Strike different *neural notes* at distinct stages:

An integrative process of attention modulation in naturalistic speech

Jiawei Li[1, 3], Bo Hong[2, 3], Guido Nolte[4], Andreas K. Engel[4], Dan Zhang[1, 3*]

[1]Department of Psychology, School of Social Sciences, Tsinghua University, Beijing, China

[2]Department of Biomedical Engineering, School of Medicine, Tsinghua University, Beijing,

China

[3]Tsinghua Laboratory of Brain and Intelligence, Tsinghua University, Beijing, China

[4]Department of Neurophysiology and Pathophysiology, University Medical Center Hamburg

Eppendorf, Hamburg, Germany

[*]**Correspondence**:

Dan Zhang, Ph.D.

Room 334, Mingzhai Building, Tsinghua University, Beijing 100084, China

E-mail: dzhang@tsinghua.edu.cn; Tel: +86-10-62796737

Abstract

When we are following someone when there are multiple speakers, are we just paying

attention to the sound the speaker makes, the word the speaker uses, or are we aligning

our minds to the attended speaker? In this process, selective attention plays a critical

role. Previous studies have mainly focused on attention modulation on the single types

of features from the speaker. However, attending to a speaker needs an integrative

process: the sound waves, which represent acoustic features, should be heard; the

meaning of the text, which represents semantic features, needs to be understood;

listeners and speakers need to form a common grounding during the process, which

represent the inter-brain feature. We still do not know how our brain entrains different

types of features from the speaker in an integrative way. In this study, naturalistic speech

was adopted to explore attention modulation. The natural language processing models

and the flourishing inter-brain recording methods were adopted to qualify the different

types of information from the speaker.

Our study revealed that attention modulated the acoustic, semantic, and speaker's

neural activity in an integrative way. When the listener pays attention to a speaker, the

sound is the first to be processed: The neural activity in the theta band entrains the

acoustic feature with a latency of 200–350 ms. Then, the meaning of the attended

speech is parsed: the delta band modulates the attention with a latency of 200–600 ms.

The listener entrains the speaker's neural activity 5 seconds before the speech onset.

The entrainment of the acoustic feature and the semantic feature is correlated with each

other. Only the entrainment of the attended speaker has a significant negative

correlation with the comprehension score. Together, these results illustrate an

integrative view of how our brain is selectively entrained to different types of

information from the speaker.

Keywords: attention, entrainment, electroencephalogram, temporal response function,

speech, inter-brain

Introduction

"Men do not understand one another by actually exchanging signs for things…they do

it by striking the same note on their mental instruments."

Wilhelm von Humboldt

We don't always hear toasts in the daily life. There are many speakers in a "cocktail

party" situation. It is not a difficult task for most people to follow the person that they

pay attention to and ignore others. However, the neural process behind this "cocktail

party" situation (Cherry, 1953; McDermott, 2009; Middlebrooks et al., 2017) is

complex: the listener needs to transform the attended sound wave to the meaning

(Hasson et al., 2012; Heilbron et al., 2022). Moreover, the listener needs to actively

perceive the speech and form a common grounding with the speaker (Friston, 2009;

Jiang et al., 2012, 2021; Pulvermüller & Fadiga, 2010; Stolk et al., 2016; Yeshurun et

al., 2021). We still do not know when we pay attention to someone how our brain

integrates different types of information from the speaker.

Previous studies mainly focused on the modulation mechanism of the speech itself.

The auditory scene analysis studies mainly focused on the *acoustic feature* of the speech

information in the cocktail party problem (Bregman, 1990; Brodbeck & Simon, 2022;

Ding & Simon, 2012a, 2012b; Shamma et al., 2011; Shinn-Cunningham, 2008; Teoh et

al., 2022; Yuanye Wang et al., 2019). These studies demonstrated that the attention

modulation of the acoustic feature mainly occurs 100–250 ms after the speech onset on

the low-frequency bands (e.g., 2–8 Hz) (Broderick et al., 2018, 2021; Mesik et al., 2021;

Weissbart et al., 2019). Some other studies revealed that *semantic or linguistic features*

could be modulated by the attentional process, for the features in the unattended stream

could also be processed (Broderick et al., 2018; Connolly et al., 1990; Dai et al., 2022;

Har-shai Yahav & Zion Golumbic, 2021; Heil et al., 2004). However, when we pay

attention to someone, the speaker is also very important. As famous German

philosopher Gadamer (Gadamer, 1975) once said, "there can be no speech that does not

bind the speaker and the person spoken to." The inter-brain studies provided another

angle to the traditional attention studies and included the speaker in the scene: the

listeners' neural activity could entrain not only the sound wave but also coupled with

the speaker's neural activity(Pérez et al., 2017; Stephens et al., 2010; Yeshurun et al.,

2021). One study reported that interpersonal neural synchronization (INS) between the

listener and the attended speaker was selectively enhanced using fNIRS recording (Dai

et al., 2018). It indicates the listener could actively "understand" the speaker not only

through the speech itself but rely on the "beyond the stimulus" grounding (Bashivan et

al., 2019; Hartley & Poeppel, 2020; Hasson et al., 2012; Jiang et al., 2021; Redcay &

Schilbach, 2019; Stolk et al., 2016). While attention modulation of different features

was explored separately, to our knowledge, there hasn't been a single study to explore

the attentional modulation to multiple types of information from the speaker at the same

time.

