

Host body size, not host population size, predicts genome-wide effective population size of parasites

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Article type: Letter

Short running title: Host body size predicts parasite N_e

Abstract word count: 126

Total word count: 4819

Competing Interest Statement: None.

Keywords: *coevolution, heterozygosity, infrapopulation, lice, Phthiraptera*

1 **Abstract**

2 The effective population size (N_e) of an organism is expected to be proportional to the total
3 number of individuals in a population. In parasites, we might expect the effective population size
4 to be proportional to host population size and host body size, because both are expected to
5 increase the number of parasite individuals. However, parasite populations are sometimes so
6 extremely subdivided that high levels of inbreeding may distort these relationships. Here, we
7 used whole-genome sequence data from dove parasites (71 feather louse species of the genus
8 *Columbicola*) and phylogenetic comparative methods to study the relationship between parasite
9 effective population size and host population size and body size. We found that parasite effective
10 population size is largely explained by host body size but not host population size. These results
11 suggest the subdivided nature of parasite populations, rather than the overall number of
12 parasites, has a stronger influence on the effective population size of parasites.
13
14

15 **Impact Summary**

16 Parasites, among Earth's most diverse, threatened, and under-protected animals, play a central
17 role in ecosystem function. The effective population size (N_e) of an organism has a profound
18 impact on evolutionary processes, such as the relative contributions of selection and genetic drift
19 to genomic change. Population size is also one of the most important parameters in conservation
20 biology. For free-living organisms, it is expected that N_e is proportional to the total number of
21 individuals in a population. However, for parasites, populations are sometimes so extremely
22 subdivided that high levels of inbreeding may distort these relationships. In this study, we used
23 whole-genome sequence data from dove parasites and phylogenetic comparative methods to
24 investigate the relationship between parasite effective population size (N_e) and host population
25 size and body size. Our results revealed a positive relationship between parasite effective
26 population size (N_e) and host body size, but not host population size. These results suggest
27 inbreeding may be a major factor in parasite infrapopulations, and have important implications for
28 conservation.

29 **Main Text**

30

31 **Introduction**

32 The effective population size (N_e) of an organism has a profound impact on evolutionary
33 processes, such as the relative contributions of selection and genetic drift to genomic change
34 (Wright, 1943; Waples, 2002; Charlesworth, 2009). For free-living organisms, it is expected that
35 N_e is proportional to the total number of individuals in a population (Frankham, 1995; Waples,
36 2002). While population size estimates can often be readily obtained for free-living species,
37 estimating the population size of parasites can be more challenging because this usually requires
38 sampling from individual hosts (Criscione and Blouin 2005, Criscione et al., 2005; Poulin, 2007;
39 Clayton et al., 2015; Strobel et al., 2019).

40 Typically, we might expect that the size of a parasite population is proportional to that of
41 the host, because parasites rely on their hosts for survival and reproduction (Poulin, 2007; Barrett
42 et al., 2008; Clayton et al., 2015). However, population subdivision can also influence measures
43 of N_e for a species (Wright, 1943; Charlesworth et al., 2003). Theoretical expectations generally
44 predict that subdivided populations have a higher overall N_e than non-subdivided ones
45 (Charlesworth et al., 1997; Charlesworth et al., 2003; Charlesworth, 2009). On the other hand, in
46 highly divided populations, levels of inbreeding can increase, such that the N_e of these subdivided
47 populations is low (Charlesworth et al., 1997; Charlesworth et al., 2003; Charlesworth, 2009).

48 In the case of parasites, populations can sometimes be so extremely subdivided that
49 each individual host harbors a distinct parasite population, termed infrapopulation (Bush et al.,
50 1997; Huyse et al., 2005; Criscione and Blouin 2005, Criscione et al., 2005; Poulin, 2007; Clayton
51 et al., 2015). This subdivision is particularly pronounced in the case of parasites that spend their
52 entire lifecycle on the host (i.e., permanent parasites, DiBlasi et al., 2018; Sweet and Johnson,
53 2018; Virrueta-Herrera et al., 2022). For example, lice, which are permanent parasitic insects of
54 birds and mammals, have highly structured infrapopulations subject to high levels of inbreeding
55 (Virrueta-Herrera et al., 2022). We might expect that infrapopulation size influences the effective
56 population size of a parasite. This effective population size, in turn, could influence the amount of
57 genetic variation within an infrapopulation, as has been shown for feather mites (Doña et al.,
58 2015).

