

1 **Nirmatrelvir-resistant SARS-CoV-2 is efficiently transmitted in Syrian hamsters**

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15

16 **Abstract**

17 The SARS-CoV-2 main protease (3CLpro) is one of the promising therapeutic target for the treatment  
18 of COVID-19. Nirmatrelvir is the only the 3CLpro inhibitor authorized for treatment of COVID-19  
19 patients at high risk of hospitalization; other 3Lpro inhibitors are in development. We recently repored  
20 on the *in vitro* selection of a SARS-CoV2 3CLpro (L50F-E166A-L167F; short 3CLpro<sup>res</sup>) virus that is cross-  
21 resistant with nirmatrelvir and yet other 3CLpro inhibitors. Here, we demonstrate that the resistant  
22 virus replicates efficiently in the lungs of intranassally infected hamsters and that it causes a lung  
23 pathology that is comparable to that caused by the WT virus. Moreover, 3CLpro<sup>res</sup> infected hamsters  
24 transmit the virus efficiently to co-housed non-infected contact hamsters. Fortunately, resistance to  
25 Nirmatrelvir does not readily develop (in the clinical setting) since the drug has a relatively high barrier  
26 to resistance. Yet, as we demonstrate, in case resistant viruses emerge, they may easily spread and  
27 impact therapeutic options for others. Therefore, the use of SARS-CoV-2 3CLpro protease inhibitors in  
28 combinations with drugs that have a different mechanism of action, may be considered to avoid the  
29 development of drug-resistant viruses in the future.

30 **Keywords**

31 COVID-19; SARS-CoV-2; , 3CLpro; nirmatrelvir; resistance; Antivirals

32

## 33 **Introduction**

34 The Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the causative agent of COVID-19,  
35 has had a devastating impact on global public health since its emergence in Wuhan (China) in  
36 December 2019. So far, three antiviral drugs have been approved/authorized for clinical use in COVID-  
37 19 patients i.e. the nucleoside analogs remdesivir and molnupiravir and the viral protease  
38 (3CLpro/Mpro) inhibitor nirmatrelvir (1).

39 SARS-CoV-2 3CLpro is a cysteine protease which cleaves the two viral polyproteins (pp1a and pp1ab)  
40 at eleven different sites, resulting in various non-structural proteins, which are essential for viral  
41 replication (2, 3). Interestingly, the cleavage site of the SARS-CoV-2 3CLpro substrate is not recognized  
42 by any known human proteases (4, 5). Therefore, 3CLpro is a selective antiviral drug target.  
43 Nirmatrelvir (PF-07321332) is a peptidomimetic reversible covalent inhibitor of 3CLpro that is co-  
44 formulated with the pharmacokinetic enhancer ritonavir (the resulting combination being marketed  
45 as Paxlovid) (6). When treatment is initiated during the first days after symptom onset, it results in  
46 roughly 90% protection against severe COVID-19 and hospitalization (7). Besides nirmatrelvir, other  
47 3CLpro inhibitors are currently in clinical development such as the non-peptidic, non-covalent  
48 inhibitor, ensitrelvir (S-217622) (8).

49 The emergence of drug-resistant viruses is a major concern when using antivirals. Development of drug  
50 resistance with a subsequent therapeutic failure has been reported during antiviral treatment against  
51 different infections including with the human immunodeficiency virus (HIV), hepatitis B virus (HBV),  
52 hepatitis C virus (HCV), herpesviruses and influenza viruses (9, 10). Moreover, transmission of drug  
53 resistant viruses has also been reported for HIV (11) and influenza virus (12). Selection of remdesivir-  
54 resistant variants has been reported in cell culture and in the clinical settings (13–15). On the other  
55 hand, there are no clear data available yet about emergence of resistant virus variants to molnupiravir  
56 or nirmatrelvir in treated patients. Recently, we have reported on *in vitro* selection of a SARS-CoV-2  
57 resistant virus against a first generation 3CLpro inhibitor (ALG-097161) that is cross-resistant to several

58 3CLpro inhibitors including nirmatrelvir (16). The identified resistant virus carries three amino acid  
59 substitutions in the 3CLpro (L50F-E166A-L167F) that result in a more than 20 fold increase in  $EC_{50}$   
60 values for different 3CLpro-inhibitors (16). These substitutions are associated with a significant loss of  
61 the 3CLpro activity in enzymatic assays, suggesting a subsequent reduction in viral fitness (16).