An integrative view enables us to investigate the attention modulations to the

different features simultaneously, and it may answer some critical questions about

attentional modulation. The *first* question concerns the temporal dynamics of attention

modulation of different features. Does the attention modulate all the features at the same

time? Or does the attention modulate them in sequential order? It was hard for the

previous studies to answer because they mostly focused on one or two types of speech

features (Broderick et al., 2019, 2021; Mesik et al., 2021), and they didn't consider the

speaker. The time range of attentional modulations varied in the previous studies. Most

single brain studies chose the time range within ~1 second after speech onset (Ding &

Simon, 2012a, 2012b; Lalor & Foxe, 2010; Power et al., 2012; Teoh et al., 2022; E. M.

Zion Golumbic et al., 2013). However, the inter-brain studies revealed that the listener

could selectively tune in to the attended speaker up to 5 seconds before the speech onset

(Dai et al., 2018; Kuhlen et al., 2012). This phenomenon was rarely observed in the

high temporal resolution EEG studies (Kuhlen et al., 2012), due to the different

selection of the time windows. We still do not know which speech processing stages

attention could modulate. The *second* question centers on the relationship between

different types of attention modulations. Previous studies revealed that the entrainment

of acoustic features and semantic features could interact with each other during the

monologue condition (Anderson et al., 2019; Gillis et al., 2021; Heilbron et al., 2022).

The semantic feature was reported to enhance the entrainment of the acoustic feature

(Anderson et al., 2019; Gillis et al., 2021; Heilbron et al., 2022). There were few studies

providing evidence about how these three features interact with each other in a "cocktail

party situation"(Dai et al., 2018; Pérez et al., 2017, 2019). The correlation between

acoustic features, semantic features, and inter-brain features remains elusive.

The present study aimed to reveal the neural mechanism of attention modulation in

an integrative view, which means the attention modulation of the three types of

information from the speaker would be explored simultaneously. The naturalistic

speech was used as stimuli, which contains much richer information than either the

sound sequence or the single word in the previous studies (Broderick et al., 2018;

Hamilton & Huth, 2018; Hartley & Poeppel, 2020; Nastase et al., 2021; Sonkusare et

al., 2019; Willems et al., 2020). The sound, the meaning, and the speaker could appear

at the same time in an ecological situation and enables us to investigate different types

of information from the speaker simultaneously (Hasson et al., 2012; Willems et al.,

2020). As Figure 1(A) illustrates, the amplitude envelope was the representation of the

acoustic feature. A natural language processing model (an LSTM model) was applied

to exact the semantic feature in the text. We chose surprisal as the representation of the

semantic feature in the previous studies, which is one of the most important semantic

features that have received sufficient investigation in previous neuroscience studies

(Brodbeck et al., 2022; Frank & Willems, 2017; Willems et al., 2016). The early event-

related potentials studies also used the congruent or incongruent words to explore the

neural mechanism of semantic processing, which is an early version of surprisal (Kutas

& Hillyard, 1984, 1989; Lau et al., 2008). A sequential dual-brain approach was used,

and the electroencephalogram (EEG) of both speaker and listener was recorded. The

speaker's neural activity represented the *inter-brain feature* (Hasson et al., 2012; Jiang

et al., 2021; Leong et al., 2017; Pérez et al., 2017, 2019; Stolk et al., 2016). A temporal

response function (TRF) method was used to measure the difference between the

entrainment to the attended features and the unattended features. Based on the previous

studies, we hypothesized that attention modulates the different features in distinct ways,

which means the modulation to different features would happen on different frequency

bands and time ranges. For the frequency bands, we hypothesize that the delta band

reflects attention modulation of the semantic feature (Dai et al., 2022; Teoh et al., 2022;

Yu et al., 2022), and the theta band represents the acoustic feature (Ding et al., 2014;

Etard & Reichenbach, 2019). The entrainment of the speaker's neural feature needs

further exploration. For the time course, we assume that the attention modulation of the

acoustic feature occurs at first (Ding & Simon, 2012a, 2012b; Hillyard et al., 1973;

O'Sullivan et al., 2015; Power et al., 2011; Teoh et al., 2022), and the attention effect

of the semantic feature lasts longer (Broderick et al., 2018; Dai et al., 2022). The

listener's neural activity is aligned with the speaker's neural activity in a broader time

range (Dai et al., 2018; Kuhlen et al., 2012). We also hypothesize that the attention

modulation between the acoustic feature and the semantic feature correlates to each

other (Broderick et al., 2019; Heilbron et al., 2022), but the inter-brain feature has a

distinct pattern, which is independent of the acoustic and semantic feature. Together,

our study adopted an integrative view to investigate three different types of features

when attending to a speaker, which would further our understanding of the attention

modulation from the speech to the speaker.

