Maternal cortisol and psychological symptoms alter the breast milk's microbiome composition

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Abstract

Breast milk (BM) is considered the "gold standard" of nutrition due to its many benefits for the infant. Its composition is dynamic and highly complex. The challenges and changes of pregnancy can lead to increased stress in some women, which in turn may affect BM quality. Although many studies have demonstrated a link between maternal psychopathology and child development, it remains unclear how maternal psychobiological changes can be intergenerationally transmitted. **Aims**: We investigated the associations between maternal perinatal biological stress, depressive symptoms, self-reported stress and anxiety symptoms and the BM microbiome. Further, we analysed these parameters in relation to BM cortisol concentrations and explored the influence of BM cortisol on BM bacterial composition. **Methods**: N=100 perinatal women completed standardised questionnaires (EPDS, PSS-10, STAI, GAS, NuPDQ, GHQ-12) at 34-36 weeks gestation and in the first five days postpartum; and salivary cortisol measures at 34-36 and 38 weeks gestation. BM samples, for analyses of cortisol and microbiota, were collected in the first five days postpartum. Microbiota was analysed using 16S rRNA amplicon sequencing. **Results**: The BM microbiome was dominated by the genera *Staphylococcus* (41.17%), *Streptococcus* (35.26%) and *Gemella* (5.74%). Prenatal GAS and pregnancy-related symptoms (sum) were negatively correlated with *Alphaproteobacteria* (τ = - 0.2137, FDR = 0.0199*; τ = - 0.1805 FDR = 0.0798*), whereas in the postpartum period, the STAI-S scores were negatively correlated with different taxa. Postpartum-related symptoms (sum) were also linked to decreased *Propionibacteriales*. Salivary cortisol AUCg at 34-36 weeks was negatively correlated with *Actinomycetia* (τ = -0.1633, FDR = 0.0630*) and *Clostridia* (τ = -0.1824, FDR = 0.0630*). BM cortisol was negatively correlated with *Staphylococcus* (τ = - 0.2047, FDR = 0.0885*) and positively correlated with BM alpha diversity. No associations emerged between psychobiological parameters and BM cortisol. **Conclusions**: Our findings provide initial insights into the dynamics of how maternal psychobiological aspects may affect BM composition and potentially infant development and health. Increased perinatal psychological symptoms and prenatal cortisol AUCg were associated with decreased commensal bacterial, whereas enhanced BM cortisol was linked to increased alpha diversity and reduced *Staphylococcus*. These findings suggest a negative relation between high maternal psychobiological symptoms and milk microbiota.

Keywords: prenatal cortisol, prenatal psychological symptoms, breast milk cortisol, breast milk microbiota

1. Introduction

Breast milk (BM) is considered the "gold standard" of nutrition due to its many benefits for the infant, including fewer respiratory infections and a lower incidence of obesity, type 1 and 2 diabetes mellitus, and gastroenteritis [1, 2]. BM composition is dynamic, highly complex and varies within one feeding, through the course of the day, across lactation periods, and between populations [3, 4]. BM has long been considered sterile, yet recent studies have shown it to be a rich source of microbes that can influence the child's health [5]. For instance, in a recent review [6], the BM microbiome was found to be quite diverse, containing more than 800 bacterial species. *Serratia, Ralstonia, Propionibacterium, Pseudomonas, Staphylococcus, Streptococcus, Corynebacterium, Sphingomonas and Bradyrhizobium* represent the nine genera that form the core BM microbiome [5, 7] as they constitute approximately half of its microbial community [8, 9]. Evidence suggests that BM is not solely a passive reflection of maternal bioactive substances, but is rather an actively regulated secretion that may program the infant's cognitive and immunological development [10, 11]. BM microbial community reaches the child's gut via breastfeeding, influencing its colonisation [12, 13], and can affect several aspects of newborn health [13–17]. Interestingly, it has recently been demonstrated that BM bacterial, hormonal and immunological composition may be negatively altered by maternal psychopathology [10, 18].

The changes and challenges of pregnancy can lead to increased stress in some women [19–22], which may in turn negatively affect BM quality [18, 23, 24]. Other determinants that have been found to interact with BM composition include maternal age, parity, body mass index (BMI), diet, use of antibiotics, and mode of delivery [5]. Although there is a considerable body of research demonstrating a link between perinatal maternal psychopathology and child development and health [10, 18, 25, 26], it remains unclear how maternal psychobiological symptoms can be intergenerationally transmitted [27]. One strong candidate in this regard is BM. BM composition can be shaped by multiple factors such as maternal body index and diet [10]. The development of the maternal BM microbiome begins in the third trimester of pregnancy [28, 29], with bacteria from the maternal gut probably entering the mammary gland through bacterial translocation [30–32]. This potential microbiome transfer route is known as the entero-mammary pathway [13, 28, 30] and can be influenced, among others, by maternal stress [15, 33, 34] via the microbiome-gut-brain axis [35]. The latter describes a bidirectional interaction occurring between the gastrointestinal tract, the gut microbiome, and the central nervous system [35]. Communication pathways of this axis include vagal nerve activation, neurotransmitters, metabolites, as well as the immune system [15]. Consistent with these pathways, experimental studies also suggest a bidirectional communication between the gut microbiome and the hypothalamic-pituitary-adrenal (HPA) axis [36].

The HPA axis is the main system controlling stress responses [37], in which the stress hormone cortisol is produced by the adrenal glands in response to physiological and psychological stress [38, 39].

Cortisol released in the systemic circulation appears to be the primary source of BM cortisol
concentrations, as BM cortisol was shown to be strongly correlated with plasma cortisol concentrations
[26, 40]. Maternal stress is a substantial factor influencing higher cortisol concentrations in BM [23, 41–
43]. Although BM glucocorticoids were first detected in the 1970s [44], few studies to date have looked
at their effects on the offspring [26].

