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3	Validity of Optical Heart Rate Measurement in Commercially Available
4	Wearable Fitness Tracking Devices
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19 Abstract

20 **Background:** Wearable fitness tracking devices have risen in popularity for athletes and the general 21 population and are increasingly integrated into smartwatch technology. Many devices incorporate 22 optical heart rate (HR) measurement by photoplethysmography which provides data used to monitor 23 and track exercise training intensities, progress, and other health and fitness related parameters. 24 **Objective:** To determine the validity of optical heart rate measurement in three fitness tracking devices 25 while resting, walking, and running. 26 Methods: Twenty subjects (10 male, 10 female) completed the research study based on the ANSI/CTI 27 standards for physical activity monitoring of heart rate under 4 different conditions: sedentary (SED), 28 treadmill walking (WLK), running (RUN), and dynamic running/walking (DYN). Subjects wore 3 optical 29 heart rate devices: Polar OH1 (OH1) on the right forearm, Apple Watch 4 (AW4) on the right wrist and 30 Garmin Forerunner 945 (FR945) on the left wrist. A Polar H10 (H10), a chest strap device, was the 31 criterion HR measurement device. SED, WLK, and RUN were all 7-minute protocols with 1 minute of 32 standing, 5 minutes of prescribed activity, and 1 final minute of standing. The DYN protocol was a 12-33 minute protocol with 1 minute of standing, 10 minutes of variable intensity walking and running, and 1 34 minute of standing. Raw HR data was extracted from each device and temporally aligned with the 35 criterion H10 HR data for analysis.

36 Results:

The mean absolute deviation (MAD, measured in beats per minute) for the three experimental devices (OH1, AW4, FR945, respectively) for SED was 1.31, 1.33, and 2.03; for WLK was 2.79, 2.58, and 5.19; for RUN were 4.00, 4.29, and 6.51; and for DYN was 2.60, 2.44, and 2.44. The mean absolute percent error (MAPE) for the three experimental devices (OH1, AW4, FR945, respectively) for SED was 1.78%, 1.89%,

41	and 2.81%; for WLK was 3.15%, 3.18%, and 5.93%; for RUN was 3.43%, 3.51%, and 5.25%; and for DYN
42	was 2.05%, 1.95%, and 5.47%. The intraclass correlation for each device across all conditions was .991
43	(OH1), .984 (AW4), and .697 (FR945).
44	Conclusions: At rest, and during both steady-state and variable-speed treadmill walking and running, the
45	Polar OH1, Garmin Forerunner 945, and Apple Watch 4 optical HR monitors demonstrated a level of
46	accuracy well within that required by the ANSI/CTA Standard (2018) for physical activity monitoring
47	devices for heart rate measurement (i.e., <10% Mean Absolute Percent Error). Therefore, consumers
48	can have confidence that these devices provide HR data with accuracy that conforms to the
49	performance criteria recommended for consumer electronics.
50	Keywords: photoplethysmography; heart rate monitor; smartwatch; fitness tracking device
51	Introduction
52	Background
53	Wearable fitness tracking devices have risen in popularity over the past decade and have been the top
54	fitness trend numerous years while approaching nearly a \$100 billion industry (1). These devices were
55	initially developed as either rudimentary mechanical pedometers attached to a shoe or waist band, or

56 electrode chest strap heart rate monitors that are often deemed uncomfortable and cumbersome. As

57 technology has advanced, wearable fitness devices have integrated improved technologies including

58 GPS, accelerometers, altimeters, and photosensors. Further, they are increasingly integrated into more

59 user-friendly and comfortable devices, specifically wrist-worn watches, and arm bands. As heart rate

60 (HR) monitoring is arguably the key component of fitness monitoring, a principle technological advance

61 has been the integration of photoplethysmography (PPG), which uses a light emitting diode and

62 photosensor to measure microvascular blood volume changes which is consequently associated with

63 heart rate (2).

The advancement and integration of PPG technology into wrist-worn devices has granted the end-user 64 65 with a wealth of information including caloric expenditure, oxygen consumption (VO_2), heart rate 66 variability, sleep patterns, recovery, and training intensity. All this information provided to users is based on manufacturer-specific algorithms computed from heart rate collected via PPG technology. 67 68 Therefore, the validity of the heart rate measurement from these PPG devices is of key importance. 69 Several studies have been completed to assess the validity of a variety of activity tracking devices which 70 use the PPG technology. Although several devices, including the OH1, Apple Watch series, and Garmin 71 Forerunner series, have been deemed valid, the results of the studies must be interpreted narrowly as 72 various methodological differences or concerns exist between studies. As device availability has grown 73 immensely and rapidly, the current body of research lacks results that can more confidently discern the

validity of the devices across the general population.

75 The OH1 has been previously studied and was deemed valid for moderate and high intensity activities 76 (3, 4), appeared more valid compared to a wrist-based device by the same manufacturer(5), and 77 showed decreased validity with arm-based activities (i.e. tennis) (6). A key limitation of these studies is 78 the application of the study results to the wider population as the studies lacked balanced diversity in 79 either BMI, skin tone, or sex. Likewise, studies using the Apple Watch series have suggested device 80 validity, but different methodological issues exist. The methodological concerns were comprised of 81 various issues such as recording heart rate from a single timepoint (7), using a model of tachycardia (8), 82 implementing a single subject design (9), or failing to report key validity metrics such as MAD, MAPE, 83 and ICC (10). Additionally, these studies also lacked the diversity in key subject demographics, similar to 84 the limitations with OH1 research. The Garmin Forerunner series has a very limited amount of 85 information available in the literature. The existing data has either suggested poor validity in prior 86 versions to the FR945 (7) or has suffered from methodological issues related to heart rate recording 87 frequency (11).