Figure 1 The stimuli, the experimental paradigm and the analysis process

(A)The demonstration of acoustic, semantic, and inter-brain features. The envelope of the speech represents the acoustic feature. The surprisal index, which was calculated by an LSTM model, was used as the semantic feature. The speaker's neural activity is the inter-brain feature. (B) A "cocktail party" selective attention paradigm was used, in which the listener was asked to pay attention to one side of the speech stream and ignore the other. While listening to the speech stream, the listener's EEG signals were recorded. The Encoding r for the attended feature and the unattended feature was calculated time point by time point by applying the TRF method.

Results

Behavioral Performance of the Listeners

The average comprehension performance was significantly better for the 28 attended stories than for the 28 unattended stories (67.0±2.5% (standard error) vs. 36.0±1.6%, $t(19)$ = 10.948, p < .001; the four-choice chance level: 25%). The participants reported a moderate level of attention (8.146±0.343 on a 10-point Likert scale) and attention difficulties (2.039±0.530 on a 10-point Likert scale). The accuracy for the attended story was significantly correlated with both the self-reported attention level (r = .476, p = .043) and attention difficulty (r = -.677, p = .001). The self-reported story familiarity level was low for all the participants (0.860±0.220 on a 10-point Likert scale) and was not correlated with comprehension performance (r = -.224, p = .342). These results suggest that participants' selective attention was effectively manipulated, and the measurement of comprehension performance was reliable. The response accuracy varied from 25.0 % to 51.8% for unattended stories.

The theta band and the delta band reflect distinct attentional to different features

The delta and theta bands have different functional roles in attentional modulation, as Figure 2 illustrates. A cluster-based permutation (Maris & Oostenveld, 2007) was conducted to reveal the difference between the encoding of the attended and the unattended features and control the multiple comparisons.

The theta band only modulates the acoustic feature. There are two significant

acoustic clusters that appear in the theta band. They were designated as *Acoustic_Early*

(cluster-based $p < .001$) and *Acoustic_Late* (cluster-based $p = .005$) depending on the

time they occurred.

In contrast to the acoustic feature, semantic clusters were found in the delta band.

Two clusters illustrated the difference in entrainment to the attended and unattended

semantic features. They were labeled *Semantic_early* (cluster-based $p = .002$) and

Semantic_late (cluster-based $p < .001$). One inter-brain cluster was also found in the

delta band (cluster-based $p < .001$).

Figure 2 Different attentional modulation roles of different bands. The null distribution of the
t-statistics of every feature in the delta band(left) and theta band(right). The grey lines indicate
significant clusters.

The attention modulation of different features unfolds in a distinct time range

As shown in Figure 3, the *Acoustic_Early* cluster involved the left-lateralized fronto-central and occipital electrodes (cluster-based permutation $p < .001$) at a latency of 0.219–0.359 s after the onset of speech. The *Acoustic_Late* cluster had a later latency of 1.508–1.602 s with the electrodes in the right-frontal regions (cluster-based $p = .005$).

Figure 3 The attention modulation for the acoustic feature. (A) The null distribution of the cluster-based *t*-statistics of the acoustic feature. (B) and (C)The time course and the topo-plot of the significant acoustic cluster. The dark blue line represents the Encoding r_{att}, and the light line represents the Encoding r_{unatt}. The shaded region depicts a significant difference in the time window. The topo-plot of the average difference between in Encoding r_{att} and Encoding r_{unatt} cluster. The black dots indicate the channels in the cluster.

As figure 4 indicates, the *Semantic_Early* cluster occurred at 0.227–0.621 s covering the electrodes in frontal and central regions. The *Semantic_Late* cluster was found at 1.073–1.516 s involving the wide distribution of the electrodes.

Figure 4 The attention modulation for the semantic feature. (A) The null distribution of the
cluster-based t-statistics of the semantic feature. (B) and (C)The time course and the topo-plot of
the significant semantic cluster. The dark brown line represents the Encoding r_{att}, and the light
brown represents the Encoding r_{unatt}. The shaded region depicts a significant difference in the time
window. The topo-plot of the average difference between in Encoding r_{att} and Encoding r_{unatt}
cluster. The black dots indicate the channels in the cluster.

There was only one cluster inter-brain cluster. Unlike the acoustic clusters and

semantic clusters, the inter-brain cluster had a wide time range of -4.836 to -0.539 s

with the electrodes in the left frontal region, as shown in Figure 5.

