCaMP6f, *n*=1.38 for retina and 0.45 *NA* of imaging objective, the theoretical axial resolution is 395 estimated as 6.95 μ m. The theoretical depth of field (DOF) is half the axial resolution:

- $396 DOF_{axial(th)} = \frac{n\lambda}{NA^2}$
- 397 which is equal to 3.48 μ m.

Notably, these calculations assume that the detected light is emitted by a point source. However, scattering would tend to increase the Z-range of excitation, effectively increasing the axial resolution. Given the retina's scattering coefficient, μ_s , the effective axial resolution is given by the convolution of the expanded profile of the light-sheet, the excitation point-spread function and the detection point-spread function. To first order, the point spread functions can be approximated by Gaussians, which yields the following equation for effective axial resolution:

404
$$f(z_{axial(eff)}) = \left(c_1 \cdot e^{-(z_0 - z)/\mu_s}\right) \cdot \left(c_2 \cdot e^{-(z_0 - z)^2/2 \cdot \sigma_2^2}\right) \cdot \left(c_3 \cdot e^{-(z_0 - z)^2/2 \cdot (2 \cdot z_{axial})^2}\right)$$
(6)

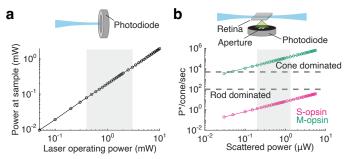
405 Here, z_0 defines the plane of light-sheet and σ_2 is the beam waist. This effective DOF, which is 406 twice the $z_{axial(eff)}$, was measured in the retina to be ~22 μ m (Fig. 1f).

407

408 Scattering of excitation light

The retina has a scattering coefficient of $\sim 57 \text{ cm}^{-1}$ ¹⁷, compared to $\sim 0.003 \text{ cm}^{-1}$ of water. This means that the excitation light will undergo significant scattering as it travels through the retina. A large fraction of the incident photons is absorbed by the GCaMP6f protein, while the remaining fraction is scattered above and below the plane of excitation. The light scattered above the excitation plane can potentially reach the photoreceptors and activate them²², thereby producing visual responses independent of the stimulus.

(5)



Supplementary Figure 1: Photobleaching from scattered excitation light. (a) Top: Schematized setup for measuring power at the sample location using a photodiode. Bottom: Measured power versus operating power of the laser. Gray shaded region shows the range of laser power used in a typical experiment. (b) Top: Schematic showing power of scattered light from the retina of Ai148;PVCre mouse, measured by a photodiode through a $2x2 \text{ mm}^2$ aperture. Bottom: Photoisomerization rate for M- and S-opsin cones as a function of the measure power of scattered light. Gray shaded region same as in (a).

To determine the degree of photoreceptor activation from scattered excitation light, we 415 416 measured the total power of scattered light in the retina of an Ai148;PVCre mouse. Since scattered photons can travel along different directions, only a fraction of the scattered light reaches the 417 418 photoreceptors. Therefore, to measure the intensity of scattered light that could activate 419 photoreceptors, we used an aperture $\sim 2 \text{ mm} \times 2 \text{ mm}$ roughly matching the size of the imaged retina 420 (Supplementary Fig.1 a, b insets). The intensity of scattered light was converted to 421 photoisomerization (P*) rate per cone expressing M- or S-opsin, using Baylor and Govardovskii 422 nomograms^{52,53}. Over a range of 0.1-3 mW laser power, the scattered light produced at most 10⁴ 423 P*/M-cone/s (Supplementary Fig.1 a), and 1.0 P*/S-cone/s (Fig. 2c), which is ~5 orders of magnitude lower than the photoisomerization rate produced by photopic UV stimulus²² used in 424 425 our experiments (Supplementary Fig.1 b).