62 Here, we aim to (i) explore the infectivity and virulence of the *in vitro* selected 3CLpro (L50F-E166A-  
63 L167F) nirmatrelvir resistant (3CLpro<sup>res</sup>) virus in Syrian hamsters and (ii) assess the transmission  
64 potential of this *in vitro* selected drug resistant virus from intranasally infected index hamsters to non-  
65 infected contact hamsters.

66

## 67 Results

68 To assess the infectivity and the transmission potential of the 3CLpro (L50F-E166A-L167F) nirmatrelvir  
69 resistant (3CLpro<sup>res</sup>) virus in animals, two groups of index hamsters (each n=12) were intranasally  
70 infected with  $1 \times 10^4$  TCID<sub>50</sub> of either the wild-type (WT) SARS-CoV-2 virus (USA-WA1/2020) or the  
71 reverse engineered nirmatrelvir resistant virus carrying substitutions L50F-E166A-L167F in the 3CLpro  
72 (16). On day 1 post-infection, each of the index hamsters was co-housed in a cage with a contact  
73 hamster. The co-housing continued until 3 days after start of contact (Fig. 1A). All hamsters were then  
74 euthanized (day 4 pi). Index hamsters infected with the WT virus had median viral RNA and infectious  
75 virus loads in the lungs of  $1.3 \times 10^7$  genome copies/mg tissue and  $1.2 \times 10^5$  TCID<sub>50</sub>/mg tissue, respectively  
76 (Fig. 1B/C). The 3CLpro<sup>res</sup> virus replicated also efficiently in the lungs of infected index hamsters but  
77 with lower viral loads than the WT virus [for the 3CLpro<sup>res</sup> median viral RNA load=  $3.1 \times 10^6$  genome  
78 copies/mg lung tissue [p=0.0009] and a median infectious virus titers=  $1.6 \times 10^3$  TCID<sub>50</sub>/mg lung tissue  
79 [p<0.0001]] which is respectively 0.6 and 1.9 log<sub>10</sub> lower than is the case for WT virus (Fig. 1B/C)].

80 All sentinels that had been co-housed with either WT or the 3CLpro<sup>res</sup> virus-infected index hamsters  
81 had detectable viral RNA in their lungs in the range of ( $6.9 \times 10^3$ - $5.7 \times 10^7$ ) and ( $2.2 \times 10^3$ - $1.1 \times 10^7$ ) genome  
82 copies/mg lung tissue, respectively (Fig. 1B). For contacts co-housed with index hamsters that had  
83 been infected with WT virus, infectious virus titers were detected in the lungs of 11 out of 12 contact  
84 animals with infectious titer loads in the lungs ranging from  $1.2 \times 10^3$ - $2 \times 10^5$  TCID<sub>50</sub>/mg lung tissue (Fig.  
85 1C). On the other hand, 9 out of 12 contacts that had been co-housed with index hamsters infected  
86 with the 3CLpro<sup>res</sup> virus, became infected and infectious virus titers in their lungs ranged between  
87  $2 \times 10^1$ - $7.5 \times 10^4$  TCID<sub>50</sub>/mg lung (Fig. 1C).

88 Histological study of lungs of the two infected index groups revealed that both the WT and the  
89 3CLpro<sup>res</sup> virus caused comparable pathological signs including endothelialitis, peri-vascular  
90 inflammation, peri-bronchial inflammation and bronchopneumonia (Fig. 2A). Moreover, the median

91 cumulative histopathological lung scores of index hamsters infected with either the WT virus or the  
92 3CLpro<sup>res</sup> were not different (Fig. 2B).

93 Deep sequencing analysis of viral RNA isolated from the lungs of index and contact hamsters infected  
94 with the 3CLpro<sup>res</sup> virus revealed that the L50F-E166A-L167F substitutions were maintained in the  
95 3CLpro of this resistant virus after replication in hamsters, indicating the genomic stability of these  
96 mutations.

