Development and validation of a type 2 diabetes machine learning classification model for EHR-based diagnostics and clinical decision support

Victor Glanz[1,*], Vladimir Dudenkov [1,+], Alexey Velikorodny[1,+]

[*] Correspondence: v.glanz@biome-science.com

[1] BioME, 15 rue des Halles, Paris, 75001, France

[+] equal contributors

Abstract

Background: Undiagnosed type 2 diabetes continues to represent a significant challenge for all national healthcare systems. Although diagnostic criteria and laboratory screening procedures are well-established, clinical tests have limitations, and in many cases, diagnosis confirmation and more accurate interpretation of the test results are required. Machine learning methods, when applied to clinical outcome risk prediction, demonstrate great effectiveness, as they recognize specific patterns in data dynamics and thus can be used for the identification of at-risk cases where diabetes and complications can be delayed or even prevented. The aim of this study was to develop a type 2 diabetes machine learning model capable of efficient early identification of diabetes presence based on the results of common laboratory tests.

Methods: Real-world medical data from electronic medical records were subjected to a multistage processing, including feature selection, missing values imputation. The machine learning algorithms adopted in this study were XGBoost, multilayer perceptron, ridge classifier, ridge classifier with polynomial features, bootstrap aggregating, dynamic ensemble selection, stacked generalization. An external dataset was analyzed

via the same workflow to validate the initial results. The study was designed in accordance with the TRIPOD statement.

Results: We have developed a machine learning classification model for type 2 diabetes that possesses several important advantages over conventional clinical methods (specifically, FINDRISC, ADA risk score). Performance metrics for the diabetes diagnostic model were 0.96 AUC, 92% specificity, and 89% sensitivity (mean values).

Conclusions: The study results potentially have major clinical implication and provide a contribution to the field of conventional diabetes risk assessment tools. Being specifically trained on real-world laboratory data and based on satisfactory external validation results, the present diagnostic type 2 diabetes model demonstrates high generalizability and can serve as a medical decision support and health monitoring tool.

Keywords: machine learning; diabetes; electronic health records

Background

Diabetes remains one of the most rapidly developing health emergencies around the world despite continuous scientific progress uncovering the molecular basis of its pathogenesis. Globally, more than 537 million people are affected by diabetes, and the situation is expected to get even worse, as 46% more cases are predicted to emerge by 2045, according to the International Diabetes Federation (1). Being a complex systemic disorder, diabetes can be categorized into four general types, as proposed by the American Diabetes Association (ADA): 1) type 1 diabetes (T1D), absolute insulin deficiency resulting from autoimmune destruction of β-cells; 2) type 2 diabetes (T2D), loss of insulin secretion by β-cells and tissue sensitivity to insulin resulting from inflammation, metabolic stress and genetic predisposition to certain abnormalities of metabolism; 3) gestational diabetes (GA), pregnancy-specific type, not evident before gestation; 4) other specific types (2). Hyperglycemia is a clinical manifestation of various underlying mechanisms of diabetes development. Diagnostic procedures consist of laboratory measurements of plasma glucose (fasting or oral glucose tolerance test) and glycated hemoglobin. Current diagnostic cut-off values, established by ADA, are above 126 mg/dL (7.0 mmol/L) for fasting glucose and $\geq 6.5\%$ for glycated hemoglobin.

Missed undiagnosed cases of diabetes and inability to efficiently detect high-risk individuals early are the main healthcare issues, that scientific research is yet to resolve. To address this problem, the use of machine

learning and deep learning methods that created opportunities to improve medical data processing, which is

confirmed by numerous studies (3,4). Such methods as support vector machine (SVM), random forest (RF),

and extreme gradient boosting (XGBoost) have successfully been used to predict the outcomes for

hypertension (5). Neural networks and deep learning techniques can be applied for electronic health records

(EHR) analysis (6). The cooperative application of deep learning models and bootstrap aggregation was able

to improve the quality of information extraction systems from cancer pathology reports (7). In managing

diabetes, machine learning (ML) methods can facilitate both diagnosis and prognosis. Comprehensive

literature reviews by Kodama et al. and Silva et al. provide an outlook of the most clinically relevant ML

diabetes prediction models that were evaluated in terms of performance metrics (8,9). Prognostic only ML

diabetes models had a mean area under the curve (AUC) value of 0.88, while pooled prognostic and

diagnostic low-bias-algorithms showed 0.830 for c-index. In contrast, several traditional diabetes risk

estimation methods (FINDRISC, AUSDRISC, etc.) showed a mean AUC of 0.79 (8). To improve diabetes

diagnosis procedure, a diagnostic ML-system was proposed by Calisir and Dogantekin (10). Efficient

diabetes classification was achieved via the stages of feature selection (by using the Linear Discriminant

Analysis method), classification (by using Morlet Wavelet Support Vector Machine classifier), and

diagnostic performance evaluation. Although high values of sensitivity and specificity were obtained (0.833

and 0.937, respectively), the system was not validated on real-world data (the Pima Indians Diabetes

Database was used for the study). Zheng et al. proposed a semi-automated framework based on machine

learning and feature engineering (11). Lab features were supplemented with information on self-reported

diabetic symptoms and complications. The framework included six machine-learning models: k-Nearest-

Neighbors, Naïve Bayes, Decision Tree, Random Forest, Support Vector Machine, and Logistic Regression.

EHR data generated by ten local EHR systems were used for the training and validation stages of the study.

The authors reported an average AUC of 0.98 during 4-fold cross-validation. Han et al. used support vector

machines to screen for diabetes and added an ensemble learning module, which turned SVM decisions into

an explicit set of rules (12). The considered feature set was limited to nine measurements: glycated

hemoglobin A1c, triglycerides, uric acid, high-density lipoprotein, age, diastolic blood pressure, total

cholesterol, waist circumference, and weight. The data from the China Health and Nutrition Survey was used

as the data source. Rule sets generated by the ensemble learning method demonstrated weighted average

precision of 0.942 and an average recall of 0.939. Nguyen et al. presented a deep learning model that combined wide deep classifiers and an ensemble model to improve diabetes prediction (13). A wide range of features was considered, including diagnoses, medications, and laboratory tests. The authors used a publicly available American EHR dataset released by Practice Fusion in 2012 for a data science competition. During 10-fold cross-validation the following performance metrics were achieved: AUC 0.841, sensitivity 0.311, and specificity 0.968.

Type 2 diabetes was the focus of the present work due to several reasons. First, T2D affects significantly more individuals than any other type and represents 90%-95% of all cases. Worth noting that T2D is no longer considered as a 'disease of the adults', nor does T1D occur specifically at an early age. Second, the rate of undiagnosed T2D cases is alarmingly high due to the increase in plasma glucose levels being gradual, often too slow for a person to notice any symptoms. Finally, although T2D screening laboratory tests and risk prediction algorithms are established and available in the clinic, the proper time interval between screenings is not defined. Current ADA guidelines recommend a minimum 3-year interval, but it is admitted that the timing can and should be personalized (2). Personalized screening intervals based on diabetes risk can be critical for timely intervention aimed at delaying or preventing the onset of T2D.

Overall, while previous studies established a foundation to build efficient diabetes models, several issues that substantially reduce their performance remain unresolved: 1) use of subjective information, such as patient-reported symptoms and medical history instead of precise laboratory data; 2) lack of personalized data-based real-time feature selection - most of the existing models use limited sets of predicting features, chosen primarily from literature; 3) diabetes and other disease-specific models are usually trained on clinical study data with little to no missing parameters. However, missing data are omnipresent in a real-world setting. Therefore, patients with incomplete data are usually excluded from modeling studies; 4) common lack of external validation limits translation of study results into practice. The current study addresses these issues by combining real-world patient-generated laboratory data from EHR, automatic feature selection approach, widely used imputation methods, and sophisticated machine learning algorithms in a prediction model that can serve as a medical decision support and health monitoring tool.

Methods

Data source and data selection

The source of data for the study were EHRs containing ultrasound markers, laboratory measurement results and information about clinical outcomes (ICD-10 codes determined by medical specialists). We used records from 04.09.2018 to 01.01.2022 extracted from a private anonymized dataset that included 157615 patients. After primary filtration, the following patients were excluded: T1D and other non-T2D types of diabetes, pregnant women, and patients under 18 years of age. The remaining data underwent the outlier detection and feature selection procedures. Only the information regarding patients with significant (relevant) features was obtained. The following selection strategy was applied at the next stage: measurement results were gathered 12 months before the diagnosis for each patient in the 'diabetes' cohort and 12 months before the examination by an endocrinologist for non-diabetics. Table rows that did not contain information about fasting plasma glucose level were excluded. The described data filtration process is shown in Fig. 1.

