

1 **Title:** TRPV1-mediated sonogenetic neuromodulation of motor cortex in freely moving mice

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21

22 **Abstract**

23 **Background:** Noninvasive and cell-type-specific neuromodulation tools are critically needed
24 for probing intact brain function. Sonogenetics for noninvasive activation of neurons
25 engineered to express thermosensitive transient receptor potential vanilloid 1 (TRPV1) by
26 transcranial focused ultrasound (FUS) was recently developed to address this need.
27 However, using TRPV1-mediated sonogenetics to evoke behavior by targeting the cortex is
28 challenged by its proximity to the skull due to high skull absorption of ultrasound and
29 increased risks of thermal-induced tissue damage.

30 **Objective:** This study evaluated the feasibility and safety of TRPV1-mediated sonogenetics
31 in targeting the motor cortex to modulate the locomotor behavior of freely moving mice.

32 **Methods:** Adeno-associated virus was delivered to the mouse motor cortex via intracranial
33 injection to express TRPV1 in excitatory neurons. A wearable FUS device was installed on
34 the mouse head after a month to control neuronal activity by activating virally expressed
35 TRPV1 through FUS sonication at different acoustic pressures. Immunohistochemistry
36 staining of *ex vivo* brain slices was performed to verify neuron activation and evaluate
37 safety.

38 **Results:** TRPV1-mediated sonogenetic stimulation at 0.7 MPa successfully evoked
39 rotational behavior in the direction contralateral to the stimulation site, activated cortical
40 neurons as indicated by the upregulation of c-Fos, and did not induce significant changes in
41 inflammatory or apoptotic markers (GFAP, Iba1, and Caspase-3). Sonogenetic stimulation of
42 TRPV1 mice at a higher acoustic pressure, 1.1 MPa, induced significant changes in motor
43 behavior and upregulation of c-Fos compared with FUS sonication of naïve mice at 1.1 MPa.
44 However, signs of damage at the meninges were observed at 1.1 MPa.

45 **Conclusions:** TRPV1-mediated sonogenetics can achieve effective and safe
46 neuromodulation at the cortex with carefully selected FUS parameters. These findings
47 expand the application of this technique to include superficial brain targets.

48

49 **Keywords:** TRPV1, sonogenetics, motor cortex, ultrasound, behavior, neuromodulation

50 **Introduction**

51 The evolution of brain neuromodulation tools has provided unprecedented opportunities to
52 probe neural circuits, understand brain function, and develop new treatment strategies for
53 brain diseases. Transcranial neuromodulation tools, such as direct current, magnetic
54 stimulation, and ultrasound stimulation, offer noninvasive ways to stimulate the brain and
55 have contributed to the understanding of brain function [1,2]. The lack of cell-type specificity
56 in these tools, however, limits their utility in understanding the brain at cellular resolution.
57 Genetic-based neuromodulation tools, such as optogenetics and chemogenetics, encode
58 stimulus-sensitive probes into a defined neuron population and have transformed
59 fundamental neuroscience research [3,4]. Each method, however, suffers from its own
60 limitations. Most commonly, optogenetics requires the invasive implantation of optical probes
61 to deliver light to opsin-encoding neurons, limiting the ability to study the brain without the
62 risk of ischemia and inflammation. Noninvasive optogenetics modulates the activity of opsin-
63 encoding neurons via transcranial illumination, but light scattering in brain tissue limits its
64 depth penetration in large animal models [5]. On the other hand, chemogenetics
65 noninvasively activates neurons encoding designer receptors exclusively activated by
66 designer drugs (DREADDs) via minimally-invasive systemic delivery of designer drugs, but
67 the long residence time of circulating drugs sacrifices the temporal resolution of this
68 technique. There is a clear need for techniques that can facilitate noninvasive, cell-type
69 specific neuromodulation with high spatiotemporal resolution and the potential to be scaled
70 up to large animals and humans.

71

72 Sonogenetics has great potential to fulfill this gap. Analogous to other genetic-based
73 neuromodulation tools, sonogenetics uses focused ultrasound (FUS) to modulate the activity
74 of neurons encoding ultrasound-sensitive actuators [6]. Unlike other stimulation modalities
75 (e.g., light, electricity, and magnetic fields), FUS can achieve noninvasive, spatiotemporally
76 precise targeting of any brain region in small animals [7], large animals [2], and even

77 humans [8]. Sonogenetics was first demonstrated in 2015 using *C. elegans*, in which
78 mechanosensitive TRP-4 ion channel expression in neurons in combination with
79 microbubbles evoked behavioral changes upon ultrasound stimulation [9]. Since the first
80 demonstration of sonogenetics, many other mechanosensitive ion channels and proteins
81 have been proposed to sensitize cells to ultrasound stimulation *in vitro*, including TREK1,
82 TREK2, TRAAK [10], MscL [11], Piezo1 [12], MEC-4 [13], prestin [14], TRPA1 [15], TRPC1,
83 TRPP2, and TRPM4 [16]. Recently, multiple studies demonstrated the feasibility of
84 sonogenetics to modulate mouse behavior *in vivo* using ultrasound-sensitive probes such as
85 prestin [17], MscL G22S [18], and TRPA1 [19].

86

87 Ultrasound propagation in tissue can generate not only mechanical effects but also thermal
88 effects. The transient receptor potential vanilloid 1 (TRPV1) ion channel is extremely
89 sensitive to temperature and has a thermal activation threshold of approximately 42°C,
90 which is only a few degrees above the physiological body temperature of many mammals
91 [20]. Such an activation temperature allows TRPV1 to be closed at the physiological body
92 temperature and open upon sufficient heating to ~42°C. Because of these unique features,
93 TRPV1 has been used to develop genetics-based neuromodulation techniques, such as
94 magneto-thermogenetics [21,22] and photothermal genetics [23]. TRPV1-mediated
95 sonogenetics was recently developed to achieve noninvasive, cell-type specific
96 neuromodulation. Our previous study demonstrated that TRPV1 is an ultrasound-sensitive
97 actuator, and that TRPV1-mediated sonogenetics can control the motor behavior of freely
98 moving mice by targeting a deep brain region, the striatum [24]. However, the capability of
99 TRPV1-mediated sonogenetics in controlling mouse behavior by targeting the superficial
100 brain area has not been demonstrated. Targeting superficial brain regions is challenging for
101 TRPV1-mediated sonogenetics because the high absorption of ultrasound in the skull could
102 increase the risk of overheating the cortex area directly underneath the skull [25,26]. This
103 could increase the risk of undesirable neuromodulatory effects associated with heating and
104 potential tissue damage when the temperature is high. Therefore, the objective of the current

105 study was to assess the capability of TRPV1-mediated sonogenetics in evoking mouse
106 motor behavior by targeting a superficial brain target – the motor cortex.