Given that BM is an underexplored pathway underlying the relation between perinatal
psychological symptoms and the offspring, the aim of the present study is threefold. First, we
investigated the associations between maternal perinatal biological stress, depressive symptoms, self-
reported stress, and anxiety symptoms and the BM microbiome. Second, we analysed these parameters
in relation to BM cortisol concentrations. Finally, we explored the influence of BM cortisol
concentrations on BM bacterial composition. To the best of our knowledge, this is the first study to
comprehensively examine the interplay between the BM microbiome and cortisol concentrations in
colostrum (the initial BM) in relation to maternal perinatal mental health. This is relevant to improve
our understanding of the interactions between maternal psychological symptoms, endocrinological
parameters and bacterial composition [5, 10, 11]. We hypothesise that women with higher levels of
perinatal psychological symptoms and biological stress will show low bacterial diversity and decreased
commensal bacterial as well as elevated BM cortisol concentrations. Additionally, we hypothesise that
higher levels of BM cortisol can negatively alter the BM microbiome.

2. Materials and Methods

From January 2021 to January 2022, we recruited women in the German-speaking part of
Switzerland through online platforms, mailing lists, social media, the study's homepage as well as flyers
handed to patients receiving prenatal medical care at gynaecology practices in and around Zurich and
Aargau. To meet the inclusion criteria, women had to be between 18 and 45 years old, currently in the
3rd gestational trimester, fluent in German, of European origin and physically healthy according to self-
report. Women were excluded if they had a multifoetal gestation, a pregnancy through assisted
reproductive technology, medical complications and/or surgical interventions that might have affected
ovarian function prior to pregnancy (e.g., polycystic ovary syndrome) or a planned caesarean section.
Additional exclusion criteria were current intake of hormones, psychotropic substances, drugs (e.g.
cocaine, marijuana) and antibiotics. A total of $N = 119$ prenatal women and their children were included
in this prospective cross-sectional study. Ethical approval was granted by the Zurich Cantonal Ethics
Committee (KEK-ZH-Nr. 2020-01928) and the study was conducted at the Psychological Institute of
the University of Zurich in accordance with the Declaration of Helsinki.

The inclusion and exclusion criteria were screened using an online questionnaire. Women who

met the inclusion criteria according to this questionnaire then received the study information and consent

forms via postal mail. Next, a telephone interview was conducted with interested participants, in which

the inclusion criteria were re-checked and the Structured Clinical Interview for DSM-5 (SCID-5-CV)

was administered. Eligible participants were invited to the first laboratory appointment between the 34th

and 36th week of gestation (T1), conducted either as a home visit or via videotelephony, depending on

the respective COVID-19 restrictions at the time. Before T1, participants were asked to sign the consent

forms. T1 followed a standardised protocol. First, the procedure was explained and maternal

anthropometric measures were recorded. In the case of videotelephony appointments, this information

was taken either from the participants' maternity records or their most recent gynaecological

examination. Next, instructions regarding saliva sampling were provided, both verbally and in writing,

as participants were asked to independently collect saliva samples during the study. Finally, any

potential questions were clarified and participants were asked to complete online questionnaires on

socio-demographic, psychological and behavioural information.

On the day after the first appointment (T1), participants were asked to collect saliva and briefly

reply to some questions on their perceived stress levels and sleep quality. Saliva sampling took place on

two consecutive days immediately after awakening, 30 min and 45 min after awakening, and before

going to bed. The second saliva collection was scheduled for the 38th week of pregnancy (T2), again

accompanied by the same brief questionnaire as in T1. Participants were asked to freeze the saliva

samples in their home freezer. Within the first 120 hours after birth, preferably on the fourth day

postpartum, the final study appointment (T3) was conducted between 8:00 and 8:30 in the morning as a

home or clinic visit. During this visit, any remaining questions were clarified, the procedure was

explained, and BM collection was conducted. For the planned analyses, 5-15 millilitres of BM were

collected using an electric BM pump. At T3, we also collected the saliva samples that had been stored

in the participants' homes. Subsequently, participants were asked to complete questionnaires related to

birth information and some of the psychological parameters from T1.

2.1 Instruments

2.1.1   Maternal psychological parameters

Validated psychometric questionnaires were used to assess perinatal depressive symptoms, anxiety

symptoms, and self-reported stress.

We measured stress using the German version of the *Perceived Stress Scale* (PSS-10) at T1 and

T3 [45]. This is a self-report scale measuring the degree to which the respondent perceives situations to

be unpredictable, uncontrollable, and stressful referring to the past four weeks [46]. The 10 items are

rated on a five-point Likert scale (0 = "*never*" to 4 = "*very often*"), with higher scores indicating a higher

level of perceived stress [45]. Analyses were conducted with the total score as a continuous variable.

Cronbach's alpha in the present study ranged between 0.823 (T3) and 0.828 (T1).

We used the German version of the *General Health Questionnaire* (GHQ-12) [47], which is a

reliable instrument to screen for psychological distress [48, 49]. The 12 items are rated on a four-point

Likert scale (0 = "*no, not at all*" to 4 = "*much harder than usual*") [50]. Higher scores indicate higher

levels of mental distress [49]. In the present analyses, we used the GHQ-12 total score as a continuous

variable. Cronbach's alpha for this study ranged between 0.780 (T3) and 0.808 (T1).

Pregnancy-specific stress at T1 was measured using the German version of the revised *Prenatal*

Distress Questionnaire (NuPDQ) [51]. Respondents are asked to indicate the extent to which they feel

"bothered, upset, or worried" about issues that become more relevant as pregnancy progresses (e.g.,

concerns about caring for a newborn) [52], with items rated on a three-point Likert scale (from 0 = "*not*

at all" to 2 = "*very much*"). In the present study, the total score was used as a continuous variable for

statistical analyses. Cronbach's alpha at T1 was .0732.