97

98 **Discussion**

99 Emergence of drug-resistant variants is a major problem during antiviral treatment for several acute  
100 and chronic viral infection such as HIV, HCV and influenza virus infections. So far SARs-CoV-2 drug  
101 resistant variants have been reported only for the treatment with the polymerase inhibitor remdesivir  
102 (14). Recently, we have reported on the *in vitro* selection of a resistant virus against 3CLpro inhibitors  
103 including nirmatrelvir and ensitrelvir, that carries the L50F-E166A-L167F substitutions in the 3CLpro  
104 protein (16). In a FRET-based assay, the enzymatic activities of recombinant 3CLpro proteins carrying  
105 the L50F, E166A and L167F substitutions alone or combined proved to be significantly lower,  
106 compared to that of the WT protein (16). In another similar study, *in vitro* selection of resistant variants  
107 against nirmatrelvir resulted in identification of several resistance-associated substitutions with the  
108 E166V substitution resulting in the strongest resistance phenotype (17). However, this substitution  
109 at position 166 resulted in loss of the virus replication fitness *in vitro* that was restored by  
110 compensatory substitutions such as L50F and T21I (17).

111 Here, we assed the *in vivo* fitness of of this 3CLpro (L50F-E166A-L167F) nirmatrelvir (and other  
112 protease inhibitors) resistant virus in terms of infectivity and transmission potential in a hamster  
113 infection model. Intranasal infection of Syrian hamsters with either the WT or the 3CLpro<sup>res</sup> variant  
114 resulted in efficient replication of the virus in the lungs. However, the viral RNA loads and infectious  
115 titers in the lungs of hamsters infected with the 3CLpro<sup>res</sup> virus were 0.6 and 1.9 log<sub>10</sub> lower compared  
116 to the WT virus-infected index hamsters. On the other hand, both the WT and the 3CLpro<sup>res</sup> virus  
117 caused a comparable lung pathology in the infected index hamsters.

118 Co-housing of each index animal, infected with either the WT or the 3CLpro<sup>res</sup> virus, in a cage with a  
119 contact (non-infected hamster) for 3 days revealed efficient transmission of either virus between  
120 infected and non-infected hamsters. All contact hamsters from both groups had detectable viral RNA  
121 loads in their lungs. Infectious virus titers were detected in the lungs of respectively 92% and 75% of  
122 the sentinels co-housed with animals that had been infected with either the WT virus or 3CLpro<sup>res</sup>

123 virus. The discrepancy between the number of contact hamsters with viral RNA in the lungs and  
124 detectable infectious virus in their lungs might be explained by the fact that all the contact animals  
125 were sacrificed at the same day (day 3 post first contact) whereas transmission most likely does not  
126 occur in a synchronized way; some may possibly soon, others later after contact be infected.  
127 Accordingly, for animals that acquired the virus rather late after the first contact, viral RNA might  
128 already be detectable in the lungs, but one or two more days may have been required to allow  
129 detection of infectious titers.

130 Taken together, these results show that the 3CLpro (L50F-E166A-L167F) nirmatrelvir resistant virus is  
131 able to efficiently replicate in the lungs of Syrian hamsters and that this variant can be transmitted via  
132 direct contact to co-housed naive hamsters. Two of the selected substitutions (i.e. L50F and L167F)  
133 have been reported to be detected (at low frequencies) in SARS-CoV-2 viruses naturally circulating in  
134 the population (17). Moreover, the Paxlovid label indicates that the E166V substitution is among the  
135 treatment-emergent substitution, which is more common in nirmatrelvir/ritonavir treated subjects  
136 relative to placebo-treated subjects. These observations suggest that the *in vitro* selected 3CLpro  
137 (L50F-E166A-L167F) resistant virus may also emerge in treated patients with increased use of Paxlovid  
138 or other 3CLpro targeting drugs in the future. The emergence of drug-resistant SARS-CoV-2 variants  
139 that can be efficiently transmitted in the population is of serious concern. It may result in a loss of  
140 important therapeutic option for patients that acquire the drug-resistant virus. Efficient transmission  
141 of the anti-influenza drugs amantadine and rimantadine has been well documented (12) although it  
142 should be mentioned that the barrier to resistance of these amantadanes is much lower than that of  
143 the SARS-CoV2 3CLpro inhibitors currently being developed. For the treatment of infections with HIV  
144 and HCV fixed-dose combinations prevent the emergence of resistant variants (18). It will be prudent  
145 and important to explore the efficacy and impact on potential resistance development of combinations  
146 of SARS-CoV-2 3CLpro inhibitors with drugs with a non-overlapping resistance profile.