88	Aside from specific device validity, the current body of research for all PPG activity tracking devices
89	suffers from numerous methodological differences that limits our ability to apply the results to the
90	general population. Existing studies generally lack cohesion between different exercise types,
91	intensities, and durations. Some studies have been completed to assess the validity of a single device
92	across multiple exercise modalities (4), while others have researched numerous devices across a variety
93	of intensities and exercise modalities, but with shorter data recording times (12). More recent studies
94	have investigated multiple devices, but intensity was extremely high and duration extremely short (13).
95	Other studies have utilized different modes of exercise but lacked varying levels of intensity within the
96	modes (14, 15). A recent study implemented activity modes and intensity with a better variation, but
97	only incorporated a single demographic (Caucasian) in the subject group (10)
98	The lack of variation in subject demographics is visible across many studies. Variations in skin tone, BMI,
99	sex, and age have been suggested as potential confounding factors to proper validity testing for PPG
100	technology. Variations in skin tone appears to affect validity as use of a typical green-light LED diode
101	(often integrated into many devices) has resulted in a 1.04 BPM error rate in light-skinned individuals,
102	and as much as a 10.9 BPM error in dark-skinned individuals (16). There is also evidence to suggest that
103	as BMI increases, PPG waveform can change as much as 43% between obese and non-obese individuals
104	(17). Some studies have attempted to address these concerns but have had limitations. For instance, a
105	recent study did investigate skin tone and PPG using an Apple Watch, but the study subjects only
106	represented 3 of the 6 Fitzpatrick skin tone designations (18). Another study had all 6 skin tones
107	represented, but only had 10 subjects total such that certain skin tones were only represented by a
108	single subject (19). Additionally, few studies have specifically recruited subjects to represent a diversity
109	in BMI or gender.

Recently, the American National Standards Institute (ANSI) and Consumer Technology Association (CTA)
 developed the ANSI/CTA standards for investigating the validity and reliability of consumer electronic

- 112 fitness devices. These standards provide a consistent, balanced, and equitable basis for subject
- selection and activity parameters so that consumer devices can be evaluated in a standardized manner.
- 114 The activity parameters outline optimal intensity levels and duration for different modes of activity.
- 115 Additionally, subject selection requirements ensure a diverse population relative to age, gender, body
- 116 mass, and skin tone or complexion.

117 Study Objective

- 118 PPG technology is being widely implemented to determine HR in an increasing number of devices to
- appeal to a broader market of consumers globally. As such, it is important to determine if the existing
- 120 device validity evidenced by previous studies is representative of a diverse population and activities or if
- 121 the results can only be applied to the limited subject demographics and activities of the respective
- 122 studies. Therefore, the purpose of this study is to evaluate the heart rate measurement validity of three
- 123 consumer photoplethysmographic heart rate monitors compared to an accepted criterion device in
- accordance with current standards of ANSI/CTI.

125 Methods

126 Participants

- 127 Twenty healthy subjects (10 males and 10 females) voluntarily completed the study. Subject
- 128 characteristics are presented in Table 2. All subjects were educated on the risks of the procedures and
- 129 gave informed consent prior to the start of the protocols. Subjects were recruited verbally from faculty,
- 130 staff, and students within the university or by e-mail through a local running club. The study was
- approved by the Institutional Review Board of Georgia State University.

132 Devices

- 133 Four heart rate measurement devices, three experimental and one criterion device, were used for this
- 134 study. All devices were updated with the most recent software and firmware prior to the start of the

135	study. No further updates were installed on the devices during the data collection period so that
136	firmware and software remained consistent throughout the study. The criterion device was the Polar
137	H10 (H10; Firmware 3.0.50, Polar Electro, Kempele, Finland), an electrode chest-strap heart rate
138	monitor. The Polar H10 uses existing technology from its predecessor Polar H7 which has been
139	validated as above 99% accurate compared to ECG in previous studies (20). The three PPG experimental
140	devices were the Polar OH 1 (OH1; Firmware 2.0.10, Polar Electro, Kempele, Finland), Apple Watch 4
141	(AW4; Watch OS 5.3.2, Apple, Inc., Cupertino, California) and Garmin Forerunner 945 (FR945; Firmware
142	2.80, Garmin Ltd., Schaffhausen, Switzerland). The device placement locations were consistent between
143	subjects with OH1 located on the right anterior forearm, AW4 on the right wrist, GF945 on the left wrist.
144	The H10 was fitted on the anterior thorax at the level of the xiphoid process with conduction gel to
145	ensure signal transmission.

146 Procedures

147 Data collection for each subject was completed in a single session and devices were not moved from 148 their specific placement location throughout the entirety of the session. Subjects arrived at the Applied 149 Physiology Laboratory at Georgia State University or the headquarters of a local running club according 150 to their preferred location. After subjects completed informed consent, investigators recorded 151 anthropometric information including subject-described Fitzpatrick score for skin tone, body mass via 152 calibrated digital scale, body fat percentage via 3-site skinfold test, age, and sex. Subjects were then 153 verbally informed of the study protocol, which was a running and walking protocol completed on 154 Woodway treadmills, a Pro XL at the university laboratory and a Desmo S at the local running club 155 (Woodway USA, Inc., Waukesha, WI). Subjects reported a general training intensity level (intensity) 156 described as moderate, high, very high, or elite intensity based upon personal preference and abilities. 157 Walking and running intensities were then assigned by investigators based on this information. Details 158 about the intensity levels are depicted in Table 1.