426

427 Depth of field of imaging

428 Diffraction-limited point objects such as fluorescent beads are commonly used for 429 assessing the spatial resolution of a microscope¹⁶. We used 500 nm diameter fluorescent beads 430 coated with green-fluorescent dye embedded in 2% agarose gel (Fig. 1c top) for measuring the 431 depth of field. Images were acquired every 1 µm along the Z-axis, while keeping the Z-position 432 of the sample and the excitation light-sheet unchanged. The (x, y) location of a bead was 433 determined from the image with the bead in focus, and intensity was averaged over pixels within 434 1 standard deviation around the peak centered at (x, y). Using images at different Z-positions, an 435 intensity profile of the bead was measured as a function of the axial distance (Fig. 1d). Since the

436 detection objective collects more light from below the focal plane than above it, it leads to an 437 asymmetrical intensity profile. Therefore, we symmetrized the intensity profile by reflecting the 438 intensity curve about the excitation plane. By fitting a Gaussian function to the intensity profile 439 and determining the full-width half maximum (FWHM) of the fit, we estimated the depth of field 440 to be $8.5 \pm 2.8 \mu m$, averaged over n=63 beads (Fig. 1d inset).

- 441
- 442 *Histology*

443 Wholemount staining was performed on the retinas of Ai148;PVCre and Ai148;PCP2Cre 444 mice. The retinas were fixed in 4% PFA for 45 minutes at room temperature and then incubated 445 in 5% normal donkey serum (Jackson Immuno, C840D36) in 1X phosphate buffer saline (PBS) 446 with azide (Santa Cruz Biotechnology, SC-296028) containing 0.5% Triton X-100 (Sigma 447 Aldrich, 93443), overnight at 4C. The retinas were then incubated in primary antibodies on a rocker 448 for 3-5 days at 4C, after which they were rinsed in 1X PBS and incubated in secondary antibodies 449 overnight at 4C on a rocker. The retinas were then rinsed in 1X PBS, placed on a filter paper and 450 mounted on a glass slide with sealed coverslips. For cryosections, after fixation the retinas were 451 incubated in 30% sucrose/PBS for 4-5 hours, frozen in OCT (VWR, 25608-930) and then 452 sectioned at 15-20 µm thickness. The mounted retinas were imaged with a laser scanning confocal 453 microscope (Nikon Instruments, Inc., Ti-2) using 20x/40x/60x air objective. The Z-stack of images 454 were processed in FIJI software⁵⁴, to identify laminae and GCaMP expressing cells.

The following primary antibodies were used: anti-GFP (1:1000, Rockland, 600-901-215),
anti-ChAT (1:500, Millipore Sigma, AB144P), anti-PCP2 (1:500, Santa Cruz Biotechnology, Inc.
sc-137064). Secondary antibodies conjugated to Alexa 488 (1:500; Invitrogen, A-11094), Alexa
555 (1:500; Invitrogen, A20187), and Alexa 647 (1:500; Invitrogen, A-21447), were each diluted
at 1:500. DAPI (Molecular Probes, S36964) was used for nuclear staining.

460

461 Active ROIs, calcium responses and inferred spikes

To eliminate noisy, out-of-focus structures near the boundary of the imaged retina, a rectangular area containing the active ROIs was selected. Non-uniform illumination was corrected by homomorphic filtering and stripe artifacts caused by scattering of excitation beam were removed by spatial high pass filtering⁵⁵. Size of a template ROI was determined by first manually selecting contours around active somas (for RGCs) or active synapses (for BCs), and then estimating a mean radius from the ellipses fitted to the selected ROIs. The images were denoised
by a Kalman Filter and were batch processed using the CNMF-E algorithm²⁵ to extract the timevarying fluorescence traces,

470

$$F_j(t) = A^{-1}(Y_j(t) - B_{0j} - B_j(t) - N_j(t))$$
(7)

471 where j corresponds to the ROI index, $F_i(t)$ is the time varying fluorescent trace, A is the spatial 472 matrix, $Y_i(t)$ is the raw trace, B_{0i} is the constant background, $B_i(t)$ is the time varying background and $N_i(t)$ is the time-varying noise. Manual verification was performed to remove overlapping 473 474 ROIs and false positives. Steady drift in baseline fluorescence was corrected by subtracting the rolling 10th quantile over a local time window from the raw trace. An estimate of $\Delta F(t)/F_0$ were 475 476 obtained from the fluorescent trace prior to subtracting the baseline. The peak signal to noise ratio 477 is given by the ratio of the average peak fluorescence of calcium transients to the standard deviation 478 of the drift-subtracted baseline fluorescence:

479

$$SNR_{peak} = \frac{\langle F_{peak}(t) \rangle}{\langle N(t) \rangle}$$
 (8)

480 To infer spikes from temporal fluorescent traces, the calcium transients were first fit using an 481 autoregressive model of order 1. The modeled transients were then deconvolved from the 482 fluorescent trace to estimate spike count $s_i(t)$:

483

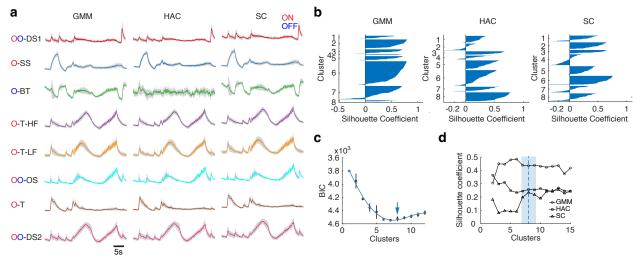
$$s_i(t) = F_i(t) - k_{1i}F_i(t)$$
(9)

484 where, *j* corresponds to the *j*'th ROI, and k_{1j} corresponds to the first coefficient of the 485 autoregressive model for the *j*'th ROI.

486

487 *Response clustering and RGC classification*

488 To cluster RGCs into different groups, trial-averaged responses to the chirp stimulus were 489 used. Principal components were calculated using the full ensemble of trial-averaged responses. 490 The responses of each RGC were then projected onto the leading principal components that 491 accounted for at least 80% of the variance (Fig. 3c). A Gaussian mixture model (GMM) with 492 expectation maximization algorithm was fit to the projection values in the N-dimensional 493 hyperspace with a pre-defined number of clusters determined from cross-validated Silhouette 494 optimality test and Bayesian Information Criterion (BIC)⁵⁶. Response clustering was tested with 495 alternative methods: Hierarchical agglomerative clustering (HAC) and Spectral clustering (SC). (Supplementary Fig. 2). Since each functionally distinct RGC type tiles the retinal space²⁸, the 496



Supplementary Figure 2: Comparison of response clustering using different algorithms. (a) RGCs clustered into distinct functional types based on clustering of responses to the chirp stimulus using: (1) Gaussian mixture model (GMM), (2) Hierarchical agglomerative clustering (HAC) and (3) Spectral clustering (SC). Results are representative of data shown in Fig. 3. ON: O (red), OFF: O (blue); DS: direction selective; SS: slow sustained; BT: brisk transient; T-HF: transient high frequency; T-LF: transient low frequency; OS: orientation selective; T: transient. Solid colored line: Mean population response. Gray shaded error bar: SD. (b) Silhouette test of optimality of cluster size for GMM, HAC and SC clustering methods. Shaded area shows silhouette coefficient (see Methods) for each cluster size. (c) Bayesian Information Criterion (BIC) for different number of clusters applied to the GMM fit. Black circles: Criterion values. Black vertical line: SD from n=100 iterations of model fit. Blue solid line: Polynomial fit. Blue arrow: Optimal number of clusters from each clustering method, GMM, HAC and SC, each indicated by a different marker type. Blue shaded region: Approximate range of cluster size with highest coefficient values across the three clustering methods. Dashed vertical line: Optimal cluster size.

497 nearest-neighbor distance (NND) was computed for each cluster of RGCs (Fig. 3 g-h). Spike 498 counts were obtained by the method described above (Eq. 9), and the number of spikes 499 corresponding to the count was uniformly distributed across the bin to obtain spike times. Trial-500 to-trial variability was estimated using both calcium responses and inferred spike times, for 501 different groups of RGCs (Supplementary Fig. 3d).

