1 **Allosteric mechanism of transcription inhibition by NusG-dependent pausing of RNA**
2 **polymerase**
3
4

5 Rishi K. Vishwakarma[1], M. Zuhaib Qayyum[1,3], Paul Babitzke[1,4], and Katsuhiko S. Murakami[1,2,4]
6
7 [1]Department of Biochemistry and Molecular Biology, Center for RNA Molecular Biology,
8 Pennsylvania State University, University Park, PA 16802, USA.
9 [2]Center for Structural Biology, Huck Institute of the Life Sciences, Pennsylvania State
10 University, University Park, PA 16802, USA.
11
12 [3]Current address: Protein Technologies Center, Danny Thomas Research Center, Department of
13 Structural Biology, St. Jude Children's Research Hospital, Memphis, TN 38105, USA
14
15 [4]To whom correspondence should be addressed: kum14@psu.edu, pxb28@psu.edu

Significance Statement

Transcription pausing by RNA polymerase (RNAP) regulates gene expression where it controls co-transcriptional RNA folding, synchronizes transcription with translation, and provides time for binding of regulatory factors. Transcription elongation factor NusG stimulates pausing in Gram+ bacteria including *Bacillus subtilis* and *Mycobacterium tuberculosis* by sequence-specific interaction with a conserved pause-inducing $_{-11}$TTNTTT$_{-6}$ motif found in the non-template DNA (ntDNA) within the transcription bubble. This pause motif spans positions -11 to -6 with the 3' end of paused RNA at position -1. Our structural and biochemical results demonstrate that an essential global conformational change in RNAP is directly linked to RNA synthesis, and that the NusG-ntDNA interaction pauses RNA synthesis by interfering with this conformational change.

Keywords

Transcription, RNA polymerase, transcription pausing, NusG, Cryo-EM, nucleotide addition cycle

Abstract

NusG is a general transcription elongation factor that stimulates transcription pausing in Gram+ bacteria including *Bacillus subtilis* by sequence-specific interaction with a conserved pause-inducing $_{-11}$TTNTTT$_{-6}$ motif found in the non-template DNA (ntDNA) strand within the transcription bubble. To reveal the structural basis of NusG-dependent pausing, we determined the cryo-electron microscopy (cryo-EM) structure of a paused transcription complex containing RNAP, NusG, and the TTNTTT motif in the ntDNA strand. The interaction of NusG with the ntDNA strand expands the transcription bubble by positioning three consecutive T residues in a cleft between NusG and the β subunit lobe domain (β-lobe) of RNAP. We revealed that the RNAP swivel module rotation (swiveling), which widens (swiveled state) and narrows (non-swiveled state) a cleft between NusG and the β-lobe, is an intrinsic motion of RNAP and is directly linked to nucleotide binding at the active site and to trigger loop folding, an essential conformational change of all cellular RNAPs for the RNA synthesis reaction. We also determined cryo-EM structures of RNAP escaping from a paused transcription complex. These structures revealed the NusG-dependent pausing mechanism by which NusG-ntDNA interaction inhibits the transition from swiveled to non-swiveled states, thereby preventing trigger loop folding and RNA synthesis allosterically. This motion is also inhibited by formation of an RNA hairpin within the RNA exit channel. Thus, the pause half-life can be modulated by the strength of the NusG-ntDNA interaction and/or the stability of the RNA hairpin. NusG residues that interact with the TTNTTT motif are widely conserved in bacteria, suggesting that NusG-dependent pausing of transcription is widespread.

Introduction

Gene expression requires a multi-subunit DNA-dependent RNA polymerase (RNAP) in all organisms. Although RNA synthesis during transcription elongation by RNAP is highly processive, it is occasionally disrupted by random or programmed offline states, called transcription pausing. Temporal inactivation of RNA synthesis underlies diverse mechanisms that regulate gene expression from bacteria to human (1, 2). In bacteria, transcription pausing facilitates: 1) folding of nascent transcripts into structures that function as ribozymes and riboswitches (3-5); 2) coupling of transcription and translation (6-8); 3) provides time for binding of regulatory factors to the transcription elongation complex (TEC) or the nascent transcript (9-11); and 4) enables transcription termination (12-14).

Transcription pausing is triggered by interactions among RNAP and nucleic acid strands (RNA and DNA) with or without regulatory factors (15). Some of these interactions interrupt the nucleotide addition cycle by promoting entry of RNAP into a short-lived elemental paused state, that can lead to long-lived paused or backtracked states or to transcription termination (1, 2) Structural studies of the elemental paused complex using *Escherichia coli* RNAP revealed a half-translocated and tilted RNA-DNA hybrid (RNA post-translocated, DNA pre-translocated) that pauses transcription by impairing binding of an incoming nucleotide (NTP) at the active site of RNAP (16-18). A cryo-EM structure of an RNA hairpin-stabilized paused transcription complex (PTC) in which the RNA hairpin forms within the RNA exit channel not only forms a tilted RNA-DNA hybrid but also rotates a swivel module (ω subunit + clamp and shelf domains) of RNAP toward the upstream DNA (aka swiveled conformation), further stabilizing the paused transcription state by preventing folding of the trigger loop, an essential step for RNA synthesis (16, 17, 19). Trigger loop changes its folding depending on NTP binding at the active site. Without NTP, the trigger loop is unfolded and conserved residues such as M1012 and H1016 (*Mycobacterium tuberculosis* RNAP numbering) are 30 Å away from the NTP binding site. With correct NTP loading at the active site, the trigger loop folds as the two α-helices form extensive interactions that include contacts between M1012 and H1016 with the nucleobase and triphosphate group of the NTP, respectively. These interactions place the NTP into position for the nucleotidyl transfer reaction (i.e., at the insertion site) (20). Numerous studies established *E. coli* RNAP as a paradigm for elucidating fundamental mechanisms of transcription and its regulation of gene expression. However, transcription pausing with the *E. coli* transcription

system requires Si3, a 188 amino acid insertion domain found in the middle of the *E. coli* RNAP

trigger loop (17, 21, 22). Yet, Si3 is a lineage-specific insertion found only in proteobacteria, and

most other phyla including *M. tuberculosis* (*Mtb*) and *B. subtilis* RNAP lack this insertion. Thus,

this transcription pausing mechanism is not widespread in bacteria.

Transcription elongation factors NusA and NusG influence transcription pausing *in vitro*

and *in vivo* (2, 23). NusA stabilizes the paused state of transcription elongation by promoting the

formation of an RNA hairpin (16). *E. coli* NusG and its paralog RfaH suppress RNAP

backtracking by stabilizing the upstream duplex DNA and by preventing RNAP from forming

the swiveled conformation (24, 25), thereby increasing transcription speed and processivity.

RfaH establishes sequence-specific ntDNA contacts within the transcription bubble and this tight

binding of RfaH to the transcription complex prevents RNA hairpin-stabilized pausing (9, 25-

29).

In *B. subtilis*, interaction of NusG with the ntDNA strand stimulates transcriptional

pausing (30). Genome-wide sequencing of nascent RNA followed by RNase I digestion (RNET-

seq) revealed ~1,600 strong NusG-dependent pause sites containing a conserved $_{-11}$TTNTTT$_{-6}$

motif in the ntDNA strand within the PTC (31). NusG-dependent pausing is enhanced by an

RNA hairpin that forms ~11-13 nt upstream of the 3' end of the paused transcript but the RNA

hairpin is not required for pausing (2). NusG makes sequence-specific contacts with the

TTNTTT motif; NusG deletion or point mutations in NusG or the TTNTTT motif greatly

reduces pausing. Pause sites are found in the 5'UTR or in the coding sequence of genes. Four

NusG-dependent pause sites in 5'UTRs (*trpE, tlrB, ribD,* and *vmlR*) have been characterized and

shown to be involved in regulating expression of the downstream gene (2, 11, 32, 33). In

addition, pausing plays an important role in NusG-stimulated intrinsic termination; deletion of

NusG results in the misregulation of global gene expression and altered cellular physiology (14).

Phylogenetic analysis of NusG in bacteria revealed that the *B. subtilis* type of NusG is

widespread but not in proteobacteria (31). Moreover, it was shown that Spt5, the NusG homolog

in eukaryotes, also contacts the ntDNA strand within the transcription bubble, which may

regulate transcription (34). These findings suggest that NusG-ntDNA interaction within the

transcription bubble might be a universally conserved mechanism of transcription pausing in all

organisms.

In this study, we investigated the mechanism of NusG-dependent pausing by determining a series of cryo-EM structures of transcription complexes containing *Mtb* RNAP, DNA/RNA nucleic acid scaffolds, and NusG from *B. subtilis* or *Mtb*. These complexes include productive transcription elongation and paused transcription states, as well as complexes undergoing pause escape. We revealed two functions of the TTNTTT motif and a role of the RNA hairpin in pausing and in determining the pause half-life. We also revealed a direct link between global (swiveling) and local (trigger loop folding) conformational changes of RNAP during the nucleotide addition cycle, and that NusG-dependent pausing prevents RNA synthesis allosterically by interfering with rotation of the RNAP swivel module.

Results

B. subtilis NusG induces pausing of Mtb and B. subtilis RNAPs in response to a critically conserved TTNTTT motif

B. subtilis NusG stimulates pausing of RNAP via interaction of its N-terminal NGN domain with a conserved TTNTTT motif in the ntDNA strand within the transcription bubble (30, 31). During our cryo-EM studies on transcription, we found that *B. subtilis* RNAP is poorly suited for cryo-EM structural studies due to its weak association with the nucleic acid scaffold and dissociation of RNAP subunits from the complex during sample vitrification. We therefore tested *Mtb* RNAP, which has been used for cryo-EM structural studies and is closely related to *B. subtilis* RNAP. To evaluate whether *Mtb* RNAP transcription pausing is stimulated by *B. subtilis* NusG, we performed *in vitro* transcription assays using these RNAPs and a strong NusG-dependent pause site within the *coaA* coding sequence (**Fig. 1A**). *B. subtilis* NusG stimulated pausing of both RNAPs at the same position and to similar extents. NusG increased the pause half-life of both RNAPs ~4-5 fold (**Fig. 1B**). When the TTTTTT sequence was replaced with AACAAA, NusG-dependent pausing of both RNAPs was eliminated (**Fig. 1C**). These results established that *B. subtilis* NusG stimulates pausing of both RNAPs at the same position in response to the TTNTTT motif, indicating that *Mtb* RNAP can be used as a model for investigating the structural basis of NusG-dependent pausing.

Preparation of a NusG-dependent PTC using a nucleic acid scaffold containing the TTNTTT motif

TECs have been conveniently prepared using nucleic acid scaffolds for *in vitro*
transcription assays and for structural studies (17, 30, 35-37). We tested RNA extension and
pause escape of *Mtb* RNAP using a nucleic acid scaffold containing the $_{-11}$TTNTTT$_{-6}$ motif
relative to the pause position at -1, a 30-mer RNA with an RNA hairpin (7 bp stem and 4 base
loop) at its 5' end, and 9 bases complementary to the template DNA (tDNA) strand (**Fig. 2A**). As
for promoter-derived transcription complexes (**Fig. 1B**), RNA extension (from 30 to 33-mer in
the presence of ATP, GTP and 3'-dCTP) was delayed in response to both NusG and the
TTNTTT motif (**Fig. 2B and 2C**). These results established that productive NusG-dependent
PTCs can be prepared using *Mtb* RNAP, nucleic acid scaffolds and *B. subtilis* NusG for structure
determination.

Cryo-EM structures of a NusG-dependent PTC

We prepared PTCs and productive TECs using nucleic acid scaffolds with and without
the TTNTTT motif (**Fig. 2A**) and determined cryo-EM structures for both complexes to a
nominal resolution of about 3 Å (**Figs. S1, S2 and Table S1**). The issue of preferred particle
orientation, a highly biased particle orientation due to particles facing air within a thin layer of
water, during sample vitrification was resolved by adding 8.0 mM (final concentration) of
CHAPSO to the sample just before applying to the cryo-EM grid (38). Both transcription
complexes show well-defined densities for RNAP, the NGN domain of NusG, and the nucleic
acid scaffold except for RNA upstream of the RNA-DNA hybrid, which is relatively weak but
still sufficient to position the RNA hairpin model (**Figs. 3A, 3B, S3A and Movie S1**).