159 Table 1. Treadmill Intensities

	Intensity							
Testing Condition	Moderate	<u>High</u>	<u>Very High</u>	<u>Elite</u>				
2 - Steady State Walk	2.5 MPH	2.7 MPH	3.0 MPH	3.3 MPH				
3 - Steady State Run	5.0 MPH	6 MPH	7.0 MPH	8.0 MPH				
4 - Dynamic (Run/Faster/Fastest)	5/5.5/6.0 MPH	6/6.7/7.3 MPH	7/7.7/8.3 MPH	8/8.7/9.3 MPH				

160

161 Testing Conditions

162 For each subject, data collection was completed for all 4 testing conditions in a single session. Each 163 testing condition included 1 minute of quiet sitting both prior to and after the treadmill protocol. SED, 164 WLK, and RUN were 7 minutes in length, including the quiet sitting. DYN was 12 minutes in length, 165 including the quiet sitting. For SED, subjects remain seated and motionless for 5 minutes. For the WLK 166 and RUN, subjects completed 5 minutes of activity at the assigned treadmill speed intensity, which 167 investigators set manually for each trial. For DYN, a time-based running and walking protocol, each 168 treadmill was identically pre-programmed with 4 different programs to adjust speed at specific time 169 intervals according to the assigned intensity as seen in Table 1. Walking speed during DYN matched the 170 same intensity speed as WLK condition. Between each testing condition, subjects rested for 5 minutes 171 to allow heart rate to return to normal.

172 Data Acquisition

Data from the OH1 and H10 were transmitted from the device via Bluetooth to an iPad Mini running the
Performtek app (Valencell, Inc. Raleigh, North Carolina). The Performtek app allows for connection of
multiple devices and records device data, including heart rate, for side-by-side comparison. Data from

the AW4 was downloaded to RunGap software (CTRL-N ApS, Skødstrup, Denmark) which was then
converted to .csv format and imported to Excel. The AW 4 could not be adjusted to record at a specific
frequency and required manual data alignment with the same time points of the criterion device for
proper analysis. The GF945 data were downloaded as a raw data file (.tcx file) via device sync with
Garmin Connect. The H10, OH1, and GF 945 were all programmed to record heart rate at 1 Hz. OH1,
H10, and GF945 data were then converted to .csv and imported into a Microsoft Excel (Microsoft
Corporation, Redmond Washington) spreadsheet for analysis.

183 Statistical Analysis

184 After being organized in Excel, data were imported into SPSS 27 (SPSS; IBM Corporation, Armonk, NY) 185 for further analysis. Mean Absolute Difference (MAD) and Mean Absolute Percent Error (MAPE) were 186 calculated for each device for each protocol in Excel. T-tests for the difference between experimental device and criterion device for each stage of each protocol were conducted in SPSS to determine mean 187 188 difference and standard deviation. Pearson's R correlation and intraclass correlation (ICC) were 189 calculated to determine general correlation between devices and absolute agreement between devices, 190 respectively. Lastly, Bland-Altman plots were created with mean bias and upper and lower limits of 191 agreement. ANSI/CTA standards deem any device with a MAPE \leq 10% as valid.

192 Results

193 Subject Characteristics

194 Basic subject characteristics are presented in Table 2. Recruitment of the subject population was

195 coordinated to adhere to the ANSI/CTA standards for device research such that the minimum

- 196 percentages of subjects met criteria for Body Mass Index (BMI), Fitzpatrick Score (i.e., skin tone), and
- 197 sex. The standards as of the ANSI/CTI-2065 were (over the age of 18), sex (no less than 40%
- 198 male/female), skin tone (minimum 25% from lighter scale and minimum 25% from darker scale), and

- body mass (minimum 10% above 25 kg/m² and minimum 10% below 20 kg/m²). Additionally, a
- 200 minimum of 20 subjects is recommended.

201 Table 2. Subject characteristics

<u>Sex</u>	<u>BMI</u> (kg/m^2)	Fitzpatrick Score 1-3 (n)	Fitzpatrick Score 4-6 (n)	<u>Height</u> (m)	<u>Weight</u> (kg)	<u>Body Fat</u> <u>(%)</u>
Male (n = 10)	24.86	6	4	1.73	74.54	13.23
Female (n = 10)	23.72	8	2	1.64	63.62	28.01
All Subjects	24.3	14	6	1.7	69.1	20.6

202

203 General Device Results

204 Results for all devices can be seen in Tables 3 and 4. More detailed device results based on specific test 205 conditions can be seen in Appendix 1. Both MAD and MAPE are device HR to criterion HR comparisons 206 for all subjects during the entire 7 or 12 minutes of each testing condition. The 7-minute testing 207 conditions had approximately 420 data points (HR measurements) per subject and the 12-minute testing 208 conditions had approximately 720 data points per subject. As the AW4 did not allow for 1Hz HR 209 recording, data points were fewer resulting in approximately 220 data points per subject for the 7-210 minute protocols and approximately 365 data points per subject for the 12-minute protocol. MAPE 211 must be \leq 10% to be considered valid according to ANSI/CTA-2065 standards. Using this thireshold, 212 each device was considered valid for each condition tested, although the devices did produce differing 213 results for both MAD and MAPE. Bland-Altman plots for each device's data aggregated across all 214 conditions can be seen for AW4, FR945, and OH1 in Figures 1, 2, and 3, respectively. Device by test 215 condition Bland-Altman plots can be seen in Appendix 1.

216 Table 3. Mean Absolute Deviation (MAD)

Protocol	Polar OH1	Apple Watch 4	Garmin FR945
Sedentary	1.31	1.33	2.03
Walk	2.79	2.58	5.19
Run	4.00	4.29	6.51
Dynamic	2.60	2.44	7.18

217

218 Table 4. Mean Absolute Percent Error (MAPE)

Protocol	Polar OH1	Apple Watch 4	Garmin FR945
Sedentary 1.78%		1.89%	2.81%
Walk	3.15%	3.18%	5.93%
Run	3.43%	3.51%	5.25%
Dynamic	2.05%	1.95%	5.47%

219

220 Polar OH1

The OH1 resulted in a MAD between 1.31 (SED) and 4.00 (RUN) with a MAPE between 1.78% (SED) and

222 3.43% (RUN). The Bland Altman plot for the OH1 can be seen in Figure 1. The LoA for the OH1 ranged

between -9.406 and 10.586 with a mean bias of .59. The ICC of the OH1 was .991 with 95% CI of .992

224 and .991.