Figure 1 Data selection chart for the main dataset

Model development workflow

EHR data went through the sequential stages of collection, feature selection, and outlier detection. The resulting dataset was split into test and training subsets. We used a stratified split of 70% and 30% for the training and testing datasets, respectively. With the stratified split strategy, the ratio of diabetics to non-diabetic individuals in splits remains the same as in the full population. Since the splitting was random, it was repeated three times to ensure the reliability of the results. Because of missing values in the data, it was necessary to apply data imputation methods (14). Both multivariate and univariate approaches to missing-values imputation were considered in this work. The data imputation step was combined with the hyperparameter optimization procedure. A specific imputation method was individually selected for each machine learning model. The standard procedure of removing mean values and normalizing to unit variance was then applied.

The following algorithms were selected, based on the current trends in machine learning (15,16):

- XGBoost
- Multilayer perceptron
- Ridge classifier
- Ridge classifier with polynomial features
- Bootstrap aggregating
- Dynamic ensemble selection.

Additionally, a stacked generalization was employed as a combination of several machine learning models, with logistic regression as a meta-estimator. Final modeling results were evaluated and interpreted both by biomedical experts and statistical methods. The research workflow shown in Fig. 2 was designed in accordance with the TRIPOD (Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis) statement (17).

144   **Figure 2** Workflow chart of the diagnostic model development

Outlier detection

146   Prior experience with clinical biomarker data had shown that automatic methods did not provide sufficient

147   quality for outlier detection. Thus, 'cleaning' the data of outliers was carried out using the intervals of

148   acceptable values specified by laboratory manuals and results of literature analysis.

Feature selection

Feature selection is the process of dimensionality reduction of the feature space that helps to improve

computation time and to better understand the complex data (18,19). In addition, a possible reduction of

features number is necessary for medical application of the model. Feature importance is the central concept

of feature selection and refers to a score assigned to all the input features. A higher score means that the

feature will have a larger effect on the ML model used to predict a target variable. The feature selection

methods described hereafter have been proved to be efficient in the following studies (20–22).

Mutual information

Mutual information is a non-negative measure from the field of information theory. It is calculated between

two variables and measures the amount of information one can obtain from a random variable from another.

The formal definition for mutual information between two random variables   and   is as follows:

where   is the mutual information for   and   ,   is the entropy for   and   is the

conditional entropy for   given   .

Mutual information is straightforward when discrete variables are considered. However, it can be adapted to

a continuous input with a discrete output. Nonparametric methods are used in this case, based on the entropy

estimate for k-nearest neighboring distances (23,24).

The Gini index

A well-known measure of inequality, the Gini index, can be used for the feature selection task (25). It

measures the 'purity' of features in relation to a class. Gini index defines the discrimination level of a feature

to distinguish between possible classes. The Gini index for a feature F is defined as:

$$GI(F) = \sum_{i=1}^{n} P(F \vee C_i)^2 P(C_i \vee F)^2$$

where $P(F \vee C_i)$ is the feature F probability given class C_i , $P(C_i \vee F)$ is the class C_i probability given the

feature F. In our case, respectively, n = 2. Gini index measures the 'purity' when using a chosen feature, and

assumes values in the range [0,1]. Therefore, the value $1 - GI$ was used as a feature importance measure.

ANOVA

Analysis of variance (ANOVA) is a collection of statistical methods to analyze the differences between the

means of groups (26). The ANOVA F-value is:

$$F = \frac{SS_B/DF_B}{SS_W/DF_W}$$

where SS_B is a sum of squares between the groups, DF_B is a degree of freedom for a mean square between the

groups, SS_W is a sum of squares within the groups, DF_W is a degree of freedom for a mean square within the

groups. High F-values correspond to the best discriminative capacity of the feature.

Tree-based method

Extremely randomized trees were employed as an intrinsic tree-based method (27). It is an ensemble learning

technique that aggregates the outcomes of many decision trees to generate the final prediction. In case of tree-

based method, the importance of a feature is computed as the normalized total reduction of the criterion

brought about by that feature (impurity-based importance). Because of the stochastic nature of the algorithm,

feature importance values might vary between calculations. Therefore, the final value was an average from

ten runs.

 Combining results

To generalize the results of all methods, the total importance of the features was computed. The selected

methods were applied to the feature space, resulting in a M×N matrix, where M is the number of features, N

is the number of methods. The values in each row were normalized and added up. The final values represent

the generalized feature importance.

Data imputation and hyperparameter optimization

Electronic health records (EHRs) often include a high proportion of missing data, which can create

difficulties in the implementation of machine learning models. Most algorithms require that their inputs have

no missing values. A straightforward way to work with incomplete EHRs is to discard entire records

containing missing values. However, this approach dictates a considerable risk of losing the significant

amount of information necessary for efficient model performance. Here, this approach is unacceptable since

the developed model is aimed at real clinical practices, where one must always deal with missing data. Thus,

the imputation methods for clinical data were employed (28,29). To achieve the best result, the data

imputation step was combined with the hyperparameter tuning. It was assumed that each model can have its

optimal data imputation algorithm. Therefore, the data imputation method and its parameters were considered

as additional hyperparameters. It should be noted that XGBoost model, for instance, does not require data

imputation procedures. Data imputation types are listed below. Both univariate and multivariate imputation

methods were employed.

• K-nearest neighbor (KNN). A missing sample is imputed by finding the closest samples in the

training set and averaging these nearby samples to fill in the value. The configuration of KNN

imputation involves selecting the distance measure and the number of neighbors for each prediction.

• Multiple Imputation by Chained Equations (MICE). MICE imputes missing values through an

iterative series of predictive models (30). In each iteration, each specified feature in the dataset is

imputed using other features. Iterations must be conducted until convergence is achieved.

• Univariate imputation using the feature statistics. This method assumes that the missing values are

replaced with a specific statistical measure corresponding to the entire feature column (median,

mode, or mean value). The choice of a particular statistic is a configurable parameter of this method.

The listed imputation methods are also often used in medical and bioinformatics research (31–33).

Additionally, all methods were supplemented with a missing-indicator (34). Each dimension was marked

with a Boolean flag indicating whether its value is missing or not.

A state-of-the-art Optuna framework was used for hyperparameter optimization (35). Tree-structured Parzen

Estimator (TPE) was chosen as a sampling method (36). TPE is a single-objective Bayesian algorithm that

uses tree-structured adaptive Parzen estimators as a surrogate (37).

Machine learning algorithms

XGBoost

Extreme Gradient Boosting (XGBoost) is a state-of-the-art algorithm designed to be parallelizable,

computationally efficient, and resistant to overfitting. It is based on the idea of gradient boosting (38).

Gradient boosting combines the predictions of weak estimators by dinting additive training strategies. The

classification and regression trees (CART) are used as weak estimators in XGBoost. The output of each

CART is combined using the following additive function:

$$\hat{y}_i = \sum_{k=1}^{K} f_k(x_i)$$

225   where K is the number of trees, f_k is a function in the functional space of all possible CARTs. XGBoost

226   learning is an iterative process. At every iteration, a new CART tries to rectify the weakness in the prediction

227   of a previous one. $\hat{y}_i$ for iteration t is given as:

$$\hat{y}_i^{(t)} = \hat{y}_i^{(t-1)} + f_t(x_i)$$

228   where $\hat{y}_i^{(t)}$ is the prediction for iteration t, $f_t(x_i)$ is an additive function for iteration t. XGBoost introduces

229   the idea of regularization to reduce the model complexity. The objective with regularization is:

$$Obj = \sum_{i=1}^{n} l\left(y_i, \hat{y}_i^{(t-1)} + f_t(x_i)\right) + r(f_t)$$

230   where l is the loss function, r is the regularization term. The regularization term is defined as:

$$r(f) = \gamma T + \frac{1}{2}\lambda \sum_{j=1}^{T} \omega^2$$

where γ and λ are the regularization degree control parameters, T is the number of leaves, ω is the score on

each leaf. The regularization and tree-specific parameters, the number of boosting iterations, and sampling

restrictions were selected by the hyperparameters tuning procedure.