59 Host body size has been shown to strongly impact infrapopulation size, with larger-
60 bodied hosts harboring larger parasite infrapopulations (Poulin, 1999; Poulin, 2007; Clayton et al.,
61 2015). For example, a positive effect of host body size on parasite abundance has been shown
62 for avian feather lice, which feed on the feathers of their hosts (Rozsa, 1997; Clayton and
63 Walther, 2001). Thus, we would expect that feather lice on larger-bodied avian hosts would have
64 higher N_e , reflecting their larger infrapopulation sizes. This relationship, in turn, should affect the
65 degree of inbreeding and be evident in the genetic variation in lice of different infrapopulation
66 sizes.

67 Thus, two factors may influence a parasite's N_e : 1) host population size and 2) host body
68 size. We test the relative contributions of these two factors to parasite N_e by examining genome-
69 wide variation in the wing lice (Phthiraptera: *Columbicola*) of pigeons and doves (Aves:
70 Columbidae). Pigeons and doves vary dramatically in overall population sizes, with some
71 species being among the most abundant birds on earth and others restricted to single small
72 islands and highly endangered. In addition, pigeons and doves vary by over an order of
73 magnitude in body mass, and smaller-bodied species have been shown to have smaller
74 infrapopulations of these lice (Rozsa, 1997). Thus, pigeons and doves and their lice are an
75 excellent system in which to examine the correlation between both host population size and host
76 body size and parasite N_e . We used genome sequencing of 71 species of *Columbicola* to
77 estimate a phylogeny for these parasites and examine the relationship between a genome-wide
78 measure of effective population size (θ) and the overall population size and body size of their
79 respective hosts, accounting for phylogeny.

80

81

82 **Materials and Methods**

83

84 **Taxon sampling and host data**

85 We sampled 89 individual lice, representing 71 different species of *Columbicola* (Table S1), which
86 are feather lice (Insecta: Ischnocera) of pigeons and doves. We also included five feather louse
87 outgroup taxa for the phylogenomic analyses, selected based on recent higher level
88 phylogenomic studies of feather lice (Table S1). We obtained host body size (body mass)
89 information from the Birds of the World online database (Billerman et al., 2022). Specifically, in
90 cases where measures from both males and females were reported independently, we used the
91 average between male and female body mass. We obtained global-scale host population size
92 data from recent estimates (Callaghan et al., 2021). In particular, we used the “Abundance
93 estimate” data from the “Dataset_S01.xlsx” supplemental file.

94

95 **Genomic sequencing**

96 Some of the genomic data we analyzed here have been previously published (Boyd et al., 2017,
97 see Table S1 for details). For the newly sequenced samples, which had been stored in 95%
98 ethanol at -80 °C, we performed single-lice DNA extractions and photographed each specimen
99 as a voucher. We extracted total genomic DNA by first letting the ethanol evaporate and then
100 grinding the louse with a plastic pestle in a 1.5 ml tube. For DNA extraction, we used a Qiagen
101 QIAamp DNA Micro Kit (Qiagen, Valencia, CA, USA) and conducted an initial incubation at 55 °C
102 in buffer ATL with proteinase K for 48 h. Otherwise, we followed the manufacturer’s protocols and
103 eluted purified DNA off the filter in a final volume of 50ul buffer AE. We used a Qubit 2.0
104 Fluorometer (Invitrogen, Carlsbad, CA, USA) and the high sensitivity kit to quantify total DNA.

105

106 We prepared genomic libraries using the Hyper library construction kit (Kapa Biosystems). We
107 then sequenced these libraries to generate 150 bp paired-end reads using Illumina NovaSeq
108 6000 with S4 reagents. Libraries were tagged with unique dual-end adaptors and multiplexed 48
109 libraries per lane, intending to achieve approximately 30-60X coverage of the nuclear genome.
110 We trimmed adapters and demultiplexed the sequencing data using bcl2fastq v.2.20 to generate
111 final fastq files. We deposited raw reads for each library in NCBI SRA (Table S1).