107 **Materials and methods**

108 **Stereotaxic injection of virus**

109 All animal procedures were performed under a protocol approved by the Washington
110 University in St. Louis Institutional Animal Care and Use Committee (IACUC). C57/BL6 mice
111 (female, 6-8 weeks old) were purchased from Charles River and housed in an animal facility
112 under a 12 hour light-dark cycle. Adeno-associated viruses (AAV) were introduced to
113 CaMKII-expressing neurons of the M2 cortex to overexpress TRPV1 ion channel. All
114 surgeries were conducted under aseptic conditions. Mice were anesthetized with 2%
115 isoflurane in oxygen at a rate of 1.0 L/min in an anesthetic chamber for induction and 1.5%
116 isoflurane for maintaining anesthesia. Anesthetized mice were then fixed onto a stereotaxic
117 frame (Kopf Instruments) using a bite bar and ear bars. Buprenorphine SR (1.0 mg/kg) was
118 administered subcutaneously for pre-operative and post-operative pain management. The
119 head was shaved and was rubbed with skin disinfectant (Hibiclens). An incision was made
120 on the scalp, the skin was retracted, and the periosteum was removed. A small hole was
121 drilled through the skull (-1.0 mm ML, +2.5 mm AP, -1.0 mm DV), and a micro-injector
122 (Nanoject II, Drummond Scientific) was inserted into the motor cortex. 1200 nL of TRPV1
123 virus (1.4e12 vg/mL) was introduced at a rate of 64 nL/min. 1000 nL of control virus (3.2e12
124 vg/mL) was introduced to approximately match the viral genome copy numbers delivered to
125 the motor cortex. After injection, the micro-injector was slowly removed, the hole was filled
126 with bone wax, and the scalp was sutured. Mice were housed for at least 4 weeks to
127 facilitate sufficient virus expression before further treatments were conducted.

128

129 **Wearable FUS device**

130 A wearable FUS device was used to stimulate the motor cortex of freely moving mice, and
131 the design is described in the first report of TRPV1-mediated sonogenetics [24]. In brief, the
132 wearable FUS device consisted of two parts: a FUS transducer and a base plate. The FUS
133 transducer was made of a lead zirconate titanate (PZT) ceramic resonator (DL-43, DeL

134 Piezo Specialties) encapsulated by a 3D-printed housing. The PZT ceramic resonated at a
135 frequency of 1.5 MHz and had an aperture of 10 mm and a radius of curvature of 10 mm.
136 The wearable FUS transducer was plugged into the base plate, a 3D printed circular adapter
137 attached to the mouse skull. When the FUS transducer was plugged into the base plate, the
138 wearable FUS device was stabilized on the mouse head.

139

140 Each component of the wearable FUS device was specifically designed to target the motor
141 cortex. The base plate was designed with a hole in its geometric center to facilitate the
142 alignment of base plate to the medial-lateral and anterior-posterior coordinates of the motor
143 cortex. The height of the FUS transducer housing was designed to align the FUS focus to
144 the dorsal-ventral coordinates of the motor cortex. The entire wearable FUS device was
145 calibrated by a hydrophone (HGL-200, Onda). The full width half-maximum of the FUS focal
146 region was approximately 0.9 mm and 2.5 mm in the lateral and axial directions, respectively.

147

148 **Attachment of FUS transducer base plate to the mouse skull**

149 Four to five weeks after virus injection, mice were again anesthetized with isoflurane (2% for
150 induction, 1.5% for maintenance), fixed in a stereotaxic frame, and subcutaneously
151 administered with Buprenorphine SR (1.0 mg/kg). A piece of the scalp was removed, the
152 periosteum was removed, and the drilled hole from the intracranial injection of AAV was
153 identified and accentuated with a marker. The custom designed base plate was 3D-printed
154 and glued onto the skull using dental adhesives (Metabond) with the center of the base plate
155 aligned to the pre-drilled hole. The mice were housed for a week to facilitate sufficient
156 recovery before performing behavior experiments.

157

158 **FUS stimulation with behavior recording**

159 Prior to the behavior test, mice were adapted to the behavior recording environment by
160 placing the mouse in the behavior testing arena with the power amplifier turned on. During
161 the behavior recording, mice were lightly anesthetized with isoflurane (1% induction and

162 maintenance). The base plate on the mouse and the wearable ultrasound transducer were
163 both sufficiently filled with degassed ultrasound gel (Aquasonics). The wearable transducer
164 was then securely plugged into the base plate of the mouse, and the mouse was then
165 placed in a circular arena on a heating pad for 30 min to allow the mouse body temperature
166 to recover from any possible anesthesia effects. The heating pad was then removed, and
167 the mouse was allowed to habituate for 15 min in the actual behavior test arena.

168

169 During the recording period, focused ultrasound was applied at a frequency of 1.5 MHz, duty
170 cycle of 40%, PRF of 10 Hz, and 15 s total sonication duration with 185 s inter-stimulation
171 interval for a total of 5 stimulations. The onset and offset of the ultrasound pulse was
172 smoothed to avoid possible auditory effects [27]. The acoustic pressures used in the study
173 were 0, 0.7, and 1.1 MPa to investigate the effect of pressure on locomotor behavior
174 outcomes. Custom MATLAB software was used to control when ultrasound was applied via
175 an Arduino Uno. A red LED attached to the Arduino Uno would turn on when ultrasound was
176 applied to precisely synchronize mouse behavior to each focused ultrasound stimulation. In
177 each group, mice were given five consecutive focused ultrasound stimulations at one
178 pressure.

179

180 **Behavioral analysis**

181 Mice were recorded using a camera (Logitech C920X, 30 fps) before, during, and after each
182 focused ultrasound stimulation. During the recording session, each video is simultaneously
183 processed using Bonsai to quantify the positional coordinates and the angular orientation of
184 the mice. After conducting recordings, data were processed using a custom MATLAB script
185 to compute the average angular velocities upon FUS stimulation at different acoustic
186 pressures.