147



148 **Methods**

149 **SARS-CoV-2**

150 The WT SARS-CoV-2 strain used in this study, SARS-CoV-2 USA-WA1/2020 (EPI\_ISL\_404895), was  
151 obtained through BEI Resources (ATCC) cat. No. NR-52281, batch 70036318. This isolate has a close  
152 relation with the prototypic Wuhan-Hu-1 2019-nCoV (GenBank accession 112 number MN908947.3)  
153 strain as confirmed by phylogenetic analysis. The reverse engineered 3CLpro (L50F-E166A-L167F)  
154 nirmatrelvir resistant (3CLpro<sup>res</sup>) virus used here has been described before (16). Live virus-related  
155 work was conducted in the high-containment A3 and BSL3+ facilities of the KU Leuven Rega Institute  
156 (3CAPS) under licenses AMV 30112018 SBB 219 2018 0892 and AMV 23102017 SBB 219 20170589  
157 according to institutional guidelines.

158 **Cells**

159 Vero E6 cells (African green monkey kidney, ATCC CRL-1586) were cultured in minimal essential  
160 medium (MEM, Gibco) supplemented with 10% fetal bovine serum (Integro), 1% non-essential amino  
161 acids (NEAA, Gibco), 1% L- glutamine (Gibco) and 1% bicarbonate (Gibco). End-point titrations on Vero  
162 E6 cells were performed with medium containing 2% fetal bovine serum instead of 10%. Cells were  
163 kept in a humidified 5% CO<sub>2</sub> incubator at 37°C.

164 **Infection and transmission study**

165 The hamster infection model of SARS-CoV-2 has been described before (19, 20). Female Syrian  
166 hamsters (*Mesocricetus auratus*) were purchased from Janvier Laboratories and kept per two in  
167 individually ventilated isolator cages (IsoCage N Bio-containment System, Tecniplast) at 21°C, 55%  
168 humidity and 12:12 day/night cycles. Housing conditions and experimental procedures were approved  
169 by the ethics committee of animal experimentation of KU Leuven (license P065-2020). For infection,  
170 two groups of index female hamsters of 6-8 weeks old were anesthetized with  
171 ketamine/xylazine/atropine and inoculated intranasally with 50 µL containing 1×10<sup>4</sup> TCID<sub>50</sub> of either

172 SARS-CoV-2 WT virus or the 3CLpro<sup>res</sup> virus(day 0). In the morning of day1 post-infection (pi), each  
173 index hamster was co-housed with a contact (sentinel) hamster (non-infected hamsters) in one cage  
174 and the co-housing continued until the sacrifice day i.e. 3 days after start of exposure. On day 4 pi,  
175 animals were euthanized by intraperitoneal injection of 500  $\mu$ L Dolethal (200 mg/mL sodium  
176 pentobarbital) and lungs were collected for further analysis. Two independent studies were performed  
177 each with n=6 per group.

#### 178 **SARS-CoV-2 RT-qPCR**

179 Hamster lung tissues were collected after sacrifice and were homogenized using bead disruption  
180 (Precellys) in 350  $\mu$ L TRK lysis buffer (E.Z.N.A.<sup>®</sup> Total RNA Kit, Omega Bio-tek) and centrifuged (10.000  
181 rpm, 5 min) to pellet the cell debris. RNA was extracted according to the manufacturer's instructions.  
182 RT-qPCR was performed on a LightCycler96 platform (Roche) using the iTaq Universal Probes One-Step  
183 RT-qPCR kit (BioRad) with N2 primers and probes targeting the nucleocapsid (20). Standards of SARS-  
184 CoV-2 cDNA (IDT) were used to express viral genome copies per mg tissue (19).