Multilayer perceptron

A multilayer perceptron (MLP) is a fully connected artificial neural network (39). MLP consists of layers of

neurons: one input layer, one or more hidden layers, and one output layer. Each neuron receives inputs from

other neurons of the previous layer and provides outputs to the neurons of the following layer. The presence

of at least one hidden layer allows MLP to approximate any continuous function. The connection strength

between neurons is controlled by weights. The output of a given neuron is a linear weighted sum of inputs

from the previous layer, which is passed through the activation function:

$$y_j = \phi \left(\sum_{i=1}^{n} \left(w_{ji} x_i + w_{j0} \right) \right)$$

241   where y_j is an output of j-th neuron, x_i is an input from the i-th neuron of the previous layer, w_{ji} is weight, ϕ

242   is the activation function.

243   Weights are obtained via the training process by optimizing a predefined loss function. We used the binary

244   cross entropy loss function:

$$Loss = \frac{-1}{N} \sum_{i=1}^{N} \left(y_i \log \hat{y}_i + (1 - y_i) \log(1 - \hat{y}_i) \right)$$

where $\hat{y}_i$ is the i-th value in the model output, y_i is the corresponding target value, N is the number of values

in the model output. Here, $N = 1$.

The dropout can be used as a neural network regularization method (40). It improves the generalization

performance by repeating the procedure of temporarily removing neurons. The choice of neurons to be

dropped is random. The strength of dropout can be regulated by the dropout rate, i.e., by the probability that

the neuron will be removed.

The dropout rate, an activation function, the number of hidden layers, and the number of neurons in layers

were the main hyperparameters for tuning the present MLP model.

Ridge classifier

Ridge regression improves the classic linear regression model by imposing L2 regularization element (41).

The ridge coefficients minimize penalized residual sum of squares:

$\sum_{i=1}^{M}(y_i - \hat{y}_i)^2 = \sum_{i=1}^{M}\left(y_i - \sum_{j=0}^{p} w_j\, x_{ij}\right)^2 + \alpha \sum_{j=0}^{p} w_j^2$

where α is a regularization strength. Higher values specify stronger regularization. We identified

regularization strength as one of the most important for the hyperparameter optimization stage. The ridge

classifier, based on the ridge regression method, can be applied to the binary classification problem. It

converts the target variable ("diabetic" and "non-diabetic" in this case) into [-1, 1] and applies the regression

method. The highest value in prediction is accepted as a target class.

Ridge classifier with polynomial features

The polynomial extension of the ridge classifier was considered as a separate model. We expanded the

feature space by polynomial combinations of several base features with degrees less than or equal to the

specified limit. Thereby, the specified degree and the set of features to expand functioned as parameters for

the hyperparameter optimization. The described polynomial extension of the ridge classifier may be

considered a type of feature engineering. This extension allows to identify nonlinear patterns (42).

Bootstrap aggregating

Bootstrap aggregation (bagging) is an ensemble learning technique that uses bootstrap sampling (43). The

bagging fits base estimators on different bootstrap samples of the training dataset and combines them using

the average of the predictions, or a different statistic. Bagging is a classic machine learning model used

frequently to improve the accuracy of arbitrary base estimators (44,45). The type of base estimators and their

number were significant parameters of the algorithm, hence their inclusion in the hyperparameter

optimization stage. Bootstrap parameters, such as the sample limit and the feature limit for the splitting, were

also employed.

Dynamic ensemble selection

Dynamic ensemble selection strategies refer to the ensemble learning techniques that dynamically choose a

subset of models to make a prediction based on a specific input (46). The subset is defined according to the

model competence, estimated over certain local region of the target instance. This local region is called the

Region of Competence (RoC). Clustering and distance-based methods were used to define RoC (47,48). The

competence of the base models is estimated using samples in the RoC according to predefined criteria.

Several DES algorithms were used in this study:

- K-Nearest Oracles Eliminate (KNORA-E)

- K-Nearest Oracles Union (KNORA-U)

- Dynamic Ensemble Selection-Kullback-Leibler divergence (DES-KL)

- Randomized Reference Classifier (RRC)

- Meta-learning for dynamic ensemble selection (META-DES)

A description of the listed algorithms is given in (49–53). The algorithm type was considered a

hyperparameter for optimization. META-DES was eventually selected. An optimized and trained bagging

ensemble was used as a pool of DES base models.

Stacked generalization

Stacked generalization is a technique that combines multiple models to reduce their biases (54). Various

models (level-0 models) make classification or regression predictions, and their outputs form a new set of

data (55). The new set of data is used as input for another learning model, (level-1 model), being trained

through cross-validation to resolve it. Previously described models were utilized as level-0 models,

optimized, and trained individually. Three classic models were considered as level-1 candidates: naive Bayes

classifier, logistic regression, and decision tree classifier. Finally, logistic regression was chosen by the

hyperparameter optimization procedure.

Evaluation metrics

Accuracy metric was rejected because it can be misleading in an imbalanced dataset. The comprehensive set

of performance metrics derived from ROC analysis was employed instead:

- Area under the receiver operating characteristic curve (AUC)

- Equal error rate (EER)

- F1 score

- Macro F1 score

- True positive rate (sensitivity)

- True negative rate (specificity)

• Positive predictive value (precision)

• Negative predictive value (NPV)

The cut-off point for ROC curves was found by maximizing the Youden's index:

$$J = \max_{c}(\text{sensitivity}(c) + \text{specificity}(c) - 1)$$

311   where J is the Youden's index and c is the cut-off point.

Results interpretation

313   Post-processing of the results was achieved by applying the Shapley additive explanations (SHAP) method.

314   SHAP explains predictions of the arbitrary model using the game theory approach. SHAP computes Shapley

315   values, and features in a dataset are considered as players in a coalition. Shapley value explanation is

316   represented as an additive feature attribution method (56):

$$g(z') = \phi_0 + \sum_{j=1}^{M} \phi_j z'_j$$

317   where g is the explanation model, z' is the coalition vector, M is the maximum coalition size, and ϕ_j is the

318   Shapley value for a feature j. Using Shapley values, we can obtain an a posteriori feature importance, which

319   is evaluated as an average of the absolute Shapley values per feature (57):

$$I_j = \frac{1}{n} \sum_{i=1}^{n} |\phi_j^{(i)}|$$

Results

Data description

322   At the feature selection stage, forty-nine significant features were selected out of 827 features, considering

323   the methods described above and the opinions of biomedical experts. The final dataset for model derivation

324   was a table containing 40039 records corresponding to 30550 patients (1108 diabetics and 29442 non-

325   diabetics), with each patient having one or several records in a 5-year timeline. Dataset characteristics

326   corresponding to forty-nine features are presented in Table 1.

n	Missing	Overall	Diabetic	Healthy	P-Value	SMD
		40039	1230	38809		

Age, years, median [Q1, Q3]		0	42.00 [31.00,57.00]	61.00 [53.00,69.00]	41.00 [31.00,57.00]	<0.001	-1.156
Glucose (measured with blood glucose meter), mmol/L, median [Q1, Q3]		36904	5.80 [5.10,6.80]	8.00 [6.80,10.80]	5.70 [5.00,6.30]	<0.001	-1.090
Glucose (fasting), mmol/L, median [Q1, Q3]		0	5.06 [4.68,5.51]	7.41 [6.21,9.59]	5.04 [4.67,5.46]	<0.001	-1.350
Sex, median [Q1, Q3]	0	0	11566 (28.89)	407 (33.09)	11159 (28.75)	0.001	0.094
	1		28473 (71.11)	823 (66.91)	27650 (71.25)		
SBP, mm Hg, median [Q1, Q3]		15498	127.00 [117.00,140.00]	142.25 [130.00,157.00]	126.00 [116.00,140.00]	<0.001	-0.803
Glucose in urine, mmol/L, median [Q1, Q3]		21245	0.00 [0.00,0.00]	0.00 [0.00,3.00]	0.00 [0.00,0.00]	<0.001	-0.592
DBP, mm Hg, median [Q1, Q3]		15486	80.00 [74.00,89.00]	86.00 [78.00,94.00]	80.00 [74.00,88.00]	<0.001	-0.444
Alpha angle of electrical heart axis, degree, median [Q1, Q3]		26196	44.00 [12.00,65.00]	13.50 [-5.00,36.00]	45.00 [14.00,66.00]	<0.001	0.718
ESR, mm/hour, median [Q1, Q3]		5662	7.00 [4.00,12.00]	13.00 [7.00,24.00]	7.00 [4.00,12.00]	<0.001	-0.570
Blood oxygen saturation, %, median [Q1, Q3]		20788	98.00 [97.00,99.00]	98.00 [97.00,98.00]	98.00 [97.00,99.00]	<0.001	0.316
Liver right lobe thickness, mm, median [Q1, Q3]		24893	129.71 [118.87,143.79]	146.49 [132.15,161.41]	129.48 [118.70,143.23]	<0.001	-0.737
Mycoplasma hominis, Lg (GE), median [Q1, Q3]		37985	0.00 [0.00,0.00]	0.00 [0.00,0.00]	0.00 [0.00,0.00]	0.570	0.261
LV end-diastolic diameter, mm, median [Q1, Q3]		35132	49.59 [46.28,53.39]	52.45 [49.03,56.00]	49.41 [46.25,53.33]	<0.001	-0.549
Prolactin, mIU/mL, median [Q1, Q3]		36718	349.30 [246.00,494.50]	263.25 [179.52,409.82]	350.10 [246.70,496.60]	0.006	0.274
HDL-C, mmol/L, median [Q1, Q3]		29142	1.36 [1.11,1.68]	1.18 [0.98,1.42]	1.37 [1.12,1.69]	<0.001	0.534
Triglycerides, mmol/L, median [Q1, Q3]		28043	1.21 [0.85,1.76]	1.81 [1.32,2.60]	1.19 [0.84,1.72]	<0.001	-0.597
LV end-systolic volume, cm^3, median [Q1, Q3]		35133	40.74 [33.14,50.24]	51.59 [41.51,63.04]	40.40 [33.07,49.86]	<0.001	-0.633