187

188 **Immunohistological analysis**

189 Approximately 90 minutes after the last FUS stimulation, TRPV1- and TRPV1+ mice from
190 each acoustic pressure stimulation group were sacrificed via transcardial perfusion with 1x
191 PBS solution for the evaluation of TRPV1 expression, c-Fos expression, and safety of
192 sonogenetics via inflammatory and apoptotic markers (GFAP, Iba1, and Caspase-3). A
193 sacrifice time of 90 minutes post stimulation was chosen to visualize the peak expression of
194 c-Fos [28]. This time was also suitable to visualize any rapid recruitment of inflammatory and
195 apoptotic markers at the FUS stimulation site [29]. The brains were fixed in 4% w/v
196 paraformaldehyde in 1x PBS solution overnight and were transferred to 15% and 30% w/v
197 sucrose in 1x PBS for the following two days, respectively. The brain tissue was embedded
198 in a cryomold with Optimal Cutting Temperature medium (Scigen) to generate 10 μ m thick
199 coronal brain slices affixed on a glass slide.

200

201 For evaluation of TRPV1 and c-Fos expression, slides with brain tissue were stained with
202 anti-TRPV1 antibody (Novus Biologicals, 1:200), anti-c-Fos antibody (Cell Signaling, 1:1000),
203 and Nissl stain (Invitrogen, 1:100). TRPV1 and c-Fos were visualized using Alexa Fluor 594
204 and 488 secondary antibody (Jackson ImmunoResearch, 1:400), respectively. For cellular
205 safety evaluation, slides with brain tissue were stained with anti-GFAP antibody (Abcam,
206 1:1000), anti-Iba1 antibody (Wako, 1:1000), or anti-Caspase-3 antibody (Cell Signaling,
207 1:2500), as well as DAPI mounting medium (Vector). GFAP, Iba1, and Caspase-3 cells were
208 visualized using Alexa Fluor 488 secondary antibody (Jackson ImmunoResearch, 1:400).
209 Cell counts were computed in the motor cortex using QuPath (University of Edinburgh). The
210 viral spread was quantified by drawing a region that encapsulated all the TRPV1+ neurons.
211 TRPV1+ and c-Fos+ neuron cell densities were calculated by counting the total number of
212 positively stained Nissl cells over the motor cortex region. GFAP, Iba1, and Caspase-3 cell
213 counts were calculated by counting the total number of positively-stained DAPI cells over the
214 motor cortex region.

215

216 **Statistics**

217 Statistical tests were conducted using GraphPad. Data were analyzed using either a two-
218 tailed t-test or repeated measures ANOVA with either Bonferroni's post-hoc test (to compare
219 row- and column-wise groups) or Dunnett's post-hoc test (to compare to a control group).
220 Statistical differences were considered significant whenever $p < 0.05$. All graphs presented
221 results as the mean \pm standard error of the mean (SEM).

222 **Results**

223 We intracranially injected adeno-associated virus (AAV) to the mouse motor cortex (M2) to
224 express TRPV1 primarily in excitatory neurons under the CaMKII promoter (**Fig. 1a**). These
225 mice are referred to as TRPV1+ mice. Control mice were injected with TRPV1- virus,
226 referred to as TRPV1- mice. After sufficient virus expression, a wearable FUS transducer
227 was attached to the mouse head. Mouse locomotion was assessed before, during, and after
228 FUS sonication in an open-field behavior test arena. FUS sonication was targeted at the
229 motor cortex using the same coordinates as the virus injection by mechanically aligning the
230 FUS device to the craniotomy from the virus injection. FUS was applied with a center
231 frequency of 1.5 MHz, a pulse repetition frequency (PRF) of 10 Hz, a duty cycle (DC) of 40%,
232 acoustic pressures of 0.7 and 1.1 MPa, and a burst duration (BD) of 15 s with an inter-
233 stimulation interval (ISI) of 185 s for a total of five stimulations (**Fig. 1b**). Mice were
234 sacrificed after the behavior test to evaluate the expression of TRPV1, the activation of
235 neurons (c-Fos), and safety of sonogenetics.

236

237 **Characterization of exogenous TRPV1 expression in the motor cortex**

238 We first describe the virus expression level of TRPV1 in the motor cortex. The brains of
239 TRPV1+ mice were harvested, sectioned, and co-stained with anti-TRPV1 antibody and
240 Nissl to evaluate the expression profile of TRPV1 in cortical neurons of the motor cortex. A
241 representative brain slice of a TRPV1+ mouse illustrated that TRPV1 expression was
242 primarily confined to the motor cortex (**Fig. 2a**). As expected, the contralateral non-injection
243 site did not express any TRPV1 in cortical neurons of the motor cortex. The viral spread of
244 TRPV1 in the cortex was $1.06 \pm 0.07 \text{ mm}^2$, and the density of neurons in the motor cortex
245 that were virally transduced to express TRPV1 was $66.7 \pm 4.0 \text{ cells/mm}^2$ (**Fig. 2b-c**). The
246 proportion of neurons in the motor cortex that expressed TRPV1 cells was $5.16 \pm 0.43\%$
247 (**Fig. 2d**). Higher magnification of the virus transduction region showed that TRPV1
248 expression was largely confined to neurons, in which $83.4 \pm 3.0\%$ of the cells transfected

249 with TRPV1 were neurons (**Fig. 2e**). These data demonstrate the feasibility of exogenous
250 TRPV1 expression in the motor cortex region and lay the foundation to facilitate TRPV1-
251 mediated sonogenetic control of motor cortex behaviors.

252

253 **TRPV1-mediated sonogenetic neuromodulation within the motor cortex alters** 254 **locomotor behavior**

255 We recorded the locomotor behavior of TRPV1- and TRPV1+ mice with the application of
256 FUS at the motor cortex to assess the ability of TRPV1-mediated sonogenetics in
257 modulating locomotor behavior. Representative locomotor behavior of TRPV1- and TRPV1+
258 mice with and without FUS are shown as position traces (**Fig. 3a; TRPV1-, Movie S1;**
259 **TRPV1+, Movie S2**). FUS sonication at 0.7 MPa did not evoke considerable motion in
260 TRPV1- mice compared to that before FUS. In TRPV1+ mice, however, FUS stimulation did
261 evoke rotational behavior around the behavior testing arena, which was not observed before
262 FUS. During the FUS sonication periods (shown by the highlighted yellow bars), TRPV1+
263 mice displayed rotational behavior indicated by changes in angular displacement and
264 angular velocity (**Fig. 3b, 3c**). In contrast, TRPV1- mice did not demonstrate any rotational
265 bias upon FUS sonication.

