Virulence factors among isolates of extraintestinal *Esherichia*

coli (ExPEC) from hospitals in the United Kingdom.

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islands

Abstract

182 genes associated with virulence were sought from the whole genome sequences of all non-duplicate isolates of *Escherichia coli* received by the UK Health Security Agency's Antimicrobial Resistance and HealthCare Associated Infections laboratory for typing between June 2019 and March 2021 from hospitals in the United Kingdom and Republic of Ireland (n=593). These were from healthcare associated investigations and were not associated with diarrhoeal disease. Genes that were very common or very rare were excluded from further analysis. The frequency of detection of genes was compared among isolates from invasive infection, screening, urine samples and from neonates. *cnf1* (coding for cytotoxic necrotising factor), *clbK* (coding for colibactin), *focCDF* (coding for F1 fimbriae), *kpsM*_K1, *neuBD* (associated with the K1 capsule), *mchBC*, *mcmA* (coding for microcins), *papG*_alleleIII (part of a cluster encoding P fimbriae), *pic* (protein involved in intestinal colonization), *sfaE/sfafoCDE* (coding for S fimbriae), *tcpC* (encoding TLR domain containing-protein C) and *vat* (encoding toxin vacuolating autotransporter) were 4 to 28 times more prevalent among isolates from invasive infections than among those from carriage. Representatives of sequence types (ST) 12, 73, 998 and 127 carried multiple of these virulence factors, no matter whether they were from screening swabs or from blood or other infection sites. Isolates carrying multiple virulence factors were more prevalent from neonatal screens than those from general screens. Genes associated with the K1 capsule (*ibeA*, *neuBD*, *kpsM*_K1) were particularly found in STs 1193, 10, 998, 538, 80 and 141. Nanopore sequencing of 14 isolates representing 10 different STs showed that the virulence elements sought were largely carried in chromosomal genomic islands, which were mosaic in nature. Some 9 % of isolates carried more than six of the main virulence genes/gene sets sought, highlighting the potential for significant numbers of carriage isolates to cause extraintestinal infections.

Introduction

Pathogenic *E. coli* are associated with various infections in humans, the most important being those causing diarrhoea, urinary tract infections, sepsis and meningitis. An extensive array of virulence factors has been described in the organism [1], some of which are characteristic of the disease manifestation. For those causing diarrhoea, various pathotypes have been defined (enteropathogenic *E. coli* (EPEC), enterohemorrhagic (Shiga toxin-producing) *E. coli* (EHEC/STEC), enteroaggregative *E. coli* (EAEC), enterotoxigenic *E. coli* (ETEC), enteroinvasive *E. coli* (EIEC) and diffusely adherent *E. coli* (DAEC), each with their

own specific virulence factors e.g. *stx* genes are characteristic of EHEC, production of

enterotoxins is characteristic of ETEC and intimin (*eae*) is associated with EPEC [2].

For those isolates not associated with diarrhoeal illness, the situation may not be as clear

cut. The patient's own intestinal flora is the reservoir for these 'extraintestinal' pathogenic *E.*

*coli (*ExPEC), which have evolved from commensal strains by acquisition of virulence factors

in a cumulative manner [3-6]. Virulence factors described are many and varied and there is

often overlap in the virulence gene content among different extraintestinal pathotypes.

However, various associations have been made [1]. Uropathogenic (UPEC) *E. coli* are

associated with (among others) F1C fimbriae (promoting adhesion), tcpC (TLR domain

containing-protein C associated with immune evasion), cytotoxic necrotising factor cnf1, α-

haemolysin toxins, pic (protein involved in intestinal colonization), sat (secreted auto

transporter toxin) and tsh (temperature sensitive hemagglutinin). Neonatal meningitis-

associated (NMEC) *E.coli* are linked with the K1 capsule, with *neuB* and *neuD* genes

described as specific for the K1 capsule [7], *ibe* genes (of which *ibeA* is unique to *E. coli* K1),

S fimbriae (encoded by the *sfaABCDEFGHS* gene cluster) and also, in common with UPEC,

cnf1 toxin; this pathotype can also be responsible for sepsis. Accordingly, *sfaE*, *sfafoCDE*

(coding for S fimbriae) and *tcpC* (coding for Toll/interleukin 1 receptor (TIR)-containing

proteins) have been associated with sepsis [8]. The toxin vacuolating autotransporter

encoded by *vat* has also been significantly associated with bacteraemia [9]. The term NTEC

is sometimes used to refer to necrotoxic *E.* coli which express cnf1 [10]. Other potentially

important virulence factors include the colibactin biosynthetic cluster in the *pks* pathogenicity

island which is often found in combination with *cnf1.* Colibactin represents a class of

bacterial genotoxin inducing DNA damage and genomic instability in mammalian cells

[11,12]. An analysis of the prevalence of the colibactin island revealed that the *pks* island

was consistently associated with the yersiniabactin gene cluster [12,13]. Genotoxic *E. coli*

may use colibactin to compete for gut niche utilization [14]. Microcin is also important in

establishing colonization in the gut [15,16].