The density of the ntDNA in the PTC is sufficiently clear for modeling all bases in the
single-stranded DNA within the transcription bubble. In contrast, the ntDNA density in the
productive TEC without the TTNTTT motif is relatively weak, suggesting that it is flexible. The
C-terminal domain of the α subunit (α-CTD) and the C-terminal domain of NusG (KOW
domain) are visible in the low path filtered map (**Fig. S3B**). The NGN domain of NusG binds
two pincers of the RNAP main channel including the coiled-coil helices of the β' clamp and the
protrusion domain of the β subunit (β-protrusion) as its primary and secondary binding sites,
respectively. This interaction fills a cleft of RNAP and covers the single-stranded ntDNA and the
upstream double-stranded DNA (**Fig. 3B**). In both transcription complexes: 1) the density
corresponding to the RNA-DNA hybrid is strong such that the 9 bp RNA-DNA hybrid is

modeled without any ambiguity; 2) the 3' end of the RNA is in the post-translocated site (i site)

as observed in the genome-wide study of NusG-dependent pausing in *B. subtilis* (31); 3) the

RNAP swivel module with NusG rotates ~2.1 degrees toward the upstream DNA compared with

the one in the escaped TEC with NTP as described later, and 4) the RNA-DNA hybrid is not in a

half-translocated tilted state (RNA post-translocated, DNA pre-translocated) as reported and

used to explain the mechanism of transcription pausing for *E. coli* RNAP (**Fig. 3C**) (16, 17).

These observations imply that the mechanism of NusG-dependent pausing is distinct from the

mechanism reported for *E. coli* RNAP.

NusG interaction with the ntDNA strand expands the transcription bubble of the PTC

In the TEC, the ntDNA winds past NusG and the β-lobe domain without any interaction.

In sharp contrast, the ntDNA is buried in a narrow cleft between NusG and the β-lobe domain in

the PTC, and all residues in the TTNTTT motif interact with NusG and/or RNAP (**Fig. 4A**). Y11

of NusG contacts the T_{-10} backbone and C_{-9}. In addition, T86 contacts C_{-9}, while T_{-8} forms base

stacking interactions with C_{-9} and R389 of the β' subunit, which is positioned in the middle of

the β'-rudder. T_{-7} also stacks with G_{-5} and with R80 and N81 of NusG. Furthermore, T_{-6} flips out

from the ntDNA strand and fits snugly into a cavity of NusG formed by W76, Y77 and K97, and

establishes van der Waals interactions with W76 and Y77. The position of T_{-6} is further

stabilized by a salt bridge with K97 of NusG (**Fig. 4A**). Alanine substitutions at these residues

(W76A, Y77A, R80A, N81A, T86A, K97A and Y11A) reduce the pause half-life and pausing

efficiency except for Y77A, which slightly increases the pause half-life but reduces the pausing

efficiency (**Fig. 4B, Fig. S4**). Only a few base-specific interactions between the T residues (T_{-7}

and T_{-6}) and RNAP/NusG were observed, suggesting that the presence of the T residues in the

TTNTTT motif is important for fitting small hydrophobic pyrimidine bases into a narrow

hydrophobic cavity between NusG and the β-lobe instead of establishing extensive base-specific

hydrogen bonds with RNAP and NusG (**Fig. 4A**).

Our structure-based biochemical assays identified key pause-promoting residues of *B.*

subtilis NusG that support the prediction of bacterial lineages that might carry out NusG-

dependent pausing. Next, we analyzed to what extent NusG residues that bind the ntDNA strand

are conserved by performing a multiple sequence alignment of NusG from a wide variety of

bacterial species. We also included RfaH, an *E. coli* NusG paralog, in this analysis (**Fig. S5**).

Most of the residues involved in the NusG-ntDNA interaction are highly conserved in firmicutes,
actinobacteria, deinococcus and cyanobacteria. These residues are not conserved in RfaH. NusG
residues W76 and R80 are highly conserved throughout the bacterial kingdom, while Y11 and
K97 are moderately conserved with similar amino acid residues replacing them in some γ-
proteobacteria. Among all NusG variants containing alanine substitutions that were tested,
substitutions in Y11, W76 and R80 drastically reduced NusG-dependent pausing (**Fig. 4B**),
establishing the importance of these residues in pausing, and the likely existence of a common
pausing mechanism in bacteria. We also tested *Mtb* NusG and found that it stimulates pausing of
Mtb RNAP transcription at the same position as *B. subtilis* NusG (**Fig. 4C**).

DNA base pair partner switching of TT residues results in a distortion of the upstream DNA

The DNA sequence logo of NusG-dependent pause sites in the *B. subtilis* genome
contains two consecutive T residues corresponding to the -11 and -10 positions in the ntDNA
(<u>TT</u>NTTT, underlined) (2). The mutation of T_{-10} to C resulted in ~6-fold decreased pause half-
life, whereas changing both T_{-11} and T_{-10} to Cs, resulted in ~12-fold decreased pause half-life
(39). The finding that mutations in these two residues did not eliminate pausing suggests that
their role is to enhance the effect of the interaction between NusG and the three consecutive T
residues at positions T_{-8}, T_{-7} and T_{-6} in the transcription bubble. Although the backbone of T_{-11}
and T_{-10} contact NusG, there is no base-specific interaction of these residues with NusG (**Fig.
4A**), raising the question as to how these two T residues contribute to NusG-dependent pausing.
In the TEC, T_{-11} and T_{-10} in the ntDNA base pair with A_{-11} and A_{-10} in the tDNA as expected,
resulting in B-form double-stranded DNA (**Fig. 5A**). In contrast, double-stranded DNA in the
PTC is distorted because of DNA base pair switching, mismatch and wobbling. In this case T_{-10}
(ntDNA) forms a base-pair with A_{-11}(tDNA) instead of A_{-10} (tDNA) such that A_{-10} remains
single-stranded at the edge of the upstream DNA duplex. The base pair switching triggers a
mismatch between T_{-11} (ntDNA) and G_{-12} (tDNA), no base pairing of C_{-12} (ntDNA), and base
pair wobbling of T_{-13} (ntDNA) and A_{-13} (tDNA) (the distance between the N3 of T_{-13} and N1 of
A_{-13} atoms is increased from 2.7 Å in the TEC to 4.4 Å in the PTC). Presumably, the presence of
two consecutive thymine bases at the edge of the upstream DNA make it prone to DNA base pair
switching, thereby weakening closing of the transcription bubble during forward translocation of
RNAP. Alternatively, the upstream DNA distortion facilitates expansion of the transcription

bubble, which may establish stronger ntDNA interaction with NusG and the β-lobe (**Fig. 5A**). To

investigate the role of the T_{-11} and T_{-10} bases in the PTC, we mutated these residues and

measured the pause half-life and pause efficiency (**Fig. 5B**). Changing T_{-11} and T_{-10} to G ($_{-}$

$_{11}$GGTTTT$_{-6}$) resulted in a four-fold decrease in pause half-life and five-fold decrease in pause

efficiency, but did not eliminate the effect of NusG on pausing, indicating that these residues

play a role in enhancing NusG-dependent pausing.

RNAP swiveling is an intrinsic motion of Mtb RNAP during the nucleotide addition cycle

Although the cryo-EM structure of the NusG-dependent PTC revealed the interaction of

ntDNA with NusG and the β-lobe domain, as well as distortion of the upstream DNA, it did not

provide a straightforward answer to address the mechanism of transcription pausing. In the

structure of the PTC, we did not observe any apparent obstacle to loading the incoming NTP at

the active site nor for trigger loop folding, which is linked to RNA synthesis. To explore the

possibility that the cryo-EM structure may contain hidden conformation(s) of RNAP, NusG

and/or the RNA-DNA hybrid, we analyzed the conformational heterogeneity of the cryo-EM

datasets by performing global 3D variability analysis (3DVA) in cryoSPARC-v3.3 (40, 41). We

did not observe conformational heterogeneity of RNAP or the RNA-DNA hybrid in the PTC data

set; however, we found that one of the variability components in the TEC showed: 1) swivel

module rotation relative to the core module; 2) movement of the upstream DNA; and 3) changes

in the size of the RNA exit channel (**Movies S2 and S3**). Particles were sorted into two clusters

along this 3D conformational trajectory followed by 3D refinement, which yielded two structure

classes (class 1, 48% of the particles; class 2, 52% of the particles). Comparison of the class 1

and class 2 structures revealed that the RNAP swivel module with NusG rotates ~1.5 degrees

toward the downstream DNA, with the rotation axis positioned at the ω subunit that roughly

parallels the bridge helix (**Figs. 6A, 6B**). This conformational change of RNAP, including the

moving swivel module and the rotation axis, is the same as reported in previous structural studies

of *E. coli* RNAP (16, 17, 42) (**Movie S5**). We refer to these classes as the swiveled (class 1) and

non-swiveled (class 2) conformations. RNAP swiveling changes the width of a cleft between

NusG and the β-lobe domain and the size of the RNA exit channel (both are wider in the

swiveled conformation and narrower in the non-swiveled conformation) (**Figs. 6B**, **S6**, **and**

Movie S3). In the non-swiveled conformation of the TEC, the RNA exit channel is too narrow to

accommodate an RNA hairpin and T$_{-6}$ of ntDNA and hence the RNA hairpin was unfolded (**Fig.**
6B right).

In the TEC, RNAP formed both the swiveled and non-swiveled conformations and the
RNA hairpin was only observed in the swiveled conformation, suggesting that the RNA hairpin
promotes formation of the swiveled conformation. Next, to address whether RNAP swiveling is
an intrinsic motion of *Mtb* RNAP during the nucleotide addition cycle, we prepared an *Mtb*
RNAP TEC with *Mtb* NusG and a nucleic acid scaffold lacking the RNA hairpin and determined
the cryo-EM structures (**Figs. S7 and S8, Table S1**). We added CMPCPP, a non-hydrolyzable
analogue of CTP, as an incoming NTP to monitor how binding of the incoming NTP at the
RNAP active site influences the global (swiveling) and local (trigger loop folding)
conformational changes of RNAP. CryoSPARC-v3.3 3DVA analysis (41) revealed significant
motion of the swivel module relative to the core module in the TECs, both in the presence and
absence of NTP (**Movie S4**). In addition, sorting particles followed by 3D refinement revealed
one major conformation in each TEC; swiveled without NTP and non-swiveled with NTP (**Fig.**
6C). The trigger loop is folded when NTP is bound at the active site (**Fig. 6D**). These results
indicate that: 1) swiveling is an intrinsic motion of RNAP; 2) the swiveling motion of RNAP
(global conformational change) is directly linked to trigger loop folding (local conformational
change); and 3) NTP binding at the active site influences the equilibrium of the swiveled
(without NTP) and non-swiveled (with NTP) states of RNAP.

In the *Mtb* RNAP-M*tb* NusG TEC without the RNA hairpin, we observed extra density
extending from the C-terminus of the β subunit, allowing us to build a model with 19 additional
amino acid residues at the C-terminus of the β subunit (from E1151 to N1169). This β subunit
tail is positioned below the β-flap domain and fills a gap between the Zn-binding and dock
domains of the β' subunit, which makes the RNA exit channel narrower (**Fig. 7A left**). The β
subunit tail is disordered in the PTC, which allows accommodation of the RNA hairpin in the
RNA exit channel (**Fig. 7A middle**). Sequence alignment of the C-terminus of the β subunit in
bacterial RNAPs revealed this β subunit extension of ~30-40 residues in *Mtb* and closely related
phyla but not in *E. coli* and its closely related phyla (**Figs. 7A and 7B**).