The German version of the *Edinburgh Postnatal Depression Scale* (EPDS) was applied to assess

maternal depressive symptoms at T1 and T3 [53]. The questionnaire was developed to assess depressive

symptoms in pre- and postnatal women. It consists of 10 items rated on a four-point Likert scale (0 =

"*yes, most of the time*" to 3 = "*no, never*") relating to how one has felt in the last seven days. In our

analyses, we used the total score as a continuous variable. Cronbach's alpha for this study ranged

between 0.798 (T1) and 0.880 (T3).

Pregnancy/birth anxiety was assessed at T1 using the *Birth Anxiety Scale* (Geburts-Angst-Skala,

GAS) [54]. The scale comprises 25 items assessing the extent to which respondents are afraid of certain

aspects related to pregnancy and birth, rated on a four-point Likert scale (0 = "no fear" to 3 = "strong

fear") [55]. For the present analyses, the total score was used as a continuous variable. Cronbach's alpha

was 0.871.

Trait anxiety and state anxiety were measured using the German version of the State-Trait

Anxiety Inventory (STAI) [56, 57]. The State scale (STAI-S) addresses the level of anxiety in a

particular situation [57] and consists of 20 items rated on a four-point Likert scale (1 = "*not at all*" to 4

= "*very much*"), with higher scores indicating higher state anxiety [58]. Cronbach's alpha for this scale

ranged between 0.891 (T1) and 0.924 (T3). The Trait scale (STAI-T) relates to the overall disposition

to experience worries, fears, and anxieties in different situations [59]. It likewise consists of 20 items

rated on four-point Likert scale (1 = "*almost never*" to 4 = "*almost always*"), and asks respondents how

they feel in general. Higher scores indicate higher trait anxiety. Cronbach's alpha for the current study

ranged between 0.890 (T3) and 0.897 (T1). Trait and State anxiety total scores were scored separately

and treated as continuous variables in our analyses.

Maternal psychological symptoms can be considered as a multidimensional concept, with

women reporting various different symptoms (e.g., anxiety, stress, and/or depression) [18, 60, 61].

Therefore, we created summary variables from the questionnaires described above. To capture

pregnancy-specific psychological symptoms, the questionnaires NuPDQ, GAS, and EPDS were merged,

as they all specifically target the prenatal period. To do so, we used the total scores from each

questionnaire at T1 and summed them together to create a pregnancy-related sum score (continuous

variable). Similarly, a multidimensional summary variable was created to capture postpartum

psychological symptoms: Total scores derived from the GHQ-12, PSS-10, EPDS, and STAI-S were

combined into a postpartum sum score (continuous variable), as they account for depressive symptoms,

anxiety symptoms and self-reported distress.

2.1.2   Maternal prenatal salivary cortisol

During the course of the study, participants were required to collect saliva samples for the

assessment of cortisol concentrations. Under standardised conditions, participants were required to

collect 8 saliva samples per time point (T1 and T2), corresponding to a total of 16 saliva samples per

participant. The three morning and one evening samples were collected using the passive drool method

in SaliCap sampling tubes with 2 mL capacity (SaliCaps, IBL international GmBH, Hamburg,

Germany). For this purpose, the women were instructed to collect saliva immediately after awakening,

30 min after awakening, 45 min after awakening and before going to bed. After sampling, they were

instructed to store the samples in their freezers until the home or clinic visit (T3). The day before

sampling, participants were asked to avoid exercise, alcohol, coffee, black tea, and chewing gum.

Additionally, they were asked not to eat, smoke, or brush their teeth during the morning saliva collection

sessions. After completion of study participation, samples were transported by a member of the study

team using a cool box and stored at -20 degrees in the biochemical laboratory of the University of Zurich.

For subsequent cortisol analyses, samples were then thawed, centrifuged, and analysed biochemically

using an enzyme-linked immunosorbent assay (ELISA; IBL international GmBH, Hamburg, Germany).

Individual values beyond the range of the kit were excluded from the analyses ($N = 2$).

2.1.3   Breast milk collection

Within the first 120 hours after birth, preferably on the fourth day postpartum, the final study

appointment (T3) was performed between 8:00 and 8:30 in the morning as a home or clinic visit, as BM

cortisol concentrations follow the diurnal rhythm of the HPA axis [62]. The method of BM collection

was similar to that used by McGuire et al. (2017) [63]. Accordingly, participants were asked to wash

their hands and dry them with a disposable towel provided by the study team. Both the participant and

262 the study team member had to disinfect their hands and put on disposable gloves. As the researcher had

263 to unwrap the materials and give them to the woman, this procedure ensured that the materials were as

264 sterile as possible. Once the appropriate BM pump set was selected, the breast was then stimulated.

265 Following this, the breast was cleaned twice with a newly opened pre-packaged castile soap towelette

266 (Pdi Inc. Pyd41900 Castile Soap Towelettes). We used an electric BM pump (Medela Symphony) and

267 disposable pump sets (Medela Inc.) to collect milk samples into a single-use, sterile, 35 ml milk

268 collection container (Medela Inc.). To ensure the best possible milk flow, the breast chosen for pumping

269 was the one that was not used during the last feeding or that the participant felt to be fuller. If not enough

270 milk was collected from one breast, participants were asked to express milk from both breasts; in these

271 cases, the previously described cleaning procedure was repeated with the other breast.