266

267 We then compared the average angular velocities of TRPV1- and TRPV1+ mice with FUS at
268 acoustic pressures of 0.7 and 1.1 MPa. In the TRPV1+ mice group, FUS stimulation at 0.7
269 MPa evoked a significant increase in angular velocity (0.86 ± 0.23 rev/min) compared to the
270 sham stimulation at 0 MPa (-0.22 ± 0.25 rev/min), indicating that TRPV1+ mice displayed a
271 preference to rotate in the direction contralateral to the stimulation site (**Fig. 4; ~4-fold**
272 **increase, $p = 0.026$, two-way repeated measures ANOVA with Bonferroni's post-hoc test**). In
273 contrast, FUS stimulation at 0.7 MPa did not evoke any significant angular velocity changes
274 in the TRPV1- mice group relative to the sham stimulation (0.7 MPa: -0.20 ± 0.31 rev/min; 0
275 MPa: -0.17 ± 0.21 rev/min). These findings indicate that TRPV1-mediated sonogenetics at
276 0.7 MPa can achieve circuit-specific control of locomotor behaviors in the motor cortex.

277 Increasing the acoustic pressure to 1.1 MPa did not evoke significant changes in angular
278 velocity compared to the sham stimulation at 0 MPa in TRPV1+ mice (1.1 MPa: 0.36 ± 0.53
279 rev/min). However, sonogenetics stimulation at 1.1 MPa of TRPV1+ mice achieved a
280 significantly higher angular velocity than that obtained by FUS sonication at 1.1 MPa of
281 TRPV1- mice ($p = 0.036$). Although not statistically significant, FUS sonication at 1.1 MPa of
282 TRPV1- mice evoked an increase in the average angular velocity in the ipsilateral direction ($-$
283 0.88 ± 0.36 rev/min) compared with those at 0 MPa and 0.7 MPa. These findings suggest
284 that FUS stimulation at 1.1 MPa alone (without TRPV1) potentially induced neuromodulation
285 effects and generated a confounding impact on TRPV1-mediated sonogenetics at this high-
286 pressure level.

287

288 **TRPV1-mediated sonogenetics activates cortical neurons on the cellular level**

289 To provide a secondary readout for successful modulation of the motor cortex using
290 sonogenetics, we sacrificed the mice 90 minutes after the final stimulation and used
291 immunohistochemical staining to analyze c-Fos expression levels of TRPV1+ and TRPV1-
292 mice. Representative fluorescent images of TRPV1- and TRPV1+ brains stimulated at
293 different acoustic pressures demonstrate that sonication at both 0.7 MPa and 1.1 MPa
294 elicited greater c-Fos expression levels in the motor cortex of TRPV1+ mice (**Fig. 5a**). Group
295 analysis found that TRPV1+ mice showed enhancement in the number of c-Fos cells at both
296 0.7 MPa (231.5 ± 58.3 cells/mm²) and 1.1 MPa (332.1 ± 74.2 cells/mm²) compared to the
297 unstimulated side (89.4 ± 22.2 cells/mm²), indicating activation of neurons in the motor
298 cortex (**Fig. 5b**; 0.7 MPa: ~2.6-fold change, $p = 0.011$; 1.1 MPa: ~3.7-fold change, $p <$
299 0.0001 ; two-way ANOVA with Bonferroni's post-hoc test). On the other hand, FUS
300 stimulation at 0.7 MPa and 1.1 MPa did not evoke significant enhancements in c-Fos
301 expression in TRPV1- mice (0 MPa: 70.1 ± 19.1 cells/mm²; 0.7 MPa: 51.8 ± 21.8 cells/mm²;
302 1.1 MPa: 144.5 ± 50.4 cells/mm²). While there is a slight potential increase in c-Fos
303 expression in TRPV1- mice from FUS alone at 1.1 MPa compared to the unstimulated
304 control, this relationship was not statistically significant ($p = 0.34$). These data demonstrate

305 the ability of TRPV1-mediated sonogenetics to activate motor cortex neurons at the cellular
306 level at both 0.7 MPa and 1.1 MPa.

307

308 **Inflammatory and apoptotic responses in the brain are not engaged by TRPV1-**
309 **mediated sonogenetics**

310 Gross pathology of the mice skull and brain stimulated at 0.7 MPa showed no signs of
311 damage (**Fig. 6a**). In contrast, bleeding was consistently observed in the meninges between
312 the skull and the brain at 1.1 MPa. Furthermore, we used Nissl to stain for signs of neuronal
313 damage, GFAP and Iba1 to stain for signs of inflammation, and Caspase-3 to stain for signs
314 of apoptosis (**Fig. 6b**). Using the non-injection and non-stimulated side of both TRPV1+ and
315 TRPV1- mice as the control, there were no significant differences in any of the protein
316 marker expression levels in the mouse brain at 0.7 MPa or 1.1 MPa (**Fig. 6c**; one-way
317 repeated measures ANOVA with Dunnett's post-hoc test). Both gross pathology and
318 immunohistological analysis of inflammatory and apoptotic markers showed that TRPV1-
319 mediated sonogenetics at 0.7 MPa enables safe neuromodulation, while damage at the
320 meninges was associated with sonogenetics at 1.1 MPa.

321

322 **Discussion**

323 Sonogenetics is a rapidly emerging technique that enables noninvasive, cell-type specific
324 neuromodulation with high spatiotemporal resolution. This study demonstrates the capability
325 of TRPV1-mediated sonogenetics to modulate behavior in freely moving mice.

326

327 Previous studies have reported sonogenetic-enabled neuromodulation in mice by activating
328 mechanosensitive ion channels and proteins, such as prestin [17], MscL G22S [18], and
329 TRPA1 [19], using FUS-induced mechanical effects. They observed neuron activation based
330 on c-Fos staining, as well as motor responses in head-fixed anesthetized mice based on
331 electromyography, but did not report induction of real-time behavior modulation in freely
332 moving mice. Different from these studies, the current study used thermosensitive ion
333 channel TRPV1-mediated sonogenetics and achieved successful behavior modulation in
334 freely moving mice. Our previous study demonstrated successful locomotor behavior
335 modulation by TRPV1-mediated sonogenetics in freely moving mice by targeting a deep
336 brain region, the striatum [24]. Here we demonstrated that this technique could modulate
337 locomotor behaviors via a superficial brain target (motor cortex), expanding the application
338 of TRPV1-mediated sonogenetics to include both superficial and deep brain targets.