112

113 **Single-copy orthologs assembly, species delimitation, phylogenomic and cophylogenetic** 114 **analyses**

115 *Ortholog assembly:* We used fastp v0.20.1 (Chen et al., 2018) to perform adaptor and quality
116 trimming (phred quality ≥ 30). We then converted trimmed fastq files to aTRAM 2.0 blast
117 databases using the atram_preprocessor.py command of aTRAM v2.3.4 (Allen et al., 2018). We
118 used an amino acid sequence reference set of 2395 single-copy ortholog protein-coding genes
119 (Johnson et al., 2018) from the human louse, *Pediculus humanus* (Kirkness et al., 2010). We
120 assembled the single-copy ortholog genes using the atram.py command and the ABySS
121 assembler with the following parameters (iterations = 3, max-target-seqs = 3000). The Exonerate
122 pipeline in aTRAM (atram_stitcher.py command) was used to stitch together exon sequences
123 from these protein-coding genes (Slater and Birney, 2005).

124

125 *COI-based species delimitation analyses:* Several prior studies have indicated the potential for
126 cryptic species within species of *Columbicola* (Johnson et al., 2007; Malenke et al., 2009; Sweet
127 and Johnson, 2018), and we wanted to account for this in our comparative analyses. For
128 assembly of the mitochondrial COI gene, we subsampled four million reads (two million read1
129 and two million read2) from each library using Seqtk v1.3 (Li, 2022). As the reference target for
130 constructing COI sequences from all samples in our current work, we used a COI sequence from
131 *Columbicola columbae* that had previously been published (Johnson et al., 2007). For these
132 assemblies, we ran aTRAM for only a single iteration. Then, we translated COI DNA sequences
133 to amino acids, aligned them, and back-translated them to DNA sequences. As a quality control
134 procedure, we blasted COI sequences against NCBI to identify any identical or nearly identical to
135 previously generated Sanger sequences. We estimated a phylogenetic tree based on these COI
136 sequences under maximum likelihood using model parameters estimated by IQ-TREE 2
137 v.2.1.235 (Minh et al., 2020). We estimated ultrafast bootstrap support values with UFBoot2

138 (Hoang et al., 2017). Finally, we also computed the percent pairwise sequence divergences
139 among all the COI sequences (using the R function `dist.dna`, model "raw," pairwise.deletion = T
140 from APE v5.5, Paradis and Schliep, 2018) and looked at their distribution to identify likely cryptic
141 species, which indicated a 5% uncorrected p-distance threshold would be appropriate, as in prior
142 studies of lice (Johnson et al., 2021).

143
144 ***Phylogenomic analyses:*** We translated assembled single-copy-ortholog nucleotide sequences to
145 amino acids and aligned them using MAFFT v.7.47133 (Kato and Standley, 2013). After back-
146 translation to nucleotide sequences, we used trimAL v.1.4.rev2234 (with a 40% gap threshold)
147 (Capella-Gutiérrez et al., 2009) to trim individual gene alignments. We discarded any gene present
148 in less than four taxa. We then concatenated gene alignments into a supermatrix and analyzed it
149 under maximum likelihood using IQ-TREE 2 in a partitioned analysis that included model selection
150 for each partition. Support was estimated using ultrafast bootstrapping (Hoang et al., 2017). We
151 also ran a coalescent analysis using ASTRAL-III (Zhang et al., 2018) on individual gene trees
152 estimated by maximum likelihood in IQ-TREE 2. As a measure of branch support, we computed
153 local posterior probability for each branch in ASTRAL-III. Both trees were almost identical;
154 therefore, we only used the partitioned concatenated tree for dating and phylogenetic comparative
155 analyses.