273  For the BM microbiome sample, we collected 5-10 ml of BM in a milk collection container. In

274 a second container, a further 2-5 ml were expressed for the analyses of BM cortisol. Immediately after

275 collection, for the endocrinological analyses, BM was transferred from the 35 ml milk collection

276 container into 2 ml microtubes (Sarstedt AG) by a member of the study team using a sterile pipette. All

277 samples were immediately placed in a cool box to be transported by members of the research team to

278 the biochemical laboratory at the University of Zurich, where they were stored at -80 degrees until

279 shipment. N = 97 BM samples were collected, which amounted to 1.64 litres of BM. Samples for

280 microbiome analysis were shipped to the Clinical Microbiomics Laboratory in Copenhagen (Denmark).

281 Samples for cortisol measurements were shipped to the Laboratory of Endocrinology, University

282 Medical Centers (UMC), Amsterdam (Netherlands).

284 2.2 BM cortisol concentrations

285  To evaluate cortisol concentrations in BM, isotope dilution liquid chromatography-tandem mass

286 spectrometry (LC-MS/MS) was used, as previously described in Van der Voorn et al. (2015) [64].

287 Briefly, milk samples were washed with hexane after internal standards (13C3-labelled cortisol) were

288 added to the samples. Samples were then extracted with isolute plates (Biotage, Uppsala, Sweden) and

289 analysed by LC-MS/MS (Acquity with Quattro Premier XE, Milford MA, USA, Waters Corporation).

290 The intra-assay coefficient of variation (CV) was 4-5% for cortisol at different values. The inter-assay

291 coefficient of variation for cortisol was < 9%, with the lower limit of quantitation of 0.5 nmol/L.

292 2.3 BM 16S ribosomal RNA (rRNA) sequencing and quality control

293  At the Clinical Microbiomics laboratory, DNA was extracted from ~5 mL aliquots of the N =

294 97 BM samples. Prior to DNA extraction, cells from the aliquots were pelleted by centrifugation (2 x

295 10 minutes at 6000 rpm). In a next step, DNA extraction from the pellets was performed using the

296 NucleoSpin 96 Soil (Macherey-Nagel) kit. Bead beating was performed horizontally on a Vortex-Genie

297 2 at 2700 rpm for 5 minutes. Negative and positive (mock) controls were incorporated into the analysis.

298 Products from nested PCR were pooled based on band intensity, before the resulting library was cleaned

with magnetic beads. Pooled library DNA concentration was measured fluorometrically. Sequencing

was performed on an Illumina MiSeq desktop sequencer using the MiSeq Reagent Kit V3 (Illumina) for

2x 300 bp paired-end sequencing. We performed PCR using the forward primer S-D-Bact-0341-b-S-17

and the reverse primer S-D-Bact-0785-a-A-21 [65] with Illumina adapters attached. In fact, these are

universal bacterial 16S rDNA primers targeting the V3-V4 region. The following PCR program was

applied: 98 °C for 30 sec, 29x (98° C for 10 s, 55 °C for 20 s, 72 °C for 20 s), 72°C for 5 min.

Amplification was verified by running the products on an agarose gel. Indices were added in a

subsequent PCR, utilising an Illumina Nextera kit with the subsequent PCR program: 98 °C for 30 sec,

8x (98° C for 10 s, 55 °C for 20 s, 72 °C for 20 s), 72 °C for 5 min. Attachment of the indices was

validated by testing the products on an agarose gel. To account for variations in detection ability due to

differences in sequencing depth, all samples were rarefied to a fixed number of reads. Rarefied count

and abundance matrices were generated by random sampling of reads without replacement with a target

number of 4063 read counts. Any samples with a lower number of reads were excluded.

2.4 Statistical analysis

To define the minimum sample size required to test the study hypothesis, an a priori power

analysis was performed with G*Power version 3.1.9.7 [66]. All statistical analyses were conducted with

the IBM Statistical Package for the Social Sciences (SPSS version 28 for Windows) and the software

program R, using the adonis2 function from the vegan R package. Normality of the sample was tested

with the Shapiro-Wilk test, and non-parametric tests were used for non-normally distributed data. For

associative analysis with salivary cortisol concentrations, we used the mean value of the area under the

curve with respect to the ground (AUCg) of the two consecutive days of saliva sampling. The AUCg

was itself calculated using the trapezoid formula, which is routinely calculated to include multiple time

points [67]. Moreover, we used a mean value of total cortisol decline of the two consecutive days,

calculated as follows: Δ in the morning - Δ during the day, in which Δ in the morning corresponds to

the highest morning value - baseline morning value and Δ during the day corresponds to the highest

morning value - the evening value. Both procedures, the AUCg and the total decline, were conducted

separately for T1 and T2. Spearman's rank correlation was used to examine correlations between and

within psychological variables (PSS-10, GHQ-12, NuPDQ, EPDS, GAS, STAI-S, pregnancy-related

sum, postpartum sum), salivary cortisol and BM cortisol concentrations at all time points. Additionally,

a Kruskal-Wallis test was run to test for significant differences in BM cortisol concentrations depending

on the extraction breast (e.g. right, left or both). Both BM and salivary cortisol results were reported in

nmol/l.

For the analysis of the BM microbiome, BM composition was first summarised in an ASV

abundance table using a customised pipeline based on dada2 [68]. The taxonomic classification of the

detected ASVs was performed in two steps. First, ASV sequences were compared with full-length 16S

sequences in an internal reference database (CM_16S_27Fto1492R_v1.0.0) using a naive Bayesian

classifier. Then, we optimised the taxonomic assignments based on the exact sequence identity

percentages between the discovered ASVs and the reference amplicons in an internal V3V4 amplicon

database (CM_16S_341Fto785R_v1.0.0.rds). Subsequently, we explored the diversity of the BM

microbiome communities within and between samples. For this purpose, bacterial alpha diversity of the

samples was calculated using the number of detected entities (richness) and the Shannon index. Bray-

Curtis dissimilarity and weighted UniFrac distances were used to calculate bacterial beta diversity. Both

alpha and beta diversity estimates were calculated from rarefied abundance matrices. Additionally, when

conducting statistical analyses, the Benjamini-Hochberg (BH) method was applied to control for the

false discovery rate (FDR) at a level of 10% to control for multiple testing [69].