#### 185 **End-point virus titrations**

186 Lung tissues were homogenized using bead disruption (Precellys) in 350  $\mu$ L minimal essential medium  
187 and centrifuged (10,000 rpm, 5min, 4°C) to pellet the cell debris. To quantify infectious SARS-CoV-2  
188 particles, endpoint titrations were performed on confluent Vero E6 cells in 96- well plates. Viral titers  
189 were calculated by the Reed and Muench method (21) using the Lindenbach calculator and were  
190 expressed as 50% tissue culture infectious dose (TCID<sub>50</sub>) per mg tissue.

#### 191 **Histology**

192 For histological examination, the lungs were fixed overnight in 4% formaldehyde and embedded in  
193 paraffin. Tissue sections (5  $\mu$ m) were analyzed after staining with hematoxylin and eosin and scored  
194 blindly for lung damage by an expert pathologist. The scored parameters, to which a cumulative score  
195 of 1 to 3 was attributed, were the following: congestion, intra-alveolar hemorrhagic, apoptotic bodies

196 in bronchus wall, necrotizing bronchiolitis, perivascular edema, bronchopneumonia, perivascular  
197 inflammation, peribronchial inflammation and vasculitis.

### 198 **Deep sequencing and analysis of whole genome sequences**

199 The viral RNAs isolated from the lungs of infected animals were subjected to deep sequencing analysis  
200 of the full genome sequence through ARTIC SARS-CoV-2 RNA-Seq service provided by Eurofins  
201 Genomics. Alignment of the obtained sequences was performed using the Geneious Prime software.

### 202 **Statistics**

203 The detailed statistical comparisons, the number of animals and independent experiments that were  
204 performed is indicated in the legends to figures. “Independednt experiments” means that experiments  
205 were repeated separately on different days. The analysis of histopathology was done blindly. All  
206 statistical analyses were performed using GraphPad Prism 9 software (GraphPad, San Diego, CA, USA).  
207 Statistical significance was determined using the non-parametric Mann Whitney U-test. P-values of  
208 <0.05 were considered significant.

### 209 **Ethics**

210 Housing conditions and experimental procedures were done with the approval and under the  
211 guidelines of the ethics committee of animal experimentation of KU Leuven (license P065-2020).

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225 **Author Contributions**

226 R.A. and J.N. designed the studies; R.A and B.W. performed the studies and analyzed data; R.A. made  
227 the graphs; B.W., D.J. and J.N. provided advice on the interpretation of data; R.A. and J.N. wrote the  
228 paper; B.T., N.E. and V.T. provided the reverse engineered virus variant; R.A., V.T. and J.N. supervised  
229 the study; D.J. and J.N. acquired funding.

230 **Conflict of Interest Statement:** None to declare.