LA diameter, mm, median [Q1, Q3]	35132	36.47 [32.94,40.00]	40.31 [36.85,44.12]	36.32 [32.78,40.00]	<0.001	-0.780
Liver left lobe thickness, mm, median [Q1, Q3]	24895	62.80 [54.91,72.84]	72.15 [63.14,82.69]	62.58 [54.79,72.59]	<0.001	-0.627
Monocytes, %, median [Q1, Q3]	4163	8.80 [7.50,10.30]	8.30 [7.10,9.85]	8.80 [7.60,10.30]	<0.001	0.206
VLDL-C, mmol/L, median [Q1, Q3]	29152	0.56 [0.39,0.81]	0.83 [0.61,1.20]	0.55 [0.39,0.79]	<0.001	-0.642
Right ovary volume, cm^3, median [Q1, Q3]	32005	6.08 [3.25,9.45]	2.15 [1.41,4.96]	6.11 [3.29,9.47]	<0.001	0.283
Left ovary volume, cm^3, median [Q1, Q3]	31993	5.68 [3.16,8.87]	2.67 [1.28,5.00]	5.70 [3.19,8.90]	<0.001	0.329
Head of the pancreas thickness, mm, median [Q1, Q3]	26198	25.24 [21.76,28.89]	28.99 [25.75,32.55]	25.17 [21.70,28.77]	<0.001	-0.641
Basophils, 10^9 cells/L, median [Q1, Q3]	4163	0.02 [0.02,0.03]	0.03 [0.02,0.04]	0.02 [0.02,0.03]	<0.001	-0.275
EF, %, median [Q1, Q3]	35132	64.36 [61.47,67.52]	61.44 [56.70,64.62]	64.48 [61.59,67.62]	<0.001	0.692
Eosinophils, 10^9/L, median [Q1, Q3]	4163	0.13 [0.08,0.21]	0.15 [0.10,0.23]	0.13 [0.08,0.21]	<0.001	-0.106
Neutrophils, 10^9/L, median [Q1, Q3]	4164	3.40 [2.66,4.35]	4.16 [3.22,5.23]	3.38 [2.65,4.33]	<0.001	-0.443
LV end-systolic diameter, mm, median [Q1, Q3]	35132	31.93 [29.37,34.82]	35.25 [32.18,38.28]	31.85 [29.31,34.71]	<0.001	-0.697
RDW-SD, fL, median [Q1, Q3]	4173	42.20 [40.40,44.40]	43.30 [41.20,45.60]	42.20 [40.30,44.40]	<0.001	-0.257
MCH, pg, median [Q1, Q3]	4155	29.60 [28.40,30.60]	29.60 [28.20,30.60]	29.60 [28.40,30.60]	0.819	-0.030
Urea, mmol/L, median [Q1, Q3]	18426	4.50 [3.65,5.60]	5.49 [4.38,6.85]	4.50 [3.62,5.54]	<0.001	-0.511
LV end-diastolic volume, cm^3, median [Q1, Q3]	35133	115.98 [98.73,137.65]	132.13 [113.27,155.25]	115.03 [98.62,137.32]	<0.001	-0.550

Eosinophils, %, median [Q1, Q3]	4452	2.10 [1.40,3.20]	2.10 [1.40,3.10]	2.10 [1.40,3.20]	0.396	0.061
Uric acid, mmol/L, median [Q1, Q3]	28563	295.00 [240.00,362.00]	337.00 [284.00,409.00]	293.00 [239.00,360.00]	<0.001	-0.481
ALT, U/L, median [Q1, Q3]	10282	16.60 [11.60,25.60]	23.30 [15.30,36.50]	16.50 [11.50,25.30]	<0.001	-0.263
Alpha amylase, U/L, median [Q1, Q3]	22652	54.70 [42.00,69.80]	45.40 [33.50,60.00]	55.00 [42.30,70.00]	<0.001	0.328
RR interval, msec, median [Q1, Q3]	26083	842.00 [750.00,938.00]	797.50 [693.00,889.75]	845.00 [752.00,939.00]	<0.001	0.344
LV posterior wall thickness, mm, median [Q1, Q3]	35133	9.41 [8.37,10.40]	10.00 [9.26,11.00]	9.41 [8.36,10.30]	<0.001	-0.530
RDW-CV, %, median [Q1, Q3]	4172	13.10 [12.60,13.80]	13.50 [12.80,14.20]	13.10 [12.60,13.80]	<0.001	-0.211
WBC, 10^9 cells/L, median [Q1, Q3]	4155	6.42 [5.35,7.70]	7.47 [6.23,8.95]	6.39 [5.33,7.66]	<0.001	-0.408
Atherogenic index of plasma, median [Q1, Q3]	29144	2.68 [1.89,3.66]	3.36 [2.54,4.52]	2.65 [1.87,3.63]	<0.001	-0.485
Body of the pancreas thickness, mm, median [Q1, Q3]	26196	13.00 [10.57,15.80]	15.90 [13.13,18.63]	13.00 [10.53,15.65]	<0.001	-0.670
Left kidney width, mm, median [Q1, Q3]	29433	51.02 [47.00,55.54]	53.12 [49.71,58.18]	51.00 [46.91,55.48]	<0.001	-0.399
Left ovary length, mm, median [Q1, Q3]	31879	28.50 [23.48,33.36]	22.54 [17.34,28.02]	28.55 [23.58,33.40]	<0.001	0.596
Left ovary thickness, mm, median [Q1, Q3]	31879	17.23 [13.49,20.78]	12.66 [9.72,16.25]	17.27 [13.53,20.82]	<0.001	0.554
Right kidney length, mm, median [Q1, Q3]	29428	107.66 [102.10,114.00]	110.94 [103.99,118.60]	107.60 [102.07,113.92]	<0.001	-0.300
Basophils, %, median [Q1, Q3]	4163	0.40 [0.20,0.50]	0.40 [0.20,0.50]	0.40 [0.20,0.50]	0.160	-0.023
Left kidney length, mm, median [Q1, Q3]	29434	108.93 [102.85,115.64]	112.16 [105.89,119.80]	108.88 [102.81,115.50]	<0.001	-0.320

Table 1 General characteristics of training dataset featuring T2D model predictors. P-values of continuous

and categorical variables are measured by the Kruskal-Wallis test and Chi-squared test, respectively. 0 male

sex, 1 female sex, SMD standardized mean difference, SBP systolic blood pressure, DBP systolic blood

pressure, ESR erythrocyte sedimentation rate, LV left ventricle of the heart, LA left atrium of the heart,

HDL-C high-density lipoprotein cholesterol, VLDL-C very low-density lipoprotein cholesterol, EF ejection

fraction of the heart, RDW-SD red cell distribution width-standard deviation, RDW-CV red cell distribution

width-coefficient of variation, MCH mean cell hemoglobin, ALT alanine aminotransferase, WBC white

blood cells.

Individuals with diabetes had greater median age (61 years vs. 41 years for 'healthy' individuals), plasma

triglyceride levels (1.81 mmol/L vs. 1.19 mmol/L), fasting plasma glucose levels (7.41 mmol/L vs. 5.04

mmol/L). T2D was also associated with hypertension (median systolic blood pressure was 142.25 mm Hg).

Moreover, there was a difference in several sonography-derived measurements of the liver and pancreas

between the two cohorts.