339

340 Previous studies have also reported successful neuromodulation using TRPV1-mediated
341 neuromodulation via combining TRPV1 with different external stimulation modalities.
342 TRPV1-mediated magnetothermal-genetics targeting both superficial and deep brain targets
343 were previously reported [21,22]; however, magnetic nanoparticles need to be injected into
344 the brain to convert energy from an alternating magnetic field to heat for TRPV1 activation.
345 Recently, TRPV1-mediated photothermal genetic stimulation was reported, which combines
346 an injection of nanoparticles with near-infrared light to generate heat for TRPV1 activation
347 [23]. TRPV1-mediated magnetothermal and photothermal genetic modulation of the motor
348 cortex induced increases in the angular speed of freely moving mice in the contralateral

349 direction, which was consistent with the results of this study at 0.7 MPa sonication. However,
350 both existing techniques require an additional component of “energy-converting”
351 nanoparticles that were directly injected into brain tissue. The injection process poses
352 inflammation and ischemia risks, and the presence of nanoparticles in the brain possesses
353 immunogenic and biocompatibility concerns. Since FUS-mediated heating does not require
354 the injection of nanoparticles, it provides a powerful alternative approach to achieving
355 TRPV1-mediated genetic neuromodulation.

356

357 We show that TRPV1-mediated sonogenetics successfully evoked motor behavior by
358 targeting the superficial brain target with carefully selected ultrasound parameters.
359 Ultrasound parameters must be selected to achieve successful behavior control without
360 causing any detectable tissue damage. Based on behavior, c-Fos, and safety analyses,
361 TRPV1-mediated sonogenetics at 0.7 MPa met this requirement. However, increasing the
362 pressure to 1.1 MPa did not evoke statistically significant changes in angular velocity in
363 TRPV1+ mice relative to the sham sonication at 0 MPa, but evoked significant changes
364 compared to FUS sonication of TRPV1- mice at 1.1 MPa. FUS sonication at 1.1 MPa in
365 TRPV1- mice showed a trend, although not significant, to evoke ipsilateral rotations. These
366 findings suggested that FUS stimulation at 1.1 MPa alone could impact animal behavior. It
367 was interesting to find that damage to the meninges was observed at 1.1 MPa, although no
368 damage to the brain tissue was clearly detected. Damage to the meninges was due to its
369 proximity to the skull. The high skull absorption of ultrasound at 1.1 MPa caused thermal-
370 induced damage to the meninges. Therefore, ultrasound parameter selection must be
371 carefully selected when performing TRPV1-mediated sonogenetics to achieve effective and
372 safe neuromodulation.

373

374 **Conclusion**

375 In conclusion, our findings demonstrated the feasibility and safety of using TRPV1-mediated
376 sonogenetics to modulate locomotor behaviors by targeting the motor cortex. Combined with
377 our previous report on TRPV1-mediated sonogenetics for behavior modulation by targeting
378 the deep brain region, our present study indicates that this technique can facilitate
379 neuromodulation at the whole depth of the mouse brain.

380

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385

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387

388 **Data statement:** The data that from this study are available from the corresponding author
389 upon reasonable request.

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481

482 **Figure Captions**

483 **Fig. 1. Experimental setup.** (a) Experimental timeline. The study begins with intracranial
484 injection of adeno-associated virus encoding TRPV1 (TRPV1+ mice). Control mice were
485 injected with a control viral vector (TRPV1- mice). After 4-5 weeks, a wearable FUS device
486 was installed onto the mouse skull to target the same location where the viral vectors were
487 injected. The stimulation apparatus consists of a computer, function generator, and power
488 amplifier to apply FUS to the wearable FUS device. Approximately 90 minutes after the final
489 stimulation, mice brains were harvested for c-Fos and safety analyses. (b) Schematic of the
490 ultrasound waveform used during the behavior test. FUS was applied with a center
491 frequency of 1.5 MHz, a pulse repetition frequency (PRF) of 10 Hz, and a duty cycle (DC) of
492 40%. The burst duration (BD) was 15 s with an inter-stimulus interval (ISI) of 185 s, for a
493 total of five stimulations with a total time (TT) of 1000 s.

494

495 **Fig. 2. Characterization of exogenous TRPV1 expression in the motor cortex.** (a)
496 Representative immunofluorescence image of TRPV1+ mouse brain slice that has been
497 stained with anti-TRPV1 antibody (red) and Nissl dye (blue) (Scale bar = 1 mm). The yellow
498 box corresponds to a higher magnification image (Scale bar = 100 μ m). Quantification of (b)
499 the viral spread of TRPV1 expression, (c) the density of TRPV1+ neurons in the motor
500 cortex, (d) the proportion of TRPV1+ neurons in the motor cortex, and (e) the proportion of
501 TRPV1+ cells that are neurons. Data are reported as mean \pm SEM.

502

503 **Fig. 3. Sonogenetics with TRPV1 evokes rotational behavior at 0.7 MPa.** (a)
504 Representative position plots of TRPV1+ and TRPV1- mice with and without the application
505 of one FUS stimulation. Representative plots of (b) the angular displacement over time and
506 (c) angular velocity over time. The yellow bars correspond to the application of FUS at an
507 acoustic pressure of 0.7 MPa.

508

509 **Fig. 4. TRPV1-mediated sonogenetics at 0.7 MPa facilitates direction-specific**
510 **locomotor control.** Summary plot of the average angular velocity for TRPV1- and TRPV1+
511 mice at 0, 0.7, and 1.1 MPa FUS sonications. Angular velocity values greater than zero
512 correspond to contralateral rotations (clockwise), while angular velocity values less than zero
513 correspond to ipsilateral rotations (counter-clockwise). Each point represents one stimulation.
514 Data are reported as mean \pm SEM. Statistical analysis was conducted using two-way
515 repeated measures ANOVA with Bonferroni post-hoc test.