Many of these virulence factors are found as blocks of genes in integrative chromosomal

genomic islands referred to as pathogenicity islands (PAI) that are acquired by horizontal

transfer [17]. Since they often contain mobile elements derived from bacteriophages,

plasmids and insertion sequences, they are subject to rearrangements, insertions and

deletions and are therefore variable. These PAI are critical in providing elements encoding

functions (such as aiding colonization, immune evasion or adhesin and toxin production) that

are essential to the infection process.

In order to understand the importance or otherwise of the various virulence factors described

and to be able to identify isolates readily that are of particular virulence among those

submitted from healthcare associated investigations (excluding those relating to diarrhoea),

we sought 182 virulence factors from whole genome sequences from all isolates submitted

to our typing service for *E. coli* from UK hospitals over a period of 20 months during

2019/2020. These largely consisted of those isolated from screens, both for carbapenem

resistant organisms and from neonates, but also included clinical isolates from blood, urine,

CSF and other sterile sites. While acknowledging that all of the virulence factors play a role,

and that gut colonisation is an important step for strains causing extraintestinal infections, we

hypothesized that those isolates causing actual infections would be those most likely to

possess the full complement of characteristics required to cause those infections. Our panel

of isolates therefore provided a context against which to assess the various genes, allowing

us to identify a set characterising those of greatest virulence potential.

Methods

All isolates submitted for cross-infection and outbreak investigation to the UK Health Security

Agency's Antimicrobial Resistance and HealthCare Associated Infection (AMRHAI)

laboratory were subjected to whole genome sequencing on a NextSeq Illumina platform

following QIASymphony extraction and Nextera® XT library preparation. The bioinformatic

pipeline identified the sequence type (ST), predicted O:H type, resistance elements and,

where applicable, the 'SNP address'. The SNP address allows comparison of isolates within

the same clonal complex, with groupings at the 0, 5, 10, 25, 50, 100 and 250 SNP levels

[18]. The pipeline also sought 182 genes associated with virulence. The set examined,

consisting of 593 isolates, were received between June 2019 and March 2021 from 65

hospital trusts from the United Kingdom and Republic of Ireland. These consisted of non-

duplicate isolates from cerebrospinal fluid (CSF) (8), blood (53), urine (47), neonatal screens

(82), other screens (341) (consisting of 'CRE screens', rectal swabs, groin swabs and

faeces) and others (ascitic fluid (2), bronchoalveolar lavage (BAL) (4), bile (1), endotracheal

aspirate (2), endotracheal tube (ET) secretions (5), endotracheal tube tip **(**ETT) (5), drain

fluid (3), eye (5), fluid (1) foot plantar (1), line tip (1), lung swab (1), lymph node (1),

nasopharyngeal aspirate (1), neck (1), pancreatic abscess fluid (1), perinephric collection

(1), peritoneal fluid (1), sputum (10) thigh tissue (1), throat (1), wound (5) and unknown (3),

and the hospital environment (mascerator (2), cotspace (1), sinkhole (1)). Isolates consisted

of 143 different sequence types, of which STs 131, 38, 69, 648, 167, 3168 and 73 were the

most common (120, 38, 33, 20, 17, 17 and 14 isolates, respectively). Although the number of

isolates from CSF were small (8), they were diverse, with each representing a different

sequence type. Phylogenetic groups were identified based on the combinations of the *chuA*,

TSPE4C2 and *yjaA* genes [19].

14 isolates were also subjected to nanopore sequencing using a minION Mk1C following

DNA extraction with a GeneJet genomic DNA kit (ThermoFisher) and library preparation

using the Rapid Barcoding kit SQK-RBK004 (Oxford Nanopore Technologies). Libraries

were pooled and concentrated using Agencourt AMPure XP beads (Beckman Coulter).

Sequencing was carried out on up to 10 isolates at a time on a FLO-MIN 106D Spot-On

flowcell (R9 version) in 72 h runs as recommended by the manufacturer. Virulence genes

were further sought using VirulenceFinder 2.0 on the Center for Genomic Epidemiology

website (https://cge.cbs.dtu.dk/services/VirulenceFinder/) [20]. BLAST comparisons on a

local database were carried out to generate Figure 3

(https://blast.ncbi.nlm.nih.gov/Blast.cgi). Sequences were deposited in the sequence Read

Archive under project PRJNA870093.

128   Results

129   Highly common genes

130   Some of the genes were found in all (*aer* (a signal transducer for aerotaxis), *csgABCDEFG*

131   (encoding curli fibers), *ibeC*, *mutS*) or most (*ecpA*, *fimH*, *ibeB*, *matA*) isolates. Others that

132   were relatively common included *chuASTWXY* (associated with haem uptake), *fyuA*, *irp2*,

133   *iucABC*, *iutA* (part of the yersiniabactin and aerobactin siderophore gene clusters).