341   Model development and evaluation

342   The dataset described above was used for evaluating several models. A detailed description of the models is

343   given in Methods (see Machine learning algorithms). Each model was trained and evaluated using training

344   and test subsets, respectively. To obtain more reliable results, we assigned three train/test splits and

345   calculated performance metrics for each of them. The results are shown in Table 2.

	Split	AUC	EER	F1 score	Macro F1 score	Sensitivity	Specificity	Precision	NPV
MLP	#1	0.926	15.773	0.224	0.558	0.890	0.808	0.213	0.996
	#2	0.960	9.716	0.346	0.644	0.915	0.893	0.213	0.997
	#3	0.955	10.464	0.358	0.653	0.886	0.903	0.224	0.996
	Mean	0.947	11.984	0.309	0.618	0.897	0.868	0.188	0.996
Ridge classifier	#1	0.946	12.836	0.430	0.698	0.789	0.940	0.295	0.993
	#2	0.916	16.753	0.310	0.626	0.776	0.898	0.194	0.992

	#3	0.917	15.794	0.305	0.622	0.801	0.891	0.188	0.993
	Mean	0.926	15.128	0.348	0.649	0.789	0.910	0.226	0.993
Ridge classifier with polynomial features	#1	0.958	10.993	0.431	0.697	0.850	0.934	0.289	0.995
	#2	0.946	12.254	0.325	0.633	0.862	0.891	0.200	0.995
	#3	0.947	13.001	0.256	0.583	0.902	0.837	0.149	0.996
	Mean	0.950	12.083	0.337	0.638	0.871	0.887	0.213	0.995
XGBoost	#1	0.961	10.515	0.343	0.643	0.902	0.894	0.212	0.997
	#2	0.939	12.588	0.321	0.630	0.862	0.889	0.197	0.995
	#3	0.934	15.121	0.363	0.658	0.809	0.916	0.234	0.993
	Mean	0.945	12.741	0.342	0.644	0.858	0.900	0.214	0.995
Bootstrap aggregating	#1	0.956	11.482	0.386	0.670	0.862	0.917	0.249	0.995
	#2	0.933	13.484	0.272	0.596	0.874	0.856	0.161	0.995
	#3	0.934	14.628	0.339	0.643	0.825	0.904	0.213	0.994
	Mean	0.941	13.198	0.332	0.636	0.854	0.892	0.208	0.995
Stacked Generalization	#1	0.962	10.957	0.380	0.666	0.882	0.913	0.242	0.996
	#2	0.955	10.925	0.374	0.662	0.878	0.911	0.237	0.996
	#3	0.961	10.093	0.402	0.679	0.890	0.920	0.260	0.996
	Mean	0.959	10.658	0.385	0.669	0.883	0.915	0.246	0.996

Table 2 Performance metrics for the first train/test split

Application of the DES classifier was declined because it did not provide any metric improvements compared to the base bagging model. ROC curves of the considered classifiers for the first train/test split are shown in Fig. 3. The stacked generalization model yields the best performance results. The stacked generalization model was further improved at the development phase and validated (see below).

353   **Figure 3** ROC curves for all models

Interpretation

355   A post hoc importance analysis has been performed by applying the SHAP method. The beeswarm plot and

356   the bar chart of average absolute SHAP values are shown in Fig. 4A and Fig. 4B. To facilitate interpretation,

357   absolute SHAP values were normalized to add up to one hundred, to simplify interpretation procedures.

Figure 4 A: beeswarm plots. Each dot corresponds to a patient case. The dot's position on the horizontal axis shows the impact that feature had on the prediction. B: bar chart of the normalized average absolute SHAP values. Based on these importance values, additional rules were set to employ the model in medical practice. It was proposed to make the five most important features mandatory and to exclude eleven least important ones. This decision makes practical use of the model flexible in case of an insufficient number of non-mandatory predictors (none of them are used in extreme cases), which might be often seen, for example, during initial visits to a clinic, i.e., when EHR system contains a limited number of patient-specific measurements.

External validation

Data from the National Health and Nutrition Examination Survey (NHANES, USA) was used for external

validation of the diabetes model

(https://wwwn.cdc.gov/nchs/nhanes/continuousnhanes/default.aspx?Cycle=2017-2020). 2017-March 2020

data considered here represented the data accumulation period for this study. Only features present in the

training dataset were selected from NHANES. Similar to the filtration process described above, pregnant

women and patients under 18 years old were excluded. The presence of fasting plasma glucose level

measurements was mandatory for inclusion in the dataset. The external validation dataset characteristics are

shown in Table 3.

n	Missing	Overall	diabetic	healthy	P-Value	SMD (diabetic, healthy)
		4011	654	3357		
Eosinophils, %, median [Q1, Q3]	4	2.40 [1.60,3.70]	2.50 [1.70,3.80]	2.40 [1.50,3.70]	0.020	-0.069
Systolic blood pressure, mm Hg, median [Q1, Q3]	393	120.67 [110.00,133.67]	129.00 [115.67,144.67]	119.33 [109.33,131.67]	<0.001	-0.457
Basophils, %, median [Q1, Q3]	4	0.80 [0.60,1.00]	0.80 [0.60,1.00]	0.80 [0.60,1.00]	0.010	-0.103
Uric acid, mmol/L, median [Q1, Q3]	90	315.20	327.10	315.20	<0.001	-0.201
		[261.70,374.70]	[269.17,392.60]	[261.70,368.80]		
Alanine aminotransferase (ALT), U/L, median [Q1, Q3]	90	17.00 [13.00,25.00]	18.00 [14.00,27.00]	17.00 [12.00,25.00]	0.001	-0.060
Neutrophil count, 10^9/L, median [Q1, Q3]	4	3.70 [2.80,4.70]	4.20 [3.30,5.40]	3.60 [2.80,4.60]	<0.001	-0.381
Eosinophil count, 10^9/L, median [Q1, Q3]	4	0.20 [0.10,0.20]	0.20 [0.10,0.30]	0.20 [0.10,0.20]	<0.001	-0.170

High-density lipoprotein cholesterol (HDLC), mmol/L, median [Q1, Q3]	72	1.32 [1.09,1.60]	1.22 [1.02,1.42]	1.34 [1.11,1.63]	<0.001	0.377
Age, median [Q1, Q3]	0	51.00 [34.00,64.00]	63.00 [54.00,72.00]	47.00 [32.00,62.00]	<0.001	-0.963
Triglycerides, mmol/L, median [Q1, Q3]	90	1.15 [0.82,1.66]	1.45 [1.06,2.00]	1.09 [0.79,1.57]	<0.001	-0.324
Mean cell hemoglobin (MCH), pg, median [Q1, Q3]	2	30.00 [28.60,31.10]	29.80 [28.40,31.00]	30.00 [28.60,31.10]	0.046	0.065
Diastolic blood pressure, mm Hg, median [Q1, Q3]	393	73.33 [66.33,81.33]	73.00 [65.00,81.00]	73.33 [66.33,81.33]	0.155	0.079
Monocytes, %, median [Q1, Q3]	4	8.00 [6.80,9.50]	7.90 [6.70,9.20]	8.00 [6.80,9.50]	0.032	0.059
White Blood Cell (WBC) count, 10^9/L, median [Q1, Q3]	2	6.50 [5.40,7.90]	7.10 [5.90,8.50]	6.30 [5.30,7.70]	<0.001	-0.346
Urea, mmol/L, median [Q1, Q3]	90	5.00 [3.93,6.07]	5.71 [4.28,7.50]	4.64 [3.93,6.07]	<0.001	-0.537
Red cell distribution width — coefficient of variation (RDWCV), %, median [Q1, Q3]	2	13.60 [13.10,14.30]	13.90 [13.30,14.80]	13.50 [13.00,14.20]	<0.001	-0.271
Sex, median [Q1, Q3]	0	0	1951 (48.64)	348 (53.21)	1603 (47.75)	0.012	0.109
	1		2060 (51.36)	306 (46.79)	1754 (52.25)		
Basophil count, 10^9/L, median [Q1, Q3]	4	0.00 [0.00,0.10]	0.10 [0.00,0.10]	0.00 [0.00,0.10]	<0.001	-0.292
Glucose, mmol/L, median [Q1, Q3]	0	5.72 [5.30,6.33]	7.99 [6.50,10.20]	5.61 [5.27,6.00]	<0.001	-1.245

Table 3 General characteristics of external validation dataset. P-values of continuous and categorical

variables are measured by the Kruskal-Wallis test and Chi-squared test, respectively. 0 male sex, 1 female

sex, SMD standardized mean difference.