Figure 5 The attention modulation for the inter-brain feature. (A) The null distribution of the cluster-based *t*-statistics of the inter-brain feature. (B) The time course and the topo-plot of the significant inter-brain cluster. The light pink line represents the Encoding r_{att}, and the dark pink represents the Encoding r_{unatt}. The shaded region depicts a significant difference in the time window. The topo-plot of the average difference between in Encoding r_{att} and Encoding r_{unatt} cluster. The black dots indicate the channels in the cluster. (C) The correlation between the Encoding r_{att} and the behavioral results.

The entrainment to the inter-brain feature is independent of the acoustic and semantic features

As Figure 6 indicates, the average Encoding r_{att} in *Semantic_Early* cluster and the average Encoding r_{att} in *Acoustic_Early* clusters were highly correlated ($r(18)$ = .786, $p < .001$, FDR_corrected). The average Encoding r_{att} in *Semantic_late* cluster and the average Encoding r_{att} in *Acoustic_Early* cluster were also highly correlated ($r(18) = .565$, $p = .045$, FDR_corrected). There were no other significant correlations between other clusters ($ps > .05$).

Figure 6 The correlations between Encoding r_{att} in different clusters. A_early stands for Acoustic_Early, A_late stands for Acoustic_Late, S_early is short for Semantic_early, S_late stands for Semantic_Late, and Inter is short for Inter-brain.

The entrainment to the inter-brain feature was correlated to the comprehension performance

The partial correlation between the behavioral performance and the coefficients in was calculated to reveal the unique contribution of a certain feature to the comprehension performance. Specifically, the correlations between the mean Encoding r_{att} in the cluster and the accuracy of the questions were calculated while controlling other features.

As Table 1 illustrated, only the inter-brain cluster has a significate partial correlation with the behavioral performance, partial correlation $r(18) = -.769, p = .002$ (FDR corrected). All the other clusters didn't reveal significant correlations. We further

252 analyzed the Encoding r_{att} in the inter-brain cluster and difficulty. We found significant

253 positive correlation, $r(18) = .499$, $p = .025$ as shown in Figure 5(c).

254 **Table 1 The partial correlation between the entrainment coefficients and behavioral**
255 **performance**

Clusters	rho	p values (FDR-corrected)
Acoustic-early	.512	.089
Acoustic-late	-.306	.291
Semantic-early	-.412	.170
Semantic-late	.098	.710
Inter-brain	-.769 **	.002

*$p < .05$, ** $p < .01$ (FDR corrected)

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Discussion

Our study provided an integrative view of how our brain pays attention to the

different types of information from the speaker in the "cocktail party problem", as

Figure 7 illustrates: the attention modulated the sound firstly in the theta band at

200–350 ms. The meaning of speech was modulated later, in the delta band at 200–600

ms. The listeners aligned to the speaker's neural activity 5 s before the speech onset.

The entrainment to the acoustic feature and semantic feature were correlated, but the

entrainment to the speaker's neural activity is independent of the speech stimuli.

Noticeably, only the entrainment to the speaker's neural activity has a negative

correlation with the comprehension score, which indicates the compensation role of the

entrainment to the speaker's neural activity.

Figure 7 An integrative view of the attentional modulations of different features in speech.

Our study clearly illustrated the temporal dynamics of attention modulation of the

different features in an integrative view. *The acoustic feature* was modulated occurred

at first, with a latency of 200–350 ms. The time range was in line with the classical

attention studies applying naturalistic speech as the stimulus (Ding & Simon, 2012a;

Lalor & Foxe, 2010; O'Sullivan et al., 2015; Yadong Wang et al., 2012). The attention

effect of the semantic feature lasted longer, from 200–600 ms. The N400 effect

happened around 400 ms after the speech onset, and it was the most crucial neural

signature of the semantic process (Kutas & Hillyard, 1989; Lau et al., 2008). Our result

demonstrated that the attention modulation of the semantic feature lasted longer than

the acoustic feature, and the time range was consistent with the classical N400

(Broderick et al., 2018). We also found two late clusters for the acoustic feature and the

semantic feature, which have rarely been reported in previous studies. It may indicate

the attention modulation on the sentence level (e.g., the terminal of the sentence), as the

early ERP studies suggested (Connolly et al., 1990; Connolly & Phillips, 1994; Sanders

& Neville, 2003). The time range of the inter-brain feature revealed a different pattern.

The listener aligned to the speaker's neural activity 5 s before the speech onset, which

was much earlier than the other two features. This result replicated previous inter-brain

studies using fMRI or fNIRS in the high temporal resolution EEG signals (Dai et al.,

2018; Jiang et al., 2012; L. Liu, Zhang, et al., 2020; Stephens et al., 2010), which

indicates that attention modulation may happen far before the previous attention

modulation studies. Our result further proved that the entrainment to the speaker's

neural activity was different from other entrainments, which emphasized the

importance of the speaker (Dai et al., 2018; Pérez et al., 2017, 2019).