225 Fig 1. Bland-Altman Plot of all protocols for Polar OH1 Mean bias of 0.59 with upper and lower limits of

agreement of 10.586 and -9.406, respectively.

227 Apple Watch 4

- The AW4 produced a MAD between 1.33 (SED) and 4.29 (RUN). The MAPE for the AW4 ranged between
- 1.89% (SED) and 3.51% (RUN). The Bland Altman plot for the AW4 for all protocols (SED, WLK, RUN,
- 230 DYN) can be seen in Figure 2. The overall Limits of Agreement (LoA) ranged from to -13.314 to 13.974

- with a mean bias of .33. Intraclass Correlation (ICC) was high at .990 with a 95% Confidence Interval (CI)
- of .990 and .989, upper and lower, respectively. Pearson's r was .990.

233 Fig 2. Bland-Altman Plot of all protocols for Apple Watch 4 Mean bias of 0.33 with upper and lower

limits of agreement of 13.974 and -13.314, respectively.

235 Garmin Forerunner 945

- The FR945 results yielded a MAD between 2.03 (SED) and 7.18 (DYN) with a MAPE range between 2.81%
- 237 (SED) and 5.93% (WLK). The Bland Altman plot for all protocols can be seen in Figure 3. The FR945
- produced LoA between -17.269 and 20.469 with a mean bias of 1.6. The ICC was .967 with a 95% CI of
- 239 .970 and .965. Pearson's r was .969.
- Fig 3. Bland-Altman Plot of all protocols for Garmin Forerunner 945 Mean bias of 1.60 with upper and
- lower limits of agreement of 20.469 and -17.269, respectively.
- 242 Discussion

243 ANSI/CTA Standards Validity

- 244 The principal findings of our study were that HR measurement via PPG technology in the Polar OH1,
- Apple Watch 4, and Garmin Forerunner 945 met the criteria to be considered valid by the ANSI/CTA
- standards. All three devices had a MAPE <10% while being evaluated across a broad subject group
- 247 comprised of adequate representation across various skin tones, BMI levels, and sex.
- 248 Over the past two decades, wearable fitness devices have progressed in both use and functionality
- resulting in a broad range of options for consumers. A major advancement is the integration of PPG
- technology into the devices. By establishing the proper color of the light-emitting diode and refining
- 251 proprietary algorithms, manufacturers can now provide end-users with myriad physiological information

252 in a single device without the need for a chest strap. The devices used in this study all use similar PPG 253 technology, primarily differing in only the number of diodes and the manufacturer's unique algorithms. 254 The development of the ANSI/CTA standards for determining device validity defines a framework that 255 generally allows for a more equitable and diverse application of the device characteristics to the total 256 population. This study represents one of the first studies that has developed the study design in strict 257 accordance with the ANSI/CTA standards. Subject selection was not random, but instead, individuals 258 were specifically recruited to meet the minimum percent of subject group standards such that age, sex, 259 skin tone and BMI were all adequately represented in the subject group. Additionally, exercise 260 conditions were specifically designed to adhere to the standards, and subject input was utilized to 261 appropriately set intensities across a very diverse group of subjects. Although specific analysis of 262 appropriate intensity matching is beyond the scope of this research, visual analysis of the data suggests 263 that all subjects performed each test condition in alignment with the information provided. Therefore, 264 by implementing a strict study design and appropriately selecting subjects based on the prescribed 265 framework, the results of this study can be broadly applied to the general population.

266 Comparison with Previous Studies

267 Previous studies have attempted to determine validity for various PPG devices, although to our 268 knowledge, this is the first study to strictly apply the ANSI/CTA standards to subject selection and study 269 design. The devices in this study have been directly and indirectly studied in conjunction with other 270 devices or using different methodologies. As the consumer electronics market is constantly progressing 271 and new devices are introduced to consumers fairly frequently, direct device comparison is limited and 272 requires inclusion of different versions or generations of the devices. Although device manufacturers 273 have been researched extensively during the past 6 to 7 years, precise comparison between this study's 274 devices and previous research is very limited. Of the devices tested in this study, the OH1 has had been

275 researched the most. This is most likely because the OH1 has stayed consistent during its lifetime
276 whereas other products have had generational changes or complete updates to the product line. The
277 original OH1 was released in 2017 with only one major upgrade to the OH1 Plus (allowed ANT+
278 communication). At the same time, Apple has released 4 different Apple Watches, and Garmin
279 progressively released new watches in the Forerunner series with the FR945 being released in late 2019.
280 *Polar OH1*

281 Multiple studies have previously provided ample evidence of the validity of the OH1. Schubert et al. 282 found the mean bias to be slightly higher than the current study (.59 versus .76) but a narrower LoA 283 (-9.406 and 10.586 versus -3.83 to 5.35), but is limited in application as the study compared only a 284 mean heart rate for a yoga session while also suffering from unbalanced subject sex selection (n=15, 3 285 males) with limited BMI and Fitzpatrick Scale variation (3) A more recent study found a lower mean bias 286 (.27) and narrower LoA (-4.68 to 5.22) than the current study (4). Direct comparison is difficult as 287 subjects the previous study noted all subjects held the handrail potentially decreasing any motion 288 artifact, and the study also lacked any diversity with Fitzpatrick Scale and BMI. A 2019 study assessing 289 different activities resulted in lower biases for walking and running (.18 versus .41 and .37 versus 1.28, 290 respectively) but this study was biased towards males (n=70, males = 54), did not report BMI, and 291 although it referenced skin tone, specific subject representation of skin tone levels was not reported (6). 292 Additionally, A more recent study has further confirmed the validity of the OH1 in various activities, and 293 across all activities found a higher mean bias (1 versus .59), a broader LoA (-20 to 19 versus -9.406 to 294 10.586) with a lower r (.957 versus .991) compared to the current study, but like other studies lacked 295 subject information about skin tone and BMI (13).