Escape of RNAP from NusG-dependent pausing

Comparison of the *Mtb* RNAP structure conformations showed that RNAP was in the
swiveled state in the PTC and in the TEC without NTP, whereas RNAP was in the non-swiveled
state in the TEC with NTP. The trigger loop was unfolded and folded in the swiveled and non-
swiveled states of RNAP, respectively (**Fig. 6**). Therefore, we hypothesized that the NusG-
ntDNA interaction may provide an obstacle to the rotation of the RNAP swivel module, and
thereby cause RNA synthesis to pause. To test this hypothesis, we determined a series of cryo-
EM structures that allowed us to directly observe RNAP escape from the PTC by mixing
GMPCPP, a nonhydrolyzable analogue of GTP, to the PTC (**Fig. S9, Table S1**). The
conformational heterogeneity of the complex was addressed by using the 3DVA in cryoSPARC-
v3.3 (41). The analysis revealed a significant motion of the swivel module relative to the core
module of RNAP, and the 3D refinement revealed three structure classes (**Fig. 8**). The first class
does not show any density of the NTP at the active site and the RNAP/NusG/nucleic acid
scaffold conformation is identical to the PTC (**Fig. 3**), thus we refer it as the PTC (26% of
particles) (**Fig. 8**). The second class shows density of the NTP at the active site, but the
RNAP/NusG/nucleic acid scaffold conformation is nearly identical to the PTC except for a slight
rotation of the NusG/swivel module toward the downstream DNA and partial folding of the
trigger loop. We refer to this structure as the PTC‡ + NTP (36% of particles) (**Fig. 8**). The third
class shows strong density of the NTP at the active site and the RNAP/NusG/nucleic acid
scaffold conformation is the same as the TEC + NTP. We refer to this structure as the escaped
transcription elongation complex (eTEC) + NTP (38% of particles) (**Fig. 8**). In the of PTC and
PTC‡ + NTP structures, the last three T residues of the TTNTTT pause motif in ntDNA remain
positioned in the narrow cavity between NusG and the β-lobe domain, and the RNA hairpin is
folded. In the PTC‡ + NTP structure, full rotation of the RNAP swivel module toward
downstream DNA is prohibited because of ntDNA binding within the NusG/β-lobe cavity. The
trigger loop is partially folded in this structure such that M1012 contacts the base of the NTP, but
H1016 cannot reach the triphosphate (**Fig. 8B,C**). In the eTEC + NTP structure: 1) the three T
residues of the TTNTTT motif are pushed out of the NusG/β-lobe cavity, allowing full rotation
of the swivel module to the non-swiveled state; 2) the trigger loop is fully folded to allow
interaction of M1012 and H1016 with the NTP; 3) the second Mg^{2+} is loaded at the Mg^{B} site; and
4) the RNA hairpin is unfolded (**Fig. 8B,C**). In all three classes, the RNA-DNA hybrid
configurations are identical without any evidence of tilting, and the RNAP 3' end is in the post-

translocated state. These observations indicate that NusG-dependent pausing does not interfere
the NTP binding at the active site, but instead inhibits rotation of the RNAP swivel module by
inserting ntDNA into the NusG/β-lobe cavity, thereby preventing completion of trigger loop
folding, and hence causing RNA synthesis to pause.

Discussion

Transcription pausing is a key mechanism for regulating gene expression in all organisms
(43). The structural basis for transcription pausing is largely inferred from studies using the
transcription system from *E. coli*, a model Gram- bacterium (1, 13, 16, 17, 25, 35). In this study,
we provide the structural basis for NusG-dependent pausing in *B. subtilis* and *Mtb*, model Gram+
bacteria, by determining a series of cryo-EM structures of *Mtb* RNAP with bound NusG from
either *B. subtilis* or *Mtb*. These structures include TECs, PTCs, and complexes in the process of
escaping from the PTC (**Fig. 9**). We revealed how NusG interacts with the TTNTTT motif in the
ntDNA within the paused transcription bubble. This interaction leads to expansion of the
transcription bubble and disruption of proper base paring of the upstream DNA duplex in the
PTC (**Figs. 3B and 5A**). Structure-guided biochemical investigation identified NusG residues
that play important roles in transcription pausing (**Fig. 4**). Furthermore, fitting T residues into the
narrow cleft between NusG and the β-lobe domain provides an explanation for the observed
preference of T at these positions over other nucleotides. The presence of T bases over C in the
TTNTTT motif is advantageous since the C-5 methyl of T provides a hydrophobic group to favor
van der Waals interactions with NusG and RNAP. In previous studies, we sequentially mutated
these nucleotides and showed that the last three Ts of the TTN<u>TTT</u> motif (-8 to -6, underlined)
are critical for NusG-dependent pausing, and that these residues were protected from oxidation
by KMnO$_4$ in footprinting studies (30, 39). Consistent with the published biochemical data, the
PTC structure showed the flipping of the T$_{-6}$ base, which fits tightly into a cavity of NusG to
form van der Waals interaction (**Fig. 4A**). Reduced pause half-lives and pausing efficiencies in
the presences of alanine substituted NusG variants strengthen our structure-based interpretations
(**Fig. 4B**).

The mechanism of NusG-dependent transcription pausing

Cellular RNAP is a flexible and dynamic macromolecule, which changes its
conformation throughout the transcription cycle. The DNA binding main channel of the bacterial
RNAP core enzyme is widely opened, whereas it is closed during transcription elongation (44).
The main channel is also closed when it binds a promoter recognition σ factor to form a
holoenzyme (45). This type of RNAP motion is known as "clamp opening and closing", which is
a conserved motion in most cellular RNAPs (46). Swiveling is another motion of RNAP in
which the swivel module rotates a few degrees (1~4°) relative to the core module using a rotation
axis roughly parallel to the bridge helix. The swiveling motion has been observed in a course of
PTCs formed with *E. coli* RNAP (17, 22, 42), and the elongation factors NusA and NusG
influence the equilibrium between the swiveled and non-swiveled states of RNAP (42). In this
study, we revealed that swiveling is an intrinsic motion of *Mtb* RNAP not only during the
formation of PTC but also during transcription elongation, which is directly linked to the trigger
loop motion (folding and unfolding) (**Figs. 6 and 8**). NTP binding at the active site shifts the
equilibrium from the swiveled to non-swiveled states, as well as the trigger loop from unfolded
to folded states (with NTP). Coupling between the swiveling motion and trigger loop folding was
also observed in cryo-EM studies of cyanobacterial RNAP transcription complexes (manuscript
in preparation), suggesting that it is a universally conserved feature of transcription in bacteria.
Since domains and motifs of RNAPs are highly conserved in all cellular RNAPs including
bacterial, archaeal and three nuclear eukaryotic RNAPs (RNAPI, RNAPII and RNAPIII) (46-
48), the coupling between swiveling and trigger loop folding may occur in all organisms.
RNAP swiveling changes the width of the cleft between NusG and the β-lobe domain of
RNAP (**Fig. 9**). The same cleft is used for inserting the T residues of ntDNA during formation of
the PTC, which restricts swiveling. Thus, during PTC formation, the ntDNA containing the
TTNTTT motif acts as a wedge to prevent the transition from the swiveled to non-swiveled
states. Since swiveling and trigger loop folding are linked, the NusG-ntDNA interaction can
pause RNA synthesis despite it being ~60 Å away from the active site of RNAP. Once the PTC
is formed, removal of the T residues from the NusG/β-lobe cleft is required for pause escape
such that RNAP can resume transcription elongation.
RNAP swiveling also changes the width of the RNA exit channel since it is positioned at
an interface between the swivel and core modules of RNAP and is slightly narrower in the non-
swiveled state compare with the swiveled state (**Fig. 7A**). Thus, RNA hairpin formation is only

allowed in the PTC (**Fig. 3B**) and in the swiveled state of the TEC (**Figs. 6B and 8B**). The RNA
hairpin is not essential for NusG-dependent pausing, but it increases the pause half-life. A wide
range of pause strengths *in vivo* and pause half-lives *in vitro* were observed for various NusG-
dependent pause sites (31). Our study provides mechanistic insight into how pause strength/half-
life is determined; the strength of the NusG-ntDNA interaction (number of T residues in the
transcription bubble and/or upstream DNA), together with the stability of the RNA hairpin, can
determine the transition energy barrier required for RNAP to escape from the swiveled state.
Higher energy barriers increase the pause strength/half-life.

NusG is a transcription pausing factor in bacteria and the ntDNA in the transcription bubble
provides a signal to influence transcription

NusG is a universally conserved transcription elongation factor that is present in all
organisms (Spt5 in archaea and eukaryotes) and has been recognized as an anti-pausing factor
and a core regulator of transcriptional polarity based on studies using the *E. coli* transcription
system (49, 50). In *B. subtilis*, NusG stimulates pausing by establishing sequence-specific
contacts between its NGN domain and critically conserved T residues in the ntDNA (30, 31).
The structural and biochemical studies of NusG-dependent pausing presented here propose an
alternative and perhaps more universally conserved view in which NusG functions as a pausing
factor in bacteria. Multiple-sequence alignment analysis showed that most of the NusG residues
involved in ntDNA interaction are conserved throughout the bacterial domain with exceptionally
high conservation among firmicutes, actinobacteria and cyanobacteria (**Fig. S5**). Furthermore,
we confirmed that *Mtb* NusG stimulates pausing of *Mtb* RNAP *in vitro* and found that pausing
occurs at the same position as with *B. subtilis* RNAP and NusG (**Fig. 4C**). The conservation of
pause promoting NusG sequences suggests that NusG might functions as a transcription pausing
factor in most bacteria. A key question that needs to answered is whether related bacteria also
have critical TTNTT motifs at specific positions that contact NusG to regulate transcription
elongation.

The surface exposed single-stranded ntDNA in the transcription bubble within the TEC is
one of a limited number of binding platforms for a transcription regulator to directly access
nucleic acid when RNAP is bound and transcribing RNA. In addition to *B. subtilis* NusG, Spt5 in
yeast (34) and the C128 subunit of RNAPIII (51, 52) regulates transcription elongation by

contacting a T-rich ntDNA strand in the transcription bubble, suggesting that critically
positioned polyT signals in ntDNA play an important role in transcription elongation and that the
associated regulatory processes might be a universally conserved mechanism shared by all
organisms including bacteria, archaea and eukaryotes.
440

Acknowledgments
We thank George Garcia at the University of Michigan for the pMTBRP-5 plasmid. We also
thank Carol Bator, Sung Hyun Cho and Mike Carnegie at the Penn State Cryo-EM facility for
supporting cryo-EM data collection. We thank David Gilmour and Jean-Paul Armache at Penn
State University, as well as Alexander Yakhnin and Mikhail Kashlev at the National Cancer
Institute, for helpful discussions. We thank Albert Weixlbaumer at the Institut de Génétique et de
Biologie Moléculaire et Cellulaire for providing the methodology for measuring the RNAP
swivel module rotation. This research was supported by National Institutes of Health (NIH)
Grant GM098399 (to P.B.) and GM131860 (to K.S.M.).

Author contributions
K.S.M. and P.B. conceptualized the project. R.K.V. performed the experiments. M.Z.Q.
participated in initial optimization of cryo-EM sample and grid preparation, screening, and data
processing. K.S.M. and R.K.V. processed cryo-EM data, refined and analyzed the structures and
prepared figures and tables. R.K.V., K.S.M. and P.B. conducted formal data analysis. K.S.M.
and R.K.V. wrote the original draft manuscript and P.B. edited it. All authors reviewed, edited
and approved the manuscript. K.S.M. and P.B. secured funding.

458
459
460

Figure legends

Figure 1. *B. subtilis* **NusG stimulates TTNTTT motif-specific pausing of** *Mtb* **and** *B. subtilis* **RNAPs.**

A. DNA sequence used for promoter-initiated *in vitro* pausing assays. The -35 and extended -10 promoter elements are underlined. A 29-nucleotides C-less region is in cyan and the predicted *coaA* pause hairpin is in green. The TTNTTT motif and the pause position (arrowhead) are in magenta. The transcription start site (+1) is also indicated. **B.** Representative gels showing RNAs generated from promoter-initiated single-round *in vitro* transcription pausing assays. Reactions were performed with the *coaA* DNA template shown in (**A**), *B. subtilis* NusG and either *B. subtilis* (left) or *Mtb* (right) RNAP. Transcription reactions were performed with 150 μM of each NTP ± 1 μM NusG. Reactions were stopped at the times shown above each lane. Chase reactions (Ch) were extended for an additional 10 min at 37 °C. Positions of the *coaA* pause (P and arrow), and run-off (RO) transcripts are indicated. Additional pause, terminated, or arrested RNA species (P/T) were observed between P and RO. Calculated pause half-lives are shown at the bottom of the gel. **C.** Representative gels showing RNAs from the promoter-initiated single-round *in vitro* transcription reactions using a DNA template in which the TTNTTT motif was replaced with AACAAA. Values at the bottom of the gels are averages ± standard deviation (n=3).

Figure 2. **Reconstitution of active PTCs with a DNA/RNA scaffold,** *Mtb* **RNAP and** *B. subtilis* **NusG.**

A. Nucleic acid scaffold used for reconstitution of the active PTC. Non-template DNA (ntDNA) for preparing the PTC contained the TTNTTT motif (underlined). The last three T residues of the TTNTTT motif (positions -8 to -6) were replaced with A residues (TTNAAA) for preparing the TEC. upDNA, upstream DNA; downDNA, downstream DNA. **B.** Representative gels showing the results of single-round *in vitro* pause escape assays using the PTC nucleic acid scaffold. Reactions were stopped at the times shown above each lane. Chase reactions (Ch) were extended for an additional 10 min at 37 °C. **C.** Same as panel (**B**) except the nucleic acid scaffold for the TEC was used. Values at the bottom of the gels are averages ± standard deviation (n=3).