Our study reveals the distinct roles of delta and theta in attention modulation. The

theta band modulates the acoustic feature, and the delta band modulates the semantic

feature and inter-brain feature. This result extended our understanding of the functional

roles of the two bands. It is consistent with the previous finding that the theta band

processes the stimulus-link features, like the acoustic feature (Ding et al., 2014; Ding

& Simon, 2012a, 2012b; Teng et al., 2017), and the delta band reflects the

comprehension-related features, like the semantic feature (Ding et al., 2014; Etard &

Reichenbach, 2019; J. Li et al., 2022; Lu et al., 2022). In particular, the modulation of

the inter-brain feature was also found in the delta band, which may indicate that the

delta bands reflects the comprehension-related functions.

Our study extends to the attended target from the speech to the speaker by adopting

the inter-brain feature. The entrainment of the speaker's neural activity was the only

predictor of comprehension performance, and it didn't correlate with other features.

Noticeably, the entrainment to the speaker's neural activity was a negative correlation

with the comprehension performance. We further found that the encoding index was

positively correlated with the perceived task difficulty reported by the listeners. We

called that a "compensation" mechanism: when the listeners feel hard to complete the

task, they start to guess what the speaker wants to say. However, guessing is not always

correct. Therefore, the comprehension performance is decreasing. The spatial

distribution of inter-brain clusters is also different from the distribution of the acoustic

and semantic clusters. While the central electrodes are primarily involved in the

acoustic and semantic modulations, only the left-frontal electrodes are recruited in the

inter-brain modulation. The left frontal regions play a critical region in the language

process (Har-shai Yahav & Zion Golumbic, 2021; Hickok & Poeppel, 2007) and are a

'high-order' area in attention selection (E. Zion Golumbic et al., 2013). The left-frontal

electrodes may indicate a unique contribution of IFG when listeners are under adverse

listening conditions in previous inter-brain studies (Dai et al., 2018; Z. Li et al., 2021;

L. Liu, Yan, et al., 2020). Our study highlighted the critical role of the speaker in the

attention process. We proved speech itself only serves as a trigger and a signal, while

the attended speaker and the listener aligned their mind "beyond the speech

stimulus"(Hartley & Poeppel, 2020) even before the speech onset.

To our knowledge, our study is the first study to combine the NLP method and the

inter-brain method to extract the different levels of features in speech and investigate

their attention modulation of them, which enables us to extend the attentional

modulation studies to an integrative and "beyond the stimulus" perspective (Hartley &

Poeppel, 2020). In our study, the speech was separated into three levels features: the

acoustic feature, the semantic feature, and the inter-brain feature. With the help of the

NLP models (Armeni et al., 2019; Brodbeck et al., 2022; Broderick et al., 2018; Kingma

& Ba, 2015), we could calculate semantic features in the text to which the listeners

attended. Inspired by the inter-brain studies (Dai et al., 2018; Jiang et al., 2012, 2015;

Leong et al., 2017; Stephens et al., 2010), how the listeners pay attention to the "hidden

meaning" behind the text was also analyzed. Meanwhile, we applied the TRF method

to describe the precise neural activity towards the attended and unattended features and

simultaneously compared entrainment to the different features.

In conclusion, our study used the attention as a spotlight and revealed that the

listener would strike *the different neural notes* at distinct stages in an integrative way:

the *acoustic note* is struck on the theta bands at first, and the *semantic note* comes later

and lasts longer on the delta band. The striking on the mental instrument, which is

achieved by the inter-brain coupling, appears even before the speech onset. Our study

depicts the temporal dynamics of the attention modulation and the functional roles of

different frequency bands, which contributes to the old "cocktail party" a new

integrative perspective.

Materials and Methods

Ethics statement

The study was conducted in accordance with the Declaration of Helsinki and was approved by the local Ethics Committee of Tsinghua University. Written informed consent was obtained from all participants.

Participants

Two participants (both male, aged 26 and 24 years) were recruited for this study as speakers. Both speakers were from the broadcasting station of Tsinghua University and had experience related to broadcasting and hosting.

Twenty college students (10 females; mean age: 24.7 years; range: 20–43 years) from Tsinghua University participated in the study as paid volunteers for listeners. All participants were native Chinese speakers and reported having normal hearing and normal or corrected-to-normal vision.

The sample size (N = 20) was decided empirically following previous TRF-based studies on human speech processing (Broderick et al., 2018; Di Liberto et al., 2015; J. Li et al., 2022; Mirkovic et al., 2015).

Experimental procedure for the speakers

A sequential inter-brain approach was adopted by the present study (Redcay &

Schilbach, 2019), in which the neural activities of the speakers were recorded prior to

the listeners. The sequential design was more appropriate for this study than the real-

time interactive design because the speakers' audio and neural activity remained

consistent for all listeners (Leong et al., 2017; Y. Liu et al., 2017; Stephens et al., 2010).