296 Apple Watch 4

Apple regularly releases new products on an annual basis. As such, direct assessment of the AW4 is
difficult, but evaluation of previous versions is available in the research. Dooley at al. evaluated the

299	first-generation Apple Watch across a wide range of BMI and exercise intensities finding a higher MAPE
300	for walking (5.60% versus 3.18%) and running (6.70% versus 3.51%) compared to the current study (7).
301	Although the study utilized different treadmill walking and running intensities, the heart rate data was
302	only recorded for a single time point and Fitzpatrick Scale was not recorded. In 2019 Hwang et al.
303	researched the Apple Watch 2, revealing a much tighter LoA (-6.0 to 3.9 versus -13.314 to 13.974) but a
304	slightly lower mean bias (-1.0 versus .330) than the current study, but Hwang used a model of
305	tachycardia with electrical pacing so direct comparisons are difficult to determine (8). Nelson et al.
306	released research concerning the Apple Watch 3 in 2019 (9), finding a higher mean bias (1.80 versus
307	.330) and higher MAPE (5.86% versus 2.63%) than our study but Nelson's study was a single-subject
308	free-living design comparing different devices. Lastly, Duking et al. investigated the AW4 but the
309	authors did not calculate key validity metrics (MAD, MAPE, ICC) with only a slightly lower r (.97 versus
310	.984) available for comparison to the current study (10) . Only one of these studies actively recruited
311	subjects with skin tone variations, but the delineation was limited to white and non-white and
312	ethnicity/race, not a skin tone scale (7).

313 *Garmin Forerunner 945*

FR945 validity data is lacking in the literature. The prior device-specific research that is available
generally concerns the Forerunner 235 versus this study's 945. In Dooley's 2017 study, the Garmin
Forerunner 235 had large deviations from the criterion HR with as high as MAPE of 24.38% (2.81% to
5.93% for the current study). In 2019, Stove et al. also completed research on Garmin Forerunner 235
validity revealing much lower ICC values (.480 to .905 versus .895 to .973) compared to the current
study, but had a limited number of heart rate data points as data was only recorded once per minute
(11).

321 Device Differences

Although all devices tested were deemed valid, differences in MAD and MAPE for different devices did exist. Whether these differences are functionally important is determined by the consumer. In respect to the criterion, the OH1 and AW4 tended to have lower differences for MAD and MAPE values, as well as a higher ICC and narrower CI compared to the FR945. Similarly, the ICC and r were lower for the FR945 compared to the other two devices with the OH1 having a very slightly higher ICC and r than the AW4.

328 The reasons for these differences could be due to multiple factors. First, as previously mentioned, the 329 devices all differ for functionality and intended use. Secondly, although each device was worn according 330 to manufacturer's specifications, devices differed in wristband/armband material and the size of the 331 recording device. The FR945 and AW4 are both wrist-worn monitors but differing styles and materials 332 of the wristbands resulted in slightly different fitment for the devices on individual subjects due to 333 variation in wrist diameter. The OH1 had the smallest recording device and was secured to the lower 334 arm via a fabric elastic band. Although the technology for the PPG light-emitting diode, appears to be similar between devices, individual devices variances between the number of diodes and spacing of 335 336 diodes is visually apparent. The most likely reason for the differences, though, is the manufacturer-337 specific algorithm that converts the PPG raw data to heart rate information. Other differences in 338 proprietary technology, such as the device specific hardware and software for recording and processing 339 also presumably exist.

340 Limitations

Although this study was conducted according to the current ANSI/CTA standards, certain limitations do
 exist. First, the subject group (n=20) is considered the minimum subject group size and minimum
 percentage for the specific parameters of BMI and Fitzpatrick Scale. Although adequate for ANSI/CTA

344 standards, future studies should consider a larger subject group so that those two parameters can be 345 more intricately analyzed within the total subject group. Additionally, the results of this study can only 346 be applied to the specific devices and their corporation-specific algorithms to compute heart rate from 347 PPG signals. As the technology continues to advance, it is plausible that the corporations will refine the 348 algorithms in attempts to improve validity. Lastly, the ANSI/CTA standards place limitations on the 349 subject group such that individuals with tattoos in the sensor location should not be included in the 350 study due to presumed alterations in how the photosensor reads the reflection of the capillary beds. As 351 it can be argued that tattoos on the arm and wrist have become popularized as of late, the validity of 352 these devices cannot be confirmed in this subgroup.

353 Conclusions

354 As consumers are consistently utilizing a variety of devices to track health metrics which rely on heart 355 rate measurements, it is vital that the PPG recording technology and manufacturer proprietary 356 algorithms properly represent the actual heart rate of the individual. As the end-consumer of these 357 devices represents a wide range of subject characteristics, it is equally important that the devices 358 correctly record heart rate across variations in age, skin tone, sex, and BMI. By utilizing the ANSI/CTA 359 standards for heart rate recording devices, this and future studies can be more confident that the data 360 recorded by the device can be utilized confidently by the majority of the population. In this study, one 361 of the first to implement a study design in accordance with the ANSI/CTA standards, the Polar OH1, 362 Apple Watch 4, and Garmin Forerunner 945 were all deemed valid in their measurement of heart rate. 363 Consumers of various age, sex, body composition, and skin tone can be confident that the heart rate 364 data presented to them is within a strict range for validity and represents their unique characteristics.