Figure 3: Cryo-EM structure of the NusG-dependent PTC.

A. Orthogonal views of the cryo-EM density map of the PTC. Subunits and domains of RNAP,

DNA, RNA and NusG are colored and labeled. downDNA, downstream DNA; upDNA,

upstream DNA; β'-i1, lineage-specific insertion. **B**. Cryo-EM densities (transparent) of DNA,

RNA, β-lobe domain and NusG are overlayed with the PTC structure (left) and TEC structure

(right) revealing the extension of the transcription bubble in the PTC. The 5' and 3' ends of the

RNA, as well as positions -10 and -6 of the ntDNA are indicated. The cryo-EM density maps and

the structure are colored according to (**A**). **C.** Comparison of the RNA-DNA hybrid (RNA, red;

tDNA, green) proximal to the RNAP active site (active site Mg^{2+} ion and the bridge helix are

shown as a magenta sphere and pink ribbon model, respectively) in the PTC with the TEC (left,

gray), with the post-translocated TEC with NTP (middle, light blue and blue) and with the half-

translocated *E. coli his* PTC (right, yellow). The active site (*i* and *i*+1 sites) is shown as

transparent blue boxes.

Figure 4: NusG and ntDNA interaction in the PTC.

A. (left) The structure of the PTC around the transcription bubble is shown as ribbon (RNAP and

NusG) and stick (DNA and RNA) models with transparent surfaces. Positions of the ntDNA

(from -11 to -6) and the lid, rudder, lobe and i1 domains of RNAP are indicated. (right) A

magnified view of the ntDNA interactions with NusG and RNAP (same orientation as in the

right panel). Amino acid residues of NusG and the β' subunit interacting with ntDNA are

indicated as stick models. DNA strands (tDNA, dark green; ntDNA, light green) are shown as

sphere models and thymine residues in the TTNTTT motif are colored (red, oxygen; blue,

nitrogen; green, carbon). **B.** Table showing RNAP pause half-life and pausing efficiency for WT

and NusG mutants and relative sequence conservation score denoted by +. Higher number of +

indicates highly conserved sequence (**Fig. S5B**) **C**. *Mtb* NusG stimulates pausing of *Mtb* RNAP

at the same position as *B. subtilis* NusG. Reactions were performed in the absence (-NusG) and

in the presence of either *B. subtills* (*Bsub*) or *Mtb* NusG as indicated. Transcription reactions

were performed with 150 µM of each NTP except ATP (10 µM). Reactions were stopped at the

times shown above each lane. Chase reactions (Ch) were extended for an additional 10 min at 37

°C. Positions of paused (P) and run-off (RO) transcripts are marked. Additional pause,

terminated, or arrested RNA species (P/T) were observed between P and RO.

Figure 5: DNA base pair switching at T$_{-11}$ and T$_{-10}$ distorts the upstream DNA duplex in the
PTC.

A. Structures of DNA, RNA and NusG of the PTC (left) and the TEC (right) centered at the
upstream DNA duplex are shown as cartoon (NusG and RNA) and stick (DNA) models with
transparent surfaces. Positions of tDNA and ntDNA bases are indicated. Schematic
representations of base pairing of the upstream DNA duplex in the TEC (right) and the distortion
of the upstream DNA duplex in the PTC (left) are shown at the bottom. **B.** Changing T$_{-11}$ and T$_{-10}$
$_{-10}$ affects NusG-dependent pausing. *In vitro* transcription assays were performed using a WT
coaA template without the pause hairpin sequence, the same template containing substitutions of
T$_{-11}$ and T$_{-10}$ to G (M1, $_{-11}$GGTTTT$_{-6}$), as well as a template with a longer T stretch (M2, $_{-14}$TTTTTTTTTT$_{-6}$).
$_{-14}$TTTTTTTTTT$_{-6}$). In addition to paused, terminated or arrested RNA species (P/T) between P
and RO observed with all three templates, additional terminated transcripts (T) were observed
with the M2 template. Values at the bottom of the gels are averages ± standard deviation (n=3).

Figure 6: RNAP swiveling and trigger loop conformational changes of *Mtb* RNAP during
the nucleotide addition cycle.

A. Orthogonal views of the *Mtb* RNAP-*B. subtilis* NusG TEC indicating the modules and
domains. The rotation axis of the swivel module is shown as yellow circles (left and middle). **B.**
Cryo-EM densities (transparent) of DNA, RNA, NusG, β-lobe and β-protrusion domains are
overlayed with the swiveled (left) and non-swiveled states (right) of the TEC. Rotation of the
NusG/swivel module from the swiveled to non-swiveled states is indicated by a black arrow
(right panel). **C,D**. Comparison of the structures of the *Mtb* RNAP-*Mtb* NusG TEC in the
swiveled (without NTP, black) and non-swiveled (with NTP, color) states, showing the rotation
of the NusG/swivel module (**C**) and the folding of the trigger loop (**D**) upon NTP binding at the
active site (indicated by black arrows). Mg^{2+} ions at active centers are shown as red spheres
(Mg^{A} and Mg^{B}) and the trigger loop residues M1012 and H1016 are shown as stick models that
contact the nucleobase and triphosphate group of the NTP, respectively.

Figure 7: RNA exit channels of *Mtb* and *E. coli* RNAPs.
A. Comparison of the RNA exit channels of the *Mtb* TEC without an RNA hairpin (left), the *Mtb*
PTC with an RNA hairpin (middle), and the *E. coli* TEC without an RNA hairpin (right). The

structures are depicted as surface representations (RNAP and NusG) and stick (DNA and RNA)

models. The C-terminus of the *E. coli* β subunit (E1342, yellow) is positioned below the Zn

binding domain (ZBD) of the β' subunit (right). The C-terminal region of the *Mtb* β subunit

(E1151 to N1169, yellow) forms a bridge between the Zn binding and dock domains of the β'

subunit, making the RNA exit channel narrower (left), but it is disordered in the PTC to

accommodate the RNA hairpin (middle). **B.** Sequence alignment of the C-terminus of the β

subunit ($β_C$) of representative bacterial RNAPs (*Mtb, M. tuberculosis; B. sub*, *B. subtilis*; *S. aur,*

Staphylococcus aureus; *S. elo*, *Synechococcus elongatus*; *C. dif, Clostridioides difficile*; *T. the*,

Thermus thermophilus; *B. bac, Bdellovibrio bacteriovorus*; *N. men, Neisseria meningitidis*, *C.*

cre; Caulobacter crescentus. *C. jej, Campylobacter jejuni*). The C-terminal tail of the *Mtb* β

subunit and C-terminus of the *E. coli* β subunit are highlighted.

Figure 8: Pause escape from the PTC.

A. Experimental scheme of the transcription complex preparation from the NusG-dependent PTC

mixed with the incoming NTP. Three transcription complexes were formed, the angles of the

swivel module relative to the eTEC + NTP are shown, and the conformations of the trigger loop

are indicated. **B.** Comparison of the three transcription complexes. Cryo-EM densities

(transparent) of DNA, RNA, NusG, β-lobe and trigger loop (TL) are overlayed with the final

model. Rotation of NusG with the swivel module toward the downstream DNA are indicated by

black arrows. Pushing the ntDNA out of the NusG/β-lobe cavity in the eTEC + NTP is indicated

by a black arrow. **C.** Comparison of the trigger loop conformations in the three transcription

complexes. Mg^{2+} ions at the active centers are shown as red spheres (Mg^A and Mg^B), while the

trigger loop residues M1012 and H1016 are shown as stick models.

Figure 9: RNAP conformations associated with productive transcription elongation, NusG-

dependent pausing, and escape from the PTC. A series of transcription complexes with NusG

determined in this study are depicted as surface, ribbon and stick model representations, and the

modules and domains are indicated. Top panels represent the productive TEC, while the bottom

panels represent the PTC (left) and a complex escaping from the pause (eTEC) (right).

Figure S1: Cryo-EM data workflow for the PTC containing *Mtb* RNAP and *B. subtilis* NusG.

A. Representative micrograph showing particle distribution. **B.** Selected representative 2D classes from 2D classification. **C.** Cryo-EM data processing and classification flow chart. **D.** Fourier shell correlation (FSC) plot for half-maps with 0.143 FSC criteria indicated. The nominal resolution was determined to be 3.00 Å.

Figure S2: Cryo-EM workflow for the TEC containing *Mtb* RNAP and *B. subtilis* NusG.

A. Representative micrograph showing particle distribution. **B.** Selected representative 2D classes from 2D classification. **C.** Cryo-EM data processing and classification flow chart. **D.** Fourier shell correlation (FSC) plot for half-maps with 0.143 FSC criteria indicated. 3DVA analysis resulted in two different structures with nominal resolutions of 3.23 Å (class 0) and 3.14 Å (class 1).

Figure S3: Cryo-EM structures of the PTC.

A. Cryo-EM density map of the PTC centered at the RNA hairpin within the RNA exit channel. The transparent cryo-EM density map is colored according to the model in Fig. 3 (RNAP and DNA, ribbon models; RNA, stick model). Stem and loop of the RNA hairpin are indicated. β-FTH, flap tip helix; β'1, lineage-specific insertion. **B**. Low path filtered cryo-EM density map of the PTC shows the densities corresponding to the α-CTD and the NusG-KOW domains. **C**. Comparison of the RNAP structures in the PTC (colored) and TEC (black).

Figure S4: Structure-function analysis of NusG-dependent pausing.

Gel image showing the effects of alanine substitutions on NusG-dependent pausing. Single-round *in vitro* transcription reactions were performed with the *coaA* template shown in Fig. 1A. Transcription was performed in the absence (–) and presence of 1 μM WT or mutant NusG as indicated. Reactions were stopped at the times shown above each lane. Chase reactions (Ch) were extended for an additional 10 min at 37 °C. Positions of paused (P) and run-off (RO) transcripts are marked. Additional pause, terminated, or arrested RNA species (P/T) were observed between P and RO. Pause half-life ($t_{1/2}$) and pause efficiency (Eff (%)) values for this experiment are shown at the bottom of the gel. Although in the structure T82 of NusG did not

show any interaction with the TTNTTT motif, the T82A variant was tested to corroborate the

observation that a T82V variant caused pausing defects (30).

Figure S5: Phylogenetic analysis and amino acid sequence alignment of NusG.

A. A phylogenetic tree was constructed using amino acid sequences of NusG from different

bacteria including *E. coli* RfaH using the Neighbor-Joining method of the MEGA program (53)*.

B. subtilis and *M. tuberculosis* NusG clustered in the same branch. **B.** A sequence alignment

(same sequences as used for phylogenetic analysis) using *Mtb* numbering was performed using

the online Clustal-Omega program (54) and visualize online with ENDscript server (55). The

boxed regions are conserved. Residues involved in interaction with ntDNA are marked with

vertical arrows with the indicated *B. subtilis* numbering.

Figure S6: Structure of the *Mtb* RNAP PTC.

Orthogonal views of the *Mtb* RNAP-*B. subtilis* NusG paused transcription complex indicating

the subunits of RNAP **(A)** and the modules and domains of RNAP **(B)**. Location of the rotation

axis of the swivel module is shown as yellow circles (left and middle). **C.** Modules and motifs of

the *Mtb* and *E. coli* RNAPs.

Figure S7: Cryo-EM workflow for the TEC containing *Mtb* RNAP and *Mtb* NusG.

A. Representative micrograph showing particle distribution. **B.** Selected representative 2D

classes from 2D classification. **C.** Cryo-EM data processing and classification flow chart. **D.**

Fourier shell correlation (FSC) plot for half-maps with 0.143 FSC criteria indicated. 3DVA

analysis resulted in two different structures with the majority of particles in class 0 having a

nominal resolution of 3.23 Å (swiveled state).

Figure S8: Cryo-EM workflow for the TEC containing *Mtb* RNAP and *Mtb* NusG with

NTP.