Associations for beta diversity – including Bray-Curtis dissimilarity and weighted UniFrac

distances as dependent variables and psychological variables as independent variables – were performed

using a distance-based redundancy analysis (dbRDA) test conducted with 1000 permutations. We ran

associative analyses with alpha diversity and taxon abundance as dependent variables and psychological

continuous variables as independent variables using Kendall rank correlations. Unrarefied data were

used for the associations involving abundance of microbial taxons, for which 97 BM samples were

included. For the analyses of alpha and beta diversity parameters, we used 96 BM samples analysed

with rarefied data. This difference is due to the rarefaction method (see 2.5, BM sequencing and quality

control). Finally, to investigate the potential influence of several covariates on the BM microbiome,

PERMANOVA (for categorical variables) and dbRDA (for continuous variables) were performed,

following the approach suggested by Falony et al., 2016 [70]. Only covariates that demonstrated a

significant relationship with the BM microbiome were further analysed for their predictive effects.

2.4.1   Covariates

Maternal age, parity, maternal BMI, the newborn's weight and length, and number of hours

between last feeding and BM collection were analysed as continuous variables. Categorical variables

were education (coded as 1 = university degree or higher and 0 = other) and mode of delivery,

considering membrane rupture during labour (coded as 0 = no and 1 = yes). All covariates were

identified based on previous literature [5, 13, 63, 71–74].

3. Results

3.1 Sample characteristics

Descriptive characteristics of the sample are shown in Table 1. Most of the participants were

married (66%) and highly educated, with 67% having a university degree. 71% of the women

experienced a natural vaginal birth whereas 16% underwent an emergency caesarean section. Of those

who had a caesarean section, 43.75% (N = 7) had membrane rupture prior to the intervention. The

median time of BM sample collection was 97 hours after birth (IQR = 30).

N = 19 participants voluntarily withdrew or were excluded from the study (e.g. due to medical

complications, scheduled c-section), resulting in a final sample of 100 pregnant women with an age

range from 22 to 40 years (MD = 32.50, IQR = 4.00). Our power analysis [66] suggested a required

sample size of N = 84 to reach 80% power to detect a medium effect (f = 0.15), at a significance level

of α = .05. Thus, the achieved sample size of N = 100 is sufficient to test the study hypothesis. Moreover,

we were unable to collect BM samples from N = 3 participants, who had little or no milk (N=2) or were

prevented from attending the final appointment due to problems with the newborn baby (N=1).

382   [Add Table 1 here]

3.2 Analysis of the BM microbiome and BM cortisol

For a taxonomic overview, Figure 1 and Figure 2 show the relative abundance of bacterial taxa

aggregated at the genus and family levels in the BM. We found that the BM microbiome is dominated

by the genera *Staphylococcus* (41.17%), *Streptococcus* (35.26%) and *Gemella* (5.74%) (Figure 1). At

the family level (Figure 2), we found that most of the assigned BM taxa were from *Staphylococcaceae*

(41.17%), *Streptococcaceae* (35.38%) and *Gemellaceae* (5.74%). Both *Staphylococcus* as well as

Streptococcus belong to the previously described core BM microbiome [5–7]. Overall, the 97 BM

samples had an average of 107.5 thousand (K) read pairs per sample and a minimum of 0.3 K read pairs.

An average of 52.4 K read pairs per sample could be mapped to the ASV catalogue, representing an

average of 48.1 % of the high-quality reads.

395   [Add Figure 1 here]

397   [Add Figure 2 here]

At postpartum, a total of 423.5 millilitres of BM for cortisol analyses were obtained from all 97

participants. The median BM cortisol concentration was 11.16 nmol/l (IQR = 9.12). There were no

significant differences in BM cortisol concentrations based on which breast was selected for sampling

(H = 5.376, p = .068).

3.3 Association of maternal psychological symptoms with the BM microbiome

In our initial analyses, no significant associations were found between any of the covariates and

BM microbial composition (p > 0.05). Therefore, no covariates were included in the associative

analyses. We explored BM bacterial composition in relation to perinatal maternal psychological

symptoms from class to species level. After applying the Benjamini-Hochberg (BH) method to control

for the FDR [69] at a level of 10%, we found that prenatal GAS and pregnancy-related sum were

significantly negatively correlated with the class of *Alphaproteobacteria* (τ = - 0.2137, FDR = 0.0199*;

τ = - 0.1805 FDR = 0.0798*). Moreover, in the postpartum period (T3), the class *Alphaproteobacteria*,

the order *Propionibacteriales*, as well as the genera *Cutibacterium* and *Pseudomonas*_N were negatively

correlated only with the STAI-S values and not with the STAI-T (see Table 2). However, no significant

associations were identified between prenatal and postnatal maternal psychological symptoms and BM

alpha (Shannon diversity index range: τ = - 0.0671, FDR = 0.359 to τ = 0.0006, FDR = 0.994) or beta

diversity (Bray-Curtis dissimilarity range: Sum of Squares = 0.3812, Pr(>F) = 0.295 to Sum of Squares

= 0.1757, Pr(>F) = 0.999; weighted UniFrac distances range: Sum of Squares = 0.1808, Pr(>F) = 0.305

to Sum of Squares = 0.0925, Pr(>F) = 0.903).

[Add Table 2 here]

3.4 Associations between salivary cortisol concentrations and the BM microbiome

We examined the associations of salivary cortisol concentrations at T1 and T2, measured by

AUCg and total decline, with each of the taxa found (from class to species level). Again, the Benjamini-

Hochberg (BH) method was applied to control for the FDR [69]. Among others, AUCg at T1 was

negatively correlated with *Actinomycetia* (τ = -0.1633, FDR = 0.0630*) and *Clostridia* (τ = -0.1824,

FDR = 0.0630*). No associations were found between total decline and any bacterial taxa (p > 0.05).