134   Rare genes

Other genes/gene alleles were not found in any of the isolates (*aafABCD*, *aah*, *agg3A*,

agg3B, *agg3C*, *agg3D*, *agg5A* (encoding aggregative adherence fimbriae), *aidA* (also

associated with adherence), *bfpA*, *bmaE*, *cdtA*, *cdtB*, *cdtC* (encoding cytolethal distending

toxin), *cofA*, *eae* (encoding intimin associated with ETEC), *eatA*, *espB*, *espC*, *espF*, *etpD*,

f17A, *fanA*, *fanC*, *fasA*, *fedA*, *fedF*, *fim41A*, *hylE*, *ingA*, *ipaH*, *iucD*, *K88ab*, *itcA*, *neuC*, *nleA*,

*papG*_alleleI, *papG*_alleleIprime, *perA*, *pet*, *rfc*, *rpeA*, *saa*, *sta1*, *sta2*, *stb*, *subA*, *tir*). Some

others were only found in a very small number of isolates (10 or less) (e.g. *aaiC*, *cif*, *epeA*,

espI, *espJ*, *iha*, *ipaD*, *ingA*, *katP*, *nleB*, *nleC*, *sepA*, *toxB*, *virF*).

143   Main study

As a result of these preliminary observations, the occurrence of the following virulence

genes was studied in more detail: *clbK* (part of the colibactin biosynthetic cluster), *cnf1*

(encoding cytotoxic necrotising factor 1), *focCDF* (encoding F1 fimbriae), *hylBCD* (encoding

α-haemolysin toxins), *ibeA*, *neuBD*, *kpsM_K1* (associated with the K1 capsule), *mchBC*,

mcmA (encoding microcins), *safE*/*sfafoCDE* (encoding S fimbriae), *tcpC* (encoding TLR

domain containing-protein C), *vat* (encoding vacuolating autotransporter toxin), *papG-*
_alleleIII, *papC* (encoding pyelonephritis associated pili), *pic* (encoding protein involved in
colonization), *tsh* (encoding temperature sensitive hemagglutinin), *sat* (encoding secreted
autotransporter toxin) and *malX* (associated with a PAI). The percentage of isolates from
various isolation sites carrying these genes is shown in Figure 1 and the distribution of
isolates carrying from zero to 8 or more of these virulence factors (where gene clusters are
counted as one) is shown in Figure 2. The presence of the rarer *upaH* and *virF* virulence
genes was also noted.

Figure 1. Percentage of isolates from various isolation sites carrying each of 18 virulence
genes/gene sets.

K1 capsule identified by *neuBD* and/or KpsM_K1

The K1 capsule is a PAI encoded protectin that protects the organism from phagocytosis, is
associated with bacteraemia and is required for crossing of the blood-brain barrier [17,21].

74/593 isolates were positive for *neuB* (and *neuD*); all but 10 of these were also positive for
*KpsM*_K1 indicating that they belong to capsular type K1 associated with neonatal
meningitis. 20 (27 %) were from blood, 6 from CSF, 4 from urine, 3 from endotracheal
secretions/tube tip, 1 from a diabetic foot lesion, 1 from eye, 1 from sputum and 1 from
tissue; the remainder (37) were from screening swabs; at least 24 isolates were from
neonates. 10 % of neonatal screens were positive for these elements, while only 7 % of
other screens were positive for them. 38 % of blood isolates and 75 % of CSF isolates were
positive, suggesting a strong association with sepsis/meningitis. Isolates consisted of 21
different sequence types (STs) of which ST 1193 (23 isolates) and 10 (5 isolates) were the

most common, although some of the former were associated with clonal spread among

patients related in space and time. No isolates in the entire set were positive for *neuC*.

Ibe genes.

The *ibe* genes are so called because they are associated with invasion of brain endothelial

cells. *ibeA* is described as unique to *E. coli* K1, but only some isolates carried both *ibeA* and

neuBD/KpsM_K1 (19). Every isolate in the entire set (593 isolates) carried *ibeC*; all but one

carried *ibeB*. *ibeA* was detected in 39 isolates in total, of which 5 (14 %) were from blood, 2

(5 %) from CSF, 3 from urine, 4 from respiratory samples, 1 from wound and 1 from eye; the

remainder (22) were from screening swabs. At least 10 positive isolates were from neonates,

but the gene was not more common among isolates from neonatal screens than from

screens generally (4 and 6 % respectively). The gene was found in 11 %, 25 % and 6 %

respectively of blood, CSF and urine isolates, compared with an overall prevalence of 7 %.

The 39 isolates consisted of 22 STs, of which 538 and 998 were the most common, but both

were associated with clonal spread among patients linked in space and time.

S fimbriae (adhesin) genes

Associated with NMEC and UPEC, these are the *sfa* (e.g. *safE*) and *sfafoCDE* genes. The

presence of *sfaE* was always accompanied with that of *sfafoCDE*. In total, 54/593 isolates

were positive for these genes, of which 5 were from CSF, 13 were from blood, 3 from urine,

1 from tissue, 1 from a line tip, 2 from eye swabs and 7 from other clinical sites; the

remainder were from screens (21 isolates) and the environment (1). These genes were

found in 25 %, 63 % and 6 % respectively of blood, CSF and urine isolates, compared with a

prevalence in general screens of 3 %.

F1C fimbriae (adhesin) genes

These are associated with UPEC and were found in 53/593 isolates in our panel. We found

focCDF to be more consistently found than *focG* and *focH*; *focC*, *focD* and *focF* were always

found together. Isolates carrying *focCDF* consisted of 5 from CSF, 13 from blood, 3 from

urine, 11 from other clinical sites, 10 from neonatal screens, 10 from general screens and 1

from the environment. Prevalence among blood, CSF, urine, neonatal and general screens

was 25, 63, 6, 12 and 3 %, respectively, with an overall prevalence of 9 %.