156
157 ***Cophylogenetic analyses:*** We used eMPress v1.0 (Santichaivekin et al., 2020) to compare host
158 and parasite trees. As in prior cophylogenetic studies, we used costs of duplication: 1, sorting: 1,
159 and host-switching: 2. This is the cost scheme used by most published cophylogenetic studies of
160 lice, as well as other groups of ectosymbionts (Doña et al., 2017; Matthews et al., 2018; Sweet
161 and Johnson, 2018; Johnson et al., 2021, 2022; Boyd et al., 2022). For the host tree, we obtained
162 phylogenetic information from a prior phylogenomic study (Boyd et al., 2022). As there was no
163 phylogenetic information for fourteen of the focal species in this tree, we obtained the placement
164 of these species from additional phylogenetic studies (Johnson and Weckstein, 2011; Sweet et
165 al., 2017; Nowak et al., 2019). We used the phylogeny derived from the partitioned analysis
166 (above) for the parasite tree. Based on the distribution of the MPR distances histogram, we
167 summarized the MPR space into one cluster and drew a representative median MPR. From this
168 reconstruction we identified terminal cospeciation events between sister pairs of doves and lice to
169 use in the molecular dating analysis (below).

170
171 ***Dating analysis:*** We produced an ultrametric tree using the least square dating (LSD2) method
172 implemented in IQ-TREE (To et al., 2016). Because there are no currently known fossilized lice
173 within Ischnocera, we used terminal cospeciation events between sister pairs of doves and lice
174 (above) as calibration points for molecular dating (Johnson et al., 2021, 2022). Specific
175 cospeciation events that were used as calibration points can be found at Table S2 (see
176 Supplemental information). For this analysis, we set a root age of 52 mya (based on de Moya et
177 al., 2019) and a minimum branch length constraint ($u = 0.01$) to avoid collapsing short but
178 informative branches without introducing bias to the time estimates (see
179 <https://github.com/tothuhien/lzd2>).

180

181 **SNP calling and mlRho analyses**

182 We used the *Columbicola columbae* chromosome-level genome assembly (Baldwin-Brown et al.,
183 2021) as the reference for the SNP calling analyses. We aligned trimmed and filtered reads to the
184 *C. columbae* reference genome using bwa v0.7.17 (Li and Durbin, 2009). We then removed PCR
185 duplicates with picard v2.26.10 (Broad Institute, 2022) and sorted and indexed bam files with
186 samtools v1.14 (Danecek et al., 2021). We called SNPs using bcftools multiallelic caller (Danecek
187 and McCarthy, 2017). Lastly, we used vcftools to filter the vcf file with the following filtering
188 parameters: <40% missing data, site Phred quality score >30, a minimum genotype depth of 10X,
189 a maximum genotype depth of 60X, a minimum mean site depth of 10X and a maximum mean
190 site depth of 60X. A total of 177,895 SNPs remained after filtering.

191

192 We used mlRho v2.9 (Haubold et al., 2010) to calculate the sample-specific mean theta (θ),
193 which is defined as the population mutation rate, or $\theta = 4N\mu$, and which can be used as an

194 indicator of effective population size (Lynch, 2008; Meyer et al., 2012; Virrueta-Herrera et al.,
195 2022) because it is directly proportional to N_e . For this analysis, we converted bam files from bwa
196 to profile (.pro) files for each individual louse and then ran mlRho with maximum distance (M) = 0.

197

198 **Phylogenetic comparative methods**

199 We used phylogenetic generalized least squares (PGLS) models, gls function from nlme v3.1-149
200 R package (Pinheiro et al., 2020), to examine associations between θ (a measure of parasite N_e)
201 and host population size and host body size. We evaluated various phylogenetic correlation
202 structures in our weighted regressions (corPagel, corBrownian) and used AIC model comparisons
203 to identify the best fitting correlation structure for the models. We checked models via visual
204 inspection of diagnostic plots (residuals vs. fitted values and QQ plots to check normality).

205

206 **Results**

207 We found a strong positive relationship between θ , a metric directly proportional to N_e , and host
208 body size (PGLS, Brownian: $R^2_{\text{pred}} = 0.44$, $p < 0.001$; Pagel's λ : $R^2_{\text{pred}} = 0.48$, $p < 0.001$; Fig. 1).
209 In contrast, there was no significant relationship between θ and host population size (PGLS,
210 Brownian & Pagel's λ : $p > 0.05$). Including host population size in the best model led to a small
211 improvement in the overall model fit (PGLS, Pagel's λ including host population size, $R^2_{\text{pred}} =$
212 0.52), but the host population size term remained non-significant (PGLS, Pagel's λ model
213 including host population size, $p > 0.05$).