516

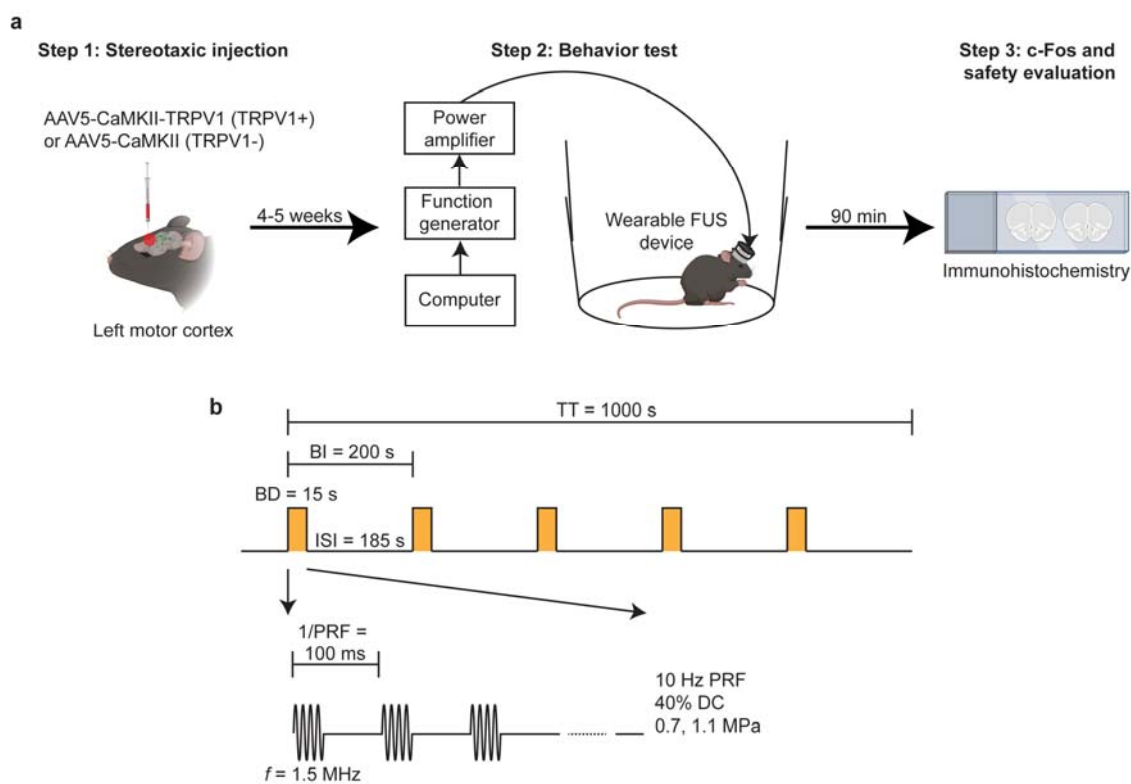
517 **Fig. 5. TRPV1-mediated sonogenetics activates cortical neurons at the cellular level.**
518 (a) Representative immunofluorescence images of TRPV1- and TRPV1+ mice brains
519 stained with anti-c-Fos antibody (green) and Nissl dye (blue) at the unstimulated side, 0.7,
520 and 1.1 MPa (Scale bar = 100 μ m). (b) Quantification of the c-Fos+ neuron count in the
521 motor cortex. FUS sonication at 0.7 and 1.1 MPa enhanced the number of c-Fos expressing
522 neurons in the motor cortex in TRPV1+ mice. Data are reported as mean \pm SEM. Statistical
523 analysis was conducted with two-way repeated-measures ANOVA with Bonferroni's post-
524 hoc test.

525

526 **Fig. 6. TRPV1-mediated sonogenetics at 0.7 MPa did not show signs of inflammation**
527 **or apoptosis.** (a) Representative gross pathology images of the mice skull and brain 90
528 minutes after the last FUS sonication at 0, 0.7, and 1.1 MPa. The first column of images
529 shows the intact skull of the perfused mouse, and the second column of images shows the
530 intact brain of the perfused mouse (scale bar = 5 mm). (b) Representative
531 immunofluorescence images of mice brains stained with Nissl dye (cyan), anti-GFAP
532 antibody (yellow), anti-Iba1 antibody (magenta), and anti-Caspase-3 antibody (red) at 0, 0.7,
533 and 1.1 MPa (scale bar = 100 μ m). (c) Summary of neuron, astrocyte, microglia, and
534 caspase-3 cell counts in the mice motor cortex after FUS sonication at 0, 0.7, and 1.1 MPa.
535 Data are reported as mean \pm SEM. Statistical analysis was conducted with one-way
536 repeated-measures ANOVA with Dunnett's post-hoc test.

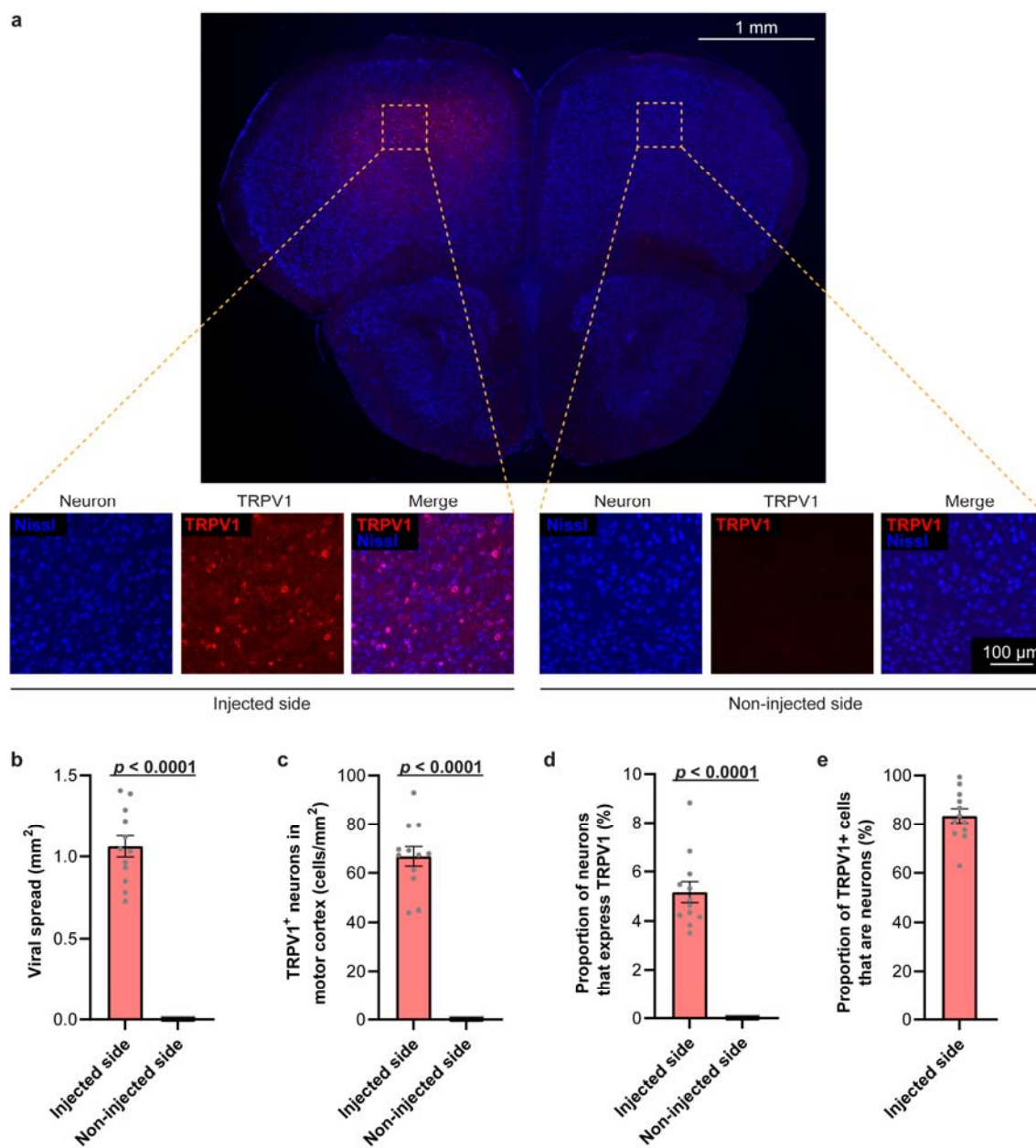
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538 **Fig. 1.**