P fimbriae

P fimbriae are encoded by the *pap* operon (pyelonephritis associated pili) and mediate

Gal(α1-4)Gal-specific binding via the adhesin molecule PapG. There are three major alleles

of *papG* (GI to GIII) of which alleles II and III are the most common in isolates causing

infections in humans [17,23]. We sought *papG*_allele III in particular since we had noted an

association with invasive isolates. We detected papG_alleleIII in 22/593 isolates, 6 from

blood, 2 from CSF, 1 from thigh tissue, 2 from ET tip, 1 from pus, 1 from urine and the

remainder from screens (6 from neonatal screens and 3 from adult rectal screens). The

isolates belonged to 12 STs, of which ST12 (9 isolates) was the most common. This allele

was found in 9 %, 25 %, 2 %, 7 % and 1 % of isolates from blood, CSF, urine, neonatal

screens, and general screens, respectively, compared with an overall prevalence of 3.5 %.

We detected *papC* in 110 isolates (19 %), of which 50 belonged to ST131, 10 to ST69 and 9 to ST12;

17 were from blood, 4 from CSF (representing 50 % of isolates from CSF), 36 from general screens,

28 from neonatal screens, 11 from urine, 13 from other clinical sites sites and 1 from the environment.

Cnf-1 (cytotoxic necrotising factor 1)

This exotoxin is associated with NMEC, UTEC and NTEC. It was detected in 68/593

isolates, always, in our experience, with *hylBCD* (encoding α-hemolysin), of which 4 were

from CSF, 7 from urine, 13 from blood and 13 from other clinical sites; the remainder were

from screens (30) and the environment (1). It was found in 25 %, 50 % and 15 % of blood,

CSF and urine isolates respectively compared with an overall prevalence of 11 %. It was

detected in 19 STs of which ST131 (16 isolates) and ST73 (12 isolates) were the most

common.

hylBCD encoding α-haemolysin

The *hylBCD* gene cluster encodes an exotoxin and is associated with UPEC, including those

causing upper *UTIs* such as pyelonephritis; it has been shown to target macrophages [22].

In our study, *hylBCD* were generally found together, with two exceptions only. They were

found in 74/593 isolates, of which 8 were from urine, 4 from CSF, 14 from blood and 14 from

further clinical sites; the remainder were from neonatal (13), other (20) and environmental (1)

screens. The most common ST in which the *hylBCD* cluster was found was ST131 (17

isolates), followed by ST73 (12 isolates).

TcpC (immune evasion)

This gene encodes an inhibitor homolog of Toll-like receptors (TLR), *dampening the*

proinflammatory response and therefore contributing to immune evasion. It has been

associated with both sepsis and UPEC [8]. We detected this in only 41 (out of 593) isolates,

only 1 of which was from urine. The isolates were from CSF (3), blood (8), urine (1), other

clinical sites (10) and screens (9 from general screens, 10 from neonatal screens). *tcpC*

positive isolates accounted for 15, 38, 2, 12 and 3 % respectively of blood, CSF, urine,

neonatal screen and general screen isolates, with a general prevalence of 7 %.

Colibactin

We sought *clbK*, which is part of the colibactin biosynthetic cluster in the *pks* pathogenicity
island. Colibactin is a bacterial genotoxin and is consistently associated with the
yersiniabactin (siderophore) gene cluster. It has been associated with persistence in the gut
[14]. 60/593 isolates were positive for *clbK*. These consisted of 5 isolates from CSF, 17 from
blood, 3 from urine, 1 from tissue, 11 from other clinical sites, 11 from neonatal screens, 11
from general screens and 1 from the environment. These represented 32, 65, 6, 13 and 3 %
respectively of isolates from blood, CSF, urine, neonatal screens and other screens,
respectively with an overall prevalence of 10 %. Isolates belonged to 19 distinct sequence
types, of which STs 73, 12, 1193, 127 and 998 were the most common, in that order.
Despite being the most common sequence type (120/593), no representative of ST131
carried *clbk*. *clbK* was detected in all representatives of STs 127 and 998 and in all but one
representative of STs 73 and 12.

Microcins

Siderophore-microcins are antimicrobial peptides that are post-translationally linked to a
siderophore which can then kill related bacteria by mimicking iron–siderophore complexes.
They therefore provide a competitive advantage in colonization of the gut. They are
chromosomally encoded in small genomic islands that, in UPEC, interact with proteins from
other genomic islands carrying virulence factors to produce the active siderophore-microcin
[16]. We found *mchBC* in only 46 isolates of the set, consisting of isolates from CSF (3),
blood (11), line tip (1), pus (1), nasopharyngeal aspirate (1), ET tip (1), sputum (2), urine (3)
and screening isolates (9 from neonates, 13 general, 1 environmental) belonging to 22 STs
of which ST 73 (12 isolates) and ST12 (8 isolates) were the most common.

mcmA (microcin precursors)

Similarly, 46/593 isolates were positive for *mcmA*, and again isolates from CSF (4 isolates),
blood (11) and urine (3) were over-represented compared with their overall proportions in the
set. Isolates were from 20 different STs, of which ST73 (11 isolates) and ST 12 (8 isolates)
were the most common.