Moreover, no significant associations emerged between BM alpha and beta diversity in relation to

salivary cortisol concentrations (p > 0.05). All significant results of the BM microbiome in relation to

salivary cortisol concentrations can be seen in Table 3.

[Add Table 3 here]

3.5 Associations of maternal psychological symptoms and biological stress with BM

cortisol

We found significant correlations between and within T1 and T3 for the PSS-10, GHQ-12,

EPDS, and STAI-S (p < .001**). Spearman's rho analyses between the PSS-10, GHQ-12, NuPDQ,

EPDS, GAS, STAI-S, pregnancy-related sum at T1 and BM cortisol concentrations yielded null findings

(p > .05). Similarly, no significant associations were found between BM cortisol and the GHQ-12, PSS-

10, EPDS, STAI-S, and postpartum sum at T3 (p > .05).

Spearman's rho correlations between T1 and T2 for each salivary cortisol measurement

(maternal salivary cortisol AUCg: rho = .706**, p < .001; total decline: rho = .507**, p < .001) were

significant. However, both salivary cortisol AUCg and total decline at T1 and T2 were not significantly

associated with any psychological parameters at T1 and T3 ($p > .05$). Similarly, no significant results
were found between the maternal salivary cortisol AUCg and total decline measurements and BM
cortisol ($p > .05$).

3.6 Relationship between the BM microbiome and BM cortisol

Kendall's rank correlations indicated that BM cortisol concentration was significantly
associated with BM alpha diversity ($\tau = 0.1671$, FDR $= 0.0352^*$). After adjusting for the FDR [69], BM
cortisol was significantly correlated with the family *Staphylococcaceae* ($\tau = - 0.2047$, FDR $= 0.0577^*$)
and the genus *Staphylococcus* ($\tau = - 0.2047$, FDR $= 0.0885^*$; see Table 4). BM cortisol showed no
significant correlation with the beta diversity of the BM microbiome at T3 ($p > 0.05$).

[Add Table 4 here]

4. Discussion

In this cross-sectional study involving perinatal women, we investigated whether there are any
associations between maternal perinatal biological stress, psychological symptoms, and the BM
microbiome. Moreover, we analysed psychobiological parameters in relation to BM cortisol
concentrations and explored the influence of BM cortisol levels on BM bacterial composition. Our main
findings suggest that: the BM microbiome is dominated by the genera *Staphylococcus*, *Streptococcus*
and *Gemella;* increased perinatal psychological symptoms and AUCg are associated with decreased
specific bacterial taxa; and enhanced BM cortisol is linked to alpha diversity and *Staphylococcus.*
Surprisingly, no associations were found between psychobiological parameters and BM cortisol.

Our results support the view that commensal *Staphylococcus* and *Streptococcus* are predominant
bacterial species in BM [75, 76] and reinforce the finding that BM can be an important source of
protective bacteria to the infant gut [77]. *Staphylococcus* and *Streptococcus* represented, respectively,
41.1% and 35.2% of the total bacterial isolates in our sample and have been previously identified in
stool samples of breast-fed infants [78, 79]. Human milk contains commensal bacteria, mainly non-
pathogenic, which compete for nutrients and prevent growth of pathogens by producing acid, hydrogen
peroxide or bacteriocins [80]. Conversely, whereas previous studies have identified *Lactobacillus* and
Bifidobacteria - often considered as part of a healthy microbiota - as common members of BM
microbiota [81], we observed very few sequences from these microbes. This difference may be
attributable to geographical or environmental differences among the studies, use of colostrum instead of
transitional or mature milk, differences in sample processing and analytical techniques, or dietary
differences [8].

Studies in both humans and animal models indicate that maternal psychosocial distress is related
to negative alterations in gut microbiota [82–85]. This is partially in line with our findings, since
breastfeeding may adversely modify infant gut microbiota and BM is recognised as one of the most
important elements modulating a child's gut health [86]. We demonstrated that increased birth-specific
anxiety and pregnancy-related psychological symptoms (sum) were associated with a decrease in BM
Alphaproteobacteria, whereas heightened postpartum anxiety symptoms and postpartum psychological
symptoms (sum) were linked to decreased *Alphaproteobacteria*, *Propionibacteriales*, *Cutibacterium*
and *Pseudomonas*. All of these bacterial communities, although not among the most abundant genera in
our BM samples, have been previously reported as members of the human milk or skin microbiota
inducing protective responses on the host's immune system [87, 88]. It was shown that the presence of
Pseudomonas in BM, alone or in combination with other bacteria, does not define an unhealthy
microbial state [89]. This finding suggests that higher maternal psychological symptoms contribute to
the decrease of commensal, commonly found, gram-positive bacteria in BM. Human milk is in itself a
source of commensal bacteria, which promote resistance to pathogens, that may colonise the infant gut.
Commensal strains generally represent ecologically important inhabitants of the human mucosal
surfaces [90]. Therefore, this negative alteration in BM microbiota might, at least in part, be driven by
increased maternal psychological symptoms in pregnancy and postpartum. Importantly, however, not
all studies observed this association [87].