A. Representative micrograph showing particle distribution. **B.** Selected representative 2D

classes from 2D classification. **C.** Cryo-EM data processing and classification flow chart. **D.**

Fourier shell correlation (FSC) plot for half-maps with 0.143 FSC criteria indicated. 3DVA

analysis resulted in two different structures with the majority of particles in class 0 having a
nominal resolution of 3.06 Å (non-swiveled state).

Figure S9: Cryo-EM workflow for the PTC, PTC‡ + NTP and eTEC + NTP.
A. Representative micrograph showing particle distribution. **B.** Selected representative 2D
classes from 2D classification. **C.** Cryo-EM data processing and classification flow chart. **D.**
Fourier shell correlation (FSC) plot for half-maps with 0.143 FSC criteria indicated. 3DVA
analysis resulted in three different structures: class 0 (3.02 Å), eTEC + NTP; class 1 (3.34 Å),
PTC‡ + NTP; class 2 (3.12 Å), PTC. ‡ indicates that all of the structural features are identical to
the PTC except for a slight rotation of the NusG/swivel module toward the downstream DNA
and partial trigger loop folding.

Movie S1: Cryo-EM density map of the NusG-dependent PTC.
This movie shows the cryo-EM density map of the PTC in different angles. All of the
components are colored and labeled as explained in the text.

Movie S2: Structure of the NusG-dependent PTC.
This movie highlights the PTC structural details. Swivel modules are indicated. For clarity, the
lineage specific *Mtb* β' insertion was removed from the structure.

Movie S3: Principal component from 3D variability analysis (3DVA) of the cryo-EM data
for the transcription complex with NusG. This movie highlights the conformational changes
of the swivel module of RNAP associated with changing the size of the RNA exit channel.
Density maps of the first and last frames are light blue and light red, respectively, and
intermediates are colored between light blue and light red. Modules, domains and the RNA exit
channel are indicated before showing the RNAP motions.

Movie S4: Principal component from 3D variability analysis (3DVA) of the cryo-EM data
for the *Mtb* TEC with or without NTP. This movie highlights the conformational change of the
swivel module of RNAP. Density maps of the first and last frames are light blue and light red,
respectively, and intermediates are colored between light blue and light red. Transcription

complexes with (right) and without NTP (left) are shown side-by-side. Modules and domains are indicated before showing the RNAP motions.

Movie S5: Conformational changes of *Mtb* and *E. coli* RNAPs. Ribbon models of *Mtb* RNAP (left) and *E. coli* RNAP (right) of the TECs indicating the modules and domains. The movie highlights the conformational changes of RNAP from the non-swiveled to the swiveled states. Lineage specific insertions (β'-i1 in *Mtb* RNAP; β-Si1, β-Si2, β'-Si3 in *E. coli* RNAP) were removed for clarification. *Mtb* RNAP: non-swiveled state, TEC + NTP; swiveled-state, TEC without NTP. *E. coli* RNAP: non-swiveled state (PDB, 7Q0K); swiveled state (PDB, 7Q0J) (42).

Experimental procedures:

Purification of Mtb RNAP

The recombinant *Mtb* RNAP core enzyme ($\alpha_2\beta\beta'\omega$) was over-expressed in *E. coli* strain BL21(DE3)-CodonPlus-RIPL transformed by plasmid pMTBRP-5 (56). The transformed cells were grown in 1.5 L of LB media containing 100 μg/ml of ampicillin and 30 μg/ml of chloramphenicol at 37 °C with vigorous shaking until OD_{600} = ~0.5. Overexpression of protein was induced by adding 1 mM isopropyl β-D-thiogalactopyranoside (IPTG) and grown at 19 °C for 22 h. The cells were collected by centrifugation at 7000 g for 20 min (4 °C) and the resulting cell pellet was resuspended in 100 ml of lysis buffer (40 mM Tris-HCl, pH 7.9, 500 mM NaCl, 5% glycerol, 2 tablets of cOmplete EDTA-free protease inhibitor cocktail (Roche), 0.1 mg/ml lysozyme, and 1 mM β-mercaptoethanol). Cells were disrupted by sonication and the lysate was cleared by centrifugation (30,000 g for 20 min at 4 °C). The lysate was then loaded onto a 5 ml HisTrap HP column (Cytiva) equilibrated with buffer containing 40 mM Tris-HCl (pH 7.9), 500 mM NaCl, 5% glycerol, 1 mM β-mercaptoethanol, and 10 mM imidazole. After washing with buffer containing 30 mM imidazole, RNAP was eluted in buffer containing 40 mM Tris-HCl (pH 7.9), 100 mM NaCl, 5% glycerol, 0.2 mM β-mercaptoethanol, and 250 mM imidazole. Proteins were loaded onto a 1 ml heparin HP column (Cytiva) equilibrated with buffer A (20 mM Tris-HCl, pH 7.9, 5% glycerol, 0.2 mM β-mercaptoethanol, and 0.2 mM EDTA) plus 100 mM NaCl. After washing with 30% of buffer B (buffer B is 20 mM Tris-HCl, pH 7.9, 1 M NaCl, 5% glycerol, 0.2 mM β-mercaptoethanol, and 0.2 mM EDTA), RNAP was eluted in 60% of Buffer B. Proteins were concentrated using an ultracel-100 membrane filter unit (Millipore) and then the

concentration of NaCl was adjusted to 100 mM. The resulting sample was then loaded onto a 1

ml HiTrapQ HP column (Cytiva) equilibrated in 10% of Buffer B. RNAP was eluted using a

linear gradient from 10% to 80% Buffer B (20 column volumes). The fractions containing RNAP

were pooled and concentrated to ~10 mg/ml together with exchanging buffer (20 mM Tris-HCl,

pH7.9, 200 mM NaCl, 5% glycerol, 0.2 mM β-mercaptoethanol, and 0.2 mM EDTA) and stored

at -80 °C in small aliquots.

Purification of B. subtilis **RNAP**

The *B. subtilis* RNAP core enzyme was purified from σ^A mutant strain ORB5853 [*rpoC*-

His10 σ^A(L366A)] (57), in which the L366A substitution in σ^A weakens the interaction between

core enzyme and σ^A. *B. subtilis* cells were grown in 2xYT (yeast extract/tryptone) liquid media

containing 5 µg/ml chloramphenicol and 75 µg/ml neomycin at 37 °C until the OD_{600} of the

culture reached 0.8 to 0.9. Cells were harvested by centrifugation at 2,000 g. Cell pellets were

frozen at -80°C prior to purification. The pellet (30 g) was dissolved in 100 ml lysis buffer (50

mM Tris-HCl, pH 8.0, 500 mM NaCl, 10% glycerol, 2 mM β-mercaptoethanol and 5 mM

$MgCl_2$) containing 2 tablets of cOmplete EDTA-free protease inhibitor cocktail and 0.2 mg/ml

lysozyme. Cells were disrupted by sonication and the lysate was cleared by centrifugation

(30,000 g for 20 min at 4 °C). The lysate was then loaded onto a 5 ml HisTrap HP column

(Cytiva) equilibrated with buffer containing 50 mM Tris-HCl (pH 8.0), 500 mM NaCl, 10%

glycerol, and 2 mM β-mercaptoethanol. After washing with buffer containing 20 mM imidazole,

the RNAP core enzyme was eluted in buffer containing 50 mM Tris-HCl (pH 8.0), 100 mM

NaCl, 10% glycerol, 2 mM β-mercaptoethanol, and 200 mM imidazole. RNAP-containing

samples were pooled and then loaded onto a 1 ml heparin HP column (Cytiva) equilibrated with

buffer A (20 mM Tris-HCl, pH 7.9, 5% glycerol, 0.2 mM β-mercaptoethanol, and 0.2 mM

EDTA) plus 100 mM NaCl. After washing with 30% of buffer B (buffer B is 20 mM Tris-HCl,

pH 7.9, 1 M NaCl, 5% glycerol, 0.2 mM β-mercaptoethanol, and 0.2 mM EDTA), the RNAP

core enzyme was eluted in 60% of Buffer B. RNAP containing samples were concentrated using

an ultracel-100 membrane filter unit (Millipore) and then the concentration of NaCl was adjusted

to 100 mM. The resulting sample was then loaded onto a 5 ml anion-exchange (Source 15Q;

Cytiva) column equilibrated with buffer C (10 mM HEPES-KOH pH 8.0, 0.2 mM EDTA, 4 mM

dithiothreitol (DTT)) plus 100 mM NaCl. *B. subtilis* RNAP was eluted using a linear gradient

from 10% Buffer D (10 mM HEPES-KOH, pH 8.0, 0.2 mM EDTA, 4 mM DTT, and 1M NaCl)

to 80% Buffer D (15 column volumes). The RNAP fractions were pooled and concentrated to 10

mg/ml and exchanged into a buffer containing 10 mM HEPES, pH 8.0, 200 mM NaCl, 5%

glycerol, 0.5 mM DTT, and 0.2 mM EDTA) and stored at -80 °C in small aliquots.

Purification of B. subtilis σ^A

For production of *B. subtilis* σ^A, *E. coli* BL21(DE3) cells were transformed with plasmid

pSUMO-*Bsub*σ^A and then grown in 500 ml LB media supplemented with 50 µg/ml of kanamycin

at 37 °C until OD$_{600}$ = ~0.5, at which time IPTG (0.4 mM final conc.) was added to overexpress

σ^A by growing at 30 °C for 4 h. Cells were harvested by centrifugation (7,000 g at 4 °C for 20

min) and the cell pellet was resuspended in 50 ml lysis buffer (40 mM Tris-HCl, pH 7.9, 300

mM NaCl, 2 mM β-mercaptoethanol, and 5 % glycerol) supplemented with 1 tablet of cOmplete

EDTA-free protease inhibitor cocktail and 0.1 mg/ml lysozyme. Cells were lysed by sonication

and cleared by centrifugation (30,000 g at 4 °C for 20 min). Proteins were applied to a 1 ml

HisTrap HP column (GE Healthcare), pre-equilibrated with buffer I (40 mM Tris-HCl, pH 7.9,

300 mM NaCl, 2 mM β-mercaptoethanol, and 5 % glycerol). The column was washed with

buffer I containing 30 mM imidazole, and then eluted with buffer I plus 250 mM imidazole. σ^A-

containing fractions were pooled and dialyzed at 4 °C for 3 h with buffer I to remove imidazole.

Proteins were subjected to Ulp1 protease digestion by incubating at 30 °C for 2 h to cleave the

SUMO/His tags. Proteins were applied to a HiLoad 16/600 Superdex 200 gel filtration column

(Cytiva) equilibrated with storage buffer (20 mM Tris-HCl, pH 7.9, 200 mM NaCl, 0.2 mM

EDTA, 0.2 mM DTT, and 5 % glycerol). Fractions containing σ^A were pooled, concentrated with

an Amicon ultra-4 centrifugation filter with a 10 kDa molecular weight cutoff (Merck Millipore),

snap frozen and stored in -80 °C either directly (used for cryo-EM) or mixed with 100 %

glycerol (50% final conc. for biochemical studies).

Purification of NusG

For preparing the *B. subtilis* NusG, *E. coli* BL21(DE3) cells were transformed with

plasmid pSUMO-*Bsub*NusG and grown in 500 ml LB media supplemented with 50 µg/ml of

kanamycin at 37 °C to OD$_{600}$ = ~0.5. Overexpression was induced by adding 0.5 mM IPTG and

grown at 30 °C for 4 h. Cells were harvested by centrifugation (7,000 g at 4 °C for 20 min) and

the resulting cell pellet was resuspended in 50 ml lysis buffer (40 mM Tris-HCl, pH 7.9, 300 mM

NaCl, 2 mM β-mercaptoethanol, and 5% glycerol) supplemented with 1 tablet of cOmplete

EDTA-free protease inhibitor cocktail and 0.1 mg/ml lysozyme. Cells were disrupted via

sonication as described above and cleared by centrifugation. The cleared lysate was applied to a

1 ml HisTrap HP column (Cytiva), pre-equilibrated with buffer I (40 mM Tris-HCl, pH 7.9, 300

mM NaCl, 2 mM β-mercaptoethanol, and 5 % glycerol). The column was washed with buffer I

containing 30 mM imidazole, and then eluted with buffer I containing 250 mM imidazole. NusG

containing fractions were pooled and dialyzed at 4 °C for 3 h with buffer I to remove imidazole.