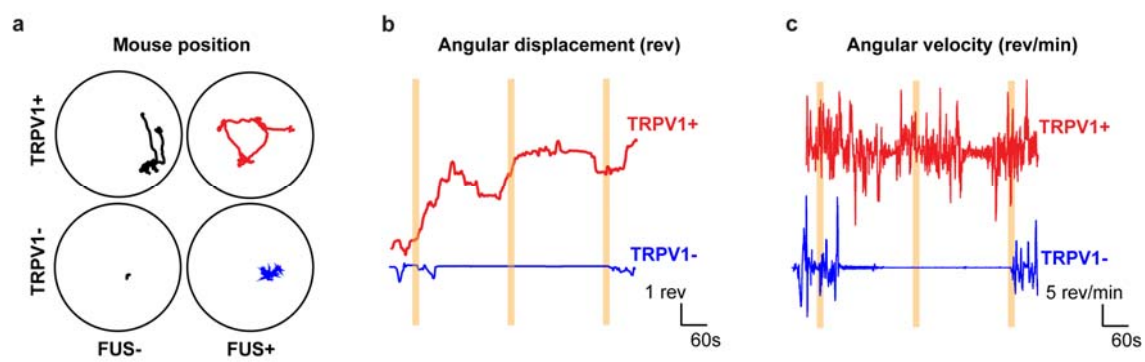
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540 **Fig. 2.**