502

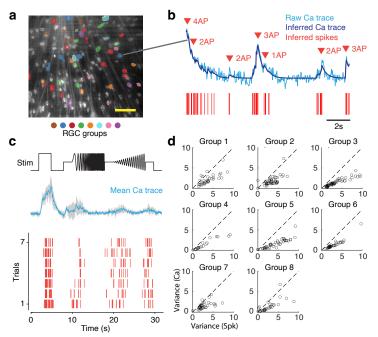
503 *Optimality and accuracy of clustering*

504 To determine the quality of GMM fit to the projection values, we estimated Bayesian 505 Information Criterion (BIC) values for different cluster sizes. The BIC value is defined as:

506

 $BIC(k) = -2\ln(L) + k\ln(n) \tag{10}$

507 *L* is the maximum of Bayes likelihood, k is the number of estimated clusters and n is the number 508 neurons. Since HAC and SC do not rely on model fits, optimality of cluster size was assessed by 509 estimating the Silhouette coefficient for different cluster sizes using different clustering methods.



Supplementary Figure 3: Statistics of inferred spikes of RGCs. (a) Median projection image showing RGCs belonging to different types (colored circles). Scale bar: 100 μ m. (b) Spikes inferred from deconvolved filtered fluorescence trace (dark blue). Unfiltered trace in light blue and inferred spikes in red. The number and timing of action potentials (APs) are indicated by red arrows. (c) Top: Chirp stimulus trace (black). Middle: Trial averaged (n_{trial}=7) calcium responses of a representative RGC (solid blue line). Shaded error bar: SD. Bottom: Inferred spike times across trials. (d) Trial-to-trial variance of calcium responses and inferred spikes for each type of RGCs (n=8 types). Black circles: Individual RGCs.

510 For each cluster size, the Silhouette coefficient S(i) for the *i*'th point in a cluster was calculated

511 as:

$$S(i) = \frac{b(i) - a(i)}{\max(a(i), b(i))}$$
(11)

where, a(i) is the mean distance from the *i*'th point to all other points in the cluster and b(i) is the minimum of all distances from the *i*'th point to all other points in all other clusters. Normalized peri-stimulus time histograms of fluorescent traces were used for clustering and Silhouette coefficients were calculated for n=100 iterations for each cluster size. The cluster size with the highest ratio of median to absolute median deviation was chosen as optimal (Supplementary Fig.2). For GMM, both the Silhouette coefficient and Bayesian Information Criterion for model fits were used to cross-validate the optimal cluster size.

520 To determine clustering accuracy, responses were first clustered using three different 521 clustering methods: GMM, HAC and SC, using a pre-defined cluster size. The median population 522 temporal response for each cluster was then used to calculate pairwise correlation between 523 responses, for each clustering method. Groups from each clustering method, with the highest 524 pairwise response correlation, were assigned to the same functional type. The nearest-neighbor

525 distances between RGCs for each group were assayed to confirm that the RGCs belonged to a

- 526 unique functional type.
- 527

528 Quantification of stimulus preference

529 Directional preference was quantified by the Direction Selective Index (DSI):

530
$$DSI = \frac{|\Sigma R(\theta_j)e^{i\theta_j}|}{\Sigma |R(\theta_j)|} = \frac{|\Sigma(\int F_{\theta_j}(t)dt)e^{i\theta_j}|}{\Sigma |\int F_{\theta_j}(t)dt|}$$
(12)

where $R(\theta_j)$ corresponds to the area under calcium fluorescence response curve $(F_{\theta_j}(t))$ for a 100% contrast bright bar on a dark background moving along the direction θ_j . RGCs with DSI>0.3 were selected as DS-RGCs³⁴. ON-OFF DS-RGCs were identified by two response peaks, corresponding to the ON and OFF responses to the entry and exit of the bar over the receptive field (Fig. 4b).

Orientation preference was quantified by the Orientation Selective Index (OSI):

537
$$OSI = \frac{|\Sigma R(\theta_j)e^{2i\theta_j}|}{\Sigma |\Sigma R(\theta_j)|} = \frac{|\Sigma(\int F_{\theta_j}(t)dt)e^{2i\theta_j}|}{\Sigma |\int F_{\theta_j}(t)dt|}$$
(13)

where $R(\theta_j)$ corresponds to the area under calcium fluorescence response curve $(F_{\theta_j}(t))$ for a 100% contrast grating oriented along θ_j . All RGCs with OSI>0.3 and DSI<0.3 were selected as OS-RGCs³⁴. The grating moved in 12 directions at a speed of 24 μ m/sec, repeated 5 times.