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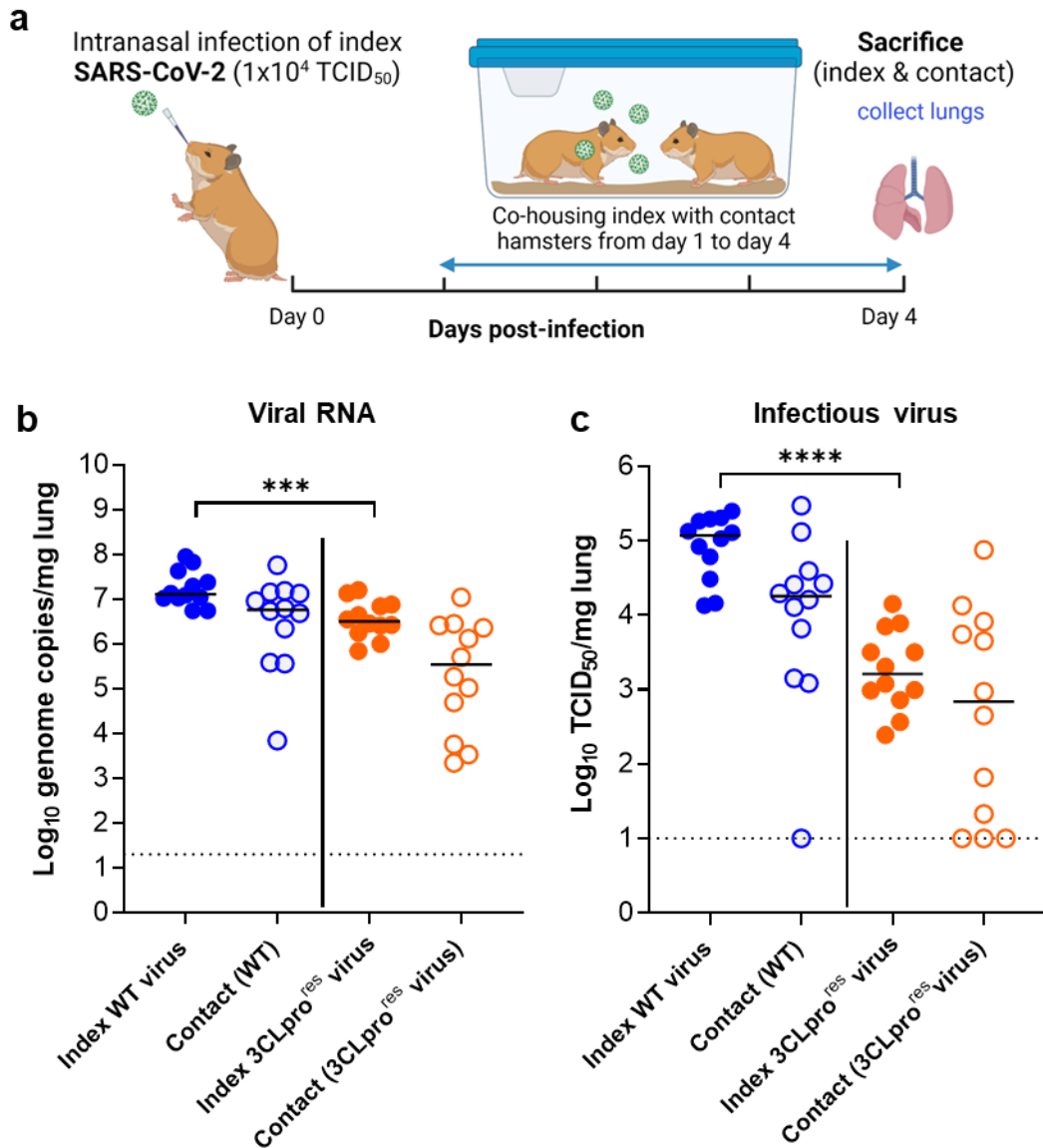
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306 **Figure legends**

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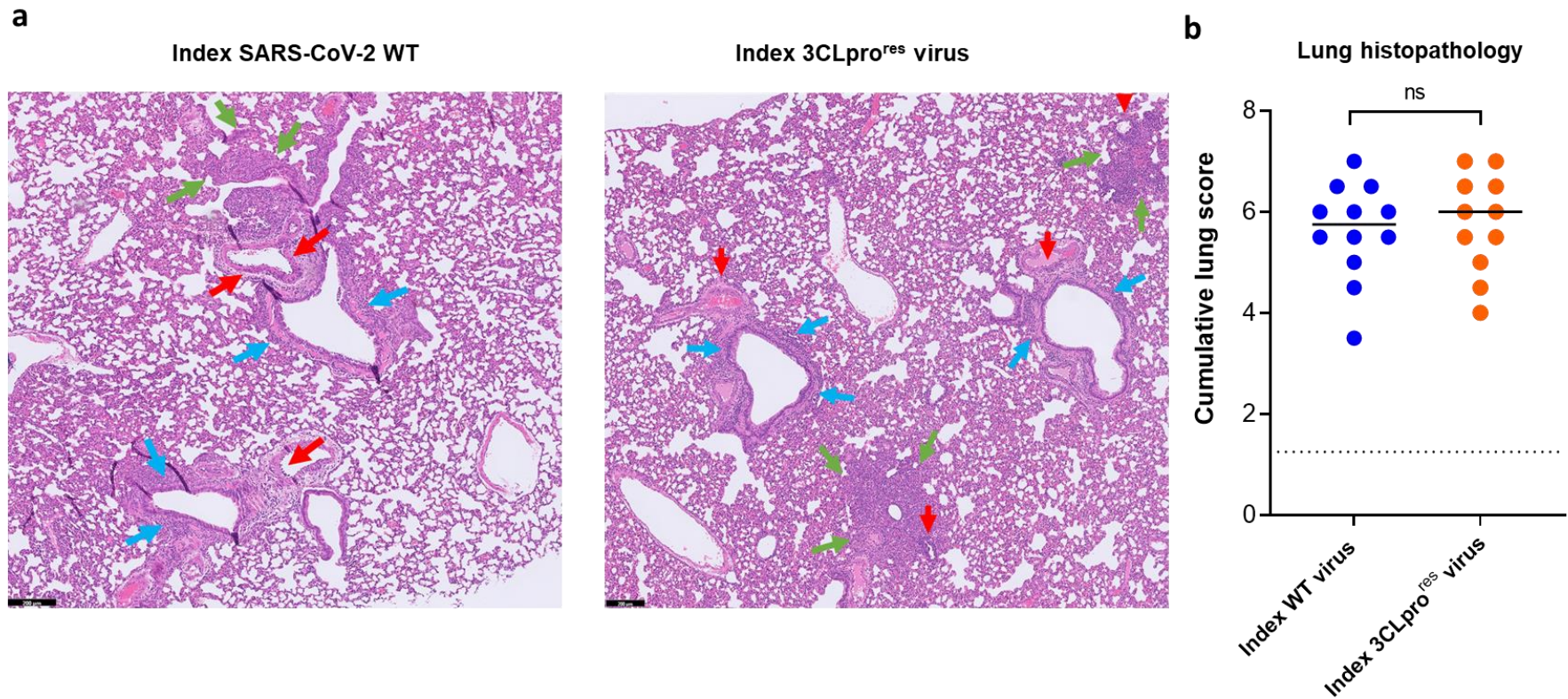