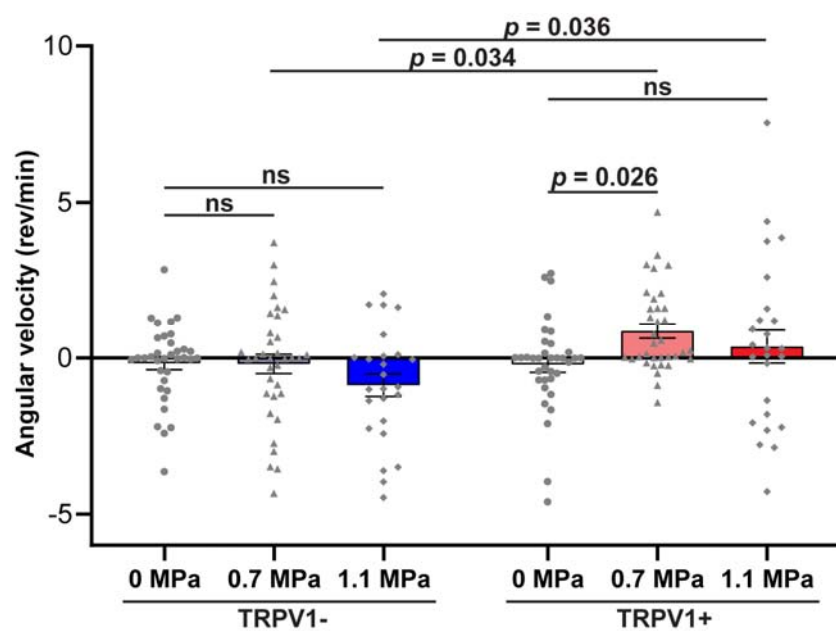


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543 **Fig. 3.**

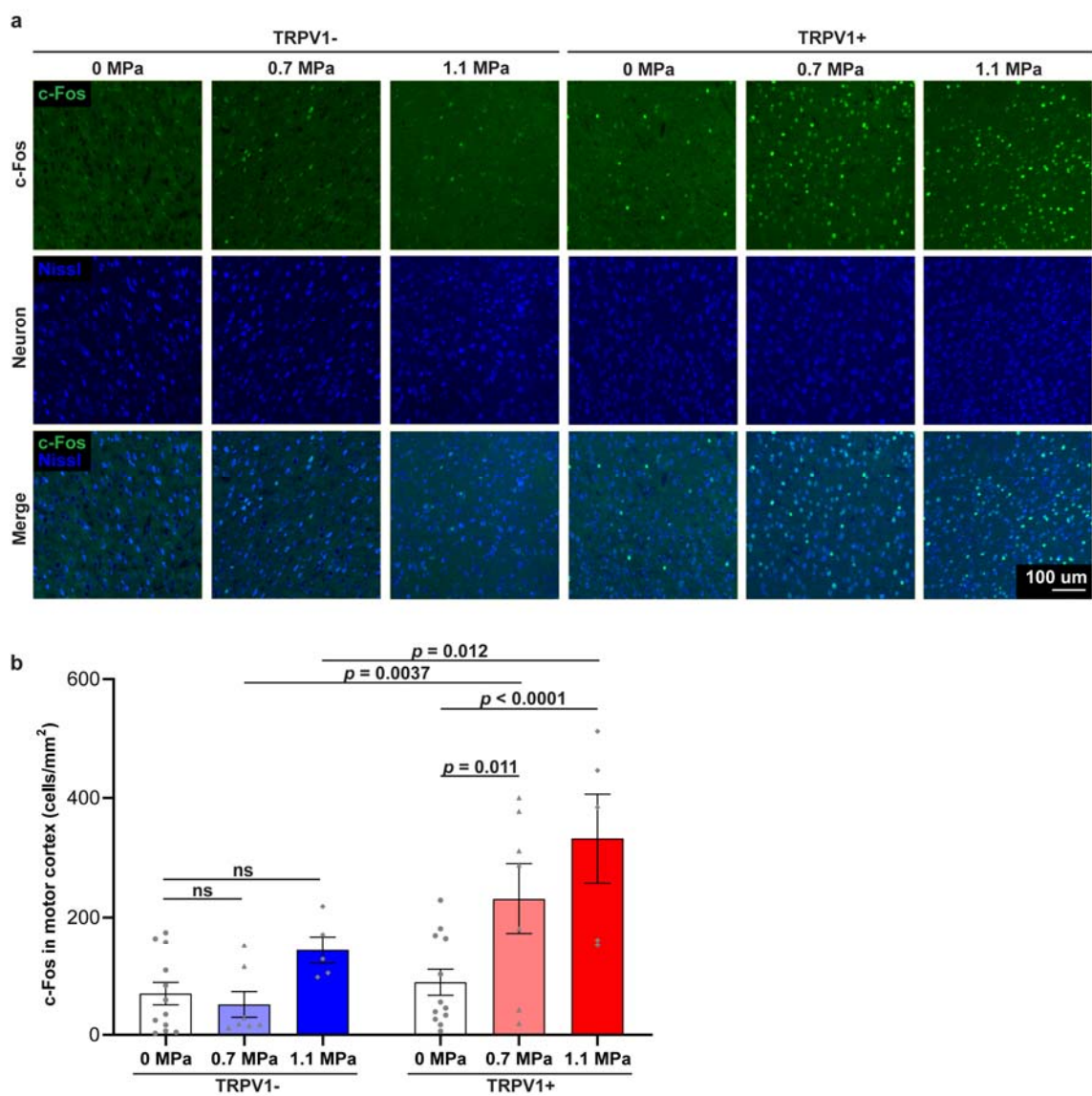


545 **Fig. 4.**



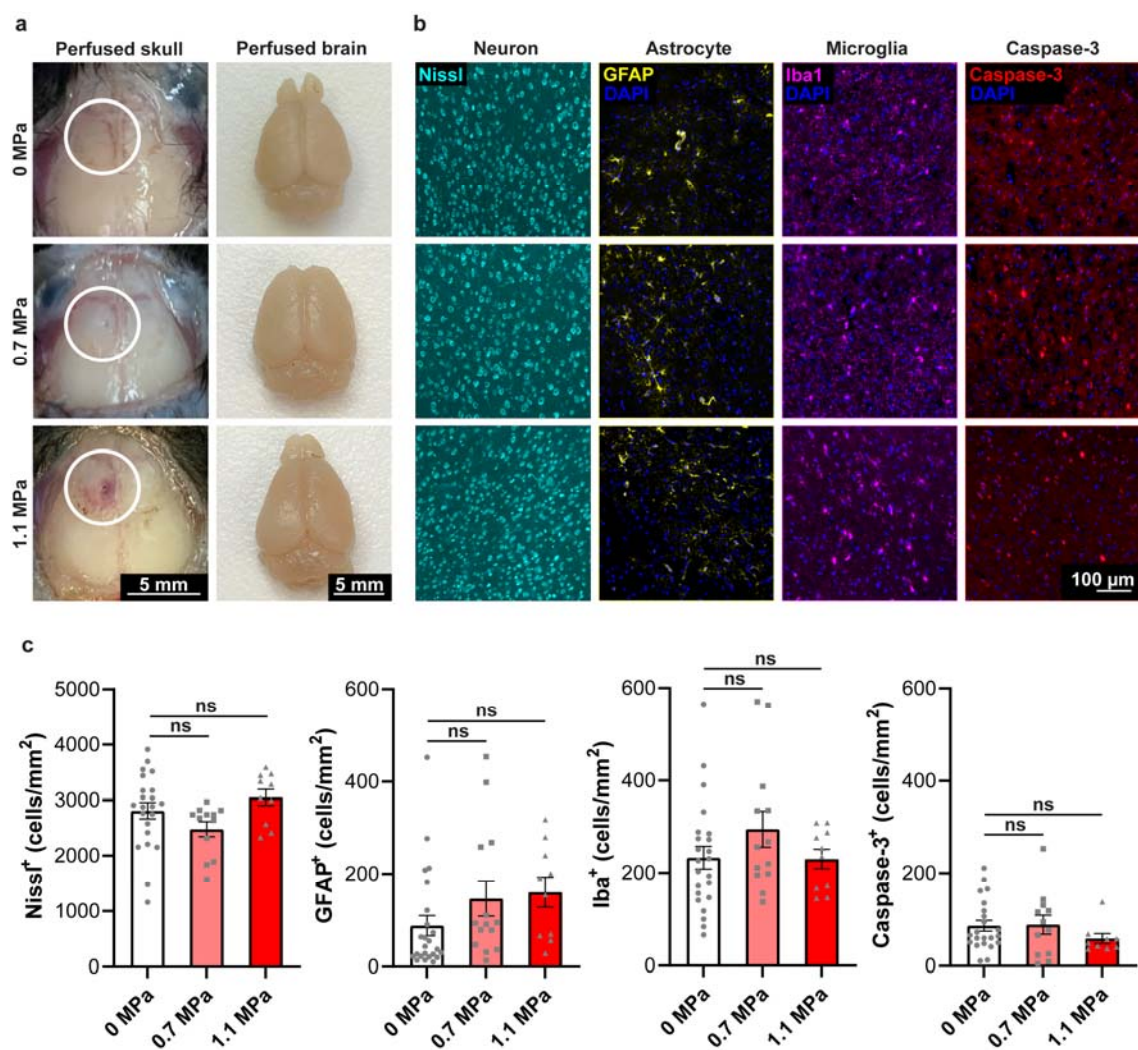
546

547 **Fig. 5.**



548
549

550 **Fig. 6.**



551

552