In this experiment, each speaker participated in 30 trials, each of which was

approximately 51–76 seconds in length, while the speakers' audio signals and EEG

signals were recorded. The experimenter selected 28 trials for the listener's experiment,

excluding the two most unqualified trials.

The speaker first read the relevant material on the screen. There was a wide variety

of content to be covered, including one's hometown, a recent book, a fable, etc. The

speaker could decide how long they wanted to spend on preparation and start talking

when they were fully prepared (the length of preparation was usually 3 minutes). When

the speaker was prepared, they would press the space bar on the computer keyboard,

and the recording would begin. When the space bar was pressed, three 1000 Hz pure

tone cues were triggered (duration: 1000 ms; cue interval: 1500 ms). The cues were

presented as event markers, synchronized with the sound in the listener's experiment to

ensure that the neural signals of the speaker and listener remain aligned with the sound

stimuli. The speaker was asked to start speaking immediately after the end of the third

beep (within approximately 3 s). A fixation and a countdown timer appeared on the

screen during the talking part. The speaker was asked to stare at the fixation and to

complete the speaking as clearly, completely, and naturally as possible. During the

recording process, the experimenter listened to the speaker's narration simultaneously

and controlled the quality. The experimenter had the right to ask the speaker to retell

the clip if there was a reason for the lack of fluency, length, etc., that might affect the

listeners' perception. The materials of both speakers' content were varied. Between each

trial, the speakers were allowed to rest on their own. During the experiment, the

speakers were asked to control their head movements and facial muscles to obtain better

quality EEG signals.

The speech stimuli were recorded from two male speakers using the microphone

of an iPad2 mini (Apple Inc., Cupertino, CA) at a sampling rate of 44,100 Hz.

Experimental procedure for the listeners

The experiment consisted of four blocks, each containing seven trials. Two speech

streams were presented simultaneously in each trial, one to the left ear and the other to

the right ear. Two speech streams of the same trial matched the volume, i.e., the root

mean squared intensity of the amplitude of the speech streams in the same trial were

the same. The participants were instructed to attend to one spatial side according to the

hints on the screen ("Please pay attention to the [LEFT/RIGHT]"). Considering the

possible duration difference between the two audio streams, the researchers set the end

of the trial after the longer speech audio had ended. Each trial began when participants

pressed the SPACE key on the computer keyboard. A white fixation cross was also

displayed throughout the trial. The speech stimuli were played immediately after the

keypress and were preceded by the three beep sounds.

At the end of each trial, four multiple-choice questions (two for the attended story

and the other two for the unattended story) were presented sequentially in random order

on the computer screen. Each question had four options, and participants entered the

letter of the correct option as their answer. The listeners were not explicitly informed

about the correspondence between the questions and the stories. For instance, one

question following a story about one's hometown was, "What is the most dissatisfying

thing about the speaker's hometown? (推测讲述人对于家乡最不满意的地方在于?)",

and the four choices were A) There is no heating in winter; B) There are no hot springs

in summer; C) There is no fruit in autumn; D) There are no flowers in spring (A. 冬天

没暖气; B. 夏天没温泉; C. 秋天没水果; D. 春天没鲜花). The single-trial

comprehension accuracy could be 0% (two wrong answers), 50% (one correct answer),

or 100% (two correct answers) for both the attended and the unattended stories. No

feedback on whether the questions were answered correctly or not.

After completing these questions, participants rated their concentration level of the

attended stream, the experienced difficulty performing the attention task, and the

familiarity with the attended material using three 10-point Likert scales. Throughout

the trial, participants were required to maintain visual fixation on the fixation cross

while listening to the speech. Meanwhile, they were asked to minimize eye blinks and

all other motor activities. The participants were recommended to take a short break

(around 1 min) after every trial within one block and a long break (no longer than 10

min) between blocks.

In each block, the side being attended to was fixed (two blocks for attending to the

left side and two for attending to the right side). Within each block, the identity of the

speaker is kept constant on the left and right sides. The to-be-attended spatial side and

the corresponding speaker identity were balanced within the participant, with seven

trials per side for both speakers. The assignment of the stories to the four blocks was

randomized across the participants.

The experiment was conducted in a sound-attenuated, dimly lit, and electrically

shielded room. The participants were seated in a comfortable chair in front of a 19.7-

inch LCD monitor (Lenovo LT2013s). The viewing distance was approximately 60 cm.

The experimental procedure was programmed in MATLAB using the Psychophysics

Toolbox 3.0 extensions (Brainard & Brainard, 1997). The speech stimuli were delivered

binaurally via an air-tube earphone (Etymotic ER2, Etymotic Research, Elk Grove

Village, IL, USA) to avoid possible electromagnetic interference from auditory devices.