Autotransporters
Vacuolating autotransporter toxin (encoded by *vat*)
vat was detected in 103 (17 %) of the isolates, 27 from blood, 6 from CSF, 7 from urine, 18
from other clinical sites (ascitic fluid, thigh tissue abscess, pancreatic abscess, ET
secretions, line tip, ETT tip, nasopharyngeal aspirate, sputum, eye, pus), 15 from neonatal
screens, 29 from other screens and 1 from the hospital environment (mascerator). These
were over-represented in the blood, CSF and other clinical sites groups, representing 53, 75,

and 29 % of the isolates in each group, compared with an overall prevalence of 17 % and a

prevalence in screens (not neonatal) of only 9 %.

Temperature sensitive hemagglutinin (encoded by *tsh*)

The *tsh* gene was found in only 2 % of isolates in our panel. It was not found in any of those

from CSF, nor was it found in enhanced numbers in isolates from urine compared to the

overall prevalence or from that in screens. It was found in 3.8 % of blood isolates.

Secreted autotransporter toxin (encoded by *sat*)

Sat was detected in a third (34 %) of the isolates, with most representatives of ST131 (the

most common ST by far) carrying the gene. It was found in 55 %, 13 %, 47 %, 54 % and 23

% of blood, CSF, urine, neonatal screens and general screens, respectively.

Pic (protein involved in colonization)

The *pic* gene was detected in almost 5 % of isolates overall, with an enhanced prevalence

among those from blood (17 %) and CSF (13 %) compared with those from urine and

neonatal and general screens (3 %, 4 % and 2 %, respectively).

upaH

upaH is a further autotransporter gene that has been associated with biofilm formation and

bladder colonization [24]. Among our panel of isolates, we only detected it in 18 isolates, all

but one of which belonged to ST73 or a single locus variant of ST73. This ST generally is

associated with virulence; not surprisingly 7 of the 18 isolates were from blood and 2 from

line-tips, while only 5 were from screens, despite the latter greatly outnumbering those from

blood (53 blood isolates compared with 423 isolates from screening). The isolates were from

10 different hospitals, but 4 of them were clonally related to each other (5-SNP cluster) and

were related in space and time.

PAI marker gene *malX*

malX codes for a phosphotransferase system enzyme that recognizes maltose and glucose.

It is a PAI marker. It was detected in almost all representatives of ST131 (117/ 120 isolates)

and was common among the panel, being found in 277/593 isolates (47 %) overall, with

somewhat increased prevalence among blood (41/53) and CSF isolates (7/8) (77 and 89 %,

respectively) compared with those from urine, neonatal and general screens (47, 54 and 35

% respectively). As well as ST131, it was strongly associated with STs 648 (18 isolates),

1193 (24 isolates) and ST73 (14 isolates).

Prevalence of virulence genes in invasive isolates compared with screens

These data do not provide sufficient support that *tsh* is more associated with invasive isolates compared with screen isolates. However, there is strong evidence in support of *focCDF*, *sfaE/sfafoCDE*, *clbK*, *papG*_alleleIII, *pic* and *mcmA* being associated with sepsis (all greater than 7 times more prevalent among blood isolates than screen isolates), *focCDF*, *sfaE/sfafoCDE*, *papG*_alleleIII, *cblK* and *mcmA* associated with CSF (all greater than 17 times more prevalent among CSF isolates than general screens and more than 6 times more prevalent among CSF isolates than overall) as well as *cnf1*, *kpsM*_K1, *mchBC*, *neuBD*, *vat* and *tcpC* . *hylBCD* and *cnf1* were associated with urinary tract infections (both greater than 2.8 times as prevalent among urine isolates than overall). A caveat to these observations is that the number of isolates from CSF were small (8/593 isolates); however, they were genetically diverse, each representing a distinct ST.

Combinations of virulence genes.