Our results revealed that enhanced salivary cortisol, measured by AUCg, was associated with
lower *Actinomycetia*, *Clostridia*, *Stenotrophomonas, and Kocuria* whereas increased BM cortisol was
related to decreased *Staphylococcus*. All these bacteria exert a great impact on regulation of
immunophysiological functions, including metabolism and pathogen defense [90, 91]. Here too, we see
negative effects of maternal prenatal and postnatal stress in terms of altering BM microbial composition,
and particularly in reducing commensal bacteria. This finding is novel, as prenatal salivary cortisol and
BM cortisol have not been previously addressed as potential factors that may influence milk bacterial
composition. Notably, we observed a link between higher BM cortisol and increased alpha diversity and
richness. Microbiota diversity plays an important role in the maintenance of human immune balance
while promoting intestinal immune system maturation [92]. Our finding conflicts with the only previous
study to have addressed the effects of postpartum psychosocial stress on BM microbiota, which reported
high milk diversity in women with lower psychosocial stress levels [18]. The few other studies assessing
the effects of self-reported stress described similar findings in relation to infant gut microbiota diversity
[93, 94]. We are the first to publish data specifically targeting BM cortisol effects on BM bacterial
composition, thus precluding any direct comparison with previous works. The actual impact of the
observed BM alterations remains uncertain, since different taxonomic profiles may retain microbiota
functionality due to metabolic plasticity [95]. Future studies examining BM cortisol alterations in BM

bacteriome in clinical and non-clinical populations may increase our understanding of the mechanisms

linking maternal mental health and BM microbiota.

Finally, we did not find any significant associations between maternal perinatal psychological

symptoms, salivary cortisol and BM cortisol. Although BM cortisol was previously shown to be strongly

correlated with plasma cortisol concentrations and maternal psychological symptoms [26, 40], this

finding was not replicated in our sample. It is important to acknowledge that some studies also failed to

reveal an association between psychological and biological stress during the perinatal phase. For

instance, in a single assessment at 28 weeks gestation, Wadhwa and colleagues (1996) [96] found no

association between maternal plasma cortisol and stressful life events, perceived stress, or self-reported

pregnancy anxiety. Similarly, Shea et al. (2007) [97] examined the cortisol awakening response in

pregnant women at 20 weeks gestation and reported no significant associations with self-reported

depression or anxiety. Finally, other researchers [98, 99] reported that pregnant women with high stress

levels presented with lower cortisol morning levels and a flatter diurnal decline in late pregnancy, with

associations between psychological stress and cortisol decline also being observed previously [100]. In

contrast, a recent study found that women with higher psychosocial stress had increased levels of BM

cortisol [18]. The underlying mechanisms of these opposing effects are unclear, and more studies on

glucocorticoid and mineralocorticoid receptor activity are thus needed.

This study is not without limitations. The use of a single sampling time point prevented us from

studying intra-individual variability and from exploring changes in BM bacterial profiles over time.

Moreover, the high sensitivity of the next-generation sequencing used in our analyses can detect minimal

amounts of contaminant DNA, especially in samples carrying a low bacterial load such as BM, making

it difficult to characterise BM microbes without the influence of other DNA sources (e.g. skin). Strengths

of our study include our large sample size, the consistent methods for sample collection, processing and

sequencing, and the robust statistical analysis.

In sum, we provide initial insights into the dynamics of how maternal psychobiological aspects

in pregnancy and postpartum may affect BM composition. We report that increased perinatal

psychological symptoms and prenatal salivary cortisol AUCg are associated with decreased specific

commensal bacteria, whereas enhanced BM cortisol is linked to higher BM alpha diversity and reduced

Staphylococcus. No associations were found between psychobiological parameters and BM cortisol.

Future studies exploring the consequence of these alteration on the infant gut and development are

warranted.

Conflict of interests

The authors declare that they have no conflicts of interest.
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Table legends

Table 1. Demographic and obstetric outcomes of the total study sample ($N = 100$)

Table 2. BM microbiome taxa in relation to maternal prenatal psychological symptoms ($N = 97$)

Table 3. BM microbiome taxa in relation to maternal salivary cortisol concentrations ($N = 96$)

Table 4. BM microbiome features in relation to BM cortisol concentrations ($N = 97$ BM samples for

associations with microbial taxon abundances, $N = 96$ for alpha and beta diversity measures)

Figure Legends

Figure 1. Taxonomic overview of the breast milk at genus level per sample for unrarefied data

Figure 2. Taxonomic overview of the breast milk at family level per sample for unrarefied data

878
879

Table 1. Demographic and obstetric outcomes of the total study sample ($N = 100$)

	N (%)	M ± SD	MD	IQR
Maternal characteristics at T1				
Maternal age	100 (100%)		32.50	4.00
Maternal BMI	100 (100%)		25.95	3.70
Maternal education				
Other	33 (33%)			
University/higher education	67 (67%)			
Marital status				
Single	30 (30%)			
Married	66 (66%)			
Divorced/separated	4 (4%)			
Maternal psychological parameters				
EPDS	100 (100%)		3.00	5.00
PSS-10	100 (100%)		20.00	7.00
STAI-S	100 (100%)		31.00	11.75
GHQ-12	100 (100%)		8.00	5.00
NuPDQ	100 (100%)		8.00	5.00
GAS	100 (100%)	16.78 ± 4.15		
Maternal salivary cortisol				
Mean AUCg (nmol/l)	100 (100%)		418.87	191.21
Mean total decline (nmol/l)	100 (100%)		- 4.94	3.56
Maternal characteristics at T2				
Maternal salivary cortisol				
Mean AUCg (nmol/l)	96 (96%)	436.09 ± 119.04		
Mean total decline (nmol/l)	96 (96%)		- 3.90	2.83
Maternal characteristics at T3				
Maternal psychological parameters				
EPDS	100 (100%)		3.00	6.75
PSS-10	100 (100%)	21.60 ± 5.50		
STAI-S	100 (100%)		31.50	13.75
GHQ-12	100 (100%)		9.00	5.75
Hours between last feeding and BM collection	97 (97%)		1.25	2.00
Breast used for breast milk samples	97 (97%)			
Right	41 (41%)			
Left	41 (41%)			
Both	15 (15%)			
Duration of breast milk sampling (seconds)	96 (96%)		420.00	300.00
Breast milk cortisol nmol/l	97 (97%)		11.16	9.13