The volume of the audio stimuli was adjusted to be at a comfortable level (~70 dB SPL)

that was well above the auditory threshold. The average presentation level was

measured with a B&K (Brüel & Kjær, Nærum, Denmark) Sound Level Meter (Type

2250 Investigator) with a 1-inch Free-field Microphone (Type 4144) and an Artificial

Ear (Type 4152).

Data acquisition and pre-processing

EEG was recorded from 60 electrodes (FP1/2, FPZ, AF3/4, F7/8, F5/6, F3/4, F1/2,

FZ, FT7/8, FC5/6, FC3/4, FC1/2, FCZ, T7/8, C5/6, C3/4, C1/2, CZ, TP7/8, CP5/6,

CP3/4, CP1/2, CPZ, P7/8, P5/6, P3/4, P1/2, PZ, PO7/8, PO5/6, PO3/4, POZ, Oz, and

O1/2), which were referenced to an electrode between Cz and CPz, with a forehead

ground at Fz. A NeuroScan amplifier (SynAmp II, NeuroScan, Compumedics, USA)

was used to record EEG at a sampling rate of 1000 Hz. Electrode impedances were kept

below ten kOhm for all electrodes.

The recorded EEG data were first notch filtered to remove the 50 Hz powerline

noise and then subjected to an artifact rejection procedure using independent

component analysis. Independent components (ICs) with large weights over the frontal

or temporal areas, together with a corresponding temporal course showing eye

movement or muscle movement activities, were removed. The remaining ICs were then

back-projected onto the scalp EEG channels, reconstructing the artifact-free EEG

signals. While the relatively long duration of the speech trials in the present study (~1

minute per story, see Experimental procedure) has made it more difficult for the

participants to avoid inducing movement-related artifacts as compared to the classical

ERP-based studies, a temporally continuous, non-interrupted EEG segment per trial

was preferred for the employment of the CCA method. Therefore, any ICs with artifact-

like EEG activities for more than 20% of the trial time (i.e., ~12 sec) were rejected,

leading to around 4–11 ICs rejected per participant. The cleaned EEG data were used

for the mTRF analysis without any further artifact rejection procedures.

Next, the EEG data were segmented into 28 trials according to the markers

representing speech onsets. The analysis window for each trial was extended from 10

to 55 s (duration: 45 s) to avoid the onset and the offset of the stories.

The pre-processed EEG signals were re-referenced to the average of all scalp

channels and then downsampled to 128 Hz before the modeling. Then, the EEG data

were filtered in delta (1–4 Hz) and theta (4–8 Hz) (filter order: 64, one-pass forward

filter). The use of a causal FIR filter ensured that filtered EEG signals were decided

only by the current and previous data samples (de Cheveigné & Nelken, 2019), which

is essential for accurate time-course analysis. The filter order of 64 was chosen to keep

a balance of temporal resolution and filter performance: the filtered EEG signals were

therefore calculated based on the preceding 500 ms data (64 at 128 Hz).

Speech Representations

● *Acoustic Features*

The amplitude envelope of the speech represented the acoustic features of the

speech. It was obtained using a Hilbert transform and then down-sampled to the same

sampling rate of 128 Hz.

● *Semantic Features*

The original audio recorded by the speaker during the EEG recording was converted

to the text firstly automatedly by *Iflyrec* software (Iflytek Co., Ltd, Hefei, Anhui) and

then doubled checked manually. The onset time of every word was extracted during this

process.

The recent emergence of Natural Process Language (NLP) models has enabled the

description of the semantic features in speech (Brodbeck et al., 2022; Broderick et al.,

2018, 2021). Next word prediction is one of the fundamental NLP tasks using the

semantic information in the texts (Schrimpf et al., 2021; Vaswani et al., 2017). The goal

of the task is to predict the next word when given a sequence of words $W_1, W_2, …, W_{t-1}$,

which was consistent with the human understanding process. The probability of the

next word is $P(W_t|W_1, …, W_{t-1})$ and can be calculated by varied NLP models. The

surprisal of the word was defined as follow, which reflected how surprised the next

word (Willems et al., 2016):

$$surprisal(t) = -\log P(W_t|W_1, …, W_{t-1}) \tag{1}$$

The index was calculated based on ADAM, a widely accepted classical natural

language process model (Bengio et al., 2003; Kingma & Ba, 2015). The model was

trained on a couple of *People's Daily*. There were 534,246 words involved in the model

training. 66,781 words were in the cross-validation set, and 66,781 words used as a test

set. The details of the model are described in Table S1.

After calculating the surprisal index of every word, we generated a "semantic vector"

at the same sampling rate as the EEG data (Broderick et al., 2018). The vectors

contained the time-aligned impulses at the start of each word of the surprisal value for

every audio clip.