The sample was then subjected to Ulp1 hydrolysis by incubating at 30 °C for 2 h to cleave the

SUMO/His tags. Proteins were then applied to a HiLoad 16/600 superdex 75 gel filtration

column (Cytiva) equilibrated with storage buffer (20 mM Tris-HCl, pH 7.9, 200 mM NaCl, 0.2

mM EDTA, 0.2 mM DTT, and 5 % glycerol). Fractions containing NusG were pooled,

concentrated with an Amicon Ultra-4 centrifugal filter with a 5 kDa molecular weight cutoff

(UFC8003, Merck Millipore), snap frozen and stored at -80 °C either directly (used for cryo-EM)

or mixed 1:1 with 100 % glycerol (for biochemical studies). All derivatives of *B. subtilis* NusG

and the wild-type *Mtb* NusG were purified similarly as described above.

Promoter-initiated in vitro transcription pausing assay

Analysis of RNAP transcription pausing was performed as described previously with

modifications (58). DNA templates were PCR-amplified from plasmids containing a strong

promoter, a 29 nt C-less cassette, the predicted *coaA* pause hairpin, either a WT or mutant *coaA*

TTNTTT pause motif, and downstream sequence. Halted elongation complexes containing a 29-

nt transcript were formed by combining equal volumes of 2x template (100 nM) with 2x halted

elongation complex master mix containing 80 µM ATP and GTP, 2 µM UTP, 100 µg/ml bovine

serum albumin, 200 nM *B. subtilis* or *M. tuberculosis* RNAP with 400 nM *B. subtilis* σ^A, 2 µCi

of [α-^{32}P]UTP and 2× transcription buffer (1x = 40 mM Tris-HCl, pH 8.0, 5 mM MgCl$_2$, 5%

trehalose, 0.3 mM EDTA, and 4 mM DTT). Reaction mixtures were then incubated at 37 °C for

5 min. Note that RNAP and σ^A were added from a 10x stock solution containing 0.75 mg/ml

RNAP and 0.18 mg/ml σ^A in enzyme dilution buffer (1x = 20 mM Tris-HCl, pH 8.0, 40 mM

KCl, 1 mM DTT, and 50 % glycerol). A 4x solution containing 4 µM NusG in 1x transcription

buffer was then added, and the resulting reaction mixture was incubated at 23 °C for 5 min. For

pausing assays, a 4x extension master mix containing 80 mM KCl, 600 μM of each NTP, 400 μg/ml rifampicin, in 1x transcription buffer was added, and the reaction was allowed to proceed at 23 °C. Aliquots of the transcription elongation reaction mixture were removed at various times and mixed with an equal volume of 2x stop/gel loading buffer (40 mM Tris-base, 20 mM Na$_2$EDTA, 0.2% sodium dodecyl sulfate, 0.05% bromophenol blue, and 0.05% xylene cyanol in formamide). Transcripts were separated on standard 6% sequencing polyacrylamide gels, exposed to a phosphorscreen, and subjected to phosphorimaging using a typhoon phosphorimaging system (Cytiva). ImageJ software was used for quantification of transcripts on gel images. Pause half-life and pausing efficiency values were calculated by plotting relative intensities of the pause and run-off bands against the incubation time and fitting the data with a single exponential equation as described previously (30, 59).

Preparations of the DNA-RNA scaffolds, PTC and TEC

To assemble RNA-DNA scaffolds, equimolar amounts of tDNA, ntDNA and RNA were mixed in annealing buffer (20 mM Tris-HCl, pH 8.0, 50 mM KCl, 5 mM MgCl$_2$, 4 mM DTT, and 0.3 mM EDTA) followed by heating to 95 °C and then cooling to 10 °C (1.5 °C/min). The RNAs were 5' end-labeled using polynucleotide kinase and γP^{32}-ATP by incubating at 37 °C for 1 h. The upstream tDNA was not complementary to the RNA to prevent an extended RNA-DNA hybrid. Reconstitution of PTCs was performed by the addition of 200 nM RNAP core enzyme in 1x transcription buffer to 50 nM nucleic acid scaffolds, by incubation at 37 °C for 10 min. PTCs were transferred to ice and stored up to 2 h before use.

In vitro transcription pause escape assays

Reactions were performed at 23 °C. PTCs and TECs containing 5' end-labeled RNA were diluted in transcription buffer to 50 nM. NusG was then added to a final concentration of 1.7 μM. Rifampicin and KCl were added to final concentrations of 100 μg/ml and 50 mM, respectively. Extension of RNA was monitored following the addition of NTPs to the reaction. PTCs and TECs reconstituted with RNA A30 were elongated to C33 with an extension mix containing 5 μM GTP, 5 μM ATP, and 10 μM 3'-dCTP. Samples were removed at various times, quenched with an equal volume of 2x formamide loading buffer, and analyzed by denaturing 8% (19:1 acrylamide:bisacrylamide ratio) polyacrylamide gel electrophoresis.

Sample preparation for Cryo-EM

Mtb RNAP (20 μM) and RNA-DNA hybrid (30 μM, either for PTC or TEC, **Fig. 2A**) were mixed in 1x transcription buffer (20 mM Tris-HCl, pH 8.0, 50 mM KCl, 5 mM MgCl$_2$, 4 mM DTT, and 0.3 mM EDTA) at 37 °C for 10 min. NusG (30 μM, either from *B. subtilis* or *Mtb*) was added and further incubated for 10 min at 37 °C. 8 mM CHAPSO was added to the sample just before vitrification.

Cryo-EM grid preparation

Quantifoil grids (R 2/1 Cu 300 mesh, Electron Microscopy Sciences) were glow-discharged for 40 s prior to the application of 3.5 μl of the sample (~6 mg/ml protein with 8 mM CHAPSO), and plunge-freezing in liquid ethane using a Vitrobot mark IV (FEI, Hillsboro, OR) with 100 % chamber humidity at 10 °C.

Cryo-EM data acquisition and processing

Data was collected using a Titan Krios microscope (Thermo Fisher) equipped with a Falcon IV direct electron detector (Gatan) at the Penn State Cryo-EM Facility. Sample grids were imaged at 300 kV, with an intended defocus range of -2.5 to -0.75 μm with a magnification of 75,000x in electron counting mode (0.87 Å per pixel), and at a dose rate of 7.11 e$^-$/Å^2/second. Movies were collected with a total dose of 45 electrons per Å^2. Movies were recorded with EPU software (Thermo Fisher Scientific) and data were processed by cryoSPARC3.3 (40). After motion correction and CTF estimation, 200 micrographs were used for picking particles (template-based autopicker followed by 2D-classification), and the selected particles were used for training the Topaz model (60). Particles were extracted with a 400-pixel box and subjected to multiple rounds of 2D classification to remove junk particles and subjected to multiple rounds of 3D heterogeneous refinements. The resulting best class was used for removing duplicated particles. CTF parameters were refined on a per micrograph and per particle basis using global and local CTF refinements, respectively. Particles were then subjected to a local motion correction followed by non-uniform refinement. The major variability component showed the RNAP swivel module rotation relative to the core module, coupled with the conformational changes of trigger loop and RNA hairpin. Particles were sorted into two or three clusters along

the 3D conformational trajectories followed by 3D refinements (see cryo-EM pipelines of figures

S1, S2, S7, S8 and S9 for details). Nominal resolutions were determined by using the

goldstandard Fourier Shell Correlation (FSC) 0.143. The cryo-EM density maps were improved

by Local anisotropic sharpening by Phenix (61).

Model building, refinement, and analysis

A previously determined cryo-EM structure of *Mtb* RNAP (PDB: 5ZX2, removed SigE,

DNA and RNA) (62) was fit into the cryo-EM maps using UCSF Chimera-v1.1 (63). Models of

B. subtilis and *Mtb* NusG were made by Alphafold (64), and models of DNA and RNA were

built using the *E. coli* RNAP TEC (PDB: 7MKO) as a guide, followed by fitting into the cryo-

EM maps using Coot (65). Models were further improved using rigid-body (separated by core

and swivel modules; β-lobe, β-protrusion, β-flap and β'-i1 domains; NusG, RNA-DNA scaffold,

see Fig. S6) and real-space refinement by Phenix (61, 66) using sharpened maps by cryoSPARC-

v3.3 (40). For preparing figures and movies, cryo-EM maps and molecules were visualized by

using UCSF ChimeraX-v1.4 (67) and PyMOL-v2.4 (The PyMOL Molecular Graphics System,

Schrödinger, LLC).

Swivel module rotation analysis

First, two structures of RNAP (*e.g*., swiveled and non-swiveled states such as PTC and

eTEC+NTP, respectively) were aligned using the RNAP core modules by PyMOL align

command. Second, select their swivel modules, analyze a rotation axis and an angle of the swivel

module of target structure (*e.g.,* PTC) relative to a reference structure (*e.g.,* eTEC+NTP) using a

script RotationAxis in PyMOL (draw_rotation_axis.py,

https://pymolwiki.org/index.php/RotationAxis) (42).

References

1.   J. Saba *et al.*, The elemental mechanism of transcriptional pausing. *Elife* **8** (2019).

2.   A. V. Yakhnin, M. Kashlev, P. Babitzke, NusG-dependent RNA polymerase pausing is a
frequent function of this universally conserved transcription elongation factor. *Crit Rev*
Biochem Mol Biol **55**, 716-728 (2020).

3.   T. Pan, I. Artsimovitch, X. W. Fang, R. Landick, T. R. Sosnick, Folding of a large
893   ribozyme during transcription and the effect of the elongation factor NusA. *Proc Natl*
894   *Acad Sci U S A* **96**, 9545-9550 (1999).

895   4.   T. Pan, T. Sosnick, RNA folding during transcription. *Annu Rev Biophys Biomol Struct*
896   **35**, 161-175 (2006).

897   5.   H. Steinert *et al.*, Pausing guides RNA folding to populate transiently stable RNA
898   structures for riboswitch-based transcription regulation. *Elife* **6** (2017).

899   6.   R. Landick, J. Carey, C. Yanofsky, Translation activates the paused transcription
900   complex and restores transcription of the trp operon leader region. *Proc Natl Acad Sci U*
901   *S A* **82**, 4663-4667 (1985).

902   7.   S. Proshkin, A. R. Rahmouni, A. Mironov, E. Nudler, Cooperation between translating
903   ribosomes and RNA polymerase in transcription elongation. *Science* **328**, 504-508
904   (2010).

905   8.   J. Y. Kang, T. V. Mishanina, R. Landick, S. A. Darst, Mechanisms of Transcriptional
906   Pausing in Bacteria. *J Mol Biol* **431**, 4007-4029 (2019).

907   9.   I. Artsimovitch, R. Landick, The transcriptional regulator RfaH stimulates RNA chain
908   synthesis after recruitment to elongation complexes by the exposed nontemplate DNA
909   strand. *Cell* **109**, 193-203 (2002).

910   10.   J. K. Wickiser, W. C. Winkler, R. R. Breaker, D. M. Crothers, The speed of RNA
911   transcription and metabolite binding kinetics operate an FMN riboswitch. *Mol Cell* **18**,
912   49-60 (2005).

913   11.   A. V. Yakhnin, H. Yakhnin, P. Babitzke, RNA polymerase pausing regulates translation
914   initiation by providing additional time for TRAP-RNA interaction. *Mol Cell* **24**, 547-557
915   (2006).

916   12.   I. Gusarov, E. Nudler, The mechanism of intrinsic transcription termination. *Mol Cell* **3**,
917   495-504 (1999).

918   13.   A. Weixlbaumer, K. Leon, R. Landick, S. A. Darst, Structural basis of transcriptional
919   pausing in bacteria. *Cell* **152**, 431-441 (2013).

920   14.   Z. F. Mandell *et al.*, NusG is an intrinsic transcription termination factor that stimulates
921   motility and coordinates gene expression with NusA. *Elife* **10** (2021).

922   15.   R. Landick, The regulatory roles and mechanism of transcriptional pausing. *Biochem Soc*
923   *Trans* **34**, 1062-1066 (2006).

924   16.   X. Guo *et al.*, Structural Basis for NusA Stabilized Transcriptional Pausing. *Mol Cell* **69**,
925   816-827 e814 (2018).

17.　J. Y. Kang *et al.*, RNA Polymerase Accommodates a Pause RNA Hairpin by Global
Conformational Rearrangements that Prolong Pausing. *Mol Cell* **69**, 802-815 e805
(2018).

18.　S. M. Vos, L. Farnung, H. Urlaub, P. Cramer, Structure of paused transcription complex
Pol II-DSIF-NELF. *Nature* **560**, 601-606 (2018).