Achieved performance metrics for the external dataset were as follows: 0.899 AUC, 17.896 EER, 0.627 F1

score, 0.764 macro F1 score, 0.809 sensitivity, 0.850 specificity, 0.512 precision, 0.958 NPV. The ROC

curve of the stacked generalization model for the NHANES dataset is shown in Fig. 5.

Figure 5 ROC curve of stacked generalization model for the external validation dataset

Discussion

Machine learning can be applied to a disease prediction in two separate ways: disease (condition)

identification and future occurrence prediction (prognosis). We have developed and validated a model

capable of accurate classification of patient-generated data and identification of diabetes-specific patterns in

clinical biomarkers values with 0.92 specificity and 0.89 sensitivity (AUC 0.959, precision 0.246, NPV

0.996; see Table 2). Although several clinically recommended diabetes risk scores exist, most of them use

virtually the same non-biochemical predictors, such as chronological age, medical history, body mass index.

These risk scores demonstrate overall moderate diagnostic accuracy, but are undeniably easy to use in clinical

setting, as there is no need to perform most of the laboratory tests (58). One example of such diabetes risk

models is the American Diabetes Association diabetes risk score, one of the most well-known clinically

validated models. Previously reported performance metrics for the ADA diabetes risk score applied to a

NHANES dataset were as follows: 0.79 sensitivity, 0.67 specificity, 0.10 positive predictive value, 0.99

negative predictive value, 0.83 AUC (59). Comparison of NHANES dataset-derived performance metrics for

ADA score vs. the model presented in this article proved the latter to be superior in most aspects (see Results:

External validation). The difference in the discussed time periods of the NHANES project (1999–2010 period

was used in the ADA study) does not invalidate the comparison, considering the uniformity of NHANES data

collection and lack of updates on the ADA model performance. Another widely used diabetes prediction

model is the Finnish Diabetes Risk Score (FINDRISC). When applied to a European (Spanish) population,

the following diagnostic metrics have been reported (based on a fasting plasma glucose criterion): 0.68 AUC,

0.64 sensitivity, 0.64 specificity, 0.076 positive predictive value, 0.976 negative predictive value (60). The

ML algorithm proposed in this work can be viewed as a more promising method of detecting undiagnosed

diabetes. Due to low positive predictive value of the ADA diabetes risk score and FINDRISC, these models

are more suitable for populations with a higher ratio of undiagnosed diabetes, rather than the general

population. Trained on general population-derived real-world data and having relatively high positive

predictive value, the model presented here is free of this limitation.

Considered here conventional diabetes models rely on non-invasive predictors, such as physical examination

information and medical history. These are often supplemented with demographic and questionnaire data.

However, laboratory features, being more accurate and unbiased, continue to represent greater interest for

models' development. Recent literature reviews on non-conventional (not clinically used) machine learning

tools for diabetes management provided a summary of existing diagnostic ML models that utilize non-

invasive features (15,16). Such models demonstrated AUC ranging from 0.83 to 0.90, which is comparable to

or higher than that of traditional diabetes models. However, selected best models in the review by Tuppad

rely on methods that could be hardly used in clinical settings (e.g., mass spectrometry, ultrasonic sensors)

(15). Among the reviewed models, which employ invasively obtained lab features, the highest reported AUC

was 0.86. This model based on demographic data (age, sex), biometric data (body mass index, height) and lab

features used recurrent neural networks, gated convolutional neural networks, and self-attention networks

with transfer learning. The NHANES data for diabetes prediction was used by Dinh et al. who explored a

data-driven approach to predict diabetes and cardiovascular disease (61). In that project, laboratory,

demographic, dietary, physical examination, and questionnaire information was used to train Logistic

Regression, Support Vector Machines, Random Forest Classifier, eXtreme Gradient Boost, and Weighted

Ensemble models. However, contrary to our approach, the features with more than 50% of missing values

were dropped out from the final dataset. In diabetes classification, eXtreme Gradient Boost model achieved

an AU-ROC score of 0.957. The study by Dinh et al. and similar works (e.g. (11-13)) do not contain

information about external validation, which is crucial to quantify the generalizability of a diagnostic model.

In the developed model, fasting plasma glucose level serves as one of the outcome predictors and as an

outcome-defining feature (see Methods). There exists a number of studies that avoid doing this because of

possible biases (62). However, multiple evidence suggests that glucose and glycated hemoglobin (HbA1c),

the two main diagnostic criteria for diabetes, are not as explicit in diagnostic value and need to be interpreted

correctly in the context of patient's medical history, previous glycemic status, complaints, etc. In clinical

setting, patients are usually screened for diabetes with a single fasting plasma glucose test, but other

conditions, such as acute pancreatitis, may be a cause of elevated glucose levels (63). The phenomenon of

stress hyperglycemia, also known as transient hyperglycemia, can be observed in patients without pre-

existing diabetes. The association with stress hyperglycemia is common for ischemic stroke, myocardial

infarction, and other critical conditions. Sometimes diabetes screening procedure itself may be the cause of

stress-induced hyperglycemia (64). Therefore, hyperglycemia at admission does not necessarily correspond

to diabetes diagnosis. The baseline data used in this study supports this viewpoint, as shown in Table 4.

	T2D status = true	T2D status = false
Fasting plasma glucose level $\geq$ 7 mmol/L	739 patients	773 patients

Fasting plasma glucose level < 7 mmol/L	491 patients	38 036 patients

Table 4 Contingency table of fasting plasma glucose level and diabetes status

The odds ratio (OR) calculated from this table equals 74.05 with a 95% confidence interval [64.73, 84.72].

Thus, we confirm the existence of a significant association between high glucose levels and T2D. However,

the first row of the contingency table shows that accurate diagnostics of diabetes based solely on fasting

glucose levels is not possible.

Similarly, diagnosing diabetes by HbA1c alone may result in many cases remaining undiagnosed because

glycated hemoglobin has low sensitivity as a diagnostic biomarker. The sensitivity value of glycated

hemoglobin-based diabetes diagnosis was reported to be only 41% (64). Alternatively, the combination of

glucose and glycated hemoglobin yields much better results, diagnosing approximately 85% of type 2

diabetes cases (65). Application of two different diagnostic tests to detect diabetes, however, is not obligatory

and is recommended by the ADA when diagnosis confirmation is needed.

The ML model, a primary result of this study, can exchange and store data in EHRs, which facilitates its

potential practical use in clinical settings. Current ADA guidelines summarize crucial elements of an

effective diabetes care framework as the Chronic Care Model (CCM), with decision support being one of the

core components of the CCM (see (2) for a detailed description of the CCM). The model presented in this

work can serve as a part of the diabetes care framework – a clinical decision support tool in the form of an

EHR-based ML-powered screening method for type 2 diabetes in populations of ambulatory patients

(symptomatic or non-symptomatic) without confirmed diabetes.

Machine learning approach can be considered as a more appropriate technique for diagnosing and

classification of diabetes, since some individuals present with features characteristic to both most prevalent

diabetes types at the time of diagnosis, which complicates the classification and prolongs the time needed to

choose an appropriate treatment strategy. In view of insufficient understanding of the molecular mechanisms

of T2D development, machine learning algorithms are very promising solutions because unknown patterns in

data dynamics are recognized and processed. However, ML-based predictions inevitably face the challenge of

dealing with imbalanced data during model training. Class imbalance in real-world clinical diabetes data is

demonstrated by the incidence rate of diabetes being much lower than that of diabetes-free outcomes., In this

study, we did not use the accuracy metric for model performance evaluation because it can be misleading in

the imbalanced dataset. A comprehensive set of performance metrics was used instead.

The study has some limitations. First, as misdiagnosis is common in patients with either type 1 or type 2

diabetes, and in view of the absence of genetic data available in electronic health records, there is a minor

chance of type 1 diabetes cases to be present in the training dataset (despite the exclusion of ICD codes for

T1D). Second, only continuous variables were used as diabetes risk features. Alcohol and tobacco

consumption, sleep quality, and other relevant information that requires a questionnaire was not included in

the model development. Future versions of the model might consider these risk factors. It should be noted,

however, that the definitive contribution of socioeconomic and demographic characteristics of populations to

type 2 diabetes risk is yet to be investigated (66).

Conclusion

We have developed and validated a machine learning classification model for type 2 diabetes that

demonstrates several important advantages over established, clinically used methods and possesses a major

practical application potential as a part of a clinical decision support framework. To the best of our

knowledge, type 2 diabetes ML-model presented in this article is the first diagnostic framework to satisfy all

of the following requirements simultaneously: 1) exclusive use of bias-free, objective laboratory medical

data; 2) processing of massive arrays of data to identify parameters with the highest diagnostic significance;

3) ability to work with a variable ratio of missing parameters in input data; 4) obtained results that are highly

generalizable, having real-world patient-generated medical information as input for the model development.