●   *Inter-brain Features*

The Inter-brain recording method enables us to study how the listeners are aligned

with the attended speaker (Dai et al., 2018; Hasson et al., 2012; Jiang et al., 2021;

Stephens et al., 2010). We used the speaker's neural activity as the representation of the

inter-brain feature.

The speaker's EEG served as the inter-brain feature. It followed the same pre-

processing procedure as the listener's EEG.

Temporal response function modeling

The analysis workflow for the analysis related to the attended speech stream is

shown in Figure 1. The neural responses to the three different features were

characterized using a temporal response function (TRF)-based modeling method

(Crosse et al., 2016, 2021).

Three different features mentioned above are the input signal required by TRF. The

corresponding neural response $r(t,n)$ can be formulated as follows:

$$r(t,n) = \sum_{\tau} w(\tau,n) S(t - \tau) + \varepsilon(t,n) \tag{2}$$

where $r(t,n)$ is the actual EEG response at every channel n; $t = 1 \dots T$ is the time

point; $S(t - \tau)$ means the multivariate stimulus representation; $w(\tau, n)$ is the channel

specific TRF at lag τ and $\varepsilon(t,n)$ is the residual.

The TRF is estimated by minimizing the mean square error between the actual

neural response $r(t,n)$ and the neural response predicted by the model $\hat{r}(t,n)$. The

Pearson's correlation between the actual neural response and predicted neural response

was referred as Encoding r. The mTRF toolbox (Crosse et al., 2016) was used to

estimate the TRF(w) as fellow:

$$w = (S^T S + \lambda I)^{-1} S^T r \tag{2}$$

where the lambda(λ) is the ridge regression parameter, I is the identity matrix, and

the matrix S is the stimulus matrix. The lambda varied from 10^{-1} to 10^8 (lambda $= 10^{-1}$,

10^0, …, 10^8) to make the model optimal (Crosse et al., 2021). The lambda value, which

produces the highest encoding r, averaged across trials and channels, was selected as

the regularization parameter for all trials per participant (Broderick et al., 2019). The

cross-validation procedure was implemented in a leave-one-trial-out manner: the TRFs

were trained based on data from 27 trials and tested on the left-out trial each time.

The TRF was trained at individual time lags of -8 s to 8 s to investigate the specific

interval of attentional modulation for each feature. At a sampling rate of 128 Hz, there

are 2049 individual time-lag intervals of 7.625 ms.

The TRF calculation procedure was performed for the EEG signals from each EEG

channel filtered at the four frequency bands. Only attended features are used as input to

the model, and TRFs trained by the attended features and the neural response were

applied to the tests of attended and unattended features, referring to Encoding r_{att} and

Encoding r_{unatt}, respectively.

Quantification and statistical analysis

The paired *t*-tests were performed to investigate the attentional modulation for

different features, contrasting the encoding *r* of the attended speech versus the

unattended speech at each time lag. Encoding *r* was normalized using the Fisher-*z*

transform (Corey et al., 1998).

A nonparametric cluster-based permutation analysis was applied to account for

multiple comparisons (Maris & Oostenveld, 2007). In this procedure, neighboring

channel-latency bins with uncorrected *t*-tests *p*-value below 0.05 were combined into

clusters, for which the sum of the correlational t-statistics corresponding to the t-tests

was obtained. The combing process was initially automated by the toolbox and then

manually double-checked. Two clusters were combined if they shared a similar spatial

distribution or time lag. A null distribution was created through permutations of data

across participants ($n = 1,000$ permutations), which defined the maximum cluster-level

test statistics and corrected p-values for each cluster. Clusters with p-values below 0.01

based on clusters were selected for further analysis.

The above statistical analysis followed the standard cluster-based permutation

procedure as employed in classical ERP and related studies (Arnal et al., 2011; Henry

& Obleser, 2012; Zhang et al., 2012). Note that the reported p-values were only

corrected for the tests performed within each frequency band by using cluster-based

permutation tests. No multiple comparison correction was employed across different

frequency bands.

Correlation between clusters

The *Spearman* correlation of Encoding r_{att} in each cluster was calculated for each

pair of clusters to analyze the correlation between them.

Partial correlation

The partial correlation between every cluster and the comprehension performance

was calculated to investigate the unique contribution of each cluster to the behavioral

performance.

Data availability statement

Dataset generated in our study has been uploaded to Mendeley Data (Li, Jiawei (2021),

"Speaker-listener Cocktail Party", Mendeley Data, V3, doi: 10.17632/wkh75s27kn.3).

We have also provided data of individual participant, including the pre-processed EEG

data and behavioral performance data.

Where existing toolboxes were used for data analysis, citations have been provided.

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Supporting Information

Table S1 Details of Neural Language Processing Model

Type	Parameter
model type	LSTM
embedding size	200
hidden units per layer	200
number of layers	2
initial learning rate	3
gradient clipping	0.25
sequence length	35
drop out	0.2
epoch	50
batch size	3

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