19.　R. Landick, Transcriptional Pausing as a Mediator of Bacterial Gene Regulation. *Annu*
Rev Microbiol **75**, 291-314 (2021).

20.　A. C. Cheung, P. Cramer, A movie of RNA polymerase II transcription. *Cell* **149**, 1431-
1437 (2012).

21.　T. A. Windgassen *et al.*, Trigger-helix folding pathway and SI3 mediate catalysis and
hairpin-stabilized pausing by Escherichia coli RNA polymerase. *Nucleic Acids Res* **42**,
12707-12721 (2014).

22.　Y. Bao, R. Landick, Obligate movements of an active site-linked surface domain control
RNA polymerase elongation and pausing via a Phe pocket anchor. *Proc Natl Acad Sci U*
S A **118** (2021).

23.　O. T. Jayasinghe, Z. F. Mandell, A. V. Yakhnin, M. Kashlev, P. Babitzke,
Transcriptome-Wide Effects of NusA on RNA Polymerase Pausing in Bacillus subtilis. *J*
Bacteriol **204**, e0053421 (2022).

24.　K. M. Herbert *et al.*, E. coli NusG inhibits backtracking and accelerates pause-free
transcription by promoting forward translocation of RNA polymerase. *J Mol Biol* **399**,
17-30 (2010).

25.　J. Y. Kang *et al.*, Structural Basis for Transcript Elongation Control by NusG Family
Universal Regulators. *Cell* **173**, 1650-1662 e1614 (2018).

26.　G. A. Belogurov, R. A. Mooney, V. Svetlov, R. Landick, I. Artsimovitch, Functional
specialization of transcription elongation factors. *EMBO J* **28**, 112-122 (2009).

27.　M. H. Larson *et al.*, A pause sequence enriched at translation start sites drives
transcription dynamics in vivo. *Science* **344**, 1042-1047 (2014).

28.　I. O. Vvedenskaya *et al.*, Interactions between RNA polymerase and the "core
recognition element" counteract pausing. *Science* **344**, 1285-1289 (2014).

29.　P. K. Zuber *et al.*, The universally-conserved transcription factor RfaH is recruited to a
hairpin structure of the non-template DNA strand. *Elife* **7** (2018).

30.　A. V. Yakhnin, K. S. Murakami, P. Babitzke, NusG Is a Sequence-specific RNA
Polymerase Pause Factor That Binds to the Non-template DNA within the Paused
Transcription Bubble. *J Biol Chem* **291**, 5299-5308 (2016).

31. A. V. Yakhnin *et al.*, NusG controls transcription pausing and RNA polymerase
translocation throughout the Bacillus subtilis genome. *Proc Natl Acad Sci U S A* **117**,
21628-21636 (2020).

32. H. Yakhnin *et al.*, NusG-Dependent RNA Polymerase Pausing and Tylosin-Dependent
Ribosome Stalling Are Required for Tylosin Resistance by Inducing 23S rRNA
Methylation in Bacillus subtilis. *mBio* **10** (2019).

33. H. Takada *et al.*, Expression of Bacillus subtilis ABCF antibiotic resistance factor VmlR
is regulated by RNA polymerase pausing, transcription attenuation, translation
attenuation and (p)ppGpp. *Nucleic Acids Res* **50**, 6174-6189 (2022).

34. J. B. Crickard, J. Fu, J. C. Reese, Biochemical Analysis of Yeast Suppressor of Ty 4/5
(Spt4/5) Reveals the Importance of Nucleic Acid Interactions in the Prevention of RNA
Polymerase II Arrest. *J Biol Chem* **291**, 9853-9870 (2016).

35. I. Artsimovitch, R. Landick, Pausing by bacterial RNA polymerase is mediated by
mechanistically distinct classes of signals. *Proc Natl Acad Sci U S A* **97**, 7090-7095
(2000).

36. S. Kyzer, K. S. Ha, R. Landick, M. Palangat, Direct versus limited-step reconstitution
reveals key features of an RNA hairpin-stabilized paused transcription complex. *J Biol
Chem* **282**, 19020-19028 (2007).

37. I. Toulokhonov, I. Artsimovitch, R. Landick, Allosteric control of RNA polymerase by a
site that contacts nascent RNA hairpins. *Science* **292**, 730-733 (2001).

38. J. Chen, A. J. Noble, J. Y. Kang, S. A. Darst, Eliminating effects of particle adsorption to
the air/water interface in single-particle cryo-electron microscopy: Bacterial RNA
polymerase and CHAPSO. *J Struct Biol X* **1** (2019).

39. A. V. Yakhnin, P. Babitzke, Mechanism of NusG-stimulated pausing, hairpin-dependent
pause site selection and intrinsic termination at overlapping pause and termination sites in
the Bacillus subtilis trp leader. *Mol Microbiol* **76**, 690-705 (2010).

40. A. Punjani, J. L. Rubinstein, D. J. Fleet, M. A. Brubaker, cryoSPARC: algorithms for
rapid unsupervised cryo-EM structure determination. *Nat Methods* **14**, 290-296 (2017).

41. A. Punjani, D. J. Fleet, 3D variability analysis: Resolving continuous flexibility and
discrete heterogeneity from single particle cryo-EM. *J Struct Biol* **213**, 107702 (2021).

42. C. Zhu *et al.*, Transcription factors modulate RNA polymerase conformational
equilibrium. *Nat Commun* **13**, 1546 (2022).

43. A. Mayer, H. M. Landry, L. S. Churchman, Pause & go: from the discovery of RNA
polymerase pausing to its functional implications. *Curr Opin Cell Biol* **46**, 72-80 (2017).

44.   A. Chakraborty *et al.*, Opening and closing of the bacterial RNA polymerase clamp.
995   *Science* **337**, 591-595 (2012).

996   45.   K. S. Murakami, S. Masuda, S. A. Darst, Structural basis of transcription initiation: RNA
997   polymerase holoenzyme at 4 A resolution. *Science* **296**, 1280-1284 (2002).

998   46.   F. Werner, D. Grohmann, Evolution of multisubunit RNA polymerases in the three
999   domains of life. *Nat Rev Microbiol* **9**, 85-98 (2011).

47.   H. Khatter, M. K. Vorlander, C. W. Muller, RNA polymerase I and III: similar yet
unique. *Curr Opin Struct Biol* **47**, 88-94 (2017).

48.   M. Girbig, A. D. Misiaszek, C. W. Muller, Structural insights into nuclear transcription
by eukaryotic DNA-dependent RNA polymerases. *Nat Rev Mol Cell Biol* **23**, 603-622
(2022).

49.   R. A. Mooney *et al.*, Regulator trafficking on bacterial transcription units in vivo. *Mol
Cell* **33**, 97-108 (2009).

50.   S. K. Tomar, I. Artsimovitch, NusG-Spt5 proteins-Universal tools for transcription
modification and communication. *Chem Rev* **113**, 8604-8619 (2013).

51.   M. Girbig *et al.*, Architecture of the yeast Pol III pre-termination complex and pausing
mechanism on poly(dT) termination signals. *Cell Rep* **40**, 111316 (2022).

52.   H. Hou *et al.*, Structural insights into RNA polymerase III-mediated transcription
termination through trapping poly-deoxythymidine. *Nat Commun* **12**, 6135 (2021).

53.   K. Tamura, G. Stecher, S. Kumar, MEGA11: Molecular Evolutionary Genetics Analysis
Version 11. *Mol Biol Evol* **38**, 3022-3027 (2021).

54.   H. McWilliam *et al.*, Analysis Tool Web Services from the EMBL-EBI. *Nucleic Acids
Res* **41**, W597-600 (2013).

55.   X. Robert, P. Gouet, Deciphering key features in protein structures with the new
ENDscript server. *Nucleic Acids Res* **42**, W320-324 (2014).

56.   S. K. Gill, G. A. Garcia, Rifamycin inhibition of WT and Rif-resistant Mycobacterium
tuberculosis and Escherichia coli RNA polymerases in vitro. *Tuberculosis (Edinb)* **91**,
361-369 (2011).

57.   A. A. Lin, P. Zuber, Evidence that a single monomer of Spx can productively interact
with RNA polymerase in Bacillus subtilis. *J Bacteriol* **194**, 1697-1707 (2012).

58.   S. Mondal, A. V. Yakhnin, P. Babitzke, Modular Organization of the NusA- and NusG-
Stimulated RNA Polymerase Pause Signal That Participates in the Bacillus subtilis trp
Operon Attenuation Mechanism. *J Bacteriol* **199** (2017).

59. R. Landick, D. Wang, C. L. Chan, Quantitative analysis of transcriptional pausing by
Escherichia coli RNA polymerase: his leader pause site as paradigm. *Methods Enzymol*
274, 334-353 (1996).

60. T. Bepler *et al.*, Positive-unlabeled convolutional neural networks for particle picking in
cryo-electron micrographs. *Nat Methods* **16**, 1153-1160 (2019).

61. D. Liebschner *et al.*, Macromolecular structure determination using X-rays, neutrons and
electrons: recent developments in Phenix. *Acta Crystallogr D Struct Biol* **75**, 861-877
(2019).

62. L. Li, C. Fang, N. Zhuang, T. Wang, Y. Zhang, Structural basis for transcription initiation
by bacterial ECF sigma factors. *Nat Commun* **10**, 1153 (2019).

63. E. F. Pettersen *et al.*, UCSF Chimera--a visualization system for exploratory research and
analysis. *J Comput Chem* **25**, 1605-1612 (2004).

64. J. Jumper *et al.*, Applying and improving AlphaFold at CASP14. *Proteins* **89**, 1711-1721
(2021).

65. P. Emsley, B. Lohkamp, W. G. Scott, K. Cowtan, Features and development of Coot.
Acta Crystallogr D Biol Crystallogr **66**, 486-501 (2010).

66. P. V. Afonine *et al.*, Real-space refinement in PHENIX for cryo-EM and crystallography.
Acta Crystallogr D Struct Biol **74**, 531-544 (2018).

67. E. F. Pettersen *et al.*, UCSF ChimeraX: Structure visualization for researchers, educators,
and developers. *Protein Sci* **30**, 70-82 (2021).

1047

1048

Figure 1

A

```
                    -35                        -10            +1  C-less cassette
TCACTATAAGGCAGCTTGACAAATACACAAGAGTGTGTTATAATGCAATTAGAATGAGTTGA
GTTAGAGAATAGGGTAGCGCTTGCTTCATTTACATGTCAAGTCTGCGGCTGAACGCAATAA
GCATGTCAATGTTTTTTTGAAGCACCCACATAAGCTTTCCCTATAG
Pause hairpin  TTNTTT    Pause
```

B

C

Figure 2

Figure 3

Figure 4

B

NusG variants	Pause half-life (s) (Mean±SD)	Pause efficiency (%) (Mean±SD)	Seq. conservation
WT	62±4	87±11	
W76A	17±4	15±6	++++
Y77A	67±12	65±8	+
R80A	19±2	13±5	++++
N81A	40±4	31±7	+++
T82A	43±6	48±10	+++
T86A	38±6	83±8	+++
K97A	46±3	46±5	++
Y77A-R80A	23±4	28±11	
Y11A	25±3	33±3	+++

C

Figure 5

A

Paused transcription complex (PTC)

Transcription elongation complex (TEC)

non-base pairing

ntDNA	-16	-15	-14	-13	-12	-11	-10
	T	G	C	T	C	T	T
tDNA	A	C	G	A	G	A	A
	-16	-15	-14	-13	-12	-11	-10

base pair switching
mismatch
wobbling

ntDNA	-16	-15	-14	-13	-12	-11	-10
	T	G	C	T	C	T	T
tDNA	A	C	G	A	G	A	A
	-16	-15	-14	-13	-12	-11	-10

B

```
                    -35                        -10        +1   C-less cassette
TCACTATAAGGCAGCTTGACAAATACACAAGAGTGTGTTATAATGCAATTAGAATGAGTTGA
GTTAGAGAATAGGGTAGCGCTTGCTTCAATAAGCATGTCAATGTTTTTTTGAAGCACCCACA   (WT)
TAAGCTTTCCCTATAG
                                                  GG (M1)    Pause
                                                            (-1)
                                            T (M2)
```

(WT) -11TTTTTT-6		(M1) -11GGTTTT-6		(M2) -14TTTTTTTTT-6	
-NusG	+NusG	-NusG	+NusG	-NusG	+NusG