365

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372 Conflict of Interest

373 The authors state no conflict of interests.

374

375 Abbreviations

- 376 ANSI/CTA: American National Standards Institute/Consumer Technology Association
- 377 AW4: Apple Watch 4
- 378 BPM: Beats per minute
- 379 GF945: Garmin Forerunner Multi-function watch
- 380 H10: Polar H10 Chest Strap Heart Rate Monitor (criterion)
- 381 ICC: Intraclass correlation
- 382 MAD: Mean Absolute Deviation
- 383 MAPE: Mean Absolute Percent Error
- 384 OH1: Polar OH1 Armband Heart Rate Monitor
- 385 PPG: Photoplethysmography
- 386
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442 Appendix 1.

443 Polar OH1 Detailed Results

Polar OH1	<u>SED</u>	<u>WALK</u>	<u>RUN</u>	<u>DYN</u>	<u>ALL</u>
MEAN	0.290	0.410	1.280	0.470	0.590
SD	2.015	4.817	8.398	3.778	5.100
LoA Upper	4.239	9.851	17.740	7.875	10.586
LoA Lower	-3.659	-9.031	-15.180	-6.935	-9.406
LoA Range	7.899	18.883	32.920	14.810	19.992
ICC	0.989	0.957	0.965	0.993	0.991
95% Confidence Lower	0.989	0.955	0.962	0.993	0.991
95% Confidence Upper	0.990	0.959	0.967	0.993	0.992
Pearson's R	0.990	0.958	0.966	0.993	0.991

444

445 Fig 4. Bland-Altman Plot of Polar OH1 Sedentary Protocol. Mean bias of 2.015 with upper and

lower limits of agreement of 4.239 and -3.659, respectively.

447 Fig 5. Bland-Altman Plot of Polar OH1 Walking Protocol. Mean bias of 4.817 with upper and

- 448 lower limits of agreement of 9.851 and -9.031, respectively
- 449 Fig 6. Bland-Altman Plot of Polar OH1 Running Protocol. Mean bias of 8.398 with upper and
- 450 lower limits of agreement of 17.740 and -15.180 respectively.

451 Fig 7. Bland-Altman Plot of Polar OH1 Dynamic Protocol. Mean bias of 3.778 with upper and

452 lower limits of agreement of 7.875 and -6.935, respectively.

453 Apple Watch 4 Detailed Results

Apple Watch 4	<u>SED</u>	<u>WALK</u>	<u>RUN</u>	DYN	ALL
MEAN	0.170	-0.880	1.580	0.420	0.330
SD	2.020	4.500	12.257	5.589	6.961
LoA Upper	4.129	7.940	25.604	11.374	13.974
LoA Lower	-3.789	-9.700	-22.444	-10.534	-13.314
LoA Range	7.918	17.640	48.047	21.909	27.287
ICC	0.990	0.960	0.926	0.985	0.984
95% Confidence Lower	0.989	0.956	0.921	0.984	0.984
95% Confidence Upper	0.990	0.965	0.931	0.985	0.984
Pearson's R	0.990	0.962	0.927	0.985	0.984

454

455 **Fig 8. Bland-Altman Plot of Apple Watch 4 Sedentary Protocol.** Mean bias of 2.020 with upper

and lower limits of agreement of 4.129 and -3.789, respectively.

457 Fig 9. Bland-Altman Plot of Apple Watch 4 Walking Protocol. Mean bias of 4.500 with upper

and lower limits of agreement of 7.940 and -9.700, respectively.

459 Fig 10. Bland-Altman Plot of Apple Watch 4 Running Protocol. Mean bias of 12.257 with

- 460 upper and lower limits of agreement of 25.604 and -22.444, respectively.
- 461 Fig 11. Bland-Altman Plot of Apple Watch 4 Dynamic Protocol. Mean bias of 5.589 with upper
- and lower limits of agreement of 11.374 and -10.534, respectively.

Garmin FR945	SED	<u>WALK</u>	RUN	<u>DYN</u>	<u>ALL</u>
MEAN	1.020	-0.710	2.740	2.620	1.600
SD	3.106	7.590	11.158	11.760	9.627
LoA Upper	7.108	14.166	24.610	25.670	20.469
LoA Lower	-5.068	-15.586	-19.130	-20.430	-17.269
LoA Range	12.176	29.753	43.739	46.099	37.738
ICC	0.973	0.895	0.934	0.922	0.967
95% Confidence Lower	0.963	0.890	0.922	0.912	0.965
95% Confidence Upper	0.979	0.900	0.943	0.931	0.970
Pearson's R	0.975	0.896	0.938	0.930	0.969

464 Garmin Forerunner 945 Detailed Results

465

466 **Fig 12. Bland-Altman Plot of Garmin Forerunner 945 Sedentary Protocol.** Mean bias of 3.106

467 with upper and lower limits of agreement of 7.108 and -5.068, respectively.

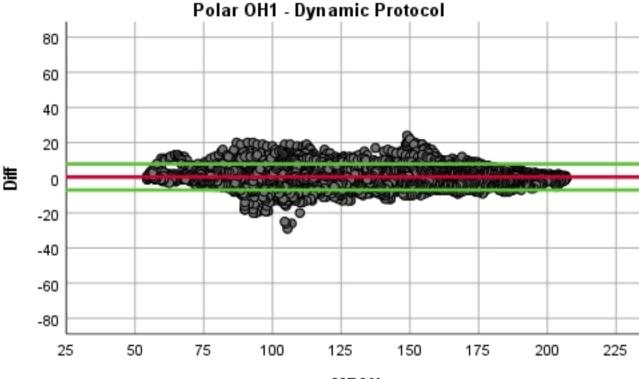
468 **Fig 13. Bland-Altman Plot of Garmin Forerunner 945 Walking Protocol.** Mean bias of 7.590

with upper and lower limits of agreement of 14.166 and -15.586, respectively.