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309 **Fig. 1. The effect of 3CLpro substitutions, that are associated with nirmatrelvir-resistance, on viral transmission**  
310 **to contact hamsters.** (a) Set-up of the study. (b) Viral RNA levels in the lungs of index hamsters infected with  $10^4$   
311 TCID<sub>50</sub> of either the wild-type (WT) SARS-CoV-2 isolate (USA-WA1/2020) or the 3CLpro (L50F-E166A-L167F)  
312 nirmatrelvir resistant (3CLpro<sup>res</sup>) virus (closed circles) and contact hamsters (open circles) at day 4 post-infection  
313 (pi) are expressed as log<sub>10</sub> SARS-CoV-2 RNA copies per mg lung tissue. Individual data and median values are  
314 presented. (c) Infectious viral loads in the lungs of SARS-CoV-2 WT and (3CLpro<sup>res</sup>) virus -infected index hamsters  
315 (closed circles) and contact hamsters (open circles) at day 4 pi are expressed as log<sub>10</sub> TCID<sub>50</sub> per mg lung tissue.  
316 Individual data and median values are presented. Data were analyzed with the Mann-Whitney U test,  
317 \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ . Data presented are from 2-independent studies with a total  $n = 12$  per group. Panel  
318 (a) designed by Biorender.

319





320

321 **Fig. 2. Histopathology of lungs of Syrian hamsters infected with either the wild-type SARS-CoV-2 or the 3CLpro (L50F-E166A-L167F) nirmatrelvir resistant virus.** (a)  
 322 Representative H&E images of lungs of hamsters infected with  $10^4$  TCID<sub>50</sub> of either the wild-type (WT) SARS-CoV-2 virus (USA-WA1/2020) or the 3CLpro (L50F-E166A-L167F)  
 323 nirmatrelvir resistant (3CLpro<sup>res</sup>) virus at day 4 post-infection (pi) showing peribronchial inflammation (blue arrows), peri-vascular inflammation with vasculitis (red arrows),  
 324 and foci of bronchopneumonia (green arrows). Scale bars, 200  $\mu$ m. (b) Cumulative severity score from H&E stained slides of lungs from hamsters infected with the WT virus  
 325 or the (3CLpro<sup>res</sup>) virus at day 4 pi. Individual data and median values are presented and the dotted line represents the median score of untreated non-infected hamsters.  
 326 Data were analyzed with the Mann-Whitney U test. Data presented are from 2-independent studies with a total n=12 per group.