¼ ½ 1 2 Ch ¼ ½ 1 2 4 Ch ¼ ½ 1 2 Ch ¼ ½ 1 2 4 Ch ¼ ½ 1 2 Ch ¼ ½ 1 2 4 Ch Time (min)

RO
P/T
P
T

T₁/₂ (s)	56±4	17±5	25±3
Eff (%)	87±2	16±3	49±2

$T_{1/2}$ (s)   56±4   17±5   25±3
Eff (%)   87±2   16±3   49±2

Figure 6

A

swivel module · NusG · downDNA · upDNA · β-protrusion · NusG · downDNA · β-flap · RNA hairpin · rotation axis · core module · β-lobe · 180° · 90° · ntDNA · tDNA · RNA · swivel module · β-flap · β-protrusion · β-lobe · upDNA

B

rotating the NusG/swivel module toward downDNA

NusG · upDNA · downDNA · β-protrusion · β-lobe · RNA hairpin · 3' · ntDNA · tDNA · RNA

NusG · upDNA · downDNA · β-protrusion · β-lobe · 5' · ssRNA · 3'

swiveled state (class 1)   non-swiveled state (class 2)

C

rotating the NusG/swivel module toward downDNA

NusG · swivel module · downDNA · upDNA · β-lobe · β-protrusion · core module

black: swiveled state (without NTP)
color: non-swiveled state (with NTP)

D

downDNA · M1012 · TL (unfolded) · M1012 · folding TL · Mg^A · Mg^B · NTP · TL (folded) · H1016

Figure 7

Figure 8

A

Paused Transcription Complex (PTC)
NTP (GMPCPP)

	PTC	PTC‡ + NTP	eTEC + NTP
Swivel module rotation angle	-2.9º	-1.6º	reference
Trigger loop conformation	unfolded	partially folded	folded
NusG/β-lobe cleft	wide	wide	narrow
RNA exit channel	wide	wide	narrow
RNA in exit channel	hairpin	hairpin	single-stranded (SS)

B

PTC   PTC‡ + NTP   eTEC + NTP

ntDNA, tDNA, RNA

C

downDNA   downDNA   downDNA

Figure 9

Productive transcription elongation

rotation of the NusG/swivel model toward upDNA
translocating RNA-DNA, TL unfolding

rotation of the NusG/swivel module
toward downDNA

β-protrusion   swivel
NusG   module
upDNA   downDNA
β-lobe
β-flap   RNA synthesis
unfolded TL
ssRNA   Mg²⁺
core module   NTP   narrowing RNA exit channel   NTP   TL folding folded TL

transcription complex
(PDB: 8EOE and 8EOF)

transcription complex + NTP (PDB: 8EOS)
eTEC + NTP (PDB: 8EJ3)

Pausing transactions
• ntDNA inserts between NusG and the β-lobe
• RNA hairpin formation

Escaping from paused transcription
• ntDNA is pushed out of the NusG/β-lobe cavity
• Unfolding of the RNA hairpin

ntDNA T₋₆

ntDNA T₋₆

RNA hairpin   NTP   partially folded TL

paused transcription complex
(PDB: 8EHQ)

paused transcription complex + NTP
(PDB: 8EXY)

Paused transcription
• ntDNA and/or RNA hairpin prevent rotation of the NusG/swivel module
• trigger loop cannot fully fold, thus no RNA synthesis reaction

Figure S1

Figure S2

Figure S3

Figure S4

	WT	W76A	Y77A	R80A	N81A	T82A	NusG
T$_{1/2}$ (s)	54	17	79	21	30	37	
Eff (%)	89	19	60	18	35	48	

	T86A	K97A	Y77A-R80A	Y11A
T$_{1/2}$ (s)	39	47	22	27
Eff (%)	77	49	34	31

Figure S5

A

Actinobacteria	*Mycobacterium tuberculosis (Mtb)*
Firmicutes	*Bacillus subtilis (B. sub)*
Cyanobacteria	*Synechococcus elongatus (S.elo)*
Deinococcus	*Thermus thermophilus (T. the)*
δ-proteobacteria	*Myxococcus xanthus (M. xan)*
γ-proteobacteria	*Escherichia coli*_NusG *(E. co_N)*
β-proteobacteria	*Neisseria meningitidis (N.men)*
α-proteobacteria	*Rickettsia prowazekii (R. pro)*
ε-proteobacteria	*Helicobacter pylori (H. pyl)*
	*Escherichia coli*_RfaH *(E. co_R)*

B

Figure S6

Modules and motifs	subunits	*Mtb* RNAP residues	*E. coli* RNAP residues
core module	α₁, α₂ β β′	all 1-53, 437-747, 879-1034 579-846	all 1-26, 514-828, 1060-1235 504-771
Swivel module	β β′ ω	1035-1169 1-578, 882-1282 all	1236-1342 1-503, 805-931, 1127-1407 all
β-lobe	β	178-379	143-448
β-protrusion	β	54-177, 380-439	31-150, 456-512
β-flap	β	748-878	829-1059
β′-trigger loop	β′	997-1036	915-944, 1131-1147
β′-bridge helix	β′	846-881	770-804
β'-i1	β′	142-227	-
β-SI1	β	-	227-340
β-SI2	β	-	938-1039
β′-SI3	β′	-	945-1130

Figure S7

A

B

C

3900 movies

Patch Motion correction and Patch CTF estimation

Topaz train, Particle extraction
199,651 particles

2D-classification (100 classes), discard bad classes
175,502 particles

Heterogeneous refinement (4 classes)

Class 3 (junk)
20,494 particles
(11.7%)

Class 2 (junk)
53,543 particles
(30.5%)

Class 1 (junk)
36,315 particles
(20.7%)

Class 0
65,150 particles
(37.1%)

Remove duplicates
65,141 particles

Non-uniform refinement (3.82 Å)

Local motion correction

Non-uniform refinement (3.29Å) ← Global and Local CTF refinements ← Non-uniform refinement (3.32 Å)

3DVA analysis (2 classes)

Heterogeneous refinement (2 classes)
Non-uniform refinement

Class 1
17,588 particles
(27%)
11.37 Å

Class 0
46,900 particles
(73%)
3.23 Å
Swiveled state
(PDB: 8EOT)

D

GSFSC Resolution: 3.23Å

No Mask (4Å)
Loose (3.5Å)
Tight (3.2Å)
Corrected (3.2Å)

Class 0

DC   14Å   7Å   4.6Å   3.5Å   2.8Å   2.3Å   2Å

Figure S8

A

B

C
3916 movies
↓
Patch Motion correction and Patch CTF estimation
↓
Topaz train, Particle extraction
439,573 particles
↓
2D-classification (100 classes), discard bad classes
376,386 particles
↓
Heterogeneous refinement (4classes)

Class 3 (junk)
95,744 particles
(25.4%)

Class 2 (junk)
8,855 particles
(2.4%)

Class 1 (junk)
72,035 particles
(19.1%)

Class 0
199,752 particles
(53.1%)
↓
Remove duplicates
193,786 particles
↓
Non-uniform refinement (3.54 Å)
↓
Local motion correction
↓
Non-uniform refinement (3.18 Å) ← Global and Local CTF refinements ← Non-uniform refinement (3.37 Å)
↓
3DVA analysis (2 classes)
↓
Heterogeneous refinement (2 classes)
Non-uniform refinement

Class 1
64,070 particles
(27%)
8.91 Å
Swiveled state

Class 0
129,716 particles
(73%)
3.06 Å
Non-swiveled state
(PDB: 8EOS)

D
GSFSC Resolution: 3.06Å

No Mask (3.7Å)
Loose (3.3Å)
Tight (3Å)
Corrected (3.1Å)

Class 0

Figure S9

A

B

C

4084 movies

↓

Patch Motion correction and Patch CTF estimation

↓

Topaz train, Particle extraction
382,218 particles

↓

2-D classification (50 classes), discard bad classes
326,362 particles

↓

Heterogeneous refinement (4 classes)

Class 3 (junk)
77,062 particles
(23.6%)

Class 2 (junk)
19,857 particles
(6.1%)

Class 1 (junk)
47,296 particles
(14.5%)

Class 0
182,147 particles
(55.8%)

↓

Remove duplicates
178,960 particles

↓

Local motion correction

↓

Non-uniform refinement (3.38 Å)

Non-uniform refinement (3.00 Å) ← Global and Local CTF refinements ← Non-uniform refinement (3.38 Å)

↓

3DVA analysis (3 classes)

↓

Non-uniform refinement

Class 2
46,785 particles
(26.1%)
3.12 Å
PTC

Class 1
63,902 particles
(35.7%)
3.34 Å
PTC‡ +NTP
PDB: 8EXY

Class 0
68,273 particles
(38.2%)
3.02 Å
eTEC+NTP
PDB: 8EJ3

D

GSFSC Resolution: 3.02Å
No Mask (3.7Å)
Loose (3.3Å)
Tight (3Å)
Corrected (3Å)
Class 0

GSFSC Resolution: 3.34Å
No Mask (3.9Å)
Loose (3.5Å)
Tight (3.3Å)
Corrected (3.3Å)
Class 1

GSFSC Resolution: 3.12Å
No Mask (4Å)
Loose (3.4Å)
Tight (3.1Å)
Corrected (3.1Å)
Class 2

Supplementary Table 1. Cryo-EM data collection, refinement, and validation statistics

	Paused transcription complex (PTC)	Transcription elongation complex (TEC)		PTC with NTP		TEC with *Mtb* NusG	TEC with *Mtb* NusG + NTP
PDB ID	8EHQ	8EOF	8EOE	8EXY	8EJ3	8EOT	8EOS
EMDB ID	28149	28374	38373	28665	28174	28467	28466
RNAP conformation	swiveled	swiveled	non-swiveled	swiveled (PTC + NTP)	non-swiveled (eTEC + NTP)	swiveled	non-swiveled
Data Collection and processing							
Magnification	75,000x						
Voltage (kV)	300						
Electron dose (e- /Å^2)	45						
Defocus range (μm)	-0.75 to -2.5						
Pixel size (Å)	0.87						
Symmetry imposed	C1						
Initial particle images (no.)	759,234	599,346		382,218		196,651	439,573
Final particle images (no.)	110,849	129,271	139,205	63, 902	68,273	46,900	129,716
Map resolution (Å)	3.00	3.23	3.14	3.34	3.02	3.23	3.06
FSC threshold	0.143						
Refinement							
Initial model used (PDB code)	6EDT	8EHQ	8EHQ	8EHQ	8EHQ, 8EOE	8EOF	8EOE
Model resolution (Å)	3.00	3.30	3.20	3.34	3.02	3.30	3.10

Model composition							
Non-hydrogen atoms	25,828	25,608	25,301	25,711	25,339	25,299	25,620
Protein residues	3,051	3,031	3,030	3,033	3,058	3,061	3,069
DNA/RNA bases	105	102	88	105	78	77	87
Ligands	Zn:2, Mg:1	Zn:2, Mg:1	Zn:2, Mg:1	Zn:2, Mg:1 G2P (GMPCPP)	Zn:2, Mg:2 G2P (GMPCPP)	Zn:2, Mg:1	Zn:2, Mg:2 2TM (CMPCPP)
B factors (Å^2) (mean)							
Protein	51.54	46.25	64.12	75.68	86.40	45.88	72.15
DNA/RNA	160.08	151.84	159.90	196.31	181.91	138.78	215.60
Ligand	87.95	78.82	88.13	71.02	83.80	78.23	62.31
R.m.s. deviations							
Bond lengths (Å)	0.004	0.005	0.003	0.004	0.004	0.004	0.004
Bond angles (°)	0.586	0.699	0.633	0.602	0.617	0.630	0.569
Validation							
Mol Probity score							
Clash score	5.42	5.21	5.34	5.39	5.38	5.09	20.12
Rotamer outliers (%)	6.46	17.45	17.38	20.98	15.79	6.03	3.59
Ramachandran plot							
Favored (%)	95.36	89.68	90.41	89.79	89.91	94.42	94.66
Allowed (%)	4.58	9.55	9.06	9.45	9.20	5.45	5.18
Disallowed (%)	0.07	0.76	0.53	0.76	0.89	0.13	0.16
Model vs. Data							
CC (mask)	0.87	0.87	0.84	0.86	0.86	0.87	0.85
CC (box)	0.74	0.78	0.72	0.79	0.70	0.78	0.68
CC (peak)	0.72	0.74	0.68	0.73	0.66	0.76	0.66
CC (volume)	0.84	0.83	0.80	0.83	0.83	0.84	0.82