214

215 **Discussion**

216 For parasites such as lice, hosts represent their habitat (Clayton et al., 2015). Host body size
217 largely explains parasite infrapopulation size (Rozsa, 1997; Clayton and Walther, 2001).
218 Genome scale data for parasitic lice of pigeons and doves revealed that metrics (θ), associated
219 with effective population size (N_e), are also highly correlated with host body size. In contrast,
220 there was little association between parasite effective population size and host population size.
221 Thus, it appears that the smaller infrapopulation sizes on smaller-bodied hosts increase the
222 amount of inbreeding to such a degree that N_e is also reduced on smaller bodied hosts,
223 eliminating any effects of overall parasite population size.

224

225 Several studies have indicated that louse infrapopulations on single host individuals are highly
226 inbred, showing strong evidence of genetic structure even between host individuals in close
227 proximity (Ascunce et al., 2013; DiBlasi et al., 2018; Virrueta-Herrera et al., 2022). This
228 inbreeding would reduce the effective population size on single host individuals. However,
229 theoretical models predict that population structure should increase overall effective population
230 size (Charlesworth et al., 1997; Charlesworth et al., 2003; Charlesworth, 2009), at least to some
231 extent, because alternative alleles can go to fixation in different infrapopulations increasing the
232 overall standing genetic diversity of the global population. Counter to this expectation, we find
233 that the estimator of N_e is lower for parasites on small-bodied doves that are expected to host
234 smaller infrapopulations with higher levels of inbreeding.

235

236 One factor facilitating the effect of host body size on N_e may be the low migration rates of
237 permanent parasites. A moderate migration rate among parasite infrapopulations is expected to
238 increase N_e . However, permanent parasites, such as lice, have minimal dispersal capabilities and
239 thus migration rates are expected to be very low. While host population size has been previously
240 identified as a potential driver of parasite population dynamics (Doña and Johnson, 2020), the
241 lack of relationship between parasite N_e and host population size might be indicative of these very
242 low migration rates. In this case, N_e would be mainly influenced by the inbreeding of
243 infrapopulations and not by the overall size of the total parasite population, because low migration
244 prevents the overall population from approaching panmixis.

245

246 Selection is also known to influence effective population size (Charlesworth et al., 1997;
247 Charlesworth et al., 2003; Charlesworth, 2009). For loci under selection, the realized effective
248 population size is lower than those whose frequency is only affected by drift (Charlesworth et al.,
249 1997; Charlesworth et al., 2003; Charlesworth, 2009). Louse species with smaller infrapopulation

250 sizes, and higher inbreeding, might suffer more from inbreeding depression. This would be a
251 genome wide negative selection, which would be predicted to lower overall effective population
252 size (Hedrick and García-Dorado, 2016). It is unknown if lice suffer from inbreeding depression,
253 given that they normally experience high levels of inbreeding, but would be a topic of interest for
254 future investigation.

255
256 Another factor to consider is that smaller-bodied host species also typically have a lower parasite
257 prevalence (*i.e.*, proportion of host individuals that are inhabited by the parasite) (Bush et al.,
258 1997). This pattern might be due to smaller infrapopulations being more susceptible to local
259 extinction because of environmental and demographic stochasticity, a known factor shaping N_e
260 (Charlesworth, 2009; Doña and Johnson, 2020). Therefore, host body size could influence local
261 extinction probability of parasites and thus play a role in determining N_e of permanent parasites
262 (Farrell et al., 2021). Given the lower prevalence and intensity of lice on small-bodied hosts, it
263 may be that the total number of lice in the global population is actually smaller than those found
264 on large-bodied hosts. While it might be expected that small-bodied doves have generally larger
265 population sizes, because of the general inverse relationship between body size and population
266 size of most organisms (White et al., 2007), we found no such relationship in our dataset ($R^2 =$
267 0.003 , $p > 0.1$). This finding agrees with previous results on other birds (Nee et al., 1991). Thus,
268 while further research on global population estimates of louse species would help understand
269 these relationships, our results suggest that at lower taxonomic levels, host body size and not
270 host population size is the most explanatory factor of parasite N_e .