Newborn characteristics at T3

Gestational age	100 (100%)	40.14	1.57
Gender			
Male	46 (46%)		
Female	54 (54%)		
Weight (grams)	100 (100%)	3412.50	563
Length (centimetres)	100 (100%)	50.00	2
Membrane rupture during labour			
Yes	91 (91%)		
No	9 (9%)		

Note: N = number of participants; M = Mean; SD = standard deviation; MD = Median; IQR = Interquartile range; EPDS = Edinburgh Postnatal Depression Scale; GHQ-12 = General Health Questionnaire; PSS-10 = Perceived Stress Scale; STAI-S = State-Trait Anxiety Inventory - State scale; GAS = Birth Anxiety Scale (Geburts-Angst-Skala); NuPDQ = revised Prenatal Distress Questionnaire.

885 **Table 2.** BM microbiome taxa in relation to maternal prenatal psychological symptoms (*N* = 97)
886

Category	Item	Variable (T1)	Kendall's tau	*p*-value	FDR
Class	Alphaproteobacteria	GAS	-0.2137	0.0028	0.0199*
Class	Alphaproteobacteria	Pregnancy-related sum	-0.1805	0.0114	0.0798*
Category	**Item**	**Variable (T3)**	**Kendall's tau**	***p*-value**	**FDR**
Class	Alphaproteobacteria	STAI-S	-0.1909	0.0079	0.0551*
Order	Propionibacteriales	STAI-S	-0.2413	0.0009	0.0173*
Order	Propionibacteriales	Postpartum sum	-0.2154	0.0028	0.0541*
Family	Propionibacteriaceae	STAI-S	-0.2413	0.0009	0.0273*
Family	Propionibacteriaceae	Postpartum sum	-0.2154	0.0028	0.0855*
Genus	Cutibacterium	STAI-S	-0.2413	0.0009	0.0419*
Genus	Pseudomonas_N	STAI-S	-0.2322	0.0019	0.0444*
Species	Cutibacterium acnes	STAI-S	-0.2488	0.0006	0.0497*
Species	Pseudomonas_N sp003640395	STAI-S	-0.2322	0.0019	0.0742*

887 Note: *p*-value = statistical significance, * *p* < .05; *FDR* = FDR-adjusted p-value; GAS = Birth Anxiety Scale (Geburts-Angst-Skala); STAI-S
888 = State-Trait Anxiety Inventory - State scale; Postpartum sum = GHQ-12, PSS-10, EPDS, STAI-S at T3; Pregnancy-related sum = NuPDQ,
889 GAS and EPDS at T1
890

892 **Table 3.** BM microbiome taxa in relation to maternal salivary cortisol concentrations (*N* = 96)
893

Category	Item	Variable (T1)	Kendall's tau	*p*-value	FDR
Class	Actinomycetia	AUCg	-0.1633	0.0180	0.0630*
Class	Clostridia	AUCg	-0.1824	0.0157	0.0630*
Genus	Stenotrophomonas	AUCg	-0.2433	0.0010	0.0481*
Species	Stenotrophomonas bentonitica_A	AUCg	-0.2557	0.0007	0.0526*
Category	**Item**	**Variable (T2)**	**Kendall's tau**	***p*-value**	**FDR**
Genus	Kocuria	AUCg	-0.2720	0.0012	0.0570*
Species	Kocuria rhizophila	AUCg	-0.2720	0.0012	0.0941*

894 Note: *p*-value = statistical significance, * *p* < .05; *FDR* = FDR-adjusted p-value; AUCg = area under the curve with respect to the ground.

896 **Table 4.** BM microbiome features in relation to BM cortisol concentrations (*N* = 97 BM samples for
897 associations with microbial taxon abundances, *N* = 96 for alpha and beta diversity measures)
898

Category	Item	Variable (T3)	Kendall's tau	p-value	FDR
Alpha Diversity	Shannon diversity index	Cortisol	0.0967	n.s	n.s
Alpha Diversity	Richness	Cortisol	0.1671	0.0176*	0.0352*

Category	Item	Variable (T3)	Sum of Squares	Pr(>F)	FDR
Beta Diversity	Bray-Curtis dissimilarity	Cortisol	0.4374	n.s	n.s
Beta Diversity	Weighted UniFrac	Cortisol	0.2497	n.s	n.s

Category	Item	Variable (T3)	Kendall's tau	p-value	FDR
Family	Gemellaceae	Cortisol	0.2040	0.0038*	0.0577*
Family	Staphylococcaceae	Cortisol	- 0.2047	0.0030*	0.0577*
Genus	Gemella	Cortisol	0.2040	0.0038*	0.0885*
Genus	Staphylococcus	Cortisol	- 0.2047	0.0030*	0.0885*

899 Note: *p*-value = statistical significance, * *p* < .05; *Pr(>F)* = *p*-value associated with the F statistic; *FDR* = FDR-adjusted *p*-value; Cortisol
900 nmol/l = BM cortisol concentration in nmol per litre.
901

Figure 1. Taxonomic overview of the breast milk at genus level per sample for unrarefied data. The

numbers in parentheses refer to the number of ASVs aggregated for a given taxon. Bar plots display the relative abundance of the top 10 taxa

with the highest average abundance across all samples. Light grey (Other) indicates the total relative abundance of ASVs that are not in the top

10 most abundant taxa; BM= breast milk.

Figure 2. Taxonomic overview of the breast milk at family level per sample for unrarefied data. The

numbers in parentheses refer to the number of ASVs aggregated for a given taxon. Bar plots display the relative abundance of the top 10 taxa

with the highest average abundance across all samples. Light grey (Other) indicates the total relative abundance of ASVs that are not in the top

10 most abundant taxa; BM= breast milk.