Declarations

Ethics approval and consent to participate

490   Ethical review and approval are not relevant for this study, because it uses existing data. Informed Consent

491   Statement: not applicable.

Consent for publication

493   Not applicable.

Availability of data and materials

Abbreviated version of the training dataset and processed full external validation dataset are available at

https://github.com/biome-science/srep-t2d-data-availability. Web-demo of the diabetes model can be

accessed at https://biome-science.com/demo/model/?id=type2diabet. Full data used in model development is

available on reasonable request from the corresponding author.

Competing interests

The authors declare no competing interests.

Funding

None.

Authors' contributions

A.V. and V.G. conceived the experiment, V.D. and V.G. conducted the experiment, V.G. wrote the initial

draft, all authors analyzed the results and reviewed the manuscript.

References

1. International Diabetes Federation. IDF Diabetes Atlas [Internet]. 10th ed. Brussels, Belgium: International Diabetes Federation; 2021. Available from: https://www.diabetesatlas.org

2. American Diabetes Association. Professional Practice Committee: Standards of Medical Care in Diabetes—2022. Vol. 45, Diabetes Care. 2022. p. xx–xxxx.

3. Egger J, Gsaxner C, Pepe A, Pomykala KL, Jonske F, Kurz M, et al. Medical deep learning—A systematic meta-review. Comput Methods Programs Biomed. 2022 Jun 1; 221:106874.

4. Rahmani AM, Yousefpoor E, Yousefpoor MS, Mehmood Z, Haider A, Hosseinzadeh M, et al. Machine learning (Ml) in medicine: Review, applications, and challenges. Mathematics. 2021 Nov. 1 ;9(22).

5. Chang W, Liu Y, Xiao Y, Yuan X, Xu X, Zhang S, et al. A machine-learning-based prediction method for hypertension outcomes based on medical data. Diagnostics [Internet]. 2019 Nov 7 [cited 2022 Aug 19];9(4):178. Available from: https://www.mdpi.com/2075-4418/9/4/178/htm

6. Shickel B, Tighe PJ, Bihorac A, Rashidi P. Deep EHR: A Survey of Recent Advances in Deep Learning Techniques for Electronic Health Record (EHR) Analysis. IEEE J Biomed Heal Informatics [Internet]. 2018

Oct 27 [cited 2022 Aug 19];22(5):1589–604. Available from: https://europepmc.org/articles/PMC6043423

7.   Yoon HJ, Klasky HB, Gounley JP, Alawad M, Gao S, Durbin EB, et al. Accelerated training of bootstrap

aggregation-based deep information extraction systems from cancer pathology reports. J Biomed Inform.

2020 Oct 1; 110:103564.

8.   Kodama S, Fujihara K, Horikawa C, Kitazawa M, Iwanaga M, Kato K, et al. Predictive ability of current

machine learning algorithms for type 2 diabetes mellitus: A meta-analysis. J Diabetes Investig [Internet].

2022 May 1 [cited 2022 May 23];13(5):900–8. Available from: /pmc/articles/PMC9077721/

9.   Silva K De, Lee WK, Forbes A, Demmer RT, Barton C, Enticott J. Use and performance of machine

learning models for type 2 diabetes prediction in community settings: A systematic review and meta-

analysis. Int J Med Inform [Internet]. 2020;143(July):104268. Available from:

https://doi.org/10.1016/j.ijmedinf.2020.104268

10.   Çalişir D, Doğantekin E. An automatic diabetes diagnosis system based on LDA-Wavelet Support Vector

Machine Classifier. Expert Syst Appl. 2011;38(7):8311–5.

11.   Zheng T, Xie W, Xu L, He X, Zhang Y, You M, et al. A machine learning-based framework to identify type

2 diabetes through electronic health records. Int J Med Inform [Internet]. 2017; 97:120–7. Available from:

http://dx.doi.org/10.1016/j.ijmedinf.2016.09.014

12.   Han L, Luo S, Yu J, Pan L, Chen S. Rule extraction from support vector machines using ensemble learning

approach: An application for diagnosis of diabetes. IEEE J Biomed Heal Informatics. 2015;19(2):728–34.

13.   Nguyen BP, Pham HN, Tran H, Nghiem N, Nguyen QH, Do TTT, et al. Predicting the onset of type 2

diabetes using wide and deep learning with electronic health records. Comput Methods Programs Biomed

[Internet]. 2019; 182:105055. Available from: https://doi.org/10.1016/j.cmpb.2019.105055

14.   Saar-Tsechansky M, Provost F. Handling missing values when applying classification models. J Mach Learn

Res. 2007; 8:1625–57.

15.   Tuppad A, Patil SD. Machine learning for diabetes clinical decision support: a review. Adv Comput Intell

[Internet]. 2022;2(2):1–24. Available from: https://doi.org/10.1007/s43674-022-00034-y

16.   Kavakiotis I, Tsave O, Salifoglou A, Maglaveras N, Vlahavas I, Chouvarda I. Machine Learning and Data

Mining Methods in Diabetes Research. Comput Struct Biotechnol J [Internet]. 2017; 15:104–16. Available

from: http://dx.doi.org/10.1016/j.csbj.2016.12.005

17.   Moons KGM, Altman DG, Reitsma JB, Ioannidis JPA, Macaskill P, Steyerberg EW, et al. Transparent

549   reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD): Explanation

550   and elaboration. Ann Intern Med [Internet]. 2015 Jan 6 [cited 2022 Aug 19];162(1): W1–73. Available from:

551   https://pubmed.ncbi.nlm.nih.gov/25560730/

552   18.   Kira K, Rendell LA. Feature selection problem: traditional methods and a new algorithm. In: Proceedings

553   Tenth National Conference on Artificial Intelligence. 1992. p. 129–34.

554   19.   Ladha L, Deepa T. Feature Selection Methods and Algorithms. Int J Comput Sci Eng [Internet].

555   2011;3(5):1787–97. Available from: http://journals.indexcopernicus.com/abstract.php?icid=945099

556   20.   Liu H, Zhou M, Lu XS, Yao C. Weighted Gini index feature selection method for imbalanced data. ICNSC

557   2018 - 15th IEEE Int Conf Networking, Sens Control. 2018;1–6.

558   21.   Pudjihartono N, Fadason T, Kempa-Liehr AW, O'Sullivan JM. A Review of Feature Selection Methods for

559   Machine Learning-Based Disease Risk Prediction. Front Bioinforma. 2022;2(June):1–17.

560   22.   Remeseiro B, Bolon-Canedo V. A review of feature selection methods in medical applications. Comput Biol

561   Med [Internet]. 2019;112(July):103375. Available from: https://doi.org/10.1016/j.compbiomed.2019.103375

562   23.   Ross BC. Mutual information between discrete and continuous data sets. PLoS One [Internet]. 2014 Feb 19

563   [cited 2022 Aug 19];9(2). Available from: https://pubmed.ncbi.nlm.nih.gov/24586270/

564   24.   Kraskov A, Stögbauer H, Grassberger P. Estimating mutual information. Phys Rev E - Stat Physics,

565   Plasmas, Fluids, Relat Interdiscip Top [Internet]. 2004 Jun 23 [cited 2022 Aug 19];69(6):16. Available

566   from: https://journals.aps.org/pre/abstract/10.1103/PhysRevE.69.066138

567   25.   Shang W, Huang H, Zhu H, Lin Y, Qu Y, Wang Z. A novel feature selection algorithm for text

568   categorization. Expert Syst Appl. 2007 Jul 1;33(1):1–5.

569   26.   Kim H-Y. Analysis of variance (ANOVA) comparing means of more than two groups. Restor Dent Endod

570   [Internet]. 2014 [cited 2022 Aug 19];39(1):74. Available from: https://pubmed.ncbi.nlm.nih.gov/24516834/

571   27.   Geurts P, Ernst D, Wehenkel L. Extremely randomized trees. Mach Learn [Internet]. 2006 Mar 2 [cited 2022

572   Aug 19];63(1):3–42. Available from: https://link.springer.com/article/10.1007/s10994-006-6226-1

573   28.   Beaulieu-Jones BK, Moore JH. Missing data imputation in the electronic health record using deeply learned

574   autoencoders. Pacific Symp Biocomput. 2017;0(212679):207–18.

575   29.   Mackinnon A. The use and reporting of multiple imputation in medical research - a review. J Intern Med.

576   2010;268(6):586–93.