271
272 Considerations of effective population size also have implications for conservation. Parasites are
273 among the earth's most diverse, threatened, and under-protected animals (Carlson et al., 2017).
274 Under the global parasite conservation plan, risk assessment, along with applying conservation
275 genomics to parasites, were identified as two of the major goals for parasite conservation over
276 the next decade (Carlson et al., 2020). Our result that host body size, but not host population
277 size, is a good predictor of parasite N_e can easily translate into parasite conservation practices,
278 drawing attention to conservation of smaller bodied hosts as a practice to conserve parasites.

279
280 Overall, our study shows that host body size plays a major role in shaping parasite population
281 genomics and provides evidence for the essential role that individual hosts play as habitat for
282 permanent parasites with very limited transmission abilities.

283 **Acknowledgments**

284 We thank J.M. Bates, B. Benz, S.E. Bush, D.H. Clayton, T. Chesser, R. Faucett, R. Moyle, V.Q.
285 Piacentini, F. Sheldon, A.D. Sweet, J.D. Weckstein, and B. Zonfrillo for assistance in obtaining
286 specimens. We thank B.M. Boyd and S. Virrueta Herrera for assistance with gDNA extractions. A.
287 Hernandez and C. Wright at the University of Illinois Roy J. Carver Biotechnology Center
288 provided assistance with Illumina sequencing. We thank K.K.O. Walden for assistance with
289 submitting reads to NCBI SRA. Funding was provided by US NSF DEB-1342604, DEB-1925487
290 and DEB-1926919 grant awards to K.P.J., and European Commission grant H2020-MSCA-IF-
291 2019 (INTROSYM:886532) to J.D.

292 **Author Contributions**

293
294 J.D. designed the study, conducted the analyses, prepared figures, wrote the manuscript draft,
295 and edited the manuscript. K.P.J. designed the study, obtained funding, wrote the manuscript
296 draft, and edited the manuscript.

297 **Data accessibility**

298
299 Intermediary files generated in this study have been deposited in Figshare (reserved DOI:
300 10.6084/m9.figshare.21269640; private link for review:
301 <https://figshare.com/s/2f2de5dc909155da815a>).

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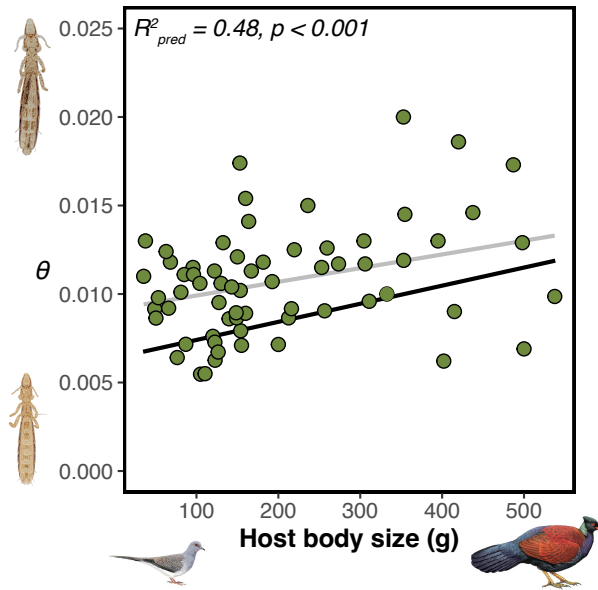
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471 **Figures**

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473 **Figure 1.** Relationship between a genome-wide measure (θ) of effective population size and host
474 body size. The black regression line corresponds to the PGLS model and the gray regression
475 line to the same GLS model without accounting for phylogenetic non-independence. Credit: louse
476 photos on the left: Stephany Virrueta-Herrera; bird illustrations on the bottom, ©Lynx Edicions
477 (*Otidiphaps nobilis*: Hilary Burn; *Geopelia cuneata*: Martin Elliott).



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