While some 355 isolates of the panel of 593 carried one or more of the virulence genes (or virulence gene sets) sought (*clbK*, *cnf1*, *focCDF*, *ibeA*, *hylBCD*, *mchBC*, *neuBD*, *ibeA*, *sfaE*/*sfafoCDE*, *tcpC*, *KpsM*_K1, *malX* (PAI), *mcmA*, *papC*, *papG*, *papG*_alleleIII, *pic*, *sat*, *sepA*, *tsh*, *upaH*, *vat*, *virF*), 81 carried only one (particularly *malX*, *sat*, *tsh*, *papC*, or in one instance the rare gene *virF* found in only 2 isolates in our set), 86 carried only two (with the most common combinations being *malX* and *sat* (62) and *papC* and *sat* (8), and 49 carried only 3 (most, all of ST 131, carrying the *malX*, *papC* and *sat* combination (30)). A number of isolates including 12 from invasive sites carried the combinations of *neuBD*, *KpsM*_K1, *malX*, *sat*, *vat* (15 isolates, all of ST 1193), *neuBD*, *KpsM*_K1, *malX*, *papC*, *sat* (5 isolates) or *ibeA*, *neuBD*, *KpsM*_K1, *malX*, *vat* (7 isolates) (with 10 further isolates carrying one of these combinations and further genes), while the combination of *cnf1*, *hylBCD*, *malX*, *papC*, *sat* was found in 15 isolates (all of ST131), of which 4 were from urine (with a further 6 isolates carrying this combination and further genes). Some 53 isolates (8.9 %) carried more than 6 of these genes/gene sets, and all but one of these carried at least 8; one carried 15 (Table 1). Of these 53 isolates, which all belonged to phylogroup B2, 47 carried *cblK*; this represents 78 % of all the isolates carrying *cblK*, showing that isolates carrying this gene are highly likely to carry multiple virulence factors. Many (16) carried one or more elements associated with the K1 capsule (*KpsM*_K1, *ibeA*, *neuBD*). All but three carried the F1 fimbriae and S fimbriae genes *focCDF* and *sfaE*/*sfafoCDE*. Sequence types 73 (13 isolates, plus 2 SLVs), 12 (8 isolates), 127 (6 isolates) and 998 (6 isolates, 4 from neonates from the same hospital and 3 representing the same strain) were the most common STs among those carrying 8 or more virulence genes/gene sets. Worrying, eight also carried $bla_{OXA-48-like}$ carbapenemase genes. In common with 3 of the 4 ST998 isolates, and 4 of the ST73

isolates, the two isolates belonging to ST416 and two of those belonging to ST80 were

epidemiologically and clonally related; all the others were not. A further two of the 13 ST73

isolates were from the same hospital and submitted together but were more than 250 SNPs

apart.

Figure 2.

a) Distribution of isolates from different sites carrying 0 to 8 or more virulence

356   genes/gene sets

357   b) Distribution of number of virulence genes/gene sets among isolates from different

358   isolation sites

a)

b)

Nanopore sequencing results

Nanopore sequencing was carried out on 14 isolates, consisting of 10 different sequence types (STs 12, 73, 80, 127, 131, 141, 72, 416, 1859 and 4456 (Table 1). Six of the isolates carried elements associated with the K1 capsule (*KpsM*_K1, *ibeA*, *neuBD*). In most cases (9/14), the assemblies resulted in complete closed circular contigs for the chromosome and any plasmids present; these were those included in comparisons in Figure 3. It confirmed that the virulence genes sought were mostly chromosomally located in these examples. An exception was the 128 kb plasmid in N6_S1_40/19, which carried the aerobactin and salmochelin clusters, *iss*, *ompT*, *sitA* and *traJ* genes. In other isolates, these elements, where detected, (with the exception of *traT* and *traJ*) were chromosomally located.

All the isolates carried *chuASTWXYU* (encoding haem uptake) in the chromosome in a gene cluster that was distant from the other virulence genes sought. The three representatives of ST12 from 3 different hospitals shared a similar arrangement in terms of order of genes detected by the VirulenceFinder software with all sharing a section of approximately 214 kb containing the genes *sitA* (coding for an iron transport protein), *iss* (increased serum survival), the salmochelin cluster (e.g. *iroN* encoding a siderophore protein), *focC*, *sfaD* (coding for S fimbriae/F1C minor subunit), *mcmA*, *mchF*, *mchC*, *mchB* (encoding microcins) and *cea* (coding for colicin E1) (in that order). This region also included the *csgABCDEFG* cluster (coding for curli fibers) and *agn43* (coding for antigen 43 involved in biofilm formation). The 3 isolates shared high overall similarity with one another (with P7_NW2_18/20 having 99.5 % identity, 99 % coverage with N9_EE1_25/20 while P6_NW1_52/19 shared 99.95 % identity and 98 % coverage with it), with all carrying *terC* (encoding tellurium ion resistance protein), *clbB* (coding for hybrid non-ribosomal peptide/polypeptide megasynthase), *yfcV* (encoding a fimbrial protein), *gad* (coding for glutamate decarboxylase), *ompT* (encoding an outer membrane protease), *vat* (encoding vacuolating autotransporter toxin), *usp* (encoding uropathogenic specific protein), *fyuA*, *cnf1*, *papA* (papA_F43 or papA_F16), *papC*, *hra* (encoding heat-resistant agglutinin), *irp2*, *chuA* (encoding outer membrane hemin receptor), *kpsE* (encoding capsule polysaccharide export inner-membrane protein) with the position of *fyuA* and *irp2* (both in the yersiniabactin siderophore cluster) relative to the other genes being the most variable. These isolates were associated with the kpsMIII_K96 group 3 capsule allele. The one isolate (P6_NW1_52/19) carrying *bla*$_{OXA-48}$ carried the gene in an IncL/M plasmid, as would be expected.

The set included 3 representatives of ST73 from 2 different hospitals, which had completely different SNP addresses from one another (>250 SNP differences) showing that they were not epidemiologically related. These carried kpsMII_K5 (coding for polysialic acid transport

398 Table 1. Isolates subjected to nanopore sequencing. Each isolate was from a different patient and, with two exceptions only (hospitals NW1
399 and WM1), from a different hospital. Isolates were labelled by patient (P1-P29, N1-N24, where those beginning with N are from neonates),
400 hospital (by region and number within that region) and date of isolation (week/year). Regions were EE, East of England, EM, East Midlands,
401 WM, West Midlands, L, London, NE, North East, NW, North West, SE, South East, SW, South West, S, Scotland, W, Wales, Y&H, Yorkshire
402 and Humber.