577   30.   van Buuren S, Groothuis-Oudshoorn K. mice: Multivariate imputation by chained equations in R. J Stat

578   Softw [Internet]. 2011 Dec 12 [cited 2022 Aug 19];45(3):1–67. Available from:

579   https://www.jstatsoft.org/index.php/jss/article/view/v045i03

580   31.   Suyundikov A, Stevens JR, Corcoran C, Herrick J, Wolff RK, Slattery ML. Accounting for dependence

581   induced by weighted KNN imputation in paired samples, motivated by a colorectal cancer study. PLoS One.

582   2015;10(4):1–15.

583   32.   Jazayeri A, Liang OS, Yang CC. Imputation of Missing Data in Electronic Health Records Based on

584   Patients' Similarities. J Healthc Informatics Res. 2020;4(3):295–307.

585   33.   Groenwold RHH. Informative missingness in electronic health record systems: the curse of knowing.

586   Diagnostic Progn Res. 2020;4(1):4–9.

587   34.   Huberman M, Langholz B. Application of the missing-indicator method in matched case-control studies

588   with incomplete data. Am J Epidemiol. 1999;150(12):1340–5.

589   35.   Akiba T, Sano S, Yanase T, Ohta T, Koyama M. Optuna: A Next-generation Hyperparameter Optimization

590   Framework. In: Proceedings of the ACM SIGKDD International Conference on Knowledge Discovery and

591   Data Mining. Association for Computing Machinery; 2019. p. 2623–31.

592   36.   Bergstra J, Bardenet R, Bengio Y, Kegl B. Algorithms for Hyper-Parameter Optimization. Adv Neural Inf

593   Process Syst. 2011;2546–54.

594   37.   Ozaki Y, Tanigaki Y, Watanabe S, Onishi M. Multiobjective tree-structured parzen estimator for

595   computationally expensive optimization problems. In: GECCO 2020 - Proceedings of the 2020 Genetic and

596   Evolutionary Computation Conference [Internet]. Association for Computing Machinery; 2020 [cited 2022

597   Aug 19]. p. 533–41. Available from: https://doi.org/10.1145/3377930.3389817

598   38.   Friedman JH. Greedy function approximation: A gradient boosting machine. Ann Stat. 2001;29(5):1189–

599   232.

600   39.   Haykin S. Neural Networks: A Comprehensive Foundation. 2nd ed. Prentice Hall; 1998.

601   40.   Srivastava N, Hinton G, Krizhevsky A, Sutskever I, Salakhutdinov R. Dropout: A simple way to prevent

602   neural networks from overfitting. J Mach Learn Res. 2014;15(1):1929–58.

41.   The Elements of Statistical Learning: Data Mining, Inference, and Prediction [Internet]. 2nd ed. Springer

Series in Statistics; Available from: https://www.amazon.com/Elements-Statistical-Learning-Prediction-

Statistics/dp/0387848576/

42.   Feature Engineering and Selection: A Practical Approach for Predictive Models [Internet]. Chapman &

Hall/CRC Data Science Series; Available from: http://www.feat.engineering/important-concepts.html

43.   Breiman L. Bagging predictors. Mach Learn. 1996;24(2):123–40.

44.   Breiman L. Random forests. Mach Learn. 2001;45(1):5–32.

45.   Hall P, Park BU, Samworth RJ. Choice of neighbor order in nearest-neighbor classification. Ann Stat.

2008;36(5):2135–52.

46.   Britto AS, Sabourin R, Oliveira LES. Dynamic selection of classifiers - A comprehensive review. Pattern

Recognit. 2014;47(11):3665–80.

47.   Cruz RMO, Oliveira DVR, Cavalcanti GDC, Sabourin R. FIRE-DES++: Enhanced online pruning of base

classifiers for dynamic ensemble selection. Pattern Recognit. 2019; 85:149–60.

48.   Souza MA, Cavalcanti GDC, Cruz RMO, Sabourin R. On evaluating the online local pool generation

method for imbalance learning. In: Proceedings of the International Joint Conference on Neural Networks.

2019. p. 1–8.

49.   Cruz RMO, Sabourin R, Cavalcanti GDC. META-DES.H: A Dynamic Ensemble Selection technique using

meta-learning and a dynamic weighting approach. In: Proceedings of the International Joint Conference on

Neural Networks. 2015. p. 8.

50.   Cruz RMO, Sabourin R, Cavalcanti GDC, Ing Ren T. META-DES: A dynamic ensemble selection

framework using meta-learning. Pattern Recognit. 2015;48(5):1925–35.

51.   Ko AHR, Sabourin R, Britto, AS. From dynamic classifier selection to dynamic ensemble selection. Pattern

Recognit. 2008;41(5):1718–31.

52.   Woloszynski T, Kurzynski M. A probabilistic model of classifier competence for dynamic ensemble

selection. Pattern Recognit. 2011;44(10–11):2656–68.

53.   Woloszynski T, Kurzynski M, Podsiadlo P, Stachowiak GW. A measure of competence based on random

classification for dynamic ensemble selection. Inf Fusion. 2012;13(3):207–13.

54.   Wolpert DH. Stacked generalization. Neural Networks. 1992;5(2):241–59.

55.   Breiman L. Stacked regressions. Mach Learn. 1996;24(1):49–64.

56.   Shapley LS. A VALUE FOR n-PERSON GAMES. In: Classics in Game Theory. 2020. p. 69–79.

57.   Molnar C. Interpretable Machine Learning: A Guide for Making Black Box Models Explainable [Internet].

634   2022. Available from: https://christophm.github.io/interpretable-ml-book/

635   58.   Brown N, Critchley J, Bogowicz P, Mayige M, Unwin N. Risk scores based on self-reported or available

636   clinical data to detect undiagnosed Type 2 Diabetes: A systematic review. Diabetes Res Clin Pract.

637   2012;98(3):369–85.

638   59.   Bang H, Edwards AM, Bomback AS, Ballantyne CM, Brillon D, Callahan MA, et al. Development and

639   validation of a patient self-assessment score for diabetes risk. Ann Intern Med. 2009;151(11):775–83.

640   60.   Salinero-Fort MA, Burgos-Lunar C, Lahoz C, Mostaza JM, Abánades-Herranz JC, Laguna-Cuesta F, et al.

641   Performance of the finnish diabetes risk score and a simplified finnish diabetes risk score in a community-

642   based, cross-sectional programme for screening of undiagnosed type 2 diabetes mellitus and dysglycaemia

643   in madrid, Spain: The SPREDIA-2 study. PLoS One. 2016;11(7):1–17.

644   61.   Dinh A, Miertschin S, Young A, Mohanty SD. A data-driven approach to predicting diabetes and

645   cardiovascular disease with machine learning. BMC Med Inform Decis Mak. 2019;19(1):1–15.

646   62.   Moon S, Jang JY, Kim Y, Oh CM. Development and validation of a new diabetes index for the risk

647   classification of present and new-onset diabetes: multicohort study. Sci Rep [Internet]. 2021 Dec 1 [cited

648   2022 May 23];11(1):15748. Available from: /pmc/articles/PMC8333254/

649   63.   Yang X, Zhang R, Jin T, Zhu P, Yao L, Li L, et al. Stress Hyperglycemia Is Independently Associated with

650   Persistent Organ Failure in Acute Pancreatitis. Dig Dis Sci [Internet]. 2022;67(5):1879–89. Available from:

651   https://doi.org/10.1007/s10620-021-06982-8

652   64.   Peer N, Balakrishna Y, Durao S. Screening for type 2 diabetes mellitus. Vol. 2020, Cochrane Database of

653   Systematic Reviews. 2020.

654   65.   Bergman M, Abdul-Ghani M, DeFronzo RA, Manco M, Sesti G, Fiorentino TV, et al. Review of methods

655   for detecting glycemic disorders. Diabetes Res Clin Pract [Internet]. 2020;165(165):108233. Available from:

656   https://reader.elsevier.com/reader/sd/pii/S0168822720304836?token=A09857477BB916FEC1890546C1DC

657   E85D69317D6A42E8DBBC6B055CB2A5C6304EF622B1CF1FEE06F3FCDA5E6598D7D7C9&originReg

658   ion=eu-west-1&originCreation=20220211102709

659   66.   Skyler JS, Bakris GL, Bonifacio E, Darsow T, Eckel RH, Groop L, et al. Differentiation of diabetes by

660   pathophysiology, natural history, and prognosis. Diabetes [Internet]. 2017 Feb 1 [cited 2022 May

661   23];66(2):241–55. Available from: /pmc/articles/PMC5384660/