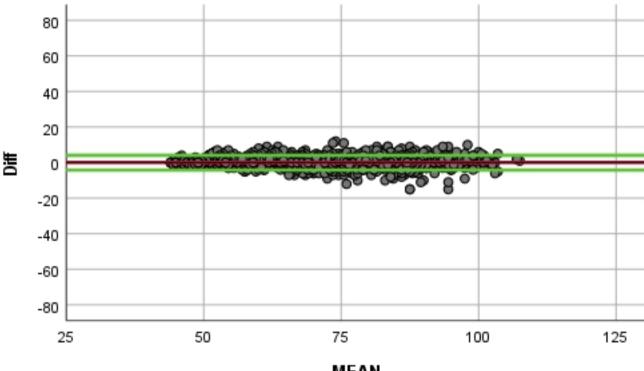
470 Fig 14. Bland-Altman Plot of Garmin Forerunner 945 Running Protocol. Mean bias of 11.158

- 471 with upper and lower limits of agreement of 24.610 and -19.130, respectively.
- 472 Fig 15. Bland-Altman Plot of Garmin Forerunner 945 Dynamic Protocol. Mean bias of 11.760
- 473 with upper and lower limits of agreement of 20.469 and -17.269, respectively.

BA OH1 DYN

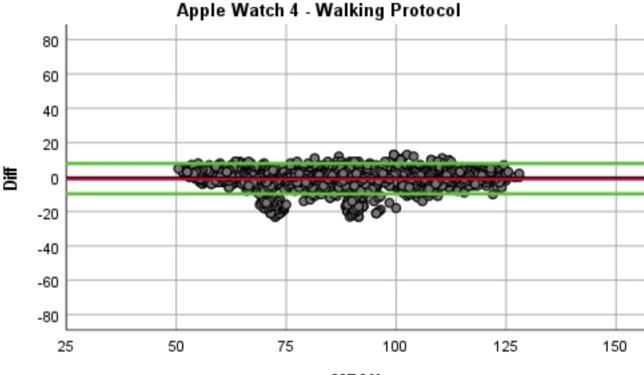


Apple Watch 4 - Sedentary Protocol



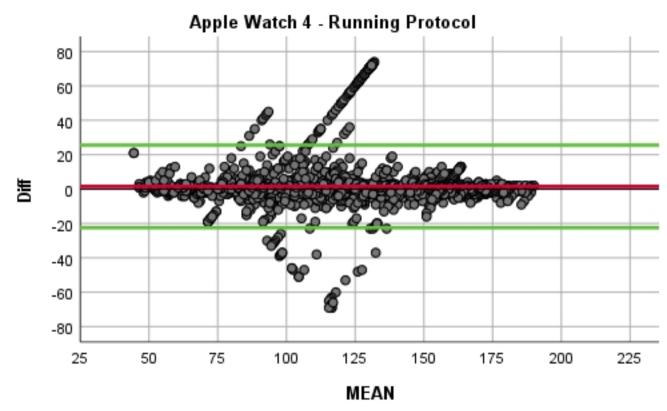
MEAN

BA AW4 Sed



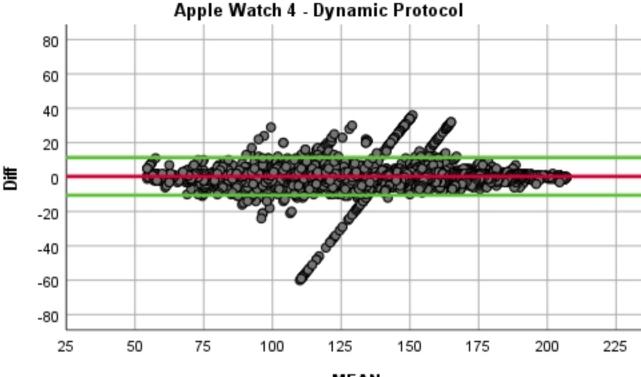
MEAN

BA AW4



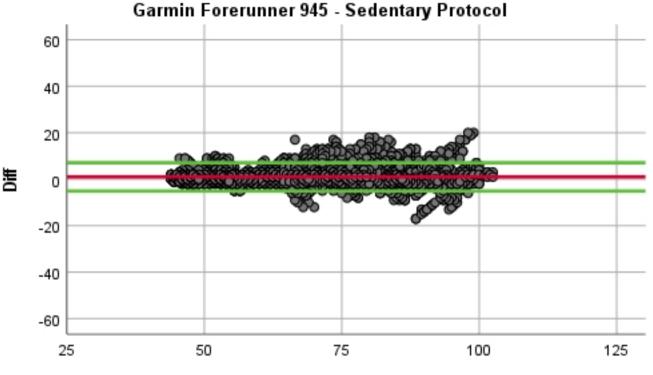
BA AW4 RUN

BA AW4 DYN