541

536

542 Receptive field estimation

Black and white checkerboard patterns with checker size ranging between 15-50 μ m, refreshing at 60 Hz, were used to characterize spatiotemporal receptive field (RF) of RGCs. Temporal calcium traces were low pass filtered, and then spikes were inferred using methods described above (Eq. 9). The sequence of checkerboard images I(x, y) preceding each spike $s(t_j)$ was weighted by the inferred spike count and averaged over the number of spike events to obtain the spatiotemporal RF.

- 549 $RF(x, y, \tau) = \frac{1}{N} \sum_{j=1}^{N} s(t_j) \cdot I(x, y, t_j + \tau)$ (14)
- 550 Here, N is the total number of spikes and τ is the time lag between a spike and a preceding image.

551 Calcium transients have a decay time of 300-400 ms⁷, therefore images presented over a 300 ms 552 window preceding a spike event (i.e., $\tau < 0.3$ s), were used for estimating the temporal RF. The 553 mean spatial image at each time lag was spatially filtered with a Gaussian of standard deviation 25 554 μ m. The pixel values from the spatially filtered image within a 300 μ m window centered around 555 the RGC soma: (c_x , c_y) were averaged at each time lag to obtain the temporal RF.

556
$$RF(\tau) = \frac{1}{J \cdot K} \sum_{x=c_x - J/2, y=c_y - K/2}^{J/2, K/2} RF(x - c_x, y - c_y, \tau)$$
(15)

557 The temporal RF was fit with a parametric function g(t)

558
$$g(t) = a_1 \left(\frac{t}{b_1}\right)^{c_1} \cdot e^{-\left(\frac{c_1 \cdot t}{b_1}\right)} + a_2 \left(\frac{t}{b_2}\right)^{c_2} \cdot e^{-\left(\frac{c_2 \cdot t}{b_2}\right)}$$
(16)

and the mean image corresponding to the closest peak (for ON RGC) or trough (for OFF RGC) to the spike event was used as the representative 2-D spatial RF. The RF center was fitted with a twodimensional Gaussian with 1 SD boundary around the center maximum or minimum.

562

563 BC response characterization

564 For extracting the ROIs for each release site, the following threshold values were used: (1) 565 peak signal to noise ratio $(SNR_{peak}) = 2$, (2) spatiotemporal pixel intensity correlation = 0.7, and (3) ROI template diameter = $1-4 \mu m$. After ROI extraction, duplicate and overlapping ROIs were 566 567 manually removed. Fluorescence transients associated with the spot stimulus were identified by 568 using a threshold value 5 times the median absolute deviation (MAD) of the entire temporal 569 response. The peak signal to noise ratio, rise time, and decay time were used to characterize the 570 kinetics of the response transients. The time constant for decay was obtained from an exponential 571 fit to the temporal response curve within a 4 s window following the response peak. Positive 572 (negative) contrast preference was determined by an increase followed by a decrease in calcium 573 fluorescence to the appearance followed by disappearance of a bright (dark) spot.

574

575 Statistical analysis

576 Statistical analyses of data were done using custom scripts written in MATLAB 577 (Mathworks, Natick, MA) and CAIMAN codebase²⁵. Summary data are presented as mean 578 \pm SEM, mean \pm SD, or median \pm MAD, as noted in figure legends or text. Statistical significance 579 was determined from P-values with appropriate corrections for multiple samples of different sizes. 580 Optimality and reliability of clustering were determined using K-means distance, Silhouette 581 Coefficient and Bayesian Information Criterion.

583 Acknowledgements

This work was supported by NIH Brain Initiative Grant 1R34NS111645-01 (G.D.F.) and NIH K99EY032119 award (S.R.). We thank members of the Field lab for comments on the manuscript.

588 Author contributions

S.R., A.S., and G.D.F. conceived the study. S.R., D.W. and Y.G. designed the microscope.
A.M.R. helped design and test the retina chamber. S.R. calibrated the imaging system, performed
the experiments, and developed a pipeline for image analysis. S.R. and B.P. analyzed the data.
M.T. and M.L.S. assisted with mouse genetics and planning. S.R. and G.D.F. wrote the manuscript.
A.S. and Y.G. helped edit the manuscript.

Competing interests

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