Isolate	ST	SNP address	O:H type	Isolation site	Carbapen-emase gene	Virulence genes
P6_NW1_52/19	12	13.13.13.13.13.13.13	O4:H5	rectal screen	OXA-48	*clbK, cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, papC, papG*_alleleIII, *vat*
P7_NW2_18/20	12	1.16.16.16.16.16.17	O4:H5	blood	none detected	*clbK, cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, papC, papG*_alleleIII, *vat*
N9_EE1_25/20	12	1.17.17.17.17.17.18	O4:H5	faeces	none detected	*cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, papC, papG*_alleleIII, *vat*
P11_NE1_45/19	127	3.9.9.9.19.20.20	O6:H31	kidney urine	OXA-48	*clbK, cnf1, focCDF, hylBCD, sfaE, tcpC, malX, mcmA, papC, vat*
P29_WM3_39/19	131	1.4.441.470.476.510.551	O25:H4	urine	none detected	*cnf1, hylBCD, malX, papC, sat*
P4_EM1_41/19	141		O50O2:H6	CSF	none detected	*clbK, cnf1, focCDF, hylBCD, mchBC, neuBD, sfaE, tcpC, KpsM*_K1, *malX, mcmA, vat*
N8_L2_07/20	1859		O99:H6	blood	none detected	*cnf1, focCDF, hylBCD, ibeA, mchBC, neuBD, sfaE, KpsM*_K1, *malX, mcmA, pic, vat*
P12_NW1_38/19	372		O83:H31	CSU	OXA-48	*cnf1, focCDF, hylBCD, ibeA, mchBC, sfaE, malX, mcmA, papC, papG*_alleleIII, *vat*
N6_S1_40/19	416 (CC95)	6.27.28.28.28.29.53	O18ac:H7	blood	none detected	*clbK, focCDF, ibeA, neuBD, sfaE, KpsM*_K1, *malX, vat*
N10_L3_26/20	4456		O83:H4	blood culture	none detected	*focCDF, ibeA, mchBC, neuBD, sfaE, KpsM*_K1, *malX, mcmA, papC, papG, vat*
N5_WM1_04/20	73	56.70.70.71.72.73.74	O6:H1	line tip	none detected	*clbK, cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, pic, sat, upaH, vat*
P15_Y&H1_29/20	73	59.74.75.76.77.78.79	O6:H1	blood	none detected	*clbK, cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, papC, pic, sat, upaH*, vat*
N12_WM1_31/20	73	61.76.77.78.79.80.83	O6:H1	nasopharyngeal aspirate	none detected	*clbK, cnf1, focCDF, hylBCD, mchBC, sfaE, tcpC, malX, mcmA, pic, upaH, vat*
P1_SE1_24/20	80		O75:H7	urine	none detected	*cblK, cnf1, focCDF, hylBCD, ibeA, mchBC, neuBD, sfaE, KpsM*_K1, *malX, mcmA, pic, vat*

Figure 3. Examples of BLAST comparisons of chromosomal genomic regions carrying multiple virulence genes showing mosaic nature.

a) region carrying *focDFI*, *sfaE*, *cnf1*, *hylABCD*, *kpsDET*, *kpsM*_K1 and *aer* genes in P1_SE1_24/20 (ST80; run id RR9_BC12) compared with
those in P6_NW1_52/19 (ST12; run id RR10_BC07) shown in dark blue, N12_WM1_31/20 (ST73; run id RR14_BC03) shown in yellow,
P11_NE1_45/19 (ST127; run id RR14_BC11) shown in purple, P29_WM3_39/19 (ST131; run id RR14_BC01) shown in green,
P12_NW1_38/19 (ST372; run id RR9_BC02) shown in turquoise, N6_S1_40/19 (ST416; run id RR14_BC12) shown in brown, N8_L2_07/20
(ST1859; run id RR9_BC08) shown in pink and N10_L3_26/20 (ST4456; run id RR9_BC07) shown in grey.

b) of the same region but comparison against that in P6_NW1_52/19 (ST12; run id RR10_BC07) carrying *papCEFG*, *cnf1*, *hylABCD* and fimH;

the isolate in red is P1_SE1_24/20 (ST80; run id RR9_BC12)

c) region carrying *usp, pic, vat* and *ecpA* in P1_SE1_24/20 (ST80; run id RR9_BC12) compared with that in the other isolates

d) region carrying microcin genes (*mchBCF*, *mcmA*), *csg* and salmochelin gene clusters in P1_SE1_24/20 (ST80; run id RR9_BC12) compared
with the other isolates

e) region carrying yersiniabactin and colibactin in P1_SE1_24/20 (ST80; run id RR9_BC12)

Note the mosaic nature of this region carrying multiple virulence genes among the isolates.