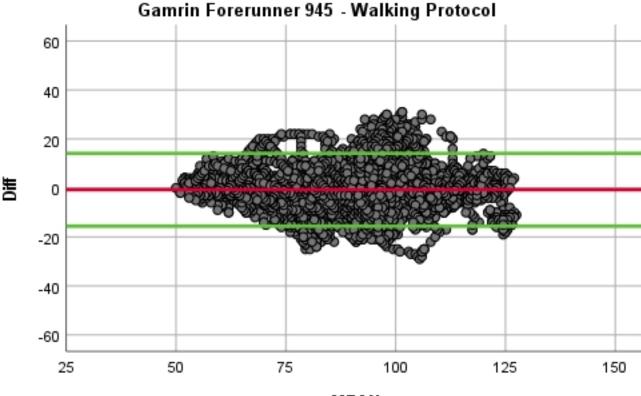


BA FR945 Sed

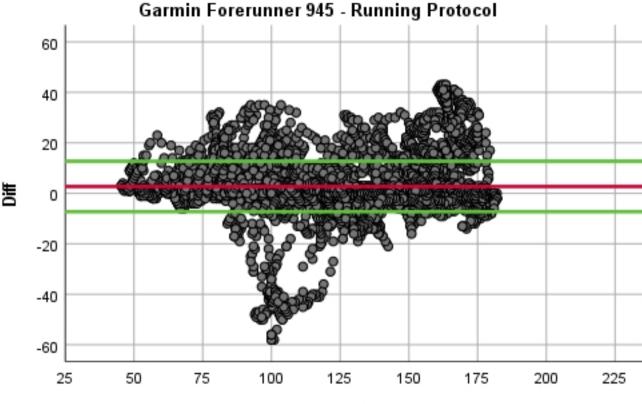




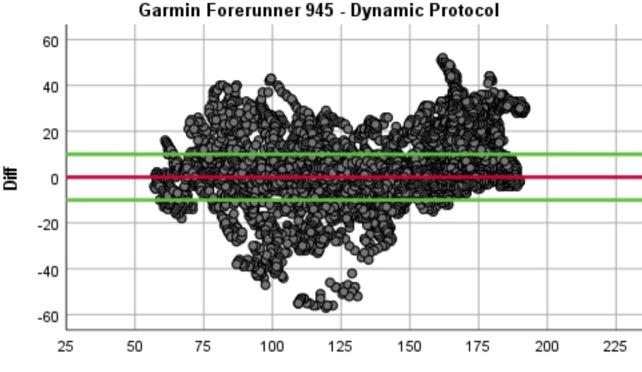
BA FR945 WLK



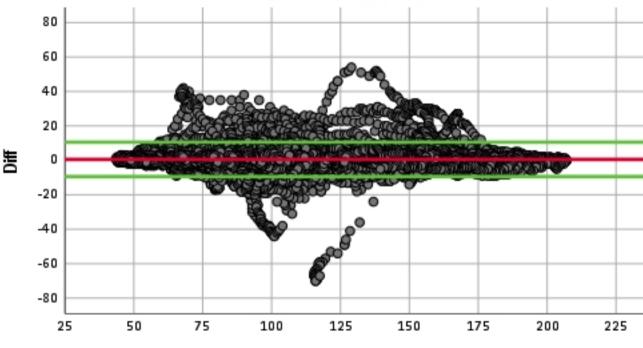
BA FR945 RUN



BA FR945 DYN

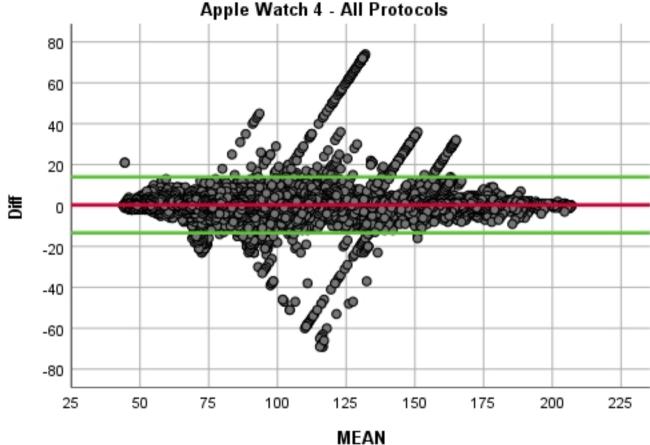


Polar OH1 - All Protocols



MEAN

BA Plot All OH1

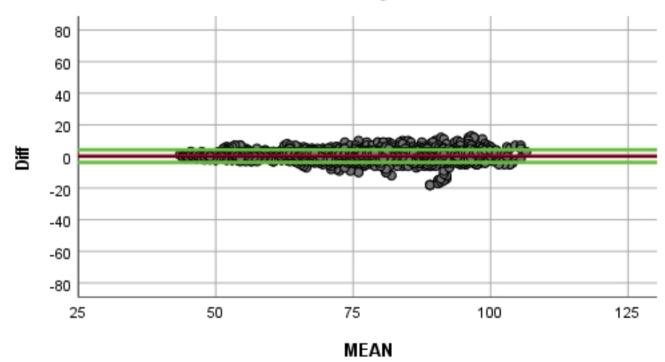


BA Plot All AW4

Garmin Forerunner 945 - All Protocols Ë -20 -40 -60

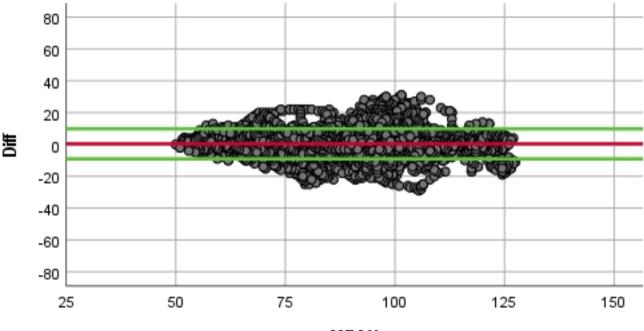
BA Plot All FR945

Polar OH 1 - Sedentary Protocol



BA OH1 Sed

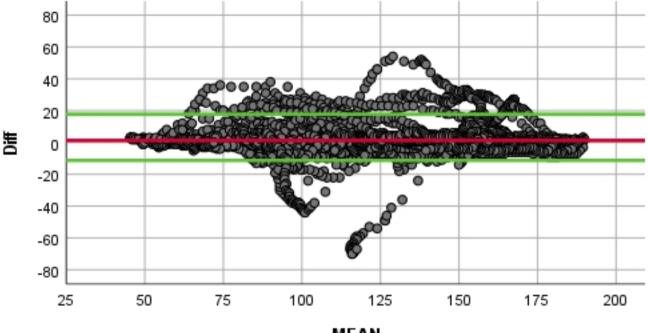
Polar OH1 - Walk Protocol



MEAN

BA OH1 WLK

Polar OH1 - Run Protocol



MEAN

BA OH1 RUN