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protein; Group 2 capsule) but were otherwise highly similar in virulence gene content to the
ST12 isolates. Similarly, they carried a section carrying *sitA*, *iss*, *iroN*, *focG*, *focCsfaE*,
mcmA, *mchF*, *mchC*, *mchB* genes (in that order) followed by a section carrying *ompT*, *vat*,
pic and *usp*. All also carried *chuA*, *gad*, *tcpC*, *irp2*, fyuA, *clbB*, *yfcV*, *terC*, *hra*, *cnf1* and *kpsE*.
The *cnf1* gene was in a cluster with the *hylABCD* genes.

Indeed, most of the isolates sequenced carried *cnf1* and *hylBCD*, which were in a
chromosomal genomic island (Fig. 3 a and b). Although these elements were carried by
most isolates, the region was nevertheless quite mosaic in nature, with some isolates also
carrying F1 and S fimbriae genes in this region, while others carried *pap* genes. Most of the
virulence genes sought (e.g. the microcin (Fig. 3d) and colibactin (Fig. 3e) genes) were
carried in such chromosomal islands, with clear differences in each region between isolates
(Fig. 3).

Discussion

While all the virulence factors are important, many were very common or very rare among
our set, and we have chosen to concentrate on those for which there was evidence of a
greater incidence among clinical isolates compared to that from screening isolates. Of
course, colonisation is the first step in the evolution from commensal to pathogen causing
extraintestinal infection, so not surprisingly, these factors were by no means confined to
those from infections. In agreement with previously published work, it was clear from the
long-read sequencing that the virulence factors were largely associated with PAIs on the
chromosome, which have been linked with the emergence of virulence [17]. It was also clear
that some sequence types, particularly STs 12, 73, 998 and 127, were strongly associated
with multiple virulence factors, no matter whether they were from screening swabs or from
blood or other infection sites. This was a consistent finding, not just within the study period,
but also subsequently. Alhashash et al [25] noted an increase in incidence of representatives
of ST73 causing clinical infections, particularly bacteraemias, and showed that, whilst they
were relatively diverse, they mostly shared the same complement of virulence genes, a
finding that was mirrored in this study. Similarly, STs 12, 80 and 998 were each associated
with a consistent set of virulence genes, despite coming from many different hospitals and
often having clearly distinct SNP addresses (at least 100 SNPs apart); STs 80 and 998 were
notably strongly associated with the K1 capsule. It does seem that the number of virulence
factors (VFs) is important, representing a continuum of acquisition in the evolution of the
organism from harmless commensal to one capable of causing extraintestinal infections.
Notably, most (99/120) representatives of ST131 carried relatively few VFs (mostly *malX* or
malX, *sat* or *malX*, *papC*, *sat* among those sought), despite being considered one of the
most important ExPEC lineages, highlighting the need to be aware of less well recognised
linages. However, some representatives also carried *cnf1* and *hylBCD* (17), or *ibeA* (2).

Worryingly, almost 9 % (53/593) of isolates in our study carried more than six virulence

genes/gene sets, highlighting the potential for significant numbers of carriage isolates to

cause extraintestinal infections. Of these, 13.6 % of isolates from neonatal screens carried 8

or more of the VFs sought, compared with 3.3 % of other screens. While this was in part

associated with outbreaks in two hospitals, it is nevertheless concerning, especially

considering that neonatal meningitis due to *E. coli* carries a high mortality (10%) and

morbidity (30%) rate [26]. NMEC are characterised by K1 capsular antigens or

the *ibeA* invasion gene, features particularly noted in STs 1193, 10, 998, 538, 80 and 141 in

this study. However, these STs were not confined to isolates from neonates.

This work has provided a framework in which to assess isolates for virulence amongst a

bewildering array of candidate genes and has highlighted types that harbour the greatest

number of these virulence factors. It has also shown that a significant proportion of isolates

carry numerous virulence factors with consequent increased potential to cause infection.

These virulence factors are largely found in genomic islands, mosaic integrative structures

that can be acquired by horizontal transfer.

Conclusions

• *cnf1* (coding for cytotoxic necrotising factor), *clbK* (coding for colibactin), *focCDF*

(coding for F1 fimbriae), *kpsM*_K1, *neuBD* (associated with the K1 capsule), *mchBC*,

mcmA (coding for microcins), *papG*_alleleIII (part of a cluster encoding P fimbriae),

pic (protein involved in intestinal colonization), *sfaE/sfafoCDE* (coding for S fimbriae),

tcpC (encoding TLR domain containing-protein C) and *vat* (encoding toxin

vacuolating autotransporter) were more prevalent among isolates from invasive

infections than among those from carriage

• Representatives of STs 12, 73, 998 and 127 carried multiple of these virulence

factors, no matter whether they were from screening swabs or from blood or other

infection sites.

• Genes associated with the K1 capsule (*ibeA*, *neuBD*, *kpsM*_K1) were particularly

found in STs 1193, 10, 998, 538, 80 and 141

• 9 % of isolates in our study carried more than six of these virulence genes/gene sets,

highlighting the potential for significant numbers of carriage isolates to cause

extraintestinal infections

• Isolates carrying multiple virulence factors were more prevalent from neonatal

screens than those from general screens

• Most of the virulence factors were in chromosomal genomic islands, which are
mosaic in nature

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